

Tutorial 4

Symmetric states

Q2) Bosons

(a) photons (bosons) $\{ |H\rangle, |V\rangle \}$

3 photons.

$$|H\rangle \otimes |H\rangle \otimes |H\rangle \equiv |HHH\rangle$$

$$|V\rangle \otimes |V\rangle \otimes |V\rangle \equiv |VVV\rangle$$

$$\frac{1}{\sqrt{3}} (|HHV\rangle + |H VH\rangle + |VHH\rangle)$$

$$\frac{1}{\sqrt{3}} (|VVH\rangle + |VHV\rangle + |HVV\rangle)$$

(b) Dim = 4.

2. Fermions. ← Antisymmetric state.

(c) Two e^- in the same position.

$|\phi\rangle \otimes |\phi\rangle$ ← spatial part.

∴ spin part. ⇒ Antisymmetric

$$|\chi\rangle = \frac{1}{\sqrt{2}} (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) \quad \text{only}$$

Dim = 1

(d) Three e^- in the same position

$|\phi\rangle \otimes |\phi\rangle \otimes |\phi\rangle$

Dim = 0 because of Pauli's exclusion principle.

3) Variational principle applied to the ground state of the atom.

(b) Error = 2%

trial wavefunction

$$\psi(\vec{r}_1, \vec{r}_2) = \psi_{1s}^Z(\vec{r}_1) \psi_{1s}^Z(\vec{r}_2)$$

↓

trial wavefunction
is not too bad.

optimize, Z.

$\langle \psi | H | \psi \rangle$ and find

(c) How about for the Fe atom?

$$\text{Can we use } \psi(\vec{r}_1, \dots, \vec{r}_N) = \prod_i \psi_i(\vec{r}_i)$$

$$\text{Fe} : [\text{Ar}] 3d^6 4s^2$$

$$\uparrow \uparrow \uparrow \uparrow \uparrow \uparrow$$

(large errors from exchange energy — not tested)

Need to impose antisymmetry of the entire wavefunction.

Quiz 4.

Time independent perturbation theory; non-degenerate case:

sign of $E_n^{(2)}$ for $E_n^{(0)}$ being the ground state energy of H_0 .

$$H = H_0 + V$$

$E_n^{(0)}$ for the ground state is < 0 .

Variational principle.

for given H , $\langle \psi | H | \psi \rangle \geq E_{\text{g.s.}}$ for any $|\psi\rangle$ in the Hilbert space.
ground state

In perturbation theory, the higher order corrections you account for,

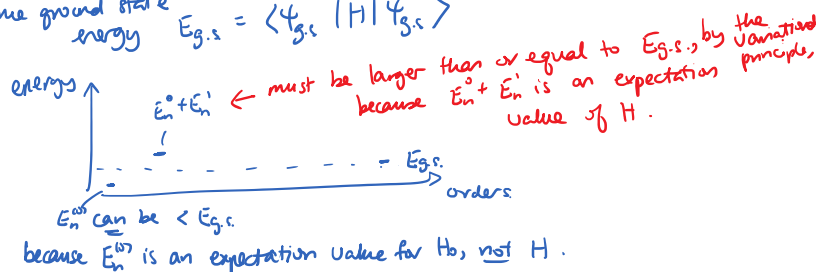
... is to the 'true' answer.

In perturbation theory, the higher order corrections you account for, the closer your answer is to the 'true' answer.

But $E_n^{(1)}$ is not always negative; $E_n^{(1)} = \langle \psi_n^{(0)} | V | \psi_n^{(0)} \rangle$
 $E_n^{(0)} = \langle \psi_n^{(0)} | H_0 | \psi_n^{(0)} \rangle$

$$E_n^{(0)} + E_n^{(1)} = \langle \psi_n^{(0)} | H_0 + V | \psi_n^{(0)} \rangle = \langle \psi_n^{(0)} | H | \psi_n^{(0)} \rangle$$

True ground state energy $E_{g.s.} = \langle \psi_{g.s.} | H | \psi_{g.s.} \rangle$



Degenerate perturbation theory

From W9L2,

we compared coefficients and obtained: state such that $|\psi_n(\lambda)\rangle = |\psi_n^{(0)}\rangle + |\psi_n^{(1)}\rangle + \dots$

$$\langle \psi_n^0 | V | \psi_n^0 \rangle = E_n^1 \langle \psi_n^0 | \psi_n^0 \rangle \quad (1) \quad \text{varies smoothly with } \lambda \text{ and } \rightarrow |\psi_n^0\rangle \text{ when } \lambda \rightarrow 0.$$

where $|\psi_n^0\rangle$ is any state in the degenerate subspace.

Eq (1) tells us that

$$V |\psi_n^0\rangle = E_n^1 |\psi_n^0\rangle \text{ in the degenerate subspace.}$$

eg if $x^T A y = 0$ for any x
 $\Rightarrow A y = 0$

if $x^T A y = 0$ for any x in a subspace \mathcal{W} ,
 $\Rightarrow A y = 0$ in \mathcal{W} .

$$\langle \psi_n^0 | V - E_n^1 \mathbb{1} | \psi_n^0 \rangle = 0 \text{ for any } |\psi_n^0\rangle \text{ in the degenerate subspace.}$$

$$\Rightarrow V - E_n^1 \mathbb{1} |\psi_n^0\rangle = 0 \text{ in the degenerate subspace.}$$

So the correct choice of $|\psi_n^0\rangle$ is the eigenvector of V in the degenerate subspace, and E_n^1 is the corresponding eigenvalue.

What does it mean for $|\psi_n^0\rangle$ to be an eigenvector in the degenerate subspace (\mathcal{W}) for V ?

$$V |\psi_n^0\rangle = E_n^1 |\psi_n^0\rangle \text{ in } \mathcal{W}.$$

Not true that $V |\psi_n^0\rangle = E_n^1 |\psi_n^0\rangle$ in the Hilbert space for $|\psi_n^0\rangle$.

$$V |\psi_n^0\rangle = E_n^1 |\psi_n^0\rangle + \alpha |\psi_\perp\rangle \quad \text{the states in } \mathcal{W}^\perp \text{ where } |\psi_\perp\rangle \text{ is orthogonal to } \mathcal{W}.$$

eg matrix V

$$\begin{pmatrix} \overbrace{V_{11} \quad 0}^{\text{deg subspace}} \\ 0 \quad V_{22} \\ E_1 \quad E_2 \\ E_3 \quad E_4 \end{pmatrix}$$

matrix V

$$\begin{pmatrix} \text{yellow} & & \\ \epsilon_1 & \epsilon_2 & \\ \epsilon_3 & \epsilon_4 & \end{pmatrix}$$

$$V \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} V_{11} \\ \epsilon_1 \\ \epsilon_3 \end{pmatrix} \left. \begin{array}{l} \text{in the degenerate subspace.} \\ \text{orthogonal to the degenerate subspace.} \end{array} \right\}$$

In practice, we focus on the degenerate subspace
 \rightarrow submatrix (yellow highlight)
 \rightarrow diagonalize it
 \rightarrow eigenvalues are 1st order corrections.

Example.

$$H_0 = \begin{pmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad E_1^0 = -1, \quad E_2^0 = E_3^0 = 1$$

Corresponding eigenstates are $\{|1\rangle, |2\rangle, |3\rangle\}$
 \downarrow
 form a basis \mathcal{B} .

Consider a perturbation V on H_0 .

In the basis \mathcal{B} , V is given by

$$V = \begin{pmatrix} V_{11} & -\epsilon & 0 \\ -\epsilon & 0 & 0 \\ V_{21} & 0 & 0 \end{pmatrix} \quad \text{where } \epsilon > 0$$

Find the 1st and 2nd order corrections to the eigenvalue E_1^0 of H_0 .
 Find the 1st order corrections to E_2^0 and E_3^0 .

- Check for degeneracies.

E_1^0 : not degenerate

E_2^0, E_3^0 : degenerate.

$$\begin{aligned} E_1^1 &= \langle 1 | V | 1 \rangle = 0 \\ E_1^2 &= \sum_{m \neq 1} \frac{|\langle \psi_m^0 | V | \psi_1^0 \rangle|^2}{E_1^0 - E_m^0} = \frac{|V_{21}|^2}{E_1^0 - E_2^0} + \frac{|V_{31}|^2}{E_1^0 - E_3^0} \\ &= \frac{\epsilon^2}{-1 - 1} \\ &= -\frac{1}{2} \epsilon^2. \end{aligned}$$

If the question says:

Find the ground state eigenvalue of $H = H_0 + V$
 to 2nd order in ϵ .

