

APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5QT85194
Account Name: RASUL KIREEV
Taxpayer Identification Number: ***-**-2543

Account Executive No: DPA

ORIGINAL: 02/06/2017

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2016 CONSOLIDATED IRS FORMS 1099

RASUL KIREEV
291 ST BOTOLPH ST 1509
BOSTON, MA 02115 5003

YEAR-END MESSAGES

THIS PACKAGE INCLUDES YOUR CONSOLIDATED FORM 1099 THAT WE ARE REQUIRED TO PROVIDE TO YOU AND THE INTERNAL REVENUE SERVICE. WE SUGGEST THAT YOU SEEK THE ADVICE OF A TAX PROFESSIONAL WHEN PREPARING YOUR RETURN.

IN ADDITION, SUPPLEMENTAL DETAILS ARE PROVIDED TO HELP YOU PREPARE YOUR RETURN. ONLY INFORMATION REPORTED ON FORM 1099 IS SENT TO THE IRS.

Your Account did not receive the following Forms:

1099-INT
1099-OID
1099-MISC

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RECIPIENT'S Name, Street Address, City, State, and Zip Code

RASUL KIREEV
291 ST BOTOLPH ST 1509
BOSTON, MA 02115 5003

Payer's Federal Identification Number: 13-2967453

Payer's Name, Street, City, State, Zip Code:

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ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201
Telephone Number: 214-765-1009

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☐ 2nd TIN Notice

☐ FATCA Filing Requirement

2016 CONSOLIDATED FORMS 1099

FORM 1099-DIV

IRS Box

DIVIDENDS AND DISTRIBUTIONS

OMB NO. 1545-0110

Amount

1a.	Total ordinary dividends	\$25.00
1b.	Qualified dividends	\$19.66
2a.	Total Capital Gain Distribution	\$0.01
2b.	Unrecap. Sec. 1250 gain	\$0.00
2c.	Section 1202 gain	\$0.00
2d.	Collectibles (28%) gain	\$0.00
3.	Non dividend distributions	\$0.00
4.	Federal Income Tax Withheld	\$0.00
5.	Investment Expenses	\$0.00
6.	Foreign tax paid	\$0.58
7.	Foreign country or US possession	Various
8.	Cash liquidation distributions	\$0.00
9.	Noncash liquidation distributions	\$0.00
10.	Exempt-interest dividends	\$0.40
11.	Specified Private Activity bond interest dividends	\$0.00

Form 1099-DIV (OMB No. 1545 0110)

Recipient's identification number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions to Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Box 1a. Shows total ordinary dividends that are taxable. Include this amount on line 9a of Form 1040 or 1040A. Also, report it on Schedule B (1040A or 1040), if required. **Box 1b.** Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See Form 1040/1040A instructions for how to determine this amount. Report the eligible amount on line 9b, Form 1040 or 1040A. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income, for any other purpose.

Box 2a. Shows total capital gain distributions from a regulated investment company or real estate investment trust. Report the amounts shown in box 2a on Schedule D (Form 1040), line 13. But, if no amount is shown in boxes 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on line 13 of Form 1040 (line 10 of Form 1040A) rather than Schedule D. See the Form 1040/1040A instructions. **Box 2b.** Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the Unrecaptured Section 1250 Gain Worksheet Line 19 in the Schedule D instructions (Form 1040). **Box 2c.** Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions. **Box 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet Line 18 in the instructions for Schedule D (Form 1040).

Box 3. Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub. 550, Investment Income and Expenses.

Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your taxpayer identification number to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows your share of expenses of a nonpublicly offered regulated investment company, generally a nonpublicly offered mutual fund. If you file Form 1040, you may deduct these expenses on the "Other expenses" line on Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1a.

Box 6. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.

Box 7. This box should be left blank if a regulated investment company reported the foreign tax shown in box 6.

Boxes 8 and 9. Shows cash and noncash liquidation distributions.

Box 10. Shows exempt interest dividends from a mutual fund or other regulated investment company paid to you during the calendar year. Include this amount on line 8b of Form 1040 or 1040A as tax exempt interest.

This amount may be subject to backup withholding. See box 4. **Box 11.** Shows exempt interest dividends subject to the alternative minimum tax. This amount is included in box 10. See the Instructions for Form 6251.

Boxes 12-14. State income tax withheld reporting boxes. **Nominees.** If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A husband or wife is not required to file a nominee return to show amounts owned by the other. See the 2016 General Instructions for Certain Information Returns.

Form 1099-INT (OMB No. 1545 0112)

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer may report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did not notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Recipient's identification number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN). However, the issuer has reported your complete identification number to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096 list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse.

Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2016 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 to see where to take the deduction.

Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Box 5. Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1.

Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040. See your Form 1040 instructions.

Box 7. Shows the country or U.S. possession to which the foreign tax was paid.

Box 8. Shows tax-exempt interest paid to you during the calendar year by the payer. Report this amount on line 8b of Form 1040 or Form 1040A. This amount may be subject to backup withholding. See box 4. See the instructions above for a covered security acquired at a premium.

Box 9. Shows tax exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 10. For a taxable or tax exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the instructions for Form 1040 or 1040A. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Form 1040 (Schedule B). If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in this box is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Box 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Form 1040 (Schedule B). If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in this box is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Box 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in this box is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Box 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Boxes 15-17. State tax withheld reporting boxes.

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☐ FATCA Filing Requirement

FORM 1099-B TOTALS SUMMARY

REALIZED GAIN / LOSS SUMMARY

Refer to Proceeds from Broker and Barter Exchange Transactions for detailed information regarding these summary values. The amounts shown below are for informational purposes only.

SHORT-TERM GAINS OR (LOSSES) - REPORT ON FORM 8949, PART I	GROSS PROCEEDS	COST BASIS	MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	REALIZED GAIN OR (LOSS)
Box A (basis reported to IRS)	\$7,169.43	\$6,868.84	\$0.00	\$37.89	\$338.47
Box A - Ordinary - (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B - Ordinary - (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Short-Term	\$7,169.43	\$6,868.84	\$0.00	\$37.89	\$338.47
LONG-TERM GAINS OR (LOSSES) - REPORT ON FORM 8949, PART II					
Box D (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box D - Ordinary - (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E - Ordinary - (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Long-Term	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

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RECIPIENT'S Name, Street Address, City, State, and Zip Code

RASUL KIREEV
291 ST BOTOLPH ST 1509
BOSTON, MA 02115 5003

Payer's Federal Identification Number: 13-2967453

Payer's Name, Street, City, State, Zip Code:

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ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201
Telephone Number: 214-765-1009

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2016 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB No. 1545-0715

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder: **Taxpayers are ultimately responsible for the accuracy of their tax returns.**

Covered Short-Term Gains or Losses

Report on **Form 8949, Part I** with **Box A** checked

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information	
ALPHABET INC CLASS A COMMON STOCK CUSIP: 02079K305	1.00000	05/02/2016	05/12/2016	\$724.28	\$711.54	\$0.00	\$0.00	\$12.74	Original Basis:	\$711.54
									Box 6: Gross Proceeds	
AOXING PHARMACEUTICAL COMPAN INC CUSIP: 03740A106	1.00000	01/27/2016	02/17/2016	\$0.79	\$0.74	\$0.00	\$0.00	\$0.05	Original Basis:	\$0.74
									Box 6: Gross Proceeds	
APPLE INC CUSIP: 037833100	1.00000	01/22/2016	03/02/2016	\$100.01	\$98.79	\$0.00	\$0.00	\$1.22	Original Basis:	\$98.79
									Box 6: Gross Proceeds	
	10.00000	04/27/2016	05/24/2016	\$978.97	\$969.00	\$0.00	\$0.00	\$9.97	Original Basis:	\$969.00
									Box 6: Gross Proceeds	

