

02/1/2020

## DISCRETE MATHEMATICS

### Before Midsem

- ① Graph Theory
- ② Basic Combinatorics

Room No:

N-340 - (by appointment)

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### Books:-

- Introductory Graph Theory - Gary Chartrand
- Discrete Mathematics - K. Rosen
- Mathematics for Computer Science (MIT)

① Graphs: - Vertices, edges, cycle, connectedness, trees,

② Minimal Connector Problem (Algo)  
(MST)

③ Counting Spanning trees ④ Prüfer Sequence  
⑤ Cayley's formula  
⑥ Matrix-Tree Theorems

⑦ Bipartite graphs, Matchings

⑧ Eulerian graphs, Hamiltonian graphs  
(Ore's theorem)

⑨ Planar graphs

If we can redraw a graph in which no two edges are intersecting, are planar graphs.

for any planar graph -

$$\text{no. of vertices} + \text{no. of edges} + \text{no. of regions} = 2$$

adjacent

# Graph Theory

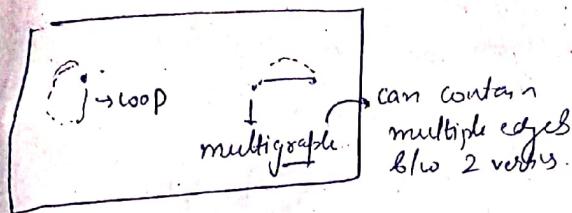
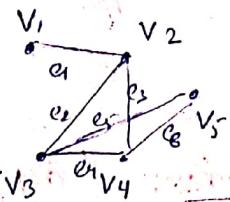
Def: (Graph)

Simple

$$G_1 = (V, E)$$

$$V = \{v_1, v_2, \dots, v_n\}$$

$$E = \{e_1, e_2, \dots, e_m\}$$

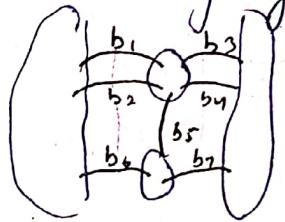


A graph  $G_1$  consists of a finite set  $V$ , whose members are called vertices of  $G_1$ , and a set  $E$  of 2-subsets of  $V$ , whose members are called edges.

Euler

lived in

Königsberg



Starting from one edge, travel each bridge only once.

\* Perron-Frobenius Theorem

\*  $|V|$  = Order of the Graph  $G_1$

$|E|$  = Size of the graph  $G_1$ .

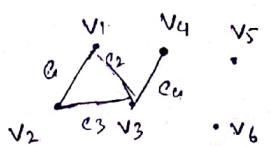
$$G_1 = (V, E) = (V(G_1), E(G_1))$$

\* If  $e \in E(G_1)$ ,  $e = v_i v_j$ , then we say the edge  $e$  joins the vertices  $v_i$  &  $v_j$ .

\* Two vertices  $v_i$  &  $v_j$  are adjacent in  $G_1$ , if there is an edge b/w them, if  $v_i v_j \in E(G_1)$ .

\* If  $e = v_i v_j \in E(G_1)$ , then two vertices  $v_i$  &  $v_j$  are incident with the edge  $e$ .

Example:



$$V = \{v_1, v_2, v_3, \dots, v_6\}$$

$$E = \{e_1, e_2, e_3, e_4\}$$

\*  $v_1$  &  $v_2$ ,  $v_2$  &  $v_3$ ,  $v_3$  &  $v_4$ ,  $v_4$  &  $v_5$ ,  $v_5$  &  $v_6$ ,  $v_6$  &  $v_1$  are adjacent

- $v_1 \& v_4$  are not adjacent.
- $v_{1,2}$  is incident with  $e_1$ .
- $v_2 \& v_3$
- $v_3 \& v_4$
- $v_4 \& v_3$

④ Degree of a vertex ( $\deg_G(v)$ ): The degree of vertex  $v$  in a graph  $G$  is the number of edges of  $G$  incident with the vertex  $v$ . Equivalently, no. of vertices which are adjacent to  $v$  in  $G$ .

In the prev. graph,  $G$ .  $\deg_G(v_1) = 2$

$$\begin{aligned}\deg_G(v_2) &= 2 \\ \deg_G(v_3) &= 3 \\ \deg_G(v_4) &= 1\end{aligned}$$

Theorem (First theorem of Graph Theory):

For any graph  $G$ , the sum of the degrees of the vertices of  $G$  equals to the twice of the no. of edges.

$$V = \{v_1, v_2, \dots, v_n\} \quad E = \{e_1, e_2, \dots, e_m\}$$

$$\sum_{i=1}^n \deg_G(v_i) = 2 \times m \quad \rightarrow \text{Fundamental Th. of Graph Th.}$$

Proof: When summing the degrees of the vertices of a graph  $G$ , we count each edge of  $G$  twice.

Def: Odd Vertex:  $\deg_G(v)$  is odd.  
Even vertex:  $\deg_G(v)$  is even.

$$V = \{v_1, v_2, \dots, v_n\} \quad \{\deg(v_1), \deg(v_2), \dots, \deg(v_n)\}$$

Theorem: Every graph contains an even number of odd vertices.

Proof: Let  $\{v_1, v_2, \dots, v_n\}$  be the vertex set of  $G$  & it contains  $k$  odd vertices.

Let us assume  $v_1, v_2, v_3, \dots, v_k$  are odd vertices.  
(Wlog  $\rightarrow$  we are relabelling  $v_1, v_2, v_3, \dots, v_k$  as such that there is no change.)

$$\sum_{i=1}^n \deg(v_i) = 2m$$

$$\Rightarrow \sum_{i=1}^k \deg(v_i) + \sum_{i=k+1}^n \deg(v_i) = 2m$$

$$\sum_{i=1}^k \deg(v_i) = 2m - \sum_{i=k+1}^n \deg(v_i)$$

$\Rightarrow k$  is even number.

Def: Complete Graph: A graph is said to be a complete graph, if b/w any 2 vertices of  $G$ , there is an edge b/w them.



Notation for complete

graph  $K_n$   $n = \text{no. of vertices}$   $G_1$   $\square$   $K_2$   $\triangle$   $K_3$   $\times$   $K_4$

$$|N \cup \{0\}|$$

$$= \{1, 2, \dots, 3\}$$

$$\mathbb{Z} = \{0, \pm 1, \pm 2, \dots\}$$

$$A = \{x_1, x_2, \dots, x_n\} \quad B = \{y_1, y_2, \dots, y_m\}$$

$\phi: A \rightarrow B$ .  $\phi \rightarrow$  one-one onto.

2 infinite sets, have same no. of elements if there is a bijection b/w them.

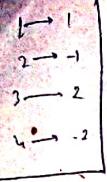
Def:  $G = (V, E)$ ,  $V$  vertex set  $V$ ;  $|V| \rightarrow$  order of  $G$ .

(\*) Edge set  $E$ ,  $|E| \rightarrow$  size of  $G$ .

(\*)  $e \in V_i, V_j \in E(G)$  if  $i, j$

$\rightarrow$   $V_i$  &  $V_j$  are adjacent

$\rightarrow e \& V_i$  are incident.



$$\phi : \text{IN} \cup \{\text{obj}\} \rightarrow \mathbb{Z}$$

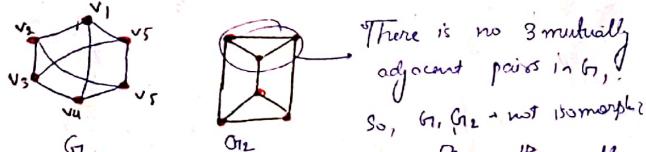
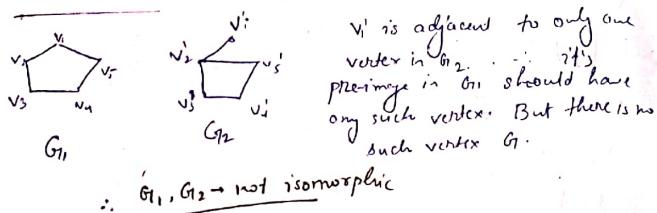
$$f(n) = \begin{cases} 0 & \text{if } n \text{ is even} \\ -n_2 & \text{if } n \text{ is odd} \\ n_2 & \text{if } n \text{ is odd} \end{cases}$$

④ if  $f: X \rightarrow \{1, 2, 3, \dots, n\}$ .  
bijection  $|N| = n$

$$j: X \rightarrow \text{INU} \cup \{\text{obj}\} \xrightarrow{f} \mathbb{Z}$$

bijection,  $|X| = |\text{INU} \cup \{\text{obj}\}|$

Isomorphism: let  $G_1$  &  $G_2$  be 2 graphs.  
 $G_1$  &  $G_2$  are said to be isomorphic if  
 $\exists \phi: V_1 \rightarrow V_2$  such that  $v_i \sim v_j$  are adjacent in  $G_1$ ,  
there is bijection iff  $\phi(v_i) \sim \phi(v_j)$  are adjacent in  $G_2$ .



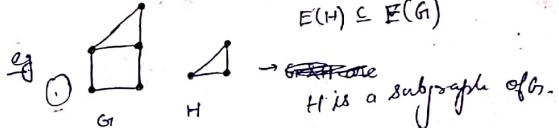
Theorem: If  $G_1$  &  $G_2$  are isomorphic, then the degrees of the vertices of  $G_1$  are exactly same as that of  $G_2$  (up to permutation).  
Converse need not be true i.e. if 2 graphs have same degree of vertices, it is not necessary that they are.

Proof: let there be a vertex  $v$  in  $G_1$  &  $\deg(v_i) = k$ , &  
let  $N(v) = v_1, v_2, v_3, \dots, v_k$  are adjacent to  $v$ .  
 $\phi(v_i)$  is adjacent with  $\phi(v)$ ,  $\phi(v_i) \in N(\phi(v))$ .  
& these are the only vertices adjacent to  $\phi(v)$ .  
 $\therefore \deg(\phi(v)) = \deg(v)$  (because the preceding must also have equal no. of adj. vert.)

