# report executed

August 18, 2021

#### 1 Statistics

In the statistics module we analyze data for different responses and at different spectral peak locations. We use Python package scipy in this module.

#### 1.1 T-Test

T-test checks for difference in the mean between two sample from different responses. We assume the data is independent and follows the normality assumption. Let  $x_1, \ldots, x_n$  and  $y_1, \ldots, y_m$  be the two samples and we test whether the means are equal. The null hypothesis states means  $\mu_1$  and  $\mu_2$  are equal and the alternative hypothesis states they are not equal. If the p-value is lower than the chosen significance level, we can reject the null hypothesis, i.e. the samples do not have the same means.

```
[1]:
            import modules.adapml_data as adapml_data
            import modules.adapml_classification as adapml_classification
            import modules.adapml_clustering as adapml_clustering
            import modules.adapml_chemometrics as adapml_chemometrics
            import modules.adapml_statistics as adapml_statistics
            import modules.adapml_regression as adapml_regression
            import numpy as np
            import modules.loadTestData as load_data
            import sklearn.preprocessing as pre
            from sklearn.cross decomposition import PLSRegression as PLS
            from matplotlib import pyplot as plt
            from sklearn import cluster as clst
            from scipy.cluster.hierarchy import dendrogram
            import os
            reldir = os.getcwd()
            path_to_data = os.path.join(reldir, '..', 'data', __
      data = adapml_data.DataImport(path_to_data)
            response1D = data.resp
            #response1D = adapml_data.DataImport.getResponse(path_to_data)
```

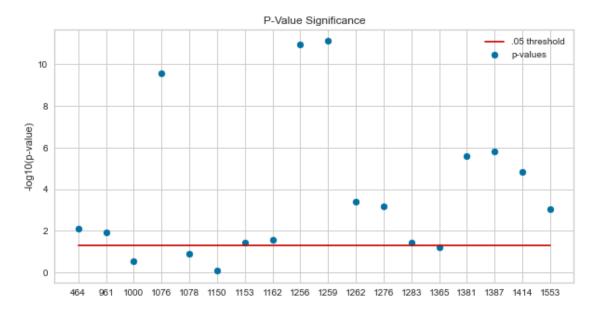
```
response2D = adapml_data.DataImport.getDummyResponse(response1D)

variables = data.getVariableNames()

samples = data.getSampleNames()

t_test = adapml_statistics.Statistics(data.data, 'anova', response1D)

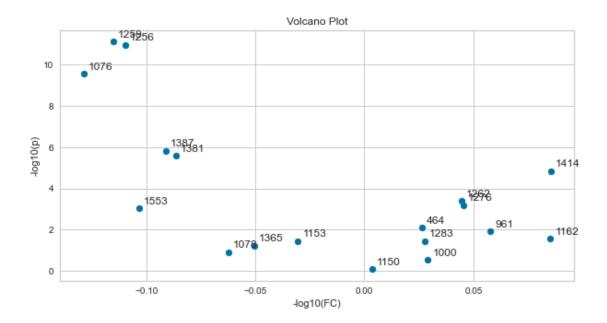
t_test.plot_logp_values(variables)
```



#### 1.2 Volcano Plot

Volcano plot is a scatter plot which demonstrates magnitude between the responses and t-test significance of the data. We can choose a significance level and fold change limit to specify the rectangle of interest.

[2]: t\_test.plot\_volcano\_t(variables)



# 2 Dimension-Reduction

Dimension-reduction methods are used to condense high dimensional data down to dimensions which provide the most information. We have implemented the principal component analysis (PCA). It performs a change of basis and the new basis is chosen, such that the i-th principal component is orthogonal to the first i-1 principal components and the direction maximizes the variance of the projected data. We use the Python library sklearn.

