## report executed

August 26, 2021

#### 1 Statistics

In the statistics module we analyze data for different responses and at different spectral peak locations. We use Python package scipy in this module.

## 1.1 T-Test

T-test checks for difference in the mean between two sample from different responses. We assume the data is independent and follows the normality assumption. Let  $x_1, \ldots, x_n$  and  $y_1, \ldots, y_m$  be the two samples and we test whether the means are equal. The null hypothesis states means  $\mu_1$  and  $\mu_2$  are equal and the alternative hypothesis states they are not equal. If the p-value is lower than the chosen significance level, we can reject the null hypothesis, i.e. the samples do not have the same means.

```
[1]:
            import modules.adapml_data as adapml_data
            import modules.adapml_classification as adapml_classification
            import modules.adapml_clustering as adapml_clustering
            import modules.adapml_chemometrics as adapml_chemometrics
            import modules.adapml_statistics as adapml_statistics
            import modules.adapml_regression as adapml_regression
            import numpy as np
            import modules.loadTestData as load_data
            import sklearn.preprocessing as pre
            from sklearn.cross decomposition import PLSRegression as PLS
            from matplotlib import pyplot as plt
            from sklearn import cluster as clst
            from scipy.cluster.hierarchy import dendrogram
            import os
            reldir = os.getcwd()
            path_to_data = os.path.join(reldir, '..', 'data', __
      data = adapml_data.DataImport(path_to_data)
            response1D = data.resp
            #response1D = adapml_data.DataImport.getResponse(path_to_data)
```

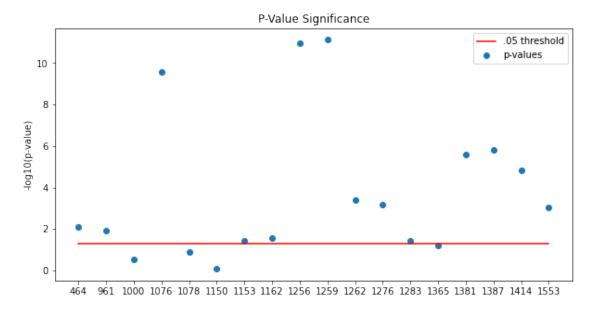
```
response2D = adapml_data.DataImport.getDummyResponse(response1D)

variables = data.getVariableNames()

samples = data.getSampleNames()

t_test = adapml_statistics.Statistics(data.data, 'anova', response1D)

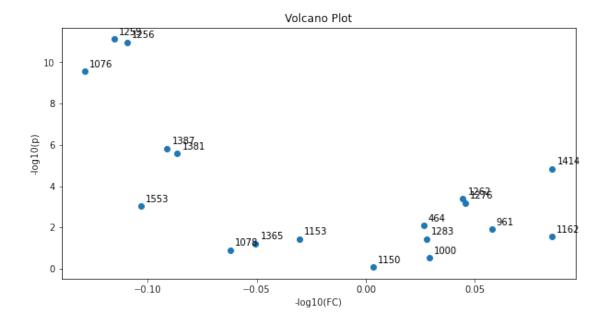
t_test.plot_logp_values(variables)
```



### 1.2 Volcano Plot

Volcano plot is a scatter plot which demonstrates magnitude between the responses and t-test significance of the data. We can choose a significance level and fold change limit to specify the rectangle of interest.

[2]: t\_test.plot\_volcano\_t(variables)



## 2 Dimension-Reduction

Dimension-reduction methods are used to condense high dimensional data down to dimensions which provide the most information. We have implemented the principal component analysis (PCA). It performs a change of basis and the new basis is chosen, such that the i-th principal component is orthogonal to the first i-1 principal components and the direction maximizes the variance of the projected data. We use the Python library sklearn.