Subgraph:  $G_1 = (V, E)$ ,  $H$  is a subgraph of  $G_1$

$$\text{if } V(H) \subseteq V(G_1)$$

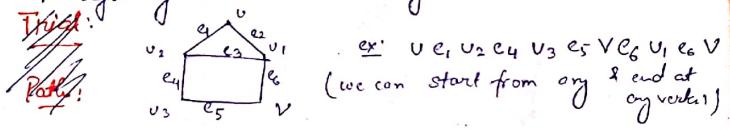
$$E(H) \subseteq E(G_1)$$



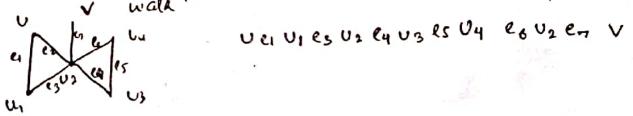
①  $G_1: v_1 \sim v_2 \sim v_3 \sim v_4 \sim v_1$   $H_1: v_1 \sim v_2 \sim v_3 \rightarrow$  subgraph  
 $H_2: v_1 \sim v_2 \sim v_3$  not a subgraph  
 $E(H_2) \not\subseteq E(G_1)$

Spanning Subgraph:  
A subgraph  $H$  of  $G_1$  is said to be spanning if  $V(H) = V(G_1)$ .

Walk: alternating sequence of vertices & edges of  $G_1$  beginning with  $v$  & ending at  $v$ .



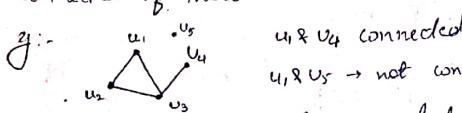
Trial:  $u-v$  walk with no edge repetition.  
but vertex can be repeated



Path:  $u-v$  trial with no vertex repetition.

Connected Graphs:-

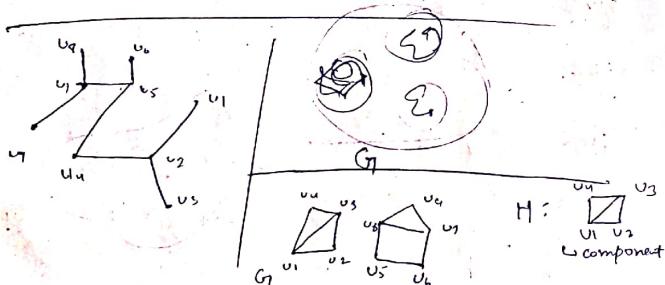
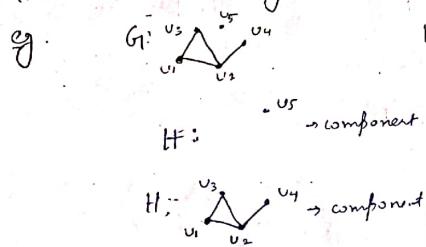
- Two vertices  $u$  &  $v$  of a graph are said to be connected if there is a  $u-v$  path existing in  $G_1$ .



- A graph  $G_1$  is said to be connected if for any 2 vertices  $u$ , &  $v$  of graph  $G_1$ , there exists a  $u-v$  path in  $G_1$ .  
↳ i.e. any 2 vertices are connected.

④ Disconnected = not connected.

- A connected subgraph  $H$  of  $G_1$  is said to be a component of  $G_1$  if  $H$  is not contained in any connected subgraph of  $G_1$  having more vertices or more edges than  $H$ .



Circuit: A  $u-v$  trial in which  $u=v$  & which contains atleast 3 edges is called a circuit.

Cycle: A  $u-v$  path in which  $u=v$  is called a cycle.

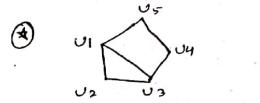
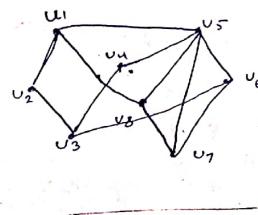
$$u_1 \rightarrow u_2 \rightarrow u_3 \rightarrow u_4 \rightarrow u_5 \rightarrow u_1$$

$$u_1 \leftarrow u_5 \leftarrow u_6 \leftarrow u_7 \downarrow$$

↳ Circuit

$$u_1 \rightarrow u_2 \rightarrow u_3 \rightarrow u_4 \rightarrow u_5 \rightarrow u_1$$

↳ cycle



u<sub>1</sub> → u<sub>4</sub> Path

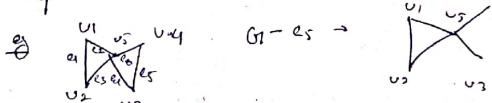
$$u_1 \rightarrow u_2 \rightarrow u_4$$

$$u_1 \rightarrow u_3 \rightarrow u_4$$

$$u_1 \rightarrow u_2 \rightarrow u_3 \rightarrow u_4$$

⑤ For an edge  $e$  of  $G_1$ ,  $G_1 - e$  is a subgraph of  $G_1$  having the same vertex set as that of  $G_1$ , & having all the edges of  $G_1$  other than  $e$ .

⑥ If  $v$  is a vertex of  $G_1$ , then  $G_1 - v$  is the subgraph of  $G_1$  whose vertex set consists of all the vertices of  $G_1$  except  $v$  and the edge set (of  $G_1 - v$ ) consists of all the edges of  $G_1$  except those incident with vertex  $v$ .



$$G_1 - v_3 \rightarrow$$



② Bridge: It is an edge in a connected graph  $G_1$ , if  $G_1 - e$  is disconnected. (or, no of components increases)

③ A vertex  $v$  of a connected graph  $G_1$  is called a cut vertex if  $G_1 - v$  is disconnected. (or increase the no of components)

Remark:

④  $G_1$  is connected &  $e$  is bridge, then  $G_1 - e$  has exactly 2 components.



$$G_1 = \{V, E\}$$

$$V = \{v_1, v_2, v_3, \dots, v_n\}$$

$$V_2 = \{v_{i1}, v_{i2}, \dots, v_{in}\}$$

(b)  $G_1$  is connected &  $v$  is a cut vertex. Then  $G_1 - v$  has at least 2 components.

Theorem: Let  $G_1$  be a connected graph. An edge  $e$  of  $G_1$  is a bridge iff  $e$  does not lie on any cycle.

Proof:

( $\Rightarrow$ ) we assume the 1st part & prove the 2nd

$e$  is a bridge of  $G_1$ . So  $G_1 - e$  should be disconnected.

Let  $u_1, v_1$  be the vertices of  $G_1 - e$  so that  $u_1$  &  $v_1$  are not connected.

Claim:  $e$  does not lie on any cycle.

on contrary: if  $e$  lies in a cycle, say  $c$ ;  $u - v - w - v - u$

then  $u - v - w - v - u$  is a  $u - v$  path in  $G_1 - e$ .

This is a contradiction to the assumption.

Thus the proof.

For the converse:

edge  $e$  does not lie in a cycle.

Claim:  $e$  is a bridge

On contrary, if  $e$  is not a bridge. Then  $G_1 - e$  is connected. So,  $\exists$  a  $u - v$  path in  $G_1 - e$ , say  $u - u_1 - u_2 - \dots - u_k - v$ . Now the cycle  $u - u_1 - u_2 - \dots - u_k - u - e - v$  is produced in  $G_1$ . i.e.,  $e$  is in a cycle of  $G_1$ . This is not possible.

$\therefore e$  must be a bridge.

Terrence  
Tao

15/12/2020 Tree: Any connected graph that has no cycles is called a tree.

Forest: Any graph that does not contain cycles is called a forest.

$G$  -  $n$ -vertices  $\leq$  at most  $nC_2$  edges

Theorem: If  $G_1$  is a tree of order  $p$ , and size  $q$ ,

then  $q = p - 1$

proof: (Proof by Strong mathematical induction)

If we have a graph on at  $(n+1)$  edges,

When  $p=1$ , the proof is clear.

Assume that the result is true for all trees whose number of vertices is at most  $(k-1)$ .

Let  $G_1$  be a tree on  $k$ -vertices.

As  $G_1$  is a tree, so any edge  $e$  of  $G_1$  is a bridge in the graph.

So, the graph  $(G_1 - e)$  has exactly 2 components  $\rightarrow$  as  $G_1$  is a tree.

Assume  $G_1$  is of the order of  $p_1$  &  $G_1 - e$  is of order  $p_2$ .

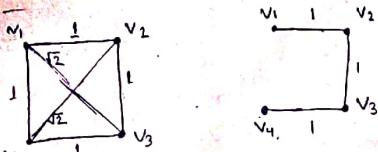
By M. induction size of  $G_1$  is  $p_1 - 1$ . & size of  $G_1 - e$  is  $p_2$ .

Size of  $G_1$  is:  $(p_1 - 1) + (p_2 - 1) + 1$

$$\bullet \text{ ie, } q = p_1 + p_2 - 1 = p - 1$$

## Minimal Connector Problem / Minimal Spanning Tree

Problem



Subgraph: If  $H$  is a subgraph of  $G$ ,  $V(H) \geq V(H) \& E(H) \subseteq E(G)$ .

$$\text{if } G: \begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array} \quad \begin{array}{l} V(H) = \{1, 2, 3\} \\ E(H) = \{(12), (23)\} \end{array}$$

Spanning Subgraph:  $H$  is a spanning subgraph if  $V(H) = V(G)$  &  $E(H) \subseteq E(G)$ .

$$V(H) = V(G), H \text{ is a tree } E(H) \subseteq E(G)$$

Problem: Find a spanning tree  $T$  of  $G$  with the sum of the weights of edges is minimum.

$$(*) \text{ Weight of tree } T: w(T) = \sum_{e \in E(T)} w(e)$$

Greedy Algorithm: Construction of tree with minimum weight.

(\*) Choose an edge  $e_1$  of  $G$  so that  $w(e_1)$  is minimum among all other edges of  $G$ .

(\*) Choose the second edge  $e_2$  of  $T$  from  $G - \{e_1\}$ , so that  $w(e_2)$  is minimum among all the edges of  $G - \{e_1\}$ .

(\*) Choose the third edge  $e_3$  of  $T$  from  $G - \{e_1, e_2\}$  so that  $w(e_3)$  is min. among  $e \in G - \{e_1, e_2\}$ .

$\{e_1, e_2, e_3\}$  does not produce a cycle in  $T$ .

We continue this process until we obtain a spanning tree  $T$  of  $G$ .

A spanning tree obtained using the process is called a minimal spanning tree.

Theorem: Let  $G$  be a connected graph with weighted edges & let  $T$  be a minimal spanning tree. Then  $T$  is a spanning tree whose weight is minimum.



Proof: Let  $G$  be a graph on  $n$ -vertices. Let  $T$  be a minimal spanning tree (tree which we obtained by greedy algo.)

Let  $e_1, e_2, e_3, \dots, e_m$  be the edges of  $T$ .

Let  $T_0$  be a spanning tree of  $G$  with minimum weight.

If  $T_0 \neq T$  are identical, then we are done.

Otherwise,  $\exists$  an edge which is in  $T$  but not in  $T_0$ .

WLOG, let  $e_1$  be the first edge in  $T$  which is not in  $T_0$ . ( $1 \leq i \leq n-1$ )

Add the edge  $e_1$  to  $T_0$ , call the new graph as  $G_0$ .

Suppose  $e_1 = uv$ . Then the edge  $e_1$  in  $G$  produces a cycle in  $G_0$ . So  $\exists$  an edge  $e_0$  in  $G_0$  (cycle), which is not in  $T$ .

consider the graph  $T_0' = G_0 - e_0$ .

It is clear that  $T_0'$  is a spanning tree.

$$w(T_0') = w(T_0) + w(e_1) - w(e_0)$$

$$0 \geq w(T_0) - w(T_0') = w(e_0) - w(e_1)$$

$$\Rightarrow w(e_0) \leq w(e_1) \quad (\text{as we had both } e_1 \text{ & } e_0 \text{ to choose from } e_1 \text{ & } e_0 \text{ to choose } e_0 \in E(T)) \Rightarrow w(e_0) = w(e_1) \quad (\text{chose } e_1 \text{ as } e_1 = e_0)$$

Note that the number of edges common to  $T_0$  &  $T$  exceeds the no. of edges common to  $T_0'$  &  $T$  by one edge namely,  $e_1$ . By continuing  $T_0$  &  $T$  by one edge namely,  $e_i$ . By continuing this process, we finally arrive at a tree with minimum value which is identical to  $T$ .

Recap: Minimal Spanning Tree ( $T$ ): Output of Greedy Algo

Spanning Tree  $T_0$ ,  $w(T_0)$  is min.

$$\text{To prove: } w(T) = w(T_0)$$

$$T_0 \neq T, e_i \in E(T_0)$$

in  $T_0 \cup e_i$   $\exists$  cycle induced by  $e_i$

$e_0 \in C \subseteq T_0 \cup e_i$  such that  $e_0 \notin E(T)$

$$T = (T_0 \cup e_i) - e_0$$

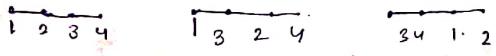
$$T: e_1, e_2, \dots, e_i, e_{i+1}, \dots, e_n$$

$$e_i \in E(T)$$

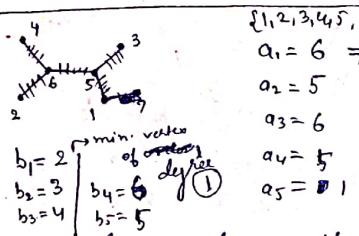
$$e_{i+1}, \dots, e_n \in E(T)$$

Count labelled trees:-

(Roots  
Printer Sequence)



Labelled tree as  $1 \rightarrow (a_1, a_2, \dots, a_{n-2})$ .  $a_i \in \{1, 2, \dots, n\}$   
number of sequences  $n^{n-2}$



$$\{1, 2, 3, 4, 5, 6, 7\}$$

$a_1 = 6 \Rightarrow$  adjacent to  $b_1$ ,

$$a_2 = 5$$

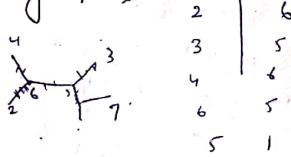
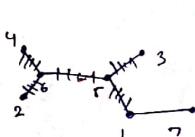
$$a_3 = 6$$

$$a_4 = 5$$

$$a_5 = 1$$

a tree should have at least 2 pendant vertex.

$$\forall i \rightarrow \text{pendant vertex} = \deg(v_i) = 1$$



$b_1$	2	$a_1$	6
$b_2$	3	$a_2$	5
$b_3$	4	$a_3$	6
$b_4$	6	$a_4$	5
$b_5$	5	$a_5$	1

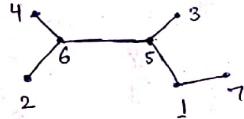
Notes

• Prufus Seq

$(\cancel{1}, \cancel{2}, \cancel{3}, \cancel{4}, \cancel{5}, \cancel{6}, \cancel{7}) \rightarrow$  tree on 7 vertices

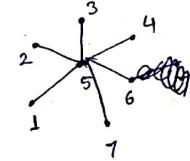
$\{1, 2, 3, 4, 5, 6, 7\}$  smallest element which does not belong to tree

uncut set in prufus sequence



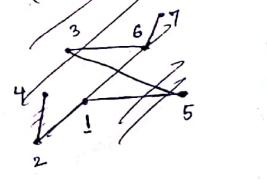
$(\cancel{1}, \cancel{2}, \cancel{3}, \cancel{4}, \cancel{5})$

$\{X, X, X, X, 5, 6, 7\}$



In the end, join elements that are left

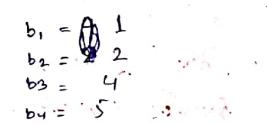
$(\cancel{1}, \cancel{2}, \cancel{3}, \cancel{4}, \cancel{5})$



$(X, \cancel{1}, \cancel{2}, \cancel{3}, \cancel{4}, \cancel{5})$

$\{1, 2, 3, 4, 5, 6, 7\}$

$(\cancel{1}, \cancel{2}, \cancel{3}, \cancel{4}, \cancel{5})$



$a_1 = \cancel{1}, 2$

$a_2 = \cancel{2}, 5$

$a_3 = \cancel{4}, 6$

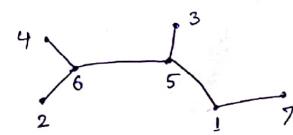
$a_4 = \cancel{5}, 3$

$a_5 = \cancel{6}, 1$

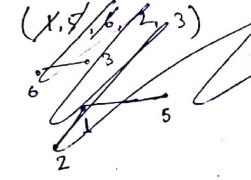
$(\cancel{1}, \cancel{2}, \cancel{3}, \cancel{4}, \cancel{5})$

$(\cancel{1}, \cancel{2}, \cancel{3}, \cancel{4}, \cancel{5})$

$\{1, \cancel{2}, \cancel{3}, \cancel{4}, \cancel{5}, 6, 7\}$

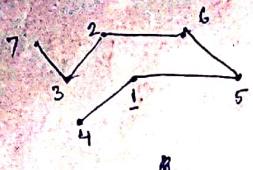


$(\cancel{1}, \cancel{2}, \cancel{3}, \cancel{4}, \cancel{5})$

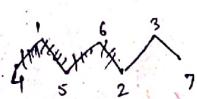


$\{1, \cancel{2}, \cancel{3}, \cancel{4}, \cancel{5}, 6, 7\}$

$(1, 2, 6, 2, 7)$



$\{1, 2, 3, 4, 5, 6, 7\}$



$$\begin{array}{ll} b_1 = 4 & a_1 = 1 \\ b_2 = 1 & a_2 = 5 \\ b_3 = 5 & a_3 = 6 \\ b_4 = 6 & a_4 = 2 \\ b_5 = 2 & a_5 = 3 \end{array}$$

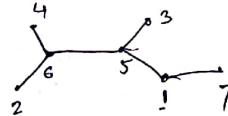
$T_1$  &  $T_2$  on  $n$  vertices are 2 trees with  $(a_1, \dots, a_{n-2})$  as Prüfer's sequence.  
then we have to prove that  $T_1 = T_2$

"deg. of a vertex  $V$  in  $T = \#$  no. of times  $V$  appears in the Prüfer's sequence + 1"

$\left( \text{marked} \atop \text{color to the} \right) \rightarrow \{1, 2, 3, \dots, n\}$   
each element occurs  $a_i$ .

If  $(a_1, a_2, \dots, a_{n-2})$  &  $(b_1, b_2, \dots, b_{n-2})$  are two diff. Prüfer sequences, then the trees obtained  $T_1$  &  $T_2$  from  $(a_1, a_2, \dots, a_{n-2})$  &  $(b_1, \dots, b_{n-2})$  respectively are different.