Need to write: $E_1 = -1 + 0 - \frac{1}{2} \epsilon^2$
 $= -1 - \frac{1}{2} \epsilon^2.$

For E_2^0 and E_3^0 , V is already diagonal in the degenerate subspace.

$$E_2^1 = V_{22} = 0$$

$$E_3^1 = V_{33} = 0.$$

Eg. 2D Harmonic Oscillator.

$$\hat{H}_0 = \frac{\hat{p}_x^2}{2m} + \frac{\hat{p}_y^2}{2m} + \frac{m\omega^2}{2} (\hat{x}^2 + \hat{y}^2)$$

$$\hat{x} = \frac{1}{\sqrt{2}\beta} (\hat{a} + \hat{a}^\dagger)$$

$$\hat{y} = \frac{1}{\sqrt{2}\beta} (\hat{b} + \hat{b}^\dagger)$$

$$\beta = \sqrt{\frac{m\omega}{\hbar}}.$$

Eigenstates of \hat{H}_0 are $\varphi_{np} = \varphi_n(x) \varphi_p(y)$ where $\varphi_n(x)$ and $\varphi_p(y)$

$$|n, p\rangle$$

are eigenfunctions of
the 1D Harmonic
oscillator.

$$E_{np} = \hbar\omega(n + \frac{1}{2}) + \hbar\omega(p + \frac{1}{2})$$

$$= \hbar\omega(n + p + 1) \quad , \quad n, p \text{ are non-negative integers.}$$

Consider $V = Kxy$

Use degenerate perturbation theory to find the 1st order
corrections to $E_{01} = E_{10}$.

Let's use the basis $\{|1, 0\rangle, |0, 1\rangle\}$ for our matrix
representation of V .

We need to find the matrix

$$\tilde{V} = K \begin{pmatrix} \langle 1, 0 | xy | 1, 0 \rangle & \langle 1, 0 | xy | 0, 1 \rangle \\ \langle 0, 1 | xy | 1, 0 \rangle & \langle 0, 1 | xy | 0, 1 \rangle \end{pmatrix}$$

$$\hat{x}\hat{y} = \frac{1}{2\beta^2} (\hat{a} + \hat{a}^\dagger)(\hat{b} + \hat{b}^\dagger)$$

$$= \frac{1}{2\beta^2} (\hat{a}\hat{b} + \hat{a}^\dagger\hat{b} + \hat{a}\hat{b}^\dagger + \hat{a}^\dagger\hat{b}^\dagger)$$

$$\hat{a}|n\rangle = \sqrt{n}|n-1\rangle$$

$$\hat{a}^\dagger|n\rangle = \sqrt{n+1}|n+1\rangle$$

$\hat{x}\hat{y}$ is odd and $|1, 0\rangle, |0, 1\rangle$ have definite parity
 $\langle 1, 0 | \hat{x}\hat{y} | 1, 0 \rangle = \langle 0, 1 | \hat{x}\hat{y} | 0, 1 \rangle = 0$.

$$\langle 1, 0 | \hat{x}\hat{y} | 0, 1 \rangle = \frac{1}{2\beta^2} \langle 1, 0 | \hat{a}^\dagger\hat{b} | 0, 1 \rangle$$

$$= \frac{1}{2\beta^2}$$

$$\langle 0, 1 | \hat{x}\hat{y} | 1, 0 \rangle = \langle 1, 0 | \hat{x}\hat{y} | 0, 1 \rangle^* = \frac{1}{2\beta^2}$$

$$\text{so } \tilde{V} = \frac{K}{2\beta^2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

Diagonalize \tilde{V} : $\det(\tilde{V} - \lambda I) = 0$

$$\begin{vmatrix} -\lambda & \\ & \frac{K}{2\beta^2} - \lambda \end{vmatrix} = 0$$

$$\lambda^2 - \left(\frac{K}{2\beta^2}\right)^2 = 0$$

$$\lambda = \pm \frac{K}{2\beta^2}$$

So the 1st order corrections are $E_1' = \frac{K}{2\beta^2}$, $E_2' = -\frac{K}{2\beta^2}$.

no V

with V

$$\begin{array}{ccc} E_{01} = E_{10} & \xrightarrow{\quad} & E_0 + \frac{K}{2\beta^2} \\ E_1 & \xrightarrow{\quad} & E_0 - \frac{K}{2\beta^2} \end{array}$$

degeneracy is broken.