#### 2.1 Principal Component Analysis

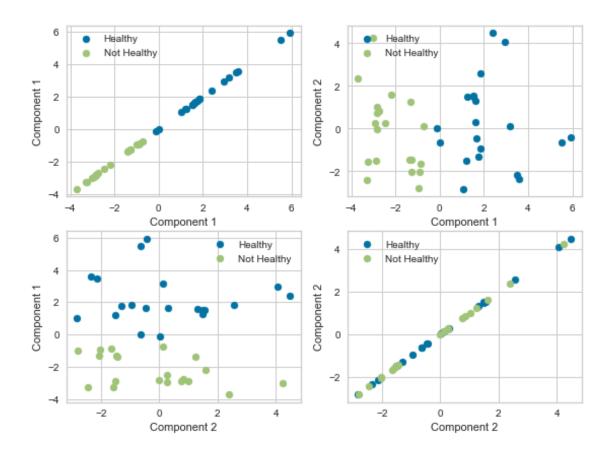
The principal component analysis (PCA) is one of the methods for dimension-reduction. It performs a change of basis and the new basis is chosen, such that the i-th principal component is orthogonal to the first i-1 principal components and the direction maximizes the variance of the projected data. Instead of considering all the dimensions, we pick the necessary number of principal components.

```
[3]: data.normalizeData("autoscale")

pca = adapml_chemometrics.Chemometrics(data.data, "pca", response1D)

print("PCA Projections");pca.plotProjectionScatterMultiClass(2, □ □ labels=["Healthy", "Not Healthy"])
```

PCA Projections
Projections of data into latent space.
Data is colored by response



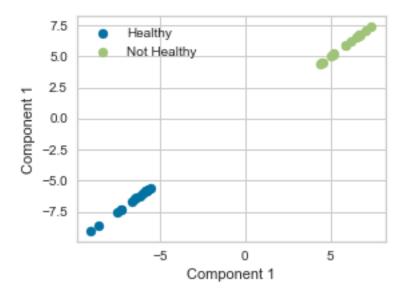
# 2.2 Linear Discriminant Analysis

Linear discriminant analysis is a classifier with a linear decision boundary. We assume normality and fit conditional densities  $p(x \mid y = 0)$  and  $p(x \mid y = 1)$  with mean and covariance parameters  $(\mu_0, \sigma_0)$  and  $(\mu_1, \sigma_1)$ , where  $x, \mu_0$  and  $\mu_1$  are vectors. Dimensionality-reduction is done by projecting the input to the most discriminative directions.

```
[4]: lda = adapml_chemometrics.Chemometrics(data.data, "lda", response1D) # Alsou → Predicts

print("LDA Projections");lda.plotProjectionScatterMultiClass(1, □ → labels=["Healthy", "Not Healthy"])
```

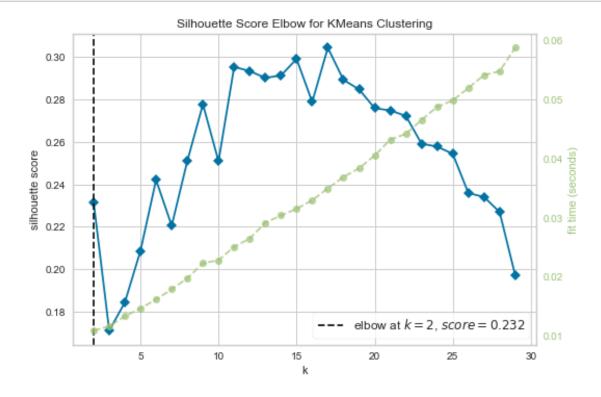
LDA Projections
Projections of data into latent space.
Data is colored by response



# 3 Clustering

In this module we use various different clustering methods on spectra. Clustering is done with scipy and sklearn libraries.

```
[5]: silhouette = adapml_clustering.Clustering(data.data, 'silhouette', 3)
nr_clusters = silhouette.clustrr
```



#### 3.1 K-Means Clustering

K-means clustering aims to partition the data into k sets and to minimize the Euclidian withincluster sum of squares (WCSS)

$$WCSS = \sum_{i=1}^{k} \sum_{x_j \in C_i} ||x_j - \mu_i||_2^2$$

where  $x_1, \ldots, x_n$  is the data and  $\mu_i$  is the centroid of  $C_i$  cluster. It is solved by either Lloyd's or Elkan's algorithm and we use sklearn module in Python.

