

## TOC 1

DFA :  $(Q, \Sigma, \delta, q_0, F)$

$\delta: Q \times \Sigma \rightarrow Q$

NFA :  $(Q, \Sigma, \delta, q_0, F)$

$\delta: Q \times \Sigma \rightarrow 2^Q$

E-NFA :  $(Q, \Sigma, \delta, q_0, F)$

$\delta: Q \times (\Sigma \cup \{\epsilon\}) \rightarrow 2^Q$

Moore :  $(Q, \Sigma, \Delta, \delta, \lambda, q_0)$

$\lambda: Q \rightarrow \Delta$

Mealy :  $(Q, \Sigma, \Delta, \delta, \lambda, q_0)$

$\lambda: Q \times \Sigma \rightarrow \Delta$

PDA :  $(Q, \Sigma, \Gamma, z_0, \delta, q_0, F)$

$z_0 \in \Gamma \quad F \subseteq Q$

$\hookrightarrow$  can be skipped

DPDA :  $\delta: Q \times (\Sigma \cup \{\epsilon\}) \times \Gamma \rightarrow Q \times \Gamma^*$

in case of replacement by empty stack.

NPDA :  $\delta: Q \times (\Sigma \cup \{\epsilon\}) \times \Gamma \rightarrow 2^{(Q \times \Gamma^*)}$

$z_0$  = topmost stack elec (start symbol)

$\Gamma$  = set of stack symbols

TM :  $(Q, \Sigma, \Gamma, \delta, q_0, B, F)$

$\Sigma$  = i/p alphabet .  $\Gamma$  = Tape symbols ( $\Sigma \subseteq \Gamma$ )

$F$  = Final states ( $F \subseteq Q$ )

$B$  = Blank symbol of the Tape

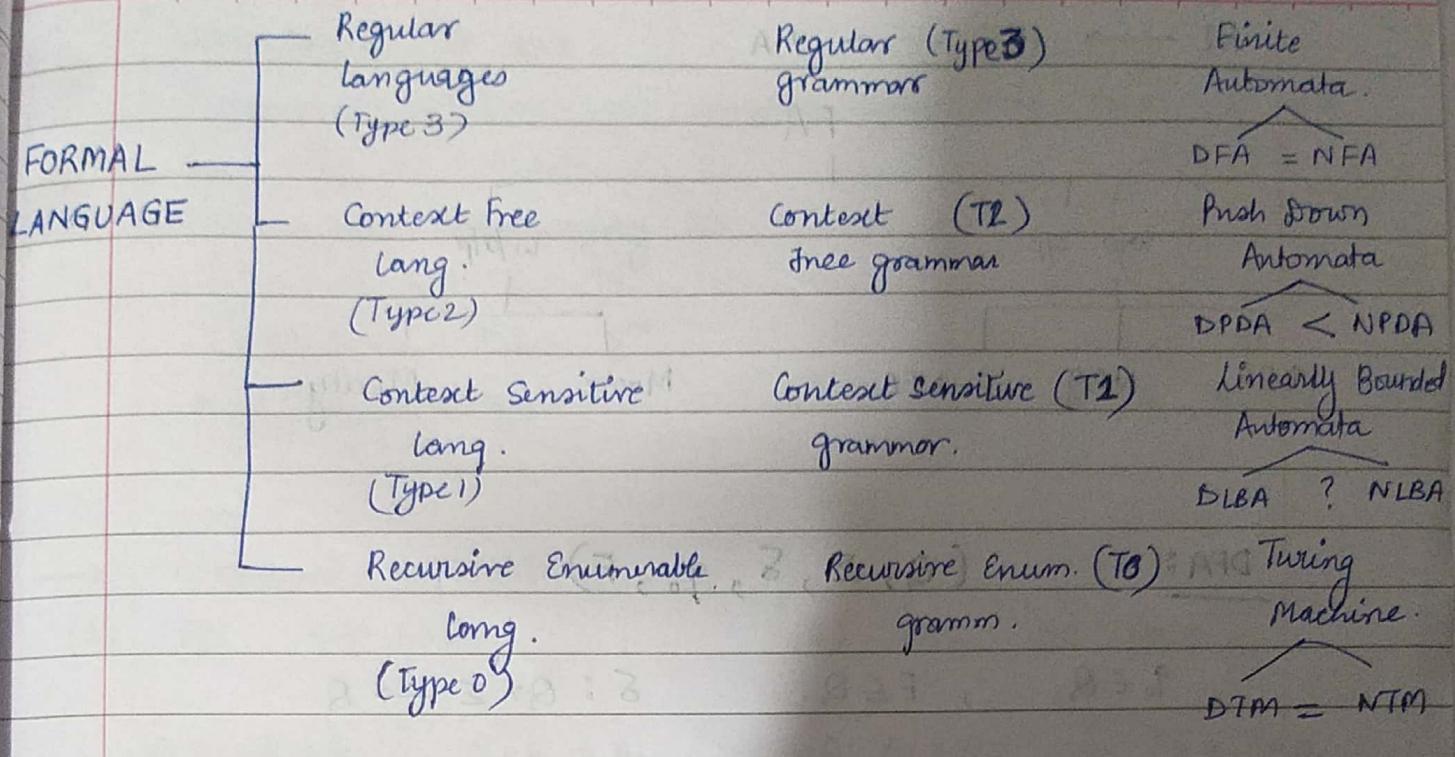
It is in  $\Gamma$ , but not in  $\Sigma$ .

The blank appears initially in all but finite no. of initial cells that hold i/p symbols.

$\delta: Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$

DTM

NTM .



→ A string  $|w| = n$

# substrings =  $\sum n + 1$

# prefixes =  $n + 1$

# non trivial substrings =  $\sum n - 1$

# suffixes =  $n + 1$

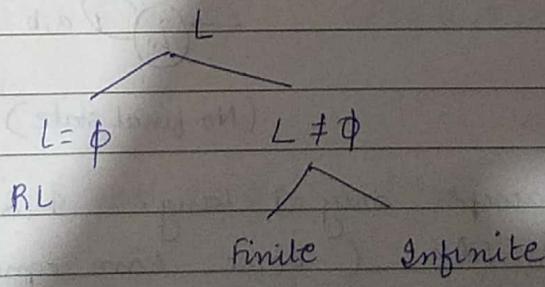
→  $\Sigma^*$  - KLEEN CLOSURE

$\Sigma^+ -$  POSITIVE CLOSURE

$\Sigma^+ = \Sigma^* - \epsilon$        $\Sigma^k = \{ w \mid |w| = k \}$

$\Sigma^*$  = Universal language.

→

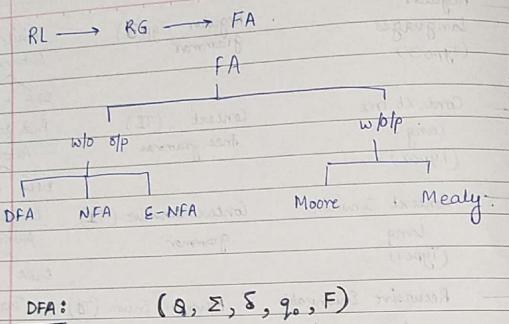


All formal languages are countable.

## Closure Properties of Languages

Property	Regular	CFL	DCFL	CSL	Recursive	RE
Union	Yes	Yes	No	Yes	Yes	Yes
Intersection	Yes	No	No	Yes	Yes	Yes
Set Difference	Yes	No	No	Yes	Yes	No
Complementation	Yes	No	Yes	Yes	Yes	No
Intersection with a regular lang.	Yes	Yes	Yes	Yes	Yes	Yes
Concatenation	Yes	Yes	No	Yes	Yes	Yes
Kleen Closure	Yes	Yes	No	Yes	Yes	Yes
Kleen Plus	Yes	Yes	No	Yes	Yes	Yes
Reversal	Yes	Yes	No	Yes	Yes	Yes
Homomorphism	Yes	Yes	No	No	No	Yes
$\epsilon$ -free Homomorphism	Yes	Yes	No	Yes	Yes	Yes
Inverse Homomorphism	Yes	Yes	Yes	Yes	Yes	Yes
Substitution	Yes	Yes	No	No	No	Yes
$\epsilon$ -free Substitution	Yes	Yes	No	Yes	Yes	Yes

3            3            2            2            2



$$q_0 \in Q, F \subseteq Q, \delta: Q \times \Sigma \rightarrow Q$$

An FA is said to accept a lang. if it accepts all the strings in the lang. & rejects all other strings that don't belong to the language.

Minimal DFA

- (i) Accepts  $\epsilon$
  - (ii) Accepts  $b = \emptyset$  (empty lang.)
  - (iii) Accepts  $L = \Sigma^*$  (Universal lang.)
- $\rightarrow \textcircled{1}$        $\rightarrow \textcircled{1} \textcircled{2} a, b$        $\rightarrow \textcircled{1} \textcircled{2} a, b$
- (No final state)

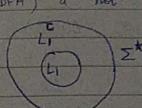
Every FA accepts only 1 lang. 1 lang. can be accepted by many FAs (some have equal states or are not minimal). 1 lang. has only 1 minimal DFA.



After you draw any DFA, have a quick look to check if any minimization is possible.

#### COMPLEMENT OF DFA:

- Complementation exists for complete system only (DFA) & not for NFA or ε-NFA.
- Obtained after interchanging the F & NF states.
- $L(FA) \cap L(FA^c) = \emptyset$
- $L(FA) \cup L(FA^c) = \Sigma^*$
- $M \rightarrow (Q, \Sigma, \delta, q_0, F)$        $M^c \rightarrow (Q, \Sigma, \delta, q_0, \bar{F})$



#### COMPOUND AUTOMATA :

(1) FA1 AND FA2

$$Q: Q_1 \times Q_2 = \{q_1 q_2, q_1 q_3, q_2 q_1, q_2 q_3, q_3 q_1, q_3 q_2\}$$

(2) FA1 OR FA2

$$Q: Q_1 \times Q_2 = \{q_1 q_2, q_1 q_3, q_2 q_3\}$$

$$F: \Delta \text{ of final states} = \{q_1 q_3\}$$

$$F: \cup \text{ of final states} = \{q_1 q_2, q_1 q_3, q_2 q_3\}$$

δ:

$$\delta(q_1 q_2, a) = \delta(q_1, a) \cup \delta(q_2, a) = \{q_1 q_2\}$$

δ:

$$\delta(q_1 q_2, a) = \delta(q_1, a) \cup \delta(q_2, a) = q_1 q_2$$

Eg: (i) Even no. of a's & odd no. of b's. Eg: (i) Even no. of a's OR even no. of b's.

(ii) #a's divisible by 3 & #b's divisible by 4.

(ii) #a's divisible by 2 OR #b's divisible by 3.

The transition functions for both AND & OR are same. After construction we need to perform minimization, which might lead Qnew to be different in AND & OR. Final states in both differ. Dead states need to be taken care of while performing  $Q_1 \times Q_2$ .

CROSS PRODUCT = AND  
UNION = OR.

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Other operations are :

(3) CONCAT :

$$L_1 = aX = \{a, aa, ab, aaa, \dots\} \quad X = (a+b)^*$$

$$L_2 = Xb = \{b, ab, bb, aab, abb, \dots\}$$

$L = L_1 \cdot L_2 =$  start with 'a' & end with 'b'.

In above, Final states of DFA1 merged with Init states of DFA2 & transitions taken care of accordingly.

(4) COMPLEMENTATION :

$$L_1 = \{w \mid w \text{ contains } a\} \quad L_1^C = w \text{ doesn't contain } a$$

Complementation of DFA  $\rightarrow$  Interchange F & NF  
Make final as non-final & vice-versa.

States.

(5) REVERSAL : Reverse all strings  $\in L_1$ .

$$L_1 = w \text{ starts with } 'a' = \{a, aa, ab, aaa, \dots\}$$

$$L_1^R = \{a, aq, ba, aaq, aba, baa, bba, \dots\}$$

= end with 'a'.

DFA-reversal : ( Works for both DFA & NFA ).  
includes  $\epsilon$ -NFA

1. Interchange q. & final states.

2. Reverse all transitions (i.e. direction of edges).

3. Minimize if reqd. The resultant might also turn out to be an NFA.

In resultant, if more than one initial state occurs, then convert the same s/m to have only one initial state.  
Join all initial states in resultant using  $\epsilon$ -move from one initial state.

$\rightarrow NFA^C \neq$  Long complement of long. accepted by NFA.

## MYPHIL - NERODE THEOREM :

Let  $L$  be a regular language, then it contains finite number of equivalence classes. Union of all Myhill-Nerode equivalence classes gives universal language. All the strings of universal language partitioned into a finite number of Myhill-Nerode equivalence classes, if  $L$  is regular.

The number of Myhill-Nerode equivalence classes in a regular language = Number of states in the unique minimal DFA corresponding to that language.

