

Operating Systems



Module Introduction

- CCAT Exam
 - Operating Systems: 9 Questions
 - Computer Fundamentals + Networking: 10 Questions
- Quiz
 - Operating Systems : 4 Quizzes (10 marks)
 - Computer Fundamentals: 1 Quiz (10 marks)
 - Operating Systems: Module end quiz (20 marks)
- GitLab Repository
 - OS Galvin Slides
 - Practice MCQ
 - Notes/Diagrams (Daily)
 - Reference Book:
Operating System Concepts - Galvin.



Operating System Concepts

- **Introduction**

- Introduction to Operating System, What is OS, Booting the System

- **System Architecture Design of OS**

- System Calls, Dual Mode Operation: System mode and Kernel mode

- **Process Management**

- What is Process & PCB?
- States of the process
- CPU scheduling & CPU scheduling algorithms
- Inter Process Communication: Shared Memory Model & Message Passing Model
- Process Synchronization/Co-ordination
- Deadlocks & deadlock handling methods



Operating System Concepts

* **Memory Management**

- Swapping
- Memory Allocation Methods
- Segmentation
- Paging
- Virtual Memory Management



Operating System Concepts

File Management

- What is file?
- What is filesystem & filesystem structure?
- Disk space allocation methods
- Disk scheduling algorithms



Operating System Concepts

Introduction:

- Why there is need of an OS?
- What is an OS?
- History of OS- Multi-threading, multiprocessing etc
- Functions of an OS
- What is Process?
- States of Process



end
user

Editor

IDE

Browser

Media
player

... .. appln
Software

① Interface

② Resource allocator

③ Control Prog

④ CD/DVD = Core OS + appln + utility

⑤ Kernel = Core OS.

OS operating
system

CPU

RAM

disk

KBD

Monitor

Computer
Hardware

Program — set of Instn. (.exe)
(by the machine understandable).

.c → Src file ;

Software — set of Programs.

Utility → . Prog within the OS.

Operating System Concepts

Q. Why there is a need of an OS?

- Computer is a machine/hardware does different tasks efficiently & accurately.
- Basic functions of computer:
 1. Data Storage
 2. Data Processing
 3. Data Movement
 4. Control
- As any user cannot communicates/interacts directly with computer hardware to do different tasks, and hence there is need of some interface between user and hardware.



Operating System Concepts

Q. What is an Operating System?

- An OS is a **system software** (i.e. collection of system programs) which acts as an interface between user and hardware.
- An OS also acts as an interface between programs and hardware.
- An OS allocates resources like main memory, CPU time, i/o devices access etc... to all running programs, hence it is also called as a **resource allocator**.
- An OS controls an execution of all programs and it also controls hardware devices which are connected to the computer system and hence it is also called as a **control program**.
- An OS manages limited available resources among all running programs, hence it is also called as a **resource manager**.



Operating System Concepts

- From End User: An OS is a software (i.e. collection of programs) comes either in CD/DVD, has following main components: 889IKM

1. Kernel: It is a core program/part of an OS which runs continuously into the main memory does basic minimal functionalities of it.

e.g. Linux: vmlinuz, Windows: ntoskrnl.exe

2. Utility Software: e.g. disk manager, Control panel, windows firewall, anti-virus software etc...

3. Application Software: e.g. google chrome, shell, notepad, MS office etc.

IDE - Integrated Development Environment
↓
C, C++, java
↓



Operating System Concepts

Q. What is a Software?

Software is a collection of programs.

Q. What is a Program?

- Program is a set of instructions written in any programming language (either low level or high level programming language) given to the machine to do specific task.

- Three types of programs are there:

1. "**user programs**": programs defined by the programmer user/developers

e.g. main.c, hello.java, addition.cpp etc....

2. "**application programs**": programs which comes with an OS/can be installed later

e.g. MS Office, Notepad, Compiler, IDE's, Google Chrome, Mozilla Firefox, Calculator, Games etc....

3. "**System Programs**": programs which are inbuilt in an OS/part of an OS.

e.g. Kernel, Loader, Scheduler, Memory Manager etc...



Operating System Concepts

Functions of an OS:

Basic minimal functionalities/Kernel functionalities:

1. Process Management
2. Memory Management
3. Hardware Abstraction
4. CPU Scheduling
5. File & IO Management

} → Kernel

Extra utility functionalities/optional:

6. Protection & Security
7. User Interfacing
8. Networking

} → OS .