[6]: kmeans\_cluster = adapml\_clustering.Clustering(data.data, 'kmeans', nr\_clusters) kmeans\_cluster.getClusterResults(samples)

```
Cluster 1
                            Cluster 2
0
       SCLC_86M1_2
                        NSCLC_A549_1
1
       SCLC_86M1_1
                       NSCLC_H1703_2
2
       SCLC_16HV_1
                       NSCLC_H1703_1
3
       SCLC_16HV_2
                        NSCLC_A549_2
4
      SCLC_DMS79_1
                       NSCLC_H1437_1
5
      SCLC_DMS79_2
                       NSCLC_H2228_1
6
       SCLC_H187_2
                       NSCLC_H2228_2
7
       SCLC_H187_1
                       NSCLC_H1437_2
8
       SCLC_H209_1
                       NSCLC_H3122_1
9
       SCLC_H524_1
                        NSCLC_H322_2
10
       SCLC_H209_2
                        NSCLC_H322_1
11
       SCLC_H524_2
                        NSCLC_H358_2
12
        SCLC_H69_1
                       NSCLC_H3122_2
13
        SCLC_H82_1
                        NSCLC_H522_1
14
        SCLC_H82_2
                        NSCLC_H522_2
15
        SCLC_H69_2
                     NSCLC_HCC4006_1
16
       SCLC_N417_2
                        NSCLC_H358_1
17
                         NSCLC_PC9_1
       SCLC_N417_1
                         NSCLC_PC9_2
18
    SCLC_SW210-5_1
    SCLC_SW210_5_2
                     NSCLC_HCC4006_2
```

#### 3.2 BIRCH Clustering

[7]: birch\_cluster = adapml\_clustering.Clustering(data.data, 'birch', nr\_clusters) birch\_cluster.getClusterResults(samples)

```
Cluster 1 Cluster 2

0 NSCLC_A549_1 SCLC_86M1_2

1 NSCLC_H1703_2 SCLC_86M1_1

2 NSCLC_H1703_1 SCLC_16HV_1

3 NSCLC_A549_2 SCLC_16HV_2
```

```
4
      NSCLC_H1437_1
                        SCLC_DMS79_1
5
      NSCLC_H2228_1
                        SCLC_DMS79_2
6
      NSCLC_H2228_2
                         SCLC_H187_2
7
      NSCLC_H1437_2
                         SCLC_H187_1
8
      NSCLC H3122 1
                         SCLC H209 1
9
       NSCLC_H322_2
                         SCLC_H524_1
10
       NSCLC_H322_1
                         SCLC_H209_2
11
       NSCLC_H358_2
                         SCLC_H524_2
12
      NSCLC_H3122_2
                          SCLC_H69_1
13
       NSCLC_H522_1
                          SCLC_H82_1
14
       NSCLC_H522_2
                          SCLC_H82_2
15
    NSCLC_HCC4006_1
                          SCLC_H69_2
                         SCLC_N417_2
16
       NSCLC_H358_1
17
        NSCLC_PC9_1
                         SCLC_N417_1
18
        NSCLC_PC9_2
                      SCLC_SW210-5_1
    NSCLC_HCC4006_2
                      SCLC_SW210_5_2
```

## 3.3 DBSCAN Clustering

[8]: dbscan\_cluster = adapml\_clustering.Clustering(data.data, 'dbscan', nr\_clusters) dbscan\_cluster.getClusterResults(samples)

```
Cluster 1
                            Cluster 2
0
        NSCLC_A549_1
                       NSCLC H1703 2
        NSCLC_A549_2
                       NSCLC_H1703_1
1
2
      NSCLC H1437 1
                                  NaN
3
      NSCLC_H2228_1
                                  NaN
4
      NSCLC_H2228_2
                                  NaN
5
      NSCLC_H1437_2
                                  {\tt NaN}
6
       NSCLC_H322_2
                                  NaN
7
       NSCLC_H322_1
                                  NaN
8
        NSCLC_H358_2
                                  NaN
9
        NSCLC_H522_1
                                  NaN
10
        NSCLC_H522_2
                                  NaN
11
    NSCLC_HCC4006_1
                                  NaN
12
        NSCLC_H358_1
                                  NaN
13
         NSCLC_PC9_1
                                  NaN
14
         NSCLC_PC9_2
                                  NaN
15
    NSCLC_HCC4006_2
                                  NaN
16
         SCLC_86M1_2
                                  {\tt NaN}
17
                                  NaN
         SCLC 86M1 1
         SCLC_16HV_1
18
                                  {\tt NaN}
19
                                  NaN
         SCLC_16HV_2
20
        SCLC_DMS79_1
                                  NaN
21
        SCLC_DMS79_2
                                  NaN
22
         SCLC_H187_2
                                  NaN
23
         SCLC_H187_1
                                  NaN
24
         SCLC_H209_1
                                  NaN
```

```
25
         SCLC_H524_1
                                  NaN
26
         SCLC_H209_2
                                  NaN
        SCLC_H524_2
27
                                  NaN
28
         SCLC_H69_1
                                  {\tt NaN}
29
         SCLC H82 1
                                  NaN
30
         SCLC_H82_2
                                  NaN
31
         SCLC H69 2
                                  NaN
32
         SCLC_N417_2
                                  NaN
33
        SCLC_N417_1
                                  NaN
34
     SCLC_SW210-5_1
                                  NaN
35
     SCLC_SW210_5_2
                                  NaN
```

