

# CS330HW7

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## Problem 3A

Let  $G'$  be the graph that results from adding  $e = (u, v)$  to the graph  $G$  ( $G' = G \cup \{e\}$ ). The added edge  $e$  could potentially be in the minimum spanning tree (MST) of  $G'$  or not. Therefore, the algorithm will add  $e$  to the MST of  $G$  and remove it if necessary. The step of the algorithm are as follows:

1. Form the set of edges  $S_c$  by adding  $e$  to  $T$ . In other words,  $S_c = T \cup \{e\}$ .
2. Identify the cycle  $C$  in  $S_c$ . To do such perform a depth first search (DFS) on the edges in  $S_c$  starting at vertex  $u$ , keeping track of each separate path and visited nodes. In a given path  $P$ , if a vertex is revisited let  $C$  be  $P$ .
3. Find the maximum weight edge  $e_w$  in  $C$ . In other words find the  $e_w$  such that  $w(e_w) \geq w(e)$  for all  $e \in C$ .
4. Form the tree  $T'$  by removing  $e_w$  from  $S_c$ . In other words,  $T' = S_c - \{e_w\}$ .  $T'$  is the updated MST for  $G'$ .

For clarity pseudo-code is given below:

```
updateMST(T, G, e) {  
    S[]  
    S = G.add(e) //note: this is set addition  
  
    C = identifyCycle(S, e);  
  
    maxEdge = findMaxEdge(C)  
  
    T' = S.remove(maxEdge)  
    return T'  
}  
  
identifyCycle(S, e) {
```

```

    e = (u,v)
    cycle[]
    DFS_cycle(u, cycle)
    return cycle
}

DFS_cycle(u, cycle) {
    Mark u as visited
    Mark u as in stack
    FOR each edge (u, v)
        IF v is in stack
            (u,v) is a back edge, found a cycle
            cycle = stack
        IF v is not visited
            DFS_cycle(v, cycle)
    Mark u as not in stack.
}

```

Clarifying remarks

In the above code the method *identifyCycle* passes an empty set to the *DFS\_cycle* method by reference. The *DFS\_cycle* method fills the content of this set when it identifies a cycle.

#### *Running Time Analysis*

There are two steps in the algorithm that take non-constant time - identifying the cycle once  $e$  is added to  $T$  and finding the maximum weight edge in  $C$ . These steps correspond to steps 2 and 3 in Problem 3A above. Steps 1 and 4 are simple additions and subtractions from a set, which take constant time.

Identifying the cycle is implemented with a DFS on the edges in  $T_c$ . The running time of DFS is  $O(V + E)$  where  $V$  and  $E$  are the number of vertices and edges respectively in the set being searched.  $T_c$  is formed by adding an edge to the MST  $T$ . Therefore,  $T_c$  has  $n$  vertices and  $n$  edges. Thus, the running time to identify the cycle is  $O(n + n) = O(2n) = O(n)$ .

Finding the maximum weight edge in the set  $C$  can be accomplished by iterating through all of  $C$ 's edges and keeping track of the maximum. This takes time proportional to the size of  $C$ . Since  $C$  is a subset of  $T_c$ , which has  $n$  edges, the number of edges in  $C$  is upper bounded by  $n$ . Therefore, finding the edge of maximum weight in  $C$  takes  $O(n)$  time.

Since identifying the cycle and finding the edge of maximum weight in the cycle both take  $O(n)$  time the algorithm takes constant,  $O(n)$  time to run.

### Problem 3B

Let  $G'$  be the graph created by adding the edge  $e$  to  $G$ , that is  $G' = G \cup \{e\}$ . Let  $T$  be the prior calculated minimum spanning tree (MST) of  $G$  and let  $T'$  the tree returned by the algorithm. It needs to be proved that  $T'$  is an MST of  $G'$ .

Consider the set  $Q$  of *all* possible cuts  $(S, \bar{S})$  of  $G'$ . It can be shown that  $T'$  contains the minimum edge of each cut in the set  $Q$ . Let  $W$  be the set of all possible cuts  $(S, \bar{S})$  of the original graph  $G$ . Let  $c$  indicate *all* existent elements the set  $Q$  (all such cuts in  $G'$ ). The cut  $c$  can relate to  $W$  in the following 2 ways:

1.  $c$  could be contained in  $W$ .
2.  $c$  could not be contained in  $W$ . However, in this case it must be true that  $c = c_w \cup \{e\}$  for some cut  $c_w$  existent in  $W$ .