$(B, S, P, Q, 1) \rightarrow \{1, 2, 3, 4, 5, 6, 7\}$

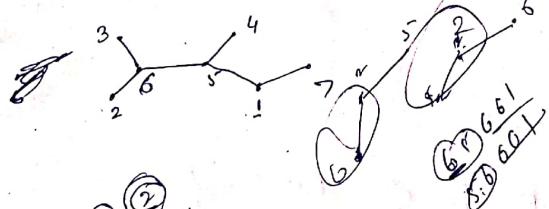


$(1, 2, 3, 4, 5, 6, 7)$

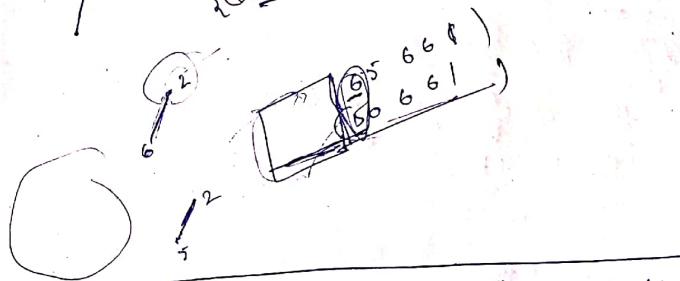
$\{1, 2, 3, 4, 5, 6, 7\}$

$\{1, 2, 3, 4, 5, 6, 7\}$

$\{1, 2, 3, 4, 5, 6, 7\}$



1 2



Proof: (case i) If no. of occurrences of one of the  $a_i$ 's is different in  $(a_1, a_2, \dots, a_{n-2})$  &  $(b_1, b_2, \dots, b_{n-2})$ . Then deg. of  $a_i$  is different in  $T_1$  &  $T_2$ .

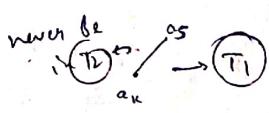
(case ii) If  $(a_1, a_2, \dots, a_{n-2})$  &  $(b_1, \dots, b_{n-2})$  are the same up to permutation. i.e.,  $a_i = b_{\sigma(i)}$  where  $\sigma: \{1, \dots, n-2\} \rightarrow \{1, \dots, n-2\}$   $\sigma$  is a bijection wlog,  $a_i = b_i$  &  $1 \leq i \leq k-1$ .

$$a_k \neq b_k$$

$$(a_1, a_2, \dots, a_k, \dots, a_{n-2}) \rightarrow (x_1, x_2, \dots, x_{n-2})$$

$\{1, 2, \dots, n\}$   
remaining from  $a$   $\rightarrow S_a$   
from  $b$   $\rightarrow S_b$

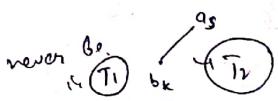
both are same upto  $(k-1)$



as all of  $a$   
is done.

we will not

count  $S_b$ .



Number of labelled Spanning trees in  $K_n$

Cayley's Formula:

The no. of spanning trees in  $K_n$  is  $n^{n-2}$ .

$$F_n = F_{n-1} + F_{n-2}$$

$$F_0 = 0, F_1 = 1$$

$$e \in E(G)$$

$G - e \rightarrow$  Just delete the edge  $e$  from  $G$ .

$G \setminus e \rightarrow$  delete the edge  $e$  and merge the end vertices of  $e$ .

$T(G) \rightarrow$  denotes the number of spanning trees in  $G$ .

### Matrix-Tree Theorem

$G$  - graph on  $n$  vertices  $\{v_1, v_2, \dots, v_n\}$

①  $A(G)$  - adjacency matrix

-  $n \times n$  matrix + symmetric

$$A(G)_{ij} = \begin{cases} 1 & \text{if } v_i \sim v_j \\ 0 & \text{otherwise} \end{cases}$$

② Degree matrix

$$D(G)_{ij} = \begin{cases} \delta_{ij} & \text{if } i = j \\ 0 & \text{otherwise} \end{cases}$$

③ Laplacian Matrix

$$L(G) = D(G) - A(G)$$

$L(G)$  is symmetric

④ no. of spanning trees in  $G$  is det | minor w.r.t. any anti-diag of  $L(G)$

$$\begin{vmatrix} L(G) & \\ & \ddots & \ddots & \ddots \end{vmatrix} \rightarrow \text{determinant of minor}$$

Asymmetric  $\rightarrow A = AT$

$A$  is positive semidefinite if  $x^T A x \geq 0 \forall x$   
 $\rightarrow$   $x$  is a vector

$$A \cdot x = \lambda x, x \neq 0$$

$$x^T A x = \lambda x^T x$$

$$\Rightarrow \lambda = \frac{x^T A x}{x^T x} \geq 0$$

$L(G)$  is positive semidefinite  
 $\lambda_1 \leq \lambda_2 \leq \dots \leq \lambda_n$  eigen values of  $L(G)$

$$= 0 \quad e = (1, 1, \dots, 1)^T$$

$$L(e) e = 0 \cdot e$$

$\therefore 0$  is always an eigen value

$\lambda_2 \neq 0 \Leftrightarrow G$  is connected

$$G_1 \rightarrow \begin{array}{c} \textcircled{1} \\ \textcircled{2} \end{array}$$

$$G_2 \rightarrow \begin{array}{c} \textcircled{1} \\ \textcircled{2} \end{array}$$

$$L(G) = \begin{bmatrix} L(G_1) & 0 \\ 0 & L(G_2) \end{bmatrix}$$

$$L(G_1) e^1 = 0$$

$$L(G_2) e^2 = 0$$

$$L(G) \begin{pmatrix} e^1 \\ 0 \end{pmatrix} = L(G) \begin{pmatrix} 0 \\ e^2 \end{pmatrix} = 0$$

Vertex Connectivity: -  $\alpha(G)$

Minimum number of vertices whose removal makes the resultant graph disconnected.

1965. M. Fiedler.

$$G \alpha(G) \geq \lambda_2(G)$$

Algebraic connectivity of Graph - paper

Spectral Graph Theory

Bipartite Graph:

If the vertex set of graph  $G$  can be split into two disjoint sets  $A$  &  $B$  so that each edge of  $G$  joins a vertex of  $A$  & a vertex of  $B$ , then  $G$  is called a bipartite graph.

$$\textcircled{1} \quad \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 3 & 4 \\ \hline \end{array}$$

$$\{1, 3\}$$

$$\{2, 4\}$$

$$\textcircled{2} \quad V_1$$

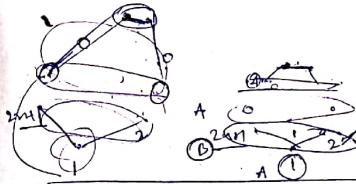
$$\{v_1, v_2, v_3\}$$

$$X \quad v_1 \quad v_2 \quad v_3$$

$$\textcircled{3} \quad \begin{array}{ccccc} 1 & & 2 & & 3 \\ & 5 & & 4 & \\ & & 6 & & \\ & & 7 & & \\ & & 8 & & \end{array}$$

$$A = \{1, 3, 4\} \quad X \rightarrow \text{so not possible}$$

$$B = \{2, 5, 6, 7, 8\}$$



Complete Bipartite Graph  $K_{m, n}$ .

bipartite graph in which each vertex in  $A$  is joined to each vertex in  $B$  by an edge.

$$\textcircled{1} \quad K_{2, 2}$$

$$\textcircled{2} \quad K_{3, 3}$$

$G_1$  - connected graph

$v_i, v_j$  two vertices of  $G_1$ .

$d(v_i, v_j)$  := length of the shortest path

Theorem:- A graph  $G_1$  is bipartite iff  $G_1$  does not contain any odd cycle.

Proof: let  $G_1$  be ~~bipartite~~ bipartite.

Suppose that  $G_1$  contains an odd cycle.  
Then, we can find sets  $A \& B$  so that every edge of  $G_1$  has one end point in  $A$  & other end point in  $B$ , which is a contradiction.  
So,  $G_1$  does not contain any odd vertex.

Conversely:

Assume  $G_1$  does not contain any odd cycle

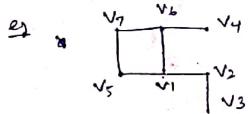
Claim:-  $G_1$  is bipartite

If  $G_1$  does not contain any cycle then  $\rightarrow$  it will be a ~~bipartite~~ bipartite.

If  $G_1$  contains even cycles. Fix  $v_i \in G_1$

$$A = \{v_j : d(v_i, v_j) \text{ is even}\}$$

$$B = \{v_j : d(v_i, v_j) \text{ is odd}\}$$



$$A = \{v_2, v_4, v_3, v_1\}$$

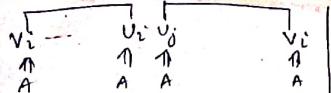
$$B = \{v_5, v_6, v_2, v_3\}$$

$A, B$  gives a bipartition,

let  $v_i \& v_j$  be in  $A$  such that

$v_i \sim v_j$   $v_i$  is adjacent to  $v_j$  ( $v_i \sim v_j$ )

$v_i$  this will create an odd cycle.



$(v_1 - v_2 - v_3 - v_4 - v_1)$  - circuit  
odd circuit

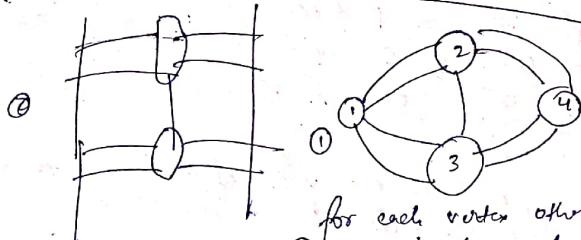
Take any circuit of odd length

It will always contain a cycle.

Exercise Any closed walk of odd length contains an odd cycle.

By exercise, we can conclude  $G_1$  contains an odd cycle

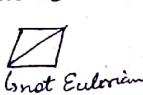
thus it is not bipartite



for each vertex other than ① we need to have one edge to enter & one edge to go out.

### Eulerian Graph:

A connected graph  $G$  is Eulerian if  $\exists$  a closed trial containing every edge of  $G$ . Such a trial is called an Eulerian trial.



### Theorem (Euler, 1736)

A connected graph is Eulerian iff the degree of each vertex of  $G$  is even.