## 3.4 Mean Shift Clustering

```
Cluster 1
                           Cluster 2
0
       NSCLC_A549_1
                      NSCLC_H1703_2
                      NSCLC_H1703_1
1
       NSCLC_A549_2
2
      NSCLC_H1437_1
                                  NaN
      NSCLC_H2228_1
3
                                  NaN
4
      NSCLC H2228 2
                                  NaN
5
      NSCLC_H1437_2
                                  NaN
6
      NSCLC_H3122_1
                                  NaN
7
       NSCLC_H322_2
                                  NaN
8
       NSCLC_H322_1
                                  NaN
9
       NSCLC_H358_2
                                  NaN
10
      NSCLC_H3122_2
                                  NaN
       NSCLC_H522_1
11
                                  NaN
       NSCLC_H522_2
12
                                  NaN
    NSCLC_HCC4006_1
                                  NaN
14
       NSCLC_H358_1
                                  NaN
        NSCLC_PC9_1
                                  NaN
15
16
        NSCLC_PC9_2
                                  NaN
17
    NSCLC_HCC4006_2
                                  NaN
        SCLC_86M1_2
18
                                  NaN
         SCLC_86M1_1
19
                                  {\tt NaN}
20
        SCLC_16HV_1
                                  NaN
21
        SCLC_16HV_2
                                  {\tt NaN}
22
       SCLC_DMS79_1
                                  NaN
23
       SCLC_DMS79_2
                                  NaN
24
        SCLC_H187_2
                                  NaN
25
        SCLC_H187_1
                                  NaN
26
        SCLC_H209_1
                                  NaN
27
         SCLC_H524_1
                                  NaN
28
        SCLC_H209_2
                                  NaN
```

```
29
        SCLC_H524_2
                                  NaN
30
         SCLC_H69_1
                                  NaN
31
         SCLC_H82_1
                                  NaN
32
         SCLC_H82_2
                                  NaN
         SCLC H69 2
33
                                  NaN
34
        SCLC_N417_2
                                  NaN
35
        SCLC N417 1
                                  NaN
36
     SCLC_SW210-5_1
                                  NaN
37
     SCLC_SW210_5_2
                                  NaN
```

#### 3.5 Gaussian Mixture Clustering

```
[10]: gaussian_cluster = adapml_clustering.Clustering(data.data, 'gaussian', ⊔

→nr_clusters)
gaussian_cluster.getClusterResults(samples)
```

