

Functional Analysis

Closed Graph Theorem

Purushottam Priyam Rathaur

Roll No: 222123039

M.Sc. in Mathematics and Computing

Department of Mathematics

Indian Institute of Technology Guwahati

Nov 11th , 2023

Definition and Theorem that required in proof of Closed Graph Theorem

Banach space: A Normed Linear Space X is called a **Banach space** if it is complete with respect to the given norm $\| \cdot \|_X$. In other words, every Cauchy sequence in X converges to a limit in X .

Open Map :

Let X and Y be topological spaces. A function $f : X \rightarrow Y$ is called an **open map** if, for every open set U in X , the image $f(U)$ is an open set in Y . In other words, f preserves the openness of sets.

Theorem (Bounded Inverse Theorem)

Let X and Y be Banach spaces, and $T : X \rightarrow Y$ be a bounded linear operator. If T is bijective, then its inverse $T^{-1} : Y \rightarrow X$ is also a bounded linear operator.

Theorem (A)

Let X and Y be NLS, and $T : X \rightarrow Y$ be a linear operator. $\text{Ker}(T)$ is Null space of T . Define $\bar{T} : X/\text{ker}(T) \rightarrow Y$ by $\bar{T}([x]) = Tx$ for $x \in X$. Then T is an open map if and only if \bar{T} is an open map.

The Closed Graph Theorem

Theorem (The Closed Graph Theorem)

Let X and Y be Banach spaces, and $T : X \rightarrow Y$ be a linear transformation such that the graph of T , denoted by $G(T) = \{(x, Tx) \in X \times Y \mid x \in X\} \subseteq X \times Y$, is closed. Then T is continuous.

Proof:

$X, Y : \text{Banach spaces} \Rightarrow X \times Y$ is a Banach space,

$G(T)$ is closed $\Rightarrow G(T)$ is also a Banach space.

Define map $P : G(T) \rightarrow X$

by $P(x, Tx) = x$.

To show that P is a bijection, linear, and bounded

One-One: Suppose $P(x_1, Tx_1) = P(x_2, Tx_2)$.

$$\Rightarrow x_1 = x_2,$$

$$\Rightarrow (x_1, Tx_1) = (x_2, Tx_2).$$

Therefore, P is One-One.

Onto: For $x \in X$

we have $(x, Tx) \in G(T)$

s.t. $P(x, Tx) = x$

hence P is onto

Linear: Let (x_1, Tx_1) and (x_2, Tx_2) be in $G(T)$ and α in K .

$$\begin{aligned}
 P((x_1, Tx_1) + (x_2, Tx_2)) &= P(x_1 + x_2, T(x_1 + x_2)) \\
 &= x_1 + x_2 \\
 &= P(x_1, T(x_1)) + P(x_2, T(x_2)) \\
 P(\alpha(x_1, T(x_1))) &= P(\alpha x_1, \alpha T(x_1)) \\
 &= P(\alpha x_1, T(\alpha x_1)) \\
 &= \alpha x_1 \\
 &= \alpha P(x_1, T(x_1))
 \end{aligned}$$

Hence P is linear.

Bdd:
$$\begin{aligned}
 \|P(x, T(x))\|_X &= \|x\|_X \\
 &\leq \max\{\|x\|_X, \|Tx\|_Y\} \\
 &= \|(x, T(x))\|_*
 \end{aligned}$$

Hence, P is bounded. $\|\cdot\|_*$ denote the norm defined on $X \times Y$.

So, P is linear, bijective, and bounded. Hence, by the Bounded Inverse Theorem, $P^{-1} : X \rightarrow G(T)$ is continuous.

Now, define $Q : G(T) \rightarrow Y$ by $Q(x, Tx) = Tx$.

Linear: Let (x_1, Tx_1) and (x_2, Tx_2) be in $G(T)$ and α in K .

$$\begin{aligned}
 Q((x_1, Tx_1) + (x_2, Tx_2)) &= Q(x_1 + x_2, T(x_1 + x_2)) \\
 &= Tx_1 + Tx_2 \\
 &= Q((x_1, T(x_1))) + Q((x_2, T(x_2))) \\
 Q(\alpha(x_1, Tx_1)) &= Q(\alpha x_1, \alpha T(x_1)) \\
 &= T(\alpha x_1) \\
 &= \alpha x_1 \\
 &= \alpha Q((x_1, T(x_1)))
 \end{aligned}$$

Hence Q is linear.

$$\begin{aligned}
 \text{Bdd: } \|Q((x, T(x)))\|_Y &= \|T(x)\|_Y \\
 &\leq \max\{\|x\|_X, \|T(x)\|_Y\} \\
 &= \|(x, T(x))\|_*
 \end{aligned}$$

Hence, Q is **bounded**, and Q is **continuous** as Q is **linear**.

