

# Syntax Analysis, VII

One more LR(1) example, plus some more stuff

### **Comp 412**



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**Chapter 3 in EaC2e** 

### **Computing Closures**



### Closure(s) adds all the possibilities for the items already in s

- Any item  $[A \rightarrow \beta \bullet B\delta,\underline{a}]$  where  $B \in NT$  implies  $[B \rightarrow \bullet \tau,x]$  for each production that has B on the lhs, and each  $x \in FIRST(\delta\underline{a})$
- Since  $\beta B\delta$  is valid, any way to derive  $\beta B\delta$  is valid, too

### The Algorithm

```
Closure(s)

while (s is still changing)

\forall items [A \to \beta \bullet B\delta, \underline{a}] \in s

lookahead \leftarrow FIRST(\delta \underline{a}) // \delta might be \varepsilon

\forall productions B \to \tau \in P

\forall \underline{b} \in lookahead

if [B \to \bullet \tau, \underline{b}] \notin s

then s \leftarrow s \cup \{[B \to \bullet \tau, \underline{b}]\}
```

- Classic fixed-point method
- Halts because  $s \subset I$ , the set of all items (finite)
- Worklist version is faster
- Closure "fills out" a state s

Generate new lookaheads. See note on p. 128

### **Computing Gotos**



# Goto(s,x) computes the state that the parser would reach if it recognized an x while in state s

- Goto( {  $[A \rightarrow \beta \bullet X \delta, \underline{a}]$  }, X ) produces {  $[A \rightarrow \beta X \bullet \delta, \underline{a}]$  (obviously)
- It finds all such items & uses Closure() to fill out the state

### The Algorithm

```
Goto( s, X )

new ← Ø

\forall items [A→β•Xδ,a] ∈ s

new ← new ∪ {[A→βX•δ,a]}

return Closure( new )
```

- Goto() models a transition in the automaton
- Straightforward computation
- Goto() is not a fixed-point method (but it calls Closure())

# **Building the Canonical Collection**



Start from 
$$s_0 = Closure([S' \rightarrow \bullet S, EOF])$$

Repeatedly construct new states, until all are found

### The Algorithm

```
s_{0} \leftarrow Closure(\{[S' \rightarrow \bullet S, EOF]\})

S \leftarrow \{s_{0}\}

k \leftarrow 1

while (S is still changing)

\forall s_{j} \in S \text{ and } \forall x \in (T \cup NT)

s_{k} \leftarrow Goto(s_{j}, x)

record s_{j} \rightarrow s_{k} \text{ on } x

if s_{k} \notin S \text{ then}

S \leftarrow S \cup \{s_{k}\}

k \leftarrow k + 1
```

- Fixed-point computation
- Loop adds to *S* (*monotone*)
- $S \subseteq 2^{ITEMS}$ , so S is finite
- Worklist version is faster because it avoids duplicated effort

This membership / equality test requires careful and/or clever implementation.

# Filling in the ACTION and GOTO Tables



### The Table Construction Algorithm

x is the state number

```
\forall \ set \ S_x \in S
\forall \ item \ i \in S_x
if \ i \ is \ [A \rightarrow \beta \bullet \underline{a} \delta, \underline{b}] \ and \ goto(S_x, \underline{a}) = S_k \ , \ \underline{a} \in T
then \ \mathsf{ACTION}[x, \underline{a}] \leftarrow \text{``shift } k''
else \ if \ i \ is \ [S' \rightarrow S \bullet, \underline{\mathsf{EOF}}] \leftarrow \text{``accept''}
then \ \mathsf{ACTION}[x \ , \underline{\mathsf{EOF}}] \leftarrow \text{``accept''}
else \ if \ i \ is \ [A \rightarrow \beta \bullet, \underline{a}] \leftarrow \text{``reduce } A \rightarrow \beta''
\forall \ n \in \mathsf{NT}
if \ goto(S_x, n) = S_k
then \ \mathsf{GOTO}[x, n] \leftarrow k
\bullet \ \mathsf{at \ end} \Rightarrow \mathsf{reduce}
```

#### Many items generate no table entry

- → Placeholder before a NT does not generate an ACTION table entry
- $\rightarrow$  *Closure*() instantiates FIRST(X) directly for  $[A \rightarrow \beta \bullet X \delta, \underline{a}]$

