



Eötvös Loránd University  
Faculty of Informatics

# PROGRAMMING

2019/20 1<sup>st</sup> semester

## Lecture 10



ZS. PLUHÁR, H. TORMA



# Content

- › Program transformations
- › Sum in a submatrix
- › Sorting





# Program transformations



Eötvös Loránd University  
Faculty of Informatics

ZS. PLUHÁR, H. TORMA

# Program transformation

**Program transformation:** all operation, that takes an algorithm (or computer program) and generates an other algorithm (program) semantically equivalent to the original.

Main aims:

- › Increase effectiveness;
- › Simplify;
- › Make it more feasible.

# Program transformation – simplifying, increase effectiveness

Task: the farthest point from the origin...

```
Max:=1; MaxVal:=sqrt(p[1].x2+p[1].y2)
```

```
i=2..N
```

```
  sqrt(p[i].x2+p[i].y2) > MaxVal
```

```
    Max:=i
```

```
    MaxVal:=sqrt(p[i].x2+p[i].y2)
```

The **square root** is a monotonous function – it's not needed to define the maximum.

# Program transformation – simplifying, increase effectiveness

Task: the farthest point from the origin...

```
Max:=1; MaxVal:= $p[1].x^2+p[1].y^2$ 
```

```
i=2..N
```

```
T  $p[i].x^2+p[i].y^2 > MaxVal$  F
```

```
Max:=i
```

```
MaxVal:= $p[i].x^2+p[i].y^2$ 
```

Here, we calculate the **same** expression several times.

# Program transformation – to omit recalculation

Task: the farthest point from the origin...

```
Max:=1; MaxVal:=p[1].x2+p[1].y2
```

```
i=2..N
```

```
distance:=p[i].x2+p[i].y2
```

```
distance>MaxÉrt
```

T

F

```
Max:=i
```

```
—
```

```
MaxVal:=distance
```

# Program transformation – expanding parallel value assignment

```
a , b , c := f (x) , g (x) , h (x) ;
```

- › Can be divided into consecutive calculation, if the relation is cycle free

```
a := f (x) ;  b := g (x) ;  c := h (x) ;
```

# Program transformation – expanding parallel value assignment

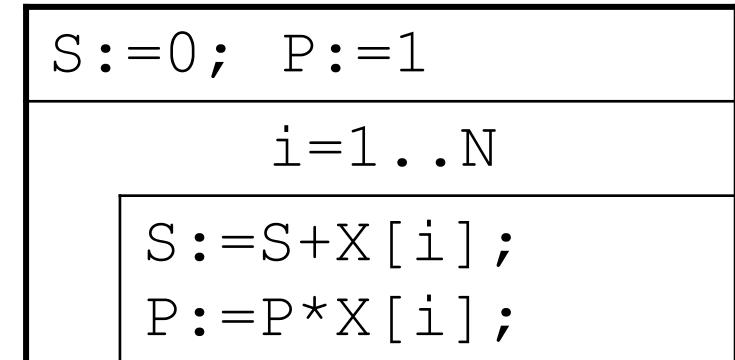
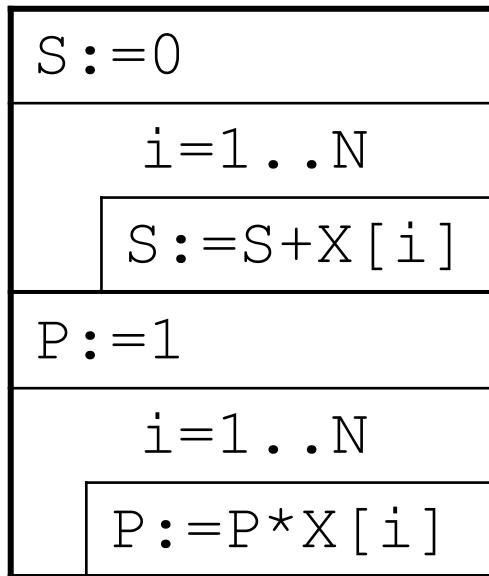
```
a, b, c := b, c, a;
```

- › Can be divided into consecutive calculations with the help of an *auxiliary variable*, if the relation is not cycle free – contains a cycle

```
av := a; a := b; b := c; c := av;
```

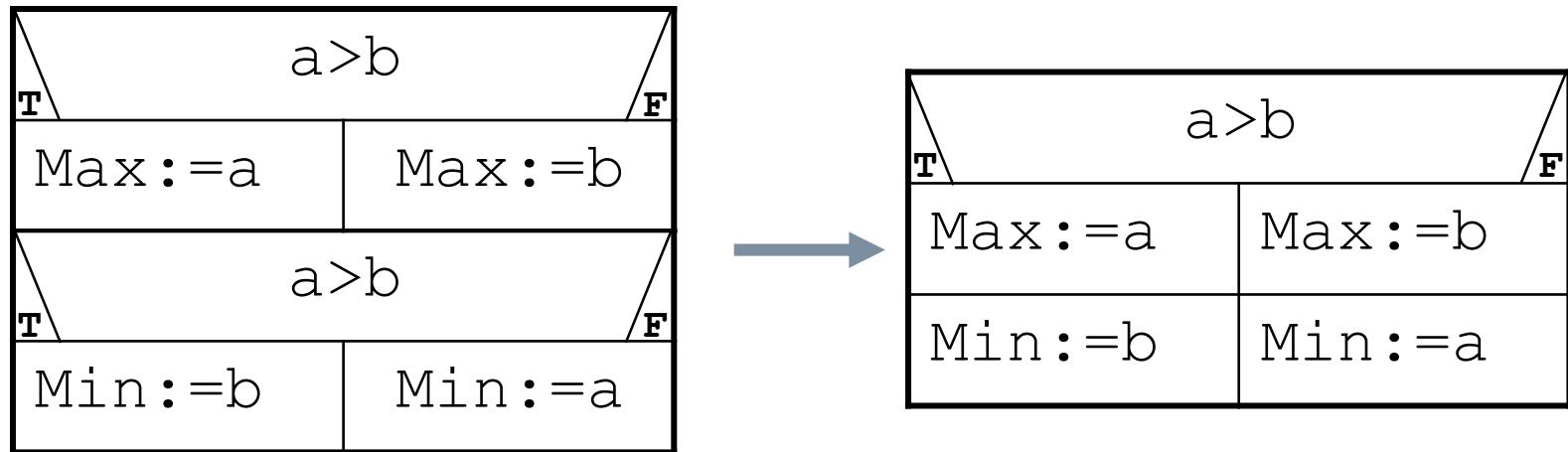
# Program transformation – combining loops, loop fusion

- › Loops having the same number of loop cycles, can be combined, if they are independent of each other



