

CS2040 – Data Structures and Algorithms

Lecture 14 – Finding Shortest Way from Here to There, Part I

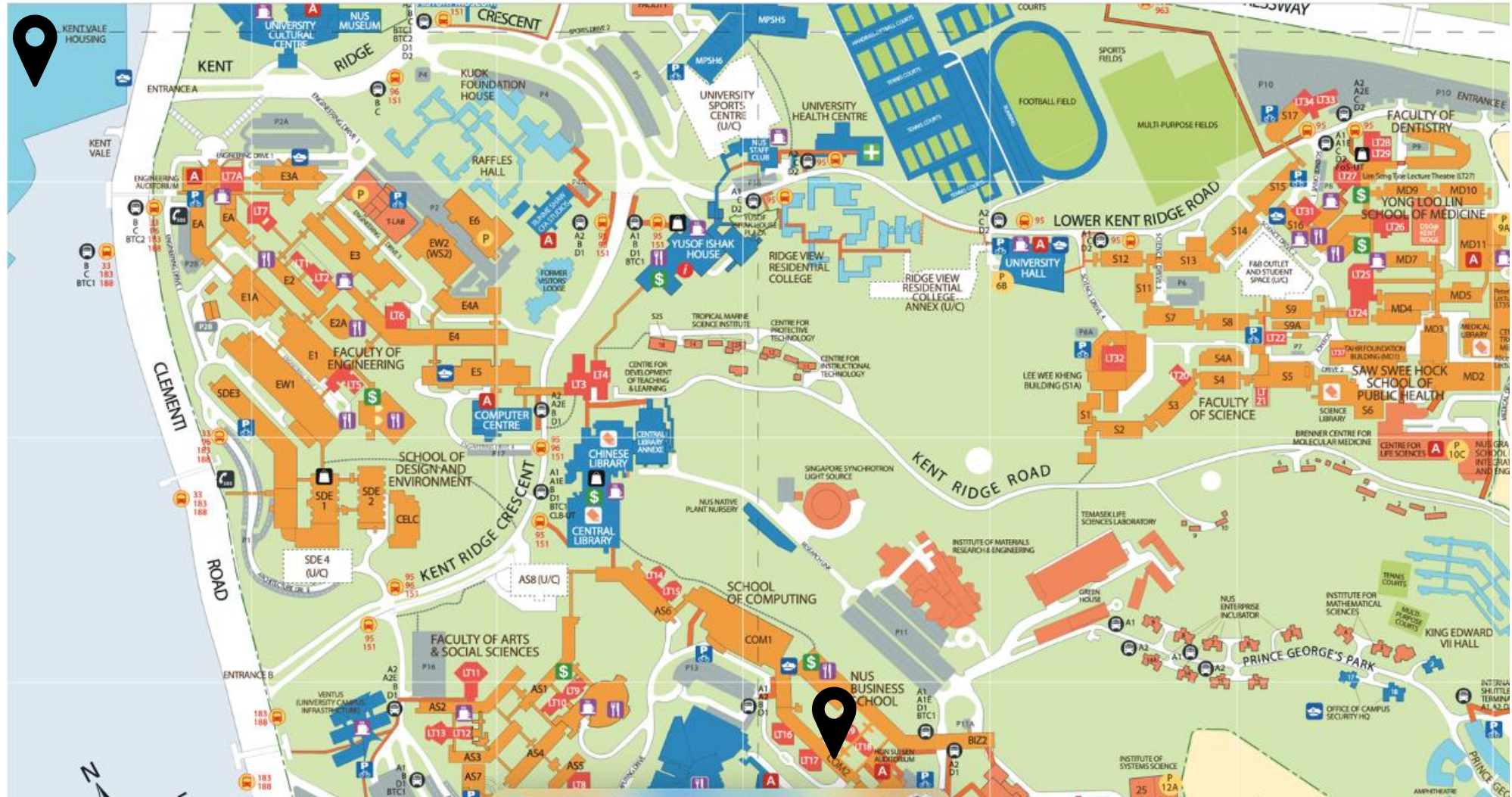
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Outline

- Single-Source Shortest Paths (SSSP) Problem
 - Motivating example
 - Some more definitions
 - Discussion of negative weight edges and cycles
- Algorithms to Solve SSSP Problem (CP4 Section 4.4)
 - BFS algorithm (cannot be used for the general SSSP problem)
 - Bellman-Ford's algorithm
 - Precursor
 - Pseudo code, example animation, and later: Java implementation
 - Theorem, proof, and corollary about Bellman-Ford's algorithm
- <https://visualgo.net/sssp>

Motivational Example



Definitions (yes, we had to have them!)