Proof:-  
 $\Rightarrow$  If  $G$  is Eulerian, then there is a Eulerian trial  $P$ . If  $G$  is Eulerian, then there is a path  $P$  passing through a vertex, there is a contribution of 2 towards the degree of that vertex. As every edge appears exactly once in  $P$ , so degree of each vertex is even.

$\Leftarrow$  As degree of each vertex of  $G$  is even  $\Rightarrow$   $G$  does contain a cycle  $C$ . Consider  $G-C$ .

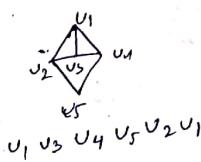
Ex- Complete Proof:



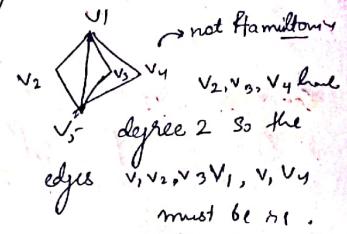
### Hamiltonian Graph:

A graph  $G$  is said to be Hamiltonian if  $\exists$  a cycle in  $G$  containing all the vertices of  $G$ . Such a cycle is called a Hamiltonian cycle.

Example: cycles, complete graphs.



- H.G



If there is a Hamiltonian cycle  $C$ .

As degree of each vertex in  $C$  is 2.

So  $C$  can't be Hamiltonian.

### Theorem:- (Ore's theorem)

If  $G$  is a simple graph on  $n$  vertices, and  $\deg(u) + \deg(v) \geq n$  for each pair of non-adjacent vertices, then  $G$  is Hamiltonian.

Proof:- On contrary assume  $G$  is not Hamiltonian. By adding extra edges if needed, we can assume  $G$  is non-Hamiltonian, but addition of any edge to  $G$  produces a Hamiltonian cycle.

So, the graph contains a path  $v_1, v_2, \dots, v_n$  which contains all the vertices of  $G$ .

As the vertices  $v_i$  &  $v_n$  are not adjacent, by hypothesis.

$$A = \{v_i : 2 \leq i \leq n, v_i \sim v_{i+1}\}$$

$$B = \{v_i : 2 \leq i \leq n, v_{i-1} \sim v_n\}$$

$$\deg(v_i) + \deg(v_n) \geq n$$

$$|A| = \deg(v_i)$$

$$|B| = \deg(v_n)$$

$\exists$  a common element in  $A \cap B$ , i.e.

(a)  $\exists v_i \in G$ , such that  $v_i \in A \cap B$ .

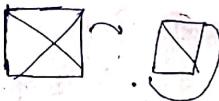
$$(b) \begin{cases} v_i \sim v_1 \\ v_{i-1} \sim v_n \end{cases}$$

Cor (Dirac, 1952)

If  $G_1$  is a simple graph with  $n$  vertices & if  $\deg(v) \geq n/2$  for each vertex of  $G_1$ , then  $G_1$  is Hamiltonian.

Plane Graph:

Def: A plane graph is a graph that can be drawn in the plane in such a way that no two edges intersect except at a vertex.



Region:- Let  $G_1$  be a connected graph & consider the parts of the plane remaining after we remove the edges & vertices of  $G_1$ .

Theorem (Euler's Theorem)

Let  $G_1$  be a connected plane graph with  $p$  vertices,  $q$  edges &  $r$  regions. Then  $p - q + r = 2$

Proof: By mathematical induction on  $q$

A planar graph is said to be a plane if it is already drawn in the plane such that no two edges are crossing.

$$\text{Case 1: } p=0, q=1, r=1.$$

$$p-q+r=1-0+1=2$$

Assume the result is true for all graphs with  $(k-1)$  edges.

② Let  $G$  be a graph with  $k$  edges.

③ If  $G$  is free, then,

$$p - (p-1) + 1 = 2$$

④ If  $G$  is not a tree.  $\exists$  an edge in  $G$  which is ~~not~~ in any cycle.

For  $G_1-e$ , by induction,

$$p - (q-1) + (r-1) = 2$$

$$\Rightarrow p - q + r = 2$$

Theorem: Let  $G_1$  be a connected planar graph with  $p$  vertices &  $q$  edges, where  $p \geq 3$ . Then

$$q \leq 3p - 6$$

Proof:  $p=3, q \leq 3$ .

if  $p \geq 4$ .

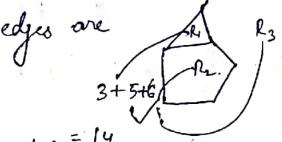
1- Sum of the number of edges lying in the boundary of each region

as there are at least 3 edges are there in each region

$$N \geq 3r.$$

As each node of  $G_1$  belongs to at most 2 regions.

$$N \leq 2q.$$



$$3r \leq N \leq 2q.$$

$$3r \leq 2q$$

$$3(2-p+q) \leq 2q$$

$$6 - 3p + 3q \leq 2q$$

$$q \leq 3p - 6$$

By Euler's formula for plane graph  
( $p - q + r = 2$ )

$$q = 2 - p + r$$

$K_5 \rightarrow p=5$   
complete graph on 5 vertices  
 $q=10$

$$3p - 6 = 9$$

$$q = 9 \text{ for plane}$$



$$P=6$$

$$V=9$$

$$\begin{aligned}q &= 3(6)-6 \\&= 12\end{aligned}$$



$K_{3,3}$

But it is not planar.

thus it is necessary but not sufficient

so, if it is a Bipartite graph



there are atleast 4 edges in each regn

$$N \geq 4r$$

$$4r \leq 2q$$

$$2r \leq q$$

$$2(2-p+q) \leq q$$

$$4 - 2p + 2q \leq q$$

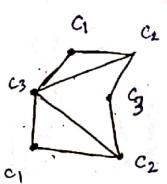
$$q \leq 2p - 4$$

$$r = 2-p+q$$

$$2p - 4 = 12 - 4$$

$$= 8$$

Theorem:  $K_5$  &  $K_{3,3}$  are non planer graphs

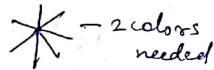


minimum no. of colours required to colour  $G_1$  so that no two adjacent vertices receives the same colour.

**Chromatic number:  $\chi(G)$**

For a graph  $G$ .

$$\chi(G) \leq 1 + \Delta(G) \quad | \quad \Delta(G) - \text{maximum degree of } G,$$



- 2 colors needed

Five Colour Theorem

Four Colour Theorem

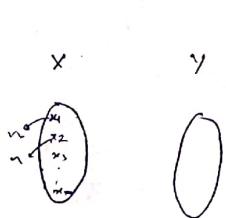
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## Combinatorics

- ① Suppose there are 10 seats in a row & 2 students have to sit in that row. In how many ways can they sit.

$$+ 10 \cdot 9 = 90$$

(Product rule)



②

$$|X|=m \quad |Y|=n$$

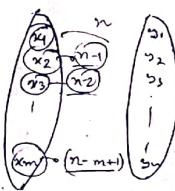
$n^m$  w. of  
funct  
 $X \rightarrow Y$

one-one functions - a function is  $x \neq y \Rightarrow f(x) \neq f(y)$ .

- ③ No. of one-one functions from the set X to the set Y.

$$\text{no. of} = n \cdot (n-1) \cdot (n-2) \cdots (n-m+1)$$

$$= {}^n P_m = \frac{n!}{(n-m)!}$$



- ④ If X is a set with m elements. Then. no. of subsets of A

Ex:

$$X = \{x_1, x_2, x_3, \dots, x_n\}$$

$$\text{no. of elements} = 2^n$$

$f: S \rightarrow P(X)$ , which is a bijection  
(Power set of X (set of all subsets))

## # Principle of Inclusion & Exclusion.

Suppose that a task can be done in  $n_1$  or  $n_2$  ways.

$$|A \cup B| = |A| + |B| - |A \cap B|$$

but some of the  $n_1$  ways to do the task are the same as that of  $n_2$  other ways of doing the task. Then the number of ways of doing the task add the no. of ways to do it in one way, & the no. of ways - 2<sup>nd</sup> way and subtract the number of ways to do the task in both ways, among  $n_1$  &  $n_2$ .

- ① How many bit strings of length 8 are there so that it starts with a bit 0! or ends with two bits 00?

$$\begin{array}{c} 1 \ 1 \ 1 \ 1 \ 1 \ 1 \ 1 \ 1 \\ + \quad 1 \ 1 \ 1 \ 1 \ 1 \ 1 \ 0 \ 0 \\ \hline = 2^7 + 2^6 - 2^5 \\ = 160 \end{array}$$

## Pigeon-Hole Principle:-

(Dirichlet Drawer principle)

3 Cages  
4 pigeons

If  $k$  is a positive integer, then minimum 1 cage should have more than 1 pigeon. If  $k+1$  or more objects are placed into  $k$  boxes, then at least one box containing two or more of the objects.

- ① Show that for every integer  $n$ , there is a multiple of  $n$  that has only 0's and 9's in its decimal expansion.

Proof: 1, 11, 111, 1111, ... 11111...  $(n+1)$  times

divide each of them by  $n$

Possible remainders are 0 to  $(n+1)$

if 0 is a remainder  $\rightarrow$  then we are done.  
otherwise, by Pigeon-hole principle, there exists 2 numbers from the above which have some remainder.

Permutation: A permutation of a set of distinct objects is an ordered arrangement of these objects. An ordered arrangement of  $n$  objects is called  $n$ -permutation.

$P(n, r)$  - number of  $r$ -permutations of a set with  $n$  distinct elements.

$$P(n, r) = n \times (n-1) \times (n-2) \cdots (n-r+1)$$

$$= \frac{n!}{(n-r)!}$$

n choices

Combinations: An  $r$ -combination of elements of a set is an unordered selection of  $r$  objects from the set. It is just a subset of  $S$  with  $r$  elements.