These are the only 2 possible cases because  $G'$  differs from  $G$  only in the edge  $e$ . Therefore, each cut in  $G'$  must be identical to a cut in  $G$  or contain only the additional edge  $e$ .

Case 1 -  $c \in W$

Let it first be established that  $T$  must contain one edge,  $a$ , in cut  $c$  because it is a minimal spanning tree. Additionally, since  $c$  is contained in  $W$ , which considers the graph  $G$  that does not contain  $e$ , the edge  $e$  cannot be in  $c$ . Therefore,  $e$  must either be in the set  $S$  or  $\bar{S}$ . By algorithm design  $T'$  must identically be  $T$  or be equal to  $T \cup \{e\}$  minus one edge  $r$ . Given this, and the fact that  $e$  is not in  $c$ ,  $T$  and  $T'$  can only differ by at most one edge across the cut  $c$ .

Let's consider the case where  $T$  and  $T'$  do not differ over the cut. In other words,  $a \in T'$ . Since  $a$  must be a minimal cost edge across  $c$  (given Problem 2),  $T'$  contains the minimal cost edge across  $c$ .

Let's consider the case where  $T$  and  $T'$  vary by one edge - where  $T'$  contains the edge  $b$  across cut  $c$ . However, no such  $b$  can exist because the algorithm only adds  $e$  to  $T'$ . The edge  $e$  has been determined to not be across the cut. Therefore, this is not a valid case.

Thus, it has been shown that if  $c \in W$  then  $T'$  must contain the minimal cost edge in the cut  $c$ .

Case 2 -  $c \notin W$

In this case  $e$  is included in the set  $c$  - if not  $c$  would be in  $W$ . Given  $T$  is a MST of  $G$ , which does not contain  $e$ ,  $T$  must contain a minimal edge  $a$  across the cut that is not  $e$ . There are two distinct cases to consider - when the algorithm chooses  $T'$  to include  $e$  and when it does not.

**$T'$  contains  $e$**

If  $T'$  contains  $e$  then the algorithm added  $e$ . The algorithm originally creates a cycle with the addition of  $e$ . Therefore, there must be at least one other edge across the cut  $c$ . If this was not the case the cycle that includes  $e$  would not exist.

Let  $r$  be the edge that the algorithm removes from the cycle.

If  $r$  is not in the cut  $c$  then  $a$  (the minimal edge across the cut in  $T$ ) cannot be  $r$  (the edge removed). Therefore,  $T'$  must still contain  $a$ . If  $w(a) \leq w(e)$  then edge  $a$  in the cut is still minimal and if  $w(e) \leq w(a)$  the new edge  $e$  is minimal. However, since  $T'$  contains both  $e$  and  $a$  it must still contain the minimal edge in the cut.

If  $r$  is in the cut then the algorithm can potentially remove a minimal cut. However, since the algorithm removes the edge in the cycle of maximum weight,  $w(r) > w(e)$ . Therefore, if  $r$  is  $a$  then the minimal edge *before* the addition of  $e$  is removed. However, in this case  $e$  is the minimal cost edge, which  $T'$  contains. If  $r$  is not  $a$  then  $T'$  contains both  $a$  and  $e$  since  $r$  is the only edge removed from  $T$  to make  $T'$ . Therefore,  $T$  still contains the minimal cost edge regardless if it is  $a$  or  $e$  since it contains both.

#### **$T'$ does not contain $e$**

If  $T'$  does not contain  $e$  then per the algorithm  $T'$  must have the same elements as  $T$ . Therefore, since  $T$  contains the minimal edge across the cut (per the conclusion of Problem 2) then  $T'$  must also contain the minimal edge across the cut.

Thus, it has been shown that for all cuts  $c$  such that  $c \notin W$   $T'$  will still contain one of the minimum cost edges in the cut.

Above it has been shown that for every cut in  $G'$ ,  $T'$  contains an edge  $e$  that is one of the minimal cost edges across the cut. Therefore, per the conclusions of Problem 2,  $T'$  must be a minimal spanning tree of  $G'$ .