
Enhancing HiC data resolution with convolutional neural networks

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1 Abstract

2 Introduction

The cell of a eukaryotic species forms a multi-granularity genome structure in order to compactly store a very long genomic DNA sequence in its small nucleus. A **nucleotide** is the building block of DNA. There are 4 types of nucleotides: C, G, A and T. Each pair of nucleotides in the DNA are called a **base**. A kilo-base is a group of 1000 bases. thousands of bases join together to form **gene loci**. A number of loci then fold into a large independent physical structure called **chromosome** (Wang et al. (2013)).

Study of spatial conformation of chromosomes is of high importance in the field of (computational) biology. Although all cell is a living being have the same sequence of genes, it is the 3D positioning of these genes in space that determines how the cell functions. Roughly said, if two genes are close to each other in space, they can interact with each other in order to create a certain protein that regulates a certain task. Thus, being able to study this 3D configuration can help unravel mysteries of cell functioning. However, this spatial organization of chromosomes can not be observed through traditional microscopy. As an alternative, high-throughput chromosome conformation capture (Hi-C) has emerged as a powerful method for studying the 3D organization of chromosomes in space. The HiC method, which was developed by Lieberman-Aiden et al. (2009), captures interactions between chromosomal fragments. In this method, a chromosome is divided into very small equally sized sections called *loci* which is composed of 1K to 1M bases. this method then measures all pair-wise interaction frequencies across all chromosomes. In the past years, Hi-C method has led to some exciting discoveries about the topology of chromosomes such as presence of *chromatin loops*. Hi-C data are usually provided as a $N \times N$ heatmap or *contact matrix* where N is the number of loci in the genome. Each cell in the heatmap indicates the number of *interactions* found between a pair of loci corresponding to the rows and columns. ‘Resolution’ of a Hi-C data is the size of the loci the genome is divided into. As mentioned above resolution can range from 1 kb to 1 Mb. *sequencing depth* is the most important factor that determines the resolution of data. A higher sequencing depth results in capturing interactions between smaller loci, thus improving the resolution of the data. the sequencing process is costly and linear increase of resolution requires quadratic increase of sequencing reads. thus, most of the Hi-C data available have low resolutions.

Number	Name	Filter size	Filter Numbers	Strides	Output Shape
0	input	-	-	-	$1 \times 40 \times 40$
1	conv2d1	9	8	1	$8 \times 32 \times 32$
2	conv2d2	1	8	1	$8 \times 32 \times 32$
3	conv2d3	5	1	1	$1 \times 28 \times 28$
4	output_layer	-	-	-	1×784

Table 1: Description the CNN layers used in our project. The model is composed of three convolutional layers. The input is of shape $1 \times 40 \times 40$ and the output has a shape of 1×784 . There are not deeply connected layers in the model.

Therefore, it is required that a computational method be developed to improve the resolution of currently available Hi-C data and generate Hi-C contact matrices of higher contrast. Recently, deep learning especially Convolutional Neural Network has emerged as a successful method in several applications such as computational epigenomics. It has been successfully used to predict DNA methylation or gene expression patterns.

3 The Model

In this project, we are building upon HiCPlus, a model proposed in Zhang et al. (2018), which uses CNNs to predict a high resolution contact matrix from a down-sampled matrix.

In this project, however, we used HiCPlus model to enhance the contrast of our low resolution data by training on a high resolution data.

In our research, we have Hi-C data of 4 cell lines. One of which is sequenced from a normal cell line and the other three sequenced from cells afflicted with three different malignancies. Our purpose is to compare them in terms of spatial structure and find whether there is any difference in their 3D conformation or not. All 4 data that we have are sequenced with low depth, resulting in relatively low resolution. Therefore, we used the HiCPlus model in Zhang et al. (2018) to enhance the contrast of our data. We also have access to a high-resolution data with much higher resolution which is sequenced from exactly the same cell as the normal low-resolution data that we have. Our purpose to train the model by using the low- and high-resolution data of normal cell and then apply it on the other three cells in order to improve their contrast.