Notation:  $\binom{n}{r} = C(n, r) = \# \text{ of } r\text{-combinations of a set with } n \text{ distinct elements.}$

Theorem:  $C(n, r) = \frac{P(n, r)}{r!} = \frac{n!}{r!(n-r)!}$

Corollary:  $C(n, r) = C(n, r+1)$ .

Defn: A combinatorial proof of an identity is a proof that uses counting arguments to prove that both sides of the identity count the same object but in different ways.

Theorem:  $(x+y)^n = \sum_{j=0}^n \binom{n}{j} x^{n-j} y^j, \quad n \geq 0$

Proof:  $(x+y)^n = (x+y)(x+y)\cdots(x+y) \quad \text{---} \checkmark$

Coeff of  $x^{n-j} y^j \quad (j=0, 1, 2, \dots, n)$

in  $n^j$  ways we can choose  $x^{n-j}$  from  $\textcircled{2}$

Prob:  $\sum_{k=0}^n \binom{n}{k} = 2^n$

Sol:  $\binom{n}{k}$  # of  $k$ -element subset of an  $n$ -element set ( $k$ -combination).

So  $\sum_{k=0}^n \binom{n}{k} = \# \text{ of subsets of an } n\text{-element subset}$

$$= 2^n$$

Giv: 1)  $\sum_{k=0}^n (-1)^k \binom{n}{k} = 0$  (choose  $x=1, y=-1$  in there).

2)  $\sum_{k=0}^n 2^k \binom{n}{k} = 3^n$  [choose  $x=2, y=1$  in there].

Pascal's Identity: For  $n > 0, n \geq k$ .

$$\binom{n+1}{k} = \binom{n}{k-1} + \binom{n}{k}$$

Proof: Fix an element 'a' in the  $(n+1)$  element set.

$\binom{n+1}{k} = \# \text{ of } k\text{-element subset of the } (n+1) \text{ element subsets containing the element } a$

+  $\# \text{ of } k\text{-element subset of the } (n+1) \text{ elements not containing } a$

=  $\binom{n}{k-1} + \binom{n}{k}$  discarding 'a', we have to choose  $k$  elements from  $n$  elements

Vandermonde's Identity:

$$m, n > 0, r \leq \min\{m, n\}$$

$$\binom{m+n}{r} = \sum_{k=0}^r \binom{m}{r-k} \binom{n}{k}$$

Choose  $0$  elements from  $m$  &  $r$  elements from  $n$

$$\begin{array}{ccccccc} + & \cdots & 1 & \cdots & & & \\ + & \cdots & 2 & \cdots & \cdots & \cdots & \\ + & \cdots & r & \cdots & & & \\ & & \vdots & & & & \end{array}$$

$\binom{m}{r-k} \binom{n}{k} = \# \text{ no. of ways of choosing } \binom{r-k}{k}$   
 elements from m elements &  
 k elements from n elements

$$\sum_{k=0}^r \binom{m}{r-k} \binom{n}{k} = \binom{m+n}{r}$$

Corollary:  $\binom{2n}{n} = \sum_{k=0}^n \binom{n}{k}^2$

### Combinations with Repetitions:-

Notes in a box: 90, 20, 50, 100, 200, 500, 2000,  
 In how many ways can we choose 5 notes  
 from this box?

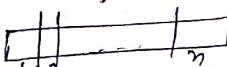
10	20	50	100	200	500	2000
----	----	----	-----	-----	-----	------

$$x | x | / / x | x \rightarrow 10 \ 20 \ 100 \ 500 \ 200$$

↳ two bars means skipping  
 Q - no. of ways of placing 5 stars & 6  
 bars ~~together~~ together.  
 $= \binom{5+(r-1)}{r-1} C_5$  (fix 5 places  
 for  $x$  from  
 $= {}^6 C_6 = {}^6 C_5$  (11 places)

Theorem: The no. of r-combinations from a set with n elements when repetition of elements is allowed is  $C(n+r-1, r) = C(n+r-1, n-1)$ .

Proof:



n-boxes for n elements.

r-objects i.e. say  $\otimes$

The no. of ways of placing  $\otimes$ 's  
 & (n-1) boxes =  $C(n-1+r, r) = C(n+r-1, n-1)$

### Explanation:

Let us represent a choice a r-combination with repetitions using  $\otimes$  & 1's  
 First draw (n-1) bars corresponding to the  
 boundaries of the n-boxes (as given earlier)  
 & draw r no. of  $\otimes$ 's between these  
 (n-1) bars (including before the 1st bar &  
 after the last bar).  
 It is easy to see that the configuration given  
 by (n-1) bars & r  $\otimes$ 's gives a r-combination  
 of n elements set with repetitions,  
 vice versa.

i) How many sets does the eq.  
 $x_1 + x_2 + x_3 = 11$  have where  $x_1, x_2, x_3$   
 are non negative integers  
 $C(11+3-1, 2) = C(13, 2)$   
 $= {}^{13} C_2 = {}^{13} C_{11}$

$$1 \ 1 \ 1 \ 1 \ 1 \ 1 \ 1 \ 1 \ 1$$

- 1 1

Put 2 1's in 13 places  
 → same problem of  
 $x_1 + x_2 + x_3 \leq 11$

## Explanation:

Let us represent a choice a  $\pi$ -combination with repetitions using  $\ast$  &  $\mid$ .  
 First draw  $(n-1)$  bars corresponding to the boundaries of the in-boxes (as given earlier) & draw  $\pi$  no. of  $\ast$ 's between these  $\mid$  draw  $\pi$  no. of  $\ast$ 's before the 1<sup>st</sup> bar &  $(n-1)$  bars (including before the 1<sup>st</sup> bar & after the last bar).

It is easy to see that the configuration given by  $(n-1)$  bars &  $\pi\ast$ 's gives a  $\pi$ -combination of  $n$  elements set with repetitions.

Vice versa.

i) How many sol's does the eq.  $x_1 + x_2 + x_3 = 11$  have where  $x_1, x_2, x_3$  are non negative integers.

$$\begin{aligned} C(11+3-1, 2) &= C(13, 2) \\ &= {}^{13}C_2 = {}^{13}C_1. \end{aligned}$$

$$\begin{array}{cccccccccc} 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ & \vdots & & & & & & & \end{array}$$

Put 2 1's in 13 places

→ same problem for

$$x_1 + x_2 + x_3 \leq 11$$

## Recurrence Relation:

Def: A Recurrence Relation for the sequence  $\{a_n\}$  is an equation that expresses ' $a_n$ ' in terms of one or more of the previous terms of the sequence, namely,  $a_0, a_1, \dots, a_{n-1}$ , for all integers  $n$  with  $n \geq n_0$ , where  $n_0$  is a positive integer.

Solution: A sequence is called a solution of a recurrence relation if its terms satisfies the

e.g. Fibonacci series

$$F_n = F_{n-1} + F_{n-2}$$

$$F_0 = 1, F_1 = 1$$

### Recurrence Relation.

$$a_n = F(a_0, a_1, a_2, \dots, a_{n-1})$$

(1) The number of bacteria in a colony doubles every hour. If a colony begins with 5 bacteria, how many will be there in  $n$  hours?

Sol:

$$\begin{aligned} a_n &= 2a_{n-1} & a_n = \text{no. of bacteria in } n \text{ hours.} \\ &= 2 \cdot 2 \cdot a_{n-2} = 2 \cdot 2 \cdot 2 \cdot a_{n-3} \\ &= 2^n \cdot a_0 = 2^n \cdot 5 \end{aligned}$$

Re Relation :-  $a_n = 2a_{n-1}, a_0 = 5$   
Sol :-  $\boxed{a_n = 2^n \cdot 5}$

(2) Determine whether the sequence  $\{a_n\}$  where  $a_n = 3n$ , for every non-negative integer  $n$ , is a sol<sup>n</sup> of  $a_n = 2a_{n-1} - a_{n-2}$  for  $n=2, 3, 4, \dots$ . Answer the same question where take  $a_n = 2^n$ . (Ans)

Ans.

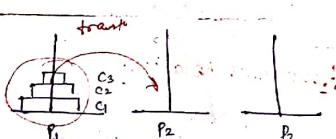
$$\begin{aligned} ① 2a_{n-1} - a_{n-2} &= 2(3(n-1)) - (3(n-2)) \\ &= 6n - 6 - 3n + 6 \\ &= 3n = a_n \end{aligned}$$

$$② 2a_{n-1} - 2^{n-2} = 2^{n-2} (4-1) = \frac{3 \cdot 2^{n-2}}{2} = a_n$$

$$③ ④ 2 \cdot 5 - 5 = 5 = a_n$$

### Tower of Hanoi :

min. of steps required to transfer



$$H_n = 2H_{n-1} + 1$$

$$H_1 = 1, \quad H_n = 2^n - 1$$

- ① Take (n) & put in P<sub>3</sub>  $\rightarrow H_{n-1}$
- ② Take C<sub>1</sub> & Put in P<sub>2</sub> - 1
- ③ Put (n-1) from P<sub>3</sub> to P<sub>2</sub> - 1  $H_{n-1}$

Defn:  $a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k}$

where,  $c_1, c_2, \dots, c_k$  are real numbers &  $c_k \neq 0$

Def:

A linear homogeneous recurrence relation of degree  $K$  with constant coefficients given by  

$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k};$$
where  $c_1, c_2, \dots, c_k$  are real numbers &  $c_k \neq 0$

### Initial Value Problem (IVP):

A sequence satisfying the recurrence relation is uniquely determined by

- ① this recurrence relation, and
- ② the  $K$  initial conditions,  $a_0 = c_0, a_1 = c_1, \dots, a_{K-1} = c_{K-1}$

If  $a_n = \gamma^n$  is a sol<sup>n</sup> of ①

then,  $a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k}$  ①

If  $\gamma^n = c_1 \gamma^{n-1} + c_2 \gamma^{n-2} + \dots + c_k \gamma^{n-k}$  (take  $\gamma^n$  from both sides)

$$\text{i.e. } \gamma^k - c_1 \gamma^{k-1} - c_2 \gamma^{k-2} - \dots - c_k = 0 \quad \text{②}$$

↪ characteristic equation.

