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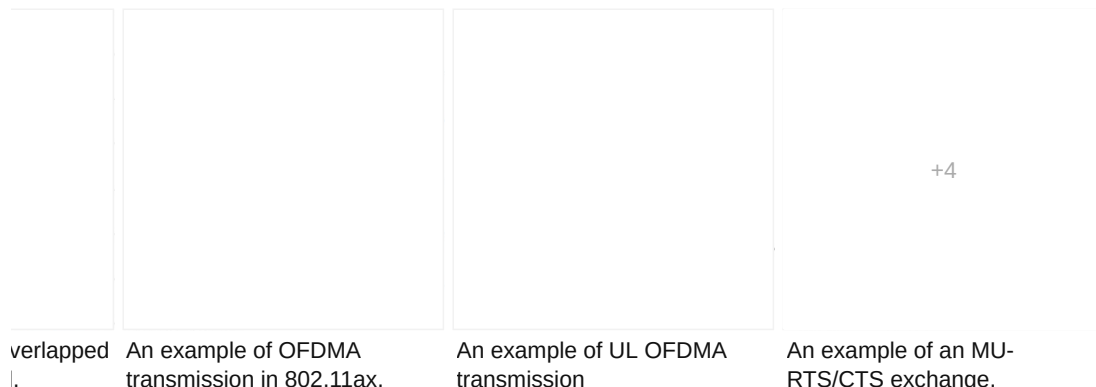
**Giuseppe Bianchi**

21st year since the very first IEEE 802.11 “legacy” 2 Mbit/s wireless Local Area Network standard, the latest y reaching the finish line, topping the remarkable speed of 10 Gbit/s. IEEE 802.11ax was launched in May enhancing throughput-per-area in high-density scenarios. The first 802.11ax draft versions, namely D1.0 sed at the end of 2016 and 2017. Focusing on a more mature version D3.0, in this tutorial paper, we help the ter into the several major 802.11ax breakthroughs, including a brand new OFDMA-based random access ovel spatial frequency reuse techniques. In addition, this tutorial will highlight selected significant ing PHY enhancements, MU-MIMO extensions, power saving advances, and so on) which make this icant step forward with respect to its predecessor 802.11ac.

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A Tutorial on IEEE 802.11ax High Efficiency WLANs

Evgeny Khorov , Anton Kiryanov, Andrey Lyakhov, and Giuseppe Bianchi

—While celebrating the 21st year since the very first “legacy” 2 Mbit/s wireless local area network standard, Wi-Fi newborn is today reaching the finish line, a remarkable speed of 10 Gbit/s. IEEE 802.11ax was adopted in May 2014 with the goal of enhancing throughput-per-density scenarios. The first 802.11ax draft versions, D1.0 and D2.0, were released at the end of 2016 and 2017. In this more mature version D3.0, in this tutorial paper, we consider to smoothly enter into the several major 802.11ax enhancements, including a brand new orthogonal frequency-division multiple access-based random access approach as well as additional frequency reuse techniques. In addition, this tutorial highlights selected significant improvements (including power enhancements, multi-user multiple input multiple output enhancements, power saving advances, and so on) which make 802.11ax a very significant step forward with respect to its predecessor 802.11ac.

Keywords—Wireless LAN, quality of service, OFDM, IEEE 802.11ax, high efficiency WLANs, Wi-Fi, dense deployment, multi-user MIMO.

cable replacement to a full fledged computing infrastructure and a wireless access alternative connectivity [1].

Nevertheless, the impressive deployment of Wi-Fi technology is also threatening its future. Networks are more and more demanding; networks’ size is ever increasing, and soon the current standard Wi-Fi technology might fail short in efficiently serving the foreseen customers’ base.

The evolution of the standards shows a significant increase in nominal data rates: from the “legacy” 2 Mbit/s of 802.11a in 1997, to the 11 Mbit/s of 802.11b, the 54 Mbit/s of 802.11g, the 600 Mbit/s of 802.11n, and the above 1 Gbit/s of the latest 802.11ac. These Wi-Fi rates are accomplished by means of faster modulation and wider channels, and the adoption of Multi-Input Multiple Output (MIMO) technologies [2]. Unfortunately, the analysis of the latest 802.11ac networks shows a significant increase of Wi-Fi throughput in a legacy single channel access approaches rather than just

I. INTRODUCTION

802.11 project was held, hardly anyone could imagine that, in September 1990, the very first meeting of the IEEE 802.11 project, which that early initiative, devised to - verbatim - “Medium Access Control (MAC) and Physical Layer Specification for wireless connectivity for fixed, portable and mobile stations within a local area”, would have changed connectivity habits. In these last 28 years, Wi-Fi — specified by the IEEE 802.11 standards — has widely spread in virtually any user’s device, as well as any inhabited environment — homes, offices, cafes, parks, airports, etc. It has been extended with several technical facilities that have permitted its evolution from “just” a low-rate technology to a high-speed, high-capacity technology. This paper received August 17, 2017; revised March 20, 2018 and July 5, 2018; accepted August 23, 2018. Date of publication September 20, 2018; date of current version February 22, 2019. The work of E. Khorov, A. Kiryanov, and A. Lyakhov was supported by the Russian Science Foundation under Grant No. 15-19-10687. (Corresponding author: Evgeny Khorov.) E. Khorov and A. Lyakhov are with the Institute for Information Transmission Problems, Russian Academy of Sciences, Moscow 127051, Russia (e-mail: khorov@iitp.ru; lyakhov@iitp.ru). A. Kiryanov is with the Institute for Information Transmission Problems, Russian Academy of Sciences, Moscow 127051, Russia, and also with the Faculty of Economics, Higher School of Economics, Moscow 101000, Russia (e-mail: kiryanov@iitp.ru). This work was supported by the University di Roma Tor Vergata, 00173 Rome, Italy (e-mail: ppe.bianchi@uniroma2.it). This work is licensed under a Creative Commons Attribution 3.0 License. For more information, see <http://creativecommons.org/licenses/by/3.0/>.

or increasing the number of spatial streams. Other documents of the former IEEE 802.11 Wireless LAN Study Group (HEW WLAN Study Group) albeit being a key asset, a high nominal performance representative for the performance of the network. The network operation is in fact full of interference patterns and frequency-selective fading. As well as medium access inefficiencies and collision scenarios. And sheer capacity might not be a requirement for several applications and scenarios.

A. The 802.11ax Challenge: Dense Networks

The most notable 802.11ax’s design driver is the fact that, today, WLAN devices are deployed in environments, characterized by the presence of a large number of terminals concentrated in localized environments. Corporate offices, mass events, outdoor stadiums, malls, airports, exhibition halls, dense residential areas, and so on, are all examples of dense environments [5], whose coverage requires a multiplicity of Access Points (APs) — in principle even up to hundreds — may therefore require to be operated on (possibly) many channels. In such environments, the aggregate throughput is not anymore the main performance metric. The target should be an increase of the *throughput-per-area* which is defined as the total network throughput to the network area.

TABLE I
LIST OF ACRONYMS

by forbidding transmissions that may interfere with the 802.11ax focuses at improving spatial reuse by allowing STAs [9].

Apart from that, in real scenarios, networks do not operate in the saturated mode, i.e., the probability of successful transmission may be rather small. Due to the size held by an aggregated packet (with its associated overhead limits), there is a fixed toll to pay, in terms of channel time, to separate frames and to serve multiple users. Thus, for small data payloads the overall throughput percentage of channel time may be huge, significantly reducing the application-layer throughput ultimately perceived by the end users [4].

Another challenge comes from the diversity in traffic patterns. The widespread deployment of networks characterized by a significant amount of generated multimedia content, as well as the need for devices to continuously interact with centralized cloud services, pose a significant burden not only on the network but also on the end users.

transmission, as it was the case for traditional information retrieval applications, but also for DL. For DL the problem was partially solved in Multi-user (MU) MIMO. For uplink, such a tight synchronization going well beyond what was standardized in previous 802.11 amendments

For these reasons, as well as for other reasons discussed later on, such as an improvement for battery-operated devices and support of user Experience (QoE), in May 2013 the Standards Committee launched a HEW Study Group, which was later converted into Task Group AX. Task Group AX has attracted considerable interest from stakeholders, as for instance witnessed by the statistics: during the Atlanta meeting in November 2014, more than *half* of the IEEE 802.11 attendees had accumulated by this Task Group [10], while more than *half* of the crowd distributed among many IEEE 802.11 activities [11]. Even though the first amendment is planned for finalization by the end of 2015, in three years a significant amount of work has been carried out. The specification framework document was published in 2014 [12] and was finalized in May 2015. The proposal for the draft 1.0 802.11ax amendment was approved on December 1, 2016, while the second one is currently under review.