#### 2.1 Principal Component Analysis

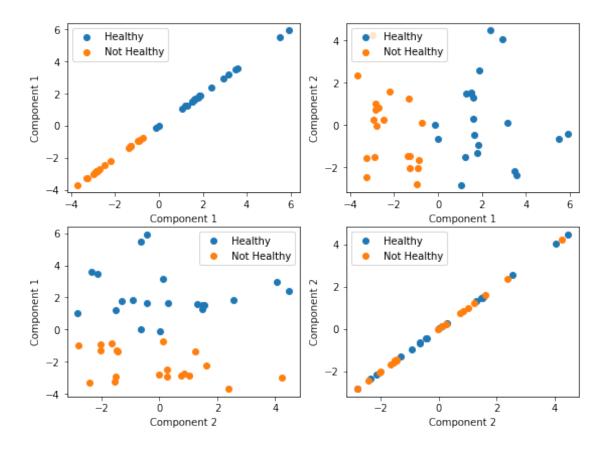
The principal component analysis (PCA) is one of the methods for dimension-reduction. It performs a change of basis and the new basis is chosen, such that the i-th principal component is orthogonal to the first i-1 principal components and the direction maximizes the variance of the projected data. Instead of considering all the dimensions, we pick the necessary number of principal components.

```
[3]: data.normalizeData("autoscale")

pca = adapml_chemometrics.Chemometrics(data.data, "pca", response1D)

print("PCA Projections");pca.plotProjectionScatterMultiClass(2, □ → labels=["Healthy", "Not Healthy"])
```

PCA Projections
Projections of data into latent space.
Data is colored by response



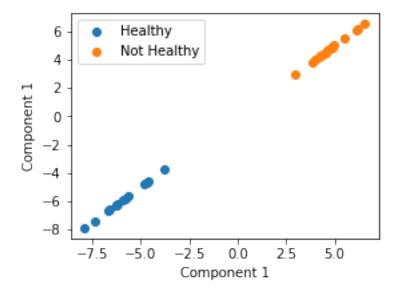
## 2.2 Linear Discriminant Analysis

Linear discriminant analysis is a classifier with a linear decision boundary. We assume normality and fit conditional densities  $p(x \mid y = 0)$  and  $p(x \mid y = 1)$  with mean and covariance parameters  $(\mu_0, \sigma_0)$  and  $(\mu_1, \sigma_1)$ , where  $x, \mu_0$  and  $\mu_1$  are vectors. Dimensionality-reduction is done by projecting the input to the most discriminative directions.

```
[4]: lda = adapml_chemometrics.Chemometrics(data.data, "lda", response1D) # Alsou → Predicts

print("LDA Projections");lda.plotProjectionScatterMultiClass(1, u → labels=["Healthy", "Not Healthy"])
```

LDA Projections
Projections of data into latent space.
Data is colored by response



# 3 Clustering

In this module we use various different clustering methods on spectra. We use the elbow method to find the optimal number of clusters. Clustering is done with scipy and sklearn libraries.

```
[5]: silhouette = adapml_clustering.Clustering(data.data, 'silhouette', 3)
nr_clusters = silhouette.clustnr
```

#### 3.1 K-Means Clustering

K-means clustering aims to partition the data into k sets and to minimize the Euclidian withincluster sum of squares (WCSS). It is solved by either Lloyd's or Elkan's algorithm and we use sklearn module in Python.

```
[6]: kmeans_cluster = adapml_clustering.Clustering(data.data, 'kmeans', nr_clusters) kmeans_cluster.getClusterResults(samples)
```

```
Cluster 1
                           Cluster 2
0
       SCLC_86M1_2
                        NSCLC_A549_1
1
                       NSCLC_H1703_2
       SCLC_86M1_1
2
       SCLC_16HV_1
                       NSCLC_H1703_1
                        NSCLC_A549_2
3
       SCLC_16HV_2
4
      SCLC_DMS79_1
                       NSCLC_H1437_1
5
      SCLC_DMS79_2
                       NSCLC_H2228_1
6
       SCLC_H187_2
                       NSCLC_H2228_2
7
       SCLC_H187_1
                       NSCLC_H1437_2
8
       SCLC_H209_1
                       NSCLC_H3122_1
9
       SCLC_H524_1
                        NSCLC_H322_2
10
       SCLC_H209_2
                        NSCLC_H322_1
```

```
11
       SCLC_H524_2
                        NSCLC_H358_2
12
        SCLC_H69_1
                       NSCLC_H3122_2
13
        SCLC_H82_1
                        NSCLC_H522_1
14
        SCLC_H82_2
                        NSCLC_H522_2
        SCLC H69 2
                    NSCLC HCC4006 1
15
16
       SCLC_N417_2
                        NSCLC_H358_1
                         NSCLC_PC9_1
17
       SCLC_N417_1
18
    SCLC_SW210-5_1
                         NSCLC_PC9_2
19
    SCLC_SW210_5_2
                    NSCLC_HCC4006_2
```

