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► To cite this version:

Laura J. Pollock, Louise M.J. O'connor, Karel Mokany, Dan F. Rosauer, Matthew V. Talluto, et al..
Protecting Biodiversity (in All Its Complexity): New Models and Methods. Trends in Ecology &
Evolution, 2020, 35, pp.1119 - 1128. 10.1016/j.tree.2020.08.015 . hal-03493786

HAL Id: hal-03493786

<https://hal.science/hal-03493786v1>

Submitted on 21 Nov 2022

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Protecting biodiversity (in all its complexity): new models and methods

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Keywords

Conservation prioritization, multi-trophic diversity, species distribution models, phylogenetic diversity, functional diversity

24

25 **Abstract**

26 We are facing a biodiversity crisis at the same time as we are acquiring an unprecedented view of the
27 world's biodiversity. Vast new datasets (e.g. species distributions, traits, phylogenies, and interaction
28 networks) hold knowledge to better comprehend the depths of biodiversity change, reliably anticipate
29 these changes, and inform conservation actions. To harness this information for conservation, we need to
30 integrate the largely independent fields of biodiversity modelling and conservation. We highlight new
31 developments in each respective field, early examples of how they are being brought together, and ideas
32 for a future synthesis so conservation decisions can be made with a fuller awareness of the biodiversity at
33 stake.

34

35 **Main Text**

36

37 ***We need greater diversity in biodiversity conservation***

38 Aside from a small fraction of well-known species with established conservation status, most of
39 the world's biodiversity is poorly integrated into conservation efforts. Even for iconic clades
40 such as birds and mammals, only a subset of species are considered in conservation despite a
41 continuously refined understanding of the ecology and evolution of these clades[1,2]. Lesser
42 known taxa are nearly always overlooked[3] even those most at risk of extinction[4]. First, we
43 need to expand the focus of biodiversity in conservation programs to consider more of the tree of
44 life, even taxa without names[5,6], especially as increasing evidence suggests 'invisible'
45 diversity is important to ecosystem functioning [7]. Second, we must consider the role of species
46 in ecosystems[8]. Biodiversity is not simply the mere sum of species, but includes complex
47 interaction networks with fluxes of energy and nutrients[9,10]. Even conservation efforts for

focal charismatic species would benefit from considering the other species enabling their existence. Biodiversity losses impact all facets of biodiversity (species, genetic diversity, **functional diversity, phylogenetic diversity**, see Glossary) and spatial extents ranging from local assemblages to the global pool of biodiversity. Considering these dimensions is essential to prevent widespread biodiversity loss.

Conservation evaluation often falls short for under-represented biodiversity

Simplified metrics likely miss many types of biodiversity

Despite scientific recognition of the importance of biodiversity across multiple facets, most planning and on-the-ground conservation still focus on a simplified representation of biodiversity such as the amount or quality of habitat. Habitat-based metrics are used more often in conservation than in ecology[11], and are often the basis of conservation offsetting, wetland mitigation, conservation easements, conservation and management of listed species (alongside population abundances, connectivity, and threat information when available), and are used at a regional extent in coordinated reserve designs (e.g. Natura 2000, https://ec.europa.eu/environment/nature/natura2000/index_en.htm). Reliance on habitat metrics can overlook important biodiversity that falls outside of commonly held notions of high-quality habitat[12], and these metrics do not necessarily represent population persistence[11]. While the use of habitat metrics certainly has follow-on benefits to some diversity, its surrogacy value for even well-known species is unclear[13], and unknown for many taxa and for different **biodiversity facets**.

In cases where multiple species are considered together in applied conservation, they are most often represented as species richness (the count of species in areas)[11]. The use of species richness cannot address the known biases in extinction risk towards certain clades (e.g. amphibians[14]) and functional types (e.g. top predators). While species richness and other species-based metrics can be an effective surrogate for phylogenetic diversity[10] or functional diversity[15], its surrogacy value depends on the conservation scenario[16] and tends to decrease in scenarios where resources are limited (i.e. few sites available for protection)[17]. We have very limited evidence for surrogacy value of different biodiversity facets outside of iconic animal groups (e.g. does aboveground animal richness relate to soil microbial diversity?). Finally, how species interact within ecosystems is almost never considered in applied conservation despite links to the important conservation goals of ecosystem functioning and resilience[9].