$Q \circ P^{-1} : X \rightarrow Y$ is a composition of two continuous functions, and as the composition of two continuous functions is again continuous, we conclude that

$Q \circ P^{-1}$ is a continuous function.

Moreover:

$$\begin{aligned}
 Q \circ P^{-1}(x) &= Q(P^{-1}(x)) \\
 &= Q((x, T(x))) = T(x) \\
 \Rightarrow Q \circ P^{-1} &= T \\
 \Rightarrow T \text{ is } \mathbf{continuous} \quad \text{as} \quad Q \circ P^{-1} \text{ is } \mathbf{continuous}.
 \end{aligned}$$

CLOSED GRAPH THEOREM IMPLIES OPEN MAPPING THEOREM

Theorem (Open Mapping Theorem)

Suppose X and Y are Banach spaces, and $T : X \rightarrow Y$ is a surjective (onto) bounded linear operator. Then, T is an open map, meaning that for any open set U in X , the image $T(U)$ is an open set in Y .

Proof :

CASE I: T is ONE-ONE :

T is one-one $\Rightarrow T^{-1}$ exists

By the Bounded Inverse Theorem T^{-1} is bounded, i.e. continuous

Hence for any open set U in X $(T^{-1})^{-1}(U)$ is open.

$\Rightarrow T(U)$ is open, i.e. T is an open map.

CASE II : T is not ONE-ONE:

Define $\bar{T} : X/\ker(T) \rightarrow Y$ by $\bar{T}([x]) = T_x$ for $x \in X$.

Linear:

let $[x_1], [x_2] \in X/\ker(T)$, and let $a \in \mathbb{K}$. Then,

$$\begin{aligned}\bar{T}(a[x_1] + [x_2]) &= \bar{T}([ax_1 + x_2]) \\ &= T(ax_1 + x_2) \\ &= aT_{x_1} + T_{x_2} \\ &= a\bar{T}([x_1]) + \bar{T}([x_2]).\end{aligned}$$

Hence, \bar{T} is linear.

One-One :

Assume $\bar{T}([x_1]) = \bar{T}([x_2])$ for some $[x_1], [x_2] \in X/\ker(T)$.

$$\Rightarrow Tx_1 = Tx_2$$

$$\Rightarrow x_1 - x_2 \in \ker(T)$$

which means $[x_1] = [x_2]$.

Therefore, \bar{T} is One-One.

Onto:

Let $y \in Y$. Since T is surjective, there exists $x \in X$ such that $Tx = y$.

Consider $[x] \in X/\ker(T)$.

Then, $\bar{T}([x]) = Tx = y$.

Thus, \bar{T} is surjective.

Therefore, \bar{T} is a linear, injective, and surjective map.

Continued...

Hence Inverse on \bar{T} exists , $\bar{T}^{-1} : Y \rightarrow X/\ker(T)$

For any $y_1, y_2 \in Y$, let $[x_1] = \bar{T}^{-1}(y_1)$ and $[x_2] = \bar{T}^{-1}(y_2)$.

Let,

$$\bar{T}^{-1}(y_1 + y_2) = [x]$$

$$\Rightarrow [x_1] + [x_2] = \bar{T}^{-1}(y_1) + \bar{T}^{-1}(y_2)$$

$$\Rightarrow \bar{T}([x_1] + [x_2]) = \bar{T}(\bar{T}^{-1}(y_1) + \bar{T}^{-1}(y_2))$$

$$\Rightarrow \bar{T}([x_1] + [x_2]) = y_1 + y_2$$

$$\Rightarrow [x_1] + [x_2] = \bar{T}^{-1}(y_1 + y_2)$$

$$\Rightarrow \bar{T}^{-1}(y_1 + y_2) = \bar{T}^{-1}(y_1) + \bar{T}^{-1}(y_2).$$

Continued...

For any $y \in Y$ and scalar α ,
let $[x] = \bar{T}^{-1}(y)$. Then,

$$\alpha[x] = \alpha \bar{T}^{-1}(y)$$

$$\Rightarrow [\alpha x] = \alpha \bar{T}^{-1}(y)$$

$$\Rightarrow \bar{T}([\alpha x]) = \alpha \bar{T}(\bar{T}^{-1}(y))$$

$$\Rightarrow \bar{T}([\alpha x]) = \alpha y$$

$$\Rightarrow \alpha[x] = \bar{T}^{-1}\alpha y.$$

Hence \bar{T}^{-1} is Linear .

Therefore, \bar{T}^{-1} is a linear, injective, and surjective map.

Also Graph of \bar{T}^{-1} is closed .

Hence by Closed Graph Theorem , \bar{T}^{-1} is bounded , that is \bar{T} is Open map . Hence by THEOREM(A) . T is open Map.

.....

This concludes the proof.

Thank You