→ RE/FA satisfy the closure property wrt following operators:

1. Kleen closure  $(L_1 \rightarrow RE_1 \Rightarrow (RE_1)^*)$
2. Positive closure
3. Concatenation  $(RE_1, RE_2 \Rightarrow RE_1 \cdot RE_2)$
4. Complementation  $(L = \Sigma^* - L, DFA^c \text{ can be constructed.})$
5. Reverse  $(L^R, DFAR^R \text{ can be constructed})$
6. Prefix operator (Irut) & Suffix
7. Union (finite)  $(R_1 + R_2)$
8. Intersection (finite)  $(\overline{L_1 \cup L_2} = L_1 \cap L_2)$
9. Difference  $(L_1 - L_2 \text{ or } L_2 - L_1)$   
 $L_1 - L_2 = L_1 \cap \overline{L_2}$  if  $L_1, L_2$  are R.L., then  
 $L_1 - L_2$  is also R.L.
10. Symmetric Difference  $(L_1 \Delta L_2)$   
 $L_1 \Delta L_2 = (L_1 - L_2) \cup (L_2 - L_1)$   
 $= (L_1 \cup L_2) - (L_1 \cap L_2)$
11. Quotient  $(L_1 / L_2) \text{ or } (L_1 \setminus L_2)$  [R.Q. or L.Q.]  
 $wx / x = w$        $x \setminus wx = w$ .
12. Substitution
13.  $\frac{1}{2}L, \frac{1}{4}L, \frac{1}{8}L, \frac{1}{16}L$  etc.  $L \cap \overline{L_2}$
- HALF(L) = { $w \in \Sigma^* \mid wx \in L \wedge |w| = |x|\}$ .
14. Min (L)
15. Max (L)
16. Cycle (L)
17. Homomorphism
18. Inverse homomorphism.
19. Isomorphism.
20. COR (Complement of OR)

21. Finite subset of RL/NRL is always RL.

22. Finite union/intersection of RL is always RL.

Infinite union, inf. intersection, subset, superset need not be regular always (sometimes can be).

NOT CLOSED : atleast 1 example for which not closed).

23.  $L/a$        $a \setminus L$   
↓                  ↗  
Quotient of  $L$  &  $a$       Derivative  $= \frac{dL}{da}$ .

$L/a = \{w \mid wa \in L\}$        $a \setminus L = \{w \mid aw \in L\}$

Closed.

Closed.

24.  $w = a_1 a_2 \dots a_n$        $x = b_1 b_2 \dots b_n$        $|w| = |x|$   
 $\text{alt}(w, x) = a_1 b_1 a_2 b_2 \dots a_n b_n$  // Shuffle

$\text{alt}(L, M) = \{ \text{alt}(w, x) \mid w \in L, x \in M \text{ & } |w| = |x|\}$

25. even ( $w$ ) = string consists of letters of  $w$  in even positions

even ( $L$ ) =  $\{ \text{even}(w) \mid w \in L \}$  (L is RL)

26.  $\text{sqrt}(L) = \{ x \mid \exists y \quad |y| = |x|^2 \text{ & } xy \in L \}$

27.  $\log(L) = \{ x \mid \exists y \quad |y| = 2^{|x|} \text{ & } xy \in L \}$

28. Subsequence ( $L$ )

=  $\{ w \mid w \text{ obtained by removing symbols from anywhere in } w \text{ & } w \in L \}$ .

### DECIDABLE PROBLEMS

	FA / Regular Grammar		PDA / CFG		
Emptiness	Yes	Remove inaccessible states and check for presence of final states.	Yes	If the reduced CFG (eliminate useless productions) contains at least 1 valid production, then the CFG generates Non empty lang; otherwise Empty language. O(Size of Grammar)	
Non Emptiness	Yes		Yes		
Finiteness	Yes	1. Remove inaccessible and dead states. 2. If no cycle or infinite loops present, then finite lang, otherwise infinite lang.	Yes	1. Convert grammar to <b>CNF</b> . 2. Draw variable dependency graph. 3. If the variable dependency graph contains loops or cycles, then the grammar generates infinite language, otherwise finite.  In VDG, define rank of each variable (node). If there exists a node A, such that Rank(A) = infinite, then infinite language. Rank(A) = length of longest path from A to last node.	
Infiniteness	Yes		Yes		
Equivalence (Language accepted by two machines is same.) $L(M1)=L(M2)$	Yes	1. Start construction of a new transition table with $(x, y)$ where $(x, y)$ are initial states of 2 machines, and the $\Sigma$ . 2. Continue construction for any new pair entry in column. Terminate if any $(F, NF)$ or $(NF, F)$ entry comes, and it means unequal machines. Otherwise, if all pairs are $(F, F)$ or $(NF, NF)$ then they are equal.	No		
Membership	Yes	Construct FA, and pass string through it. If it starts	Yes	CYK Algo. Grammar needs to be in	

		from q0 and reaches a final state at the end of string parsing, implies member of L.	CNF. $O(n^3)$ , n=length of w	
Subset	Yes	$(L_1 \subseteq L_2) = (L_1 \cap L_2^C = \emptyset)$ can be checked		
Ambiguity		No		
Regularity		No		
Non Regularity		No		

A DPDA which accepts by **empty stack** cannot accept all Regular Languages? TRUE  
All Regular Languages doesn't satisfy prefix property. TRUE

- If a language is DCFL and accepted by a DPDA with empty stack it must have the prefix property. This is because to accept a string stack must become empty. Now, when the stack becomes empty it must accept the string and due to determinism, it cannot have another move possible. So, no more characters from the input can be accepted. This is the reason why 'a' is TRUE. But the same property is not relevant for DPDA which accepts by final state.

#### Non-Trivial Property

A property which is satisfied by all elements of a set or NO element of a set is called a TRIVIAL property. Any other property is called non-trivial. If P is a non-trivial property of a set S, then some elements of S will satisfy the property and some will violate the property. In First Order logic we can write this as:

$$\exists x P(x) \wedge \exists y \neg P(y)$$

Now, we will see some property of RE set. Each element of this set is a recursively enumerable language. So, what can be some properties?

- Is "0100" an element of L?
- Are there more than 100 elements in L?

- Is there a TM, M such that L is accepted by it?
  - Is there no TM, M such that L is not accepted by it?
- Properties 3 and 4 are trivial as 3 is true for any recursively enumerable language and 4 is FALSE for any recursively enumerable language.

#### Rice's Theorem Part 1

Any non-trivial property of Recursively Enumerable set is UNDECIDABLE.

i.e., The following problems are undecidable:

- If a given recursively enumerable language has a particular string
- If a given recursively enumerable language is finite/regular/context-free
- If number of strings in the given recursively enumerable language is 10 (or any other number)

→ Verify which of the following languages are regular.

1.  $L = \{ w \mid |w| = \text{even} \}$  RL
2.  $L = \{ w \in \Sigma^* \mid |w| \geq 10 \}$  RL
3.  $L = \{ w \in (a+b)^* \mid |w|_a = |w|_b \}$  [same for  $\leq, \geq$ ] NRL
4.  $L = \{ a^m b^n \mid 1 \leq m, n \leq 2 \}$  RL
5.  $L = \{ a^m b^n \mid m, n \geq 0 \}$  RL
6.  $L = \{ a^n b^n \mid 1 \leq n \leq 2^p, p \text{ is the largest 3 digit prime} \}$  RL
7.  $L = \{ a, ab \}$  RL
8.  $L = \{ w \in (a+b)^* \mid |w| = 10 \}$  RL
9.  $L = \{ w \in (a+b)^* \mid |w|_b \equiv r \pmod{n} \}$  RL
10.  $L = \{ w \in (a+b)^* \mid |w| \equiv r \pmod{n} \}$  RL
11.  $L = \{ a^m b^n \mid m \geq 0, n \geq 0 \}$  RL
12.  $L = \{ a^m b^n \mid m \geq 0, n > 2018 \}$  RL
13.  $L = \{ a^m b^n \mid m$  is even number to make  $m+n$  finite  $\}$  RL
14.  $L = \{ a^m b^n \mid m \times n = \text{finite} \}$  RL
15.  $L = \{ a^m b^n \mid m = n \text{ s.t. } 1 \leq m \leq 2^{2010} \}$  RL
16.  $L = \{ a^m b^n \mid m = n ; m, n \geq 10 \}$  NRL
17.  $L = \{ a^m b^n \mid m = 2n ; m, n \geq 1 \}$  NRL
18.  $L = \{ a^m b^n \mid m = n^2 ; m, n \geq 1 \}$  NRL
19.  $L = \{ a^m b^n \mid m > n, m \geq 1, n \geq 0 \}$  NRL
20.  $L = \{ a^m b^n \mid m \neq n, m, n \geq 0 \}$  NRL
21.  $L = \{ a^m b^n \mid m \text{ divides } n \}$  NRL
22.  $L = \{ a^m b^n \mid m = n^p ; p \geq 1 \}$  NRL
23.  $L = \{ a^m b^n \mid \text{GCD}(m, n) = 1 \}$  NRL
24.  $L = \{ a^m b^n \mid 1 \leq n \leq 2^{37H} \text{ (prime)} \}$  NRL
25.  $L = \{ a^m b^n \mid m+n = \text{even} \}$  RL
26.  $L = \{ a^m b^n \mid m+n = \text{odd} \}$  RL
27.  $L = \{ a^m b^n c^p \mid m = n = p \}$  RL
28.  $L = \{ a^m b^n c^p \mid m+n = p \}$  NRL
29.  $L = \{ w \in \Sigma^* \mid w \in (a+b)^* \}$  DCFL
30.  $L = \{ w \in \Sigma^* \mid w \in (a+b)^* \}$  NRL

String  
char  
STR

String  
char  
STR

String reverse

Reduced to same first & last symbol

$$L = \{ w c w^R \mid w, c \in (a+b)^+ \}$$

RL

$$L = \{ w c w^R \mid w, c \in (a+b)^* \}$$

RL

$$L = \{ c w w^R \mid w \in \Sigma^* \}$$

NRL

$$L = \{ w w^R c \mid w \in \Sigma^* \}$$

NRL

$$L = \{ w w^R \mid w \in \{a,b\}^* \} \quad (\text{Same for } w \in \Sigma^*)$$

NPDA / NRL

$$L = \{ w w \mid w \in \Sigma^* \} \quad \text{CSL} \quad (\text{also for } w \in \Sigma^*) / \text{NRL}$$

$$L = \{ w \in \Sigma^* \mid |w|_a = |w|_b \}$$

NRL

$$L = \{ w c w^R \mid w \in (a+b)^+ \}$$

NRL

$$L = \{ w \mid |w|_a \bmod 3 \leq |w|_b \bmod 3 \} \quad (0,1,2) \leq (0,1,2)$$

RL

$$L = \{ a^n^2 \mid n \geq 1 \}$$

NRL

$$L = \{ a^{2n} \mid n \geq 1 \}$$

NRL

$$L = \{ a^i b^{2j} \mid i, j \geq 1 \} \quad [\text{Also cor 4 is}]$$

RL

$$L = \{ a^i b^{j^2} \mid i, j \geq 1 \}$$

NRL

$$L = \{ a^i b^{2^n} \mid i, n \geq 1 \}$$

NRL

$$L = \{ w w w^R \mid w \in \{a,b\}^* \}$$

NRL

$$L = \{ w x w^R \mid x, w \in \{0,1\}^* \text{ & } |x| = 5 \}$$

NRL

$$L = \{ x w w^R y \mid x, y, w \in \{0,1\}^* \}$$

RL

$L = \Sigma^*$  (for any string w can be taken as  $\epsilon$ )

$$L = \{ x w w^R y \mid x, y \in \{0,1\}^*, w \in \{0,1\}^+ \}$$

RL

$$L = (0+1)^* 00(0+1)^* + (0+1)^* 11(0+1)^*$$

$$L = \{ c w w^R \mid c, w \in \{0,1\}^+ \}$$

NRL

\*  $001001 \in L$  but ~~(0+1)(00+1)(0+1)~~ can't be represented

In (47)  $x \& y$  cover up for all except 1 char of w. However in (48)

we can't say that all  $w w^R$  will have last 2 chars same (i.e. 00 or 11).

$$L = \{ w w^R c \mid w, c \in \{0,1\}^+ \}$$

NRL

Same reason as (48). Ideally  $1011010 \in L$ , but if we

try to reduce L as  $00(0+1)^* + 11(0+1)^*$ , then above str  $\notin L$ .

$L = \{ w c w \mid w, c \in \{a,b\}^* \}$  CSL [Once w is stored in a stack, it can be read back only in reverse order.]

$$L = \{ w x w \mid w, x \in (a,b)^+ \}$$

CSL

101011010  $\in L$  & can't be covered by  $1(0+1)^* 1 + 0(0+1)^* 0$

$$L = \{ w x w y \mid w, x, y \in (a+b)^+ \}$$

RL

$$RE = a(a+b)^+ a(a+b)^+ + b(a+b)^+ b(a+b)^+$$

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RL / NNL  
LIST

(53)  $L = \{ xwyw \mid w, x, y \in (a+b)^+ \} \quad RL$

$$RE = (a+b)^+ a(a+b)^+ a + (a+b)^+ b (a+b)^+ b$$

(54)  $L = \{ wxyw \mid w, x, y \in (a+b)^+ \} \quad CSL$

can't be restricted to same first & last char.

(55)  $L = \{ xww \mid w, x \in (a+b)^* \} \quad RL$

for  $w = \epsilon \quad L = \Sigma^*$  means everything already contained in  $L$ .

(56)  $L = \{ xww \mid w, x \in (a+b)^+ \} \quad CSL$

$w$  can be read in reverse only from 1 stack.

(57)  $L = \{ xwWR \mid w, x \in (a+b)^+ \} \quad NPDA$   
 $CFL$

## REGULAR EXPRESSIONS

- > The simplest way of representing a regular language is known as -
- > An expression of strings which are constructed using the operations  $(\ast, \cdot, +)$  is known as
- KLEEN CLOSURE**      **CONCAT**      **POSITIVE CLOSURE OR UNION**

Eg :  $r = a^\ast$ ,  $r = a^+$ ,  $r = a \cdot b$ . ( $a \cdot b \neq b \cdot a$ )

### Types of RE :

#### 1. RESTRICTED REG. EXPR.

Use only  $(\ast, \cdot, +)$   
↳ Default.