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2016 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB No. 1545-0715

Covered Short-Term Gains or Losses

Report on **Form 8949, Part I** with **Box A** checked

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
APPLE INC CUSIP: 037833100	8.00000	05/12/2016	05/24/2016	\$783.18	\$718.32	\$0.00	\$0.00	\$64.86	Original Basis: \$718.32 Box 6: Gross Proceeds
Subtotals	19.00000			\$1,862.16	\$1,786.11	\$0.00	\$0.00	\$76.05	
ARES CAPITAL CORPORATION CUSIP: 04010L103	2.00000	02/19/2016	02/25/2016	\$26.86	\$25.54	\$0.00	\$0.00	\$1.32	Original Basis: \$25.54 Box 6: Gross Proceeds
ARROW ELECTRONICS INC CUSIP: 042735100	2.00000	01/22/2016	02/01/2016	\$102.68	\$100.74	\$0.00	\$0.00	\$1.94	Original Basis: \$100.74 Box 6: Gross Proceeds
***AVIVA PLC ADR CUSIP: 05382A104	10.00000	06/24/2016	07/01/2016	\$107.18	\$105.10	\$0.00	\$0.00	\$2.08	Original Basis: \$105.10 Box 6: Gross Proceeds
***BARCLAYS PLC ADR CUSIP: 06738E204	3.00000	05/27/2016	06/23/2016	\$33.27	\$32.70	\$0.00	\$0.00	\$0.57	Original Basis: \$32.70 Box 6: Gross Proceeds
	15.00000	06/16/2016	06/23/2016	\$166.33	\$132.30	\$0.00	\$0.00	\$34.03	Original Basis: \$132.30 Box 6: Gross Proceeds
	15.00000	06/24/2016	06/30/2016	\$111.00	\$130.35	\$0.00	\$19.35	\$0.00	Original Basis: \$130.35 Box 6: Gross Proceeds
	25.00000	06/27/2016	06/30/2016	\$185.01	\$175.75	\$0.00	\$0.00	\$9.26	Original Basis: \$175.75 Box 6: Gross Proceeds
	15.00000	06/27/2016	09/01/2016	\$138.73	\$124.80	\$0.00	\$0.00	\$13.93	Original Basis: \$105.45 Box 6: Gross Proceeds
Subtotals	73.00000			\$634.34	\$595.90	\$0.00	\$19.35	\$57.79	
BLACKROCK CAPITAL INVESTMENT CORPORATION CUSIP: 092533108	2.00000	02/24/2016	02/29/2016	\$17.90	\$17.08	\$0.00	\$0.00	\$0.82	Original Basis: \$17.08 Box 6: Gross Proceeds

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2016 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB No. 1545-0715

Covered Short-Term Gains or Losses

Report on **Form 8949, Part I** with **Box A** checked

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
***CNOOC LTD SPONSORED ADR REP 100 SHS CL CUSIP: 126132109	1.00000	02/10/2016	02/17/2016	\$102.81	\$94.55	\$0.00	\$0.00	\$8.26	Original Basis: \$94.55
	2.00000	02/19/2016	03/02/2016	\$223.80	\$205.80	\$0.00	\$0.00	\$18.00	Box 6: Gross Proceeds Original Basis: \$205.80 Box 6: Gross Proceeds
Subtotals	3.00000			\$326.61	\$300.35	\$0.00	\$0.00	\$26.26	
CITIGROUP INC COM CUSIP: 172967424	2.00000	05/27/2016	07/01/2016	\$84.40	\$92.72	\$0.00	\$8.32	\$0.00	Original Basis: \$92.72
	2.00000	06/16/2016	09/20/2016	\$93.58	\$90.90	\$0.00	\$0.00	\$2.68	Box 6: Gross Proceeds Original Basis: \$82.58 Box 6: Gross Proceeds
Subtotals	4.00000			\$177.98	\$183.62	\$0.00	\$8.32	\$2.68	
***COMPANHIA PARANAENSE DE ENERGIA-(COPEL)-SPONSORED AD REPSTG 1000 PREF B SHS CUSIP: 20441B407	2.00000	02/19/2016	02/22/2016	\$12.06	\$11.36	\$0.00	\$0.00	\$0.70	Original Basis: \$11.36
	3.00000	02/26/2016	02/29/2016	\$17.58	\$16.86	\$0.00	\$0.00	\$0.72	Box 6: Gross Proceeds Original Basis: \$16.86 Box 6: Gross Proceeds
	3.00000	02/26/2016	02/29/2016	\$17.58	\$16.86	\$0.00	\$0.00	\$0.72	Box 6: Gross Proceeds Original Basis: \$16.86 Box 6: Gross Proceeds
	4.00000	02/26/2016	02/29/2016	\$23.43	\$22.48	\$0.00	\$0.00	\$0.95	Box 6: Gross Proceeds Original Basis: \$22.48 Box 6: Gross Proceeds
	5.00000	02/26/2016	02/29/2016	\$29.29	\$28.10	\$0.00	\$0.00	\$1.19	Box 6: Gross Proceeds Original Basis: \$28.10 Box 6: Gross Proceeds
Subtotals	17.00000			\$99.94	\$95.66	\$0.00	\$0.00	\$4.28	
DELEK US HLDGS INC CUSIP: 246647101	1.00000	02/19/2016	02/22/2016	\$13.62	\$12.91	\$0.00	\$0.00	\$0.71	Original Basis: \$12.91 Box 6: Gross Proceeds

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2016 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB No. 1545-0715

Covered Short-Term Gains or Losses

Report on Form 8949, Part I with Box A checked

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
FITBIT INC CLASS A COM CUSIP: 33812L102	5.00000	02/04/2016	09/19/2016	\$82.04	\$84.70	\$0.00	\$0.00	(\$2.66)	Original Basis: \$84.70 Box 6: Gross Proceeds
	4.00000	05/05/2016	09/19/2016	\$65.63	\$56.24	\$0.00	\$0.00	\$9.39	Original Basis: \$56.24 Box 6: Gross Proceeds
	6.00000	06/16/2016	09/19/2016	\$98.46	\$75.42	\$0.00	\$0.00	\$23.03	Original Basis: \$75.42 Box 6: Gross Proceeds
	4.00000	06/16/2016	09/20/2016	\$64.63	\$50.28	\$0.00	\$0.00	\$14.35	Original Basis: \$50.28 Box 6: Gross Proceeds
Subtotals	19.00000			\$310.76	\$266.64	\$0.00	\$0.00	\$44.11	
GENERAL MOTORS COMPANY CUSIP: 37045V100	2.00000	06/14/2016	07/18/2016	\$62.02	\$58.42	\$0.00	\$0.00	\$3.60	Original Basis: \$58.42 Box 6: Gross Proceeds
***HSBC HOLDINGS PLC SPONSORED ADR CUSIP: 404280406	4.00000	02/22/2016	03/02/2016	\$128.06	\$126.16	\$0.00	\$0.00	\$1.90	Original Basis: \$126.16 Box 6: Gross Proceeds
HP INC COM CUSIP: 40434L105	1.00000	01/22/2016	02/18/2016	\$10.58	\$10.18	\$0.00	\$0.00	\$0.40	Original Basis: \$10.18 Box 6: Gross Proceeds
	2.00000	01/22/2016	02/18/2016	\$21.15	\$20.36	\$0.00	\$0.00	\$0.79	Original Basis: \$20.36 Box 6: Gross Proceeds
	1.00000	01/22/2016	02/18/2016	\$10.58	\$10.18	\$0.00	\$0.00	\$0.40	Original Basis: \$10.18 Box 6: Gross Proceeds
	1.00000	01/22/2016	02/18/2016	\$10.57	\$10.18	\$0.00	\$0.00	\$0.40	Original Basis: \$10.18 Box 6: Gross Proceeds
Subtotals	5.00000			\$52.88	\$50.90	\$0.00	\$0.00	\$1.99	

APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
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Account No: 5QT85194
Account Name: RASUL KIREEV
Taxpayer Identification Number: ***-**-2543

Account Executive No: DPA

ORIGINAL: 02/06/2017

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2016 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB No. 1545-0715

Covered Short-Term Gains or Losses

Report on **Form 8949, Part I** with **Box A** checked

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information	
ICONIX BRAND GROUP INC CUSIP: 451055107	7.00000	03/11/2016	04/22/2016	\$60.25	\$60.20	\$0.00	\$0.00	\$0.05	Original Basis:	\$60.20
									Box 6: Gross Proceeds	
INTEL CORP CUSIP: 458140100	2.00000	02/04/2016	03/02/2016	\$61.20	\$59.70	\$0.00	\$0.00	\$1.50	Original Basis:	\$59.70
									Box 6: Gross Proceeds	
ISHARES MSCI RUSSIA CAPPED E CUSIP: 46434G806	2.00000	01/22/2016	02/01/2016	\$21.58	\$20.08	\$0.00	\$0.00	\$1.50	Original Basis:	\$20.08
									Box 6: Gross Proceeds	
LENDINGCLUB CORPORATION COM CUSIP: 52603A109	23.00000	05/10/2016	05/23/2016	\$103.94	\$100.74	\$0.00	\$0.00	\$3.20	Original Basis:	\$100.74
	2.00000	05/12/2016	05/23/2016	\$9.04	\$7.48	\$0.00	\$0.00	\$1.56	Original Basis:	\$7.48
									Box 6: Gross Proceeds	
Subtotals	25.00000			\$112.98	\$108.22	\$0.00	\$0.00	\$4.76		
***LLOYDS BANKING GROUP PLC SPONSORED ADR CUSIP: 539439109	40.00000	06/24/2016	06/30/2016	\$117.58	\$127.80	\$0.00	\$10.22	\$0.00	Original Basis:	\$127.80
									Box 6: Gross Proceeds	
MFA FINANCIAL INC CUSIP: 55272X102	6.00000	04/27/2016	05/05/2016	\$41.56	\$40.86	\$0.00	\$0.00	\$0.70	Original Basis:	\$40.86
	1.00000	06/14/2016	09/19/2016	\$7.49	\$7.33	\$0.00	\$0.00	\$0.16	Original Basis:	\$7.33
									Box 6: Gross Proceeds	
Subtotals	7.00000			\$49.05	\$48.19	\$0.00	\$0.00	\$0.86		
MARKET VECTORS CHINAAMC A SHARE ETF CUSIP: 57060U597	1.00000	01/22/2016	03/04/2016	\$37.05	\$36.94	\$0.00	\$0.00	\$0.11	Original Basis:	\$36.94
									Box 6: Gross Proceeds	

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2016 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB No. 1545-0715

Covered Short-Term Gains or Losses

Report on **Form 8949, Part I** with **Box A** checked

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Box 3: Basis Reported to the IRS

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Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information	
MARKET VECTORS AMT FREE INTERMEDIATE MUNICIPAL INDEX ETF CUSIP: 57060U845	2.00000	01/22/2016	04/06/2016	\$48.58	\$48.54	\$0.00	\$0.00	\$0.04	Original Basis:	\$48.54
									Box 6: Gross Proceeds	
MICRON TECHNOLOGY INC CUSIP: 595112103	2.00000	01/22/2016	02/18/2016	\$23.12	\$22.58	\$0.00	\$0.00	\$0.54	Original Basis:	\$22.58
									Box 6: Gross Proceeds	
***MITSUBISHI UFJ FINANCIAL GROUP INC ADS CUSIP: 606822104	5.00000	05/27/2016	09/01/2016	\$27.94	\$24.48	\$0.00	\$0.00	\$3.46	Original Basis:	\$24.48
	5.00000	05/27/2016	09/01/2016	\$27.94	\$24.48	\$0.00	\$0.00	\$3.46	Original Basis:	\$24.48
									Box 6: Gross Proceeds	
Subtotals	10.00000			\$55.88	\$48.96	\$0.00	\$0.00	\$6.92		
***MIZUHO FINANCIAL GROUP IN SPONSORED ADR CUSIP: 60687Y109	9.00000	05/27/2016	09/01/2016	\$31.30	\$27.99	\$0.00	\$0.00	\$3.31	Original Basis:	\$27.99
									Box 6: Gross Proceeds	
NATIONAL-OILWELL VARCO INC CUSIP: 637071101	1.00000	02/04/2016	02/17/2016	\$28.91	\$28.46	\$0.00	\$0.00	\$0.45	Original Basis:	\$28.46
									Box 6: Gross Proceeds	
***NOMURA HOLDINGS INC SPONSORED ADR CUSIP: 65535H208	2.00000	05/02/2016	05/24/2016	\$8.58	\$8.46	\$0.00	\$0.00	\$0.12	Original Basis:	\$8.46
									Box 6: Gross Proceeds	
***ORIX CORPORATION SPONSORED ADR CUSIP: 686330101	3.00000	06/14/2016	06/23/2016	\$206.50	\$196.47	\$0.00	\$0.00	\$10.03	Original Basis:	\$196.47
									Box 6: Gross Proceeds	

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2016 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB No. 1545-0715