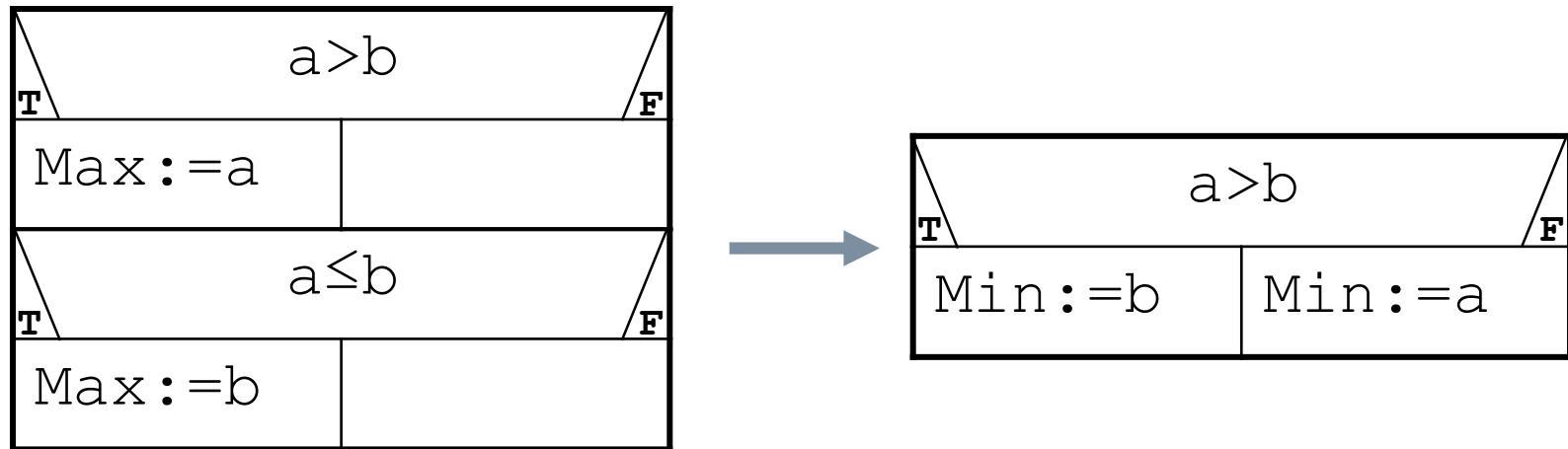
# Program transformation – combining conditional statements

- › Conditional statements having the same conditions can be combined, if they are independent of each other

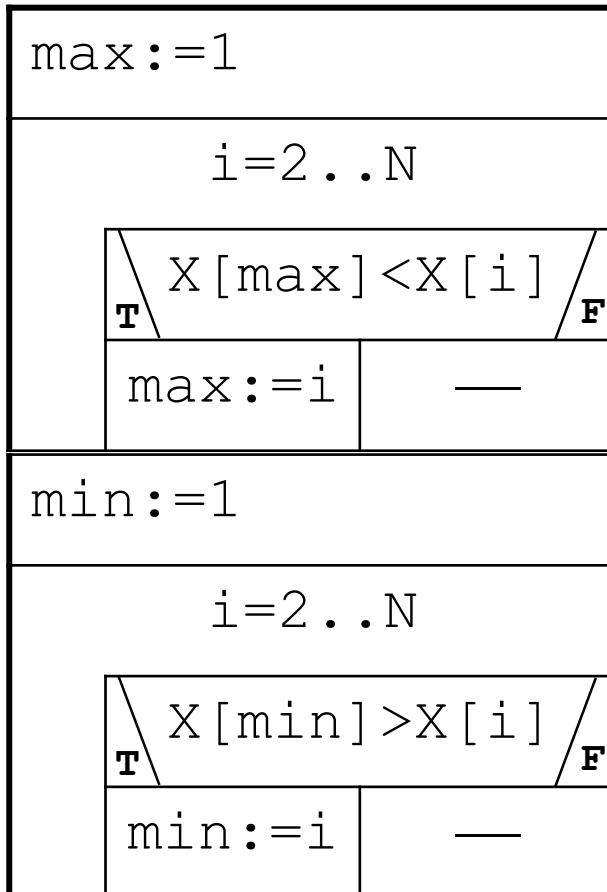


# Program transformation – combining conditional statements

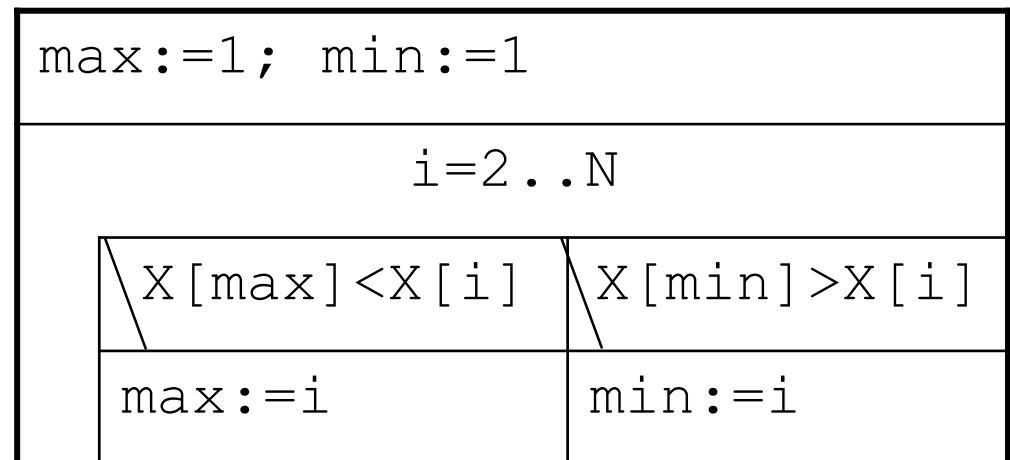
- › Combination of conditionals having exclusive, full conditionals, if they are independent of each other