#### 2. SEMI RESTRICTED REG. EXPR.

Use only  $(\ast, \cdot, +, \cap)$

#### 3. UNRESTRICTED REG. EXPR.

Use ~~or~~  $(\ast, \cdot, +, \cap, \sim)$   
↳ Negation.

- Algebraic expression represent the numerical value.

$$\text{Eg: } 0(1+0) = 0$$

- Regular expression represent the language.

$$\text{Eg: } 0(1+0) \Rightarrow \{01, 00\}$$

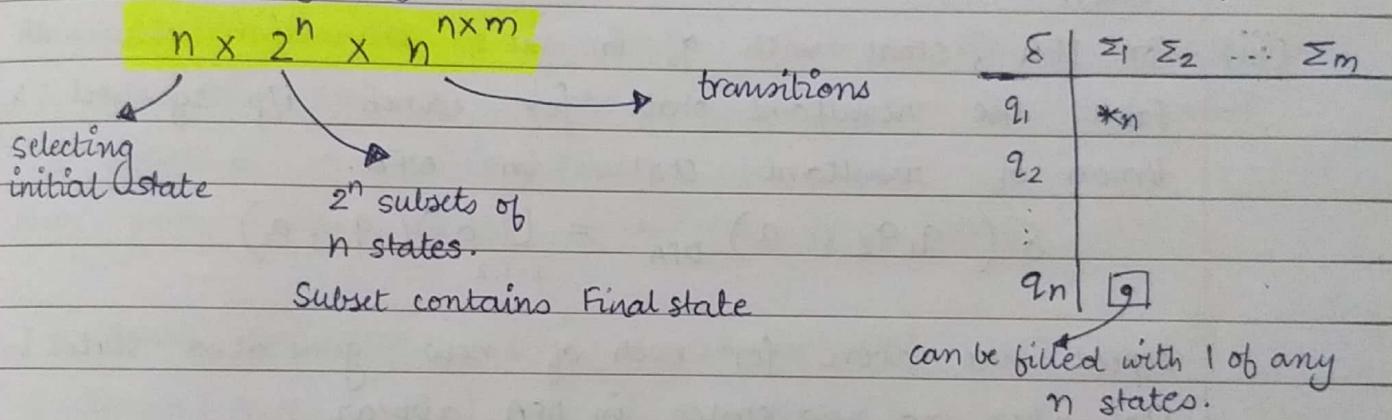
→  $n^n$  state DFA's that can be constructed with a designated initial state over the alphabet  $\Sigma$  containing 'm' symbols

$$2^n \times n^{n \times m}$$

If initial state not designated, then #DFA =  $n \cdot 2^n \cdot n^{n \times m}$

2-state DFAs with designated initial state over  $\Sigma = \{a, b\}$  that

- (i) accept empty language =  $16 + 4 = 20$
- (ii) accept universal language =  $16 + 4 = 20$
- (iii) accept non empty language = Total - 20 =  $2^4 \times 2^2 - 20 = 44$



→ NFA :  $(Q, \Sigma, \delta, q_0, F)$

$$q_0 \in Q, F \subseteq Q \quad \delta: Q \times \Sigma \rightarrow 2^Q \quad (P(Q))$$

FA has 0/1/more transitions for i/p symbol from any state - .

Accepting power :  $NFA = DFA$ .  
(# langs. accepted)

DFA more efficient than NFA. (In terms of response).

NFA more powerful than DFA. (In terms of construction).

NFA is an incomplete system as it responds to valid strings only.

No concept of dead state  $\phi$ .

(ENFA is also an NFA).

### Equivalence b/w NFA & DFA:

$$\begin{array}{ll} \text{DFA} & m \text{ states} \\ \text{NFA} & n \text{ states} \end{array} \quad M = (\mathcal{Q}, \Sigma, \delta, q_0, F)$$

$$N = (\mathcal{Q}^*, \Sigma, \delta^*, q_0^*, F^*)$$

$$1 \leq m \leq 2^n$$

(NFA)

States.  
n-state NFA when converted to DFA  
can give  $2^n$  new states at max.

#### NFA $\rightarrow$ DFA conversion :

$$q_0 = q_0^*$$

(ii) Construct state transition table for ease & less chances of error.

(iii) For DFA, start with  $q_0$  in its transition table with  $\Sigma$ . Find the resultant state for each i/p symbol by Union of resultant states in NFA.

$$\delta(q_1, q_2, a)_{\text{DFA}} = \bigcup_{i=1,2} \delta^*(q_i, a)$$

Repeat the above for each of new generated states in DFA. Stop when no new states in DFA appear.

(iv)  $F = \text{all states in } F^* \text{ that contain any of the final states of NFA } (F^*)$ .

(v) Check for minimization if reqd.

(vi) When transition is missing for an i/p symbol in NFA, make that go to a DFAs dead state ( $\phi$ ).

$\delta^*$	a	b	$\delta$	a	b
$\rightarrow q_0$	$q_0, q_1$	$q_0$	$\rightarrow q_0$	$q_0, q_1$	$q_0$
$q_1$	$q_2, q_0$	$q_1$	$\Rightarrow q_1$	$q_0, q_1$	$q_0, q_2$
$q_2$	$q_1, q_2$	$q_2$	$(q_1, q_2)$	$q_0, q_1, q_2$	$q_1, q_2$

NFA

DFA

NOTE: Using transition table is more errorfree than DFA drawing.  
Also, T. Table is easier to minimize.

QUESTION

Explain the equivalence between NFA and DFA.

ANSWER

The equivalence between NFA and DFA can be explained as follows:

Given an NFA  $M = (\mathcal{Q}, \Sigma, \delta, q_0, F)$ , we can construct a DFA  $M' = (\mathcal{Q}', \Sigma, \delta', q_0', F')$  where:

$\mathcal{Q}'$  is the set of states of the DFA, which are the sets of states of the NFA that have the same initial configuration under some input symbol.

$\delta'$  is the transition function of the DFA, which maps a state in  $\mathcal{Q}'$  to another state in  $\mathcal{ Q}'$  based on the transitions in the NFA.

$q_0'$  is the initial state of the DFA, which is the set of states of the NFA that have the same initial configuration under the empty string.

$F'$  is the set of final states of the DFA, which are the sets of states of the NFA that have the same final configuration under some input symbol.

### NFA Complement :

Does not exist.

$NFA^C \neq$  complement of lang. accepted by NFA.

### $\epsilon$ -NFA

$$(\mathcal{Q}, \Sigma, \delta, q_0, F)$$

$q_0 \in \mathcal{Q}$

$$F \subseteq \mathcal{Q}$$

$$\delta: \mathcal{Q} \times \{\Sigma \cup \{\epsilon\}\} \rightarrow 2^\mathcal{Q}$$

Every NFA is an  $\epsilon$ -NFA.

Inclusion / Exclusion of  $\epsilon$ -transition to NFA, doesn't affect lang. of NFA.  
 $\epsilon$ -NFA provides programming convenience.

$\epsilon$ -Closure ( $Q$ ): Set of all states which are @ 0 distance from  $Q$ . OR set of reachable state from  $Q$  alongwith  $\epsilon$  labelled transition paths.

Also, every state is at 0 distance from itself.

$\epsilon$ -closure properties: (i)  $\epsilon$ -closure ( $\emptyset$ ) =  $\emptyset$

$$(ii) \epsilon\text{-closure}(q, q_1, \dots, q_n) = \bigcup_{i>0} \epsilon\text{-closure}(q_i)$$

(iii)  $Q = \text{total states}$   $q \in Q$ ,  $\epsilon\text{-closure}(q)$  is an non empty finite subset of  $Q$ .

$\epsilon\text{-closure}(q)$  is a finite set  $q$  is hence closed.

### $\epsilon$ -NFA $\rightarrow$ NFA conversion :

# states remain same.

$q_0$  stays same.

$$|Q^*| = |Q|$$

Final state change.

$$(Q, \Sigma, \delta, q_0, F) \xrightarrow{\epsilon \text{ NFA}} (Q^*, \Sigma, \delta^*, q_0^*, F^*)$$

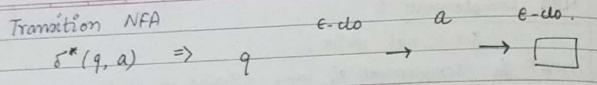
(i) Draw the transition table (Blank) for NFA containing all states of  $\epsilon$ -NFA &  $\Sigma$ .

(ii) Calculate  $\epsilon$ -closure of all states.

(iii) Fill NFA TT using :-

$$\delta^*(q, a) = \epsilon\text{-clo}(\delta\text{-clo}(q), a) \Rightarrow q \rightarrow \square \rightarrow \square \rightarrow \square$$

$\epsilon\text{-clo}$  a  $\epsilon\text{-clo}$



- (iv)  $F^*$ : Every state in  $\epsilon$ -NFA whose  $\epsilon$ -closure contains the final state of  $\epsilon$ -NFA is a final state in DFA.

$\epsilon$  NFA  $\rightarrow$  DFA conversion:

$$M = (Q, \Sigma, \delta, q_0, F) \text{ ENFA} \quad N = (Q^*, \Sigma, \delta^*, q_0^*, F) \text{ DFA}$$

- (i) Start with an empty T.T. for DFA, with  $q_0^* \notin \Sigma$ .  
(ii) Initial state  $q_0^* = \epsilon\text{-closure}(q_0)$   
(iii) For every new state  $q$  that appears in T.T. of DFA  
 $\delta^*(q, x) = \epsilon\text{-closure}(\delta(q, x))$   
Repeat till no new state appears.  
(iv)  $F^*$ : Every state in DFA, which contains as its subset a final state of  $\epsilon$ -NFA, belongs to  $F^*$  in DFA.  
(v)  $\emptyset$  can also be present as a resulting state.  
Minimize DFA if reqd.

- EQUAL / NON DISTINGUISHABLE STATES: Two states  $P$  &  $Q$  are said to be equal if both  $\delta(P, x)$  &  $\delta(Q, x)$  go to either F or NF for any string  $x$ ,  $\forall x \in \Sigma^*$ .
- Equality exists b/w pair of F or NF states.
  - Two final (or) nonfinal states need not be equal.

Two states  $X$  &  $Y$  are UNEQUAL / DISTINGUISHABLE if there is atleast one string  $s$ , such that one of  $\delta(X, s)$  &  $\delta(Y, s)$  is accepting & the other isn't.

### DFA MINIMIZATION

Two states  $X$  &  $Y$  in a DFA, can be combined into one state  $X\bar{Y}$  if they are non-distinguishable.  
A DFA is minimal iff all states are distinguishable.

#### (i) State Equivalence Method.

i) Delete all states that are unreachable from  $q_0$ .

ii) Draw the transition table.

iii) Find the 0-equi, 1-equi, ... states sets.  
till we find two equivalent states  $X_i$  &  $X_{i+1}$  to be exactly same.

0-equivalent set : Partition of F & NF states.

To check if 2 states  $X$  &  $Y$  in a partition belong to the same group in  $X_i$  equivalent set,  
 $\delta(P, s)$  &  $\delta(Q, s)$  should belong to the same group in  $X_{i+1}$  equivalent set.

For each partition in  $P_k$ , divide the states in  $P_k$  into two diff partitions if they are k-distinguishable. Two states within this partition  $X$  &  $Y$  are k-distinguishable if there is an  $\forall p \in P_k$  s.t.  $\delta(X, s) \neq \delta(Y, s)$  are  $(k-1)$  distinguishable.  
Repeat till  $P_k \neq P_{k-1}$

After minimization, check if any state unreachable from  $q_0$  is present & eliminate.

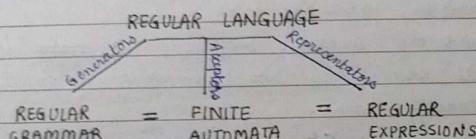
**DECIDABLE PROBLEMS:** Problems for which there exists an algorithm to solve it.

- ISOMORPHIC MACHINES:** Two FSMs  $M_1$  &  $M_2$  are isomorphic to each other iff one can be obtained from the other by replacing the states. i.e. iff they:
- accept the same lang.
  - contain same no. of states
  - have same properties (transitions).

Isomorphic machines are equal, but equal machines need not always be isomorphic.

Equal Machines:  
 $L(M_1) = L(M_2)$

Equal machines need not contain same no. of states but still accept same lang.



#### → REGULAR EXPRESSIONS

An expression of strings with regular operators ( $\cdot, *, +$ ) is —  
 Generates regular language (i.e. one RE generates only one Reg. L.)  
 $* \geq \cdot \geq +$  PRECEDENCE

Semi-restricted R.E. use operators ( $*, \cdot, +, \cap$ )

Unrestricted R.E. use operators ( $*, \cdot, +, \cap, \sim$ ) ↑ negation

R.E.	L
$\phi$	$L = \{\}$ empty language
$\epsilon$	$L = \{\epsilon\}$
$w_1 \cdot w_2$	$L = \{w_1, w_2\}$
$\alpha$	$L = \{\alpha\}$

1 Reg. lang. can be generated from more than one RE.

NOTE:  $r_1 = 0^*$   $r_2 = 0 + 0^*$   $r_1 \neq r_2$  but  $L(r_1) = L(r_2)$ .