Covered Short-Term Gains or Losses

Report on **Form 8949, Part I** with **Box A** checked

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
PDL BIOPHARMA INC CUSIP: 69329Y104	3.00000	02/26/2016	03/01/2016	\$9.25	\$8.91	\$0.00	\$0.00	\$0.34	Original Basis: \$8.91
	23.00000	04/06/2016	04/14/2016	\$83.01	\$77.05	\$0.00	\$0.00	\$5.96	Box 6: Gross Proceeds Original Basis: \$77.05
	3.00000	05/27/2016	09/19/2016	\$9.85	\$9.87	\$0.00	\$0.00	(\$0.02)	Box 6: Gross Proceeds Original Basis: \$9.87
Subtotals	29.00000			\$102.11	\$95.83	\$0.00	\$0.00	\$6.28	
POWERSHARES NATIONAL AMT FRE MUNICIPAL BOND PORTFOLIO CUSIP: 73936T474	1.00000	01/22/2016	04/06/2016	\$25.80	\$25.66	\$0.00	\$0.00	\$0.14	Original Basis: \$25.66 Box 6: Gross Proceeds
***ROYAL BANK OF SCOTLAND GROUP PLC ADR REPSTG 2 ORD S CUSIP: 780097689	2.00000	06/24/2016	07/29/2016	\$10.22	\$11.81	\$0.00	\$0.00	(\$1.59)	Original Basis: \$11.81 Box 6: Gross Proceeds
	21.00000	06/27/2016	07/29/2016	\$107.29	\$98.07	\$0.00	\$0.00	\$9.22	Original Basis: \$98.07 Box 6: Gross Proceeds
Subtotals	23.00000			\$117.51	\$109.88	\$0.00	\$0.00	\$7.63	
SPDR SERIES TRUST SPDR S&P 500 HIGH DIVIDEND E CUSIP: 78468R788	2.00000	01/22/2016	03/02/2016	\$60.66	\$55.92	\$0.00	\$0.00	\$4.74	Original Basis: \$55.92 Box 6: Gross Proceeds
SCHWAB STRATEGIC TR US DIVIDEND EQUITY ETF CUSIP: 808524797	6.00000	01/22/2016	03/02/2016	\$232.12	\$219.00	\$0.00	\$0.00	\$13.12	Original Basis: \$219.00 Box 6: Gross Proceeds
SELECT SECTOR SPDR TRUST THE FINANCIAL SELECT SECTOR SPDR FUND CUSIP: 81369Y605	2.00000	05/02/2016	05/10/2016	\$46.74	\$46.68	\$0.00	\$0.00	\$0.06	Original Basis: \$46.68 Box 6: Gross Proceeds

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2016 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB No. 1545-0715

Covered Short-Term Gains or Losses

Report on **Form 8949, Part I** with **Box A** checked

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
***SUMITOMO MITSUI FINL GROU INC SPONSORED ADR CUSIP: 86562M209	1.00000	05/27/2016	09/01/2016	\$7.06	\$6.34	\$0.00	\$0.00	\$0.72	Original Basis: \$6.34
	1.00000	05/27/2016	09/01/2016	\$7.06	\$6.34	\$0.00	\$0.00	\$0.72	Box 6: Gross Proceeds
	1.00000	05/27/2016	09/01/2016	\$7.06	\$6.34	\$0.00	\$0.00	\$0.72	Original Basis: \$6.34
	1.00000	05/27/2016	09/01/2016	\$7.06	\$6.34	\$0.00	\$0.00	\$0.72	Box 6: Gross Proceeds
	1.00000	05/27/2016	09/01/2016	\$7.07	\$6.34	\$0.00	\$0.00	\$0.72	Original Basis: \$6.34
Subtotals	5.00000			\$35.31	\$31.70	\$0.00	\$0.00	\$3.60	Box 6: Gross Proceeds
TAL INTERNATIONAL GROUP INC CUSIP: 874083108	5.00000	02/19/2016	02/22/2016	\$54.58	\$50.10	\$0.00	\$0.00	\$4.48	Original Basis: \$50.10
									Box 6: Gross Proceeds
TEKLA HEALTHCARE INVS SH BEN INT CUSIP: 87911J103	3.00000	02/23/2016	03/02/2016	\$70.67	\$70.38	\$0.00	\$0.00	\$0.29	Original Basis: \$70.38
									Box 6: Gross Proceeds
TWO HARBORS INVESTMENT CORP CUSIP: 90187B101	1.00000	02/19/2016	02/29/2016	\$7.75	\$7.51	\$0.00	\$0.00	\$0.24	Original Basis: \$7.51
	1.00000	02/19/2016	02/29/2016	\$7.75	\$7.51	\$0.00	\$0.00	\$0.24	Box 6: Gross Proceeds
	2.00000	04/20/2016	05/05/2016	\$16.04	\$15.64	\$0.00	\$0.00	\$0.40	Original Basis: \$15.64
Subtotals	4.00000			\$31.54	\$30.66	\$0.00	\$0.00	\$0.88	Box 6: Gross Proceeds

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Account No: 5QT85194
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ORIGINAL: 02/06/2017

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2016 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB No. 1545-0715

Covered Short-Term Gains or Losses

Report on **Form 8949, Part I** with **Box A** checked

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
***UNITED MICROELECTRONICS CORP SPONSORED ADR CUSIP: 910873405	1.00000	06/16/2016	06/30/2016	\$1.96	\$1.89	\$0.00	\$0.00	\$0.07	Original Basis: \$1.89 Box 6: Gross Proceeds
VANGUARD S&P 500 ETF CUSIP: 922908363	1.00000	01/22/2016	03/02/2016	\$181.77	\$174.14	\$0.00	\$0.00	\$7.63	Original Basis: \$174.14 Box 6: Gross Proceeds
	1.00000	05/02/2016	05/24/2016	\$190.73	\$189.79	\$0.00	\$0.00	\$0.94	Original Basis: \$189.79 Box 6: Gross Proceeds
Subtotals	2.00000			\$372.50	\$363.93	\$0.00	\$0.00	\$8.57	
VANGUARD SECTOR INDEX FDS VANGUARD REIT ETF CUSIP: 922908553	1.00000	02/19/2016	02/29/2016	\$77.59	\$75.73	\$0.00	\$0.00	\$1.86	Original Basis: \$75.73 Box 6: Gross Proceeds
VANGUARD INDEX FUNDS VANGUARD GROWTH ETF CUSIP: 922908736	1.00000	02/19/2016	03/02/2016	\$101.98	\$98.50	\$0.00	\$0.00	\$3.48	Original Basis: \$98.50 Box 6: Gross Proceeds
VANGUARD INDEX FUNDS VANGUARD VALUE ETF CUSIP: 922908744	2.00000	06/14/2016	09/20/2016	\$172.20	\$167.32	\$0.00	\$0.00	\$4.88	Original Basis: \$167.32 Box 6: Gross Proceeds
WESTERN DIGITAL CORP CUSIP: 958102105	2.00000	01/22/2016	02/04/2016	\$98.02	\$90.78	\$0.00	\$0.00	\$7.24	Original Basis: \$90.78 Box 6: Gross Proceeds
XEROX CORP CUSIP: 984121103	5.00000	01/22/2016	02/01/2016	\$48.38	\$46.00	\$0.00	\$0.00	\$2.38	Original Basis: \$46.00 Box 6: Gross Proceeds
***NYMOX PHARACEUTICAL CORP COMMON CUSIP: P73398102	4.00000	01/27/2016	02/19/2016	\$9.14	\$8.88	\$0.00	\$0.00	\$0.26	Original Basis: \$8.88 Box 6: Gross Proceeds
79 ITEMS - TOTAL				\$7,169.43	\$6,868.84	\$0.00	\$37.89	\$338.47	

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return,
a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

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2016 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB No. 1545-0715

Unknown Term Gains or Losses

Report on **Form 8949, Code X**** - Either **Part I** with **Box B** checked or **Part II** with **Box E** checked

Box 5: Box Checked (Noncovered Security)

Box 3: Basis Not Reported to the IRS

Box 2: Type of Gain or Loss - Unknown

The 1099-B data referenced by a Box Number is reported to the IRS. Taxpayers must identify missing data to determine what box to check on Form 8949. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds/ Reported to IRS (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
HUGOTON ROYALTY TRUST TEXAS UNIT BEN INT CUSIP: 444717102	1.00000	N/A	04/22/2016	\$1.65	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds
SUNCOKE ENERGY PARTNERS L P COM UNIT REPSTG LTD PARTNERS CUSIP: 86722Y101	1.00000	N/A	02/22/2016	\$6.79	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds
UNITED STATES OIL FUND LP UNITS ETF CUSIP: 91232N108	4.00000 1.00000	N/A N/A	05/17/2016 06/30/2016	\$47.46 \$11.74	N/A N/A	N/A N/A	N/A N/A	N/A N/A	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	5.00000			\$59.20	\$0.00	N/A	N/A	N/A	
***TEEKAY LNG PARTNERS L P UNIT LTD PARTNERSHIP INT CUSIP: Y8564M105	4.00000	N/A	05/10/2016	\$55.38	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds
5 ITEMS - TOTAL				\$123.02	\$0.00	N/A	N/A	N/A	

FOOT NOTES

SHORT SALE - Short sales covered in December that settle in January will be reported on your Form 1099-B in the year they are settled.