# **Another Example**

(grammar & sets)



### Simplified, <u>right</u> recursive expression grammar

0	Goal	$\rightarrow$	Expr		
1	Expr	$\rightarrow$	Term - Expr		
2			Term		
3	Term	$\rightarrow$	Factor * Term		
4			Factor		
5	Factor	$\rightarrow$	<u>id</u>		

SYMBOL	FIRST	
Goal	{ <u>id</u> }	
Expr	{ <u>id</u> }	
Term	{ <u>id</u> }	
Factor	{ <u>id</u> }	
_	{-}	
*	{ * }	
<u>id</u>	{ <u>id</u> }	



### **Initialization Step**

```
s_{0} \leftarrow \textit{closure}( \{ [\textit{Goal} \rightarrow \bullet \textit{Expr}, \mathsf{EOF}] \} ) 
\{ [\textit{Goal} \rightarrow \bullet \textit{Expr}, \mathsf{EOF}], 
[\textit{Expr} \rightarrow \bullet \textit{Term} - \textit{Expr}, \mathsf{EOF}], [\textit{Expr} \rightarrow \bullet \textit{Term}, \mathsf{EOF}], 
[\textit{Term} \rightarrow \bullet \textit{Factor} * \mathsf{Term}, \mathsf{EOF}], [\textit{Term} \rightarrow \bullet \textit{Factor} * \textit{Term}, -], 
[\textit{Term} \rightarrow \bullet \textit{Factor}, \mathsf{EOF}], [\textit{Term} \rightarrow \bullet \textit{Factor}, -], 
[\textit{Factor} \rightarrow \bullet \mathsf{id}, \mathsf{EOF}], [\textit{Factor} \rightarrow \bullet \mathsf{id}, -], [\textit{Factor} \rightarrow \bullet \mathsf{id}, *] \} 
S \leftarrow \{s_{0}\}
```

Item in *black* is the initial item.

Items in *gray* are added by *closure*().



#### **Iteration 1**

$$s_1 \leftarrow \mathbf{goto}(s_0, Expr)$$

$$s_2 \leftarrow goto(s_0, Term)$$

$$s_3 \leftarrow \mathbf{goto}(s_0, Factor)$$

$$s_4 \leftarrow goto(s_0, \underline{id})$$

Goal, \* , & - generate empty sets

#### **Iteration 2**

$$s_5 \leftarrow \mathbf{goto}(s_2, -)$$

$$s_6 \leftarrow goto(s_3, *)$$

Goal, Expr, Term, Factor, & id generate empty sets

#### **Iteration 3**

$$s_7 \leftarrow \mathbf{goto}(s_5, Expr)$$

$$s_8 \leftarrow goto(s_6, Term)$$

Goal, \*, & - generate empty sets. Term, Factor, & <u>id</u> start to re-create existing sets.

#### **The Details**



```
s_o \leftarrow closure(\{[Goal \rightarrow \bullet Expr, EOF]\})
     { [Goal \rightarrow \bullet Expr. EOF].
        [Expr \rightarrow \bullet Term - Expr, EOF], [Expr \rightarrow \bullet Term, EOF],
        [Term \rightarrow • Factor * Term , EOF], [Term \rightarrow • Factor * Term , –],
        [Term \rightarrow \bullet Factor, EOF], [Term \rightarrow \bullet Factor, -].
        [Factor \rightarrow \bullet id, EOF], [Factor \rightarrow \bullet id, -], [Factor \rightarrow \bullet id, *] \}
s_1 \leftarrow goto(s_0, Expr)
       \{ [Goal \rightarrow Expr \bullet, EOF] \}
s_2 \leftarrow \mathbf{goto}(s_0, Term)
       \{ [Expr \rightarrow Term \bullet - Expr, EOF], [Expr \rightarrow Term \bullet, EOF] \}
s_3 \leftarrow goto(s_0, Factor)
       { [Term \rightarrow Factor \bullet * Term , EOF], [Term \rightarrow Factor \bullet * Term , -],
         [Term \rightarrow Factor \bullet, EOF], [Term \rightarrow Factor \bullet, -] }
```

Items in *black* are core items, generated by moving the placeholder. Items in *gray* are added by *closure*().