>If  $r_1, r_2$  are two REs, then  $r_1^*, r_1^+, r_1 \cdot r_2, r_1 + r_2$  are also REs.  
 $L(r_1^*) = L(r_1)^*$   
 $L(r_1 \cdot r_2) = L(r_1) \cdot L(r_2)$

#### Properties:

- $\phi + R = R + \phi = R$
- $\phi \cdot R = R \cdot \phi = \phi$
- $\phi^+ = \phi$
- $\phi^* = \{\epsilon\}$
- $r^* \cdot r^* = r^* = (r^*)^*$
- $r^* \cdot r^+ = r^+ = (r^*)^+$
- $r^+ \cdot r^* = r^* = (r^*)^*$
- $r^+ \cdot r^+ = r^+ = (r^*)^+$
- $r^+ \cdot r^+ = r^+ - r$
- If  $r_1$  &  $r_2$  are two RE, then
  - (i)  $(r_1 + r_2)^* = (r_1^* + r_2^*)^*$   $r_1^* (r_2 r_1^*)^*$   
 $(r_1^* + r_2^*)^*$   $r_2^* (r_1 r_2^*)^*$   
 $(r_1 + r_2^*)^*$   $(r_1^* r_2)^* r_1^*$   
 $(r_1^* r_2^*)^*$
  - (ii)  $(r_1 r_2)^* r_1 = r_1 (r_2 r_1)^*$  Shifting rule.
  - (iii)  $r^+, r^*$  represent infinite language except for  $\phi$  &  $\epsilon$ .

#### → Algebraic properties of RE:

##### Closure

- RE satisfy C.P. wrt ( $\cdot, +, *$ ). If  $r_1, r_2$  are two RE, then
- $r_1 \cdot r_2$
  - $r_1 + r_2$
  - $r_1^*, r_2^*$
- are all RE

##### Associativity

- RE satisfy associativity wrt ( $+, \cdot$ )
- $$x + (y + z) = (x + y) + z$$
- $$x \cdot (y \cdot z) = (x \cdot y) \cdot z$$

$$3. \text{ Annihilator } R\phi = \phi R = \phi$$

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Date:

#### 3. Commutative

REs satisfy commutativity wrt  $(+)$  but generally not with  $(\cdot)$

$$\begin{aligned} \textcircled{1} \quad \gamma_1 + \gamma_2 &= \gamma_2 + \gamma_1 \\ \textcircled{2} \quad \gamma_1 \cdot \gamma_2 &\neq \gamma_2 \cdot \gamma_1 \end{aligned}$$

#### 4. Distributive

REs satisfy dist. wrt  $((+, \cdot))$  [ie. concatenation then union but not (union then concat)].

$$\begin{aligned} \textcircled{1} \quad \gamma_1(\gamma_2 + \gamma_3) &= \gamma_1\gamma_2 + \gamma_1\gamma_3 \\ (\gamma_2 + \gamma_3)\gamma_1 &= \gamma_2\gamma_1 + \gamma_3\gamma_1 \\ \textcircled{2} \quad \gamma_1 \cdot (\gamma_2 + \gamma_3) &\neq \gamma_1\gamma_2 + \gamma_1\gamma_3 \\ \gamma_1 \cdot \gamma_2 + \gamma_1 \cdot \gamma_3 &\neq \gamma_1\gamma_2 + \gamma_1\gamma_3 \\ \gamma_1 + \gamma_2 \cdot \gamma_3 &\neq \gamma_1\gamma_2 + \gamma_2\gamma_3. \end{aligned}$$

#### 5. Identity

$$\begin{aligned} + \rightarrow e &= \phi & \gamma + \phi &= \gamma = \phi + \gamma \\ \cdot \rightarrow e &= e. & \gamma \cdot e &= e \cdot \gamma = \gamma. \end{aligned}$$

#### 6. Idempotent

REs satisfy idempotent wrt union but not concat.

$$\textcircled{1} \quad \gamma + \gamma = \gamma \quad \textcircled{2} \quad \gamma \cdot \gamma \neq \gamma.$$

→ Algebraic laws for languages:

For any languages  $L, M, N$ ; wrt  $U, \cap, ^*$

#### 7. Associativity

$$L \cup (M \cup N) = (L \cup M) \cup N$$

$$L \cap (M \cap N) = (L \cap M) \cap N$$

$$L^*(M \cap N) = (L^*M) \cap N$$

#### 8. Commutative

$$L \cup M = M \cup L$$

$$L \cap M = M \cap L$$

$$L \cdot M \neq M \cdot L \quad (\text{generally}).$$

#### ③ Distributive

$$\begin{aligned} L(M \cup N) &= LM \cup LN & (M \cup N)L &= M \cup NL \\ \text{Generally, } L(M \cap N) &\subseteq LM \cap LN & (M \cap N)L &\subseteq ML \cap NL \end{aligned}$$

#### ④ Identity

$$\begin{aligned} U \rightarrow \phi & \quad LU\phi = \phi \cup L = L \\ \cdot \rightarrow e & \quad L \cdot e = \{e\}L = L \end{aligned}$$

#### ⑤ Idempotent

$$\textcircled{1} \quad L \cap L = L \quad (\text{LUL?}, L \cdot L \quad X)$$

#### ⑥ Annihilator

$$L\phi = \phi L = \phi$$

Q. RE for (i)  $|w|a \equiv \gamma \pmod{n}$   $\Sigma = \{a, b\}$

$$b^* (ab^*)^* [b^* (ab^*)^n]^*$$

$$(ii) |w| \equiv \gamma \pmod{n} \quad (a+b)^* [ (a+b)^n ]^*$$

$$(iii) a^m b^n / m+n = \text{even}$$

$$\begin{array}{c} \text{even+even} \quad (aa)^* (bb)^* \\ \text{odd+odd} \quad (aa)^* a (bb)^* b \\ \text{RE} = (aa)^* (bb)^* + (aa)^* a (bb)^* b \end{array}$$

$$(iv) a^m b^n / m+n = \text{odd}$$

$$\text{RE} = (aa)^* (bb)^* b + a (aa)^* (bb)^*$$

$$(v) \text{ start with } a^* \& |w|a = \text{even} \quad a (b^* ab^* a b^*)^* b^* ab^*$$

$$(vi) \text{ start with } a^* \& \text{ doesn't contain 2 consecutive } b's \quad (a+ab)(a+ab)^* = (a+ab)^+$$

$$\begin{array}{l} \text{NOTE: } (a+ab)^+ = \{a, ab, aa, aab, aba, \dots\} \quad a(a \boxed{aaaa})^* \\ a(ba+a)^* = \{a, aa, aba, aaa, \dots\} \quad a(ba+a)^* \\ \text{not the same.} \end{array}$$

a | | | |

5

We need to generate  $ab$ , but not  $abb$   
So we can't do  $a(b+ba+a)^*$   $\otimes$ .

Instead we can separate out  $ab$  in first go only  
 $(a+ab)(a+ab)^* = a(a+ab)^+$

NOTE: while constructing RE for similar kind, we ~~need~~  
should write L once & finally check manually  
for each  $w \in L$ .

$\Sigma = \{a, b\}$

(vii) No 2 consecutive a's.

$$(b+ba)^* + a(b+ba)^* = (\epsilon+a)(b+ba)^*$$

start with b      start with a  
end with b/a      end with b/a.

(viii)  $\| \| ^w (b+ab)^* + (b+ab)^* a = (b+ab)^*(\epsilon+a)$   
No 2 consecutive a's & start with a.

(ix) No 2 consecutive a's & start with b.  
 $(b+ba)^+$

IMP.

(vii) No 2 consecutive a's.  $(b+ba)^* + a(b+ba)^* = (\epsilon+a)$

sw b	sw a	$(b+ba)^*$
sw ab	sw ba	

$$\begin{matrix} (b+ab)^* & + & (b+ab)^* a \\ sw b/a & & sw b/a \\ & & sw a \\ & & EW a \end{matrix} = (b+ab)^*(\epsilon+a)$$

(viii) No 2 consecutive a's  $a(b+ba)^*$   
s.w. a.

(ix) No 2 cons. a's  $(b+ba)^+$  [  $\because \epsilon \notin L, (b+ba)^* \otimes$   
s.w. b.  $\because (b+ba)^*$  will not  
generate ba. ]

|||<sup>w</sup>

(x) No 2 cons. b's.

$$\begin{aligned} (a+ba)^* + (a+ba)^* b &= (a+ba)^*(\epsilon+b) \\ (a+ab)^* + b(a+ab)^* &= (\epsilon+b)(a+ab)^* \end{aligned}$$

(xi) No 2 cons. b's &  
s.w.  $b^*$ .

$$(a+ab)^+$$

(xii) No 2 cons. b's &  
s.w. b

$$b(a+ab)^*$$

(xiii) No 2 a's & no 2 b's occur consecutively.

L	s.w.	E-W.	RE
{a, aba, ababa, ...}	a	a	$a(ba)^* OR$ $(ab)^* a$
{ab, abab, ababab, ...}	a	b	$(ab)^* OR$ $a(ba)^* b$
{ba, baba, bababa, ...}	b	a	$(ba)^* OR$ $b(ab)^* a$
{b, bab, babab, ...}	b	b	$b(ab)^* OR$ $(ba)^* b$

'ab' term:  $(\epsilon+b)(ab)^*(a+\epsilon)$

'ba' term:  $(a+\epsilon)(ba)^*(\epsilon+b)$

### → FA → RE CONVERSION

#### 1. ARDEN'S LEMMA.

Works only for DFA & NFA only.  
Let P & Q are RE over  $\Sigma$ .

(i) If P is free from  $\epsilon$ , then

$$R = Q + RP \text{ has UNIQUE SOLN & soln is}$$

$$R = QP^*$$

(ii) If P contains  $\epsilon$ , then it has INFINITELY MANY SOLN'S.

\* Construction of eq<sup>n</sup> R = Q + RP :

(i) Equation of R is constructed from incoming edges, i.e. states &  $\Sigma$  symbol that come to R.

(ii) Include  $\epsilon$  in eq<sup>n</sup> for initial state.

(iii) RE corresponding to FA is equation of final states.

(iv)  $\phi$  (Dead states) can be removed / ignored & equations for them need not be constructed.

Eg: A = Aa + C

$$B = AB + B(a+c)$$

$$\begin{aligned} A &= \epsilon a^* \\ B &= a^*b + B(a+c) \end{aligned} \Rightarrow RE = B = a^*b(a+c)^*$$

NOTE: RIGHT VERSION There is no such thing as right version.

Yet if we want,  $R = Q + PR$  with  $R = P^*Q$ ; we should first eliminate all  $\phi$  states (i.e. convert to NFA).

(i) write eq<sup>n</sup> in terms of outgoing edges, i.e.  $\Sigma$  symbol & next resultant state.

(ii) RE for eq system is eq<sup>n</sup> of initial state.

This isn't well tested & might go wrong in unknown way.  
(The above basically tries to find all strings originating from  $q_0$  as they all will be accepted as it's an NFA.)  
Use the left version only.

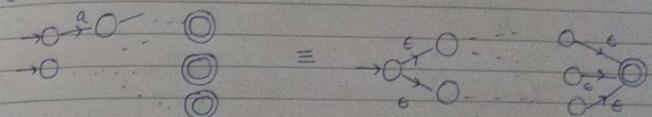
#### 1. ARDEN'S LEMMA. 2. STATE ELIMINATION

#### LEFT VERSION

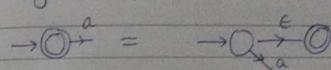
### 2. STATE ELIMINATION METHOD.

Works not only for FA, but for TG.

(i) Modify to have only one initial & one final state, using  $\epsilon$  moves.



(ii) Modify the system to have diff. initial & final states.

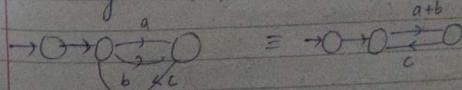


(iii) If the initial state has an incoming edge, then add a new initial state & connect with  $\epsilon$ -move.

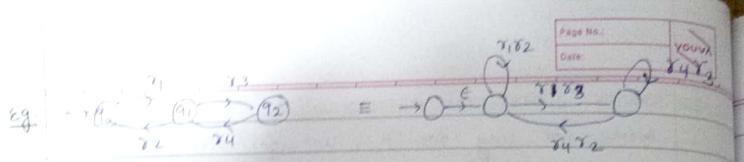
(iv) If the final state has an outgoing edge, then add a new final state (or modify current to non-final) & connect both using an  $\epsilon$ -move.



(v) Try to eliminate the non final, non initial states one by one.  
(Also, Parallel edges can be combined to a single edge using + (OR) operator).



Also, loops  $\frac{a+b}{c} = \rightarrow O \rightarrow Q \xrightarrow{(a+b)c} Q$



$$\begin{aligned} & \xrightarrow{\epsilon} \text{q1} \xrightarrow{c} \text{q1} \xrightarrow{d} \text{q2} \\ & = c^* a (d^* + bc^* a)^* \\ & = (c^* ad^* b)^* c^* ad^* \end{aligned}$$

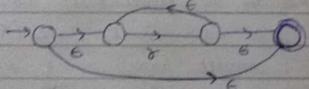
$$\begin{aligned} & \xrightarrow{\epsilon} \text{q1} \xrightarrow{a} \text{q2} \xrightarrow{b} \text{q1} \\ & = \xrightarrow{\epsilon} \text{q1} \xrightarrow{a} \text{q2} \xrightarrow{b} \text{q1} \\ & = (a^* + b^*)^* \\ & = (a + b^*)^* \end{aligned}$$

### RE → FA CONVERSION

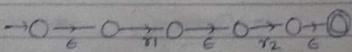
#### 1. METHOD OF SYNTHESIS.