** IRS Instructions require Unknown Term transactions to be assigned Code X for reporting on Form 8949. Please refer to your Tax Advisor for further advice.

END OF 2016 FORM 1099-B

Form 1099-B (OMB No. 1545-0715)

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's identification number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN). However, the issuer has reported your complete identification number to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable check box on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D, and which check box is applicable. See the instructions for your Schedule D and/or Form 8949.

Box 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Box 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the instructions for Schedule D.

Box 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a non-compensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a non-compensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, Instructions for Schedule D, or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Box 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the Ordinary box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. Please see the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Box 3. If checked, the basis in box 1e has been reported to the IRS and one or more of the check boxes in box 2 must be checked. If box 3 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D as you may be able to report your transaction directly on Schedule D. If the Ordinary check box in box 2 is checked, an adjustment may be required.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Box 6. If the exercise of a non-compensatory option resulted in a sale of a security, indicates whether the amount in box 1d was adjusted for premium.

Box 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D instructions. The broker should advise you of any losses on a separate statement.

Box 12. If checked, proceeds are from a transaction involving collectibles.

Box 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Box 14-16. Shows state(s)/local income tax information.

Form 1099-B (OMB No. 1545-0715)**Regulated Futures Contracts (Boxes 8 Through 11):**

Box 8. Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2016.

Box 9. Shows any year end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2015.

Box 10. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2016. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts 12/31/2016 in 2017.

Box 11. Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2016 Form 6781.

APEX CLEARING
ONE DALLAS CENTER
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Account No: 5QT85194
Account Name: RASUL KIREEV
Taxpayer Identification Number: ***-**-2543

Account Executive No: DPA

ORIGINAL: 02/06/2017

IN ACCOUNT WITH

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END OF 2016 CONSOLIDATED FORM 1099

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DETAILS OF 2016 FORM 1099-DIV

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Comments
07/29/2016	MFA FINANCIAL INC	55272X102	NONQUAL DIVIDEND	\$0.20	-	-	
06/27/2016	SELECT SECTOR SPDR TRUST THE FINAN	81369Y605	NONQUAL DIVIDEND	\$0.36	-	-	
09/26/2016	SELECT SECTOR SPDR TRUST THE FINAN	81369Y605	NONQUAL DIVIDEND	\$0.34	-	-	
12/27/2016	SELECT SECTOR SPDR TRUST THE FINAN	81369Y605	NONQUAL DIVIDEND	\$0.32	-	-	
03/31/2016	TEKLA HEALTHCARE INVS SH BEN INT	87911J103	NONQUAL DIVIDEND	\$1.83	-	-	
07/08/2016	VANGUARD TOTAL BOND MARKET ETF	921937835	NONQUAL DIVIDEND	\$0.16	-	-	
08/05/2016	VANGUARD TOTAL BOND MARKET ETF	921937835	NONQUAL DIVIDEND	\$0.17	-	-	
09/08/2016	VANGUARD TOTAL BOND MARKET ETF	921937835	NONQUAL DIVIDEND	\$0.17	-	-	
10/07/2016	VANGUARD TOTAL BOND MARKET ETF	921937835	NONQUAL DIVIDEND	\$0.16	-	-	
11/07/2016	VANGUARD TOTAL BOND MARKET ETF	921937835	NONQUAL DIVIDEND	\$0.16	-	-	
12/07/2016	VANGUARD TOTAL BOND MARKET ETF	921937835	NONQUAL DIVIDEND	\$0.16	-	-	
12/30/2016	VANGUARD TOTAL BOND MARKET ETF	921937835	NONQUAL DIVIDEND	\$0.17	-	-	
12/30/2016	VANGUARD TOTAL BOND MARKET ETF	921937835	SHORT TERM GAIN	\$0.02	-	-	
09/19/2016	VANGUARD INDEX FUNDS VANGUARD VALU	922908744	NONQUAL DIVIDEND	\$1.12	-	-	
Total Non-Qualified Dividends (included in Box 1a)				\$5.34			
02/11/2016	APPLE INC	037833100	QUALIFIED DIVIDEND	\$0.52	-	-	
05/12/2016	APPLE INC	037833100	QUALIFIED DIVIDEND	\$5.70	-	-	
09/19/2016	***BARCLAYS PLC ADR	06738E204	QUALIFIED DIVIDEND	\$0.79	-	-	
08/26/2016	CITIGROUP INC COM	172967424	QUALIFIED DIVIDEND	\$0.32	-	-	
04/20/2016	***HSBC HOLDINGS PLC SPONSORED ADR	404280406	QUALIFIED DIVIDEND	\$4.20	-	-	
09/06/2016	***HUANENG POWER INTL INC SPONSORE	443304100	QUALIFIED DIVIDEND	\$5.78	\$0.58	-	Box 7: VARIOUS
10/11/2016	***LLOYDS BANKING GROUP PLC SPONSO	539439109	QUALIFIED DIVIDEND	\$2.20	-	-	
06/13/2016	PDL BIOPHARMA INC	69329Y104	QUALIFIED DIVIDEND	\$0.15	-	-	
Total Qualified Dividends (Box 1b included in Box 1a)				\$19.66			
Total Ordinary Dividends (Box 1a)				\$25.00			
12/30/2016	VANGUARD TOTAL BOND MARKET ETF	921937835	LONG TERM GAIN	\$0.01	-	-	
Total Capital Gains Distributions (included in Box 2a)				\$0.01			

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DETAILS OF 2016 FORM 1099-DIV (continued)

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Comments
Total Capital Gains (Box 2a)				\$0.01			
02/05/2016	MARKET VECTORS AMT FREE INTERMEDIA	57060U845	EXMPT INT DIVIDEND	\$0.09	-	-	
03/07/2016	MARKET VECTORS AMT FREE INTERMEDIA	57060U845	EXMPT INT DIVIDEND	\$0.08	-	-	
04/07/2016	MARKET VECTORS AMT FREE INTERMEDIA	57060U845	EXMPT INT DIVIDEND	\$0.09	-	-	
02/29/2016	POWERSHARES NATIONAL AMT FREE MUNI	73936T474	EXMPT INT DIVIDEND	\$0.07	-	-	
03/31/2016	POWERSHARES NATIONAL AMT FREE MUNI	73936T474	EXMPT INT DIVIDEND	\$0.07	-	-	
Total Exempt-interest dividends (Box 10)				\$0.40			
Total Foreign Tax Paid (Box 6)					\$0.58		