Independent conservation efforts fail to protect the world's biodiversity

Safeguarding multiple facets of biodiversity—especially for the purposes of preventing extinction, protecting our biological heritage, and retaining future options for society—requires an understanding of the global biodiversity pool, arguably more than for other conservation aims (e.g. managing local ecosystems or protecting ecosystem services)[18]. But conservation actions are typically local or regional, which can lead to unintended outcomes for global biodiversity[19]. First, species are declining globally[20], but not necessarily locally[21–23], leading to a homogenization of biodiversity where different areas have increasingly similar biodiversity[20]. Second, conservation priorities established for local species assemblages[16] or even for species at a national scale[19] differ from global biodiversity priorities[16,19]. Lastly, the emphasis on protecting large patches and connected habitats (e.g. species corridor initiatives), which is based on research on metapopulations and conservation genetics, risks

overlooking important biodiversity (e.g. endemic species, highly functional communities) that occur in small and isolated habitat patches [24]. A more spatially-coordinated approach is needed that recognizes how local conservation efforts impact the regional and global biodiversity pool.

The potential to bring together biodiversity modelling and conservation

The fields of ecology and conservation planning already consider the spatial extents necessary for this coordination, but they do so in slightly different ways. In systematic conservation planning (SCP), biodiversity features (e.g. species distributions) are considered in planning units. Planning units are compared across the entire area of interest using the principles of spatial **complementarity** and **irreplaceability**. In ecology, a similar hierarchy can be found in the delineation of α -(within-site or within-ecosystem diversity), β -(differentiation of sites in a region; e.g. compositional turnover), and γ -**diversity** (diversity of the entire region). We refer to γ -**diversity** as the total set of biodiversity in the area of interest, which could have a regional or global extent, to better align with conservation planning. Both fields are progressing independently toward a multi-scale, multi-faceted view of biodiversity (Figure 1). Now is the time to integrate these fields to rapidly expand the amount of biodiversity data that reaches conservation practice (Figure 2).

Advances in biodiversity models

While steady progress has been made on addressing the major biodiversity shortfalls with increased data collection (e.g. citizen science[25], environmental DNA) and global initiatives to compile data on species occurrences (e.g. GEO-BON initiative; geobon.org, GBIF; gbif.org), traits (e.g. TRY;www.try-db.org), genetic and phylogenetic data (e.g. GenBank), and species

interaction data (e.g. GloBI; globalbioticinteractions.org), major shortfalls remain[26].

Biodiversity models are essential tools to rapidly overcome these shortfalls. We consider

biodiversity models to be any model that makes predictions for biodiversity (including species

occurrences or abundances, traits, phylogenetic placement, or species interactions). Here, we

highlight two model classes: (1) **imputation models** for missing biodiversity data and (2) **spatial**

biodiversity models for predicting taxa or other biodiversity facets for unsampled locations,

which often serve as an input for conservation planning (Figure 1).

Imputation models

Imputation models are needed to fill in the many gaps in biodiversity datasets. For example, they

can generate missing trait values for taxa[27], which can be estimated from phylogenies,

assuming traits have a general pattern of conserved evolution[28] or through multivariate

imputation that does not rely on phylogenies[29]. Increasingly sophisticated approaches are also

being used to generate phylogenies when some gene sequences are missing[30], and to combine

multiple datasets into aggregated phylogenies either based on the phylogenies themselves (‘super

trees’ or preferably from a combined gene matrix[31]. There is also growing interest in

predicting interactions between species based on their trait attributes (e.g. predator-prey trait

matching[32]) and spatial co-occurrence data[33]. Predicting species interactions across many

species or at multiple locations is difficult with severely limited data, but can be addressed by

combining empirical data and expert knowledge[34].

While imputation models are greatly expanding the coverage of biodiversity datasets, challenges

remain. Data collection is systematically geographically and taxonomically biased, which could

bias predictions, especially for rare taxa. We are increasingly relying on the same datasets for different types of analyses, so there is also the danger of circularity if those analyses are later combined (e.g. using the phylogeny to predict trait values and later combining phylogeny and traits in spatial models). We need more robust tests for the impact of these biases, and to ensure that uncertainty is propagated from the original data sources to all subsequent analyses. A partial solution is to integrate methods and create a transparent and documented pipeline of analyses (Figure 2), but we still must address the underlying causes of bias and use this knowledge to guide future collection.