### 3.2 BIRCH Clustering

BIRCH (balance iterative reducing and clustering using hierarchies) is a hierarchical clustering method. The hierarchy is created based on the linear sum and the square sum of data points.

```
[7]: birch_cluster = adapml_clustering.Clustering(data.data, 'birch', nr_clusters) birch_cluster.getClusterResults(samples)
```

```
Cluster 1
                           Cluster 2
0
       NSCLC_A549_1
                         SCLC_86M1_2
      NSCLC_H1703_2
1
                         SCLC_86M1_1
2
      NSCLC_H1703_1
                         SCLC_16HV_1
3
       NSCLC_A549_2
                         SCLC_16HV_2
4
      NSCLC_H1437_1
                        SCLC_DMS79_1
5
      NSCLC_H2228_1
                        SCLC_DMS79_2
      NSCLC_H2228_2
                         SCLC_H187_2
6
7
      NSCLC_H1437_2
                         SCLC_H187_1
                         SCLC_H209_1
8
      NSCLC_H3122_1
9
       NSCLC_H322_2
                         SCLC_H524_1
                         SCLC_H209_2
10
       NSCLC_H322_1
       NSCLC H358 2
                         SCLC H524 2
11
      NSCLC H3122 2
                          SCLC_H69_1
12
       NSCLC H522 1
                          SCLC_H82_1
13
14
       NSCLC_H522_2
                          SCLC_H82_2
15
    NSCLC_HCC4006_1
                          SCLC_H69_2
16
       NSCLC_H358_1
                         SCLC_N417_2
17
        NSCLC_PC9_1
                         SCLC_N417_1
18
        NSCLC PC9 2
                      SCLC_SW210-5_1
    NSCLC_HCC4006_2
                      SCLC_SW210_5_2
19
```

#### 3.3 DBSCAN Clustering

DBSCAN is a non-parametric density-based clustering algorithm. It clusters together nearby neighbors, marking further away points as outliers, as they are in the low density area.

```
[8]: dbscan_cluster = adapml_clustering.Clustering(data.data, 'dbscan', nr_clusters) dbscan_cluster.getClusterResults(samples)
```

	Cluster 1	Cluster 2
0	NSCLC_A549_1	
1	NSCLC_A549_2	
2	NSCLC_H1437_1	NaN
3	NSCLC_H2228_1	NaN
4	NSCLC_H2228_2	NaN
5	NSCLC_H1437_2	NaN
6	NSCLC_H3122_1	NaN
7	NSCLC_H322_2	NaN
8	NSCLC_H322_1	NaN
9	NSCLC_H358_2	NaN
10	NSCLC_H3122_2	NaN
11	NSCLC_H522_1	NaN
12	NSCLC_H522_2	NaN
13	NSCLC_HCC4006_1	NaN
14	NSCLC_H358_1	NaN
15	NSCLC_PC9_1	NaN
16	NSCLC_PC9_2	NaN
17	NSCLC_HCC4006_2	NaN
18	SCLC_86M1_2	NaN
19	SCLC_86M1_1	NaN
20	SCLC_16HV_1	NaN
21	SCLC_16HV_2	NaN
22	SCLC_DMS79_1	NaN
23	SCLC_DMS79_2	NaN
24	SCLC_H187_2	NaN
25	SCLC_H187_1	NaN
26	SCLC_H209_1	NaN
27	SCLC_H524_1	NaN
28	SCLC_H209_2	NaN
29	SCLC_H524_2	NaN
30	SCLC_H69_1	NaN
31	SCLC_H82_1	NaN
32	SCLC_H82_2	NaN
33	SCLC_H69_2	NaN
34	SCLC_N417_2	NaN
35	SCLC_N417_1	NaN
36	SCLC_SW210-5_1	NaN
37	SCLC_SW210_5_2	NaN

## 3.4 Mean Shift Clustering

The mean shift algorithm is a nonparametric clustering technique which does not require prior knowledge of the number of clusters, and does not constrain the shape of the clusters. It works by starting at data points and iteratevely finding the convergence points for kernel estimate gradient.