Break the RE into pieces based on below operators & construct FA accordingly.

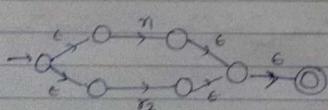
(i) Kleen closure ( $\epsilon^*$ )



(ii) Concatenation ( $r_1 r_2$ )



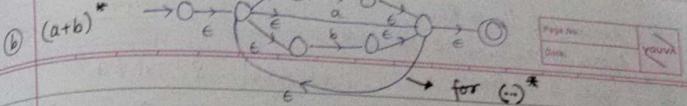
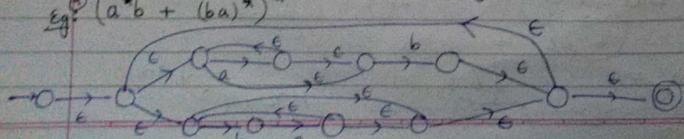
(iii) Union ( $r_1 + r_2$ )



RE → ε-NFA → NFA → DFA.

Construction with ε moves is easy.

eg.  $(a^* b + (ba)^*)^*$



2. METHOD OF DECOMPOSITION / STATE CREATION METHOD  
 $RE \rightarrow TG \rightarrow \epsilon\text{-NFA} / \text{NFA} \rightarrow DFA$

$$r^* = \xrightarrow{\epsilon} \text{q1} \xrightarrow{\epsilon} \text{q2}$$

$$(r_1 r_2)^* = \xrightarrow{\epsilon} \text{q1} \xrightarrow{\epsilon} \text{q2} \xrightarrow{\epsilon} \text{q3} = \xrightarrow{\epsilon} \text{q1} \xrightarrow{r_1} \text{q2} \xrightarrow{r_2} \text{q3}$$

$$(r_1 + r_2)^* = \xrightarrow{\epsilon} \text{q1} \xrightarrow{r_1} \text{q2} \xrightarrow{r_2} \text{q3}$$

$$r_1^* r_2^* r_3^* = \xrightarrow{\epsilon} \text{q1} \xrightarrow{r_1} \text{q2} \xrightarrow{r_2} \text{q3} \xrightarrow{r_3} \text{q4}$$

eg:

$$\begin{aligned} (a^* b (ab)^*)^* & \xrightarrow{\epsilon} \text{q1} \xrightarrow{a} \text{q2} \xrightarrow{b} \text{q3} \xrightarrow{ab} \text{q4} = \xrightarrow{\epsilon} \text{q1} \xrightarrow{a} \text{q2} \xrightarrow{b} \text{q3} \\ (b) (a+ba)^* ab^* & \xrightarrow{\epsilon} \text{q1} \xrightarrow{a} \text{q2} \xrightarrow{ba} \text{q3} = \xrightarrow{\epsilon} \text{q1} \xrightarrow{a} \text{q2} \xrightarrow{b} \text{q3} \end{aligned}$$

### PUMPING LEMMA (based on regular lang.)

If  $L$  is an infinite regular lang. &  $z \in L$  st.  $|z| \geq n$  for some positive int.  $n$  ( $n \in \mathbb{N}$ ), then it can be decomposed as  $z = uvw$  st. [  $n$  is dependent only on the lang.]

(i)  $v \neq \epsilon$       (ii)  $|uv| \leq n$

(iii) For every  $i \geq 0$ ,  $uv^i w \in L$ . {uvw, uww, uvvw }  $\in L$

All regular lang. satisfy P.L. property. If a lang. doesn't satisfy P.L., then it's non regular. If a lang. satisfies P.L. then it may or may not be regular.  
 $n$  = pumping length

To prove that a lang. is non regular, we use P.L. as follows:

- ① Assume  $L$  is a RL.  
 ② Choose a  $z \in L$ , s.t.  $|z| \geq n$   
 ③ Split  $z = uvw$  s.t.  $|uv| \leq |z|$  &  $|v| \neq 0$ .  
 ④ If we are able to find even one value of  $i$ , s.t.  $uv^iw \notin L$ , then by contradiction, we can say that  $L$  is non regular.

**WEAK FORM OF PUMPING LEMMA :** suppose  $L$  is an infinite lang. There are integers  $p \& q$ , with  $q > 0$ , so that for every  $n \geq 0$ ,  $L$  contains a string of length  $p+qn$ . i.e. set of integers, length ( $L$ ) =  $\{ |z|, z \in L \}$  contains the arithmetic progression of all integers  $p+qn$ , where  $n \geq 0$ . [Alternative omit  $|uv| \leq n$  from S.P.L.]

- $L$  is any language, not necessarily regular, whose alphabet contains only one symbol. Then  $L^*$  is regular.  
 Eg:  $\Sigma = \{\text{o}\}$   $L = \{\text{o}^i \mid i \text{ prime}\}$  Non regular.  
 $L^*$  is regular

#### FA WITH OUTPUT

- No need to define the F state & hence  $\phi$ . [ MOORE M/C ] [ MEALY M/C ]

#### MOORE

O/p is associated with state.

For I/p of len  $n$ , o/p length is  $n+1$ . It responds for  $\lambda$  also  $\lambda(\epsilon) = \lambda(q_0)$

$$M = (Q, \Sigma, A, \delta, \lambda, q_0)$$

$$\Delta = \text{o/p alphabet } \quad \delta: Q \times \Sigma \rightarrow \Delta$$

$$\# \text{outputs} = \# \text{states}$$

$$\lambda: Q \rightarrow \Delta \quad \text{o/p func'}$$

#### MEALY

O/p is associated with transition.

For I/p of len  $n$ , o/p len is  $n$ . Doesn't respond to  $\epsilon$ .

$$M = (Q, \Sigma, A, \delta, \lambda, q_0)$$

$$\Delta = \text{o/p alphabet}$$

$$\delta: Q \times \Sigma \rightarrow \Delta$$

$$\lambda: \delta \times \Sigma \rightarrow \Delta \quad \text{o/p func'}$$

Moore & Mealy machines are equivalent in power.

#### Conversion:

##### I. MOORE → MEALY

o/p for a particular transition in resulting mealy m/c is the o/p associated with the state in moore m/c to which the transition goes to.

# states remain same.

Can be done using both T.D. & T.T.

##### II. MEALY → MOORE

- Start with  $q_0$  & create states for (transitions & o/p); i.e if  $q_0 \xrightarrow{a/z_1} q_1$  then create a new state as  $(q_1, z_1)$  with edge from  $q_0$  on 'a'. Go on creating states, till for each state all transitions are present.
- mealy  $\xrightarrow{\Delta}$  Moore  
 \* N states  
 \* M o/p  
 MXN states
- Use T.D. In case of T.T., the unique pairs of (state, o/p) in T.T. is the list of states in MOORE machines. (Possibly).

#### GRAMMAR

$$G = (V, T, P, S)$$

(Caps)  $V = \text{Variables / Non Terminals}$

(Small Case)  $T = \text{terminals}$

P = Production rules

S = Start symbol

Every grammar generates only one lang. A lang. can be generated by more than one grammar.

Process of deriving a string from G using start symbol is Derivation. Geometrical representation of derivation is called Syntax Tree / Parse tree / Derivation tree.

All intermediate prod's involved during the derivation are called Sentential form.

#### BNF (Backus Naur Form)

$$A \xrightarrow{\alpha_1} \quad \Rightarrow \quad A \xrightarrow{x_1/x_2} \quad (\text{BNF})$$

$$A \xrightarrow{\alpha_2}$$

Recursive Grammar:  $G$  is recursive iff atleast one prod<sup>n</sup> contains the same variable in both LHS & RHS.

If it generates infinite number of strings.

Recursive prod<sup>n</sup>: Eg: ①  $S \rightarrow aSb/aB$ .  
②  $A \rightarrow Aa/b$ .

Left Recursion:  $A \rightarrow Aa$

Right Recursion:  $A \rightarrow aA$ .

Linear Grammar: A G is linear if it contains only one variable in LHS and atmost one variable in RHS. All regular G are linear but all linear arent regular.

TYPE-3 OR REGULAR G	TYPE-2 OR CONTEXT FREE	TYPE-1 OR CONTEXT SENSITIVE	TYPE-0 OR RECURSIVE ENUMERABLE OR UNRESTRICTED G.
$A \rightarrow aB/a/a$ (RLG)	$A \rightarrow \alpha$	$\alpha \rightarrow \beta$	$\alpha \rightarrow \beta$
OR		$\beta$	
$A \rightarrow BaBa$ (LLG)	$a(v+T)^*$	$ a  \leq  \beta $	$v$
$A, B \in V$ "RET"	$a(v+T)^*$	$ a  \leq  \beta $	$\alpha, \beta \in (v+T)^*$
$a \in T$	Single var in LHS	$\alpha, \beta \in (v+T)^*$	$\beta \in (v+T)^*$
		$a-fine$	$b \in (v+T)^*$

Follow RLG or  
LLG and set  
with  
length increasing  
length decreasing

Equivalence b/w  
LLG & PLG  
exists

## REGULAR GRAMMAR

Types of regular grammar [ Left linear grammar  
Right linear grammar ]

Conversion FA  $\rightarrow$  RG

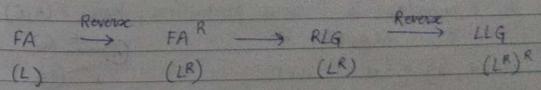
I. FA  $\rightarrow$  RLG

- Initial state is the start symbol. #variables = #states.
- If  $\delta(A, \alpha) = B$  is a transition, then the corresponding production is  $A \rightarrow \alpha B$ .  
If B is a final state, then add  $A \rightarrow \alpha$  OR  $B \rightarrow \epsilon$ .

NOTE: In case of NFA, if a state has no outgoing edges (i.e. transitions) then:

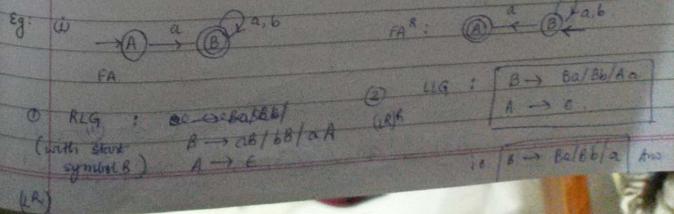
- (i) if it's a final state, then add  $A \rightarrow E$ .
- (ii) if non-final, then it is a dead state & that variable will not generate any terminals, & so can be skipped from the grammar.

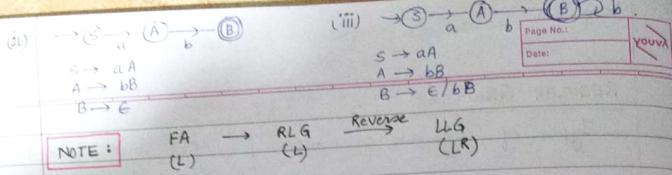
II. FA  $\rightarrow$  LLG.



Reversal of G: Reversal of RHS of prod's.

Reversal of FA: Exchange initial & final states. Reverse conditions





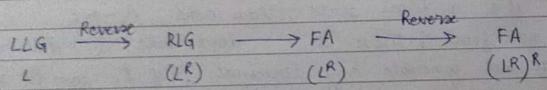
NOTE:  $FA \rightarrow RLG \xrightarrow{\text{Reverse}} LLG \xrightarrow{\text{LR}}$

→ Conversion  $RG \rightarrow FA$

I.  $RLG \rightarrow FA$

1. Start symbol is the initial state.
2. For prod<sup>n</sup>  $S \rightarrow aA/bS$ ,  
 $\delta(S, a) = A$  &  $\delta(S, b) = S$ .
3. For prod<sup>n</sup>  $S \rightarrow aA/a$ ,  $A \xrightarrow{\epsilon}$  i.e. A is a final state.

II.  $LLG \rightarrow FA$

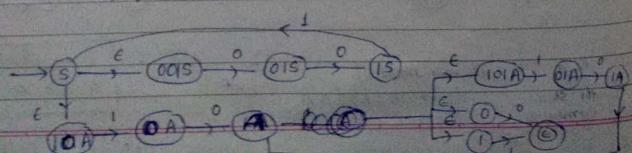


I.a. Right Grammar  $\rightarrow \epsilon\text{-NFA}$ .  
form  $(T^*V)$

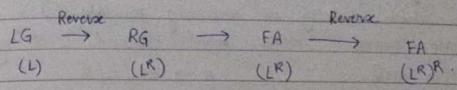
1. If  $A \rightarrow \alpha_1/\alpha_2$  is a start production, then  $\delta(A, \epsilon) = \alpha_1$   
 $\delta(A, \epsilon) = \alpha_2$ .
2.  $\delta(\alpha, a) = \alpha$ ; a.c.t.
3. For every terminal  $a \in T$ ,  $\delta(a, a) = \epsilon$  (Final state)
4. Repeat step 2 & 3 for all states that have variables only.

Eg:

(i)  $S \rightarrow 001S/10A$   
 $A \rightarrow 101A/0/1$



II. a. Left Grammar  $\rightarrow \epsilon\text{-NFA}$   
of form  $(VT^*)$



1. Reverse RHS of every prod<sup>n</sup> to reverse LG to RG.
2. Obtain  $\epsilon\text{-NFA}$  as per method I.a.
3. Reverse FA by interchanging initial & final status & reversing transition direction

NOTE: We will be getting NFA/ENFA @ many points & we will be reqd to reverse them. So for reversal, we don't need to change it to DFA (as reqd. with complementation).