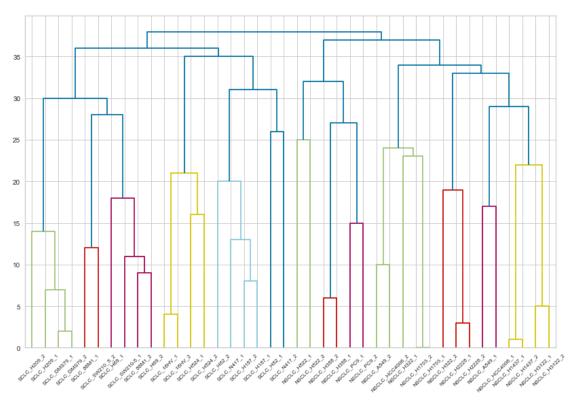
```
Cluster 1
                            Cluster 2
0
       NSCLC_A549_1
                        NSCLC_H522_1
1
      NSCLC H1703 2
                         SCLC 86M1 2
2
      NSCLC_H1703_1
                         SCLC_86M1_1
3
       NSCLC_A549_2
                         SCLC_16HV_1
4
      NSCLC_H1437_1
                         SCLC_16HV_2
5
      NSCLC_H2228_1
                        SCLC_DMS79_1
6
      NSCLC H2228 2
                        SCLC_DMS79_2
7
      NSCLC_H1437_2
                         SCLC_H187_2
8
      NSCLC_H3122_1
                         SCLC_H187_1
9
       NSCLC_H322_2
                         SCLC_H209_1
10
       NSCLC_H322_1
                         SCLC_H524_1
11
       NSCLC_H358_2
                         SCLC_H209_2
12
      NSCLC_H3122_2
                         SCLC_H524_2
13
       NSCLC_H522_2
                          SCLC_H69_1
                          SCLC_H82_1
14
    NSCLC_HCC4006_1
15
       NSCLC_H358_1
                          SCLC_H82_2
16
        NSCLC_PC9_1
                          SCLC_H69_2
        NSCLC_PC9_2
                         SCLC_N417_2
17
    NSCLC_HCC4006_2
18
                         SCLC_N417_1
                      SCLC_SW210-5_1
19
                 {\tt NaN}
20
                 {\tt NaN}
                      SCLC_SW210_5_2
```

#### 3.6 Hierarchical Clustering

Hierarchical clustering builds hierarchies of clusters based on a chosen metric and a linkage scheme. We used cosine distance and average linkage scheme.

```
[11]: hierarchical_cluster = adapml_clustering.Clustering(data.data, 'hierarchical', □ → nr_clusters)
hierarchical_cluster.getClusterResults(samples)
hierarchical_cluster.plot_dendrogram(samples)
```

	Cluster 1	Cluster 2
0	NSCLC_A549_1	SCLC_86M1_2
1	NSCLC_H1703_2	SCLC_86M1_1
2	NSCLC_H1703_1	SCLC_16HV_1
3	NSCLC_A549_2	SCLC_16HV_2
4	NSCLC_H1437_1	SCLC_DMS79_1
5	NSCLC_H2228_1	SCLC_DMS79_2
6	NSCLC_H2228_2	SCLC_H187_2
7	NSCLC_H1437_2	SCLC_H187_1
8	NSCLC_H3122_1	SCLC_H209_1
9	NSCLC_H322_2	SCLC_H524_1
10	NSCLC_H322_1	SCLC_H209_2
11	NSCLC_H358_2	SCLC_H524_2
12	NSCLC_H3122_2	SCLC_H69_1
13	NSCLC_H522_1	SCLC_H82_1
14	NSCLC_H522_2	SCLC_H82_2
15	NSCLC_HCC4006_1	SCLC_H69_2
16	NSCLC_H358_1	SCLC_N417_2
17	NSCLC_PC9_1	SCLC_N417_1
18	NSCLC_PC9_2	SCLC_SW210-5_1
19	NSCLC_HCC4006_2	SCLC_SW210_5_2

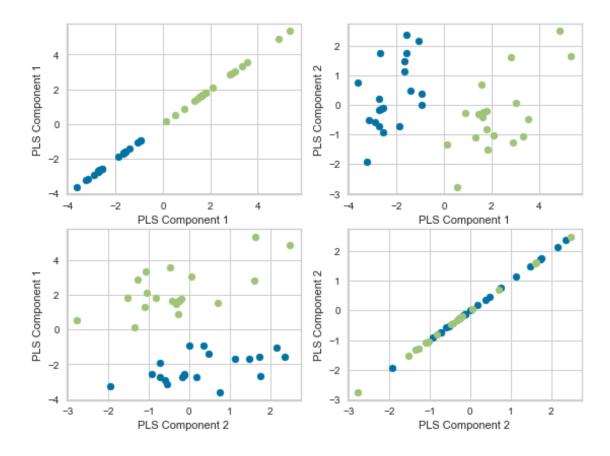


#### 4 Classification

Classification methods aim to classify the response of samples. The given data is separated into a training set and a testing set. The model parameters are found from the training set and the testing set is used to quantify the model accuracy. The methods are from sklearn package.