[9]:

```
Cluster 1
                            Cluster 2
0
        NSCLC_A549_1
                        NSCLC_H1703_2
1
        NSCLC_A549_2
                        NSCLC_H1703_1
2
      NSCLC_H1437_1
                                   NaN
3
      NSCLC_H2228_1
                                   NaN
4
      NSCLC_H2228_2
                                   NaN
5
      NSCLC_H1437_2
                                   NaN
6
      NSCLC_H3122_1
                                   NaN
7
        NSCLC_H322_2
                                   NaN
       NSCLC_H322_1
8
                                   NaN
9
        NSCLC_H358_2
                                   NaN
10
      NSCLC_H3122_2
                                   NaN
11
        NSCLC_H522_1
                                   NaN
12
        NSCLC_H522_2
                                   {\tt NaN}
13
    NSCLC_HCC4006_1
                                   {\tt NaN}
14
        NSCLC_H358_1
                                   NaN
15
         NSCLC_PC9_1
                                   NaN
16
         NSCLC_PC9_2
                                   NaN
17
    NSCLC_HCC4006_2
                                   NaN
18
         SCLC_86M1_2
                                   NaN
19
         SCLC_86M1_1
                                   NaN
20
         SCLC_16HV_1
                                   NaN
21
         SCLC_16HV_2
                                   NaN
22
        SCLC_DMS79_1
                                   {\tt NaN}
23
        SCLC_DMS79_2
                                   NaN
24
         SCLC_H187_2
                                   NaN
25
         SCLC_H187_1
                                   NaN
26
         SCLC_H209_1
                                   {\tt NaN}
27
         SCLC_H524_1
                                   NaN
28
         SCLC_H209_2
                                   {\tt NaN}
29
         SCLC_H524_2
                                   NaN
          SCLC_H69_1
30
                                   NaN
31
          SCLC_H82_1
                                   NaN
32
          SCLC_H82_2
                                   NaN
33
          SCLC_H69_2
                                   NaN
34
         SCLC_N417_2
                                   NaN
35
         SCLC_N417_1
                                   NaN
36
     SCLC_SW210-5_1
                                   NaN
37
     SCLC_SW210_5_2
                                   {\tt NaN}
```

#### 3.5 Gaussian Mixture Clustering

Gaussian mixture models (GMMs) cluster the data by fitting a mixture of Gaussian models to the data and clustering together data points with similar parameter estimates. It's closely related to

k-means clustering but allows for less restrictive cluster shapes. K-means fits a multi-dimensional ball as the perimeter, but GMMs can also fit ellipsoidal shapes and other shapes.

```
[10]: gaussian_cluster = adapml_clustering.Clustering(data.data, 'gaussian',⊔

→nr_clusters)
gaussian_cluster.getClusterResults(samples)
```

```
Cluster 1
                           Cluster 2
0
       NSCLC_A549_1
                        NSCLC_H522_1
1
      NSCLC_H1703_2
                        NSCLC_H522_2
2
      NSCLC_H1703_1
                         SCLC_86M1_2
       NSCLC_A549_2
3
                         SCLC_86M1_1
4
      NSCLC_H1437_1
                         SCLC_16HV_1
5
      NSCLC_H2228_1
                         SCLC 16HV 2
6
      NSCLC_H2228_2
                        SCLC_DMS79_1
7
      NSCLC H1437 2
                        SCLC DMS79 2
      NSCLC_H3122_1
                         SCLC_H187_2
8
9
       NSCLC H322 2
                         SCLC H187 1
10
       NSCLC_H322_1
                         SCLC_H209_1
11
       NSCLC_H358_2
                         SCLC_H524_1
      NSCLC_H3122_2
12
                         SCLC_H209_2
    NSCLC_HCC4006_1
                         SCLC_H524_2
13
14
       NSCLC_H358_1
                          SCLC_H69_1
15
        NSCLC_PC9_1
                          SCLC_H82_1
16
        NSCLC_PC9_2
                          SCLC_H82_2
    NSCLC_HCC4006_2
                          SCLC_H69_2
17
18
                 NaN
                         SCLC_N417_2
19
                 NaN
                         SCLC_N417_1
20
                      SCLC_SW210-5_1
                 NaN
                      SCLC_SW210_5_2
21
                 NaN
```

