

\* Uniformly bounded implying pointwise bounded.

'If  $\mathcal{A} = \{A \mid A \in BL(X, Y)\}$  is

uniformly bounded implying, there exists

$$M > 0 \Rightarrow \|A\| \leq M, \forall A \in \mathcal{A}.$$

Now for any  $x \in X$ , we have

$$\|Ax\| \leq \|A\| \|x\|, \forall A \in \mathcal{A} \\ \leq M \|x\|$$

$\Rightarrow \mathcal{A}$  is pointwise bounded.

But converse need not be true.

Ex:  $X = C_{00}$ ,  $\|\cdot\|_{\infty}$

for  $x = (x(1), x(2), \dots) \in C_{00}$ ,

$$\text{define } f_n(x) = \sum_{j=1}^n x(j).$$

Then  $\|f_n\| = n$

$$[x_n = \underbrace{c_1, c_2, \dots, c_n}_{n \text{ terms}}, 0, 0, 0, \dots]$$

$$f_n(x) = \sum_{j=1}^n x c_j \longrightarrow \sum_{j=1}^{\infty} x c_j = f(x).$$

Then

$$f_n(x_n) = n, \quad \forall n \in \mathbb{N}.$$

but  $\{f(x_n)\}$  is unbounded  
 $\searrow \infty$ .

$\Rightarrow \{ \|f_n\| \}$  not uniformly bounded

$$f(x) = \lim_{n \rightarrow \infty} f_n(x)$$

$$\begin{aligned} \|f(x)\| &\leq \limsup_{n \rightarrow \infty} \|f_n\| \|x\| \\ &\leq n \|x\|_{\infty} \longrightarrow \infty \\ &\quad \text{--- } (*) \end{aligned}$$

If  $\{ \|h_n\| \}$  is uniformly bounded,  
then  $\|fx\| \leq M \|x\|$ , which is not  
true by  $\textcircled{2}$ .

~~2~~ If  $X$  is finite dimensional, then  
pointwise bounded implies uniformly  
bounded.

Let  $\{u_1, u_2, \dots, u_n\}$  be a basis  
for  $X$  and let  $\{f_1, f_2, \dots, f_n\}$  be a  
dual basis for  $X$ .

Then  $f_i(u_j) = \delta_{ij} \quad \forall i, j$

$\therefore$  Every  $x \in X$  can be written  
uniquely as

$$x = \sum_{i=1}^n a_i u_i$$

$$\Rightarrow f_j(x) = \sum_{i=1}^n d_i f_j(u_i) \\ = d_j \underbrace{\delta_{ij}}$$

$$\therefore x = \sum_{i=1}^n f_i(x) u_i.$$

Now let  $\mathcal{A} = \{A / A \in BL(X, Y)\}$  be a family of operators, which are ~~pointwise bounded~~.  
Then for any  $A \in \mathcal{A}$ , we have

$$Ax = \sum_{i=1}^n f_i(x) Au_i$$

$$\Rightarrow \|Ax\| = \left\| \sum_{i=1}^n f_i(x) Au_i \right\|$$

$$\leq \sum_{i=1}^n |f_i(x)| \|Au_i\|$$

$$\leq \sum_{i=1}^n \|f_i\| \|x\| \|Au_i\|$$

$\therefore \mathcal{A}$  is ~~pointwise bounded~~, ⊗

$\exists B_1, B_2, \dots, B_n > 0$

$$\|A u_j\| \leq \beta_j, \quad j = 1, 2, \dots, n$$

$$\text{let } \beta = \max \{ \beta_1, \beta_2, \dots, \beta_n \}.$$

$$\text{let } \alpha = \sum_{i=1}^n \|f_i\|$$

$\therefore$  from (2) we have for any  $A \in \mathcal{A}$

$$\|Ax\| \leq \left( \sum_{i=1}^n \|f_i\| \right) \beta_j \|x\|$$

$$\leq \alpha \beta \|x\|, \quad \forall A \in \mathcal{A}.$$

$$\Rightarrow \|A\| \leq \alpha \beta, \quad \forall A \in \mathcal{A}$$

$\Rightarrow \mathcal{A}$  is uniformly bounded.

## Uniform Boundedness Principle

Let  $X$  be a Banach space and  $Y$  be a n.l.s., and  $\mathcal{A} \subseteq \mathcal{B}(X, Y)$ .  
If  $\mathcal{A}$  is pointwise bounded, then  $\mathcal{A}$  is uniformly bounded.