→ Conversion  $RG \rightarrow RE$

1.  $A \rightarrow A\alpha/B$        $A \rightarrow \beta\alpha^*$
2.  $A \rightarrow \alpha A/\beta$        $A \rightarrow \alpha^* B$
3.  $A \rightarrow \alpha/\beta$        $A \rightarrow (\alpha+\beta)$
4.  $A \rightarrow \alpha_1/\alpha_2/\alpha_3$        $A \rightarrow (\alpha_1+\alpha_2)/\alpha_3$   
or  $A \rightarrow \alpha_1/(\alpha_2+\alpha_3)$

→ CONTEXT FREE GRAMMAR

Every prod<sup>n</sup> is of form:  $A \rightarrow \alpha$ ;  $A \in V$ ,  $\alpha \in (V+T)^*$

→ Minimization / Simplification of CFG:

Detect & eliminate:

1.  $\epsilon$ -productions
  2. Unit productions
  3. Useless symbols
- (Also called Reduced CFG, but not minimal)

①  $\epsilon$ -prod's.

- a. Find null prod's. Eg:  $A \rightarrow C$
- b. Find nullable prod's. Eg:  $A \rightarrow ( ) \rightarrow ( ) \rightarrow \epsilon$   
 $\downarrow$   
 (Prod's which directly or indirectly generate  $\epsilon$ ).  
 Eliminate above. Write prod's with  $\epsilon$  in strings  $S \cup \{\epsilon\}$ .
- c. Eg:  $S \rightarrow A b a C$   
 $A \rightarrow B C$   
 $B \rightarrow b / \epsilon$   
 $C \rightarrow D / \epsilon$   
 $D \rightarrow d$   
 $\Rightarrow A \rightarrow BC / C / B$   
 $B \rightarrow b$   
 $C \rightarrow D$   
 $D \rightarrow d$   
 $\Rightarrow S \rightarrow Abac / bac / Aba / ba.$

② Unit prod's. ( $A \rightarrow B$ )

- $A \rightarrow B ; A, B \in V$  Eliminate by some terminal if possible.
- Eg:  $S \rightarrow aAb$   
 $A \rightarrow B/a$   
 $B \rightarrow b/C$   
 $C \rightarrow c$   
 $\Rightarrow S \rightarrow aAb$   
 $C \rightarrow c$   
 $B \rightarrow b/c$   
 $A \rightarrow b/c/a$

③ Useless prod's. symbols.

- Variables that aren't involved in derivation of any string -
- a. Remove var & their prod's, that can't be reached from the start symbol of G.
  - b. Remove vars & their prod's that can be reached from S but don't derive any terminals.

- Eg:  $S \rightarrow ABC / BaB$   
 $A \rightarrow aA / BaC / aaa$   
 $B \rightarrow bBb / a$   
 $C \rightarrow CA / AC$   
 $\Rightarrow S \rightarrow BaB$   
 $B \rightarrow bBb / a$

- b. Find vars. that derive atleast one terminal. Check RHS of other vars, if their RHS consists of terminals & variables found before (to derive atleast 1 terminal).

→ Popular representations of CFG

1. CNF (Chomsky Normal Form)
2. GNF (Greibach Normal form).

CFG that is free from  $\epsilon$ -prod's can be converted to CNF or GNF

② CNF

$$A \rightarrow BC/a ; A, B, C \in V, a \in T$$

$$\text{Eg: } S \rightarrow aSb/ba \Rightarrow S \rightarrow ASB/AB \Rightarrow S \rightarrow XB/AB$$

$$A \rightarrow a \quad X \rightarrow AS$$

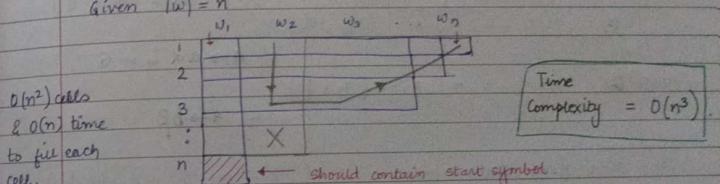
$$B \rightarrow b \quad A \rightarrow a$$

$$B \rightarrow b \quad B \rightarrow b$$

If grammar contains  $\epsilon$ -prod's, then first eliminate  $\epsilon$ -prod's before converting to CNF.

CYK Membership Algo can be applied to CNF only.

Given  $|w| = n$



Eg: Check membership of  $w = baaba$  for G. Find member substrings of  $w$ .

	b	a	a	b	a
1	B	A,C	A,C	B	A,C
2	A, $\epsilon$	B	$\epsilon$ ,C	A, $\epsilon$	
3	$\epsilon$	B	B		
4	$\epsilon$	$\epsilon$ ,C,A			
5	$\epsilon$ ,C,A				

baaba ✓  
 Substrings: (Number of 9)  
 ba, ab, ba, aaba, baaba  
 (Number of 8)  
 ab, ba, aab, aba, aaaa  
 (Number of 7)  
 aaba, aab, aba, aaaa, baaba  
 (Number of 6)  
 aab, aba, aaaa, baaba, aaaaa  
 (Number of 5)  
 aba, aaaa, baaba, aaaaa, aaaaaa

w1	w2	w3	w4	w5
1	a	j	o	n
2	b	k	m	g
3	c	l	f	
4	d	e		
5	i			

$$i = aeUbfUcgUdh$$

$$e = jfUkgUlh$$

$$a = w_1 \quad j = w_2$$

$$b = aj \quad k = jo$$

$$m = on$$

\* Parse tree or derivation tree of CNF is always binary.

$$\begin{array}{l} V \rightarrow T \\ V \rightarrow TV \\ V \rightarrow TUV \\ \vdots \end{array}$$

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## 2. GNF $A \rightarrow V^*$

$$A \rightarrow a\alpha ; \quad \alpha \in V^*, \quad A \in V, \quad \alpha \in T.$$

Useful to convert a CFG to PDA.

### → CONVERSION GNF → PDA:

1. Push the start symbol  $A$  on to the stack.  
 $\delta(q_0, \epsilon, z_0) = (q_1, Az_0)$
2. Push RHS of  $A$  as follows:
  - (a)  $\delta(q_1, a, A) = (q_1, \alpha)$  [ $\alpha, \beta$  can be  $\epsilon$ ].  
 if  $A \rightarrow a\alpha$  is in G.
  - (b)  $\delta(q_1, b, A) = (q_1, \beta)$  if  $A \rightarrow b\beta$  is in G.
3. Add final state with (After all ips are added)  
 $\delta(q_1, \epsilon, z_0) = (q_f, \epsilon)$

→ For a string of length  $n$ , # steps reqd. to generate string:

$$\begin{array}{rcl} CNF & \rightarrow & (2n-1) \\ GNF & \rightarrow & n \end{array}$$

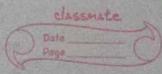
→ L:  $\{a^n b^n c^n \mid n \geq 1\}$

$$\begin{array}{l} G: \quad S \rightarrow A \\ \quad A \rightarrow aABc/abc \\ \quad bB \rightarrow bb \\ \quad cB \rightarrow Bc \end{array}$$

$$\begin{array}{l} V \rightarrow T \\ V \rightarrow TV \\ V \rightarrow TUV \\ \vdots \end{array}$$

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## DPDA v/s NPDA

DPDA is a PDA with the extra property that:

For each state in PDA, and for any combination of a current ip symbol & a current stack symbol, there is at most one transition defined.

In DPDA, there is at most one legal sequence of transitions that can be followed for any input.

$k = z_0$ , then  $\Rightarrow$   
response. NPDA is accepted.

This doesn't preclude  $\epsilon$ -transitions, as long as there is never a conflict b/w following the  $\epsilon$ -transition or some other transition. However, there can be at most one  $\epsilon$ -transition that could be followed at any one time.

Non Regular lang. containing  $\epsilon$  can be accepted by DPDA with final state & not empty stack.

to make a choice  
a stack symbol.  
using true ip

$$\text{DPDA}_{\text{empty stack}} \subseteq \text{DPDA}_{\text{final state}}$$

$$\text{NPDA}_{ES} = \text{NPDA}_{FS}$$

exactly those of  
have the  
a prefix of  
grage.

# PDA

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$$M = (Q, \Sigma, \Gamma, z_0, \delta, q_0, F)$$

$$\delta: Q \times (\Sigma \cup \{\epsilon\}) \times \Gamma \rightarrow Q \times \Gamma^*$$

DPDA

$$\delta: Q \times (\Sigma \cup \{\epsilon\}) \times \Gamma \rightarrow 2^{(\Gamma^*)}$$

NPDA

$z_0$  = topmost stack element ( $z_0 \in \Gamma$ ) [start symbol]

$\Gamma$  = set of stack symbols.  $F \subseteq Q$

NPDA goes to more than 1 state for same configuration

$\delta(q_0, a, z_0) \cdot$   
 current state      i/p alphabet      top of stack.

DPDA v/s NPDA.

PDA = FA + 1 stack.

- One convention is to say that if Top of stack =  $z_0$ , then  $\Rightarrow$  stack empty.
- DPDA is more efficient than NPDA in terms of response. NPDA is more powerful than DPDA in terms of # langs. accepted.

$$\text{Langs (DPDA)} \subset \text{Langs (NPDA)}$$

$$\text{ID: } \delta(q_0, a, z_0) = (q_1, az_0)$$

Acceptance by PDA: (i) Acceptance by empty stack.  
 (ii) Acceptance by final state.

**DPDA:** A PDA is deterministic if it never has to make a choice of move for a given state, ip symbol (inc.  $\epsilon$ ) & a stack symbol. Also, it never has a choice b/w making a move using true i/p and a move using  $\epsilon$ .

For DPDA, langs. accepted by empty stack are exactly those of the langs. accepted by final state that have the prefix property.

**Prefix Property:** No string in the lang is a proper prefix of another string in the language.

# lang. accepted by empty stack      # lang. accepted by final state.

For NPDA, lang. accepted by both are same.

- PDA OPERATIONS :

1. PUSH       $\xrightarrow{q_0} \xrightarrow{a, z_0 / a_0} q_1$
2. POP       $\xrightarrow{q_1} \xrightarrow{b, a / \epsilon} q_2$
3. SKIP       $\xrightarrow{q_2} \xrightarrow{b, a / a} q_1$

Whenever the PDA encounters a dead configuration i.e. which is not present, then machine will halt.

Is every regular language DFL?

No config  
is wrong.  
Final  
state  
not  
achieved.

$$L(ES) = L(FS) \wedge \text{has Prefix Property.}$$

→ DPDA languages have unambiguous CFG. DPDA languages lie strictly between regular languages and CFL.

• Regular grammar can be ambiguous. Regular language can never be ambiguous.

$L_1 = \{ \dots \}$       Unambiguous.

$G_0 \quad G_1 \quad G_2 \quad \dots \quad G_x \quad \dots \quad G_n$   
Amb. Amb. Amb.      Unamb.      Amb.

• If a language is unambiguous, then there is one unambiguous  $G$  for it. All the corresponding ambiguous  $G$  can be converted to unamb.  $G$ .

$L_2 = \{ \dots \}$       Ambiguous.      Inherently amb. grammar.  
(All  $q$  are amb.)

$G_0 \quad G_1 \quad G_2 \quad \dots \quad G_n$   
Amb. Amb. Amb.

Eg:  $L = a^*$

$Q_1: S \rightarrow aS/E$   
Unamb.

$Q_2: S \rightarrow aS/a/\epsilon$   
Amb.

$Q_3: S \rightarrow a/S/Sa/\epsilon$   
Amb.

$Q_4: S \rightarrow aS/a/\epsilon$   
Amb.

Ambiguous grammar  $\rightarrow$  A string that has more than 1 LMD or parse tree.

• Every ambiguous regular grammar can be converted to unambiguous.

• Languages above DCFL are itself ambiguous (can be both amb. & unamb.).

Inherently Ambiguous Lang: A lang. that admits only ambiguous grammars.

• All the regular languages are accepted (by final state) by DPDA's and there are non regular languages accepted by DPDA's. DPDA languages lie strictly b/w regular languages & context free languages.

→ For DPDA

for PDA

$LE \subset LF$

↓  
Lang. accepted  
by empty stack      Langs accepted  
by final state.

$LE = LF \wedge (L \text{ has PP})$

$LE = LF$

$L = \{ xy \mid |x|=|y|, x \neq y, x, y \in \{0,1\}^*\}$  3 CFL

(o)  $L = \{ a^n b^m c^n d^m \mid n, m \geq 1 \}$

~~CFL~~

(a)  $L = \{ a^n b^k \mid n \leq k \leq 2n \}$

CFL

$S \rightarrow aSb \mid aSbb \mid \epsilon$

(b)  $L = \{ a^i b^j c^k \mid (i \leq j) \text{ or } (j \leq k) \}$

CFL

$i, j, k$  can be anything &  $j = k$

(c)  $L = \{ a^i b^j c^k \mid i=j, j < k \}$

NCFL

(d)  $L = \{ a^m b^n c^n d^m \mid m \neq n \}$

NCFL

(e)  $L = \{ a^i b^j c^k \mid \text{if } (i=j) \text{ then } k \text{ is even.} \}$

CFL

→ Unlike DFA, DPDA doesn't mean that we will have transition for each symbol defined whenever a PDA encounters a dead configuration i.e. which is not present, then the machine will halt.

→ PREFIX PROPERTY : The lang. L has prefix property iff it is not possible to find different string  $x, y$  in L such that one is proper prefix of other.

Eg:  $L = \{ ab, ba \}$      $L = \{ a^n b^n \mid n \geq 0 \}$      $L = \{ wxw^R \mid w \in \{a+b\}^* \}$

Below don't have P.P.