Spatial Biodiversity models

The Wallacean shortfall (inadequate knowledge of geographic distributions) greatly hinders progress in identifying conservation priorities[26]. This shortfall is particularly problematic at local scales—it is easier to define a regional or national set of species than to pinpoint the precise distributions of species at fine spatial scales[26]. Biodiversity models are essential tools to address this shortfall. In many cases, they can provide continuous coverage of species distributions at a resolution relevant for conservation purposes[35]. The most common examples are single species distribution models (i-SDMs; Fig. I), which have been and will continue to be invaluable tools for conservation applications [35]. Advanced models can additionally accommodate multiple facets (e.g. traits and phylogenies) and integrate elements of α - and β -diversity (Box 1). A notable advance is the ability to combine a top-down approach focused on an entire species assemblage with a bottom-up approach focused on single species (**‘sideways’ biodiversity models**; Box 1, Box 2). One such model, Joint Species Distribution Models (j-SDMs, reviewed in [36]), offers potential improvements by modeling all species simultaneously

[37,38], enabling conditional predictions [39–41], and has a flexible hierarchy amenable to adding other information such as traits[37], phylogenies[42], geographic space[43], temporal data[44], and detection bias[45], which impact multifaceted biodiversity estimates[46]. Models that can account for species interactions[47] are an exciting arena for future developments.

A key advantage of these advanced biodiversity models is the ability to process highly multidimensional datasets (e.g. many taxa, sites, and environmental variables). Combining multiple, large biodiversity datasets can quickly result in a computationally intractable problem. Solutions include dimensionality reduction in a Bayesian framework[36,48] or via machine learning[49]. However, what these approaches gain in flexibility may come at a cost in terms of generality, and the relationships derived between explanatory variables and diversity metrics are often empirical with little to no grounding in ecological theory. An important future research avenue will be to rigorously test new biodiversity models to evaluate their capacity for aiding ecological inference and for predicting to new situations (e.g. novel climates or altered ecosystems).

Advances in conservation evaluation of multifaceted biodiversity

While the methods described above could offer a richer representation of biodiversity and improved predictive abilities, identifying conservation solutions requires more than just biodiversity predictions. These predictions must be translated to meaningful outputs that can be used to meet defined objectives (e.g. protected area targets) or provide forecasts for decision makers. In systematic conservation planning (SCP)[50], priorities are established that efficiently meet goals for representation of biodiversity under a range of spatial or other constraints (e.g. in a **spatial prioritization**). Targets can be set on diversity (e.g. 10% of each species range

protected[17]) or approaches without targets such as algorithms based on **weighted**
endemism[16,19]. While i-SDMs are commonly used as inputs to spatial prioritization, more
advanced biodiversity models are rarely used (see Box 2 for exceptions). Multiple biodiversity
facets are also rarely considered in conservation despite decades of recognized conservation
benefit of *e.g.* phylogenetic diversity[51]. However, in recent years methodological
advances[52–54] have enabled the use of phylogenies and functional diversity [16,53] in
conservation planning studies at regional[6,52] and global[16,17] extents, and initiatives such as
EDGE (evolutionarily distinct and globally endangered; edgeofexistence.org) are promoting the
conservation of multiple biodiversity facets. Species interactions are even more rarely
considered, although emerging examples show how interactions can be used to evaluate
ecological network collapse[55], and set spatial priorities[56] and management scenarios that
account for predators and their prey[57]

The way forward: integrating biodiversity models and conservation evaluation

Modeling has a critical, yet under-appreciated role in conservation planning and practice,
especially with respect to setting and achieving global conservation targets (Aichi targets for
threatened species, habitat loss, and protected areas) in the Strategic Plan for Biodiversity 2011-
2020 and the post-2020 global biodiversity framework[58]. Models that predict the distribution
of species in space and time are crucial to these global initiatives, and i-SDMs are already widely
used in conservation[35]. We believe the more advanced biodiversity models have vast potential
for representing not just species but entire communities, clades, or functional roles (see early
examples in Box 2), but they first must be better-integrated into conservation efforts.