Operating System Concepts

Q. What is an IDE (Integrated Development Environment) ?

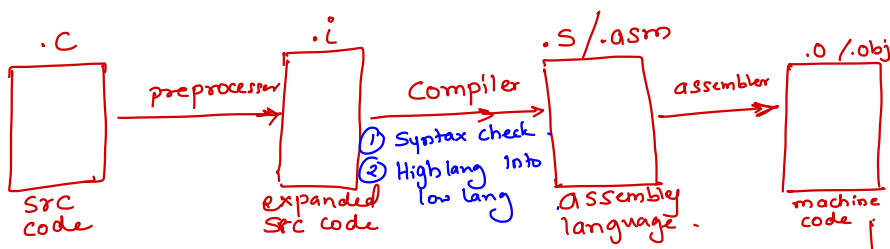
- It is an application software i.e. collection of tools/programs like **source code editor**, **preprocessor**, **compiler**, **linker**, **debugger** etc... required for **faster software development**.
e.g. VS code editor, MS Visual Studio, Netbeans, Android Studio, Turbo C etc....

1. "**Editor**": it is an application program used for to write a source code.
e.g. notepad, vi editor, gedit etc...

2. "**Preprocessor**": it is an application program gets executes before compilation and does two jobs - it executes all preprocessor directives and removes all comments from the source code.
e.g. cpp

3. "**Compiler**": it is an application program which convert high level programming language code into low level programming language code i.e. human understandable language code into the machine understandable language code.
e.g. gcc, tc, visual c etc...





Process → Program Under Execution

PE → Portable Executable

ELF → Executable Linkable format

Identify file format 2 or 4 byte

magic number

addr of entry point fn. \rightarrow main()

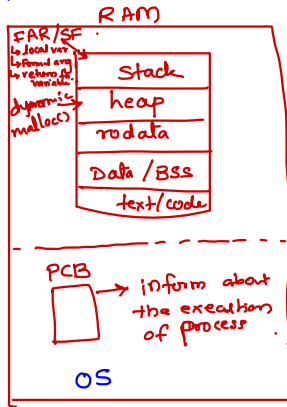
addr of each section.

.bmp = BM
.exe = MZ
.out = ? ELF

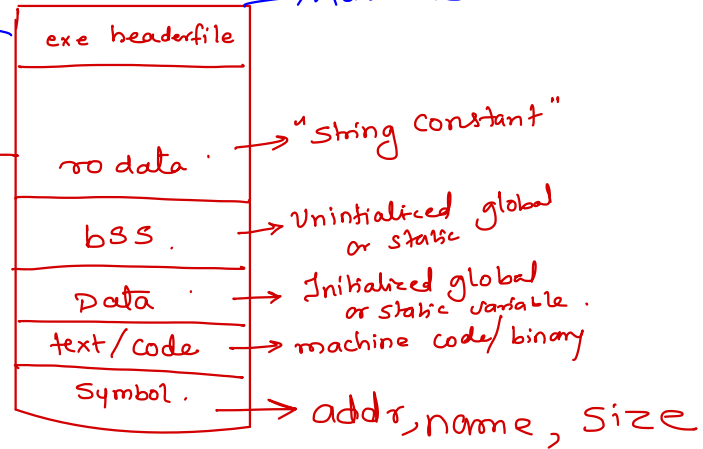


.out / .exe

harddisk



- ① Pid.
- ② Sched Info (state, priority, alg)
- ③ Mem Mang.
- ④ File I/O
- ⑤ kernel stack
- ⑥ Execution Unit
- ⑦ Exit Status
- ⑧ IPC



Program

```
main()
{ int a;
  return 0;
}
```

↑

success .

0

-1 →

```
main()
{
  exit (0);
}
```


Operating System Concepts

4. "**Assembler**": it is an application program which converts assembly language code into machine language code/object code.

e.g. masm, tasm etc...