- **Path** (p): $\langle v_0, v_1, v_2, \dots, v_k \rangle$, where an edge exists between $(v_0, v_1), (v_1, v_2), \dots, (v_{k-1}, v_k)$
 - Usually a simple path (no repeated vertex), unless there is a negative cycle

- Shortcut notation: v_0  v_k

- **Path weight**: $PW(p) = w(v_0, v_1) + \dots + w(v_{k-1}, v_k)$

- **Important**: Edges are **Directed**

More definitions

- **Shortest Path weight** from vertex **a** to **b**: $\delta(\mathbf{a}, \mathbf{b})$ (pronounced as 'delta')

$$\delta(a, b) = \begin{cases} \min (PW(p)) & \text{If there exists such a path} \\ \infty & \text{If } \mathbf{b} \text{ is unreachable from } \mathbf{a} \end{cases}$$

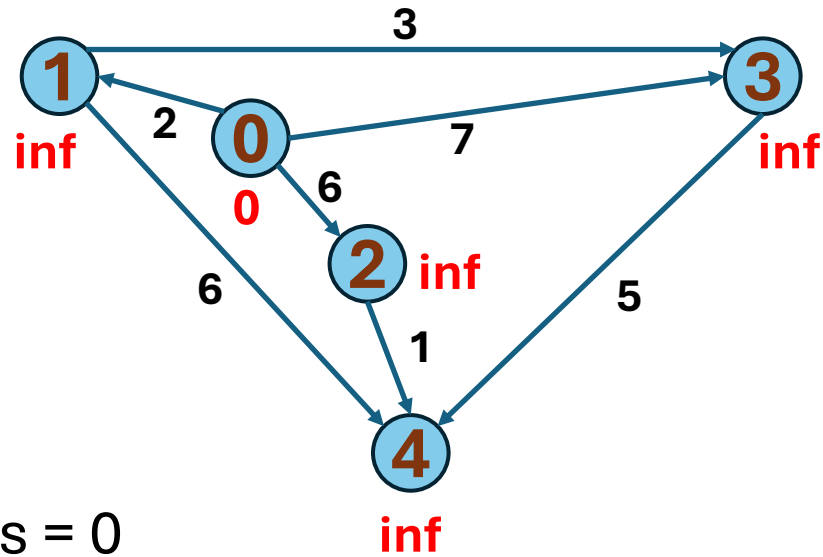
Single Source Shortest Paths – Problem

- Given $G(V, E)$, $w(a, b): E \rightarrow \mathbb{R}$, and a source vertex s
- Find $\delta(s, b)$ from vertex s to each vertex b (in V) together with the corresponding shortest path
 - From **one** source to the **rest**

Some More Definitions

- **Additional Data Structures** to solve the SSSP problem:
 - An Array/Vector **D** of size **V** (**D** stands for ‘distance’)
 - Initially, $D[v] = 0$ if $v = s$; otherwise $D[v] = \infty$ (a large number)
 - **D[v]** decreases as we find better paths
 - $D[v] \geq \delta(s, v)$ throughout the execution of SSSP algorithm
 - $D[v] = \delta(s, v)$ at the end of SSSP algorithm
 - An Array/Vector **p** of size **V**
 - **p[v]** = the predecessor on best path from source **s** to **v**
 - **p[s]** = -1 (not defined)
 - **Recall:** The usage of this Array/Vector **p** is already discussed in BFS/DFS Spanning Tree

Example



$s = 0$

Initially:

$D[s] = D[0] = 0$

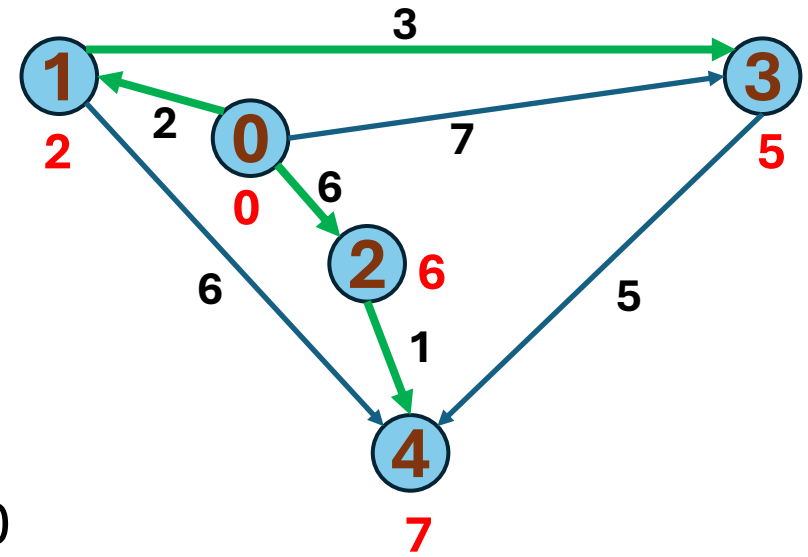
$D[v] = \text{inf}$ for the rest

Denoted as values in **red font/vertex**

$p[s] = -1$ (to say 'no predecessor')

$p[v] = -1$ for the rest

Denoted as **green edges (none initially)**



$s = 0$

At the end of algorithm:

$D[s] = D[0] = 0$ (unchanged)

$D[v] = \delta(s, v)$ for the rest

e.g. $D[2] = 6$, $D[4] = 7$

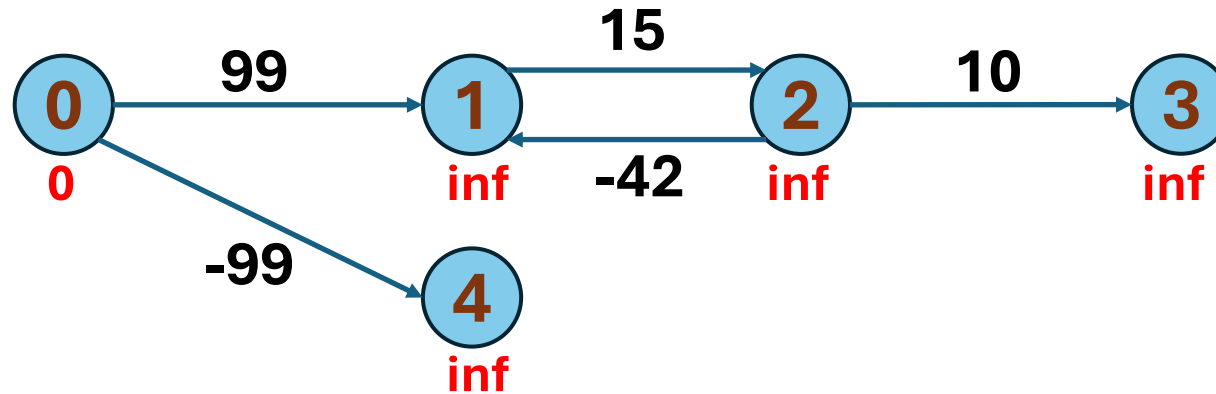
$p[s] = -1$ (source has no predecessor)

$p[v] =$ the origin of **green edges** for the rest

e.g. $p[2] = 0$, $p[4] = 2$

Negative Edge Weights and Cycles

- Exists in some applications



- Shortest paths from 0 to {1, 2, 3} are **undefined**
 - $1 \rightarrow 2 \rightarrow 1$ is a negative cycle as it has negative total path (cycle) weight
 - One can take $0 \rightarrow 1 \rightarrow 2 \rightarrow 1 \rightarrow 2 \dots$ indefinitely to get $-\infty$
- Shortest path from 0 to 4 is ok, with $\delta(0, 4) = -99$