The advantages of this integration are many. First, a combined approach retains the unique strengths of each individual approach. Conservation planning would benefit from having access to the most advanced statistical machinery including model-calibration, model validation, and the propagation of uncertainty. Such models can also incorporate more flexible hierarchical structures that account for biases in sampling biodiversity, different sources of inputs (e.g. museum location data with community-based eDNA sampling), different extents (α - and β -diversity), and different resolutions of input data. They can take advantage of new data types (e.g. tracking data[59]) as they become available. Conversely, the field of biodiversity modelling would benefit from tailoring predictions for their use in tangible conservation scenarios and targets.

Second, an integrated approach reduces any redundancies that arise from using similar datasets and similar approaches in an unsynchronized manner. The potential for redundancy becomes greater the larger and the more complex the representation of biodiversity becomes. Redundancy could be reduced on two fronts—the raw data inputs and the methodological similarities (e.g. combining modelling and conservation optimization algorithms as discussed below).

Finally, this synthesis would make the pipeline of data-implementation more efficient and likely to result in a better representation of under-represented biodiversity in conservation. Recent calls to streamline analytical pipelines in ecology[60–62] point to increased efficiency [63] of readily available technological solutions, such as user-friendly interfaces (e.g. R Shiny, Dash).

Importantly, the integration of conservation goals, targets, and scenarios into modelling would require stakeholder input into the modelling decisions[64], which could lead to increased

coordination, trust and uptake of these models, decreasing the ‘black box’ problem of biodiversity models[60]. It would engage biodiversity scientists beyond academia to understand the needs of stakeholders and provide more tailored conservation guidance.

Steps forward

We recommend three related areas of focus to speed integration of biodiversity models and conservation: (1) adapting biodiversity models to accommodate conservation goals and scenarios, (2) combining biodiversity models and conservation optimization algorithms, and (3) re-evaluating model validation in light of conservation goals and scenarios. Recent examples show this integration is indeed possible (Box 2).

In many cases, statistical methods already exist to adapt biodiversity models for use in conservation. For example, management actions (or management history) could be included as model predictors, which could then be used to make predictions for different future actions. More complex methods of scenario-building are also possible through altering various model parameters [65,66](Box 2) or even adding sub-models of ecological processes (e.g. population viability). Previously collected ‘prior’ information (information on species threat or response to management action) can be combined with newly collected data to refine model estimates[67]. The challenge will be to find the best data and models for the conservation question at hand[68], requiring close collaboration between modelers and conservation practitioners[65].

Combining conservation optimization algorithms with biodiversity models is another important step (Figure 2) that will enable relevant ecological processes to be directly considered in the

conservation alternatives[65]. This integration will require some method developments, but will benefit from the similarities in data types (Figure 1), especially when definitions are synchronized (e.g. α -diversity is equivalent to diversity in planning units, β -diversity to complementarity[69], γ -diversity to the total set of biodiversity). In particular, β -diversity could be used in ecological models with scenarios (Box 2) or within optimization algorithms, streamlining methods and opening the possibility of evaluating conservation value of biodiversity not represented in a single-species approach (e.g. ecological interaction networks[70]).

Finally, model evaluation—while always a critical step in biodiversity modelling—is especially important with conservation scenarios that are often extrapolations to new situations (e.g. different environmental conditions, alternative restoration scenarios, poorly known taxa). Model evaluation will help address questions such as which type of model to use. For some conservation applications, a highly flexible, accurate model is likely appropriate (e.g. predicting the current distribution of a threatened species). For others, it might be preferable to have models more closely aligned with ecological theory (e.g. when predicting to novel environments such as climate change) or when the conservation question depends on a particular variable (e.g. properties of a connectivity corridor). While there are examples that evaluate the ability of advanced biodiversity models to predict rare species[71] and their interactions[72], very few studies have tested how well models extrapolate to new conditions with independent datasets, or whether they predict realistic conservation outcomes. Much more research is needed to understand how biodiversity models will perform in an applied setting.