#### **The Details**



```
s_4 \leftarrow goto(s_0, \underline{id})
       \{ [Factor \rightarrow id \bullet, EOF], [Factor \rightarrow id \bullet, -], [Factor \rightarrow id \bullet, *] \}
s_5 \leftarrow goto(s_2, -)
       { [Expr \rightarrow Term - \bullet Expr, EOF], [Expr \rightarrow \bullet Term - Expr, EOF],
          [Expr \rightarrow \bullet Term, EOF].
          [Term \rightarrow \bullet Factor * Term . -]. [Term \rightarrow \bullet Factor . -].
          [Term \rightarrow • Factor * Term . EOF]. [Term \rightarrow • Factor . EOF].
          [Factor \rightarrow \bullet \text{ id}, *], [Factor \rightarrow \bullet \text{ id}, -], [Factor \rightarrow \bullet \text{ id}, EOF] \}
s_6 \leftarrow goto(s_3, *)
       { [Term \rightarrow Factor * • Term , EOF], [Term \rightarrow Factor * • Term , -],
        [Term \rightarrow • Factor * Term , EOF], [Term \rightarrow • Factor * Term , -],
        [Term \rightarrow \bullet Factor, EOF], [Term \rightarrow \bullet Factor, -],
        [Factor \rightarrow \bullet id, EOF], [Factor \rightarrow \bullet id, -], [Factor \rightarrow \bullet id, *] \}
```

Items in *black* are core items, generated by moving the placeholder. Items in *gray* are added by *closure*().

### **The Details**



```
s_7 \leftarrow \textbf{goto}(s_5, Expr)
\{ [Expr \rightarrow Term - Expr \bullet, EOF] \}
\textbf{goto}(s_5, Term) \text{ recreates } s_2
\textbf{goto}(s_5, Factor) \text{ recreates } s_3
\textbf{goto}(s_5, \underline{id}) \text{ recreates } s_4
s_8 \leftarrow \textbf{goto}(s_6, Term)
\{ [Term \rightarrow Factor * Term \bullet, EOF], [Term \rightarrow Factor * Term \bullet, -] \}
\textbf{goto}(s_6, Term) \text{ recreates } s_3
\textbf{goto}(s_6, \underline{id}) \text{ recreates } s_4
```

The next iteration creates no new sets.

Items in *black* are core items, generated by moving the placeholder. Items in *gray* are added by *closure*().

# **Recorded Transitions**



### The Goto Relationship

(recorded during the construction)

State	Expr	Term	Factor	-	*	<u>id</u>
s <sub>o</sub>	1	2	3			4
<b>s</b> <sub>1</sub>						
s <sub>2</sub>				5		
<b>S</b> <sub>3</sub>					6	
<b>S</b> <sub>4</sub>						
<b>s</b> <sub>5</sub>	7	2	3			4
<b>s</b> <sub>6</sub>		8	3			4
<b>s</b> <sub>7</sub>						
s <sub>8</sub>						



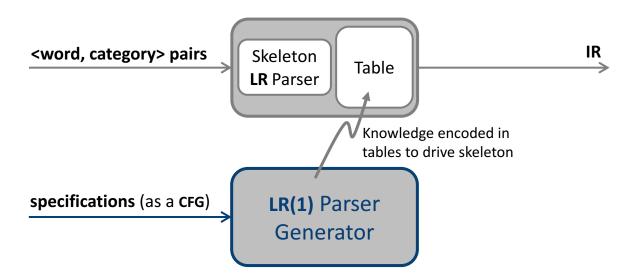


### The algorithm produces the following tables

	ACTION				GOTO		
State	<u>id</u>	-	*	EOF	Expr	Term	Factor
<b>s</b> <sub>0</sub>	s 4				1	2	3
s <sub>1</sub>				acc			
<b>s</b> <sub>2</sub>		s 5		r 3			
<b>s</b> <sub>3</sub>		r 5	s 6	r 5			
<b>S</b> <sub>4</sub>		r 6	r 6	r 6			
<b>s</b> <sub>5</sub>	s 4				7	2	3
<b>s</b> <sub>6</sub>	s 4					8	3
<b>s</b> <sub>7</sub>				r 2			
<b>5</b> <sub>8</sub>		r 4		r 4			

# Brief Commercial: Why Are We Doing This?





### The goal of this exercise is to automate construction of parsers

- Compiler writer provides a CFG written in modified BNF
- Tools provide an efficient and correct parser
  - One that works well with an automatically generated scanner
- LR parser generators accept the largest class of grammars that are deterministically parsable, and they are highly efficient
  - Generated parsers are preferable to hand-coded ones for large grammars

#### § 3.6.2 in EaC2e

# Shrinking the ACTION and GOTO Tables



### Three classic options:

- Combine terminals such as <u>number</u> & <u>identifier</u>, <u>+</u> & <u>-</u>, <u>\*</u> & <u>/</u>
  - Directly removes a column, may remove a row
  - For expression grammar, 198 (vs. 384) table entries
- Combine rows or columns
  - Implement identical rows once & remap states
  - Requires extra indirection on each lookup
  - Use separate mappings for ACTION & GOTO
- Use another construction algorithm
  - Both LALR(1) and SLR(1) produce smaller tables
    - → LALR(1) represents each state with its "core" items
    - → SLR(1) uses LR(0) items and the FOLLOW set
  - Implementations are readily available

#### Pages 151-153 in EaC2e

# Shrinking the Grammar



### **The Classic Expression Grammar**

0	Goal	$\rightarrow$	Expr
1	Expr	$\rightarrow$	Expr + Term
2			Expr - Term
3			Term
4	Term	$\rightarrow$	Term * Factor
5			Term / Factor
6			Factor
7	Factor	$\rightarrow$	<u>( Expr )</u>
8			<u>number</u>
9			<u>id</u>

### **Canonical construction produces 32 states**

- $32 \times (9 + 3) = 384 \text{ ACTION/GOTO entries}$
- Large table, but still just 1.5kb