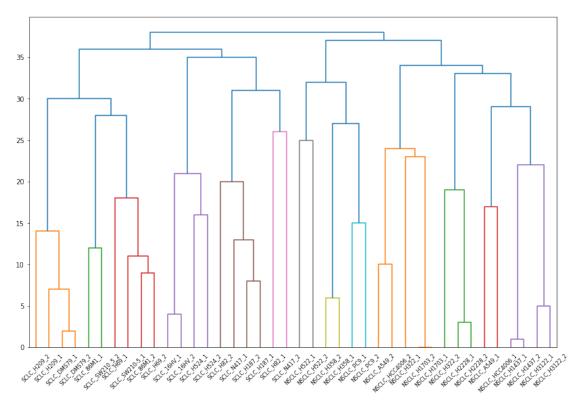
### 3.6 Hierarchical Clustering

Hierarchical clustering builds hierarchies of clusters based on a chosen metric and a linkage scheme. We used cosine distance and average linkage scheme.

```
[11]: hierarchical_cluster = adapml_clustering.Clustering(data.data, 'hierarchical', □ → nr_clusters)
hierarchical_cluster.getClusterResults(samples)
hierarchical_cluster.plot_dendrogram(samples)
```

```
Cluster 2
          Cluster 1
0
       NSCLC_A549_1
                         SCLC_86M1_2
1
      NSCLC_H1703_2
                         SCLC_86M1_1
2
      NSCLC_H1703_1
                         SCLC_16HV_1
3
       NSCLC_A549_2
                         SCLC_16HV_2
4
      NSCLC_H1437_1
                        SCLC_DMS79_1
5
      NSCLC_H2228_1
                        SCLC_DMS79_2
6
      NSCLC_H2228_2
                         SCLC_H187_2
```

```
7
      NSCLC_H1437_2
                         SCLC_H187_1
8
      NSCLC_H3122_1
                         SCLC_H209_1
9
       NSCLC_H322_2
                         SCLC_H524_1
       NSCLC_H322_1
                         SCLC_H209_2
10
       NSCLC_H358_2
                         SCLC_H524_2
11
12
      NSCLC_H3122_2
                          SCLC_H69_1
13
       NSCLC_H522_1
                          SCLC_H82_1
14
       NSCLC_H522_2
                          SCLC_H82_2
    NSCLC_HCC4006_1
                          SCLC_H69_2
15
16
       NSCLC_H358_1
                         SCLC_N417_2
17
        NSCLC_PC9_1
                         SCLC_N417_1
        NSCLC_PC9_2
18
                      SCLC_SW210-5_1
19
    NSCLC_HCC4006_2
                      SCLC_SW210_5_2
```

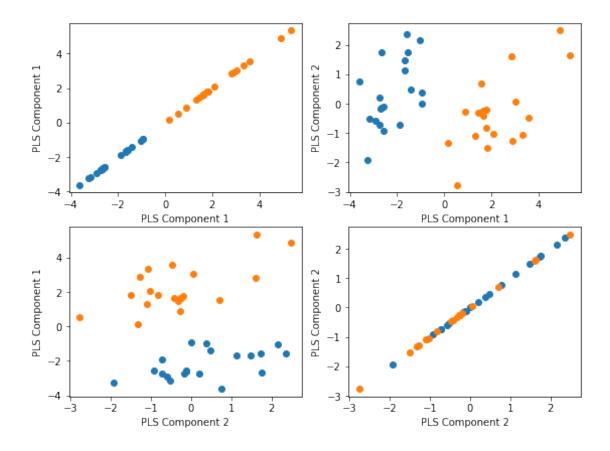


## 4 Classification

Classification methods aim to classify the response of samples. The given data is separated into a training set and a testing set. The model parameters are found from the training set and the testing set is used to quantify the model accuracy. The methods are from sklearn package.

## 4.1 Partial Least Squares-Discriminant Analysis

```
[12]: def plotProjectionScatterMultiClass(pc, resp, num_var):
          plt.figure(figsize=(24, 18))
          for i in range(num_var):
              for j in range(num_var):
                  plt.subplot(5,5,5*(i) + j + 1)
                  for c in range(resp.shape[1]):
                      inx = np.where(resp[:,c] == 1)[0]
                      tmp = pc[inx,:]
                      pc1 = tmp[:,i]
                      pc2 = tmp[:,j]
                      plt.scatter(pc1, pc2)
                  plt.xlabel("PLS Component "+str(i+1))
                  plt.ylabel("PLS Component "+str(j+1))
          plt.show()
      data = load_data.loadDataPandas(path_to_data)
      d = data.to_numpy()
      var_index = data.columns.values.tolist()
      resp = load_data.getResponseMatrix2D()
      norm_trans = pre.StandardScaler().fit(d)
      data_norm = norm_trans.transform(d)
      #data_norm, norm_trans = pre.mean_center(d)
      #In-built preprocessing method - TBD
      pls = PLS().fit(data_norm, resp)
      pls_trans = pls.transform(data_norm)
      plotProjectionScatterMultiClass(pls_trans, resp, 2)
```