B. Contribution and Organization

It is worth to remark that a final consensus specification has not been reached yet. 802.11ax 1.0 draft standard was balloted and received just 58% of positive votes of required threshold, and as many as 7334 comments were filed. The second draft standard obtained only 50% of positive votes. Only the third version passed the ballot with 65% of positive votes and 2154 comments.

Still, even if the development process is yet finished and many open issues need to be resolved before finalization, some firm landmarks have been reached:

ly, in such environments, the primary source of the degradation is the massive interference. While efforts aimed at avoiding hidden stations (STAs)

we believe this may be the right time to report about the status of the 802.11ax proposal and discuss the options and approaches therein under consideration, not accessible to the wireless networking community

itorial paper, also leveraging our direct participation
 .11ax activities, our goal is threefold:
 ling a snapshot of the major solution and

briefly introduce the main characterizing features of the proposed technology. In the subsequent sections, we provide details on the specific enhancements suggested for the access layer (Section III), the major breakthroughs in the access operation brought about by the adoption of the MU-MIMO uplink operation and the channel access modifications (Section IV), and the spatial reuse (Section V) and

aches included so far in the standardization work; supplementing such an information with selected quantitative results which suggest the extent to which the emerging standard is able to maintain its promises of throughput quadruplication stated in the 802.11ax Project Authorization Request (PAR) document [7], and identifying the issues or caveats which may require further support from the research community, e.g., in terms of their ideas and/or simulation results.

This work is not the first tutorial on 802.11ax. We acknowledge a few earlier overviews have been already written at the beginning of the development process, including [13]–[15] and our previous 2015 report [16]. However, such earlier overviews were based on very initial ideas being discussed in the 802.11ax task group, and as such are not fully representative of the evolution of the 802.11ax. In fact, part of the initially proposed features and approaches have been further detailed, improved, or superseded by the hectic standardization work carried out in this period. In a few cases some proposals have been deferred left to future standards. Most notably, the support for MIMO operation, albeit popular and considered very promising by the community, was ultimately considered out of the scope of the 802.11ax technology.¹

This tutorial will introduce the reader to the technical details of the proposed Orthogonal Frequency-Division Multiple Access (OFDMA) approach (including OFDMA resource allocation). It will clearly describe the already adopted frame structure and will give a comprehensive overview of the new features which enable overlapping Basic Service Set (BSS) operation and spatial reuse — BSS coloring, usage of Quiet Channels and two Network Allocation Vectors, adjustment of sensitivity threshold and the transmit power, and otherwise, we will give an insight into the novel power saving techniques which have already become a part of the 802.11ax draft standard.

We also try to make this tutorial more insightful by including numerical results obtained by the researchers from industrial companies and the academic community. We will highlight a number of open issues, some of which are to be solved in the framework of the development of the 802.11ax amendment and some of which will be covered by proprietary algorithms designed by each vendor.

The structure of this paper is organized as follows. In Section II, after a brief review of the state-of-the-art before 802.11ax, we

discuss management solutions proposed (Section V).

II. 802.11AX AT A GLANCE

Before summarizing in the next Section I the features currently being proposed by the 802.11 Task Group, we start with a brief overview of the 802.11 standards (Section II-A). So that the reader is able to better appreciate the next steps taking place in the standardization activity.

A. Before 802.11ax: State of the Art

In the last 20 years, a number of amendments to the 802.11a/b/g/n/ac (we restrict to the so-called “traditional” ISM 2.4 and 5 GHz bands), have been proposed to improve the nominal data rate.

The older ones, namely 802.11a/b/g, introduced new modulation and coding schemes so as to increase the data rate from the original 2 Mbit/s of the “legacy” 802.11b up to 54 Mbit/s in both the 2.4 GHz (802.11b) and 5 GHz (802.11a) ISM unlicensed bands.

The 802.11n proposal represents a significant improvement with respect to the above early Wi-Fi standards. It significantly increased (up to a theoretical 600 Mbit/s) via a combination of techniques. The main ones are: i) the ability to exploit channels with a width of up to 40 MHz (twice larger than those used in previous standards); ii) the usage of higher 5/6 coding rates opposed to the 3/4 coding rates, and — arguably the most important breakthrough — iii) the transition towards MIMO, i.e., the usage of multiple antennas to transmit multiple spatial streams simultaneously between a pair of antennas, significantly increasing data rates.

In addition to the raw data rate increase, 802.11n introduced several crucial improvements also at the MAC layer: i) is to reduce overhead in terms of inter-frame spacing, and control frames, which otherwise would not properly take advantage of the performance improvements of the newly designed PHY. Indeed, 802.11n introduced the Reduced InterFrame Space (RIFS) of 2 μ s instead of the 10 or 16 μ s Short InterFrame Space (SIFS) to separate transmissions of the same STA. ii) is expected between these transmissions. 802.11n introduces two aggregation methods, namely: i) Aggregated MAC Service Data Unit (A-MSDU) and ii) Aggregated MAC Protocol Data Unit (A-MPDU). The first one aggregates several aggregated packets with a single MAC header and a single check sum. The second one assigns a MAC header and a check sum to each aggregated packet. This significantly improves the transmission reliability and

¹It is worth to remark that, while we are writing this paper, IEEE 802.11ax is still in the early stages of the standardization process, which means that the final standard will not likely start before another year or two.

ng of at least some packets in case of short noise he expense of slightly increased overhead.

ntention-based channel access inevitably leads to from the very beginning IEEE 802.11 tried to add attention-free channel access mechanisms to the stan- the “historical” Point coordinated function (PCF, ow) and the subsequent Hybrid Controlled Channel CCA) allow an AP to access the channel without . Channel access coordination is accomplished by g an InterFrame Space called PIFS (PCF InterFrame ich, being shorter than the DIFS (Distributed coor- nction InterFrame Space) used by the remaining nits the AP to acquire the channel access with- ntention, so as to transmit data or poll the STAs them channel access. In practice, contention-free hniques have seen a very marginal deployment, because of their inefficiency in scenarios when sev- work in the same area. Indeed, if several APs use r transmissions will start simultaneously and col- problem is partially addressed in the HCCA TXOP n mechanism introduced in 802.11aa. The mecha- vs various APs to use different time intervals for on. Unfortunately, HCCA TXOP Negotiation can l collisions between APs which can communicate other. Moreover, it does not reduce the collision s between an AP and the alien STAs, which still ndom access.

E 802.11 Working Group has historically put a sig- fort to improve the Quality of Service (QoS) in works. Specifically, the 802.11e amendment intro- anced Distributed Channel Access (EDCA) and ich distinguish voice, video, best effort and back- ffic and serve them differently. While EDCA just ferent priorities to these types of traffic, the sophis- CA allows an AP to schedule transmissions taking nt specific QoS requirements, like the delay bound, loss ratio, or the required bandwidth. However, g exact requirements is a non trivial task, and nother key reason behind the scarce deployment of tion-free HCCA.

y devices which use Wi-Fi (e.g., laptops and smart- owver consumption is an important issue. In 802.11 power management is based on alternating between awake and doze. In the awake state, a STA can d receive frames, while in the doze state, its radio d off. An active STA is always awake, while a ng (PS) STA alternates between these states. The s data destined for PS STAs until the STA wakes rieves it. Many amendments introduce new power- ures, but most of them are related to switching off for a rather long time, i.e., for hundreds of mil- or even for seconds. Some of them require a PS ntend for the channel if it wants to retrieve data AP. Such methods are inefficient in dense environ-

The tight dependence of these methods with ality — specifically with the Traffic Spec information element which parametrizes Qo prevents their usage in consumer electronic

Finally, the 802.11ac amendment [17]—[mainly with the purpose of significantly i rate of a 10x factor with respect to 802.11 ing the number of spatial streams up to 8, the problem of how to cope with terminal manufacturing reasons, could not deploy antennas. To this purpose, the 802.11ac DL MU-MIMO, which allows an AP to spatial streams to different STAs — the sion was postponed to subsequent standards synchronization requirements which wou significant re-design. Additionally, 802.11a mission bands up to 160 MHz (also exploi 80+80Mhz channels) and increases the co 256-QAM, which raises data rates up to the header-induced overhead at such hig amendment increases the maximal length 65 535 (802.11n) to 4 692 480 octets. short packets, such as instant messages, V acknowledgments, etc. the channel is still

B. Main Features of 802.11ax

Similarly to the previous amendments nominal bit rates, 802.11ax contains a n with higher modulation and coding schen 802.11ac, 802.11ax does not increase th MIMO spatial streams and does not widen the nominal data rates are increased up to just 37% higher than that of 802.11ac (rath to the 10x growth of 802.11n or 802.11ac! increase of the user throughput is achieved spectrum usage.