# Program transformation – fusion of loops and conditionals

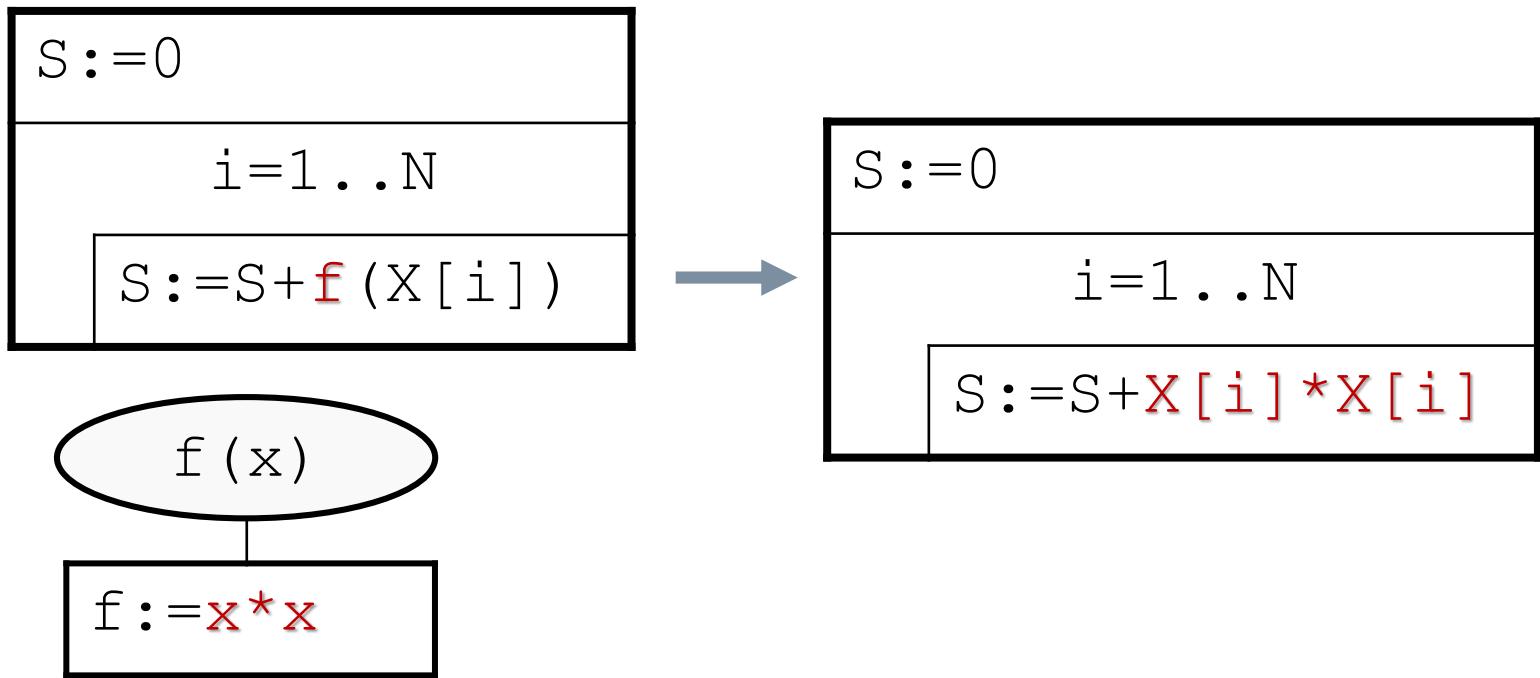


› Loops having the same number of steps and conditional statements having exclusive conditions could also be combined, if they are independent of each other



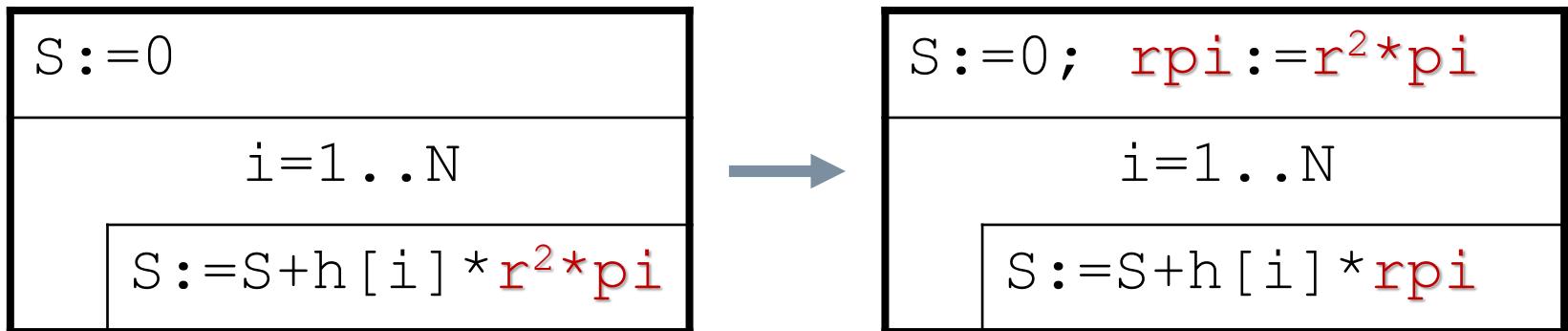
# Program transformation – function inlining

- › Instead of a function call, the formula of a simple function (**the body of the function**) can be written.  
(C++ compilers can do such optimization.)



# Program transformation – hoisting: moving expression outside loop

- › Loop-invariant expressions can be hoisted out of loops, thus improving run-time performance by executing the expression only once rather than at each iteration.



# Program transformation

- › searching, deciding → selecting
- › We put a special element with A attribute at the end of the sequence – certainly will be find one

i := 1

i ≤ N and A(X[i])

i := i + 1

Exists := i ≤ N

i := 1;

X[N+1] := Titem

A(X[i])

i := i + 1

Exists := i ≤ N



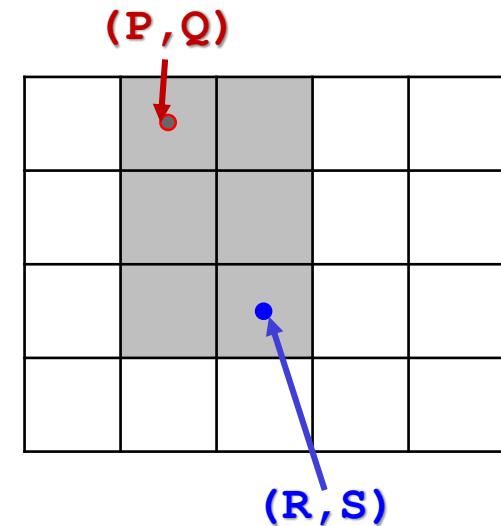
# Sum of submatrices



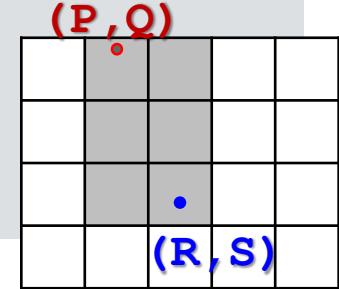
## Sum of submatrices

**Task:** A farmer want to buy a rectangle shaped area in a  $N \times M$  dimension, rectangle shaped land. He knows about each part of the land how high would be the profit or the deficit to farming it.

Give the rectangle shaped area where the farmer can have the highest profit.



# Sum of submatrices



**Specification:**

**Input:**  $N, M \in \mathbb{N}$ ,  $T_{1..N, 1..M} \in \mathbb{Z}^{N \times M}$

**Output:**  $P, Q, R, S \in \mathbb{Z}$

**Precondition:** –

**Postcondition:**  $1 \leq P \leq R \leq N$  and  $1 \leq Q \leq S \leq M$  and

$\forall i, j, k, l (1 \leq i \leq k \leq N, 1 \leq j \leq l \leq M) :$

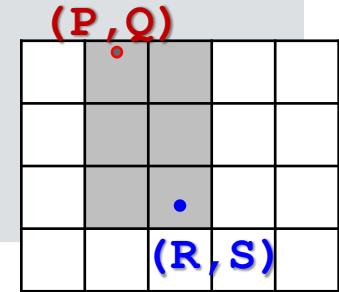
$\text{Profit}(P, Q, R, S) \geq \text{Profit}(i, j, k, l)$

**Definition:**  $\text{Profit}: \mathbb{N}^4 \rightarrow \mathbb{Z}$

$$\text{Profit}(a, b, c, d) = \sum_{x=a}^b \sum_{y=c}^d T_{x,y}$$

We need loops for  $i, j, k, l$  and  $x, y \rightarrow 6$  nested loops – too much!

