

# Safety Analysis of Embedded Controllers Under Implementation Platform Timing Uncertainties

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EMBEDDED AND CYBER-PHYSICAL SYSTEMS

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- In this presentation, we will be analyzing system behavior having timing uncertainties.
- There are different strategies for handling deadline misses or system overruns, all leading to a stable system.

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- $A_c, B_c, C_c, D_c$  encodes the dynamics of the system.



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- This means that the system is strictly proper.
- $C_c$  is the unit matrix of appropriate size. This means that state is measurable.
- Now, we can represent our model as

$$\dot{x}(t) = A_c x(t) + B_c u(t)$$

and describe the system dynamics using only  $A_c$  and  $B_c$

- After discretizing our model, the system becomes

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- $K$  is sampling instant that means there is a distance of  $\pi[s]$  between  $k$ th and  $(k+1)$ th instant.
- $A_d$  and  $B_d$  are counterpart of  $A_c$  and  $B_c$  for continuous system.

# Controller Model

- As we know from our helicopter model example, the current control input is proportional to the previous state of the system.



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$$u_{[k]} = Kx_{[k-1]}$$

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# Feedback interconnection

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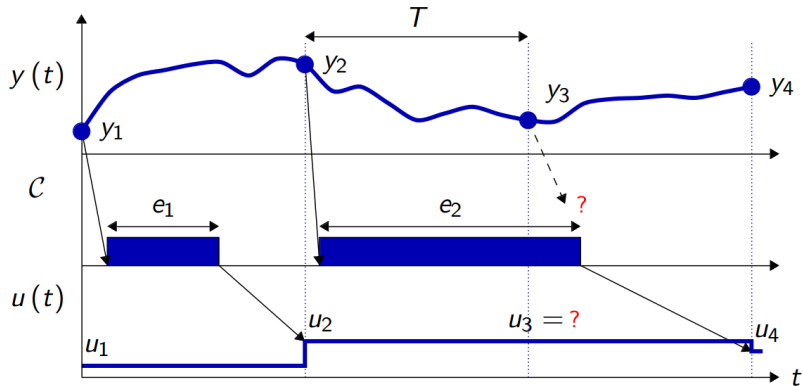
$$\tilde{x}_{[k+1]} = \begin{bmatrix} x_{[k+1]} \\ x_{[k]} \end{bmatrix} = \begin{bmatrix} A_d & B_d K \\ I_p & 0_{p \times p} \end{bmatrix} \begin{bmatrix} x_{[k]} \\ x_{[k-1]} \end{bmatrix}$$

$$\tilde{x}_{[k+1]} = A \tilde{x}_{[k]}$$

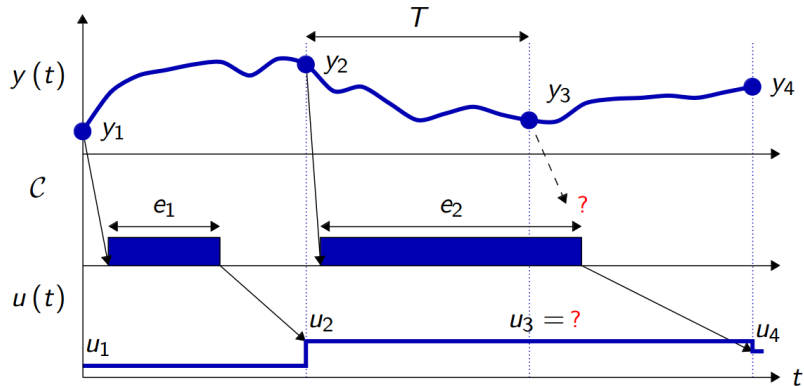
$$\tau \vdash \overline{\langle n \rangle}$$

This means that the maximum deadline misses the system can have is  $n$ .

# Deadline Missed



# Deadline Missed



● Now what?

# Deadline Missed

- Control Input ( $u_{[k]}$ ) = ?



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- Control Input ( $u_{[k]}$ ) = ?
- Missed Task = ?

# Handling Control Input

- Zero:  $u_{[k+1]} = 0$

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- Zero:  $u_{[k+1]} = 0$
- Hold:  $u_{[k+1]} = u_{[k]}$

# Handling Task

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- skip-next: Allow the task to continue and shift the next task to the next deadline.

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  - Might have changed the internal state of the system.