### 4.2 Support Vector Machines

Classification via SVM is done by fitting a linear plane to the latent space but only considering a subset of inputs in the fitting process. The quantity  $R^2$  measures what percentage of variation was explained by the model in the training set. The quantity  $Q^2$  shows the same measurement but for the test data set.

SVM Validated Parameters: {'kernel': 'linear', 'shrinking': True} SVM: R^2=1.0 Q^2=1.0

#### 4.3 Random Forest

Random forests is an ensemble classification method. It works by constructing multiple decision trees based on the training data and then choosing the class, chosen by the most number of decision trees. The quantity  $R^2$  measures what percentage of variation was explained by the model in the training set. The quantity  $Q^2$  shows the same measurement but for the test data set.

```
[14]: data = adapml_data.DataImport(path_to_data)
rnf = adapml_classification.Classification(data.data, response1D,

→ 'randomforest', .75, kfolds=3)

adapml_classification.print_model_stats(rnf, "RF")
```

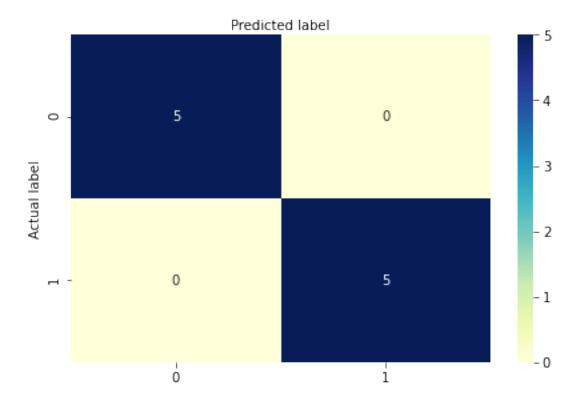
Random Forest Validated Parameters: {'criterion': 'gini', 'n\_estimators': 50} RF:  $R^2=1.0 Q^2=1.0$ 

## 4.4 Logistic Regression

Logistic regression uses a logistic function to model a binary dependent variable. The confusion matrix displays the accuracy of the model for the test data set. We use the packages sklearn for the logistic regression and seaborn for the confusion matrix.

Accuracy: 1.0 <modules.adapml\_classification.Classification object at 0x7ff53b8d3ee0>

## Confusion matrix

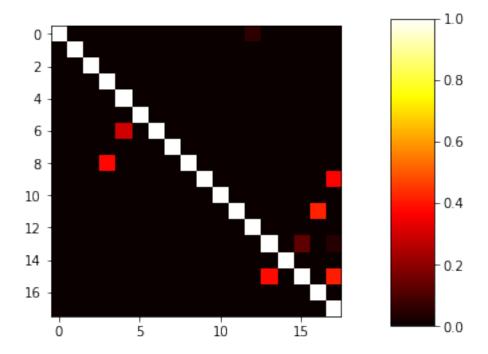


# 5 Regression

## 5.1 Linear Regression

Linear regression fits a linear plane between the dependant variables and the response. The linear plane models the relationship between them and allows for prediction or explain variation.

```
[16]: reg = adapml_regression.Regression(data.data, "linear", 0.25)
reg.linear
reg.DisplaySampleNames(data.getSampleNames())
```



```
R2 score between NSCLC_A549_2 and NSCLC_H3122_1 is 0.37739250415156134
R2 score between NSCLC_H1437_1 and NSCLC_H2228_2 is 0.29329760536722105
R2 score between NSCLC_H522_1 and NSCLC_HCC4006_1 is 0.38171401707539343
R2 score between NSCLC_H358_1 and NSCLC_H358_2 is 0.4156127581178537
R2 score between NSCLC_PC9_1 and NSCLC_H322_2 is 0.3677940179661904
R2 score between NSCLC_PC9_1 and NSCLC_HCC4006_1 is 0.40681273290339537
```