# Sum of submatrices



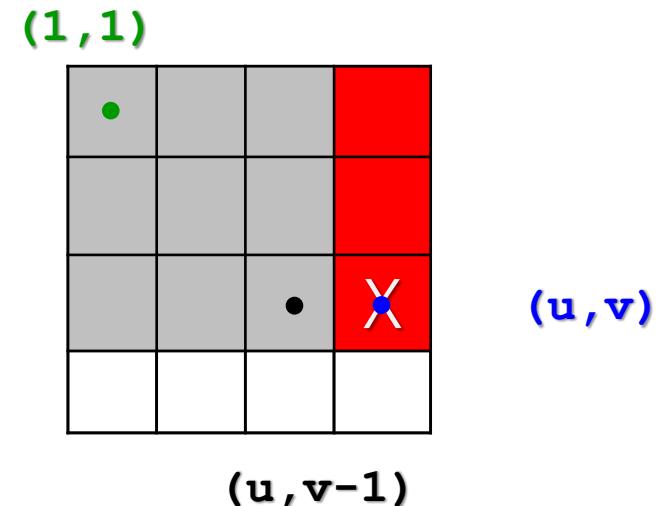
Defining Profit function:

› Try to propose a subgoal:

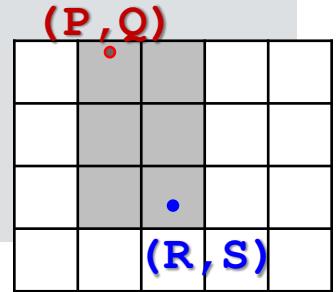
Let's calculate the sum of the rectangle with coordinates **(1,1)** and **(u,v)**

$X = \text{Profit value of the gray rectangle} + \text{sum of the red rectangle}$

$X \rightarrow E[u,v]$



# Sum of submatrices



Defining Profit function (cont.):

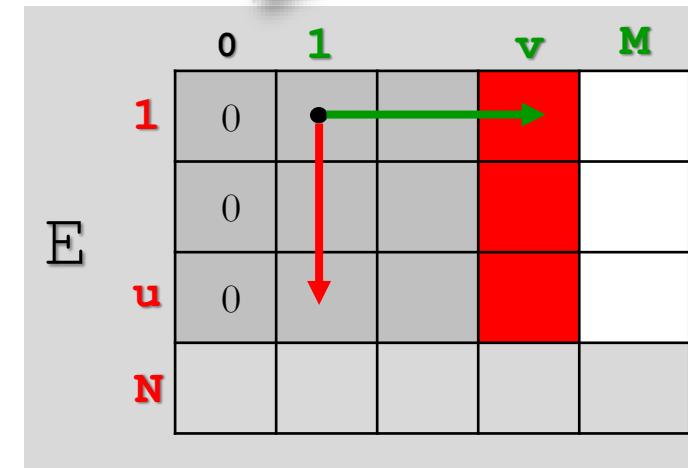
› Calculating the matrix E: ( $E[1..N, 0]:=0$ ):

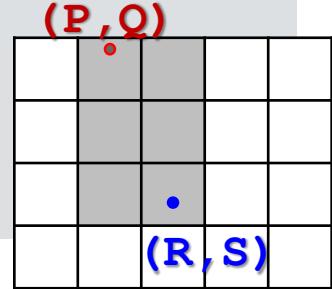
```

v=1..M
x:=0
u=1..N
x:=x+T [u, v]
E [u, v] :=E [u, v-1] +x
...

```

Because of under-indexing problem





## Sum of submatrices

Defining Profit function (cont.):

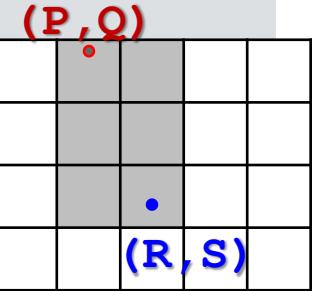
- › Defining the  $\text{Profit}(i, j, u, v)$  function with matrix  $E[u, v]$ :

$\text{Profit}(i, j, u, v)$

$\text{Profit} := E[u, v] - E[u, j-1] - E[i-1, v] + E[i-1, j-1]$

The method: cumulative sum

	...	j-1	j	...	v	...
...						
i-1	...	$E_{i-1,j-1}$	$E_{i-1,j}$	...	$E_{i-1,v}$	...
i	...	$E_{ij-1}$	$E_{ij}$	...	$E_{iv}$	???
u	...	$E_{uj-1}$	$E_{uj}$			$E_{uy}$
...						



## Sum of submatrices

› select the rectangle with the maximum sum

```
P, Q, R, S := 1, 1, 1, 1
```

```
MaxVal := T[1, 1]
```

```
i = 1 .. N
```

```
j = 1 .. M
```

```
k = i .. N
```

```
l = j .. M
```

**Profit**(i, j, k, l) > MaxVal

```
T := i; Q := j; R := k; S := l
```

```
MaxVal := Profit(i, j, k, l)
```



# Sorting



# Sorting - specification

**Input:**  $N \in \mathbb{N}$ ,  $x_{1..N} \in \mathbb{S}^N$

$\leq: \mathbb{S}^2 \rightarrow \mathbb{L}$  (*operation for comparing*)

**Output:**  $x'_{1..N} \in \mathbb{S}^N$

**Precondition:**  $\text{Sorting}(\leq)$  and  $\text{Sorted?}_{\leq}(\mathbb{S})$

**Postcondition:**  $\text{Sorted?}_{\leq}(x')$  and  $x' \in \text{Perm}(x)$

**Notations:**

- $x'$ : value of  $x$  on output (at the end)

- $\text{Sorted?}(\mathbb{S})$ : is the  $\mathbb{S}$  sorted for the  $\leq$  operation?