The key feature of 802.11ax is the adopt approach, an approach widely used in cell brand new in Wi-Fi. The rationale is that t nels (80 MHz, 80+80 MHz and 160 M 802.11ac suffer from frequency selective i significantly impairs the practically achie OFDMA, adjacent subcarriers (tones) are into a resource unit (RU) and a sender can for each particular receiver, which actually Signal-to-Interference-plus-Noise Ratio (S and Coding Scheme (MCS) and throughput the efficiency of high data rates degrades only few data to transmit, advanced aggr aimed to reduce channel access, acknowle preamble-induced overhead become usele row RUs for such STAs is an efficient ren the latest TGax investigations, OFDMA

use of collisions, huge overhead and large delays. Our methods allow an AP and a PS STA to schedule their times when the STA retrieves data from the AP. The length of the series depends on the QoS requirements.

higher throughput than legacy DCF [21], so OFDMA makes Wi-Fi radio access closer to LTE. However in contrast to LTE, OFDMA works in a legacy DCF and is coordinated by the AP. In

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Apart from OFDMA, many efforts have been made to increase throughput and to decrease power consumption in dense networks. The list of the new techniques includes, among others:

- BSS coloring: inherited (and extended in 802.11ah, allows to distinguish BSS frames based on their preambles if payloads are corrupted by collisions);
- several modifications of the legacy virtualization known as Network Allocation Vector (NAV);
- virtualization;
- microsleep operation, which enables a node to turn its radio just for the duration of an allocation;
- redesigned Target Wake Time, originally defined in 802.11ah; and
- opportunistic power save.

Apart from that, a considerable volume of work has been done to improve spatial reuse in a dense network by changing the sensitivity threshold and the transmission power. Actually, to date this topic is still the most active in the TGax ongoing activities, since it might significantly improve fairness in the network and degrade the performance of the devices.

Finally, TGax reuses the concept of *periodic service times* during which only predefined STs are allowed to transmit. Originally introduced in 802.11s (Mesh Coordinated Channel Access, MCCA) [22] in mesh networks, the concept is reused in 802.11p (Priority-based Channel Access, PCCA) and 802.11ad (HCCA TXOP Negotiation in 802.11ad). In 5G, the concept is reused in 5G NR (Service Periods in millimeter-wave 802.11a). In 802.11ah (Restricted Access Window in 802.11ah [23]), the concept is reused in the Internet of Things. In 802.11ax, periodic *channel access times* (namely, the Quiet Time periods) can be used for *channel access* in link communications. However, the mechanism is not applied for time division between BSSs in

Table II summarizes the main novel features which are described in greater detail in the

III. PHY: MODULATION AND FRA

A. Modulation

The 802.11ax PHY inherits several predecessor 802.11ac. Similarly to 802.

OMA gain in the overlapped network scenario [21].

example of OFDMA transmission in 802.11ax.

ie channel, the AP can start a usual DL transmission, transmission (using OFDMA, MIMO or both), or \mathcal{U}_s for UL MU transmission.

OFDMA is time-based, i.e., various tones correspond to different user equipment during one Transmission Time Interval (TTI). In 802.11ax, OFDMA is frame-based, i.e., one frame contains data to/from different users and tones are assigned to the users for the entire frame duration, see Fig. 2.

For an UL MU transmission, a PHY preamble specifies the frequency of the frame and the tone mapping between STAs. For a DL MU transmission, such a schedule is provided in the preceding frame, which can be either a Trigger frame or a Downlink control frame which allocates the channel for UL transmission, or a data frame, the header of which contains scheduling information. The latter is especially useful for

g DL MU transmissions. An UL MU transmission contains exactly one SIFS after the DL frame containing a This permits to synchronize the STAs participating MU transmission, whatever techniques the STAs use: TDMA, MU-MIMO, or both.

ing OFDMA in Wi-Fi affects the other MAC and PHY layer functionality. First, TGax changes the OFDM parameters to increase the flexibility and the efficiency of the OFDMA PHY. Second, TGax changes the PHY frame format to include OFDMA-related information in the PHY preamble. Third, TGax continues moving MAC-layer information to the PHY preamble, since sometimes the preamble can be received even if the entire frame is corrupted. Third, OFDMA introduces numerous MAC changes related to the MU operation and the coexistence between the devices of different generations.

on Orthogonal Frequency-Division Multiplexing (OFDM) and supports operations in 20 MHz, 40 MHz, 80+80 MHz² and 160 MHz channels.

To increase the number of tones, which are used in OFDMA, TGax has quadrupled the duration of the OFDM symbols used for the PHY payload [24] and the long OFDM symbols are more resilient to multipath fading inherent in outdoor scenarios, which is very different from UL MU transmission which may be simultaneous transmission by several users. Moreover, longer symbols reduce the overhead due to Guard Intervals (GI). In poor channel conditions, an 802.11ax device can transmit more symbols by the GI selected among the values.

²In contrast to continuous 160 MHz channel, an 802.11ax channel can be combined from two non-adjacent 80 MHz channels.

TABLE II
MAIN FEATURES OF 802.11AX

s}, which allows the reduction of overhead down to 12-25% GI overhead in the 802.11ac standard. The 802.11ax amendment also introduces new modulation schemes in addition to legacy BPSK, 16-QAM, 64-QAM, and 256-QAM. The first one is an optional 1024-QAM [25], which can be exploited in indoor scenarios with very good channel conditions - i.e., a high SINR. Together with forward error correction codes (convolutional or low-density parity-check codes) which have code rates of 1/2, 2/3, 3/4 and 5/6 — the 802.11ax standard introduces four different frame structures for MU transmission. These four different frame structures are the baseline frame structure extended with selected features for the different frame types (Fig. 3). The first feature for the DL MU transmission is that the frame contains a preamble describing which tones a particular STA should decode to obtain its part of the Data field. For the UL MU transmission, the preamble is common to all the STAs. Then, each STA sends its Data field using a predefined set of tones (toneset).

For all the frame types, the preamble is transmitted by all the STAs.

ulations generate a palette of data rates with a maximum of 6 Gbps. Such a high rate is achieved when data is transmitted at the highest HE-MCS11 with a code rate of 5/6 in a 20 MHz or 80+80 MHz channel with 8 spatial streams and a subcarrier spacing of 0.8 μ s.

Additionally, the 802.11ax amendment describes an optional Discrete Multi-Tone (DMT) [26]. DCM enhances robustness by allocating the same signal on a pair of subcarriers which are separated far apart in the frequency spectrum. According to preliminary investigations carried out by researchers, such a technique helps to cope with sub-band fading and provides more than a 2dB gain in the Packet Error Rate (PER) performance [26]. It should be also noted that, because of duplicating data, the usage of DCM reduces throughput by a factor of two, and so DCM is allowed to be used only for the most robust MCS0, MCS1, MCS3 and MCS4.

PHY Frame Format

The standard defines 4 types of PHY frames (referred to as PPDU, Physical Layer Protocol Data Unit, following the amendment): for the Single User (SU) transmission, for the extended range SU transmission,³ for the DL MU transmission and for the UL MU transmission.

The extended range PPDU was designed for robust delivery and can only be used in a 20 MHz channel at one of the three lowest MCSs without

any other fields. Every 20 MHz subchannel within the transmission bandwidth consists of two parts: the legacy part and the HE part [28]. While the former is included for backward compatibility, the latter one provides signaling for HE functionality and it can be decoded only by HE-capable devices.

The legacy part contains training fields, the transmitter and the receiver, and the Legacy Signal (L-SIG), which describes the parameters of the frame. Specifically, L-SIG allows the calculation of the frame duration. Even though the legacy devices may not decode the frame with errors, they consider the channel idle if the signal strength is too low.

To simplify the 802.11ax frame detection and to avoid interference, the HE part of the preamble contains a repetition of the L-SIG field [29], which is followed by a mandatory HE-SIG-A field, an optional HE-SIG-B field, and training fields (HE-STF and HE-LTF) for MIMO.

Let us consider the HE-SIG-A and HE-SIG-B fields in more detail. HE-SIG-A provides information about the channel width, a number of spatial streams (NSTS), and other parameters that are needed to correctly decode the frame. TGax continues moving some MAC-related information to the PHY preamble, an approach indeed widely used in 802.11ah [23]. Since the preamble structure and it is transmitted at the lowest power level,

In case of both UL and DL SU transmission and in case of a UL MU transmission, all the necessary information is fitted into HE-SIG-A which consists of 1 symbol. However, in case of a DL MU transmission, the information for various users may differ and is provided for each of them separately. In this case, the HE-SIG-B field of variable length is included in the preamble [33], [34]. Specifically, the field contains one with common and one with per-user information.

etition mode for HE-SIG-A, [30].

onal information is high. However, the inclusion of MAC-related information in the preamble is advance i) the preamble is transmitted with the most S and ii) it can be decoded before the PHY payload received and its checksum is calculated. Specifically, also contains information such as network (or Basic t, BSS, in terms of IEEE 802.11) Color — see A, remaining Transmission Opportunity (TXOP) whether the frame is sent in UL or DL, etc. Apart HE-SIG-A also contains the spatial reuse parameter ch is used to signal the sum of transmission power eptable level of interference to allow for the spatial ation as described in Section V.

2.11ax networks are designed for both indoor and ployment, transmissions are prone to the Doppler ly caused by reflections from fast moving objects, ars and trains [31]. To improve the resistance to lity, the amendment proposes to periodically insert Y packet payload midambles, i.e., copies of the eld. Thanks to midambles, the channel can be not only during the packet preamble, but also con- hroughout the packet which is very fruitful for the ity communications, i.e., when the channel quickly

of a ≥ 40 MHz channel, the HE-SIG-A field is on each 20 MHz subchannel. In an extended range he SU frames, the content of HE-SIG-A is repeated ditional bit interleaving procedure [30].

common block describes the OFDMA r while the per-user block consists of sever ing for each resource unit its MCS, the streams, etc.

As mentioned above, the HE-STF and used for MIMO. Specifically, the main purp field is to improve the automatic gain cont MIMO transmission, while the HE-LTF fie for the receiver to estimate the MIMO ch set of constellation mapper outputs and the

Similarly to the legacy PPDU, the Data SIGNAL subfield needed to initialize th scrambler and the encoded MAC frame. transmitted with 4 times longer OFDM sym

Quadrupling the symbol duration means culations at the receiver side, while the the receiver to do such calculations befor acknowledgment or response is limited by bring problems for low-cost Wi-Fi device be able to generate an acknowledgment in forward solution — increasing SIFS — because of backward compatibility as wel have decreased the channel usage efficie provides the possibility to extend the tai an extension. To minimize the overhead extension, its duration is flexible and dep intended frame receiver and the payload when declaring its capabilities, each ST maximal extension (0, 8 μ s or 16 μ s) ccess a frame with a given MCS and a streams. Note that this value can be reduc payload is not divisible by the OFDM thus, the last OFDM symbol contains pa receiver needs less time to decode the l such a thin OFDM symbol. In particula splits the last OFDM symbol into 4 s size. Thus, the extension can be reduced t

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value by the number of empty segments multiplied 5], [36].

TABLE III
THE MAXIMUM NUMBER OF RUs FOR EACH

PHY Issues

course of the past two decades, the 802.11 standard- ccess has focused on the introduction of new (or functionalities, but it has mostly avoided to deter- to use them. However, the performance of a network ly depends on how these functionalities are used, ax is not an exception. Having extended the set of

ata rates, the amendment also adds new degrees of freedom — such as DCM and shorter GIs — which affect transmission rate and the reliability. A high number of degrees of freedom complicates the selection of the best rate defined by transmission parameters. Specifically, sophisticated algorithms (e.g., Minstrel [37]) try various MCS, and based on the obtained statistics, select the best ones for transmission. A wide palette of 802.11ax options increases the need to obtain statistics. Moreover, in 802.11ax dense spectrum, every 20MHz sub-band may have its own level of interference. Thus, the best rate may be different for various sub-bands.

Finally, in 802.11ax networks, the AP not only needs to select an appropriate rate for its own transmission, but also for MU transmission. For that, it collects reports on channel quality from associated devices prior to allocating UL resources to them. Although rate control is out of scope of the standard, this problem is of high importance for the standard 802.11ax developers need to revisit again this complicated area, owing to the new degrees of freedom introduced.

One issue is that the 802.11ax PHY preamble is longer than legacy one. Thus it should be used only for long transmissions which benefit from the new 802.11ax features. Also, since the 802.11ax frames cannot be decoded by legacy devices, virtual carrier sense does not work properly and can degrade performance in scenarios with hidden nodes. This issue needs to be addressed both by the standard (see Section V) and by the community of Wi-Fi developers, which can design smart algorithms to protect transmissions.

MU TRANSMISSIONS & CHANNEL ACCESS

802.11ax OFDMA Fundamentals

The design of OFDMA for 802.11 networks is a challenging task, it has been investigated in many papers. For example, [38] proposes a novel OFDMA-based MAC protocol, NOMA. Unfortunately, the authors consider only single-user access. In contrast, TGax has designed a much more general and powerful framework, which can be used for both contention-based and random access. Let us describe it in detail. In 802.11ax, the channel resources are allocated over time and frequency, but in order to simplify resource management and to retain compatibility with legacy networks, OFDMA transmission is organized on a per-frame basis. This means that a frame can carry information from one or multiple STAs. In such a frame, various tones are assigned

to different STAs but the duration of all the frames in a frame is the same.

An RU can contain 26, 52, 106, 242, 484 tones (including service tones). The entire 20 MHz band, 40 MHz band, 80 MHz band and 80+80 MHz band corresponds to a 242-tone RU, 484-tone RU, 972-tone RU and two 996-tone RUs, respectively. Each wideband can be split into two approximately twice-narrower RUs. These RUs can be split again, separately from each other, with the only exception is that a 242-tone RU can be split into two 106-tone RUs and one 26-tone RU. For MU-MIMO problems with binary convolutional codes in [39], multiple RU allocations for a STA are possible. Although MU-MIMO and OFDMA can be used together, UL and DL MU-MIMO shall be performed on different tone RUs. The maximum number of RUs for a STA is indicated in Table III.

Thanks to MU-MIMO, up to eight users can share one RU. It is also possible to allocate up to four RUs per user, if the total number of spatial streams is up to eight.

Let us consider how the DL and UL OFDMA resources are organized. In the case of the DL OFDMA, the HE-SIG-B field of the common preamble indicates the allocation map which is followed by per-STA allocation indicating the RUs assigned to an STA and the transmission parameters to be used by the STA (NSTS, MCS, etc.). Note that an RU can represent either an SU-MIMO or MU-MIMO allocation. In the latter case spatial configuration is signaled to the STA.

Organizing the UL MU transmission is a challenging task. MU transmissions in Wi-Fi shall be scheduled in the time domain. Since it is difficult to maintain precise synchronization because of clock drifting, an OFDMA UL MU transmission as follows. The AP transmits first of a control frame — Trigger frame — in which it contains the common parameters of the upcoming transmission (duration, GI which shall be the same for all participating in the UL MU transmission [40], MCS for the STAs, and defines transmission parameters for each particular STA (MCS, coding, etc.). To achieve fairness, the MU transmission is performed immediately after SIFS after the Trigger frame [41], see Figure 1. STAs take more than SIFS to prepare a UL transmission and then pad the Trigger frame [42].

For UL MU OFDMA transmissions, the signals from different STAs at almost the same time

possibility to solicit a UL MU transmission by including information in the header. Similarly, the AP can acknowledge a transmission by sending acknowledgments via MU transmissions. Following the described ideas, 802.11ax allows cascading MU transmissions which means that both DL MU and UL MU transmissions can be included within cascading MU transmissions. The frames in an MU manner send different sequences.

MU transmissions in Wi-Fi shall be a domain. Thus, if a STA has a short frame, it either uses padding or tries to aggregate frames into a single frame. In case when the remaining space is not enough for aggregating the whole frame, padding is used to avoid wasting channel resources. 802.11ax allows fragment frames in order to fill the remaining user payload⁴ [44]. To improve the efficiency of the 802.11ax STA can also aggregate frames into multiple Access Categories (ACs) [45]. A similar approach is used in the 802.11ac DL MU MIMO [19, Sec. 9.1.4].

Since the aggregation of several fragments is not possible, 802.11ax has found a compromise, having defined three levels of HE fragmentation. The first level permits one fragment without any aggregation. The second level allows a STA to aggregate not more than one fragment into an A-MPDU. Finally, the third level allows aggregation of two or more fragments per MSDU in an A-MPDU.

C. Special Trigger Frames

OFDMA permits to cope with frequency interference by assigning the best subcarriers to each STA. From that, it reduces the overhead caused by frame spaces, preambles and PHY headers. This is common information for all the STAs in a transmission. The overhead is higher for short frames which OFDMA is especially favorable. The basic Trigger frame for data and management frames in 802.11ax has special Trigger frames which are used for Request To Send / Clear To Send (RTS/CTS), request block acknowledgments from a group of STAs, collect beamforming reports or buffer status reports. Let us consider how these frames are used.

To protect a DL MU transmission from hidden nodes, 802.11ax introduces the MU-RTS/CTS handshake [19]. In the case of UL MU transmissions in 802.11ax, the CTS frames are sent simultaneously. The main peculiarity of these frames is that a CTS frame is transmitted over the whole 20 MHz, 40 MHz, 80 MHz or the entire 80+80 MHz channel being duplicated on each subchannel using the legacy CTS frame format. The CTS frame shall be used by a particular STA to indicate that it is determined in MU-RTS and shall contain the subcarriers which will be used for the following transmission by the STA. It is done to set NAV at all legacy STAs.

example of UL OFDMA transmission.

802.11ax defines a power pre-correction mechanism, to which the AP indicates in the Trigger frame its transmit power and the target signal strength that the STA is expected to receive from a STA in the following UL transmission. Thus, having known the AP's transmit power and the signal strength of the received Trigger frame, the STA can estimate the path loss to the AP and it can calculate an appropriate transmit power for the following UL transmission. Since the AP (not an STA!) selects the MCS for the transmissions, each STA also includes information about its transmit power headroom, i.e., the difference between its maximum transmit power and its current transmit power for the MCS.

To be efficient, the AP shall allocate RUs only to STAs that have data to transmit. For that, STAs report to the AP the amount of buffered data they have. Such reports may be requested by the AP or sent by STAs on their own [43]. A challenge arises because the AP does not know whether the channel is idle from the STA's point of view. To solve this, the AP specifies in the Trigger frame whether the STA shall perform carrier sensing before an OFDMA transmission or not. If carrier sensing is required, the STA performs both *virtual* carrier sensing and *physical* carrier sensing in at least the 20 MHz channel(s) that contain(s) the RUs allocated for the STA.

If *physical* carrier sensing is required, the STA senses the busy medium, i.e., the STA detects high energy, it shall not transmit. The UL transmission is forbidden if some but not all the subcarriers are idle. However, the STA can neglect *virtual* carrier sensing, if it has been set by a frame originating from a neighbor or the STA is going to transmit ACK or RTS, whose duration does not exceed some agreed value. The STA always cancels the UL transmission if its duration exceeds the UL MU transmission duration indicated in the Trigger frame.

Performance Improvements

802.11ax also allows performing a UL MU transmission along with a DL MU transmission, which can be useful, e.g., for sending acknowledgment frames simultaneously. For that,

⁴Not applicable to legacy non-HE STAs.

Each transmission shall also contain the Trigger frame the UL RU allocations. Moreover, there is another

¹Note that in legacy Wi-Fi, STAs use trigger frame size exceeds the fragmentation threshold. Mode of aggregation and fragmentation is explicitly forbidden.

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example of an MU-RTS/CTS exchange.

and thus to protect the transmission from collision, the CSMA/CA protocol allows several receivers to transmit CTS simultaneously, however these CTS frames are absorbed from a PHY perspective, thus they do not collide,

Nevertheless, such an approach has an important limitation. Having received several equal CTSs in the same time slot, the AP cannot obtain information which receiver(s) sent the CTS. Such a limitation may force the AP either not to schedule transmissions which occupy subcarriers from the 20 MHz channel, or to ignore the fact that some receivers did not answer with CTS. Since both the workarounds degrade performance, currently TGax is looking for a solution [48].

802.11ax amendment proposes an additional way for signaling UL MU transmissions by sending new Multi-STA Block Acknowledgment (BA) frames. Similarly to the existing BA frame which is used to acknowledge a set of frames from various ACs, a Multi-STA BA frame is used to acknowledge BAs to several STAs [49], [50]. To shorten the frame, a Multi-STA BA frame can be sent in a legacy format with only a legacy 802.11a preamble. A Multi-STA BA can be sent as a BA or as an ACK.

A new frame defined in 802.11ax is the MU Block Acknowledgment Request (BAR) frame which is a variant of the Trigger frame used to solicit acknowledgements from multiple stations after a UL MU transmission instead of sending individual acknowledgements [51]. Similarly, 11ax defines GCR MU BAR to solicit acknowledgements for groupcast transmission with retries, a method introduced in 11aa. In addition to the BAR, a recipient of an MU-BAR frame can transmit data or management frame if it does not exceed

performing random UL OFDMA transmission. This feature is especially important when the AP schedules transmissions for which associated STAs have data to transmit and an unassociated STA wants to transmit an acknowledgment. DCF/EDCA is not efficient for short transmissions due to the large overhead caused by PHY header and inter-frame spaces.

The designed random access is similar to the slotted Aloha. Specifically, a Trigger frame allocates RUs for random access. Specifically if the RU index of some RU is 0 or 2045, the corresponding resource unit defines a group of contiguous RUs which can be used by associated and unassociated STAs responding. The RUs of a group are of the same size and have the same transmission parameters. All the RUs of a group of contiguous RUs, the AP can indicate that random access is planned in the series of transmissions till the end of TXOP.

To decide whether to transmit and in which RU, the so-called OFDMA Back-off (OBO) procedure is used. A STA chooses a random value from $[0, OCW]$ as the OFDMA contention window. If the current value is less than the number of RUs allocated for random access in a Trigger frame, the STA randomly selects one of the RUs allocated for random access and transmits a frame. Otherwise, the STA decreases OBO by the number of RUs allocated for random access and waits for the next Trigger frame containing RUs for random access.

If the transmission attempt fails, the STA increases OBO until it reaches OCW_{MAX} and selects another random value for the new interval. If the transmission attempt fails again, the STA resets its OCW to the minimum value OCW_{MIN} . OCW_{MAX} and OCW_{MIN} are specified by the standard and are used in the probe response frames.

Since random access is less efficient than scheduled access, it is worth to use it only for short packet transmissions. In the latter case, a STA having data to transmit can generate a BSR and send it with random access. This is useful for channel resources. It is clear that such a method is to be more efficient than pure UL OFDMA, as confirmed in [55]. Nevertheless, some research is preliminary, so the performance evaluation is a topic for future research.

E. EDCA Improvements

ed UL MU duration [52].
 re variant of the Trigger frame is used to collect each BSR, each STA informs the AP about the buffered traffic in a queue of the requested AC (AC_BK, AC_VI, or AC_VO) or of a subset of ACs. 11ax defines special Trigger frames used to polling information or to request information about the

DMA Random Access

the scheduled UL MU access described above, designed an optional mechanism which allows

In 802.11ax networks, OFDMA works on CSMA/CA (Carrier Sense Multiple Access Avoidance) mechanism called EDCA or DC. When a STA wants to transmit a Trigger frame, the AP shall contend with other STAs. Consider a network with STAs having UL traffic. Since the number of STAs is much higher than one, the AP rarely wins. When the AP uses the same channel access parameters as the STAs, when the AP succeeds, it sends a Trigger frame to allocate resources for the associated STAs. As shown in Figure 10, the AP can allocate resources to the STAs in a random manner.

⁵Since both methods are well-known and widely adopted (see [56], [57]), we do not describe them.

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the data transmission is preceded by an RTS/CTS exchange. 802.11ax has proposed an alternative RTS/CTS mechanism. This mechanism has two major distinctions. First, the use of RTS/CTS is determined by the *duration* of the transmission, rather than the *length* of the frame, explaining the name — duration-based RTS/CTS threshold mechanism. Second, the AP can focus on the duration of the transmission rather than packet length, because with a high MCS, a frame can be transmitted fast enough, while with a low MCS, the relatively high overhead caused by the RTS/CTS is performed with a slow MCS. Second, the value of the duration-based RTS/CTS threshold is under the control of the AP. The AP can have a better view of the network situation and can adjust the threshold value to associated STAs. The AP can lower the threshold if interference is suspected in a dense environment or in order to reduce the transmission overhead and conserve network resources.

percentage of OFDMA UL MU transmissions and legacy STAs vs. the AP CW parameters [58].

is much more efficient than EDCA. So, to achieve high throughput, the STAs should rarely access the channel. However, the AP should almost always use OFDMA. In other words, the AP shall almost always win the contention. Ideally, the AP can change the EDCA parameters for associated STAs by broadcasting them in beacons. So, with high values for CW_{min} and CW_{max} , the AP can avoid EDCA transmissions in the network. A problem arises, if there are some legacy STAs in the network which cannot use OFDMA transmissions. Since the parameters cannot be set individually, setting the same values of CW_{min} and CW_{max} for both 802.11ax and legacy STAs will block the legacy STAs. This may lead to a degradation in the performance of the legacy clients significantly.

F. Open MU & Channel Access Issues

Having introduced OFDMA, Wi-Fi developers have proposed a similar mechanism to LTE. Obviously, this means that channel resource allocation in LTE is similar to Wi-Fi. However, resource allocation in Wi-Fi is more difficult than in LTE for the following reasons.

First, traditional LTE networks operate in licensed bands. This means that an operator can control interference in neighboring cells and adjust inter-cell interference to achieve better performance. In contrast, Wi-Fi operates in license-exempt bands where nobody can control the interference level in future. This complicates resource allocation and makes Wi-Fi developers develop more sophisticated algorithms to reduce interference, see Section 10.

rates. Another problem is related to a misbehaving STA which allocates less RUs for a client of a concurrent vendor. To avoid such problems, TGax introduces the second set of parameters which is used *only* by those 802.11ax STAs which were granted RUs during some preceding time

Figure 8 presents numerical results for a scenario with a network with ten legacy STAs and ten 802.11ax STAs. *AIFSN* is set to 3 for all devices. Legacy STAs use the default $CW_{min} = 16$, $CW_{max} = 1024$. For 802.11ax STAs, $CW_{min} = 128$, $CW_{max} = 1024$, while the CW limits of the legacy STAs are marked with red ovals in Fig. 8. The different EDCA parameter sets is studied in more detail in [9].

TGax also improved the RTS/CTS mechanism which mitigates collisions from hidden nodes and reduces channel utilization. Historically, the use of the RTS/CTS mechanism is determined by the length of the transmitted data. If the frame length exceeds the RTS threshold then

Second, in LTE networks the channel resource blocks of equal size. For the downlink, the base station can select an arbitrary subset of resource blocks to transmit some data for a user. For the uplink, the resource blocks in the subset need to be contiguous. These restrictions on possible RUs are more so in Wi-Fi, which complicates the development of optimal scheduling algorithms which allocate RUs for each STA in a network with some utility function.

Third, for UL transmissions, Wi-Fi allows each STA to choose the power spectral density if the STA transmits in a given RU. Specifically, the STA can transmit with a power spectral density whatever RU it uses. Note that since the STAs are in different places, it does not violate the energy spectral density constraints but brings much benefit. Indeed, the higher the power spectral density, the higher MCS can be used. In other words, this means that each Trigger frame should allow all the STAs which have data in the uplink to choose their power spectral density. After some investigation it becomes clear that this is much more difficult. The first issue is that according to the standard the highest MCSs cannot be used in a narrowband. Thus by splitting the channel into too narrow channels, one can obtain a lower throughput. The second one is that the complexity of splitting some channels into a given

the OFDMA ones, and b) because of the time needed to transmit by the AP, the time needed to transmit with EDCA is much longer than that with OFDMA.

Fifth, a Wi-Fi network consists of devices from various manufacturers. In the legacy Wi-Fi, a network should use the same channel access method as cast by the AP. Thus, all the devices have to wait the same time to transmit.⁶ In an 802.11ax network, the RUs are allocated by the AP. So a misbehaving STA can get more channel time to those STAs which are from the same vendor. The methods of detecting such misbehavior should be a subject of further investigation.

Sixth, an open issue is how to select an appropriate size of an MU frame. This may affect the efficient usage as well as the fairness and the QoS. TGax shall find a trade-off between long frames efficient for data traffic and short frames efficient for BSR.

V. OVERLAPPING BSS MANAGEMENT AND SPATIAL REUSE

Since the dense deployment scenario is the main scenario of TGax, there are a lot of debates on how

throughput with various RU configuration.

For example, in case of three STAs with UL traffic, the AP can divide a 40 MHz channel into two RUs (242-tone + 242-tone) or four RUs (242-tone + 2x 106-tone + 26-tone), but not three RUs. This means that a 26-tone RU is wasted. Therefore, such small RUs are favorable to be allocated for

us reports transmitted with random access. Some show that there is no straightforward solution for the problem and an optimal allocation of RUs depends on location.

shows the UL throughput in an 802.11ax saturated operating in a 80MHz channel with ten STAs uniformly distributed in a circle of radius 35 m around the AP. The RUs are allocated in a proportionally fair manner with 1 static division of the channel into RUs. The horizontal axis represents all the possible combinations of RUs in lexicographical order. The left combination, i.e., combination #1, presents a case when there are zero 996-tone RUs, one 52-tone RU, ... and 37 26-tone RUs. Combination #2 presents a case with one 52-tone RU and 35 26-tone RUs. Combination #3 has two 52-tone RUs and 33 26-tone RUs. The right combination stands for the case with the only 996-tone RU. The results show that the average throughput significantly depends on how the channel is divided into resource units. Although in all these cases the RUs are assigned according to the same policy — proportionally fair — the efficiency of resource usage varies more than two times. Thus, the case of a baseline utility function (e.g., the geometric mean of the throughputs which gives a proportionally fair allocation), the selection of the best RU allocation is a very sophisticated problem (see [60]). To a greater extent, the case with other more complex QoS-aware utility functions, like M-LDWF [61] and EXP-PF [62].

a portion of RUs shall be allocated for the RA. The number of RUs allocated for the RA affects the network capacity and shall be selected based on some estimation of the traffic patterns. Note that in the case of arrival of packets for uplink transmission, the STA uses the legacy EDCA to transmit either these packets or, however, a) such transmissions are less efficient than

performance in case of dense networks. TGax wants to decrease interference between STAs. On the other hand, it wants to allow spatial reuse of channels for simultaneous transmissions in overlapping networks to increase throughput. A considerable activity is relating to dynamic sensitivity thresholds and dynamic power control. Since the launch of TGax, many submissions on these topics were proposed but most were rejected. Here we describe the accepted

A. BSS Color

To determine which BSS is the originator of a frame, 802.11ax uses the BSS color, called the BSS color [63], within the frame preamble. Initially, the BSS color field appeared in 802.11ah to reduce power consumption because the receiver can stop decoding a frame if it belongs to an alien BSS. Since the BSS color is selected by the AP, the colors of two neighboring BSSs should not collide in terms of 802.11ax. To decrease collision probability, TGax has agreed to increase the length of the BSS color field to 6 bits [64]. If the STAs associated to an AP can notify it about the change, the AP can start a procedure of changing its BSS color. It advertises the future BSS color and the current BSS color will be changed by sending special information in beacons. So all the STAs, even those not associated, receive information about the change of BSS color.

The identification of a BSS by the BSS color is used for determining channel access rules and

⁶Although having been standardized, the centralized channel access methods, such as PCF or HCCA, which allow the AP to control the channel, are not used in out-of-the-shelf devices because of their complexity and some flaws in the behavior in dense

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is. To disable the BSS color for a particular frame, the BSS color field of this frame is set to zero.

1.1.1.1.1.1

-Fi channel access follows the listen-before-talk (LBT) procedure, i.e., a STA performs carrier sensing before transmitting a frame. The channel is supposed to be busy in the cases.

During carrier sensing a STA detects a frame preamble, it considers the channel as busy for the frame duration if a signal is signaled in the preamble.

During carrier sensing a STA detects an unknown signal

and disseminates information about the reserved channel to the other STAs to access the channel during the reserved time.

This mechanism has been proposed in IEEE 802.11ax. Its description contains many open issues that need to be addressed in the very near future. Specifically, it describes the only way — which is defined without an alternative — to disseminate reservation information: QTP. At the beginning of a reserved time interval, the STA broadcasts information about its duration and the reservation which is allowed during the interval. This mechanism has several drawbacks. First, the information is broadcasted once, so it can be lost. Second, the type of reservation is not identified, so it can be lost. Third, the type of reservation is not identified, so it can be lost. Fourth, the type of reservation is not identified, so it can be lost. Fifth, the type of reservation is not identified, so it can be lost. Sixth, the type of reservation is not identified, so it can be lost. Seventh, the type of reservation is not identified, so it can be lost. Eighth, the type of reservation is not identified, so it can be lost. Ninth, the type of reservation is not identified, so it can be lost. Tenth, the type of reservation is not identified, so it can be lost. Eleventh, the type of reservation is not identified, so it can be lost. Twelfth, the type of reservation is not identified, so it can be lost. Thirteenth, the type of reservation is not identified, so it can be lost. Fourteenth, the type of reservation is not identified, so it can be lost. Fifteenth, the type of reservation is not identified, so it can be lost. Sixteenth, the type of reservation is not identified, so it can be lost. Seventeenth, the type of reservation is not identified, so it can be lost. Eighteenth, the type of reservation is not identified, so it can be lost. Nineteenth, the type of reservation is not identified, so it can be lost. Twentieth, the type of reservation is not identified, so it can be lost. Twenty-first, the type of reservation is not identified, so it can be lost. Twenty-second, the type of reservation is not identified, so it can be lost. Twenty-third, the type of reservation is not identified, so it can be lost. Twenty-fourth, the type of reservation is not identified, so it can be lost. Twenty-fifth, the type of reservation is not identified, so it can be lost. Twenty-sixth, the type of reservation is not identified, so it can be lost. Twenty-seventh, the type of reservation is not identified, so it can be lost. Twenty-eighth, the type of reservation is not identified, so it can be lost. Twenty-ninth, the type of reservation is not identified, so it can be lost. Thirtieth, the type of reservation is not identified, so it can be lost. Thirty-first, the type of reservation is not identified, so it can be lost. Thirty-second, the type of reservation is not identified, so it can be lost. Thirty-third, the type of reservation is not identified, so it can be lost. Thirty-fourth, the type of reservation is not identified, so it can be lost. Thirty-fifth, the type of reservation is not identified, so it can be lost. Thirty-sixth, the type of reservation is not identified, so it can be lost. Thirty-seventh, the type of reservation is not identified, so it can be lost. Thirty-eighth, the type of reservation is not identified, so it can be lost. Thirty-ninth, the type of reservation is not identified, so it can be lost. Fortieth, the type of reservation is not identified, so it can be lost. Forty-first, the type of reservation is not identified, so it can be lost. Forty-second, the type of reservation is not identified, so it can be lost. Forty-third, the type of reservation is not identified, so it can be lost. Forty-fourth, the type of reservation is not identified, so it can be lost. Forty-fifth, the type of reservation is not identified, so it can be lost. Forty-sixth, the type of reservation is not identified, so it can be lost. Forty-seventh, the type of reservation is not identified, so it can be lost. Forty-eighth, the type of reservation is not identified, so it can be lost. Forty-ninth, the type of reservation is not identified, so it can be lost. Fiftieth, the type of reservation is not identified, so it can be lost. Fifty-first, the type of reservation is not identified, so it can be lost. Fifty-second, the type of reservation is not identified, so it can be lost. Fifty-third, the type of reservation is not identified, so it can be lost. Fifty-fourth, the type of reservation is not identified, so it can be lost. Fifty-fifth, the type of reservation is not identified, so it can be lost. Fifty-sixth, the type of reservation is not identified, so it can be lost. Fifty-seventh, the type of reservation is not identified, so it can be lost. Fifty-eighth, the type of reservation is not identified, so it can be lost. Fifty-ninth, the type of reservation is not identified, so it can be lost. Sixtieth, the type of reservation is not identified, so it can be lost. Sixty-first, the type of reservation is not identified, so it can be lost. Sixty-second, the type of reservation is not identified, so it can be lost. Sixty-third, the type of reservation is not identified, so it can be lost. Sixty-fourth, the type of reservation is not identified, so it can be lost. Sixty-fifth, the type of reservation is not identified, so it can be lost. Sixty-sixth, the type of reservation is not identified, so it can be lost. Sixty-seventh, the type of reservation is not identified, so it can be lost. Sixty-eighth, the type of reservation is not identified, so it can be lost. Sixty-ninth, the type of reservation is not identified, so it can be lost. Seventieth, the type of reservation is not identified, so it can be lost. Seventy-first, the type of reservation is not identified, so it can be lost. Seventy-second, the type of reservation is not identified, so it can be lost. Seventy-third, the type of reservation is not identified, so it can be lost. Seventy-fourth, the type of reservation is not identified, so it can be lost. Seventy-fifth, the type of reservation is not identified, so it can be lost. Seventy-sixth, the type of reservation is not identified, so it can be lost. Seventy-seventh, the type of reservation is not identified, so it can be lost. Seventy-eighth, the type of reservation is not identified, so it can be lost. Seventy-ninth, the type of reservation is not identified, so it can be lost. Eightieth, the type of reservation is not identified, so it can be lost. Eighty-first, the type of reservation is not identified, so it can be lost. Eighty-second, the type of reservation is not identified, so it can be lost. Eighty-third, the type of reservation is not identified, so it can be lost. Eighty-fourth, the type of reservation is not identified, so it can be lost. Eighty-fifth, the type of reservation is not identified, so it can be lost. Eighty-sixth, the type of reservation is not identified, so it can be lost. Eighty-seventh, the type of reservation is not identified, so it can be lost. Eighty-eighth, the type of reservation is not identified, so it can be lost. Eighty-ninth, the type of reservation is not identified, so it can be lost. Ninetieth, the type of reservation is not identified, so it can be lost. Ninety-first, the type of reservation is not identified, so it can be lost. Ninety-second, the type of reservation is not identified, so it can be lost. Ninety-third, the type of reservation is not identified, so it can be lost. Ninety-fourth, the type of reservation is not identified, so it can be lost. Ninety-fifth, the type of reservation is not identified, so it can be lost. Ninety-sixth, the type of reservation is not identified, so it can be lost. Ninety-seventh, the type of reservation is not identified, so it can be lost. Ninety-eighth, the type of reservation is not identified, so it can be lost. Ninety-ninth, the type of reservation is not identified, so it can be lost. One hundredth, the type of reservation is not identified, so it can be lost.

at more than 20 dBm above the minimum sensitivity level, the channel is indicated to be virtually busy.

Carrier sensing in Wi-Fi, called NAV, is organized as follows. In the MAC header, a STA indicates the NAV, i.e., for how long the following frame exchange will occupy the channel. Having correctly decoded the frame, STAs set NAV, i.e., they consider the channel to be busy for the indicated time. If a STA receives a frame with a larger NAV value, it increases its NAV, but the NAV does not decrease NAV even if the indicated NAV value expires. The STA cancels its NAV, if it receives a CF-End frame.

In legacy Wi-Fi, STAs do not take into account by which BSS the NAV value was set. However, this may lead to the misbehavior. Suppose a frame from the same BSS sets the NAV value at a STA. After that, the STA receives a frame coming from an Overlapping BSS (OBSS). According to the existing rules, the STA will reset the NAV and will not consider the medium to be virtually busy anymore. However, the STA may not hear an ongoing transmission protected by NAV, it can start its own transmission, which causes a collision. As dense deployment was not considered in the scenario earlier, such a situation was not extensively researched. However, this reasoning is no longer true for 802.11ax networks. Thus, to prevent resetting NAV by CF-End from an OBSS, 802.11ax STAs will support two NAVs: one for their own BSS and the other for all the OBSSs, and will modify the NAVs separately [65].

Time Period

Adaptive channel access and direct links⁷ operation are promising solutions to reduce the channel busy time. However, such operations in the vicinity of an 802.11ax network can increase the overhead and cause significant performance degradation. To solve this problem, the 802.11ax amendment defines the Quiet Time Period (QTP) mechanism. QTP allows a STA to request an AP for a QTP which is a series of periodic time intervals of equal duration used for ad hoc or direct links operation. QTP is described by the offset of the first reserved interval, the duration and period of the intervals, and the total number of requested intervals. If the AP satisfies the request, it

allows two STAs associated with the same AP to communicate directly without using the AP as a relay.

the interval. Finally, there is not any explicit mechanism to silence legacy STAs which ignore NAV messages.

D. Adjustment of Sensitivity Threshold and DSC

A possible solution to improve spatial reuse in dense deployment environment is by tuning carrier sensing parameters [66], e.g., by means of using Dynamic Sensitivity Control (DSC). The idea of DSC is based on the dynamic adjustment of the carrier sensing threshold. The DSC threshold, which determines when the channel is considered to be busy. Obviously, to prevent transmission from a BSS from being blocked by an OBSS, the DSC threshold should be increased. Nevertheless, to allow for coexistence between all devices within a BSS, the DSC threshold should be small enough not to miss a transmission within the BSS.

Smith [9] and Afaqui *et al.* [67] propose to adjust the DSC threshold at the STAs based on the maximum $\max_{i \in BSS} PassLoss(AP, i) - MRG$, where $PassLoss(AP, i)$ is the path loss between the AP and STA i , and MRG (margin) is a parameter with a recommended value of 25 dB. Since it may be difficult to obtain the path loss and to estimate attenuation, the authors propose a practical implementation. Each STA maintains the average received signal strength indicator (RSSI) of the beacons received from the AP and set the DSC threshold to $AvgRSSI - MRG$. However, the attenuation may change that the signal strength from the AP's beacons decreases to $AvgRSSI - MRG$, and the STA will start to transmit. To prevent such an undesirable behavior, the authors propose to decrement $AvgRSSI$ by $RSSIDEC$ dBs (so that the DSC threshold decreases) if several beacons are lost in a row and, thus, to decrease the DSC threshold. The authors evaluate the $RSSIDEC$ parameter values to evaluate the performance of the proposed scheme in terms of aggregate throughput, fairness (calculated according to Jain's fairness index), number of hidden nodes, and PER (Fig. 10). The results show the increase of these metrics observed with the proposed scheme to the legacy constant carrier sensing threshold. The gain in throughput and fairness is achieved with a higher number of hidden nodes and, consequently, with a higher PER. On the one hand, it is natural to think that the decrease of the DSC threshold will decrease fairness, because close to the AP

Fig. 11. Illustration of OBSS_PD and TX_PWR on a channel [27].

TDMA (Time Division Multiple Access) with transmissions of OBSSs orthogonal in the time domain, they severely interfere with each other. Although there are opposite approaches and have opposite results, they show that combining DSC and TDMA can achieve the best performance, simultaneously increasing the throughput. Unfortunately, the inter-BSS TDMA is too complicated and requires synchronization between the OBSSs. So the standard approved by TGax.

To balance between spatial reuse and coexistence, TGax decides to bind changes in the sensing of the OBSS frames (named as OBSS Preamble Detection, OBSS_PD) and the transmit power (TX_PWR). A simple rule: the higher the OBSS_PD, the higher the TX_PWR. A rule has a simple explanation. By default, a STA receives signals of power TX_PWR and considers the channel idle. If the signal strength is less than $OBSS_PD$, the STA receives a signal from an OBSS STA. If the signal strength is less than -82 dBm. This means that the attenuation between the STA and the OBSS STA is X dB weaker than the medium idle. If the STA wants to start a transmission in this case, it shall first increase its power by X dBm, and second, it shall decrease its power also by X dB in order not to produce a hidden node problem at the location of the OBSS STA (Fig. 11).

STAs may dynamically change their TX_PWR parameters. During backoff, a STA sets $OBSS_PD$ to some value. Every time, it receives a packet it suspends its backoff. Right after it receives a packet, it stands that this packet belongs to OBSS, it considers the channel busy. Even before the end of the packet, if the signal strength is less than $OBSS_PD$ and no other conditions (such as the channel to be considered as busy). When the STA has channel access, it can start transmission with a power higher than that corresponding to the used TX_PWR . Such a power level is used till the end of the packet.

The AP may specify the colors of the channels. When the described rule is applied. To achieve the best performance from spatial reuse, the rule should be applied to the signal from which is much lower than the signal from the STAs. Obviously, an algorithm on how to implement this rule is beyond the scope of the standard.

increase of Throughput, PER, and the number of hidden nodes, [7].

hold and have more chance to transmit a packet. DSC reduces the number of exposed nodes which leads to the achievement of a gain in fairness. Having analyzed the results, the authors recommend setting MFG to 20 and M to 6.

reduced the number of exposed STAs, DSC can reduce the number of hidden STAs. To address this issue, several methods have been proposed. One of them is using RTS/CTS mechanism together with DSC. This approach is described in [68] and it has been proved to be effective. In [69], the DSC approach is combined with inter-BSS

primary and secondary channels in 802.11ac networks.

option allowing spatial reuse operation is related to frames. Specifically, the AP may allow an alien transmission to overlap with the UL transmission in its own BSS, provided signal from such an alien transmission does not exceed an acceptable level of interference. Such an acceptable level of interference depends on the current interference level near the AP and on the used MCS. To allow an alien transmission, in the Trigger frame the AP specifies a spatial reuse power S as the sum of its transmit power and an acceptable level of interference minus some margin. If a STA received the Trigger frame at some power R , an OBSS STA may start a transmission with power $S - R$ after the end of the frame if such a transmission does not exceed the scheduled UL transmission. Naturally, to access the channel, the OBSS STA needs to use backoff, resuming it at the end of the Trigger frame and ignoring the upcoming transmission.

Channel Bonding and Preamble Puncturing

In 802.11ac, STAs can adaptively select the bandwidth in which a particular frame is transmitted. Specifically, the standard defines a hierarchy of channels shown in Fig. 12. Having primary channel access in the primary 20 MHz channel follows the EDCA rules, a STA can expend the bandwidth by bonding additional secondary channels. In other words, if the secondary 20 MHz channel is idle, the STA can transmit in 40 MHz bandwidth. If both the primary 20 MHz and the secondary 40 MHz channels are idle, 80 MHz bandwidth can be used. In contrast, even if the primary 20 MHz channel is idle but the secondary 40 MHz channel is busy, the STA can only transmit in the

F. Virtualization

One of the widespread features in modern WLANs is the support for multiple “virtual” APs (VAPs). A single physical device can create multiple VAPs, reaching up to 32 VAPs in some equipment. This is useful, when, for example, one wants to separate a guest network from an internal corporate network without an additional AP. One of the shortcomings of VAPs is that a lot of service information has to be the same, but it is transmitted separately. To decrease the overhead, the 802.11ax amendment introduced the Multiple BSSID support, which allows transmitting essential information for all the BSSs simultaneously in a common beacon. All the BSSs in the multi-BSSID set have the same BSS color, and the frames of BSSes with the same BSSID set are considered as intra-BSS frames.

G. Load Balancing

In dense networks, load balancing is an important task since every STA has several candidate APs to connect with. Although the problem has attracted considerable attention among the researchers, it is out of scope of this tutorial since the decision on association is done by vendor-specific algorithms. In [72], some algorithms are studied for load balancing in 802.11ax.

H. Open Issues With Dense Deployment

For several years, the group has been investigating various methods which could improve performance in dense overlapping networks. Some solutions have been adopted in the standard by several so-called special interest groups, which usually come to an agreement outside the standard sessions making it difficult to accept other solutions. For independent members not involved in SIGs, there was an investigation [73] which revealed that the IEEE rules and ceased the operation of SIG. The open question is what to do with all the accepted solutions.

Since the most severe debates were about channel access solutions that improve performance in dense overlapping networks, there is a strong need now to conduct a study on whether the accepted proposals can improve performance, and in which scenarios. This is a new research area.

This task is complicated by the lack of a standard method to make an accurate performance evaluation.

20 MHz channel. This limitation is especially crucial in dense networks, where the secondary 20 MHz channel of one node may be the primary 20 MHz channel of another one. To improve the efficiency of channel bonding in dense environments, IEEE 802.11ax introduces a new optional feature called channel puncturing. For an MU OFDMA transmission in a channel wider than or equal to 80 MHz, one or more busy subchannels can be punctured. It means that frames are not transmitted and RUs are not allocated in these subchannels. In dense deployment, such a feature allows using the spectrum in a much more flexible way.

networks with mathematical modeling, testbeds, and simulations. Mathematical modeling typically introduces approximations like so-called protocol interference, which unpredictably affects the obtained results. A widely used simulation platform is ns-3 [75]. However, to capture the necessary 802.11ax functionality, capabilities need to be implemented to correctly model collision avoidance and other activities in this direction [76]. Although some silicon manufacturers have started to develop 802.11ax chipsets, the first 802.11ax devices are expected very soon. Numerous software defined radio

References (73)

frames transmitted by an AC during its transmission slot and is given by: (2) where, is the contention-free period
ssion times of the data frame and the block acknowledgement (BA) frame, respectively. ...
tion describes the fact that once the reaches its transmission state, multiple frames from that AC may be
. The number of those frames is bounded by obtained by (2) . Due to the chain regularities: (5) with: (6)
ations theory, the solution for the Markov chain is: ...
observe that the VI AC with TXOP sharing outperforms the VO AC (Figure 3(a)). This is also an expected
btained by (2) for is more than twice the value of obtained for (more specifically, and). For FS sharing the
figure 3(b)), i.e., exhibits a higher throughput performance than since in this case. ...

Resource Sharing During Downlink Multi-User Transmissions in CSMA/ECA Full Duplex Wlans



Hristos T Anastassiou

scribed key features of the latest Wi-Fi breakthroughs with particular focus on the draft D3.0 of the 802.11ax
leased in May 2018. Orthogonal Frequency Division Multiple Access (OFDMA) is the cornerstone
ndard and is aimed to address the throughput bottleneck at the Medium Access Control (MAC) layer. ...
7] have detailed a number of challenges facing 802.11ax implementation (e.g., OFDMA scheduler, dynamic
/ threshold, and energy savings as an optimization problem between energy consumption and throughput). ...

IEEE 802.11ax

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Fi users is demanding new optimized standards, as well as refinements in the current ones [1] - [3].
st used technologies for WLAN environments, namely IEEE 802.11n and IEEE 802.11ac, the concept of
been introduced to increase the overall throughput [4]. ...

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June 2019

Yachao Yin · ● Yayu Gao · ● Xiaojun Hei

The IEEE 802.11 Distributed Coordination Function (DCF) is a basic component in the medium access control (MAC) protocol of Wireless Local Area Networks (WLANs). Recently, a unified analytical framework has been proposed [1] to capture the fundamental features of IEEE 802.11 DCF networks, which provides various accurate performance predication in NS-2 simulations. In the past a few years, NS-3 is ... [\[Show full abstract\]](#)

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Advanced power control techniques for interference mitigation in dense 802.11 networks

January 2013

Oghenekome Oteri · Pengfei Xia · F. LaSita · ● Robert L. Olesen

In this paper we propose an enhanced Transmit Power Control (TPC) scheme and a new fractional Carrier Sense Multiple Access with Collision Avoidance (F-CSMA/CA) scheme for use in a dense 802.11 network. The new schemes improve the energy normalized Media Access Control Goodput performance in networks with overlapping Basic Service Sets (OBSSs) by mitigating the interference effect using TPC and ... [\[Show full abstract\]](#)

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January 2009 · IEEE Communications Magazine

Donald C. Cox

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March 2007

● Anastasios Gravalos · M.G. Hadjinicolaou · ● Qiang Ni

The main focus of current research and development for the next-generation wireless local area network (WLAN) communication systems is to enhance the link throughput and channel capacity. In this paper, the performance analysis of the ongoing next-generation WLAN standard, IEEE 802.11n high throughput WLAN PHY layer is presented. The design criteria is based on a 4times4 MIMO-OFDM scheme using ... [\[Show full abstract\]](#)

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