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2016 INVESTMENT DETAILS

DETAILS OF 2016 PURCHASES AND SALES

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05/02/2016	ALPHABET INC CLASS A COMMON STOCK	02079K305	Purchase	1.000	\$711.54	\$711.54	
05/12/2016	ALPHABET INC CLASS A COMMON STOCK	02079K305	Sell	1.000	\$724.31	\$724.28	
01/27/2016	AOXING PHARMACEUTICAL COMPANY INC	03740A106	Purchase	1.000	\$0.73	\$0.74	
02/17/2016	AOXING PHARMACEUTICAL COMPANY INC	03740A106	Sell	1.000	\$0.81	\$0.79	
01/22/2016	APPLE INC	037833100	Purchase	1.000	\$98.79	\$98.79	
03/02/2016	APPLE INC	037833100	Sell	1.000	\$100.03	\$100.01	
04/27/2016	APPLE INC	037833100	Purchase	10.000	\$96.90	\$969.00	
05/12/2016	APPLE INC	037833100	Purchase	8.000	\$89.79	\$718.32	
05/24/2016	APPLE INC	037833100	Sell	18.000	\$97.90	\$1,762.15	
02/19/2016	ARES CAPITAL CORPORATION	04010L103	Purchase	2.000	\$12.77	\$25.54	
02/25/2016	ARES CAPITAL CORPORATION	04010L103	Sell	2.000	\$13.44	\$26.86	
01/22/2016	ARROW ELECTRONICS INC	042735100	Purchase	2.000	\$50.37	\$100.74	
02/01/2016	ARROW ELECTRONICS INC	042735100	Sell	2.000	\$51.35	\$102.68	
06/24/2016	***AVIVA PLC ADR	05382A104	Purchase	10.000	\$10.51	\$105.10	
07/01/2016	***AVIVA PLC ADR	05382A104	Sell	10.000	\$10.72	\$107.18	
05/27/2016	***BARCLAYS PLC ADR	06738E204	Purchase	3.000	\$10.90	\$32.70	
06/16/2016	***BARCLAYS PLC ADR	06738E204	Purchase	15.000	\$8.82	\$132.30	
06/23/2016	***BARCLAYS PLC ADR	06738E204	Sell	18.000	\$11.09	\$199.60	
06/24/2016	***BARCLAYS PLC ADR	06738E204	Purchase	15.000	\$8.69	\$130.35	
06/27/2016	***BARCLAYS PLC ADR	06738E204	Purchase	40.000	\$7.03	\$281.20	
06/30/2016	***BARCLAYS PLC ADR	06738E204	Sell	40.000	\$7.40	\$296.01	
09/01/2016	***BARCLAYS PLC ADR	06738E204	Sell	15.000	\$9.25	\$138.73	
02/24/2016	BLACKROCK CAPITAL INVESTMENT CORPORATIO	092533108	Purchase	2.000	\$8.54	\$17.08	
02/29/2016	BLACKROCK CAPITAL INVESTMENT CORPORATIO	092533108	Sell	2.000	\$8.96	\$17.90	
02/10/2016	***CNOOC LTD SPONSORED ADR REP 100 SHS	126132109	Purchase	1.000	\$94.55	\$94.55	
02/17/2016	***CNOOC LTD SPONSORED ADR REP 100 SHS	126132109	Sell	1.000	\$102.83	\$102.81	
02/19/2016	***CNOOC LTD SPONSORED ADR REP 100 SHS	126132109	Purchase	2.000	\$102.90	\$205.80	
03/02/2016	***CNOOC LTD SPONSORED ADR REP 100 SHS	126132109	Sell	2.000	\$111.91	\$223.80	
05/27/2016	CITIGROUP INC COM	172967424	Purchase	2.000	\$46.36	\$92.72	
06/16/2016	CITIGROUP INC COM	172967424	Purchase	2.000	\$41.29	\$82.58	
07/01/2016	CITIGROUP INC COM	172967424	Sell	2.000	\$42.21	\$84.40	
09/20/2016	CITIGROUP INC COM	172967424	Sell	2.000	\$46.80	\$93.58	
02/19/2016	***COMPANHIA PARANAENSE DE ENERGIA-(COP	20441B407	Purchase	2.000	\$5.68	\$11.36	
02/22/2016	***COMPANHIA PARANAENSE DE ENERGIA-(COP	20441B407	Sell	2.000	\$6.04	\$12.06	
02/26/2016	***COMPANHIA PARANAENSE DE ENERGIA-(COP	20441B407	Purchase	3.000	\$5.62	\$16.86	

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Account Name: RASUL KIREEV
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Account Executive No: DPA

ORIGINAL: 02/06/2017

IN ACCOUNT WITH

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(650) 940-2700

2016 INVESTMENT DETAILS

DETAILS OF 2016 PURCHASES AND SALES

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Comments
02/26/2016	***COMPANHIA PARANAENSE DE ENERGIA-(COP	20441B407	Purchase	3.000	\$5.62	\$16.86	
02/26/2016	***COMPANHIA PARANAENSE DE ENERGIA-(COP	20441B407	Purchase	4.000	\$5.62	\$22.48	
02/26/2016	***COMPANHIA PARANAENSE DE ENERGIA-(COP	20441B407	Purchase	5.000	\$5.62	\$28.10	
02/29/2016	***COMPANHIA PARANAENSE DE ENERGIA-(COP	20441B407	Sell	15.000	\$5.86	\$87.88	
02/19/2016	DELEK US HLDGS INC	246647101	Purchase	1.000	\$12.91	\$12.91	
02/22/2016	DELEK US HLDGS INC	246647101	Sell	1.000	\$13.64	\$13.62	
02/04/2016	FITBIT INC CLASS A COM	33812L102	Purchase	5.000	\$16.94	\$84.70	
05/05/2016	FITBIT INC CLASS A COM	33812L102	Purchase	4.000	\$14.06	\$56.24	
06/16/2016	FITBIT INC CLASS A COM	33812L102	Purchase	15.000	\$12.57	\$188.55	
09/19/2016	FITBIT INC CLASS A COM	33812L102	Sell	15.000	\$16.41	\$246.13	
09/20/2016	FITBIT INC CLASS A COM	33812L102	Sell	4.000	\$16.16	\$64.63	
06/14/2016	GENERAL MOTORS COMPANY	37045V100	Purchase	2.000	\$29.21	\$58.42	
07/18/2016	GENERAL MOTORS COMPANY	37045V100	Sell	2.000	\$31.02	\$62.02	
02/22/2016	***HSBC HOLDINGS PLC SPONSORED ADR	404280406	Purchase	4.000	\$31.54	\$126.16	
03/02/2016	***HSBC HOLDINGS PLC SPONSORED ADR	404280406	Sell	4.000	\$32.02	\$128.06	
01/22/2016	HP INC COM	40434L105	Purchase	1.000	\$10.18	\$10.18	
01/22/2016	HP INC COM	40434L105	Purchase	1.000	\$10.18	\$10.18	
01/22/2016	HP INC COM	40434L105	Purchase	1.000	\$10.18	\$10.18	
01/22/2016	HP INC COM	40434L105	Purchase	2.000	\$10.18	\$20.36	
02/18/2016	HP INC COM	40434L105	Sell	5.000	\$10.58	\$52.88	
04/20/2016	***HUANENG POWER INTL INC SPONSORED ADR	443304100	Purchase	2.000	\$32.90	\$65.80	
04/20/2016	HUGOTON ROYALTY TRUST TEXAS UNIT BEN IN	444717102	Purchase	1.000	\$1.50	\$1.50	
04/22/2016	HUGOTON ROYALTY TRUST TEXAS UNIT BEN IN	444717102	Sell	1.000	\$1.67	\$1.65	
03/11/2016	ICONIX BRAND GROUP INC	451055107	Purchase	7.000	\$8.60	\$60.20	
04/22/2016	ICONIX BRAND GROUP INC	451055107	Sell	7.000	\$8.61	\$60.25	
02/04/2016	INTEL CORP	458140100	Purchase	2.000	\$29.85	\$59.70	
03/02/2016	INTEL CORP	458140100	Sell	2.000	\$30.61	\$61.20	
01/22/2016	ISHARES MSCI RUSSIA CAPPED ETF	46434G806	Purchase	2.000	\$10.04	\$20.08	
02/01/2016	ISHARES MSCI RUSSIA CAPPED ETF	46434G806	Sell	2.000	\$10.80	\$21.58	
05/10/2016	LENDINGCLUB CORPORATION COM	52603A109	Purchase	23.000	\$4.38	\$100.74	
05/12/2016	LENDINGCLUB CORPORATION COM	52603A109	Purchase	2.000	\$3.74	\$7.48	
05/23/2016	LENDINGCLUB CORPORATION COM	52603A109	Sell	25.000	\$4.52	\$112.98	
06/24/2016	***LLOYDS BANKING GROUP PLC SPONSORED A	539439109	Purchase	50.000	\$3.19	\$159.75	
06/27/2016	***LLOYDS BANKING GROUP PLC SPONSORED A	539439109	Purchase	40.000	\$2.81	\$112.40	
06/30/2016	***LLOYDS BANKING GROUP PLC SPONSORED A	539439109	Sell	40.000	\$2.94	\$117.58	