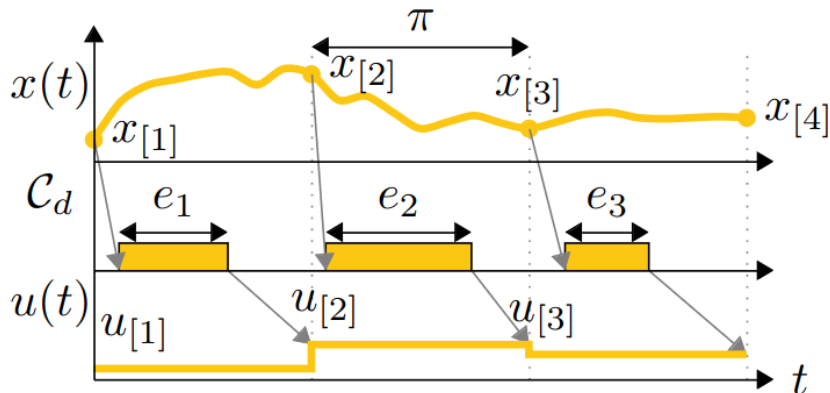
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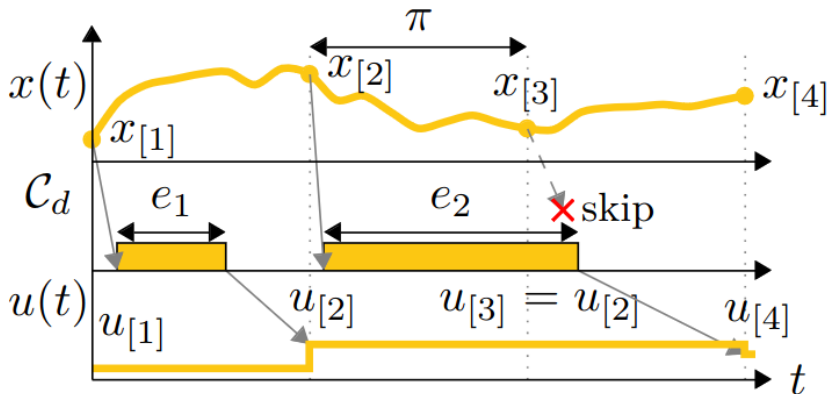


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- Hold & Skip next



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- Linear Algebra says that the system is stable if all the eigenvalues of  $A$  have norm  $< 1$

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$$\rho(A) = \max\{|\lambda_1|, \dots, |\lambda_n|\}$$

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- Another way of saying the system is stable is

$$\rho(A) < 1$$



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- Again system is stable if

$$\rho(A_H \cdots A_M A_M A_H) < 1$$

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- For stability

$$\rho(\Sigma) < 1$$

# Further Generalization

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- Well, computing the exact value is not possible. We use approximation methods.
- The approximation method give a lower and upper bound of the spectral radius, and based on them, we comment on stability.
- Used MATLAB JSR toolbox in our implementation.

# Mathematical Formulation of $A_H$ and $A_M$ .



# Zero&Kill

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## Hit

$$x[k+1] = A_d x[k] + B_d u[k].$$

$$u[k+1] = K x[k]$$

$$\begin{bmatrix} x[k+1] \\ u[k+1] \end{bmatrix} = \underbrace{\begin{bmatrix} A_d & B_d \\ K & 0_{r \times r} \end{bmatrix}}_{A_H} \begin{bmatrix} x[k] \\ u[k] \end{bmatrix}$$

## Miss

$$x[k+1] = A_d x[k] + B_d u[k].$$

$$u[k+1] = 0$$

$$\begin{bmatrix} x[k+1] \\ u[k+1] \end{bmatrix} = \underbrace{\begin{bmatrix} A_d & B_d \\ 0_{r \times r} & 0_{r \times r} \end{bmatrix}}_{A_M} \begin{bmatrix} x[k] \\ u[k] \end{bmatrix}$$

When the controller hits the deadline. Kill implies that in case of a deadline miss there is an abort of what the control task has been computing up to its deadline, which means there is no need to take into account its (partial) behaviour. In case of a deadline miss in the  $k$ -th iteration, the control signal  $u[k+1]$  is set to zero. With  $n$  maximum consecutive misses, we can then compute all the matrices in  $\Sigma = \{A_H A_M^i \mid i \in \mathbb{Z}^{\geq}, i \leq n\}$  and then compute the upper bound on  $\rho(\Sigma)$ .