$\alpha = \gamma^n$  is a sol<sup>n</sup> of ① iff  $\alpha$  solves ②

Let us consider linear homogeneous recurrence relations of degree two.

Theorem: Let  $\gamma_1$  &  $\gamma_2$  be the real distinct roots of  $\gamma^2 - c_1 \gamma - c_2 = 0$

Then the sequence  $\{a_n\}$  is a sol<sup>n</sup> of the recurrence relation.  $a_n = c_1 a_{n-1} + c_2 a_{n-2}$ , iff  $a_n = \alpha_1 \gamma_1^n + \alpha_2 \gamma_2^n, n=0,1,\dots$  where  $\alpha_1$  &  $\alpha_2$  are constants.

Proof:  $c_1 a_{n-1} + c_2 a_{n-2} = c_1(c_1 \gamma_1^{n-1} + c_2 \gamma_2^{n-1}) + c_2(c_1 \gamma_1^{n-2} + c_2 \gamma_2^{n-2})$   
 $= \alpha_1(c_1 \gamma_1^{n-1} + c_2 \gamma_2^{n-1}) + \alpha_2(c_1 \gamma_1^{n-2} + c_2 \gamma_2^{n-2})$   
 $= \alpha_1 \gamma_1^{n-2}(c_1 \gamma_1 + c_2) + \alpha_2 \gamma_2^{n-2}(c_1 \gamma_2 + c_2)$

$$\therefore \gamma^n = \alpha_1 \gamma_1^n + \alpha_2 \gamma_2^n$$

$$= \alpha_1 \gamma_1^{n-2} \cdot \gamma_1^2 + \alpha_2 \gamma_2^{n-2} \cdot \gamma_2^2$$

$$= \alpha_1 \gamma_1^n + \alpha_2 \gamma_2^n = a_n$$

Let  $\{a_n\}$  be a sol<sup>n</sup> of  $a_n = c_1 a_{n-1} + c_2 a_{n-2}$ . By previous theorem, the above prob. has unique sol.

$$\begin{aligned} d_0 &= \alpha_1 + \alpha_2 \\ d &= \alpha_1 x_1 + \alpha_2 x_2 \end{aligned}$$

$$\begin{aligned} -d_0 &= -\alpha_1 x_1 - \alpha_2 x_2 \\ \underline{d_0 + d_1} &= \alpha_2 (x_1 - x_2) \end{aligned}$$

$$\alpha_2 = \frac{d_0 + d_1}{(x_1 - x_2)} \quad | \quad \alpha_1 = \frac{d_0 - d_1}{(x_2 - x_1)}$$

Solve the recurrence relation  $a_n = c_{n-1} + 2a_{n-2}$

$$a_0 = 2, a_1 = 7.$$

(Ans)

$$\begin{aligned} q_1 &= 1, q_2 = \frac{c_2}{c_1} \\ x^2 - x - 2 &= 0 \\ x = \frac{1 \pm \sqrt{1+4}}{2} &= \frac{1 \pm 3}{2} = -1, 2. \\ x_1 = -1, x_2 = 2 & \quad d_0 = 2, d_1 = 7. \\ \alpha_1 &= \frac{2(-1) - 7}{-1 - 2} = \frac{-9}{-3} = 3 \\ \alpha_2 &= \frac{2(2) - 7}{2 + 1} = \frac{-3}{3} = -1 \\ a_n &= 3 \cdot 2^n - 1 \cdot (-1)^n \end{aligned}$$

Fibonacci:  $F_n = F_{n-1} + F_{n-2}$        $a_0 = 0, a_1 = 1$   
 $c_1 = c_2 = 1$        $x^2 - x - 1 = 0$        $x = \frac{1 \pm \sqrt{1+5}}{2} = \frac{1 \pm \sqrt{5}}{2}$

$$a_n = \frac{1}{\sqrt{5}} \left( \frac{1+\sqrt{5}}{2} \right)^n + \frac{1}{\sqrt{5}} \left( \frac{1-\sqrt{5}}{2} \right)^n$$

Theorem: Let  $c_1, c_2$  be real numbers with  $c_2 \neq 0$ . Suppose  $x^2 - c_1x - c_2 = 0$  has only one root. Then, a sequence  $\{a_n\}$  is a sol<sup>n</sup> of the recurrence relation  $a_n = c_1 a_{n-1} + c_2 a_{n-2}$  iff.  $a_n = \alpha_1 x_0^n + \alpha_2 n \cdot x_0^n$  for  $n = 0, 1, 2, \dots$ , where  $x_1, x_2$  are const.

Ex: Solve  $a_n = 2a_{n-1} - a_{n-2}$   
 $a_0 = 2, a_1 = 1$   
 $x^2 - 2x + 1 = 0 \quad x_0 = 1$

$$a_n = d_1 + n \alpha_2$$

$$d_0 = 0, \alpha_1 = 3$$

$$a_0 = d_1 + n \alpha_2 = 0$$

$$a_1 = d_1 + \alpha_2 = 3$$

$$\alpha_2 = 3$$

$$a_n = 3n$$

11/2/2020 Linear Non-homogeneous Relation with constant coefficients:

$$*) a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k} + F(n).$$

\* where  $c_i$ 's are constants &  $F(n)$  is a function of  $n$  alone.

$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k}$  is called the associated homogeneous recurrence relation.

Example: ①  $a_n = a_{n-1} + 2^n$

$$② a_n = a_{n-1} + a_{n-2} + n^2 + 1$$

$$③ a_n = a_{n-3} + a_{n-2} + n!$$

Theorem: First solve of Homogeneous part } non homogeneous recurrence relation.  
particular + solution

If  $\{a_n^{(1)}\}$  is a particular sol<sup>n</sup> of the non-homogeneous linear recurrence relation with constant coefficients, then  $a_n = a_n^{(1)} + c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k} + F(n)$ , where  $\{a_n^{(1)}\}$  is of the form  $\{a_n^{(1)} + a_n^{(2)}\}$ , where  $\{a_n^{(1)}\}$  is a sol<sup>n</sup> of the associated homogeneous recurrence relation,  $a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k}$ .

Proof: Substitute  $\{a_n^{(1)} + a_n^{(2)}\}$  in the given eq<sup>n</sup>.

If  $a_n^{(1)}$  solves the homogeneous part, if  $a_n^{(2)}$  is a particular sol<sup>n</sup> (P.S.), then  $\{a_n^{(1)} + a_n^{(2)}\}$  solves the associated non-homogeneous relation.

Proof:  $a_n^{(P)} = a_{n-1}^{(P)} + c_1 a_{n-2}^{(P)} + \dots + c_k a_{n-k}^{(P)} + F(n)$

Let  $\{b_n\}$  be any sol<sup>n</sup> of the non-homogeneous recurrence relation. Claim!  $b_n = a_n^{(H)} + a_n^{(P)}$

Consider  $\{b_n - a_n^{(P)}\}$ , then  $b_n - a_n^{(P)} = b_{n-1} - c_1 b_{n-2} - c_2 b_{n-3} - \dots - c_k b_{n-k} + f(n)$

$$+ c_1 a_{n-1}^{(P)} + c_2 a_{n-2}^{(P)} + \dots + c_k a_{n-k}^{(P)} = f(n)$$

$$(b_n - a_n^{(P)}) - c_1(b_{n-1} - a_{n-1}^{(P)}) - \dots - c_k(b_{n-k} - a_{n-k}^{(P)}) = 0$$

i.e.  $b_n - a_n^{(P)} = a_n^{(H)}$  solves the homogeneous part.

i.e.  $b_n = a_n^{(H)} + a_n^{(P)}$

(i) Find all solutions of the recurrence relation

$a_n = 3a_{n-1} + 2n$ , what is the sol<sup>n</sup> with  $a_1 = 3$ .

Sol<sup>n</sup>:  $a_n = 3^n$  | Assume  $a_n^{(P)} = cn+d$

$cn+d = 3(c(n-1)+d) + 2n$

$cn+d = 3cn-3c+3d+2n$

$2cn-3c+2d+2n=0$

$c(2n-3) = -2d-2n$

$m(2c+2) + (2d-3c)=0$

$2d-3c=0$

$d = \frac{3c}{2} = -\frac{3}{2}$

$\therefore a_n^{(P)} = -n - \frac{3}{2}$

$a_n = a_n^{(H)} + a_n^{(P)}$

$a_n = \alpha 3^n - n - \frac{3}{2}$

$a_1 = 3$

$\alpha \cdot 3 - 1 - \frac{3}{2} = 3$

$\alpha \cdot 3 = 3 \Rightarrow \alpha = \frac{3}{2}$

$\alpha = \frac{11}{6}$

$\therefore a_n = \frac{11}{6} \cdot 3^n - n - \frac{3}{2}$

(2) Find all the sol<sup>n</sup> of the recurrence relation.

$a_n = 5a_{n-1} + 6a_{n-2} + 7^n$ .

Sol<sup>n</sup>:  $a_n = a_n^{(H)} + a_n^{(P)}$

$a_n^{(H)} = \alpha_1 \cdot 3^n + \alpha_2 \cdot 2^n$

$a_n^{(P)} = \gamma^n$

$\gamma^n = 5\gamma^{n-1} + 6\gamma^{n-2} + 7^n$

$\gamma^n - 5\gamma^{n-1} - 6\gamma^{n-2} = 7^n$

For the non homogeneous part

$F(n) = 7^n \rightarrow$  Guess sol<sup>n</sup> is  $c \cdot 7^n$ .