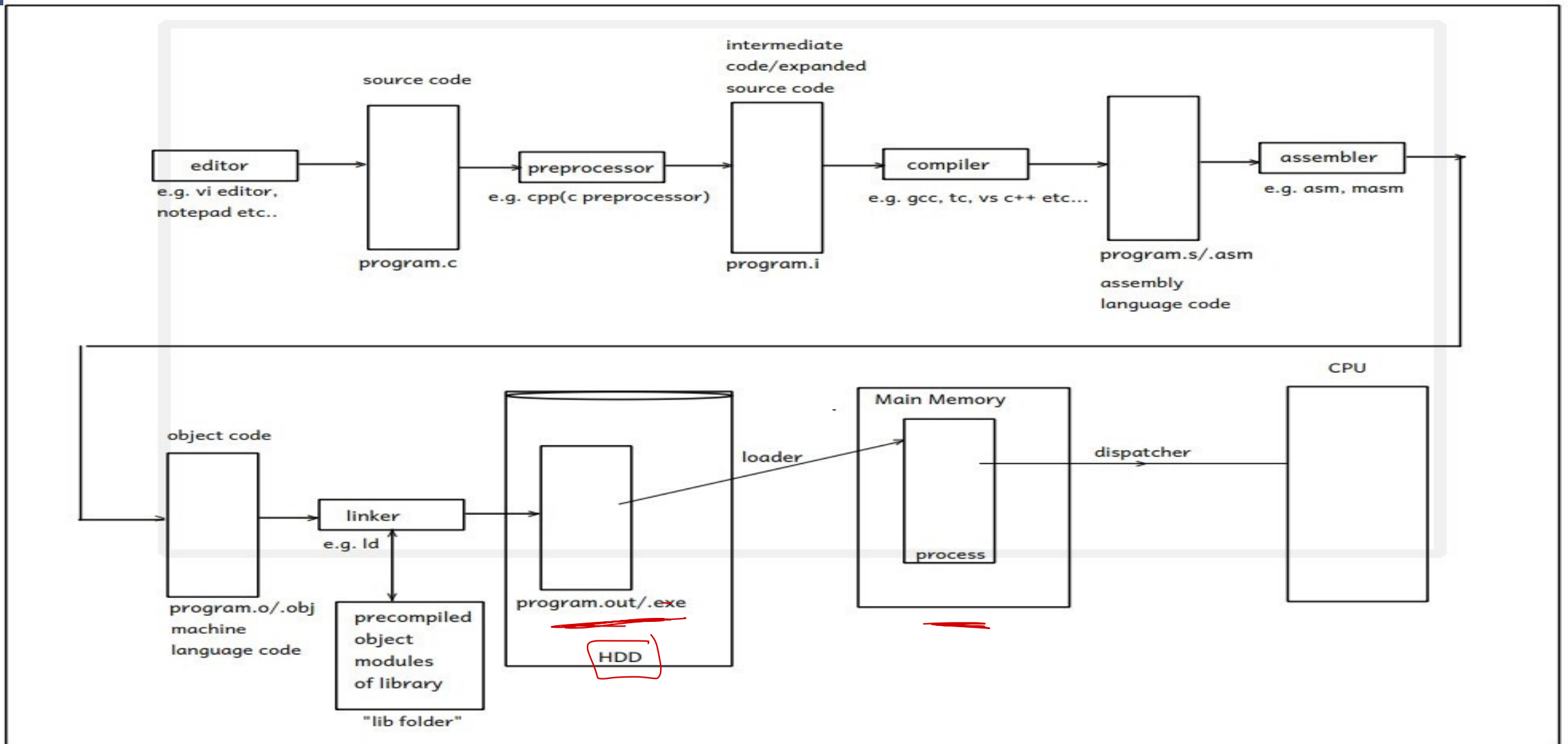
- Program written in any programming language is called as a "**source code**".

5. "**Linker**": it is an application program which links object file/s in a program with precompiled object modules of library functions exists in a lib folder and creates final single executable file.

e.g. ld. link editor in Linux.