3.1 Overview of HiCPlus framework

The inputs to the model are a low-resolution and a high-resolution data from the same cell line. In our project we used GM06990 for low-resolution and GM12878 for high-resolution data. The two data are sequenced from the same cell lines with the difference that the former data covers 979.4M bases while the latter covers 85.1G bases, that is, the resolution of GM12878 data is roughly 87 times higher than the GM06990 data. We then fit the ConvNet model using values at each position in the high-resolution matrix as the response variable and using its neighbouring points from the low-resolution matrix as the predictors. The authors of Zhang et al. (2018) propose a neighborhood of size 40×40 as the neighborhood that yields best results. Thus in order to prepare the data, we first divided both low- and high-resolution contact matrices into patches of size 40×40 . The model consists of 3 convolutional layers. The design of the model is described in table 1 and illustrated in figure 1.

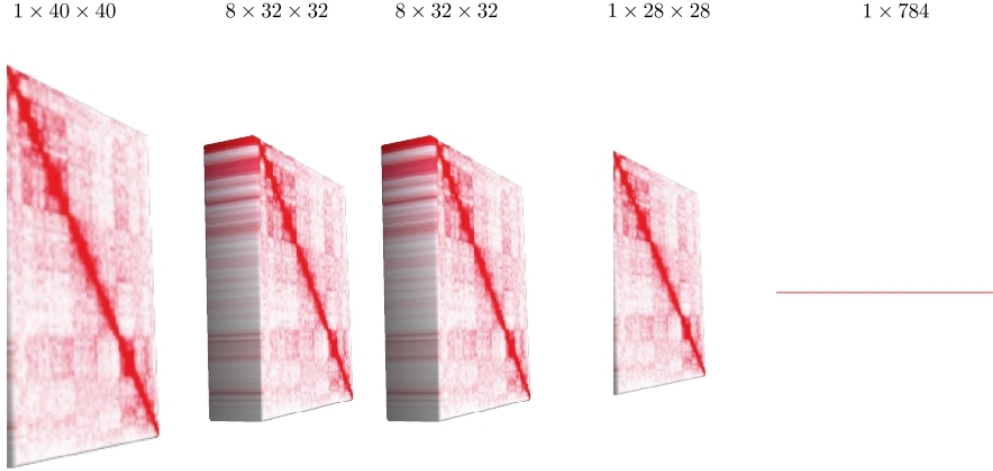


Figure 1: Illustration of the CNN layers used in our project. The model is composed of three convolutional layers. The input is of shape $1 \times 40 \times 40$ and the output has a shape of 1×784 . There are not deeply connected layers in the model.

3.2 Loss Function

We used mean square of differences as the loss function. As can be seen in table 1 and 1, the output of the model has a shape of 1×784 . In order to calculate loss function, the model picks the middle 28 rows and columns of the corresponding high-resolution patch and flattens it. It then calculates the mean square of differences between the output of the model and the high-resolution sub-patch. The loss function is formulated as follows:

$$\mathbb{L} = \frac{1}{784} \sum_{i=1}^{784} \hat{y}_i - y_i \quad (1)$$

where \hat{y} denotes the output of the model and y denotes the actual high-resolution sub-patch.

4 Results

Platforms: The original model was developed in theano and used package lasagne for model development. We implemented our model in tensorflow. Our results can be found in the following git repository:

<https://github.com/rasoolianbehnam/watson/tree/master/HiCPlus>

We trained the model using low-resolution GM06990 and high-resolution GM12878 data. We then used the other three data that we have (corresponding to three cancerous cells) as input to the model to improve contrast.

5 Strengths and Weaknesses and Future Work

This method can be considered as a noise reduction method for Hi-C contact matrices. For example Balance Network Deconvolution, proposed by Feizi et al. (2013) assumes that the observed graph G_{obs} is a summation of its direct graph G_{dir} and some indirect terms as follows:

$$G_{obs} = G_{dir} + G_{dir}^2 + G_{dir} + \dots \quad (2)$$

Just as all currently available normalization and noise reduction approaches this method also relies on assumptions. The major assumption of this approach is that CNNs can predict values in high-resolution matrix from the surrounding neighborhood in low-resolution matrix. The difference of this

assumption from other approaches is that it is easier to put the assumption to statistical tests. Authors of Zhang et al. (2018) came to the conclusion that the assumption holds true for a down-sampled version of high-resolution data. Whether this is the case for an independent low-resolution data remains to be investigated in future works.

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