# Zero&Skip-Next

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The difference between Zero&Kill and Zero&Skip-Next lays in the freshness of the measurements that are used for the computation of the control signal when the task  $\tau$  hits its deadline.

HIT

$$\begin{bmatrix} x[k+1] \\ x[k] \\ \dots \\ x[k-n+1] \\ u[k+1] \end{bmatrix} = \underbrace{\begin{bmatrix} A_d & 0_{p \times (n \cdot p)} & B_d \\ & I_{n \cdot p} & 0_{(n \cdot p) \times (p+r)} \\ K & 0_{r \times (n \cdot p)} & 0_{r \times r} \end{bmatrix}}_{A_H} \begin{bmatrix} x[k] \\ x[k-1] \\ \dots \\ x[k-n] \\ u[k] \end{bmatrix}.$$

In fact, when the deadline is hit (not after a miss) there is no use of the previous values of the state.

# Zero&Skip-Next

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When a miss occurs, the control signal is set to zero. Substitute the value of K with a zero matrix of appropriate size, i.e.,  $0_{r \times p}$  to obtain the  $A_M$  matrix.

$$\begin{bmatrix} x[k+1] \\ x[k] \\ \dots \\ x[k-n+1] \\ u[k+1] \end{bmatrix} = \underbrace{\begin{bmatrix} A_d & 0_{p \times (n \cdot p)} & B_d \\ & I_{n \cdot p} & 0_{(n \cdot p) \times (p+r)} \\ & & 0_{r \times (n+1) \cdot p+r} \end{bmatrix}}_{A_M} \begin{bmatrix} x[k] \\ x[k-1] \\ \dots \\ x[k-n] \\ u[k] \end{bmatrix}.$$

a hit that follows a certain number of misses (up to n) has a different matrix with respect to  $A_H$ . We denote with  $A_{R_i}$  the matrix that represents the evolution of the closed-loop system when a recovery happens after i deadlines were missed.

# Zero&Skip-Next

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For  $i = 1$ , i.e., with one deadline miss, we can write

$$\begin{bmatrix} x[k+1] \\ x[k] \\ \dots \\ x[k-n+1] \\ u[k+1] \end{bmatrix} = \underbrace{\begin{bmatrix} A_d & 0_{p \times (n \cdot p)} & B_d \\ & I_{n \cdot p} & 0_{(n \cdot p) \times (p+r)} \\ 0_{r \times p} & K & 0_{r \times (n-1) \cdot p} & 0_{r \times r} \end{bmatrix}}_{A_{R_1}} \begin{bmatrix} x[k] \\ x[k-1] \\ \dots \\ x[k-n] \\ u[k] \end{bmatrix}.$$

Consistently with our treatise,  $A_{R_0} = A_H$ . We can then compute the set  $\Sigma$  as

$\Sigma = \{ A_{R_i} A_M^i \mid i \in \mathbb{Z} \geq 0, i \leq n \}$  and then compute the upper bound on  $\rho(\Sigma)$  using the computed set of matrices.

# Zero&Queue(1)

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The behaviour of the combination of the zero policy and the queue(1) strategy vary depending on the possibility of the queued job to complete before the deadline or not.

We can start from the set  $\Sigma$  used for the Zero&Skip-Next combination and add to the set all the matrices  $A_H A_M^i$ , that take into account the possibility that the queued job completed before its deadline. We should also include in the set  $\Sigma$  the matrices  $A_{R_i}$  alone, as it could happen that a queued job doesn't terminate in the period it was started in. We then obtain

$\Sigma = \{ A_H A_M^i, A_{R_i}, A_{R_i} A_M^i \mid i \in \mathbb{Z}^+, i \leq n \}$ , and we can use the set to compute the upper bound on the joint spectral radius  $\rho(\Sigma)$ .