Proof: —

Suppose  $\mathcal{A}$  is pointwise bounded.  
Then for each  $x \in X$ ,  $\exists M_x > 0$  s.t.

$$\|Ax\| \leq M_x \|x\|, \quad \forall A \in \mathcal{A}.$$

For each  $n \in \mathbb{N}$ , let

$$E_n = \{x \in X \mid \|Ax\| \leq n, \forall A \in \mathcal{A}\}$$

Claim:  $E_n$  is closed.

Let  $x \in \overline{E_n}$

Then there exists a sequence  $\{x_k\}$  in  $E_n$  such that  $x_k \rightarrow x$ .

$\therefore$  each  $x_k \in E_n$ , we have

$$\|Ax_k\| \leq n, \quad \forall k \\ \forall A \in \mathcal{A}$$

$\therefore x_k \rightarrow x, A \in \mathcal{A} \subset \mathcal{BL}(X, Y)$

$$\Rightarrow Ax_k \rightarrow Ax, \quad \forall A \in \mathcal{A}.$$

$$\Rightarrow \|Ax_k\| \rightarrow \|Ax\|.$$

$$\therefore \|Ax\| = \lim_{k \rightarrow \infty} \|Ax_k\|$$

$$\leq \left( \lim_{k \rightarrow \infty} n \right)$$

$$= n$$

$$\Rightarrow \|Ax\| \leq n, \quad \forall A \in \mathcal{A}$$

$$\Rightarrow x \in E_n$$

$$\therefore \overline{E_n} \subseteq E_n \subseteq \overline{E_n}$$

$$\Rightarrow \overline{E_n} = E_n$$

$\therefore E_n$  is a closed set.

$$\text{Claim: } X = \bigcup_{n=1}^{\infty} E_n.$$

If  $X \neq \bigcup_{n=1}^{\infty} E_n$ , then there exists

$$x \in X \text{ and } x \notin \bigcup_{n=1}^{\infty} \overline{E_n}.$$

$$\Rightarrow x \notin E_n, \forall n$$

$$\Rightarrow \|Ax\| \geq n, \forall A \in \mathcal{A} \text{ and } \|A\| < n.$$

which is contradiction to  $\mathcal{A}$  is a family of bounded operators.

$$\therefore X = \bigcup_{n=1}^{\infty} \overline{E_n}.$$

[Baire-Catagory theorem: let  $X$  be a complete metric space. If  $\bigcup_{n=1}^{\infty} X_n$



is a sequence of subsets of  $X$   
 such that  $X = \bigcup_{n=1}^{\infty} X_n$ , then  
 there exists some  $j$  s.t. interior  
 of  $X_j \neq \emptyset$ .

$\therefore$  By Baire - category theorem,  
 there exists  $k \in \mathbb{N}$  such that  
 interior of  $E_k \neq \emptyset$   $\left[ \because \overline{E_k} = E_k \right]$

So let  $u \in E_k$  and  $r > 0$   
 such that

$$B(u, r) \subset E_k$$

Also  $\|Au\| \leq k \quad \forall A \in \mathcal{A}$ .

Then for any  $x \in X$ , we have

$$\left\| u + \frac{rx}{2\|x\|} - u \right\| = \left\| \frac{rx}{2\|x\|} \right\|$$

$$\Rightarrow \frac{\gamma}{2} < \gamma$$

$$\Rightarrow u + \frac{\gamma x}{2 \|x\|} \in B(u, \gamma) \subset E_k$$

$$\Rightarrow \left\| A \left( u + \frac{\gamma x}{2 \|x\|} \right) \right\| \leq k \quad \forall A \in \mathcal{A}.$$

Now

$$\left\| A \left( \frac{\gamma x}{2 \|x\|} \right) \right\| = \left\| A \left( \frac{\gamma x}{2 \|x\|} + u \right) - Au \right\|$$

$$\leq \left\| A \left( \frac{\gamma x}{2 \|x\|} + u \right) \right\| + \|Au\|$$

$$\leq k + k = 2k$$

$$\Rightarrow \|Ax\| \leq \frac{2k}{\gamma} \|x\|, \quad \forall A \in \mathcal{A}$$

$$\Rightarrow \|A\| \leq \frac{2k}{\gamma}, \quad \forall A \in \mathcal{A}.$$

$\Rightarrow A$  is uniformly bounded.  
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$$\therefore \|A\| = \sup \{ \|Ax\| \mid x \in X, \|x\| \leq 1 \}$$

Corollary (Banach-Steinhaus Theorem)

Let  $X$  be a Banach space,  $\overline{Y}$  be a n.l.s and  $\{A_n\}$  be a sequence in  $BL(X, Y)$  such that for every  $x \in X$ ,  $\{A_n x\}$  converges in  $Y$ .