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2016 INVESTMENT DETAILS

DETAILS OF 2016 PURCHASES AND SALES

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Comments
04/27/2016	MFA FINANCIAL INC	55272X102	Purchase	6.000	\$6.81	\$40.86	
05/05/2016	MFA FINANCIAL INC	55272X102	Sell	6.000	\$6.93	\$41.56	
06/14/2016	MFA FINANCIAL INC	55272X102	Purchase	1.000	\$7.33	\$7.33	
09/19/2016	MFA FINANCIAL INC	55272X102	Sell	1.000	\$7.51	\$7.49	
01/22/2016	MARKET VECTORS CHINAAMC A SHARE ETF	57060U597	Purchase	1.000	\$36.94	\$36.94	
03/04/2016	MARKET VECTORS CHINAAMC A SHARE ETF	57060U597	Sell	1.000	\$37.07	\$37.05	
01/22/2016	MARKET VECTORS INTERMEDIATE MUNICIPAL IN	57060U845	Purchase	2.000	\$24.27	\$48.54	
04/06/2016	MARKET VECTORS AMT FREE INTERMEDIATE	57060U845	Sell	2.000	\$24.30	\$48.58	
01/22/2016	MICRON TECHNOLOGY INC	595112103	Purchase	2.000	\$11.29	\$22.58	
02/18/2016	MICRON TECHNOLOGY INC	595112103	Sell	2.000	\$11.57	\$23.12	
05/27/2016	***MITSUBISHI UFJ FINANCIAL GROUP INC A	606822104	Purchase	5.000	\$4.89	\$24.48	
05/27/2016	***MITSUBISHI UFJ FINANCIAL GROUP INC A	606822104	Purchase	5.000	\$4.89	\$24.48	
09/01/2016	***MITSUBISHI UFJ FINANCIAL GROUP INC A	606822104	Sell	10.000	\$5.59	\$55.88	
05/27/2016	***MIZUHO FINANCIAL GROUP INC SPONSORED	60687Y109	Purchase	9.000	\$3.11	\$27.99	
09/01/2016	***MIZUHO FINANCIAL GROUP INC SPONSORED	60687Y109	Sell	9.000	\$3.48	\$31.30	
02/04/2016	NATIONAL-OILWELL VARCO INC	637071101	Purchase	1.000	\$28.46	\$28.46	
02/17/2016	NATIONAL-OILWELL VARCO INC	637071101	Sell	1.000	\$28.93	\$28.91	
05/02/2016	***NOMURA HOLDINGS INC SPONSORED ADR	65535H208	Purchase	2.000	\$4.23	\$8.46	
05/24/2016	***NOMURA HOLDINGS INC SPONSORED ADR	65535H208	Sell	2.000	\$4.30	\$8.58	
06/14/2016	***ORIX CORPORATION SPONSORED ADR	686330101	Purchase	3.000	\$65.49	\$196.47	
06/23/2016	***ORIX CORPORATION SPONSORED ADR	686330101	Sell	3.000	\$68.84	\$206.50	
02/26/2016	PDL BIOPHARMA INC	69329Y104	Purchase	3.000	\$2.97	\$8.91	
03/01/2016	PDL BIOPHARMA INC	69329Y104	Sell	3.000	\$3.09	\$9.25	
04/06/2016	PDL BIOPHARMA INC	69329Y104	Purchase	23.000	\$3.35	\$77.05	
04/14/2016	PDL BIOPHARMA INC	69329Y104	Sell	23.000	\$3.61	\$83.01	
05/27/2016	PDL BIOPHARMA INC	69329Y104	Purchase	3.000	\$3.29	\$9.87	
09/19/2016	PDL BIOPHARMA INC	69329Y104	Sell	3.000	\$3.29	\$9.85	
01/22/2016	POWERSHARES NATIONAL AMT FREE MUNICIPAL	73936T474	Purchase	1.000	\$25.66	\$25.66	
04/06/2016	POWERSHARES NATIONAL AMT FREE MUNICIPAL	73936T474	Sell	1.000	\$25.82	\$25.80	
06/24/2016	***ROYAL BANK OF SCOTLAND GROUP PLC ADR	780097689	Purchase	2.000	\$5.91	\$11.81	
06/27/2016	***ROYAL BANK OF SCOTLAND GROUP PLC ADR	780097689	Purchase	23.000	\$4.67	\$107.41	
07/29/2016	***ROYAL BANK OF SCOTLAND GROUP PLC ADR	780097689	Sell	23.000	\$5.11	\$117.51	
01/22/2016	SPDR SERIES TRUST SPDR S&P 500 HIGH DIV	78468R788	Purchase	2.000	\$27.96	\$55.92	
03/02/2016	SPDR SERIES TRUST SPDR S&P 500 HIGH DIV	78468R788	Sell	2.000	\$30.34	\$60.66	
01/22/2016	SCHWAB STRATEGIC TR US DIVIDEND EQUITY	808524797	Purchase	6.000	\$36.50	\$219.00	