0										
0					Ac	tion T	Гable			
1       acc       s7       s8         2       r4       r4       r4       r9       s10         3       r7       r7       r7       r7       r7         4       s14       s15       s2         5       r9       r9       r9       r9         6       r10       r10       r10       r10         7       s4       s5       s         8       s2       s4       s5       s         9       s4       s5       s         10       s21       s22       s23         11       s21       s22       s23         12       r4       r4       s24       s25       r4         13       r7       r7       r7       r7       r7         14       s14       s15       s         15       r9       r9       r9       r9         16       r10       r10       r10       r10       r10         17       r2       r2       r2       s9       s10         18       r3       r3       r3       r3       s9       s10         19       r5 <th>State</th> <th>eof</th> <th>+</th> <th>_</th> <th>×</th> <th>÷</th> <th><u>(</u></th> <th><u>)</u></th> <th>num</th> <th>name</th>	State	eof	+	_	×	÷	<u>(</u>	<u>)</u>	num	name
2	0						s 4		s 5	s 6
3       r7       r8       r9       r8       s4       s5       s       s4       s5       s       s9       s4       s5       s       s4       s5       s       s10       s21       s22       s23       r4       s5       s       s11       s21       s22       s23       r4       r3       r3       r7       r10	1	acc	s 7	s 8						
4       s 19       r9       r8       s4       s5       s       s4       s5       s       s10       s21       s22       s23       r2       r4       r3       r3       r7       r10	2	r 4	r 4	r 4	s 9	s 10				
5       r9       r8       s4       s5       s       s4       s5       s       s10       s21       s22       s23       r4       s5       s       s11       s21       s22       s23       r4       r3       r3       r7       r9       r9       r9       r9       r9       r9       r9       r9       r10       r10<	3	r 7	r 7	r 7	r 7	r 7				
6	4						s 14		s 15	s 16
7       s	5	r 9	r 9	r 9	r 9	r 9				
8	6	r 10								
9	7						s 4		s 5	s 6
10	8						s 4		s 5	s 6
11       s 21       s 22       s 23         12       r 4       r 4       s 24       s 25       r 4         13       r 7       r 7       r 7       r 7       r 7         14       s 15       s 14       s 15       s 15       s 15         15       r 9       r 9       r 9       r 9       r 9       r 9       r 10       r 1	9						s 4		s 5	s 6
12       r4       r4       s24       s25       r4         13       r7       r7       r7       r7       r7         14       s14       s15       s14       s15       s15         15       r9       r9       r9       r9       r9       r9       r9       r10       r	10						s 4		s 5	s 6
13       r7       r8       r8 <td< td=""><td>11</td><td></td><td>s 21</td><td>s 22</td><td></td><td></td><td></td><td>s 23</td><td></td><td></td></td<>	11		s 21	s 22				s 23		
14     r9     r9     r9     r9     r9     r9     r9     r9       16     r10     r10     r10     r10     r10     r10       17     r2     r2     r2     r9     s10       18     r3     r3     r3     r9     s10       19     r5     r5     r5     r5     r5       20     r6     r6     r6     r6       21     s14     s15     s2       22     s14     s15     s3       23     r8     r8     r8     r8     r8       24     s14     s15     s3	12		r 4	r 4	s 24	s 25		r 4		
15	13		r 7	r 7	r 7	r 7		r 7		
16       r10       r10       r10       r10       r10         17       r2       r2       r2       r9       s10         18       r3       r3       r3       r9       s10         19       r5       r5       r5       r5         20       r6       r6       r6       r6         21       s14       s15       s2         22       s14       s15       s3         23       r8       r8       r8       r8       r8       r8         24       s14       s15       s3	14						s 14		s 15	s 16
17     r2     r2     r2     s9     s10       18     r3     r3     r3     s9     s10       19     r5     r5     r5     r5     r5       20     r6     r6     r6     r6       21     s14     s15     s2       22     s14     s15     s3       23     r8     r8     r8     r8     r8       24     s14     s15     s3	15		r 9	r 9	r 9	r 9		r 9		
18     r3     r3     r3     s9     s10       19     r5     r5     r5     r5     r5       20     r6     r6     r6     r6       21     s14     s15     s2       22     s14     s15     s3       23     r8     r8     r8     r8       24     s14     s15     s3	16		r 10	r 10	r 10	r 10		r 10		
19  r5  r5  r5  r5  r5  r5  r5  20  r6  r6  r6  r6  r6  r6  21	17	r 2	r 2	r 2	s 9	s 10				
20	18	r 3	r 3	r 3	s 9	s 10				
21	19	r 5	r 5	r 5	r 5	r 5				
22 s 14 s 15 s 2 23 r 8 r 8 r 8 r 8 r 8 24 s 14 s 15 s 3	20	r 6	r 6	r 6	r 6	r 6				
23 r8 r8 r8 r8 r8 24 s 15 s 1	21						s 14		s 15	s 16
24 s 14 s 15 s	22						s 14		s 15	s 16
		r 8	r 8	r 8	r 8	r 8				
	24						s 14		s 15	s 16
	25						s 14		s 15	s 16
26 s 21 s 22 s 31			s 21	s 22				s 31		
27 r2 r2 s24 s25 r2								r 2		
28 r3 r3 s 24 s 25 r3								r 3		
29 r5 r5 r5 r5				r 5		r 5				
30 r6 r6 r6 r6			r 6	r 6		r 6		r 6		
31 r8 r8 r8 r8	31		r 8	r 8	r 8	r 8		r 8		

#### Pages 151-153 in EaC2e

# Shrinking the Grammar



### We can combine some of the syntactically equivalent symbols

- Combine + and into <u>AddSub</u>
- Combine \* and / into MulDiv
- Combine <u>identifier</u> and <u>number</u> into <u>Val</u>

0	Goal	$\rightarrow$	Expr
1	Expr	$\rightarrow$	Expr <u>AddSub</u> Term
2			Term
3	Term	$\rightarrow$	Term <u>MulDiv</u> Factor
4			Factor
5	Factor	$\rightarrow$	(Expr)
6			<u>Val</u>

### This grammar has

- Fewer terminals
- Fewer productions

#### Which leads to

- Fewer columns in ACTION
- Fewer states, which leads to fewer rows in both tables

The "Reduced" Expression Grammar

#### Pages 151-153 in EaC2e

# Shrinking the Grammar



### **The Resulting Tables**

0	Goal	$\rightarrow$	Expr
1	Expr	$\rightarrow$	Expr <u>AddSub</u> Term
2		l	Term
3	Term	<b></b>	Term <u>MulDiv</u> Factor
4			Factor
5	Factor	<b></b>	(Expr)
6			<u>Val</u>