SSSP Algorithms

- SSSP problem is a(nother) **well-known** CS problem
- Three algorithms discussed in this topic
 1. $O(V+E)$ BFS which fails on *general case* of SSSP problem but useful for a special case
 - Introducing the “initSSSP” and “Relax” operations
 2. General SSSP algorithm (pre-cursor to Bellman-Ford)
 3. $O(VE)$ Bellman-Ford’s SSSP algorithm
 - General idea of SSSP algorithm
 - Trick to ensure termination of the algorithm
 - Bonus: Detecting negative weight cycle

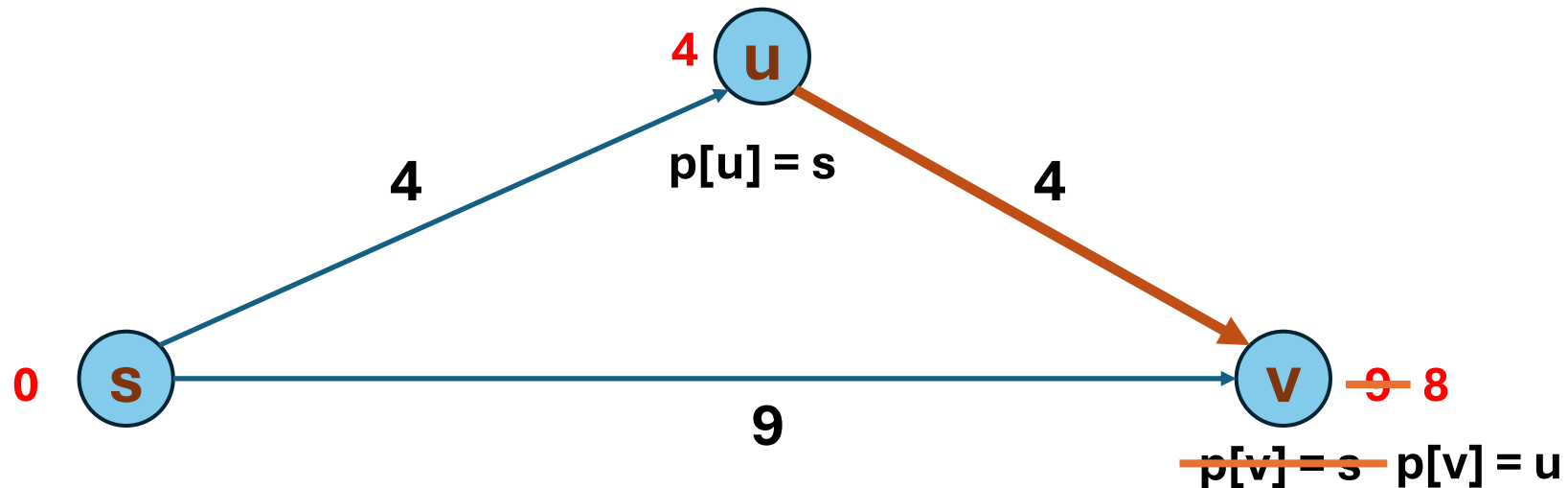
Initialisation Step

- Used in all the SSSP algorithms discussed

```
initSSSP(s)
  for each  $v \in V$  // initialisation phase
     $D[v] \leftarrow 1000000000$  // use 1B to represent INF
     $p[v] \leftarrow -1$  // use -1 to represent NULL
   $D[s] \leftarrow 0$  // this is what we know so far
```

'Relaxation' Operation 🌴🌴

```
relax(u, v, w(u,v))  
  if  $D[v] > D[u] + w(u,v)$  // if SP can be shortened  
     $D[v] \leftarrow D[u] + w(u,v)$  // relax this edge  
     $p[v] \leftarrow u$  // remember/update the predecessor  
    // if necessary, update some data structure
```



BFS for SSSP

- When the graph is **unweighted/edges have same weight**, the SSSP can be viewed as a problem of finding the **least number of edges** traversed from source **s** to other vertices
- The $O(V+E)$ Breadth First Search (BFS) traversal algorithm precisely measures this (BFS Spanning Tree = Shortest Paths Spanning Tree)