- $x' \in \text{Perm}(S)$ :  $X'$  is a permutation of the items of  $X$

Permutation: arranging all the members  
of a set into some sequence or order

# Sorting – terms and notations

- › Apostrophe (') in the specification:  
If an input data appear on the output, post-condition must refer to both value. To distinguish the two values we mark the output data with an apostrophe.  
e.g.:  $Z' :=$ value of  $Z$  on **output** (at the end).
- › Relation  $\leq$  means **sort**, if
  1. *reflexive*:  $\forall a \in S: a \leq a$  (every element of  $S$  is related to itself)
  2. *antisymmetric*:  $\forall a, b \in S: a \leq b$  and  $b \leq a \rightarrow a = b$
  3. *transitive*:  $\forall a, b, c \in S: a \leq b$  and  $b \leq c \rightarrow a \leq c$

# Sorting – terms and notations

›  **$\mathbb{S}$  (completely) sorted set:**

Sorted? ( $\mathbb{S}$ ) :=  $\forall a, b \in \mathbb{S} : a \leq b \text{ or } b \leq a$

› **Sorted sequence:**

Sorted? ( $Z$ ) :=  $\forall i (1 \leq i \leq N-1) : Z[i] \leq Z[i+1]$

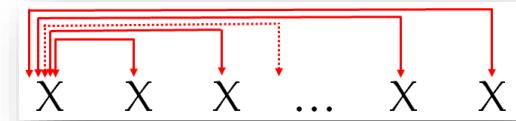
› **Permutation set:**

Perm ( $Z$ ) := a *set* which contains *all permutation* of the elements from the sequence  $Z \in \mathbb{S}^N$ ; so an element from this is the required sorted sequence

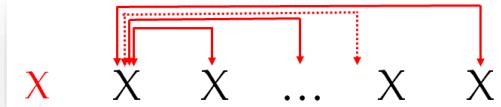
# Simple exchange sorting

Idea:

- › Compare the first element with all elements behind it and swap them if needed!
- › Do the same for the second element!
- › ...
- › Finally do it for the last 2 elements!

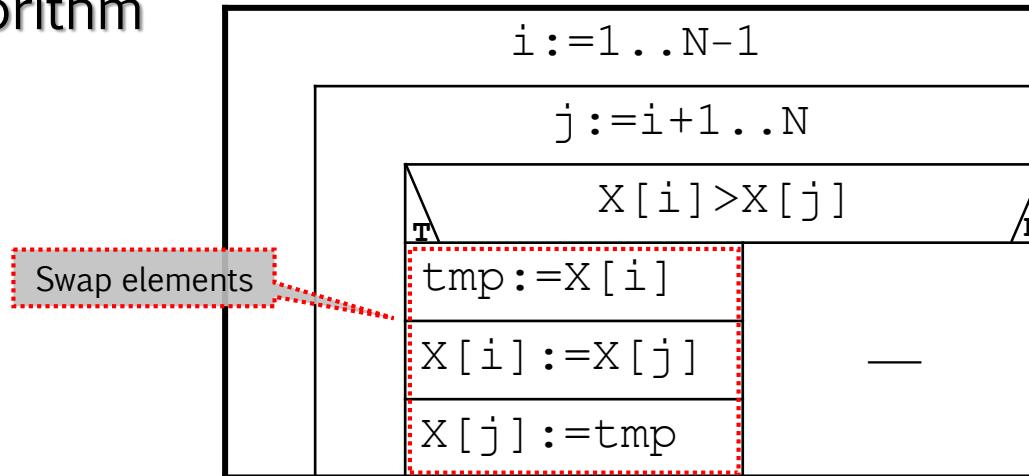


*The minimum will go to the first position.*



# Simple exchange sorting

## Algorithm



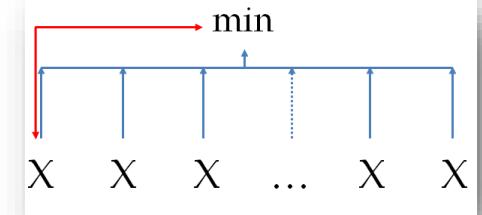
Number of comparisons:  $1 + 2 + \dots + N - 1 = N \cdot \frac{N - 1}{2}$

Number of moving:  $0 \dots 3 \cdot N \cdot \frac{N - 1}{2}$

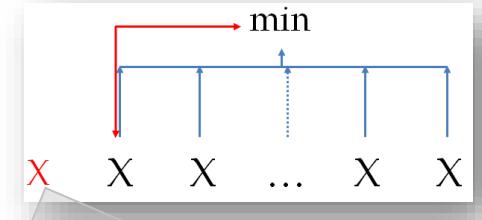
# Selection sort

Idea:

- › Determine the minimum of elements (1..N), swap it with the **1.** one!
- › Next do the same with elements (2..N)!
- ...
- › Finally the last two elements (N-1..N)!



*Minimum element goes to the first position.*

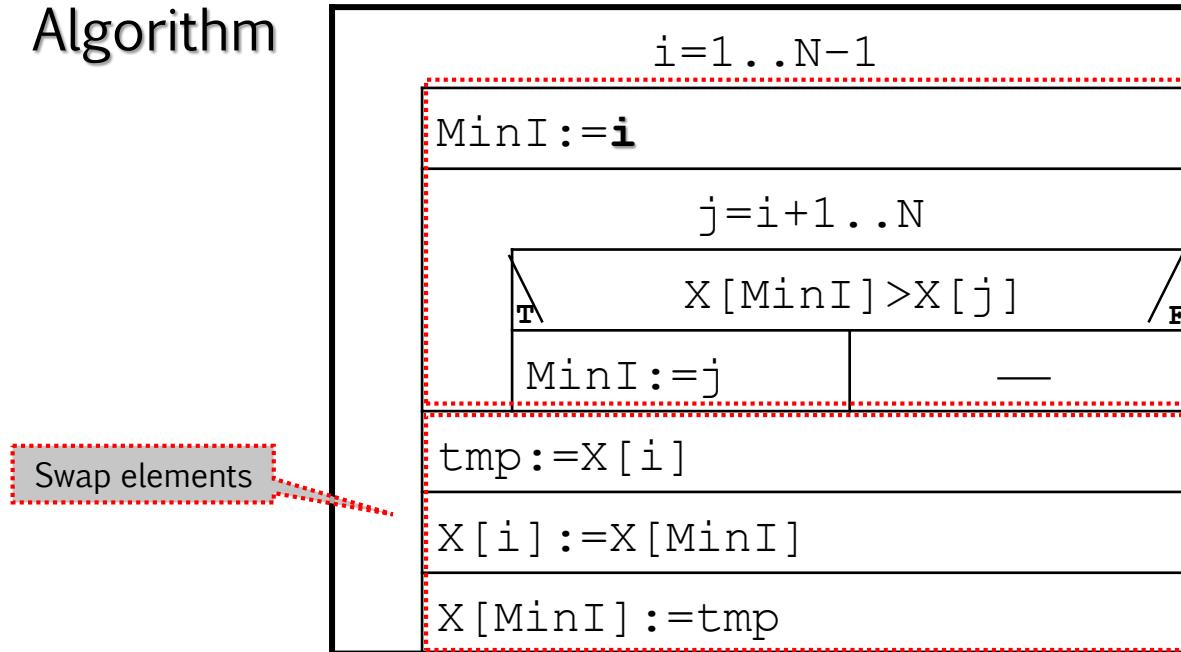


*Red elements are at the right position*



# Selection sort

## Algorithm



Selecting the minimum from the „remained sequence” – selecting PoA

$$\text{Number of comparisons: } 1 + 2 + \dots + N - 1 = N \cdot \frac{N - 1}{2}$$

$$\text{Number of moving: } 3 \cdot (N - 1)$$

# Bubble sort

Idea:

- › Compare all elements with the next one, if required, swap them!
- › Next do the same without the last element!
- ...
- › Finally for the first two elements!