		A	ction <b>Tab</b>	le			G	Goto <b>Table</b>		
	eof	addsub	muldiv	<u>(</u>	<u>)</u>	val	Expr	Term	Factor	
0				s 4		s 5	1	2	3	
1	acc	s 6								
2	r 3	r 3	s 7							
3	r 5	r 5	r 5							
4				s 11		s 12	8	9	10	
5	r 7	r 7	r 7							
6				s 4		s 5		13	3	
7				s 4		s 5			14	
8		s 15			s 16					
9		r 3	s 17		r 3					
10		r 5	r 5		r 5					
11				s 11		s 12	18	9	10	
12		r 7	r 7		r 7					
13	r 2	r 2	s 7							
14	r 4	r 4	r 4							
15				s 11		s 12		19	10	
16	r 6	r 6	r 6							
17				s 11		s 12			20	
18		s 15			s 21					
19		r 2	s 17		r 2					
20		r 4	r 4		r 4					
21		r 6	r 6		r 6					

(b) Action and Goto Tables for the Reduced Expression Grammar

- 22 states
- 22 \* (6 + 3) = 198 ACTION/GOTO entries
  - FIGURE 3.33 The Reduced Expression Grammar and its Tables.
- 48.4% reduction (384 198) / 384
- Builds (essentially) the same parse tree

#### § 3.6.2 in EaC2e

# Shrinking the ACTION and GOTO Tables



### Three classic options:

- Combine terminals such as <u>number</u> & <u>identifier</u>, + & -, \* & /
  - Directly removes a column, may remove a row
  - For expression grammar, 198 (vs. 384) table entries
- Combine rows or columns
  - Implement identical rows once & remap states
  - Requires extra indirection on each lookup
  - Use separate mappings for ACTION & GOTO
- Use another construction algorithm
  - Both LALR(1) and SLR(1) produce smaller tables
    - → LALR(1) represents each state with its "core" items
    - → SLR(1) uses LR(0) items and the FOLLOW set
  - Implementations are readily available

left-recursive expression grammar with precedence, see § 3.6.2 in EAC

classic space-time tradeoff

Fewer grammars, same languages

# LR(k) versus LL(k)



### Finding the next step in a derivation

 $LR(k) \implies$  Each reduction in the parse is detectable with

- → the complete left context,
- → the reducible phrase, itself, and
- $\rightarrow$  the *k* terminal symbols to its right

generalizations of LR(1) and LL(1) to longer lookaheads

 $LL(k) \Rightarrow$  Parser must select the expansion based on

- → The complete left context
- $\rightarrow$  The next k terminals

Thus, LR(k) examines more context

The question is, do languages fall in the gap between LR(k) and LL(k)?

# LR(1) versus LL(1)



The following LR(1) grammar has no LL(1) counterpart

- The Canonical Collection has 18 sets of LR(1) Items
  - It is not a simple grammar
  - It is, however, LR(1)

0	Goal	<b>→</b>	S
1	S	$\rightarrow$	Α
2			В
3	Α	$\rightarrow$	( <i>A</i> )
4			<u>a</u>
5	В	$\rightarrow$	( B >
6			<u>b</u>

- It requires an arbitrary lookahead to choose between A & B
- An LR(1) parser can carry the left context (the '(' s) until it sees <u>a</u> or <u>b</u>
- The table construction will handle it
- In contrast, an **LL(1)** parser cannot decide whether to expand *Goal* by *A* or *B* 
  - → No amount of massaging the grammar and no amount of lookahead will resolve this problem

# **ACTION & GOTO Tables for Waite's Example**

	EOF	(	)	<u>a</u>	}
s <sub>0</sub>		s 4		s 5	
s <sub>1</sub>	acc				
s <sub>2</sub>	r 2				
S <sub>3</sub>	r 3				
S <sub>4</sub>		s 8		s 9	
<b>S</b> <sub>5</sub>	r 5				
s <sub>6</sub>			s 10		
<b>S</b> <sub>7</sub>					s 11
S <sub>8</sub>		s 8		s 9	
S <sub>9</sub>			r 5		r 7
<b>S</b> <sub>10</sub>	r 4				
<b>S</b> <sub>11</sub>	r 6				
<b>S</b> <sub>12</sub>			s 14		
<b>S</b> <sub>13</sub>					s 15
S <sub>14</sub>			r 4		
S <sub>15</sub>					r 6