$L = \{ a^m b^n \mid m > n \}$      $L = \{ w \mid w \in (a+b)^*, |w|_a = |w|_b \}$

$L = \{ a^m b^n \mid m < n \}$      $L = \{ a, ab \}$ .

$L = \{ a^m b^n \mid m, n \geq 1 \}$ .

Q.

Check whether the following languages are CFL or not?

$$1. \quad a^{m+n} b^n c^m \mid n, m \geq 1 \quad \text{DCFL}$$

$$2. \quad a^m a^m b^n c^m \mid n, m \geq 1 \quad \text{DCFL}$$

$$3. \quad a^m b^{m+n} c^n \mid n, m \geq 1 \quad \text{DCFL}$$

$$4. \quad a^m b^n c^m d^n \mid n, m \geq 1 \quad \text{DCFL}$$

$$5. \quad a^m b^n c^m d^n \mid n, m \geq 1 \quad \text{X CFL}$$

$$6. \quad a^m b^n c^n d^m \mid n, m \geq 1 \quad \text{DCFL}$$

$$7. \quad a^m b^k c^m d^k \mid m, k \geq 1 \quad \text{DCFL}$$

$$8. \quad a^m b^n \mid m > n \quad \text{DCFL}$$

$$9. \quad a^n b^n \mid n \geq 1 \quad \text{DCFL}$$

$$10. \quad a^n b^{n^2} \mid n \geq 1 \quad \text{X CFL}$$

Unbounded comparison

$$n \geq n^2$$

$$a^n b^{2^n} \mid n \geq 1 \quad \text{X CFL}$$

$$11. \quad w w R \mid w \in (a, b)^*$$

Non deterministic CFL

$$12. \quad w w \mid w \in (a, b)^*$$

w once stored in stack can be retrieved  
only in reverse & hence we can't  
compare with the other w.

(14)

$$a^n b^n c^m \mid n > m$$

X CFL

We can think of pushing 2 a's for each a in i/p. Then pop 1a for 1b in i/p.

Then compare if the rest of a's in stack is more than c's. But No.

This doesn't exactly check if  $a^n b^n$  is there.  
Also  $w = aabc$  will fail the above algo.

(15)

$$a^n b^n c^n d^n \mid n \leq 10^{10}$$

RL

DCFL

(16)

$$a^n b^{2n} c^{3n} \mid n \geq 1$$

X CFL

To compare  $a^n$  &  $b^{2n}$  we exhaust everything & nothing is left to compare with  ~~$c^{3n}$~~   $c^{3n}$ .

Same as (14).

$$\begin{array}{l} w = abbccc \\ \quad abcccc \end{array}$$

(17)

$$x \in \{0, 1\}^*$$

RL, DCFL

(18)

$$x x^R \mid x \in \{a, b\}^*, |x| = l$$

RL

$$|x| = l$$

DCFL

finite language.

(strings of len 2l).

diff. of len l (i.e. distinct x) =  $2^l$ 

(19)

$$w w w^R \mid w \in \{a, b\}^*$$

X CFL

ww can't be recognized by a PDA.  
www<sup>R</sup>,,

(20)

$$a^n b^{3^n} \mid n \geq 1$$

X CFL.

 $3^n \rightarrow$  not in AP.each a  
l/p.  
stack

(21)

$$a^m b^n \mid m \neq n$$

DCFL

$$L = \{ a^m b^n \mid m > n \} \cup \{ a^m b^n \mid m < n \}.$$

 $(\epsilon, a/a)$  $(b, z_0/z_0)$ is there  
re augo.

(22)

$$a^m b^{2n} \mid m = 2n + 1$$

DCFL

Push 1st a, then push 1 ~~a~~ for 2a's in l/p.

Pop 1a for each b.

FL.

(23)

$$a^i b^j \mid i \neq 2j + 1$$

DCFL

(22) + (23) end either bare more or a's are more.

CFL

(4)

$$a^{n^2} \mid n \geq 1$$

X CFL

 $n^2$  can't be computed. Also not in AP.

ything

(5)

$$a^{2^n} \mid n \geq 1$$

X CFL

Csh. U

(6)

$$a^{n!} \mid n \geq 1$$

X CFL

I

(7)

$$a^m \mid m = \text{prime}$$

X CFL

J

(8)

$$a^k \mid k = \text{even}$$

RL, DCFL

K

(9)

$$a^i b^j c^k \mid i > j > k$$

X CFL

L

(10)

$$a^i b^j c^k d^l \mid i=k \text{ or } j=l$$

NDCFL

M

IPDA compares a's &amp; c's. ; other PDA

N

compares b's &amp; d's. Apply U.

O

(11)

$$a^i b^j c^k d^l \mid i=k \text{ and } j=l$$

X CFL

P

We can have 2 PDAs check the 2 conditions,

Q

but we won't be able to AND them.

R

There is no framework in PDA to AND.

S

(32)

$$a^m b^l c^k d^n \mid m, l, k, n \geq 1$$

RL, DCFL

(33)

$$a^n b^{4m} \mid n, m \geq 1$$

RL, DCFL.

(34)

$$\{a^3, a^8, a^{13}, \dots\}$$

RL, DCFL.

AP,  $d = a^5$ .

(35)

$$\{a^{2n+1} \mid n \geq 1\}$$

RL, DCFL

AP:

(36)

$$a^{n^n} \mid n \geq 1$$

X CFL

 $n^n$  can't be computed.

(37)

$$w \mid w \in (a, b)^* \text{ & } |w| \geq 100$$

RL, DCFL

(38)

$$w \mid w \in \{a, b, c\}^* \text{ & } n_a(w) = n_b(w) = n_c(w)$$

can't compare  $\infty$  a, b

X RL

PDA can compare a's & b's but nothing  
to count c's. X CFLalso  $a^n b^n c^n \in \text{38}$  &  $a^n b^n c^n$  is CSL.

(38)

$$w \mid w \in \{a, b\}^*, n_a(w) \geq n_b(w) + 1$$

(E, a) or

DCFL

(a, z<sub>0</sub>)

X RL.

Add from surrounding pages.

$$L = \{a^m b^n \mid m > n ; m \geq 1, n \geq 0\}$$

DCFL

$$L = \{a^m b^n \mid m < n, m \geq 0, n \geq 1\}$$

DCRL

$$L = \{a^m b^n \mid m = 2n, m, n \geq 0\}$$

OR  $n = 2m$

L, DCFL

$$L = \{a^m b^n \mid m = 2n+1, m, n \geq 1\}$$

nothing

$$L = \{a^m b^n c^p \mid m=n \text{ OR } n=p ; m, n, p \geq 1\}$$

(NPDA)

CFN

$$w w w^R \mid w \in (a+b)^*$$

DCFL

$$w w w^R \mid w \in (a+b)^*$$

NPDA

CFL

$$w w R x \mid w \in (a+b)^*$$

NPDA

$$w w^R \mid w \in (a+b)^*$$

NPDA

$$L = \{a^n b^n \mid n \geq 1\} \cup \{a^n b^{2n} \mid n \geq 1\}$$

DCFL  $\cup$  DCFL

NPDA

$$\{a^n b^{2n} c^{3n} \mid n \geq 1\}$$

Non CFL

$$\{a^i b^j c^k d^l \mid i=k \text{ OR } j=l\}$$

NPDA

## PUMPING LEMMA FOR CFL

VISION

Date

Page No.

Let  $L$  be a context free language, &  $w \in L$  s.t.  $|w| \geq n$  for some positive integer  $n$ . Then  $w$  can be decomposed as  $w = abcde$  such that

(i)  $bd \neq \epsilon$

(ii)  $|bcd| \leq n$

(iii) for all  $i \geq 0$ , the string  $a b^i c d^i e \in L$ .

NOTE: (i) Every CFL satisfies PL property.

If a lang.  $L$  doesn't satisfy PL property then  $L$  is not CFL.

If a lang.  $L$  satisfies the pr P.L. property then  $L$  need not be CFL necessarily.

## TURING MACHINE

$$M = (Q, \Sigma, \Gamma, \delta, q_0, B, F)$$

$Q$  = set of all states

$$q_0 \in Q \quad F \subseteq Q$$

$\Sigma$  = finite set of i/p symbols

$\Gamma$  = complete set of tape symbols. ( $\Sigma \subseteq \Gamma$ )

$B$ : Blank symbol.  $B$  is in  $\Gamma$  but not in  $\Sigma$ .

The blank appears initially in all but the finite number of initial cells that hold i/p symbols.

$$\delta: Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$$

$\delta(q, X) = (p, Y, D)$

- current state  $q$  →  $p$  (next state)
- tape symbol  $X$  →  $Y \in \Gamma$  (written in)
- direction  $D$  → tells the right direction in which the head moves.

$Y$  is written in the cell being scanned, replacing whatever symbol was there.

- The tape is unbounded, so any no. of left & right moves possible.

Types of TM

- 1. Language Recognizers.
- 2. O/p Generators
- 3. Language Generators.

HALT : The state where transition isn't defined is called -  
it can be final or non final.

After taking i/p, 3 possibilities:

Acceptance of TM

- (i) TM may go to final halt.
- (ii) TM may go to non final halt.
- (iii) TM may go in an infinite loop.

- After reading complete i/p string, if the TM goes to :
- FH  $\rightarrow$  i/p string is accepted by the TM.
  - NFH  $\rightarrow$  " rejected by the TM.
  - Infinite loop  $\rightarrow$  i/p string w is neither accepted nor rejected.

The set of languages accepted by a TM is called the RECURSIVELY ENUMERABLE languages or RE lang.

Acceptance  $\leftarrow$  After reading i/p, TM eventually enters an accepting (final) state.

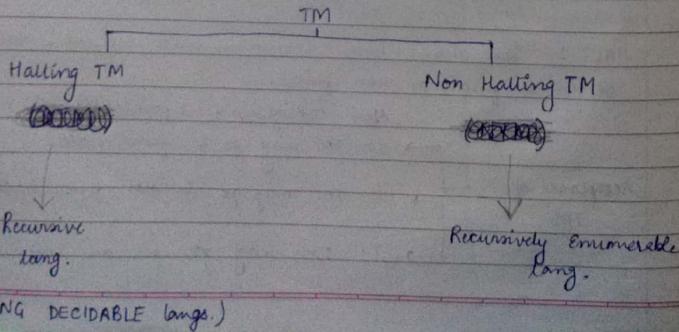
Acceptance by halting.

A TM halts, if it enters a state q, scanning a tape symbol x, and there is no move in this situation, i.e.  $\delta(q, x)$  is undefined.

\* We assume that a TM halts at when it is in an accepting state.

However, it is not always possible to require that a TM halts even if it doesn't accept.

RE language also called TURING RECOGNIZABLE Languages.

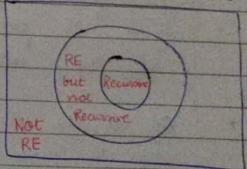


Recursive set and Recursively Enumerable Set :

L for some TM M

If we think of a language L as a 'problem' (as will be the case frequently), ;

L is called decidable if it is a recursive language.  
undecidable if it is not a recursive lang.



Ld - Diagonalization lang.

L  $\rightarrow$  Not RE.

No TM to accept it.

Recursive : TM recognizes the language.

Also tells us when it has decided the i/p string is not in the lang.

TM always halts, regardless of whether it reaches an accepting state.

RE but not Recursive : No guarantee of halting.

Lang. Acceptance in an inconvenient way :- if the i/p is in lang., we will eventually know that, but if the i/p is not in the lang., then the Turing machine may run forever & we shall never be sure the i/p won't be accepted eventually.

### Complementation :

- If  $L$  is recursive,  $L^c (\Sigma^* - L)$  is also recursive.
- If both  $L$  &  $L^c$  are RE, then  $L$  is recursive & hence  $L^c$  is also recursive.
- It is not possible that  $L$  and  $L^c$  both are RE but not recursive.
- Of all the 9 possible ways to place a lang.  $L$  &  $L^c$  in fig 1, only following 4 are possible:

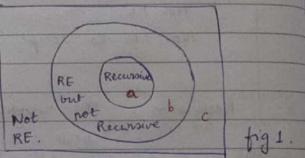


fig 1.

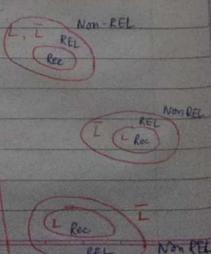
- Both  $L$  &  $L^c$  are recursive.
- Neither  $L$  nor  $L^c$  is RE. (region c)
- $L$  is RE but not recursive,  $L^c$  is not RE (b & d)
- $L^c$  is RE but not recursive,  $L$  is not RE (c & d)

Suppose  $L_x$  is not-RE. Then  $L_x$  can either be non-RE or RE but not recursive.