*The maximum one goes to the last place.*



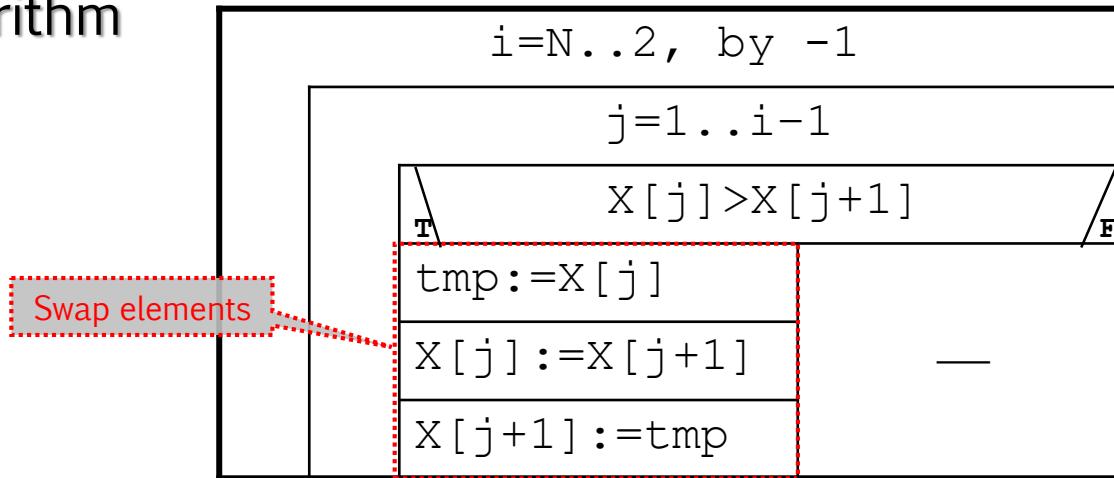
*Others are going to their place, too.*

*Red elements are at the right position*



# Bubble sort

## Algorithm



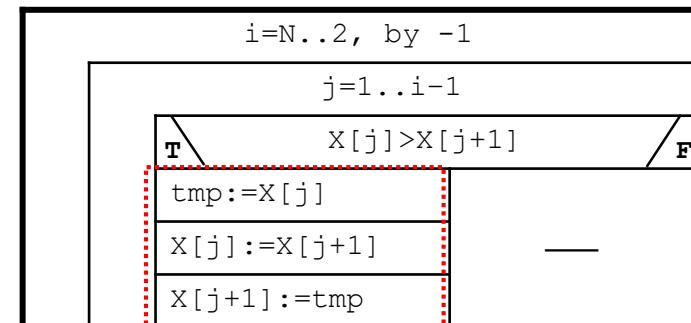
$$\text{Number of comparisons: } 1 + 2 + \dots + N - 1 = N \cdot \frac{N - 1}{2}$$

$$\text{Number of moving: } 0 \dots 3 \cdot N \cdot \frac{N - 1}{2}$$

# Improved bubble sort

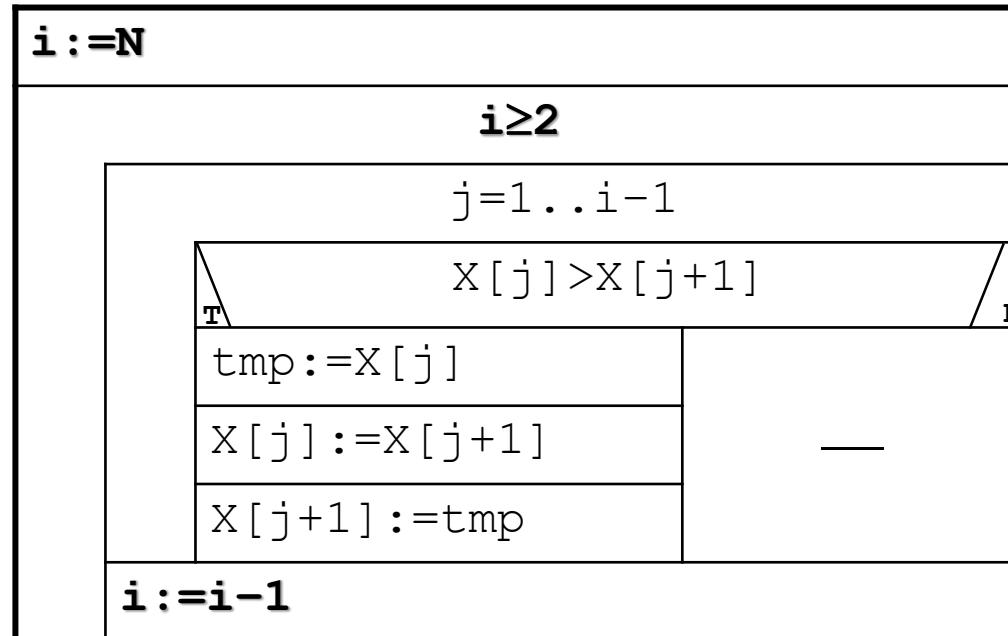
## Observations:

- › If the **nested loop** does not run the swap, sorting is finished yet.
- › If the **nested loop**'s last swap was at position K, there are sorted elements from position K+1, outer loop can skip more then one step.



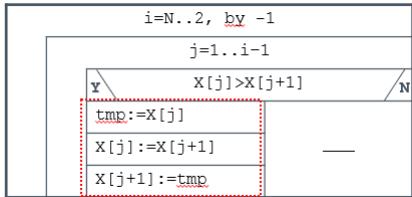
# Improved bubble sort

Algorithm:(changed to conditional loop)