	S	Α	В
s <sub>0</sub>	1	2	3
s <sub>1</sub>			
s <sub>2</sub>			
s <sub>3</sub>			
S <sub>4</sub>		6	7
<b>s</b> <sub>5</sub>			
s <sub>6</sub>			
<b>s</b> <sub>7</sub>			
<b>s</b> <sub>8</sub>		12	13
S <sub>9</sub>			
<b>s</b> <sub>10</sub>			
s <sub>11</sub>			
s <sub>12</sub>			
s <sub>13</sub>			
S <sub>14</sub>			
S <sub>15</sub>			

0	Start	<b>→</b>	Α
1		-	В
2	Α	$\rightarrow$	<u>(</u> A)
3		-	<u>a</u>
4	В	$\rightarrow$	( B }
5			<u>a</u>

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# LR(k) versus LL(k)



#### **Other Non-LL Grammars**

0 
$$B \rightarrow R$$
  
1  $(B)$   
2  $R \rightarrow E = E$   
3  $E \rightarrow \underline{a}$   
4  $\underline{b}$   
5  $(E + E)$ 

Example from D.E Knuth, "Top-Down Syntactic Analysis," *Acta Informatica*, 1:2 (1971), pages 79-110

This grammar is actually LR(0)

$$\begin{array}{c|cccc}
0 & S & \rightarrow & \underline{a} & A & \underline{b} \\
1 & & | & \underline{c} \\
2 & A & \rightarrow & \underline{b} & S \\
3 & & | & B & \underline{b} \\
4 & B & \rightarrow & \underline{a} & A \\
5 & & | & \underline{c} \\
\end{array}$$

Example from Lewis, Rosenkrantz, & Stearns book, "Compiler Design Theory," (1976), Figure 13.1

# LR(k) versus LL(k)



### Finding the next step in a derivation

 $LR(k) \Rightarrow$  Each reduction in the parse is detectable with

- → the complete left context,
- → the reducible phrase, itself, and
- $\rightarrow$  the *k* terminal symbols to its right

 $LL(k) \Rightarrow$  Parser must select the expansion based on

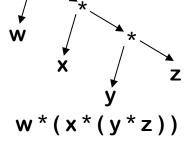
- → The complete left context
- $\rightarrow$  The next k terminals

Thus, LR(k) examines more context

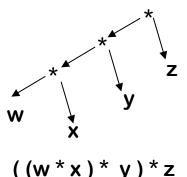
"... in practice, **programming languages** do not actually seem to fall in the gap between LL(1) languages and deterministic languages"

J.J. Horning, "LR Grammars and Analysers", in Compiler Construction, An Advanced Course, Springer-Verlag, 1976

- Right recursion
  - Required for termination in top-down parsers
  - Uses (on average) more stack space
  - Naïve right recursion produces right-associativity



- Left recursion
  - Works fine in bottom-up parsers
  - Limits required stack space
  - Naïve left recursion produces left-associativity



- Rule of thumb
  - Left recursion for bottom-up parsers
  - Right recursion for top-down parsers



#### A real example, from the lab 1 ILOC simulator's front end

The simulator was built by two of my successful Ph.D.s

- It is actually a more complex piece of software than you might guess
- The front end is an LR(1) parser, generated by Bison
- The grammar contained the following productions:

```
instruction_list : instruction
| label_def instruction
| instruction instruction_list
| label_def instruction instruction_list
```

When my colleague first ran the timing blocks through the simulator, it exploded with the error message "memory exhausted".

 $\Rightarrow$  What happened?



### A real example, from the lab 1 simulator's front end

The parse stack overflowed as it tried to instantiate the instruction\_list



### A real example, from the lab 1 simulator's front end

- The parse stack overflowed as it tried to instantiate the instruction\_list
- The fix was easy

left recursion

This grammar has (small) bounded stack space & (thus) scales well

# **Error Detection and Recovery**



#### **Error Detection**

- Recursive descent
  - Parser takes the last else clause in a routine
  - Compiler writer can code almost any arbitrary action
- Table-driven LL(1)
  - In state  $s_i$  facing word x, entry is an error
  - Report the error, valid entries in row for  $s_i$  encode possibilities
- Table-driven LR(1)
  - In state s<sub>i</sub> facing word x, entry is an error
  - Report the error, shift states in row encode possibilities
  - Can precompute better messages from LR(1) items