→ Standard examples :

- $L = \{a^n \mid n \geq 0\}$
- $L = \{a^m b^n c^m \mid n \geq 3\}$
- $L = \{a^n \mid n \text{ is prime}\}$
- $L = \{a^n \mid n \text{ is not prime}\}$
- $L = \{a^n \mid n = m^2, m \geq 1\}$
- $L = \{www \mid w \in (a+b)^*\}$
- $L = \{w^n \mid w \in (a+b)^*, n \geq 1\}$
- $L = \{ww^k \mid w \in (a,b)^*\}$

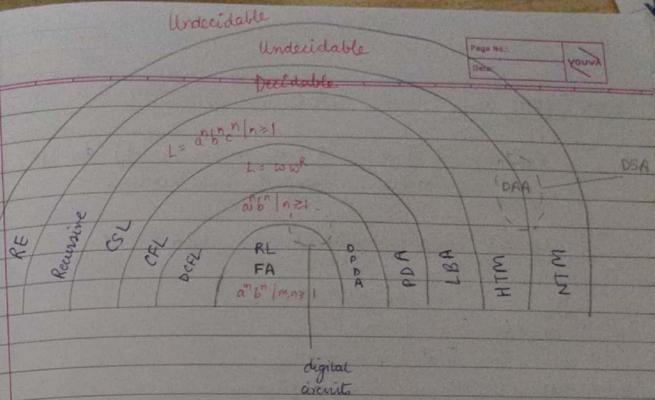
Impossible cases of Comp



Undecidable

Undecidable

Decidable



\* Both: No membership algo for REL.

→ lang. derived by following unrestricted grammar:

- $S \rightarrow S_1 B$   
 $S_1 \rightarrow a S_1 b$   
 $bB \rightarrow b b b B$   
 $a S_1 b \rightarrow aa$   
 $B \rightarrow \lambda$

- $S \rightarrow abc / aAbc$   
 $Ab \rightarrow bA$   
 $Ac \rightarrow Bbcc$   
 $bB \rightarrow Bb$   
 $AB \rightarrow aa / aaA$

$$L = a^n b^n c^n \mid n \geq 1$$

→ LBA : (CSL)

Input tape is finite. 2 end markers (\$, #) as left & right end markers. Read & write header.

Restricted non deterministic TM = LBA

- Eg: (i)  $L = \{a^n b^n c^n \mid n \geq 1\}$   
(ii)  $L = \{a^n \mid n \geq 1\}$   
(iii)  $L = \{a^p \mid p \text{ is prime}\}$   
(iv)  $L = \{ww \mid w \in (a,b)^*\}$ .  
(v)  $L = \{a^n b^n c^{2n} \mid n \geq 1\}$ .

→  $\Sigma^\infty$  is countable.  $2^{\Sigma^*}$  is uncountable

→  $CFL^c = CSL^c = CSL$

Every CSL is Recursive.  
(complement of every CFL is Recursive).

However

- (i)  $L_1 = \{w \# w \mid w \in \{0,1\}^*\}$  CFL  
 $L_1^c = \{xy \mid |x|=|y|, x \neq y, x \in \{0,1\}^*\}$  CFL  
(ii)  $L_2 = \{a^n b^n c^n \mid n \geq 1\}$  CSL  
 $L_2^c = CFL$  (and hence CSL).

→ Let  $G$  be an ambiguous grammar and  $D$  be its disambiguated version. Let the language recognized by both grammars be  $L(G)$  &  $L(D)$ .

$$L(D) = L(G)$$

→ Every non-deterministic TM can be converted to an equivalent deterministic TM.

DTM — Deterministic TM

NTM — Non Deterministic TM

Powers of DTM & NTM are same.

If  $L$  is a recursive lang., there is atleast 1 TM for  $L$  that is halting.

•  $L_1$  is a REL. Then there is an infinite list of TMs  $M_0, M_1, M_2, \dots$  such that  $L_1 = L(M_i)$

•  $L_1$  is a recursive lang. &  $M_0, M_1, M_2, \dots$  a list of TM s.t.  $L_1 = L(M_i)$ .  
for any  $i \geq 0$ , does  $M_i$  always halt? Maybe, maybe not.  
but atleast one  $M_i$  is halting.

•  $L_1 = REL, M_0, M_1, \dots = TMs$  s.t.  $L_1 = L(M_i)$   
for any  $i \geq 0$ , does  $M_i$  halt always?

Maybe, maybe not. If  $L_1$  is recursive (& hence REL),  
then atleast one  $M_i$  will always halt.

•  $L_1 = REL$  but not recursive.  $M_0, M_1, \dots = TMs$  s.t.  
 $L_1 = L(M_i)$   
None of the  $M_i$  will be halting TMs.

→ NTM may have more than one choice of next move (but finite) i.e. (state, new symbol, head move) for each state & symbol scanned.

Every NTM can be converted to an equivalent DTM.

Basically NTM ~~too~~ is a TM in which there may be multiple transitions defined for a particular state/c/p combination.

→ A language is called RECOGNIZABLE or REL if it is the language of some TM.

DECIDER - TM that always halts (never goes into an infinite loop).

A language  $L_1$  is called DECIDABLE iff there is a decider M s.t.  $L_1 = \ell(M)$ .  
These langs. are also called RECURSIVE.

→  $L = \{a^l b^m c^n \mid l \neq m \text{ or } m \neq n\}$   
CFL but not DFL

$$\begin{aligned} L_1 &= \{0^{n+m} 1^n 0^m \mid n, m \geq 0\} && \text{CFL} \\ L_2 &= \{0^{n+m} 1^{n+m} 0^m \mid n, m \geq 0\} && \times \text{ CFL} \\ L_3 &= \{0^{n+m} 1^{n+m} 0^{n+m} \mid n, m \geq 0\} && \times \text{ CFL} \end{aligned}$$

$$\hookrightarrow \{0^x 1^x 0^x \mid x \geq 0\}$$

$$L_4 = \{ww \mid w \in \{0, 1\}^*\} \quad \text{CSL}$$

→ There are some CFLs which are inherently ambiguous. That is all the CGs generating them are ambiguous.

$$S \rightarrow asb \mid SS \mid \epsilon \quad \text{or} \quad S \rightarrow (S) \mid SS \mid \epsilon$$

$L(G)$ : balanced parentheses.

(each left paren has a matching right paren & are well nested).

$L(G) = \text{DCFL}$  but not regular

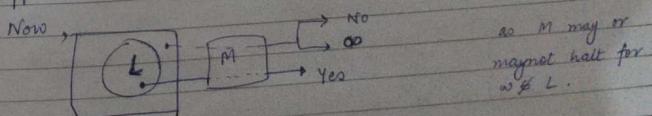
→ Main diff. b/w Recursive & REL is membership property

Recursive	Membership	✓
REL	X	(No algo)

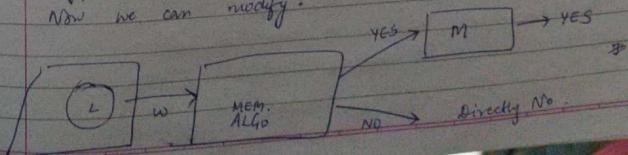
THEOREM : If HALTING PROBLEM were decidable, then every REL would be recursive. Hence consequently the halting problem is undecidable.

(However it is recognizable).

Suppose  $L = \text{REL}$  and a TM M s.t.  $\ell(M) = L$ .



Suppose we have an algo for membership.  
Now we can modify:



This means that if a mem. algo is there, all LEL are recursive, which have already been proved false. So no membership algo is there.  
 $\Rightarrow$  HALT is undecidable.

→ How does a TM halt?

When it reaches a state with dead configuration. Even final states have dead config (it is not defined where to go next).

→ Every TM can be rep. as a string of 0's & 1's.  
 (Universal TM & encoding of TM).  
 We can encode all states, S etc as a string of 1's & using 0 as a separator.

Not every string of 0's & 1's  $\checkmark$  be a TM.  
 might

→  $\Sigma$  = alphabet     $\Sigma^*$  = set of all strings  
 $2^{\Sigma^*}$  = set of all languages

$\Sigma^*$  = countable     $2^{\Sigma^*}$  = uncountable

↓  
 Superset of all TMs

$\Rightarrow \# \text{TMs} < \# \text{languages}$

Any language  $L_i$  is a subset of  $\Sigma^*$ ,  
 i.e.  $L_i \subseteq \Sigma^*$

$\Rightarrow$  every lang. is countable

→ If  $S$  is countably infinite, then  $2^S$  is uncountable.  
 → Diagonalisation method to prove that set of all langs. are uncountable.  
 MIND = BLOWN

$$\Sigma = \{0, 1\} \quad \Sigma^* = \text{countable} \quad 2^{\Sigma^*} = \text{uncountable}$$

	E	a	b	aa	ab	ba	bb	aaa	...
1)	1	0	1	0	0	0	0	0	
2)	1	0	0	0	0	0	1	0	
3)	1	1	0	0	1	0	0	0	
4)	0	0	0	1	0	0	0	0	
5)	1	0	0	0	0	0	0	0	
6)	1	0	0	0	0	0	0	0	

$$00010 = 11101$$

↓ will not be present in 011

Q P1 = decidable    P2 = undecidable.

(a) P1  $\xrightarrow{\text{red}} P_3$  P3  $\xrightarrow{\text{if}}$  P1  $\xrightarrow{\text{red}} P_3$  P3  $\leq D$  F.

(b) P3 UD if P3  $\xrightarrow{\text{up}} P_2$  P3  $\leq UD$  F.  
 P3 can be reduced to something else also which might be simpler than P2 & be D.

Eg:  $a^*$  can be accepted by TM

by FA.

(c) P3 UD if P2  $\xrightarrow{\text{up}} P_3$  P3  $\leq UD$  F.

10001...

0110

### Reduction (std. many-to-one).

$$A \xrightarrow{\text{red}} B$$

$A$  can't be harder than  $X$ .

Upper bound of  $A = X$

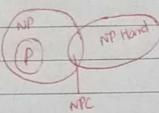
$A \leq X$

$$A \xrightarrow{\text{red}} B$$

$B$  can't be easier than  $X$ .

Lower bound of  $B = X$

$B \geq X$



$$P \subseteq NP \subseteq \text{Recursive}$$

$\rightarrow L = \text{CFL} \quad \text{Is } L \text{ also context free? Undecidable.}$

### EXPRESSIVE POWER

Single Tape TM  $\equiv$  Multi Tape TM.

$$\text{DTM} = \text{NTM}$$

$\rightarrow L_1 = \text{Recursive} \subset L_2, L_3 = \text{REL but not Recursive.}$

$$\begin{aligned} L_2 - L_1 &= L_2 \cap L_1^c \\ &= \text{REL} \cap \text{Recursive.} = \text{REL} \cap \text{REL} \\ &\quad \text{but not Rec} \\ &= \text{REL} \end{aligned}$$

$$\begin{aligned} L_1 - L_3 &= L_1 \cap L_3^c = \text{Rec} \cap (\text{Rel but not Rec})^c \\ &= \text{Rec} \cap \text{Non Rel} \\ &= \text{Non REL} \end{aligned}$$

$\rightarrow$  A lang is recursive iff it can be effectively enumerated in lexicographic order.

$\rightarrow$  The state entry problem is given a TM, a state  $q \in Q$  and  $w \in \Sigma^*$ , decide whether or not the state ' $q$ ' is ever entered when M is applied to ' $w$ '. This is undecidable.

Given a TM  $M$ , whether or not  $M$  halts if it started with a blank tape. This is undecidable.

Almost any problem related to RE language is undecidable.

$L$  is Recursively enumerable:

$TM$  exist:  $M_0, M_1, \dots$

*They accept string in  $L$ , and do not accept any string outside  $L$*

$L$  is Recursive:

*at least one  $TM$  halts on  $L$  and on  $\sum^* - L$ , others may or may not.*

$L$  is Recursively enumerable but not Recursive:

$TM$  exist:  $M_0, M_1, \dots$

*but none halts on all  $x$  in  $\sum^* - L$*

*$M_0$  goes on infinite loop on a string  $p$  in  $\sum^* - L$ , while  $M_1$  on  $q$  in  $\sum^* - L$*

*However, each correct  $TM$  accepts each string in  $L$ , and none in  $\sum^* - L$*

$L$  is not R.E.:

*no  $TM$  exists*

- Let  $M$  be a TM that halts on all inputs:
  - Question: Is  $L(M)$  recursively enumerable?
  - Answer: Yes! By definition it is!
- Question: Is  $L(M)$  recursive?
- Answer: Yes! By definition it is!
- Question: Is  $L(M)$  in  $r.e - r$ ?
- Answer: No! It can't be. Since  $M$  always halts,  $L(M)$  is recursive.

- Let  $M$  be a TM.

- Question: Is  $L(M)$  r.e.?
  - Answer: Yes! By definition it is!
- 
- Question: Is  $L(M)$  recursive?
  - Answer: Don't know, we don't have enough information.
- 
- Question: Is  $L(M)$  in  $r.e - r$ ?
  - Answer: Don't know, we don't have enough information.

- Let  $M$  be a TM, and suppose that  $M$  loops forever on some string  $x$ .
  - Question: Is  $L(M)$  recursively enumerable?
  - Answer: Yes! By definition it is. But, obviously  $x$  is not in  $L(M)$ .
  - Question: Is  $L(M)$  recursive?
  - Answer: Don't know. Although  $M$  doesn't always halt, some other TM  $M'$  may exist such that  $L(M') = L(M)$  and  $M'$  always halts.
  - Question: Is  $L(M)$  in r.e. – r?
  - Answer: Don't know.

May be another  $M'$  will halt on  $x$ , and on all strings! May be no TM for this  $L(M)$  does halt on all strings! We just do not know!
- Let  $M$  be a TM.
  - As noted previously,  $L(M)$  is recursively enumerable, but may or may not be recursive.
  - Question: Suppose, we know  $L(M)$  is recursive. Does that mean  $M$  always halts?
  - Answer: Not necessarily. However, some TM  $M'$  must exist such that  $L(M') = L(M)$  and  $M'$  always halts.
  - Question: Suppose that  $L(M)$  is in r.e. – r. Does  $M$  always halt?
  - Answer: No! If it did then  $L(M)$  would be recursive and therefore not in r.e. – r.