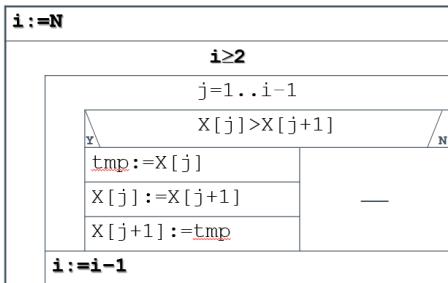


# Improved bubble sort

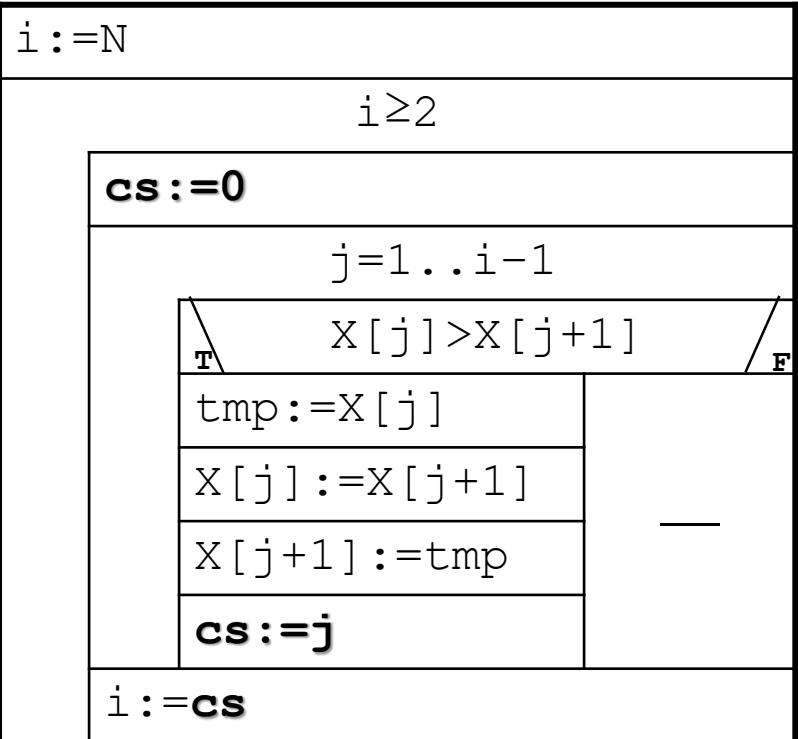
## Algorithm



Rewrite it with conditional loop



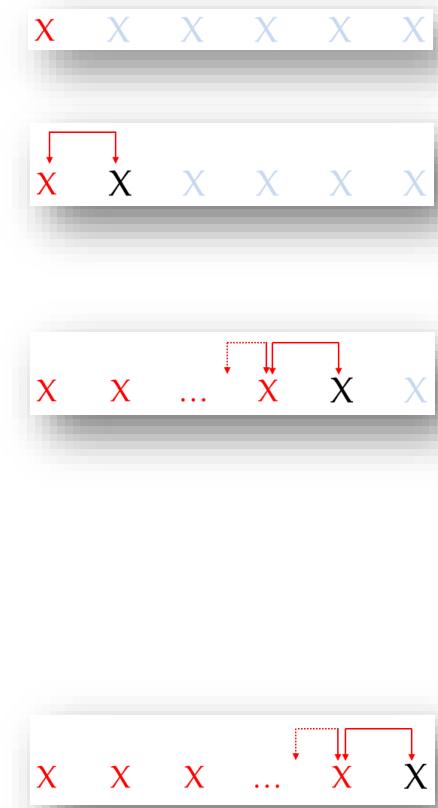
Remember the last position of swap



# Insertion sort

Idea:

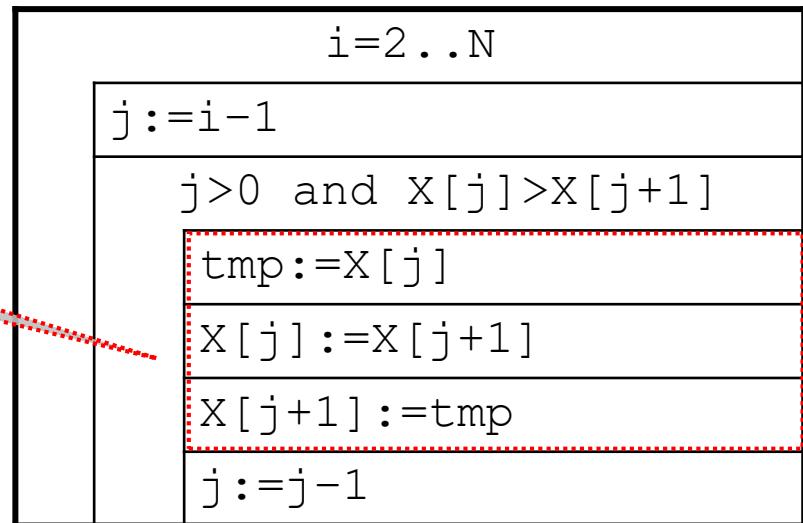
- › One element is sorted.
- › The second one goes to before or after it, so now they two are sorted.
- ...
- › The  $i^{\text{th}}$  one is checked and swapped in the sorted  $i-1$  sequence while it gets its right position; so now these  $i$  elements are sorted.
- › ...
- › Do this with the last one, too!



# Insertion sort

## Algorithm

Swap elements



$$\text{Number of comparisons: } N - 1 \dots N \cdot \frac{N - 1}{2}$$

$$\text{Number of moving: } 0 \dots 3 \cdot N \cdot \frac{N - 1}{2}$$

# Improved insertion sort

Idea:

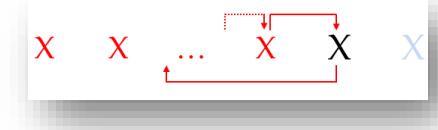
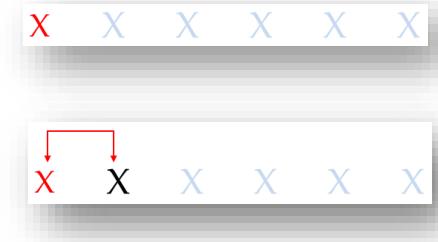
- › One element is sorted.
- › The second one goes to before or after it, so now they two are sorted.

...

- › Do **shift** back the greater elements than the  $i^{\text{th}}$  element and paste it (the  $i^{\text{th}}$  element) before them, so now these  $i$  elements are sorted.

› ...

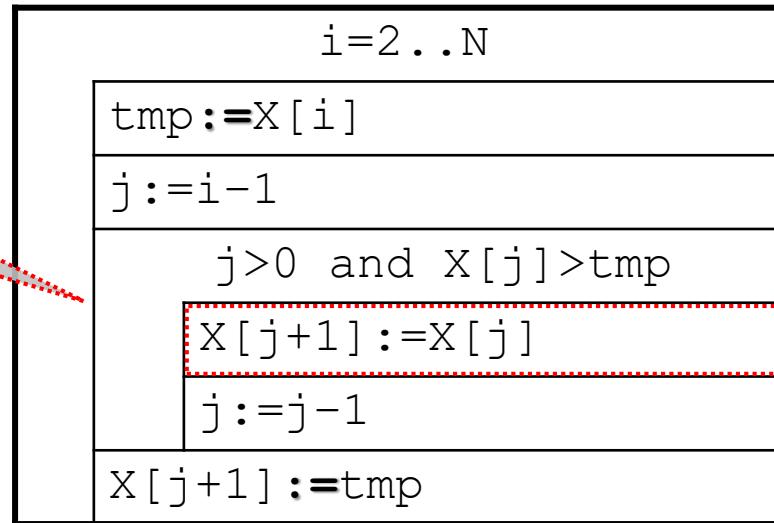
- › Do this with the last one, too!



# Improved insertion sort

## Algorithm

Moving elements, not swap!



Number of comparisons:  $N - 1 \dots N \cdot \frac{N-1}{2}$

Number of moving:  $2 \cdot (N - 1) \dots (N + 4) \cdot \frac{N-1}{2}$

# Special sorting algorithms

Idea:

If we have **special** information about the series, we can use other methods.