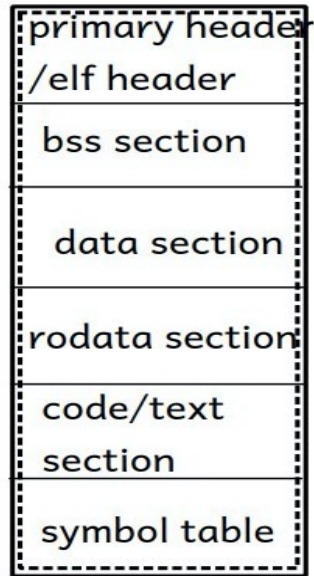


Operating System Concepts



Operating System Concepts

Structure of an executable file
ELF file format in Linux



program.out

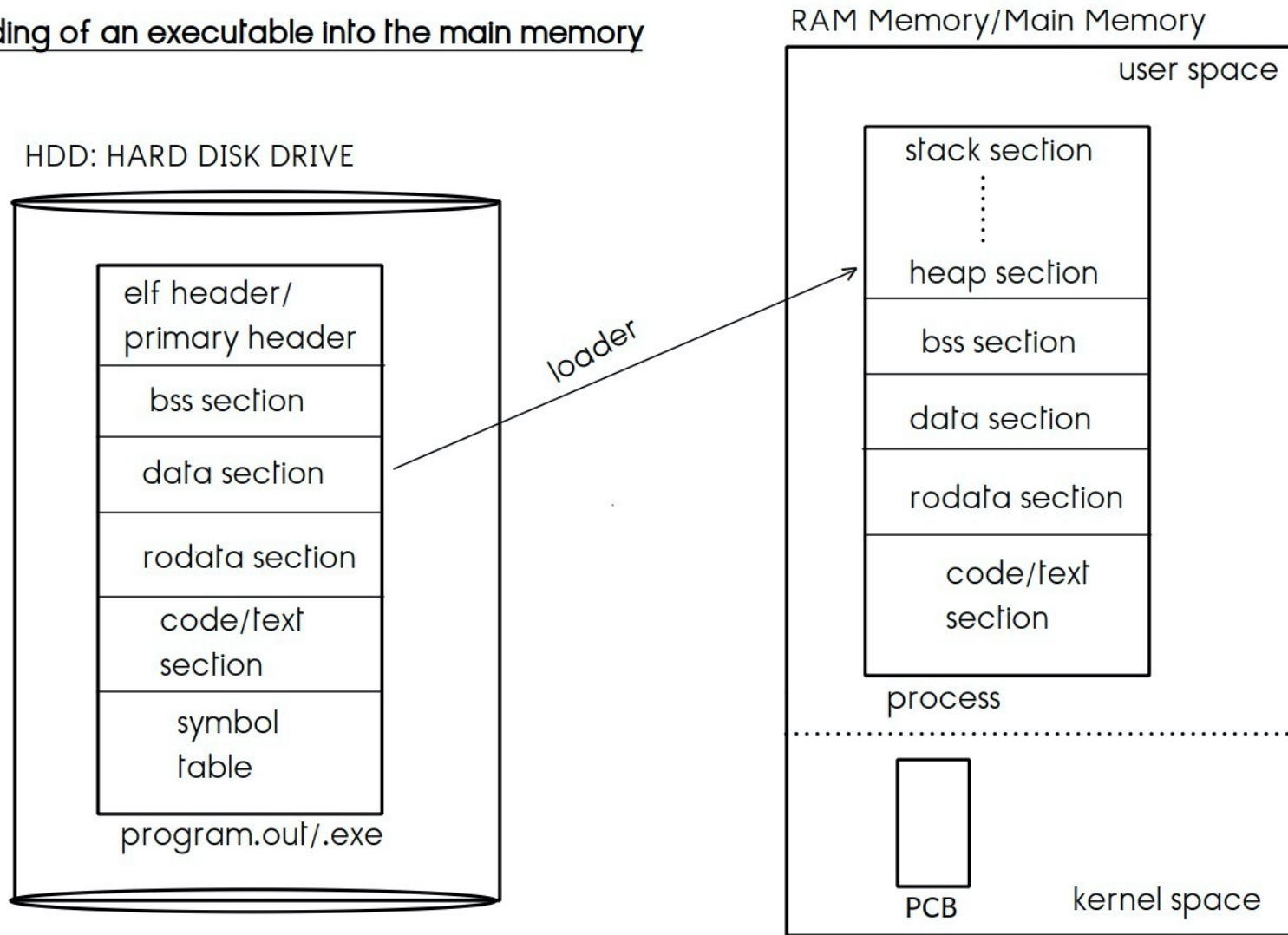
Hard Disk Drive

- 1. primary header/exe header:** it contains information which is required to start an execution of the program.
e.g. - addr of an entry point function --> main() function
- **magic number:** it is a constant number generated by the compiler which is file format specific.
 - magic number in Linux starts with ELF in its eq **hexadecimal format.**
 - info about remaining sections.
- 2. bss(block started by symbol) section:** it contains uninitialized global & static vars
- 3. data section:** it contains initialized global & static vars
- 4. rodata (readonly data) section:** it contains string literals and constants.
- 5. code/text section:** it contains executable instructions
- 6. symbol table:** it contains info about functions and its vars in a tabular format.