Concluding Remarks

We urgently need to understand how biodiversity change impacts critical ecosystem functioning and prunes the tree of life. We propose an integration of advanced biodiversity models with conservation goals, targets, assessments, and practice. This integration would improve the ability to rapidly evaluate biodiversity data, make predictions and recommend conservation action for taxa, communities, and ecosystems. The backbone of this integration already exists with recent developments in biodiversity modelling and conservation. Early examples show this integration is possible, could be extended to a number of other conservation efforts and used to address unresolved questions of how to best protect the world's biodiversity (see Outstanding Questions).

Acknowledgements

LJP was supported with Marie Curie Fellowship 'Conserving the Legacy of Evolution into the Future) AMD-659422-1. This work received funding from the ERA-Net BiodivERsA - Belmont Forum, with the national funder Agence National pour la Recherche (FutureWeb: ANR-18-EBI4-0009).

Glossary Box

Alpha (α) diversity: quantifies local diversity, usually species richness or effective number of species (Shannon exponent)

Beta (β) diversity: quantifies the dissimilarity or turnover between locations in terms of composition. Can be pairwise between locations, global (average dissimilarity across the region) or the distinctiveness of a location compared to all others in the region.

Biodiversity facets: categories of biodiversity that describe relevant taxonomic or ecological information to support biodiversity evaluation, and that apply to and can be (relatively) easily measured for all or most taxa. Examples include taxonomic diversity, phylogenetic diversity, genetic diversity, trait/functional diversity and network diversity.

Biodiversity models: statistical or process-based models that are used to make inferences and predictions about the effect of the environment on biodiversity, accounting for ecological processes explicitly or implicitly. Biodiversity can be represented in units ranging from individuals to entire communities and additionally represent attributes of those taxa (e.g. abundances, functional traits, phylogenetic position, threat).

Complementarity (conservation planning): quantifies the difference between locations in terms of species or features represented within them (two locations are fully complementary if their pairwise β -diversity is equal to 1).

Complementarity (ecology): two species are complementary if they fill different roles in an ecosystem or use resources differently.

Functional diversity (FD): the diversity of functional forms in a species set (or community) measured by a variety of metrics that use dendrograms or representations in multidimensional space.

Gamma (γ) diversity: quantifies the total diversity across locations for an entire area of interest, which could be at regional or global scale depending on the study.

Imputation model: a model that estimates values for missing data points in biodiversity datasets (e.g. trait values for a particular taxa).

Irreplaceability: the extent to which a location or species is distinct from all others (opposite of redundancy). For example, a location where an endemic species occurs is irreplaceable; an irreplaceable species has a unique position in its community (in terms of function or interactions, i.e. distinctive) or in the phylogeny.

Macro-ecological model: a biodiversity model that uses a top-down approach to model α - or β -diversity directly rather than modeling the distributions of the component taxa.

Phylogenetic diversity (PD): The evolutionary diversity represented by sets of taxa, with the most common metric (Faith's PD) being the branch length of the minimum spanning tree connecting a set of species on a phylogeny[51] (sets of species can be from a single area or multiple areas combined).

‘Sideways’ biodiversity models: models that predict the distribution of biodiversity with a combination of bottom-up (i.e. single-taxon predictions) and top-down (i.e. models of the properties of an assemblage or community of taxa) approaches.

Spatial biodiversity models: biodiversity models that are explicitly spatial, in which inferences and predictions are made for biodiversity in certain locations (e.g. planning units or grid cells).

Spatial prioritization: A form of systematic conservation planning (SCP) that selects a set of areas that maximizes conservation value given other constraints (e.g. cost, protected areas, feasibility).

Weighted endemism: the ratio between a species local range of occurrence and its total range. Note: this is different than the definition of endemism which is the extent to which a species range is restricted to a particular location.

Figure 1. How biodiversity facets, different scales of biodiversity and conservation concerns factor into biodiversity modelling and conservation evaluation. Most modelling and evaluation involve particular target species rather than other facets of diversity (e.g. evolutionary history, species interactions). Most biodiversity models focus on ecological processes rather than explicitly considering conservation concerns, although many models are built for threatened species or species of conservation interest resulting in a mostly unidirectional flow of information from models to evaluation. In the case of spatial conservation planning, both models and evaluation use similar data inputs and spatial organization of biodiversity. Both have local

scale (or planning units) and a regional or global scale. In particular, the use of metrics or algorithms to differentiate sites from one another (beta diversity in modelling, complementarity in conservation planning) are treated similarly in modelling and planning.