# Hold&Kill.

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The hold and kill strategy aborts the task but applies the previously computed control signal to the plant. We can write the evolution of the system when a deadline is hit as

$$\begin{bmatrix} x[k+1] \\ u[k+1] \end{bmatrix} = \underbrace{\begin{bmatrix} A_d & B_d \\ K & 0_{r \times r} \end{bmatrix}}_{A_H} \begin{bmatrix} x[k] \\ u[k] \end{bmatrix},$$

When a deadline is missed, we compute the system evolution as

$$\begin{bmatrix} x[k+1] \\ u[k+1] \end{bmatrix} = \underbrace{\begin{bmatrix} A_d & B_d \\ 0_{r \times r} & I_r \end{bmatrix}}_{A_M} \begin{bmatrix} x[k] \\ u[k] \end{bmatrix},$$

if we assume there can be a maximum of  $n$  consecutive deadline misses, we can then compute all the matrices in  $\Sigma = \{ A_H A_M^i \mid i \in \mathbb{Z}^{\geq}, i \leq n \}$  and then compute the upper bound on  $\rho(\Sigma)$ .

# Hold&Skip-Next

---

In order to analyse the combination of hold and skip-next we need to augment the state vector as we did for the zero&skip-next handling strategy. We obtain the following expression for the closed-loop system when we hit a deadline,

$$\begin{bmatrix} x[k+1] \\ x[k] \\ \dots \\ x[k-n+1] \\ u[k+1] \end{bmatrix} = \underbrace{\begin{bmatrix} A_d & 0_{p \times (n \cdot p)} & B_d \\ & I_{n \cdot p} & 0_{(n \cdot p) \times (p+r)} \\ K & 0_{r \times (n \cdot p)} & 0_{r \times r} \end{bmatrix}}_{A_H} \begin{bmatrix} x[k] \\ x[k-1] \\ \dots \\ x[k-n] \\ u[k] \end{bmatrix}.$$

# Hold&Skip-Next

---

When we miss a deadline we use the old control value

$$\begin{bmatrix} x[k+1] \\ x[k] \\ \dots \\ x[k-n+1] \\ u[k+1] \end{bmatrix} = \underbrace{\begin{bmatrix} A_d & 0_{p \times (n \cdot p)} & B_d \\ & I_{n \cdot p} & 0_{(n \cdot p) \times (p+r)} \\ 0_{r \times (n+1) \cdot p} & & I_r \end{bmatrix}}_{A_M} \begin{bmatrix} x[k] \\ x[k-1] \\ \dots \\ x[k-n] \\ u[k] \end{bmatrix}.$$

For one deadline miss we obtain AR1 as

$$\begin{bmatrix} x[k+1] \\ x[k] \\ \dots \\ x[k-n+1] \\ u[k+1] \end{bmatrix} = \underbrace{\begin{bmatrix} A_d & 0_{p \times (n \cdot p)} & B_d \\ & I_{n \cdot p} & 0_{(n \cdot p) \times (p+r)} \\ 0_{r \times p} & K & 0_{r \times (n-1) \cdot p} & 0_{r \times r} \end{bmatrix}}_{A_{R_1}} \begin{bmatrix} x[k] \\ x[k-1] \\ \dots \\ x[k-n] \\ u[k] \end{bmatrix},$$

Again,  $A_{R_0} = A_H$  and we can define the set  $\Sigma$  as  $\Sigma = \{ A_{R_i} A_M^i \mid i \in \mathbb{Z} \geq 0, i \leq n \}$ . With this, we can compute the upper bound on  $\rho(\Sigma)$ .



# Hold&Queue(1).

---

To analyse the hold&queue(1) strategy, we follow the same principles used for the zero&queue(1) strategy. We start from the hold&skip-next matrices and determine

$$\Sigma = \{ A_H A_M^i, A_{R_i}, A_{R_i} A_M^i \mid i \in \mathbb{Z}^+, i \leq n \}.$$

# Experimental Validation

- A few examples of how the analysis.
- Some results obtained with an unstable second-order system.
- Verify the stability of a permanent magnet synchronous motor for an automotive electric steering application.

# Unstable Second-Order System

$$\dot{x}(t) = \underbrace{\begin{bmatrix} 10 & 0 \\ -2 & -1 \end{bmatrix}}_{A_c} x(t) + \underbrace{\begin{bmatrix} 5 & 1 \\ 4 & 10 \end{bmatrix}}_{B_c} u(t),$$

$A_c$  is a lower triangular matrix

- analyse the following continuous-time linear time-invariant open-loop system, where both the state and the input vector are composed of two variables.
- the poles (eigen values) of the system are 10 and  $-1$ .
- Since one pole is in the left half plane is a stable and a right half plane is a unstable , the system has one unstable mode.