Operating System Concepts

Loading of an executable into the main memory



Operating System Concepts

Process

- Program under execution.
- Process execute in RAM.
- Process control block contains information about the process (required for the execution of process).
 - Process id
 - Exit status
 - Scheduling information (State, Priority, Sched algorithm, Time, ...)
 - Memory information (Base & Limit, Segment table, or Page table)
 - File information (Open files, Current directory, ...)
 - IPC information (Signals, ...)
 - Execution context
 - Kernel stack
- PCB is also called as process descriptor (PD), uarea (UNIX), or task_struct (Linux).



Q. What is a Process?

User view:

- Program in execution is called as a process.
- Running program is called as a process.
- When a program gets loaded into the main memory it is referred as a process.
- Running instance of a program is referred as a process.

System view:

- Process is a file loaded into the main memory which has got bss section, rodata section, code section, and two new sections gets added for the process:
- **stack section**: contains function activation records of called functions.
- **heap section**: dynamically allocated memory



Operating System Concepts

- file format of an executable file in Windows is PE (Portable Executable), whereas file format of an executable file in Linux is **ELF (Executable & Linkable Format)**.
- file format is a specific way to store data & instructions of a program inside an executable file, and it is different in diff OS.
- ELF file format divides an executable file logically into sections and inside each section specific contents can be kept in an organized manner:
 1. elf header
 2. bss section (block started by symbol)
 3. data section
 4. rodata (read only data)section
 5. code/text section
 6. symbol table



Memory Layout of Program and Process

Program Consist of	Process Consist of
exe header/primary header	Skipped
Block started by symbol (bss) section (un initialized static / global variables)	Block started by symbol (bss) section (un initialized static / global variables)
Data section (initialized static / global variables)	Data section (initialized static / global variables)
Rodata Section(Constant/literals)	Rodata Section(Constant/literals)
code/text section (contains executable instructions)	code/text section (contains executable instructions)
Symbol Table	Skipped
	Stack Section
	Heap Section



Process and Program

- A **process** is an instance of a program in execution.
- Running program is also known as **Process**.
- When a program gets loaded in to memory is also known as **Process**.
- A **Program** is a set of instructions given to the machine to do specific task.



Interaction with an OS : Two Types of Interface (CUI and GUI)

1. CUI/CLI : Command User Interface/Command Line Interface

- By using this kind of interface user can interact with an OS by means entering commands onto the terminal/command line in a text format.

e.g. In Windows name of the program which provides CUI => cmd.exe command prompt

In Linux name of an application program which provides CUI => shell/terminal

In MSDOS name of the program which provides CUI => command.com (MicroSoft Disk Operating System).

2. GUI : Graphical User Interface

- by using this kind of interface user can interact with an OS by means making an event like click on buttons, left click/right click/double click, menu bar, menu list etc.....

