### report executed

August 5, 2021

#### 1 Statistics

In the statistics module we analyze data for different responses and at different spectral peak locations. We use Python package scipy in this module.

### 1.1 T-Test

T-test checks for difference in the mean between two sample from different responses. We assume the data is independent and follows the normality assumption. Let  $x_1, \ldots, x_n$  and  $y_1, \ldots, y_m$  be the two samples and we test whether the means are equal. The null hypothesis states means  $\mu_1$  and  $\mu_2$  are equal and the alternative hypothesis states they are not equal. If the p-value is lower than the chosen significance level, we can reject the null hypothesis, i.e. the samples do not have the same means.

```
[1]:
            import modules.adapml_data as adapml_data
            import modules.adapml_classification as adapml_classification
            import modules.adapml_clustering as adapml_clustering
            import modules.adapml_chemometrics as adapml_chemometrics
            import modules.adapml_statistics as adapml_statistics
            import modules.adapml_regression as adapml_regression
            import numpy as np
            import modules.loadTestData as load_data
            import sklearn.preprocessing as pre
            from sklearn.cross decomposition import PLSRegression as PLS
            from matplotlib import pyplot as plt
            from sklearn import cluster as clst
            from scipy.cluster.hierarchy import dendrogram
            import os
            reldir = os.getcwd()
            path_to_data = os.path.join(reldir, '..', 'data', __
      data = adapml_data.DataImport(path_to_data)
            response1D = data.resp
            #response1D = adapml_data.DataImport.getResponse(path_to_data)
```

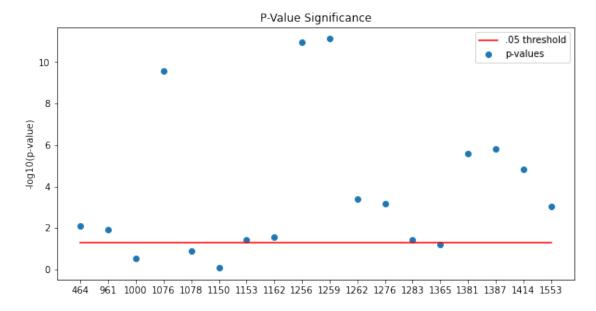
```
response2D = adapml_data.DataImport.getDummyResponse(response1D)

variables = data.getVariableNames()

samples = data.getSampleNames()

t_test = adapml_statistics.Statistics(data.data, 'anova', response1D)

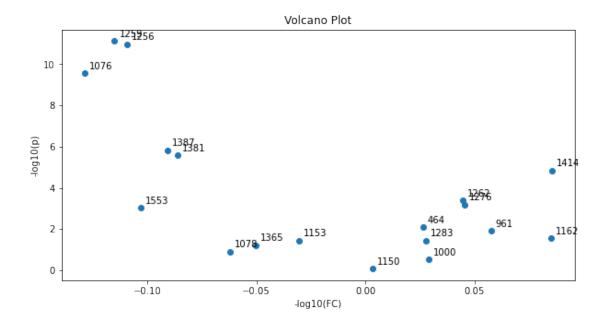
t_test.plot_logp_values(variables)
```



### 1.2 Volcano Plot

Volcano plot is a scatter plot which demonstrates magnitude between the responses and t-test significance of the data. We can choose a significance level and fold change limit to specify the rectangle of interest.

[2]: t\_test.plot\_volcano\_t(variables)



### 2 Dimension-Reduction

Dimension-reduction methods are used to condense high dimensional data down to dimensions which provide the most information. We have implemented the principal component analysis (PCA). It performs a change of basis and the new basis is chosen, such that the i-th principal component is orthogonal to the first i-1 principal components and the direction maximizes the variance of the projected data. We use the Python library sklearn.