Now we need for control to stabilise the system.

An optimal linear quadratic regulator [26] is designed for this system, assuming that the controller execution is instantaneous and there is no one-step delay actuation.

$$K = \begin{bmatrix} -4.7393 & 0.2430 \\ 0.2277 & -0.8620 \end{bmatrix}.$$

check the stability of the closed loop system when the controller is executed with one step delay.

select a sampling period of 10 ms and discretise the system, we get

$$x_{[k+1]} = \underbrace{\begin{bmatrix} 1.1053 & 0.0000 \\ -0.0209 & 0.9900 \end{bmatrix}}_{A_d} x_{[k]} + \underbrace{\begin{bmatrix} 0.0526 & 0.0105 \\ 0.0393 & 0.0994 \end{bmatrix}}_{B_d} u_{[k]}.$$

$A_d$  is also lower triangular.

The poles of the open-loop system are the numbers indicated in the main diagonal.

Since one of them is outside the unit circle, the discretised version of the continuous-time system is also unstable and needs control.

# Stability Results for the Unstable Second-Order System.

	Misses	Stability	Lower Bound	Upper Bound
<i>Zero&amp;Kill</i>	1	✓	0.961037	0.961975
	2	✗	1.071911	1.071915
<i>Zero&amp;Skip-Next</i>	1	✓	0.914298	0.920769
	2	✗	1.059819	1.059822
<i>Zero&amp;Queue(1)</i>	1	✓	0.961037	0.964287
	2	✗	1.071911	1.071915

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	Misses	Stability	Lower Bound	Upper Bound
<i>Hold&amp;Kill</i>	1	✓	0.891089	0.891090
	2	✓	0.891089	0.891090
	3	✓	0.891089	0.891098
	4	✓	0.891089	0.891251
	5	✓	0.891089	0.935272
	6	✗	0.891089	1.004593
	7	✗	0.961344	1.083038
	8	✗	1.065537	1.172249
<i>Hold&amp;Skip-Next</i>	1	✓	0.891089	0.891090
	2	✓	0.914556	0.944458
	3	✗	1.076507	1.091171
<i>Hold&amp;Queue(1)</i>	1	✗	1.347066	1.370827

# Electric Steering Application.

- Verify the stability of a permanent magnet synchronous motor for an automotive electric steering application in the presence of deadline misses.
- Present a standard model for the motor and a proportional and integral (PI) controller for setpoint tracking, written in the state-feedback form.

When modeling the motor, our system state is  $x(t) = [i_d(t), i_q(t)]^T$  where  $i_d(t)$  and  $i_q(t)$  represent respectively the currents in the d and q coordinates over time.



The model of the motor can be written as

$$\dot{x}(t) = \begin{bmatrix} -R/L_d & L_q \omega_{el}/L_d \\ -L_d \omega_{el}/L_q & -R/L_q \end{bmatrix} x(t) + \begin{bmatrix} 1/L_d & 0 \\ 0 & 1/L_q \end{bmatrix} u(t).$$

Here,  $L_d$  [ht] and  $L_q$  [ht] denote respectively the inductance in the d and q direction,  $R$  [Ohm] is the winding resistance, and  $\omega_{el}$  [rad/s] is the frequency of the rotor-induced voltage.

$R = 0.025$  [Ohm],  $\omega_{el} = 6283.2$  [rad/s],  $L_d = 0.0001$  [ht],

$L_q = 0.00012$  [ht]

**Tustin's method** introduces frequency distortion, the method is what is currently applied in our industrial case study. Similar results can be obtained with the exact matrix exponential, changing the discretisation command parameters in the Matlab code.

using Tustin's method, and a sampling period of  $10\mu\text{s}$ , obtaining

$$x[k+1] = \underbrace{\begin{bmatrix} 0.996 & 0.075 \\ -0.052 & 0.996 \end{bmatrix}}_{A_{d,\text{base}}} x[k] + \underbrace{\begin{bmatrix} 0.100 & 0.003 \\ -0.003 & 0.083 \end{bmatrix}}_{B_{d,\text{base}}} u[k].$$

the eigenvalues of  $A_{d,\text{base}}$  are  $0.9957 \pm 0.0626i$  and their absolute value is 0.9977, meaning that the open-loop system is stable (even without control).