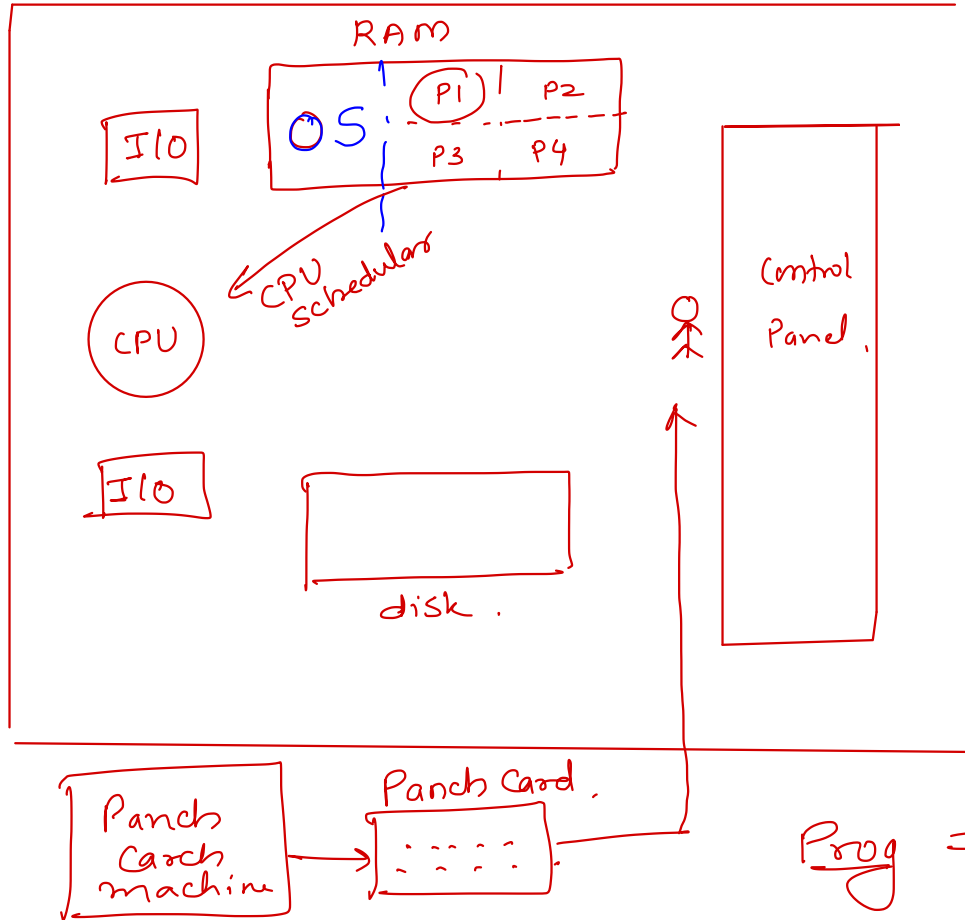
- Windows = User friendly GUI.

e.g. In Windows name of an application program which provides GUI => explorer.exe

In Linux name of an application program which provides GUI => GNOME/KDE (GNU Network Object Model Environment / Common Desktop Environment).



mainframe comp .



History of OS .

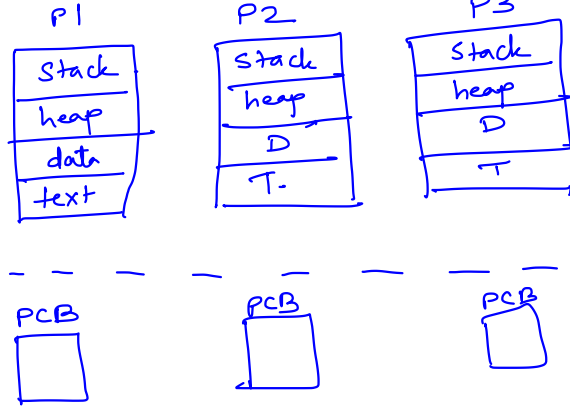
- ① Resident monitor
- ② Batch Prog .
- ③ Multi-programming
↳

④ time-sharing / multitasking

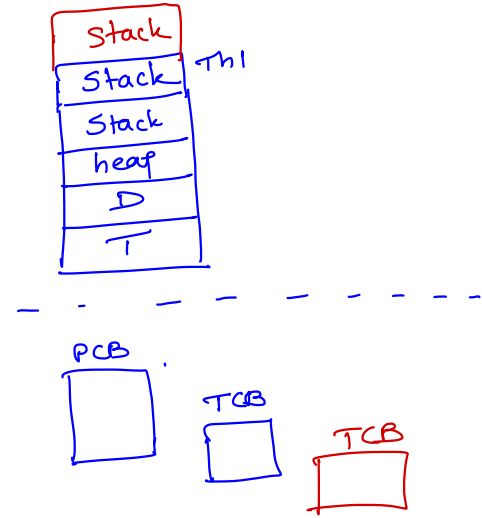
multi-processing multi-thread .

$$\text{Prog} = \text{CPU Burst time} + \text{I/O Burst time .}$$

Process-based



Thread Based



Operating System Concepts

■ History of Operating System

1. Resident monitor

2. Batch System

- The batch/group of similar programs is loaded in the computer, from which OS loads one program in the memory and execute it. The programs are executed one after another.
- In this case, if any process is performing IO, CPU will wait for that process and hence not utilized efficiently.

3. Multi-programming

- Better utilization of CPU
- Loading multiple Programs in memory
- Mixed program(CPU bound + IO bound)

4. Time-sharing/Multitasking

- Sharing CPU time among multiple process/task present in main memory and ready for execution
- Any process should have response time should be less then 1sec
- Multi-tasking is divided into two types
 - Process based multitasking
 - Thread based multitasking