Figure 2. The steps and information flow in a typical data-implementation pipeline (left) and a streamlined version (right) that combines models and evaluation in one step. We expect that more biodiversity information would make it through to the application stage (orange arrows) with a unified rather than disconnected method of evaluating biodiversity from the data products to their use in conservation applications, and that the increasing transparency and additional communication between biodiversity scientists and stakeholders is required by the combined approach.

Figure I. (Embedded in Box 1). The dimensions of recent biodiversity models. Models can have different types of response objectives: within-site models, between-site models and models of individual species. These response objectives fall along two axes: (1) top-down approaches where α -diversity or other diversity metrics are the focus versus bottom-up where individual species are the focus (vertical axis), and (2) whether the focus is on predicting biodiversity within sites or between sites (horizontal axis). DynamicFOAM: Dynamic Framework for Occurrence Allocation in Metacommunities, GDM: Generalized Dissimilarity Modelling, MEM: macro-ecological model, i-SDM: individual or single-species distribution model, s-SDM: stacked species distribution model, j-SDM: joint species distribution model, SESAM: Spatially explicit species assemblage modelling.

Box 1. Modelling biodiversity from the top-down, bottom-up and sideways

Biodiversity models can be defined by their ‘response objectives’ and internal structure (particularly the response variable—what is being predicted). These response objectives can be represented with axes that describe whether the objective is a top-down versus bottom-up or a within- versus between-site depiction of biodiversity (Fig. I). Current modelling approaches can be mapped onto this space, with potentially the most information rich approaches (grey circle in Fig. I) cross-linking biodiversity facets (traits and phylogenies) while jointly predicting community composition (e.g. species abundance or occurrence), within-site community diversity, and between-site turnover.

In the top-down approach, known as a **macro-ecological model** (MEM), α -diversity is itself is the response (e.g. species richness or phylogenetic diversity) and the input data is a site by diversity matrix. β -diversity models (e.g. GDM; Generalized Dissimilarity Modelling[73] or MBM; multi-faceted biodiversity models[49]) estimate turnover in species or phylogenetic diversity[74] between sites as a function of differences in environmental and geographic distances.

Bottom-up approaches, where individual species are the response variables (single-species distribution model, i-SDM), are by far the most common type of biodiversity model used. Note that α -diversity can also be derived from aggregating models of individual species together in a stacked species distribution model (s-SDM). This feature is important for conservation planning, because both the species pool of a set of sites and diversity metrics for entire species assemblages can be calculated (e.g. endemism-based metrics). However, resulting diversity

metrics are simply the sum of the parts—there is no information on species associations or community-level attributes.

‘Sideways’ methods are methods that combine top-down and bottom-up approaches: Joint Species Distribution Models (j-SDMs), which are the multi-species version of i-SDMs that model effects of individual species and communities[39,40], and can include shared responses between species and can include other information such as phylogeny and traits in a hierarchical framework, and spatial and temporal dynamics[66]; Dynamic Framework for Occurrence Allocation in Metacommunities (DynamicFOAM)[75], which balances richness and turnover to predict occurrences for all species, SESAM: Spatially explicit species assemblage modelling[76], which adjusts combined s-SDM predictions based on those of macro-ecological models.

Box 2. Hybrid approaches for integrating advanced biodiversity models and conservation decisions

We have emphasized the need for models that join different spatial and taxonomic dimensions of biodiversity that can be combined with conservation evaluation. Here are examples from two classes of advanced biodiversity models (Box 1) that directly address applied conservation questions. There is much scope for building upon and extending these early examples.

1. Macro-ecological models

For taxa for which there is no abundance or time-series data, models based on α - and β -diversity (Box1) can also be used to directly design, predict, and compare conservation scenarios. For example, β -diversity models can summarise the expected effects on future biodiversity of changes to habitat condition, extent or management, as change in effective habitat area[77]. With sophisticated models of environmental or land-use change for example, new protected areas,

habitat loss[78] or degradation and climate change[79], scenarios can be planned for the most beneficial effect on biodiversity even at global extents[77], and can also be used with phylogenetic[74] or functional[49] β -diversity.