### 2.1 Principal Component Analysis

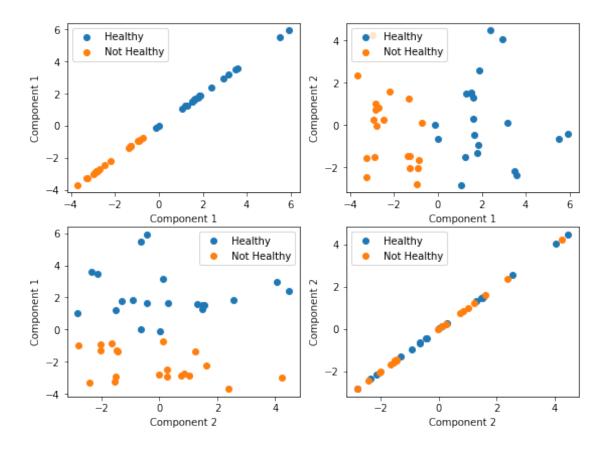
The principal component analysis (PCA) is one of the methods for dimension-reduction. It performs a change of basis and the new basis is chosen, such that the i-th principal component is orthogonal to the first i-1 principal components and the direction maximizes the variance of the projected data. Instead of considering all the dimensions, we pick the necessary number of principal components.

```
[3]: data.normalizeData("autoscale")

pca = adapml_chemometrics.Chemometrics(data.data, "pca", response1D)

print("PCA Projections");pca.plotProjectionScatterMultiClass(2, □ → labels=["Healthy", "Not Healthy"])
```

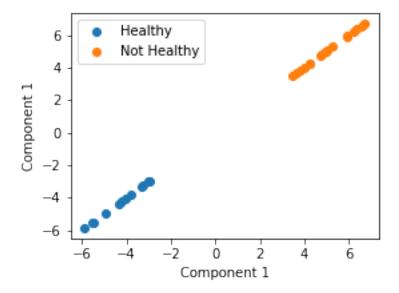
PCA Projections
Projections of data into latent space.
Data is colored by response



### 2.2 Linear Discriminant Analysis

Linear discriminant analysis is a classifier with a linear decision boundary. We assume normality and fit conditional densities  $p(x \mid y = 0)$  and  $p(x \mid y = 1)$  with mean and covariance parameters  $(\mu_0, \sigma_0)$  and  $(\mu_1, \sigma_1)$ , where  $x, \mu_0$  and  $\mu_1$  are vectors. Dimensionality-reduction is done by projecting the input to the most discriminative directions.

LDA Projections
Projections of data into latent space.
Data is colored by response



# 3 Clustering

In this module we use various different clustering methods on spectra. Clustering is done with scipy and sklearn libraries.

### 3.1 K-Means Clustering

K-means clustering aims to partition the data into k sets and to minimize the Euclidian withincluster sum of squares (WCSS)

$$WCSS = \sum_{i=1}^{k} \sum_{x_j \in C_i} ||x_j - \mu_i||_2^2$$

where  $x_1, \ldots, x_n$  is the data and  $\mu_i$  is the centroid of  $C_i$  cluster. It is solved by either Lloyd's or Elkan's algorithm and we use sklearn module in Python.

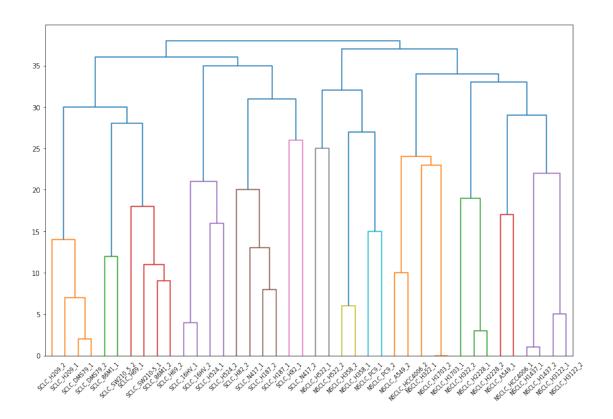
	Cluster 1	Cluster 2	Cluster 3
0	NSCLC_H522_1	NSCLC_H1703_2	NSCLC_A549_1
1	SCLC_86M1_2	NSCLC_H1703_1	NSCLC_A549_2
2	SCLC_86M1_1	NSCLC_H358_2	NSCLC_H1437_1
3	SCLC_16HV_1	NSCLC_H522_2	NSCLC_H2228_1
4	SCLC_16HV_2	NSCLC_H358_1	NSCLC_H2228_2
5	SCLC_DMS79_1	NSCLC_PC9_1	NSCLC_H1437_2
6	SCLC_DMS79_2	NSCLC_PC9_2	NSCLC_H3122_1
7	SCLC_H187_2	NaN	NSCLC_H322_2
8	SCLC_H187_1	NaN	NSCLC_H322_1