$c \cdot 7^n = 5 \cdot 7^{n-1} + 6c \cdot 7^{n-2} + 7^n$

$c \cdot 7^2 = 5(7) + 6c + 7^2$

$49c = 35c + 6c + 49$

$20c = 49$

$c = \frac{49}{20}$

Theorem: (Guessing the form of particular solution). Suppose that  $\{a_n\}$  satisfies the linear

$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k} + F(n)$ , where  $c_1, c_2, c_3, \dots, c_k$  are constants &  $F(n) = (b_0 n^t + b_1 n^{t-1} + \dots + b_t n^0)$ , where  $b_0, b_1, b_2, \dots, b_t$  &  $s$  are reals.

Case (1) When  $s$  is not a root of the characteristic equation of the associated linear homogeneous recurrence relation, then there is a particular sol<sup>n</sup> of the form  $(P_0 n^t + P_1 n^{t-1} + \dots + P_t n^0 + P_0) s^n$ .

Case (2) When  $s$  is a root of the characteristic equation of the associated linear homogeneous recurrence relation, with multiplicity  $m$ , there is a particular sol<sup>n</sup> of the form  $n^m (P_0 n^t + P_1 n^{t-1} + \dots + P_t n^0 + P_0) s^n$ .

(3) Compute a particular sol<sup>n</sup> of  $a_n = 6a_{n-1} - 9a_{n-2} + f(n)$ .

when (1)  $f(n) = 3^n \rightarrow (P_0 + P_1 n) 3^n \cdot n^2 \rightarrow P_0 = 1, P_1 = 0$

(2)  $f(n) = n \cdot 3^n \rightarrow (P_0 + P_1 n) 3^n \cdot n^2$

(3)  $f(n) = n^2 \cdot 3^n \rightarrow (P_0 + P_1 n + P_2 n^2) 3^n$

(4)  $f(n) = (n^2)^t \cdot 3^n \rightarrow (P_0 + P_1 n + P_2 n^2) \cdot 3^n \cdot n^2$

$a_n^{(P)} = \alpha_1 \cdot 3^n + \alpha_2 \cdot n \cdot 3^n$

$\alpha_1 = 1, \alpha_2 = 3, 3$

$(P_0 + P_1 n) \cdot 3^n \cdot n^2 = 6 \cdot (P_0 + (P_1(n-1)) \cdot 3^{n-1} \cdot (n-1)^2$

$- 9 \cdot (P_0 + P_1(n-2)) \cdot 3^{n-2} \cdot (n-2)^2 + n \cdot 3^n$

$6 \cdot (P_0 + P_1 n - P_1) \cdot 3^{n-1} \cdot n^2 - 9 \cdot (P_0 + P_1 n - 2P_1) \cdot 3^{n-2} \cdot (n-2)^2$

or

$6 \cdot (P_0 + P_1 n - P_1) \cdot 3^{n-1} \cdot n^2 - 9 \cdot (P_0 + P_1 n - 2P_1) \cdot 3^{n-2} \cdot (n-2)^2$

$6 \cdot (P_0 + P_1 n - P_1) \cdot 3^{n-1} \cdot n^2 - 9 \cdot (P_0 + P_1 n - 2P_1) \cdot 3^{n-2} \cdot (n-2)^2$

$6 \cdot (P_0 + P_1 n - P_1) \cdot 3^{n-1} \cdot n^2 - 9 \cdot (P_0 + P_1 n - 2P_1) \cdot 3^{n-2} \cdot (n-2)^2$

$6 \cdot (P_0 + P_1 n - P_1) \cdot 3^{n-1} \cdot n^2 - 9 \cdot (P_0 + P_1 n - 2P_1) \cdot 3^{n-2} \cdot (n-2)^2$

$6 \cdot (P_0 + P_1 n - P_1) \cdot 3^{n-1} \cdot n^2 - 9 \cdot (P_0 + P_1 n - 2P_1) \cdot 3^{n-2} \cdot (n-2)^2$

$6 \cdot (P_0 + P_1 n - P_1) \cdot 3^{n-1} \cdot n^2 - 9 \cdot (P_0 + P_1 n - 2P_1) \cdot 3^{n-2} \cdot (n-2)^2$

$6 \cdot (P_0 + P_1 n - P_1) \cdot 3^{n-1} \cdot n^2 - 9 \cdot (P_0 + P_1 n - 2P_1) \cdot 3^{n-2} \cdot (n-2)^2$

$6 \cdot (P_0 + P_1 n - P_1) \cdot 3^{n-1} \cdot n^2 - 9 \cdot (P_0 + P_1 n - 2P_1) \cdot 3^{n-2} \cdot (n-2)^2$

$6 \cdot (P_0 + P_1 n - P_1) \cdot 3^{n-1} \cdot n^2 - 9 \cdot (P_0 + P_1 n - 2P_1) \cdot 3^{n-2} \cdot (n-2)^2$

$6 \cdot (P_0 + P_1 n - P_1) \cdot 3^{n-1} \cdot n^2 - 9 \cdot (P_0 + P_1 n - 2P_1) \cdot 3^{n-2} \cdot (n-2)^2$

$6 \cdot (P_0 + P_1 n - P_1) \cdot 3^{n-1} \cdot n^2 - 9 \cdot (P_0 + P_1 n - 2P_1) \cdot 3^{n-2} \cdot (n-2)^2$

$6 \cdot (P_0 + P_1 n - P_1) \cdot 3^{n-1} \cdot n^2 - 9 \cdot (P_0 + P_1 n - 2P_1) \cdot 3^{n-2} \cdot (n-2)^2$

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$6 \cdot (P_0 + P_1$

Sol: Let  $G(x) = \sum_{n=0}^{\infty} a_n x^n$  be a sol' of ④

$$xG(x) = \sum_{k=1}^{\infty} a_k x^k$$

$$(G(x) - 3xG(x)) = \sum_{n=0}^{\infty} a_n x^n - 3 \sum_{k=1}^{\infty} a_k x^k$$

$$= a_0 + \sum_{n=1}^{\infty} (a_n - 3a_{n-1}) x^n, \quad \therefore (a_n = 3a_{n-1})$$

### Generating Function:-

The Generating function for the sequence  $a_0, a_1, \dots, a_n, \dots$  of trisection numbers is the infinite series  $G(x) = \sum_{k=0}^{\infty} a_k x^k$

$$= a_0 + a_1 x + a_2 x^2 + \dots + a_n x^n + \dots$$

$$\left| \begin{array}{l} |x| < 1 \\ \left( \frac{1}{1-x} = 1+x+x^2+\dots \right) \\ \left( \frac{1}{(1-x)^2} = 1+2x+3x^2+4x^3+\dots \right) \\ (1) \quad 1, 2, 3, \dots \end{array} \right.$$

$$\textcircled{3}(1, 1, 1, 1, 1, 1) \rightarrow \text{generating f.} - 1+x+x^2+x^3+x^4+x^5$$

$$= \frac{1-x^6}{1-x}$$

$$\textcircled{4} \quad 1, a, a^2, \dots - G.F. \quad \frac{1}{1-ax}, \quad |x| < \frac{1}{|a|}$$

Remark: When we use the generating functions to solve counting problems, we treat them as formal power series, we do not consider about convergence issues.

Facts:  $f(x) = \sum_{k=0}^{\infty} a_k x^k, \quad g(x) = \sum_{k=0}^{\infty} b_k x^k$

$$\textcircled{a} \quad f(x) + g(x) = \sum_{k=0}^{\infty} (a_k + b_k) x^k.$$

$$\textcircled{b} \quad f(x) \cdot g(x) = \sum_{k=0}^{\infty} \left( \sum_{j=0}^k a_j b_{k-j} \right) x^k$$

$$\textcircled{c} \quad f'(x) = \sum_{k=1}^{\infty} k a_k x^{k-1}$$

Using generating functions to solve recurrence relations:

① solve the recurrence relation  $a_n = 3a_{n-1}$ , initial condition  $a_0 = 2$

$$= 2$$

$$G(x) = \frac{2}{1-3x} = 2(1+3x+(3x)^2+(3x)^3+\dots)$$

$$a_n = 2 \cdot \cancel{3} \cdot 3^n$$

$$\textcircled{1} \quad \text{Solve the r.s.} \quad a_n = 8a_{n-1} + 10^{n-1} \quad \text{initial condn.}$$

$$a_0 = 1, a_1 = 9.$$

Let  $G(x) = \sum_{n=0}^{\infty} a_n x^n$  be a sol' of ⑤.

$$\sum_{n=0}^{\infty} a_n x^n = 8 \sum_{n=1}^{\infty} a_{n-1} x^n + \sum_{n=1}^{\infty} 10^{n-1} x^n$$

$$G(x) - 1 = \sum_{n=1}^{\infty} a_n x^n$$

$$= \sum_{n=1}^{\infty} (8a_{n-1} x^n + 10^{n-1} x^n)$$

$$= 8x \sum_{n=1}^{\infty} a_{n-1} x^{n-1} + x \sum_{n=1}^{\infty} 10^{n-1} x^{n-1}$$

$$(G(x) - 1) = 8x \cdot G(x) + x \cdot \frac{1}{1-10x}$$

$$\Rightarrow \textcircled{2} \quad G(x)(1-8x) = \frac{1-10x+x}{1-10x}$$

$$G(x) = \frac{1-9x}{(1-8x)(1-10x)}$$

$$= \frac{1}{2} \left[ \frac{1}{1-8x} + \frac{1}{1-10x} \right]$$

C.L. Lw

$$G(x) = \sum_{n=0}^{\infty} \frac{1}{2} \left( \frac{1}{8^n} + \frac{1}{10^n} \right) x^n$$

$$\Rightarrow a_n = \frac{1}{2} (8^n + 10^n)$$

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