2. 'Sideways' biodiversity models

Multi-species models that contain a hierarchy for a species-level and community (or ecosystem)-level (Box 1) are widely relevant to conservation applications because they can simultaneously estimate ecological processes relevant for individual species and for larger-scale attributes (e.g. ecosystem properties). Perhaps the best example of this approach has been for evaluating management strategies in fisheries, in which models of fish species (or sets of species) are combined with ecosystem level attributes[64]. In this way, important ecological and ecosystem constraints can be considered (e.g. population dynamics in response to habitat or predators) along with management scenarios (harvest controls)[57]. Recent versions allow for evaluation of harvest impacts, survey designs, and protection scenarios for finescale habitats in a changing climate[66]. While these more elaborate designs are only possible for a subset of well-studied taxa, this will be increasingly possible with ever-improving sampling and imputation models.

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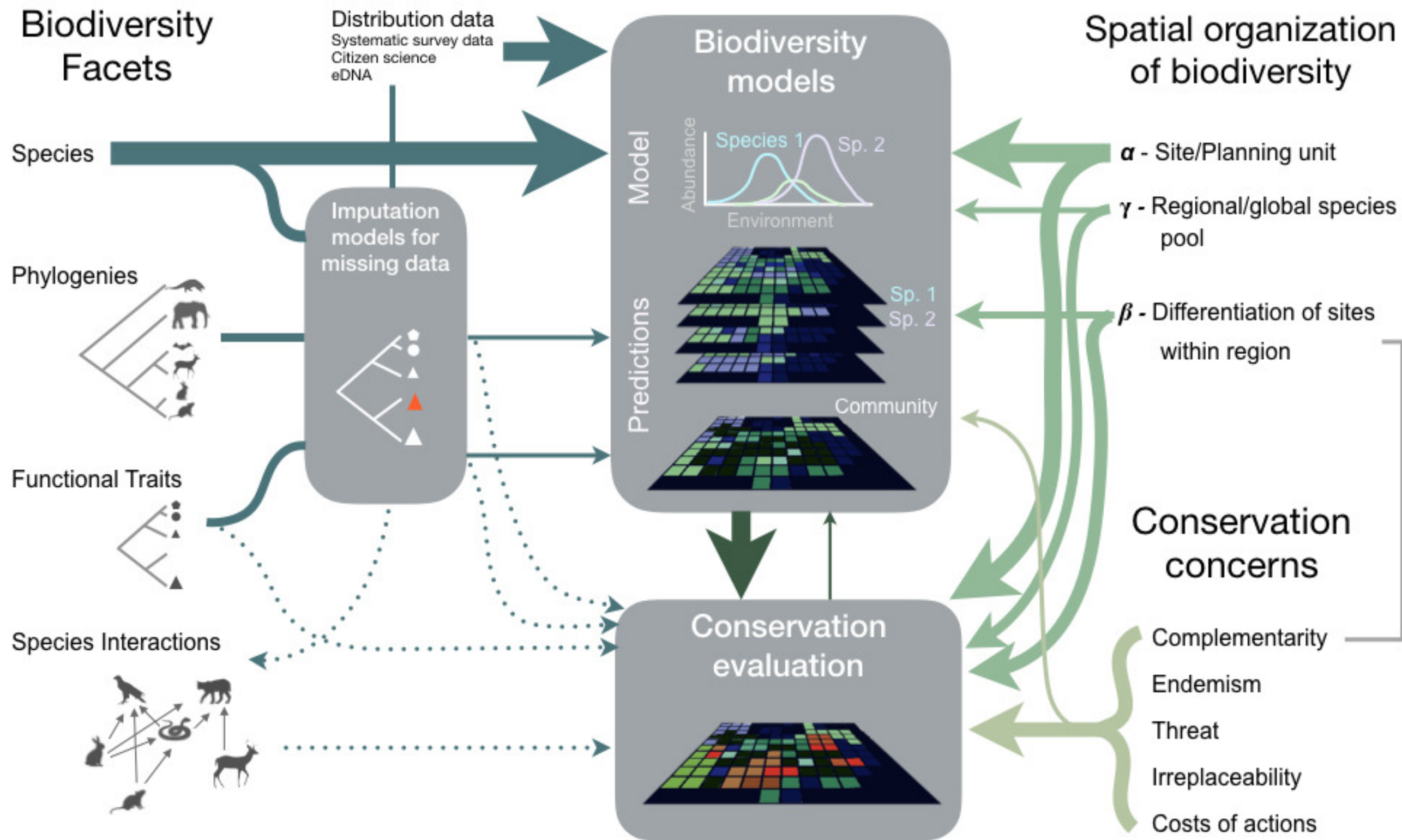
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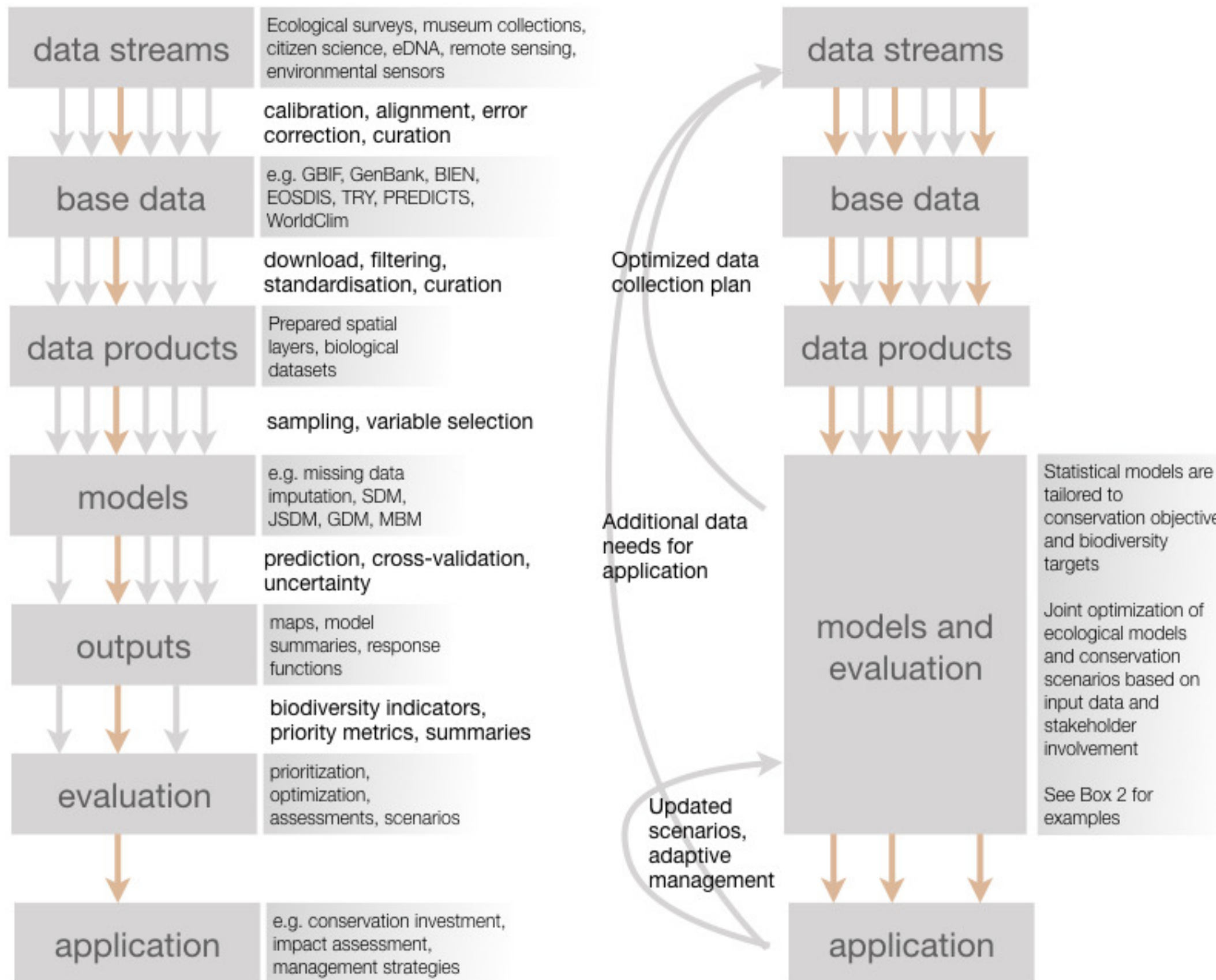
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MODEL OBJECTIVE SPACE