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03/02/2016	SCHWAB STRATEGIC TR US DIVIDEND EQUITY	808524797	Sell	6.000	\$38.69	\$232.12	
05/02/2016	SELECT SECTOR SPDR TRUST THE FINANCIAL	81369Y605	Purchase	2.000	\$23.34	\$46.68	
05/10/2016	SELECT SECTOR SPDR TRUST THE FINANCIAL	81369Y605	Sell	2.000	\$23.38	\$46.74	
06/14/2016	SELECT SECTOR SPDR TRUST THE FINANCIAL	81369Y605	Purchase	3.000	\$22.98	\$68.94	
09/29/2016	SELECT SECTOR SPDR TRUST THE REAL ESTAT	81369Y860	CASH IN LIEU		-	\$13.84	
05/27/2016	***SUMITOMO MITSUI FINL GROUP INC SPONS	86562M209	Purchase	1.000	\$6.33	\$6.34	
05/27/2016	***SUMITOMO MITSUI FINL GROUP INC SPONS	86562M209	Purchase	1.000	\$6.33	\$6.34	
05/27/2016	***SUMITOMO MITSUI FINL GROUP INC SPONS	86562M209	Purchase	1.000	\$6.33	\$6.34	
05/27/2016	***SUMITOMO MITSUI FINL GROUP INC SPONS	86562M209	Purchase	1.000	\$6.33	\$6.34	
05/27/2016	***SUMITOMO MITSUI FINL GROUP INC SPONS	86562M209	Purchase	1.000	\$6.33	\$6.34	
09/01/2016	***SUMITOMO MITSUI FINL GROUP INC SPONS	86562M209	Sell	5.000	\$7.07	\$35.31	
02/19/2016	SUNCOKE ENERGY PARTNERS L P COM UNIT RE	86722Y101	Purchase	1.000	\$6.44	\$6.44	
02/22/2016	SUNCOKE ENERGY PARTNERS L P COM UNIT RE	86722Y101	Sell	1.000	\$6.81	\$6.79	
02/19/2016	TAL INTERNATIONAL GROUP INC	874083108	Purchase	5.000	\$10.02	\$50.10	
02/22/2016	TAL INTERNATIONAL GROUP INC	874083108	Sell	5.000	\$10.92	\$54.58	
02/23/2016	TEKLA HEALTHCARE INVS SH BEN INT	87911J103	Purchase	3.000	\$23.46	\$70.38	
03/02/2016	TEKLA HEALTHCARE INVS SH BEN INT	87911J103	Sell	3.000	\$23.56	\$70.67	
11/08/2016	22ND CENTURY GROUP INC	90137F103	Purchase	11.000	\$1.39	\$15.29	
02/19/2016	TWO HARBORS INVESTMENT CORP	90187B101	Purchase	1.000	\$7.50	\$7.51	
02/19/2016	TWO HARBORS INVESTMENT CORP	90187B101	Purchase	1.000	\$7.50	\$7.51	
02/29/2016	TWO HARBORS INVESTMENT CORP	90187B101	Sell	1.000	\$7.77	\$7.75	
02/29/2016	TWO HARBORS INVESTMENT CORP	90187B101	Sell	1.000	\$7.77	\$7.75	
04/20/2016	TWO HARBORS INVESTMENT CORP	90187B101	Purchase	2.000	\$7.82	\$15.64	
05/05/2016	TWO HARBORS INVESTMENT CORP	90187B101	Sell	2.000	\$8.03	\$16.04	
06/16/2016	***UNITED MICROELECTRONICS CORP SPONSOR	910873405	Purchase	1.000	\$1.89	\$1.89	
06/30/2016	***UNITED MICROELECTRONICS CORP SPONSOR	910873405	Sell	1.000	\$1.98	\$1.96	
05/02/2016	UNITED STATES OIL FUND LP UNITS ETF	91232N108	Purchase	4.000	\$11.12	\$44.48	
05/17/2016	UNITED STATES OIL FUND LP UNITS ETF	91232N108	Sell	4.000	\$11.87	\$47.46	
06/16/2016	UNITED STATES OIL FUND LP UNITS ETF	91232N108	Purchase	1.000	\$11.24	\$11.24	
06/30/2016	UNITED STATES OIL FUND LP UNITS ETF	91232N108	Sell	1.000	\$11.76	\$11.74	
06/16/2016	VANGUARD TOTAL BOND MARKET ETF	921937835	Purchase	1.000	\$83.91	\$83.91	
01/22/2016	VANGUARD S&P 500 ETF	922908363	Purchase	1.000	\$174.14	\$174.14	
03/02/2016	VANGUARD S&P 500 ETF	922908363	Sell	1.000	\$181.79	\$181.77	
05/02/2016	VANGUARD S&P 500 ETF	922908363	Purchase	1.000	\$189.79	\$189.79	
05/24/2016	VANGUARD S&P 500 ETF	922908363	Sell	1.000	\$190.75	\$190.73	

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APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5QT85194
Account Name: RASUL KIREEV
Taxpayer Identification Number: ***-**-2543

Account Executive No: DPA

ORIGINAL: 02/06/2017

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2016 INVESTMENT DETAILS

DETAILS OF 2016 PURCHASES AND SALES

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Comments
02/19/2016	VANGUARD SECTOR INDEX FDS VANGUARD REIT	922908553	Purchase	1.000	\$75.73	\$75.73	
02/29/2016	VANGUARD SECTOR INDEX FDS VANGUARD REIT	922908553	Sell	1.000	\$77.61	\$77.59	
02/19/2016	VANGUARD INDEX FUNDS VANGUARD GROWTH ET	922908736	Purchase	1.000	\$98.50	\$98.50	
03/02/2016	VANGUARD INDEX FUNDS VANGUARD GROWTH ET	922908736	Sell	1.000	\$102.00	\$101.98	
06/14/2016	VANGUARD INDEX FUNDS VANGUARD VALUE ETF	922908744	Purchase	2.000	\$83.66	\$167.32	
09/20/2016	VANGUARD INDEX FUNDS VANGUARD VALUE ETF	922908744	Sell	2.000	\$86.11	\$172.20	
01/22/2016	WESTERN DIGITAL CORP	958102105	Purchase	2.000	\$45.39	\$90.78	
02/04/2016	WESTERN DIGITAL CORP	958102105	Sell	2.000	\$49.02	\$98.02	
01/22/2016	XEROX CORP	984121103	Purchase	5.000	\$9.20	\$46.00	
02/01/2016	XEROX CORP	984121103	Sell	5.000	\$9.68	\$48.38	
01/27/2016	***NYMOX PHARACEUTICAL CORP COMMON	P73398102	Purchase	4.000	\$2.22	\$8.88	
02/19/2016	***NYMOX PHARACEUTICAL CORP COMMON	P73398102	Sell	4.000	\$2.29	\$9.14	
04/26/2016	***TEEKAY LNG PARTNERS L P UNIT LTD PAR	Y8564M105	Purchase	4.000	\$13.73	\$54.92	
05/10/2016	***TEEKAY LNG PARTNERS L P UNIT LTD PAR	Y8564M105	Sell	4.000	\$13.85	\$55.38	

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2016 OTHER DISTRIBUTIONS & CHARGES DETAILS

DETAILS OF 2016 DISTRIBUTIONS & CHARGES

Date	Security Description	CUSIP	Transaction Description	Amount	Comments
09/19/2016	***BARCLAYS PLC	06738E204	ADR FEE	\$0.02	
09/06/2016	***HUANENG POWER INTL INC	443304100	ADR FEE	\$0.04	
Total ADR Fees				\$0.06	
05/13/2016	***TEEKAY LNG PARTNERS L P	Y8564M105	PARTNERSHIP DIST	\$0.56	
Total MLP Distributions				\$0.56	

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END OF 2016 DETAILS