# **Error Detection and Recovery**



### **Error Recovery**

- Table-driven LL(1)
  - Treat as missing token, e.g. ()  $\Rightarrow$  expand by desired symbol
  - Treat as extra token, e.g., 'x-+y',  $\Rightarrow$  pop stack and move ahead
- Table-driven LR(1)
  - Treat as missing token, e.g. ')',  $\Rightarrow$  shift the token
  - Treat as extra token, e.g., 'x-+y',  $\Rightarrow$  don't shift the token

Can pre-compute sets of states with appropriate entries...

### **Error Detection and Recovery**



### One common strategy is "hard token" recovery

Skip ahead in input until we find some "hard" token, e.g. ';'

- ';' separates statements, makes a logical break in the parse
- Resynchronize state, stack, and input to point after hard token
  - → LL(1): pop stack until we find a row with entry for ';'
  - → LR(1): pop stack until we find a state with a reduction on ';'
- Does not correct the input, rather it allows parse to proceed

```
NT \leftarrow pop()
repeat until Table[NT,';'] \neq error
NT \leftarrow pop()
token \leftarrow NextToken()
repeat until token = ';'
token \leftarrow NextToken()
```

Resynchronizing an **LL(1)** parser

```
repeat until token = ';'

shift token

shift s_e

token \leftarrow NextToken()

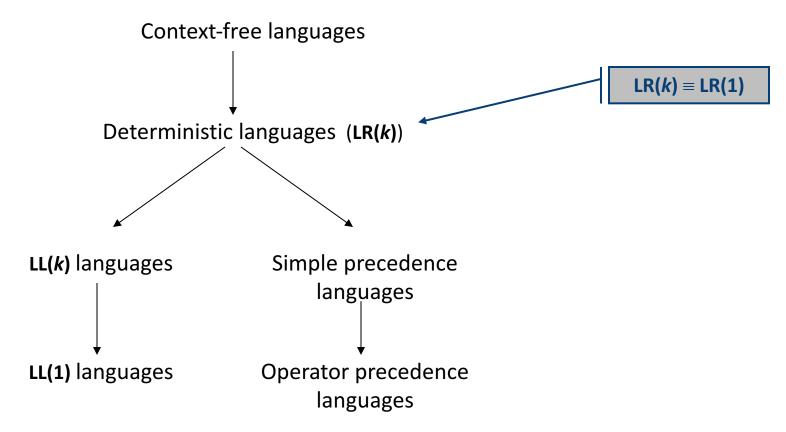
reduce by error production

// pops all that state off stack
```

Resynchronizing an LR(1) parser

# Hierarchy of Context-Free Languages

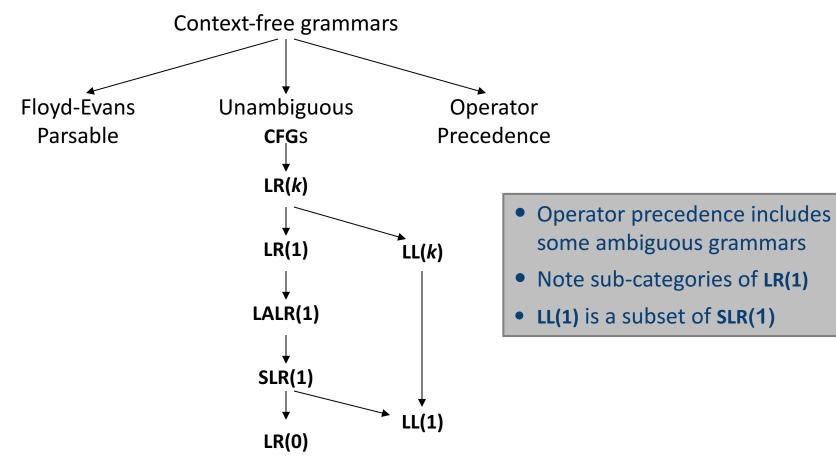




The inclusion hierarchy for context-free <u>languages</u>

# Hierarchy of Context-Free Grammars





The inclusion hierarchy for context-free **grammars**