Quiz 5 — folder on CANVAS, Quizzes

Quiz5.pdf.

Hand in 29 Oct in class.
(beginning of class).

(Appendix: 2nd order corrections for degenerate perturbation theory — not tested)

Still with degenerate perturbation theory.

- an approach to find the states that diagonalize V in the degenerate subspace ("right" basis); or helps us to evaluate the matrix elements for V .

— If we have a Hermitian operator \hat{P} s.t. that $[\hat{P}, \hat{V}] = 0$,

and if $|\hat{\varphi}_a^0\rangle$, $|\hat{\varphi}_b^0\rangle$ are eigenstates of \hat{P}

with different eigenvalues $P_a \neq P_b$,

then $V_{ab} = \langle \hat{\varphi}_a^0 | V | \hat{\varphi}_b^0 \rangle = 0$

[If $[\hat{P}, \hat{H}_0] = 0$, then \exists a common set of eigenstates for \hat{P} and \hat{H}_0 .

So we can find eigenstates of \hat{H}_0 in the degenerate subspace that diagonalize V .]

Proof: $[\hat{P}, \hat{V}] = 0$.

$$\hat{P} \hat{V} |\hat{\varphi}_b^0\rangle = \hat{V} \hat{P} |\hat{\varphi}_b^0\rangle = P_b \hat{V} |\hat{\varphi}_b^0\rangle$$

Apply $\langle \hat{\varphi}_a^0 |$:

$$\text{LHS: } \langle \hat{\varphi}_a^0 | \hat{P} \hat{V} |\hat{\varphi}_b^0\rangle = P_a \langle \hat{\varphi}_a^0 | \hat{V} |\hat{\varphi}_b^0\rangle$$

$$\text{RHS: } P_b \langle \hat{\varphi}_a^0 | \hat{V} |\hat{\varphi}_b^0\rangle$$

$$\text{LHS} = \text{RHS} \Rightarrow P_a \langle \hat{\varphi}_a^0 | \hat{V} |\hat{\varphi}_b^0\rangle = P_b \langle \hat{\varphi}_a^0 | \hat{V} |\hat{\varphi}_b^0\rangle$$

$$(P_a - P_b) \langle \hat{\varphi}_a^0 | \hat{V} |\hat{\varphi}_b^0\rangle = 0$$

$$P_a \neq P_b \Rightarrow \langle \hat{\varphi}_a^0 | \hat{V} |\hat{\varphi}_b^0\rangle = 0 =$$

Example.

Hydrogen atom.

$$H_0 = \frac{\vec{p}^2}{2m} - \frac{e^2}{r}$$

$$H = H_0 + V, \quad V = -e|\vec{E}|\vec{z}, \quad |\vec{E}| \text{ small.}$$

Eigenstates of the hydrogen atom have definite parity.

Degeneracy is n^2 .

- (a) What is the 1st order correction to the ground state (1s) eigenvalue of H_0 due to V ? 1s is $|100\rangle$.

No degeneracy for E_1 .

$$\begin{aligned} \text{So } E_1^{(1)} &= \langle 100 | V | 100 \rangle \\ &= 0 \quad \text{because } V \text{ is an odd operator,} \\ &\quad \text{100 has definite parity.} \end{aligned}$$

- (b) Show that $[V, L_z] = 0$.

$$\vec{L} = \vec{r} \times \vec{p}$$

$$L_z = r_x p_y - r_y p_x$$

$$V \propto z$$

$$[z, L_z] = [z, r_x p_y - r_y p_x] = 0 \quad \text{because } z \text{ commutes with } x, y, p_x, p_y.$$

(c) Recall that the 1st excited state of H_0 is degenerate.

The degenerate states are 2s, and three 2p states.

$$|200\rangle$$

$$|21-1\rangle$$

$$|210\rangle$$

$$|211\rangle$$

Using the result in (b) and showing your analysis

clearly, find the 1st order corrections to the eigenvalues for the 1st excited state of H_0 .

You do not need to evaluate the exact value of the 1st order corrections, if they are non-zero.