### 4.1 Partial Least Squares-Discriminant Analysis

```
[12]: def plotProjectionScatterMultiClass(pc, resp, num_var):
          plt.figure(figsize=(24, 18))
          for i in range(num_var):
              for j in range(num var):
                  plt.subplot(5,5,5*(i) + j + 1)
                  for c in range(resp.shape[1]):
                      inx = np.where(resp[:,c] == 1)[0]
                      tmp = pc[inx,:]
                      pc1 = tmp[:,i]
                      pc2 = tmp[:,j]
                      plt.scatter(pc1, pc2)
                  plt.xlabel("PLS Component "+str(i+1))
                  plt.ylabel("PLS Component "+str(j+1))
          plt.show()
      data = load_data.loadDataPandas(path_to_data)
      d = data.to_numpy()
      var_index = data.columns.values.tolist()
      resp = load_data.getResponseMatrix2D()
      norm_trans = pre.StandardScaler().fit(d)
      data_norm = norm_trans.transform(d)
      #data_norm, norm_trans = pre.mean_center(d)
      #In-built preprocessing method - TBD
      pls = PLS().fit(data_norm, resp)
      pls_trans = pls.transform(data_norm)
      plotProjectionScatterMultiClass(pls_trans, resp, 2)
```



# 4.2 Support Vector Machines

Classification via SVM is done by fitting a linear plane to the latent space but only considering a subset of inputs in the fitting process. The quantity  $R^2$  measures what percentage of variation was explained by the model in the training set. The quantity  $Q^2$  shows the same measurement but for the test data set.

```
data = adapml_data.DataImport(path_to_data)
svm = adapml_classification.Classification(data.data, response1D, 'svm', .75,
kfolds=3)
adapml_classification.print_model_stats(svm, "SVM")
```

SVM Validated Parameters: {'kernel': 'linear', 'shrinking': True} SVM:  $R^2=1.0 \ Q^2=1.0$ 

#### 4.3 Random Forest

Random forests is an ensemble classification method. It works by constructing multiple decision trees based on the training data and then choosing the class, chosen by the most number of decision trees. The quantity  $R^2$  measures what percentage of variation was explained by the model in the training set. The quantity  $Q^2$  shows the same measurement but for the test data set.

```
[14]: data = adapml_data.DataImport(path_to_data)
rnf = adapml_classification.Classification(data.data, response1D,

→ 'randomforest', .75, kfolds=3)

adapml_classification.print_model_stats(rnf, "RF")
```

Random Forest Validated Parameters: {'criterion': 'gini', 'n\_estimators': 10} RF:  $R^2=1.0 Q^2=1.0$ 

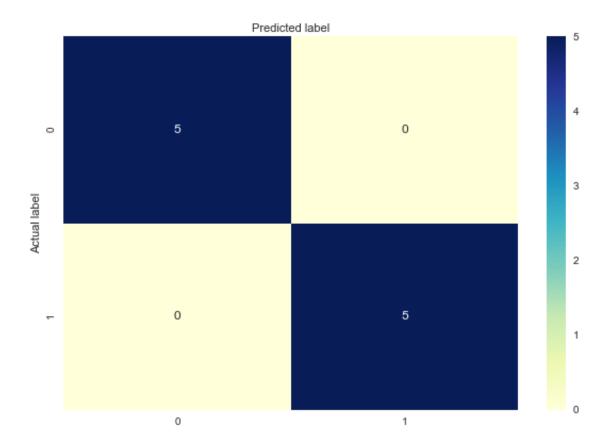
#### 4.4 Logistic Regression

Logistic regression uses a logistic function to model a binary dependent variable. The confusion matrix displays the accuracy of the model for the test data set. We use the packages sklearn for the logistic regression and seaborn for the confusion matrix.

Accuracy: 1.0

<modules.adapml\_classification.Classification object at 0x7fdc98db48b0>

#### Confusion matrix



# 5 Regression

# 5.1 Linear Regression

```
[16]: reg = adapml_regression.Regression(data.data, "linear")
reg.linear
```

