Merge Sort, Quick Sort, Binary Search

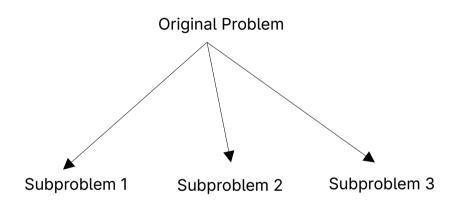
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#### Recursion

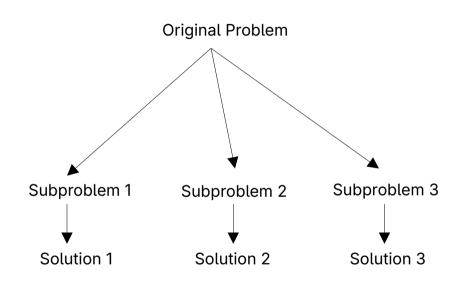
- Recursion is a method of solving a problem where the solution depends on solutions to smaller instances of the same problem.
- In programming, this means a function calls itself.
- Example: Calculating a Factorial n! = n(n-1)! = n(n-1)(n-2)!
   and so on.

The divide and conquer paradigm involves three steps at each level of the recursion:

 Divide the problem into a number of subproblems that are smaller instances of the same problem.

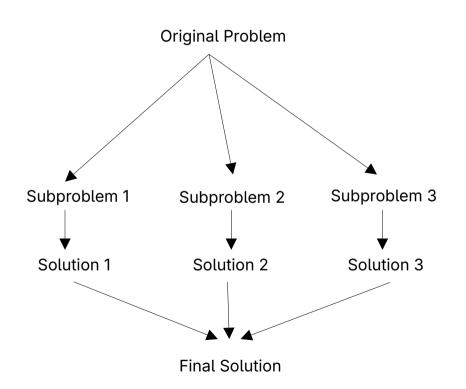


• Conquer the subproblems solving them by recursively. the subproblem sizes are small enough, however, just solve the subproblems in a straightforward manner.



 Combine the solutions to the subproblems into the solution for the original problem.

Examples: Merge sort, Quick sort, Binary search.



# **Merge Sort**

The merge sort algorithm closely follows the divide-and-conquer paradigm. Intuitively, it operates as follows.

- **Divide:** Divide the n-element sequence to be sorted into two subsequences of n/2 elements each.
- Conquer: Sort the two subsequences recursively using merge sort.
- **Combine:** Merge the two sorted subsequences to produce the sorted answer.

## **Merge Sort Simulation**

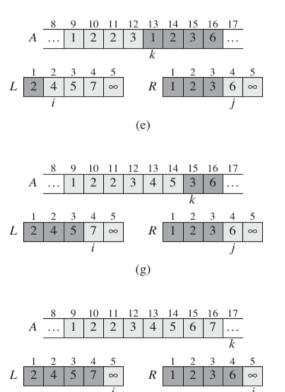
$$A = \begin{bmatrix} 8 & 9 & 10 & 11 & 12 & 13 & 14 & 15 & 16 & 17 \\ ... & 1 & 2 & 5 & 7 & 1 & 2 & 3 & 6 & ... \\ \hline k \\ L = \begin{bmatrix} 2 & 3 & 4 & 5 \\ 2 & 4 & 5 & 7 & \infty \end{bmatrix} \qquad R = \begin{bmatrix} 1 & 2 & 3 & 4 & 5 \\ 1 & 2 & 3 & 6 & \infty \end{bmatrix}$$

$$(c)$$

$$A = \begin{bmatrix} 8 & 9 & 10 & 11 & 12 & 13 & 14 & 15 & 16 & 17 \\ ... & 1 & 2 & 2 & 7 & 1 & 2 & 3 & 6 & ... \\ \hline k \\ L = \begin{bmatrix} 1 & 2 & 3 & 4 & 5 \\ 2 & 4 & 5 & 7 & \infty \end{bmatrix} \quad R = \begin{bmatrix} 1 & 2 & 3 & 4 & 5 \\ 1 & 2 & 3 & 6 & \infty \end{bmatrix}$$

$$(d)$$

## **Merge Sort Simulation**



(i)

## **Algorithms and Complexities**

```
MERGE-SORT(A, p, r)

1 if p < r

2 q = \lfloor (p+r)/2 \rfloor

3 MERGE-SORT(A, p, q)

4 MERGE-SORT(A, q+1, r)

5 MERGE(A, p, q, r)
```

- Time Complexity:  $O(n \log n)$  in all cases. MERGE procedure takes time O(n) at each of the log n levels of recursion.
- Space Complexity: O(n) because it requires an auxiliary array of size proportional to the input array size, n, to hold the merged elements during the sorting process.