Let  $A: X \rightarrow Y$  be defined by

$$Ax = \lim_{n \rightarrow \infty} A_n x, \quad x \in X.$$

Then  $\{\|A_n\|\}$  is bounded  
and  $A \in BL(X, Y)$ .

Proof: For each  $x \in X$ ,  $\{A_n x\}$   
converges in  $Y$ .

$\therefore \{A_n x / n=1, 2, \dots\}$  is bounded

$\{A_n / n \in \mathbb{N}\}$  is pointwise bounded.

$\therefore X$  is a Banach space, by  
Uniform Boundedness Principle,

$\{ \|A_n\| \}$  is bounded  
 $\Rightarrow \|A_n\| \leq C, \forall n, C \geq 0$

Also, for  $x \in X$ ,

$$Ax = \lim_{n \rightarrow \infty} A_n x$$

$$\begin{aligned} \|Ax\| &\leq \left( \limsup_n \|A_n\| \right) \|x\| \\ &\leq C \|x\|, \quad \forall x \in X. \end{aligned}$$

$$\Rightarrow A \in B(L(X, Y))$$

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Theorem: Let  $X$  and  $Y$  be Banach spaces  
and  $\{A_n\}$  be a sequence in  $\mathcal{BL}(X, Y)$ .

Then  $\{A_n x\}$  converges in  $Y$  for every  
 $x \in X$  iff  $\{\|A_n\|\}$  is bounded  
and there exists a dense subset  $D$   
of  $X$   $\ni \{A_n u\}$  converges  
for every  $u \in D$ .

Proof: Suppose  $\{\|A_n\|\}$  is bounded  
and  $\{A_n u\}$  converges for every  $u \in D$

Claim:  $\{A_n x\}$  converges for every  $x \in X$ .

Since  $x \in X = \overline{D}$ ,  $\exists u \in D$

such that  $\|x - u\| < \epsilon$ .  
—  $\downarrow$

Now for  $n, k \in \mathbb{N}$ ,

$$\|A_n x - A_m x\| \leq \|A_n x - A_n u\| + \|A_n u - A_m u\| + \|A_m u - A_m x\|.$$

$$\leq \|A_n\| \|x - u\| + \|A_n u - A_m u\| + \|A_m\| \|u - x\|$$

$$\leq (\|A_n\| + \|A_m\|) \|x - u\|$$

$$+ \|A_n u - A_m u\|. \quad \rightarrow (2)$$

$\therefore \{A_n u\}$  converges for every  $u \in D$   
 implying  $\{A_n\}$  is a Cauchy sequence.

$\therefore$  for  $\epsilon > 0 \quad \exists n_0 \in \mathbb{N}$  s.t.

$$\|A_n u - A_m u\| < \epsilon, \quad \forall n, m \geq n_0.$$

$\rightarrow (3)$

Using (1) & (3) in (2) we get

$$\|A_n x - A_m x\| \leq (\|A_n\| + \|A_m\|) \epsilon + \epsilon$$

$$\leq (2C+1) \in \left[ \sup_{\alpha \in X} \sum_{n=1}^{\infty} \|A_n \alpha\| \right] \\ \longrightarrow 0$$

$\therefore \{A_n \alpha\}$  is Cauchy ~~series~~ in  
a Banach space  $Y$ .

$\therefore \{A_n \alpha\}$  converges in  $Y$ .

Conversely Suppose that  $\{A_n \alpha\}$   
converges in  $Y$  for every  $\alpha \in X$ .

$\Rightarrow \{A_n \alpha \mid n=1, 2, 3, \dots\}$  is  
Pointwise bounded.

$\because X$  is a Banach space,

$\{A_n \mid n=1, 2, \dots\}$  is ~~uniformly~~  
~~bounded~~

i.e.,  $\{\|A_n\|\}$  is ~~bounded~~.

Since  $\{A_n x\} \subset J$  for every  $x \in X$   
 and  $D \subseteq X$ , imply  
 $\{A_n x\}$  also  $\subset J$  for every  
 $x \in D$ .

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Corollary:— Let  $X$  be a Banach  
 space,  $Y$  be a n.l.s and  $\{A_n\}$   
 be a sequence in  $BL(X, Y)$   
 such that  $\{A_n x\}$  converges for every  
 $x \in X$ . Let  $A: X \rightarrow Y$  be  
 defined by  $Ax = \lim_{n \rightarrow \infty} A_n x, x \in X$ .

Then for every totally bounded  
 subset  $S \subseteq X$ ,



$$\sup_{x \in S} \|A_n x - Ax\| \rightarrow 0 \quad \text{as } n \rightarrow \infty.$$

Proof: