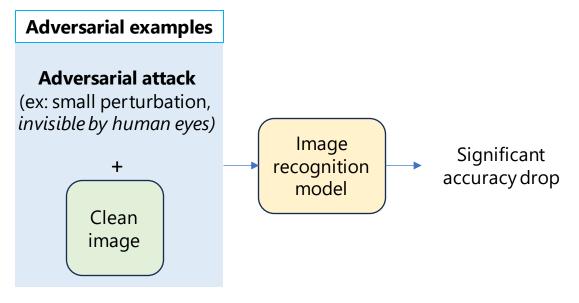
# Paper Review: Visual Prompting for Adversarial Robustness

Vision and Language Intelligence Lab

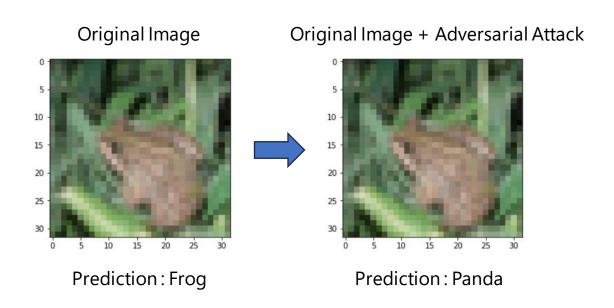
## Brief Recap of Adversarial Attacks

Generate adversarial examples (attacked images):



#### Main objective:

 Fool the model with an image similar to the original image.

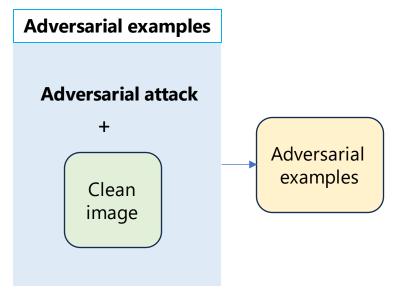


# Brief Recap of Adversarial Training

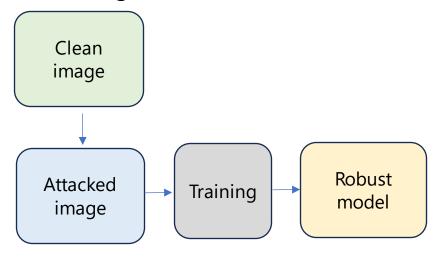
1. Model initialization.



2. Generate adversarial examples (attacked images).



3. Training the robust model.



- 4. Evaluation.
  - Test set on clean images.
  - Test set on attacked images.

## Brief Recap of Test-Time Defense

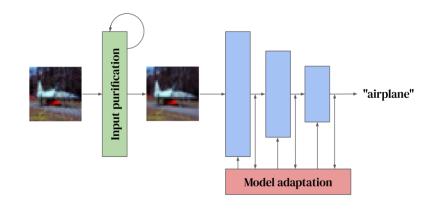


Figure 1. Different test-time defenses methods [23].

- Either purify the input via test-time augmentation or modify the model parameters [23].
- Input purification: Adding additional defense perturbation layer to the model (white-box or black-box)
   [24, 25]
- Model adaptation: Has access to the model parameters -> Only update some params while keeping most of it frozen.

#### Problems with Previous Works

- Adversarial Training: Needs to generate adversarial image for every/most input -> Massive computational cost [7, 8, 9, 10, 21, 23, 24].
- Test-Time Defense: Significantly increase the inference time [17, 23].

# Brief Recap of Visual Prompting [26]

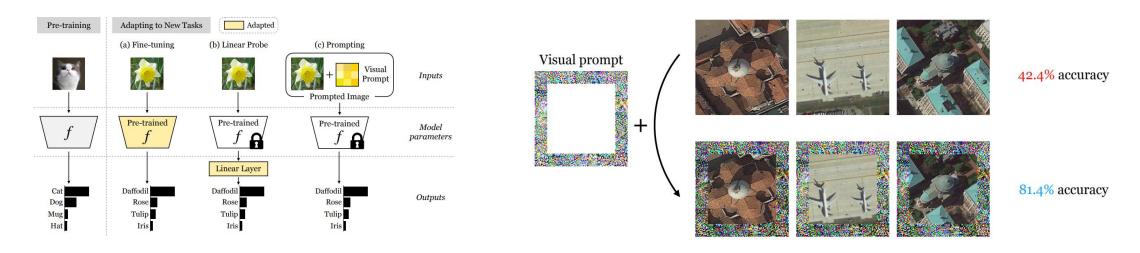


Figure 2. Illustration of visual prompting proposed by [26].

- Inspired by text prompting -> Leverage input space only to do transfer-learning.
- Successfully increased the performance on downstream task compared with zero-shot prediction.

## Visual Prompting for Efficient Test-Time Defense [17]!

- Leverage Visual Prompting (VP) [26] to improve inference time for test-time defense.
- Achieve up to 42x inference time speed up compared to previous test-time defense methods [17].
- Originally defined as follows:

```
Given: \mathcal{D}_{\mathrm{tr}} as the training set. (\mathbf{x},y) are feature \mathbf{x} and label y. \ell as the error for training data. \boldsymbol{\theta} as the base model parameters. \boldsymbol{\mathcal{C}} as the perturbation constraint set. Find: \boldsymbol{\delta} as the visual prompt to be designed. Objective: \min_{\boldsymbol{\delta}} \mathbb{E}_{(\mathbf{x},y)\in\mathcal{D}_{\mathrm{tr}}}[\ell(\mathbf{x}+\boldsymbol{\delta};y,\boldsymbol{\theta})] Subject to: \boldsymbol{\delta}\in\mathcal{C}
```

Figure 3. Original optimization problem of vanilla VP [26].

# Not A Straightforward Approach [17]

- Extend the concept of VP for adversarial robustness.
- Straightforward approach: Combine adversarial loss with generalization loss.

Given:  $\mathcal{D}_{tr}$  as the training set.  $\epsilon$  as the radius for the  $\ell_{\infty}$ -norm ball.  $\ell$  as the prediction error for training data. Find:  $\mathbf{x}'$  as the adversarial input. Objective:  $\ell_{adv}(\mathbf{x} + \boldsymbol{\delta}; y, \boldsymbol{\theta}) = \max_{\mathbf{x}': \|\mathbf{x}' - \mathbf{x}\|_{\infty} \le \epsilon} \ell(\mathbf{x}' + \boldsymbol{\delta}; y, \boldsymbol{\theta})$ Subject to:  $\mathbf{x}' \in \mathcal{B}_{\epsilon}(\mathbf{x})$ , where  $\mathcal{B}_{\epsilon}(\mathbf{x})$  is the  $\ell_{\infty}$ -norm ball at  $\mathbf{x}$ .

Given:  $\mathcal{D}_{tr}$  as the training set.  $\lambda$  as the regularization parameter.  $\delta$  as the visual prompt to be designed. Objective:  $\min_{\boldsymbol{\delta}} \sum_{(\mathbf{x}, y) \in \mathcal{D}_{tr}} [\ell(\mathbf{x} + \boldsymbol{\delta}; y, \boldsymbol{\theta})] + \mathbb{E}_{(\mathbf{x}, y) \in \mathcal{D}_{tr}} [\ell_{adv}(\mathbf{x} + \boldsymbol{\delta}; y, \boldsymbol{\theta})]$ Subject to:  $\delta \in \mathcal{C}$ 

Figure 4. Optimization problem of U-AVP [17].

- \*Note: Regularization parameter to balance between generalization and adversarial robustness.
- Called Universal AVP (U-AVP). Can be solved with common min-max optimization method.

## Problems with Universal Adversarial Visual Prompt (U-AVP) [17]

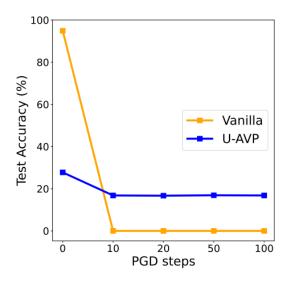


Figure 5. Performance of U-AVP compared with vanilla VP [17].

- Dropped significantly in terms of standard accuracy (PGD step = 0).
- Not quite robust in terms of robustness accuracy (only improve ~18%).
- Reason: Due to same visual prompt for all inputs.

## Problems with Direct Extension of U-AVP (C-AVP-v0) [17]

Given: 
$$\mathcal{D}_{\mathrm{tr}}$$
 split into  $\left\{\mathcal{D}_{\mathrm{tr}}^{(i)}\right\}_{i=1}^{N}$  for  $N$  classes.

 $\ell_{adv}$  as the adversarial error for training data.

Find: 
$$\left\{ \boldsymbol{\delta}^{(i)} \right\}_{i \in [N]}$$
 as the class-wise visual prompts.

Figure 6. Optimization problem of C-AVP-v0 [17].

- Leverages model's prediction to choose class-specific visual prompt.
- Lead to very poor prediction accuracy.
- Can serve as backdoor attack trigger [26] if the model's prediction is incorrect.
- Called C-AVP-v0 (Class-wise Adversarial Visual Prompt zeroth version).

## Proposed Idea: Joint Optimization for C-AVP! [17]

$$\ell_{\text{C-AVP},1}(\{\boldsymbol{\delta}^{(i)}\};\mathcal{D}_{\text{tr}},\boldsymbol{\theta}) = \qquad \qquad \text{Given:} \quad \mathcal{D}_{\text{tr}} \text{ split into } \left\{\mathcal{D}_{\text{tr}}^{(i)}\right\}_{i=1}^{N} \text{ for } N \text{ classes.}$$

$$\mathbb{E}_{(\mathbf{x},y)\in\mathcal{D}_{\text{tr}}} \max\{\max_{k\neq y} f_{k}(\mathbf{x}+\boldsymbol{\delta}^{(k)};\boldsymbol{\theta}) - f_{y}(\mathbf{x}+\boldsymbol{\delta}^{(y)};\boldsymbol{\theta}), -\tau\}, \qquad \qquad \tau \text{ as the confidence threshold.}$$

$$\gamma \text{ as a parameter for class-wise prompting penalties.}$$

$$\ell_{\text{C-AVP},2}(\{\boldsymbol{\delta}^{(i)}\};\mathcal{D}_{\text{tr}},\boldsymbol{\theta}) = \frac{1}{N} \sum_{i=1}^{N}$$

$$\mathbb{E}_{(\mathbf{x},y)\in\mathcal{D}_{\text{tr}}^{(-i)}} \max\{f_{i}(\mathbf{x}+\boldsymbol{\delta}^{(i)};\boldsymbol{\theta}) - f_{y}(\mathbf{x}+\boldsymbol{\delta}^{(i)};\boldsymbol{\theta}), -\tau\}, \qquad \text{Objective: } \min_{\{\boldsymbol{\delta}^{(i)}\in\mathcal{C}\}_{i\in\mathbb{N}}} \ell_{\text{C-AVP},0}\left(\left\{\boldsymbol{\delta}^{(i)}\right\};\mathcal{D}_{\text{tr}},\boldsymbol{\theta}\right) + \gamma \sum_{g=1}^{3} \ell_{\text{C-AVP},q}\left(\left\{\boldsymbol{\delta}^{(i)}\right\};\mathcal{D}_{\text{tr}},\boldsymbol{\theta}\right)$$

$$\ell_{\text{C-AVP},3}(\{\boldsymbol{\delta}^{(i)}\}; \mathcal{D}_{\text{tr}}, \boldsymbol{\theta}) = N : \text{ Total number of classes,}$$

$$\mathbb{E}_{(\mathbf{x},y)\in\mathcal{D}_{\text{tr}}} \max\{\max_{k\neq y} f_y(\mathbf{x} + \boldsymbol{\delta}^{(k)}; \boldsymbol{\theta}) - f_y(\mathbf{x} + \boldsymbol{\delta}^{(y)}; \boldsymbol{\theta}), -\tau\}.$$

$$i : \text{ Index for a specific class in } [N],$$

Figure 7. Joint optimization problem proposed by [17].

k: Class not equal to y,

y: True class label

- Introduce 3 additional losses to avoid backdoor attack trigger phenomenon.
- Simultaneously optimize class-specific visual prompts to not only enhance correct classifications but also minimize backdoor-like behaviors.

### Performance and Limitations [17]

Evaluation	Std	d Robust acc vs PGD w/ step a			
metrics (%)	acc	10	20	50	100
Pre-trained	94.92	0	0	0	0
Vanilla VP	94.48	0	0	0	0
U-AVP	27.75	16.9	16.81	16.81	16.7
C-AVP-v0	19.69	13.91	13.63	13.6	13.58
C-AVP (ours)	57.57	34.75	34.62	34.51	33.63

Figure 8. Table performance stated by [17].

- Significantly improve robustness accuracy compared with vanilla VP.
- Still lag behind from vanilla VP in terms of standard accuracy.
- Only tested on CIFAR-10 dataset.

#### Progress Report :

#### **Current Progress for This Week:**

- Survey some paper related to adversarial attacks and defenses [17, 23, 24, 25].
- Still simulating black-box adversarial defense proposed by [11] on the BlackVIP model (needs around 7-9 days to complete it using 1 GPU NVIDIA RTX 3090)
- Still simulating black-box adversarial defense proposed by [22] on the BlackVIP model (needs around 5-7 days using 1 GPU NVIDIA A6000).
- Changed the DASSL framework to multi-gpus setting.
- Reproduced code Adversarial Robustness proposed by [22] on ImageNet Dataset.

#### Next Plan:

#### **Ravialdy:**

- Survey other papers related to black-box adversarial defense.
- Determine the problems when implementing existing black-box adversarial defense on the BlackVIP model.
- Fix the errors related to changing DASSL framework into multi-gpus setting.

#### Nias:

Reproduced and learn the codes of Visual Prompting for Adversarial Robustness as proposed by [17].

- [1] Liu et al., "SignSGD via Zeroth-Order Oracle", International Conference on Learning Representations (ICLR), 2019
- [2] Guo et al., "Simple Black-box Adversarial Attacks", International Conference on Machine Learning (ICML), 2019
- [3] Uesato et al., "Adversarial Risk and the Dangers of Evaluating Against Weak Attacks", International Conference on Machine Learning (ICML), 2018
- [4] Ilyas et al., "Prior Convictions: Black-Box Adversarial Attacks with Bandits and Priors", International Conference on Learning Representations (ICLR), 2019
- [5] Madry et al., "Towards Deep Learning Models Resistant to Adversarial Attacks", International Conference on Learning Representations (ICLR), 2018
- [6] Spall et al., "A Stochastic Approximation Technique for Generating Maximum Likelihood Parameter Estimates", Proceedings of the American Control Conference, 1987
- [7] Zheng et al., "Efficient Adversarial Training with Transferable Adversarial Examples", IEEE Conference on Computer Vision and Pattern Recognition (CVPR), 2020
- [8] Hadi et al., "ℓ∞-Robustness and Beyond: Unleashing Efficient Adversarial Training", European Conference on Computer Vision (ECCV), 2020

- [9] Wu et al., "Towards Efficient Adversarial Training on Vision Transformers", European Conference on Computer Vision (ECCV), 2020
- [10] Xi et al., "Efficient Adversarial Training with Robust Early-Bird Tickets", Conference on Empirical Methods in Natural Language Processing (EMNLP), 2022
- [11] Zhang et al., "How to Robustify Black-Box ML Models? A Zeroth-Order Optimization Perspective", International Conference on Learning Representations (ICLR), 2022
- [12] Yoon et al., "Adversarial purification with Score-based generative models", International Conference on Machine Learning (ICML), 2018
- [13] Shi et al., "Online Adversarial Purification based on Self-Supervision", International Conference on Learning Representations (ICLR), 2021
- [14] Chen et al., "Towards Robust Neural Networks via Close-loop Control", International Conference on Learning Representations (ICLR), 2021
- [15] Carlini et al., "Adversarial Examples Are Not Easily Detected: Bypassing Ten Detection Methods", Proceedings of the 10th ACM Workshop on Artificial Intelligence and Security, 2017
- [16] Oh et al., "BlackVIP: Black-Box Visual Prompting for Robust Transfer Learning", EEE Conference on Computer Vision and Pattern Recognition (CVPR), 2020

- [17] Chen et al., "Visual Prompting for Adversarial Robustness.", International Conference on Acoustics, Speech and Signal Processing (ICASSP), 2023
- [18] Salman et al., "Denoised Smoothing: A Provable Defense for Pretrained Classifiers.", Conference on Neural Information Processing Systems (NeurIPS), 2020
- [19] Kumar et al., "Model Inversion Networks for Model-Based Optimization.", Conference on Neural Information Processing Systems (NeurIPS), 2020
- [20] Oh et al., "Towards reverse-engineering black-box neural networks.", In Explainable AI: Interpreting, Explaining and Visualizing Deep Learning, pp. 121–144. Springer, 2019
- [21] Zhang et al., "The Limitations of Adversarial Training and the Blind-Spot Attack.", International Conference on Learning Representations (ICLR), 2019
- [22] Carlini et al., "(CERTIFIED!!) ADVERSARIAL ROBUSTNESS FOR FREE!", International Conference on Learning Representations (ICLR), 2023
- [23] Croce et al., "Evaluating the Adversarial Robustness of Adaptive Test-time Defenses.", International Conference on Machine Learning (ICML), 2022

- [24] Alfarra et al., "Combating adversaries with antiadversaries.", AAAI, 2022
- [25] Wang et al., "Dynamic defenses against adversarial attacks.", arXiv:2105.08714, 2021
- [25] Bahng et al., "Visual prompting: Modifying pixel space to adapt pre-trained models.", arXiv:2203.17274, 2022.
- [26] Gu et al., "Badnets: Identifying vulnerabilities in the machine learning model supply chain.", arXiv:1708.06733, 2017.