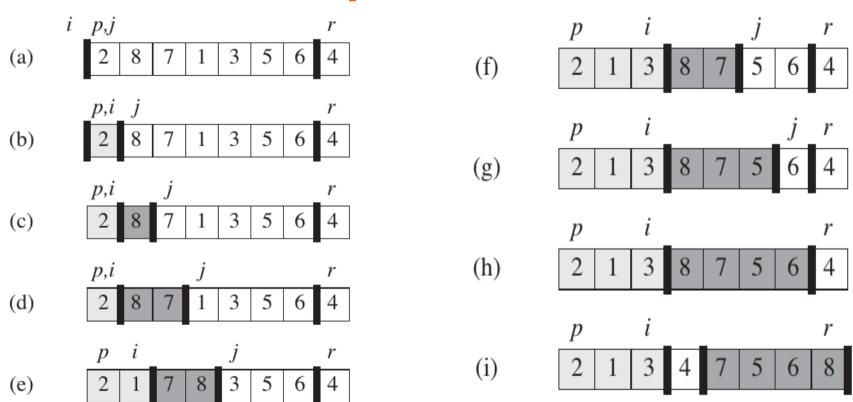
```
MERGE(A, p, q, r)
1 \quad n_1 = q - p + 1
2 n_2 = r - q
3 let L[1...n_1 + 1] and R[1...n_2 + 1] be new arrays
4 for i = 1 to n_1
5 L[i] = A[p+i-1]
6 for j = 1 to n_2
7 	 R[j] = A[q+j]
8 L[n_1 + 1] = \infty
9 R[n_2 + 1] = \infty
10 i = 1
11 j = 1
12 for k = p to r
13
       if L[i] \leq R[j]
14 	 A[k] = L[i]
15 i = i + 1
16 else A[k] = R[j]
   j = j + 1
```

### **Quick Sort**

Here is the three-step divide-and-conquer process for sorting a typical subarray A[p ... r]:

- **Divide:** Partition (rearrange) the array A[p ... r] into two (possibly empty) subarrays A[p ... q-1] and A[q+1 ... r] such that each element of A[p ... q-1] is less than or equal to A[q], which is, in turn, less than or equal to each element of A[q+1 ... r]. Compute the index q as part of this partitioning procedure.
- Conquer: Sort the two subarrays A[p ... q-1] and A[q+1 ... r] by recursive calls to quicksort.
- Combine: Because the subarrays are already sorted, no work is needed to combine them. The entire array A[p ... r] is now sorted.

# **The Partition Operation**



# **Algorithms and Complexities**

```
QUICKSORT(A, p, r)
1 if p < r
      q = PARTITION(A, p, r)
3 QUICKSORT(A, p, q - 1)
   QUICKSORT(A, q + 1, r)
PARTITION(A, p, r)
1 \quad x = A[r]
2 i = p - 1
3 for j = p to r - 1
      if A[j] \leq x
  i = i + 1
    exchange A[i] with A[j]
7 exchange A[i + 1] with A[r]
   return i+1
```

To sort an entire array A, the initial call is QUICKSORT(A, 1, A.length).

- Time Complexity: O(n²) in the worst case when the pivot selection consistently results in highly unbalanced partitions (e.g., always picking the smallest or largest element). Time complexity is O(n log n) in other cases.
- Space Complexity: Typically O(log n), because it uses a recursive call stack whose maximum depth is proportional to the level of partitions.

#### Reference

- Introduction to Algorithms, Third Edition
  - Thomas H. Cormen, Charles E. Leiserson, Ronald L.
     Rivest, Clifford Stein