9	SCLC_H209_1	NaN	NSCLC_H3122_2
10	SCLC_H524_1	NaN	NSCLC_HCC4006_1
11	SCLC_H209_2	NaN	NSCLC_HCC4006_2
12	SCLC_H524_2	NaN	NaN
13	SCLC_H69_1	NaN	NaN
14	SCLC_H82_1	NaN	NaN
15	SCLC_H82_2	NaN	NaN
16	SCLC_H69_2	NaN	NaN
17	SCLC_N417_2	NaN	NaN
18	SCLC_N417_1	NaN	NaN
19	SCLC_SW210-5_1	NaN	NaN
20	SCLC_SW210_5_2	NaN	NaN

### 3.2 Hierarchical Clustering

Hierarchical clustering builds hierarchies of clusters based on a chosen metric and a linkage scheme. We used cosine distance and average linkage scheme.

	Cluster 1	Cluster 2	Cluster 3
0	SCLC_86M1_2	NSCLC_A549_1	NSCLC_H358_2
1	SCLC_86M1_1	NSCLC_H1703_2	NSCLC_H522_1
2	SCLC_16HV_1	NSCLC_H1703_1	NSCLC_H522_2
3	SCLC_16HV_2	NSCLC_A549_2	NSCLC_H358_1
4	SCLC_DMS79_1	NSCLC_H1437_1	NSCLC_PC9_1
5	SCLC_DMS79_2	NSCLC_H2228_1	NSCLC_PC9_2
6	SCLC_H187_2	NSCLC_H2228_2	NaN
7	SCLC_H187_1	NSCLC_H1437_2	NaN
8	SCLC_H209_1	NSCLC_H3122_1	NaN
9	SCLC_H524_1	NSCLC_H322_2	NaN
10	SCLC_H209_2	NSCLC_H322_1	NaN
11	SCLC_H524_2	NSCLC_H3122_2	NaN
12	SCLC_H69_1	NSCLC_HCC4006_1	NaN
13	SCLC_H82_1	NSCLC_HCC4006_2	NaN
14	SCLC_H82_2	NaN	NaN
15	SCLC_H69_2	NaN	NaN
16	SCLC_N417_2	NaN	NaN
17	SCLC_N417_1	NaN	NaN
18	SCLC_SW210-5_1	NaN	NaN
19	SCLC_SW210_5_2	NaN	NaN



### 4 Classification

Classification methods aim to classify the response of samples. The given data is separated into a training set and a testing set. The model parameters are found from the training set and the testing set is used to quantify the model accuracy. The methods are from sklearn package.