To achieve zero steady-state error, we would like to design our controller in the PI form, using a term that is proportional to the error between the actual current vector and the setpoint vector and a term that is proportional to the integral of the error.

After this transformation, we denote the new system input as

$$v[k] = [u[k]^T, w[k]^T]^T$$

where  $w[k]$  denotes a vector that contains the desired values for the currents  $i_d$  and  $i_q$  at time  $k$ .

The model the system as:

$$s[k+1] = \underbrace{\begin{bmatrix} A_{d,\text{base}} & 0_{2 \times 2} & 0_{2 \times 2} \\ -I_2 & 0_{2 \times 2} & 0_{2 \times 2} \\ 0_{2 \times 2} & I_2 & 0_{2 \times 2} \end{bmatrix}}_{A_d} s[k] + \underbrace{\begin{bmatrix} B_{d,\text{base}} & 0_{2 \times 2} \\ 0_{2 \times 2} & I_2 \\ 0_{2 \times 2} & 0_{2 \times 2} \end{bmatrix}}_{B_d} v[k].$$

We design our PI controller as:

$$K = \begin{bmatrix} 0_{2 \times 2} & \overbrace{\begin{bmatrix} 5 & 0 \\ 1 & 7 \end{bmatrix}}^{K_1} & \overbrace{\begin{bmatrix} -4 & 0 \\ -3 & 7 \end{bmatrix}}^{K_2} \\ 0_{2 \times 2} & 0_{2 \times 2} & 0_{2 \times 2} \end{bmatrix}.$$

# Stability Results for the Electric Steering Application

	Misses	Stability	Lower Bound	Upper Bound
<i>Zero&amp;Kill</i>	$\infty$	✓	0.997713	0.997713
<i>Zero&amp;Skip-Next</i>	1	✓	0.892575	0.892575
	2	✓	0.892575	0.892575
	3	✓	0.892575	0.892575
	4	✓	0.892575	0.892575
	5	✓	0.892575	0.892575
	6	✓	0.892575	0.892575
	7	✓	0.892575	0.892575
	8	✓	0.900922	0.900922
	9	✓	0.912902	0.912902
	10	✓	0.938565	0.938565
	11	✓	0.940823	0.940823
	12	✓	0.942610	0.942610
	13	✓	0.951092	0.951092
	14	✓	0.962846	0.962846
	15	✓	0.973776	0.973776
	16	✓	0.983954	0.983954
	17	✓	0.993436	0.993436
	18	✗	1.002273	1.002273
<i>Zero&amp;Queue(1)</i>	1	✓	0.925966	0.925966
	2	✗	1.001620	1.001620
<i>Hold&amp;Kill</i>	1	✓	0.892575	0.892575
	2	✓	0.938332	0.938332
	3	✗	1.073542	1.073542
<i>Hold&amp;Skip-Next</i>	1	✓	0.968574	0.968574
	2	✗	1.107390	1.107390
<i>Hold&amp;Queue(1)</i>	1	✗	1.423968	1.423968

# Contributions

Name	Roll No.	Contribution (%)
Sumit Gupta	200394	25
Munna Kumar	200608	25
Raushan Kumar	210830	25
Saurav Kumar	210950	25

The state equation is

$$x_{[k+1]} = \begin{bmatrix} 1.1053 & 0.0000 \\ -0.0209 & 0.9900 \end{bmatrix} x_{[k]} + \begin{bmatrix} 0.0526 & 0.0105 \\ 0.0393 & 0.0994 \end{bmatrix} u_{[k]}$$

with

$$u_{[k]} = \begin{bmatrix} -4.7393 & 0.2430 \\ 0.2277 & -0.8620 \end{bmatrix} x_{[k-1]}$$

# Implementation Result

Approach	Misses	Stability	Lower Bound	Upper Bound
Zero&Kill	1	✓	0.9613	0.9613
	2	✗	1.0286	1.1217
Zero& Skip Next	1	✓	0.9144	0.9144
	2	✗	0.9929	1.1808
Hold& Skip Next	1	✓	0.7846	0.7846
	2	✓	0.7446	0.8121
	⋮	⋮	⋮	⋮
	20	✓	0.6872	0.9994
	21	✗	0.6868	1.0049
Hold& Skip Next	1	✓	0.7585	0.7585
	2	✗	0.8471	1.0075

(\*\* Our implementation might differ from original because of the different algorithms used for computing spectral radius.)