**Specification** – sorting in N steps:

Input:  $N \in \mathbb{N}$ ,  $X_{1..N} \in \mathbb{Z}^N$

Elements are numbers

Output:  $Y_{1..N} \in \mathbb{Z}^N$

Precond.:  $X \in \text{Perm}(1, \dots, N)$

All items occur only once!

Postcond.: Sorted? (Y) and  $Y \in \text{Perm}(X)$



# Sorting with deviding

## Algorithm

```
i=1..N  
Y[X[i]] := X[i]
```

It could be:  $Y[i] := i$  ☺

The task is interesting if  $X[i]$  is a record with a key field: a number between 1 and N:

$X, Y : \text{Array}[1..N : \text{Record}(\text{key} : 1..N, \dots)]$

## Algorithm

```
i=1..N  
Y[X[i].key] := X[i]
```



# Sorting with deviding and counting

With less resctriction in the precondition:

- › the values are numbers between 1 and M ( $M \geq N$ ),
- › they can be repeated.

## Specification:

**Input:**  $N, M \in \mathbb{N}$ ,  $X_{1..N} \in \mathbb{Z}^N$

**Output:**  $Y_{1..N} \in \mathbb{Z}^N$

**Precond.:**  $M \geq 1$  and  $\forall i (1 \leq i \leq N) : 1 \leq X_i \leq M$

**Postcond.:**  $\text{Sorted?}(Y)$  and  $Y \in \text{Perm}(X)$

# Sorting with deviding and counting

Idea:

- › First, count the **numbers** (how many times..) of each **value** (every „i”) in the sequence!  
 (=counting)
- › Next, define the **position** of „first i” ’s value: this is the sum of the numbers of the less elements then „i” in the sequence + 1 (recursive counting)!
- › Finally, check all elements in the sequence, put to the right position the element (with „i” value) and modify the position, because the next „first i” value must be shifting to the next position.  
 (=copy)

# Sorting with deviding and counting

## Algorithm

Cnt[i]: how many occurrence  
is available from i?

First[i]: where is the first of  
ith?

Cnt [1..M] :=0
i=1..N
Cnt [X[i]] := Cnt [X[i]] +1
First [1] :=1
i=2..M
First [i] := First [i-1] + Cnt [i-1]
i=1..N
Y[First[X[i]]] := X[i]
First[X[i]] := First[X[i]] +1

Number of comparisons: **N**

Number of additive operations: **3·M-3+2·N**

# Sorting with deviding and counting

## Algorithm

Base set is  $\mathbb{Z}$ , so we count other assignments, too!

```
Cnt[1..M] := 0
    i=1..N
        Cnt[X[i]] := Cnt[X[i]] + 1
    First[1] := 1
    i=2..M
        First[i] := First[i-1] + Cnt[i-1]
    i=1..N
        Y[First[X[i]]] := X[i]
        First[X[i]] := First[X[i]] + 1
```

Number of moving:  $N+1+M+2\cdot N = M+3\cdot N$

Number of additive op.s:  $3\cdot M - 3 + 2\cdot N$

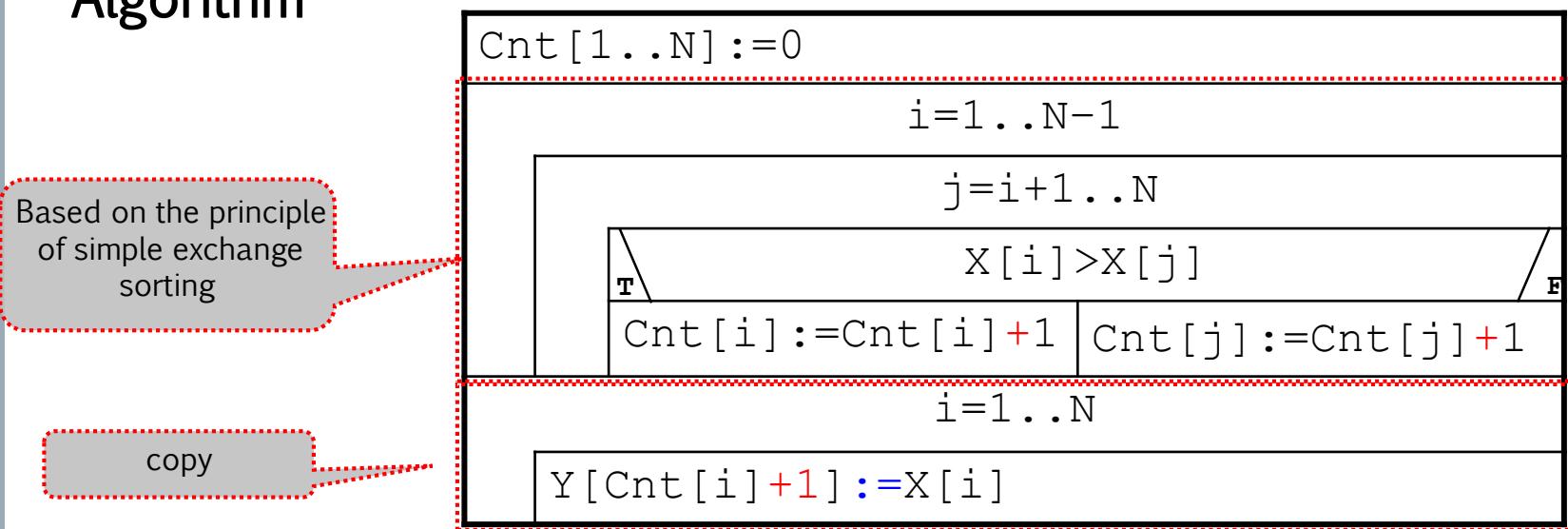
# Counting sort

Idea:

- › If deviding is not usable (unknown M), than first let's **count** (*define the order*), **devide** after it only (*put it to the right place...*)!
- › Use the simplest **principle of replacement**.
- ›  $\text{Cnt}[i]$  means the **count of the less or equal on the left side elements** of the  $i^{\text{th}}$  element!
  - ↓  
 $\text{Cnt}[i]+1$  is useable as an **index of  $i^{\text{th}}$  element in the sorted sequence.**

# Counting sort

## Algorithm



Number of comparisons:  $1 + 2 + \dots + N - 1 = N \cdot \frac{N-1}{2}$

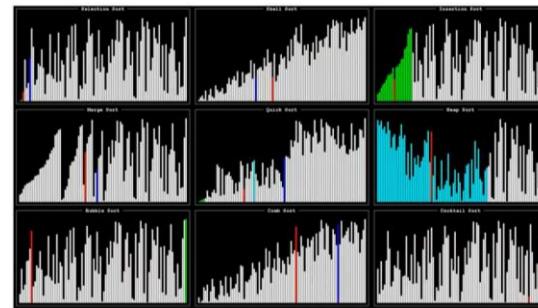
Number of moving:  $N$

Number of additive op.s: ~number of comparisons



# Effectiveness of sorting algorithms

<https://www.youtube.com/watch?v=ZZuD6iUe3Pc>



<https://www.toptal.com/developers/sorting-algorithms/>



Eötvös Loránd University  
Faculty of Informatics