### 4.1 Partial Least Squares-Discriminant Analysis

```
[7]: def plotProjectionScatterMultiClass(pc, resp, num_var):
    plt.figure(figsize=(24, 18))

for i in range(num_var):
    for j in range(num_var):
        plt.subplot(5,5,5*(i) + j + 1)
        for c in range(resp.shape[1]):
            inx = np.where(resp[:,c] == 1)[0]
            tmp = pc[inx,:]
            pc1 = tmp[:,i]
            pc2 = tmp[:,j]
            plt.scatter(pc1, pc2)
            plt.xlabel("PLS Component "+str(i+1))
            plt.ylabel("PLS Component "+str(j+1))
```

```
plt.show()

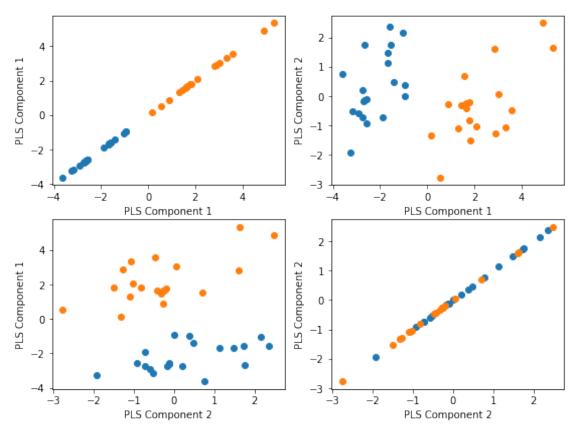
data = load_data.loadDataPandas(path_to_data)
d = data.to_numpy()
var_index = data.columns.values.tolist()

resp = load_data.getResponseMatrix2D()

norm_trans = pre.StandardScaler().fit(d)
data_norm = norm_trans.transform(d)
#data_norm, norm_trans = pre.mean_center(d)
#In-built preprocessing method - TBD

pls = PLS().fit(data_norm, resp)
pls_trans = pls.transform(data_norm)

plotProjectionScatterMultiClass(pls_trans, resp, 2)
```



### 4.2 Support Vector Machines

Classification via SVM is done by fitting a linear plane to the latent space but only considering a subset of inputs in the fitting process. The quantity  $R^2$  measures what percentage of variation was explained by the model in the training set. The quantity  $Q^2$  shows the same measurement but for the test data set.

```
[8]: data = adapml_data.DataImport(path_to_data)
svm = adapml_classification.Classification(data.data, response1D, 'svm', .75,

→kfolds=3)

adapml_classification.print_model_stats(svm, "SVM")
```

```
SVM Validated Parameters: {'kernel': 'linear', 'shrinking': True} SVM: R^2=1.0 Q^2=1.0
```

### 4.3 Random Forest

Random forests is an ensemble classification method. It works by constructing multiple decision trees based on the training data and then choosing the class, chosen by the most number of decision trees. The quantity  $R^2$  measures what percentage of variation was explained by the model in the training set. The quantity  $Q^2$  shows the same measurement but for the test data set.

```
[9]: data = adapml_data.DataImport(path_to_data)
rnf = adapml_classification.Classification(data.data, response1D,

→ 'randomforest', .75, kfolds=3)

adapml_classification.print_model_stats(rnf, "RF")
```

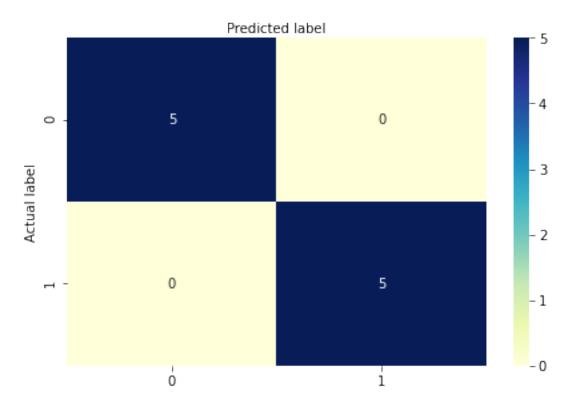
```
Random Forest Validated Parameters: {'criterion': 'gini', 'n_estimators': 50} RF: R^2=1.0 Q^2=1.0
```

### 4.4 Logistic Regression

Logistic regression uses a logistic function to model a binary dependent variable. The confusion matrix displays the accuracy of the model for the test data set. We use the packages sklearn for the logistic regression and seaborn for the confusion matrix.

Accuracy: 1.0 <modules.adapml\_classification.Classification object at 0x7fa538e07730>

# Confusion matrix



# 5 Regression

## 5.1 Linear Regression

```
[11]: reg = adapml_regression.Regression(data.data, "linear")
reg.linear
```