BFS Modifications

- **Three** simple modifications:

1. Replace **visited** with **D**
2. At the start of BFS, set **D[v] = INF** (say, 1 Billion) for all **v** in **G**, except **D[s] = 0**
3. Change this part (in the BFS loop) from:

```
if visited[v] = 0 // if v is not visited before
    visited[v] = 1; // set v as reachable from u
```

into:

```
if D[v] = INF // if v is not visited before
    D[v] = D[u]+1; // v is 1 step away from u ☺
```

Modified BFS Pseudo Code

```
for all v in V
    D[v] ← INF
    p[v] ← -1
Q ← {s} // start from s
D[s] ← 0
```

Initialization phase

```
while Q is not empty
    u ← Q.dequeue()
    for all v adjacent to u // order of neighbour
        if D[v] = INF // influences BFS
            D[v] ← D[u]+1 // visitation sequence
            p[v] ← u
            Q.enqueue(v)
```

Main loop

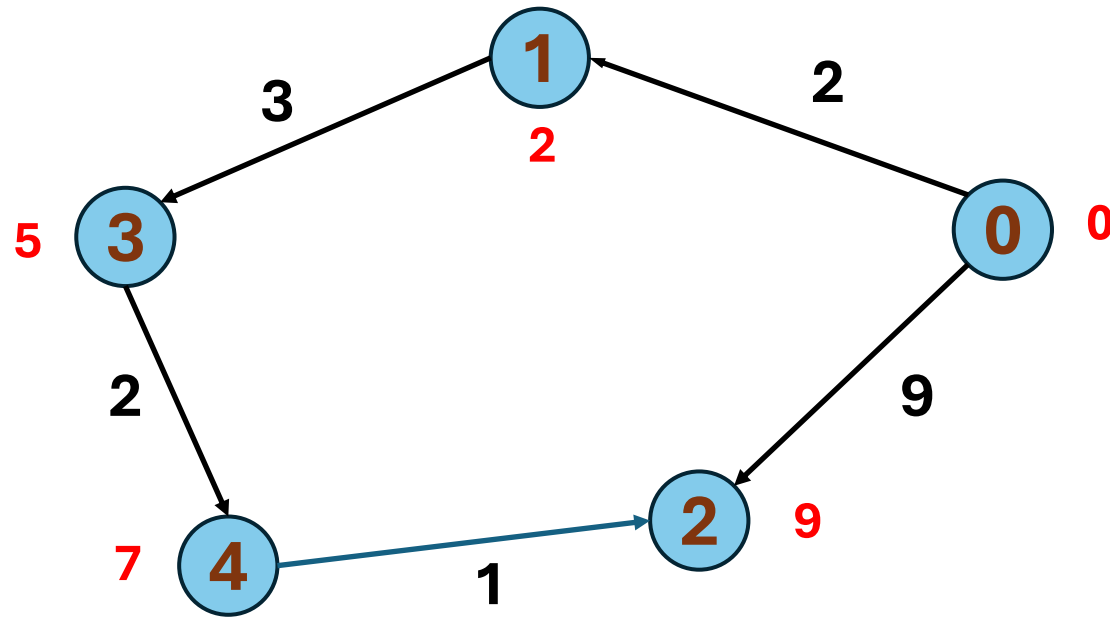
```
// we can then use information stored in D/p
```


But

- BFS does not always work on generic graphs ☹️

For Visualgo

```
5 5
0 1 2
0 2 9
1 3 3
3 4 2
4 2 1
```

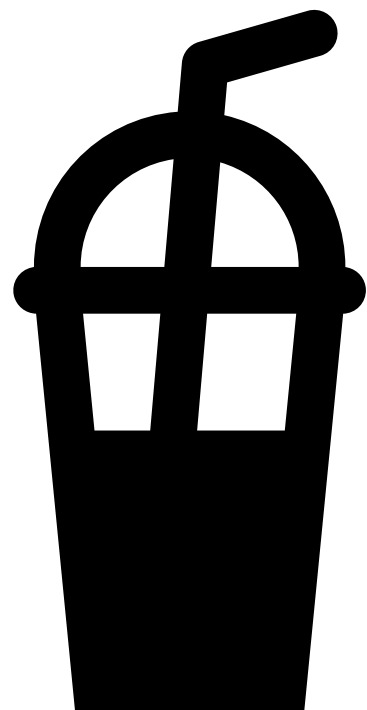


Generally:

If you know **for sure** that your graph is unweighted

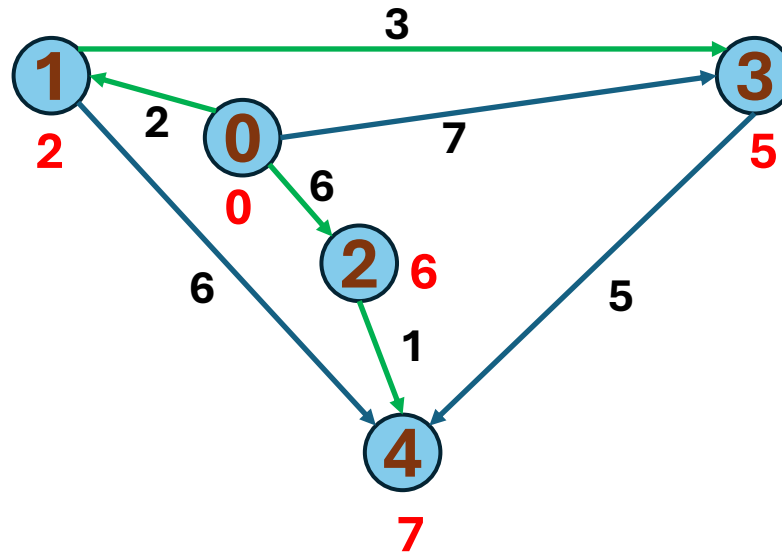
- Use BFS $O(V + E)$ to solve the SSSP problem – more efficient

Take a Break



SSSP – Terminating Condition

- How do we determine when an algorithm has solved the SSSP?
 - When for all edges (u,v) , $D[v] \leq D[u] + w(u,v)$ (i.e., no edge can be relaxed further)

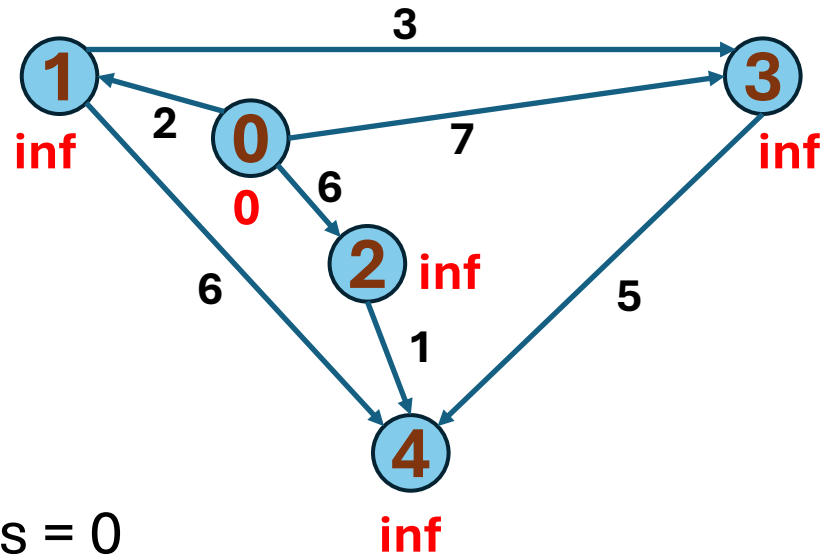


Simple Algorithm

```
initSSSP(s) // as defined earlier

repeat // main loop
    select edge(u, v) ∈ E in arbitrary manner
    relax(u, v, w(u, v)) // as defined in previous slide
until all edges have  $D[v] \leq D[u] + w(u, v)$ 
```

Recall: Example



$s = 0$

Initially:

$D[s] = D[0] = 0$

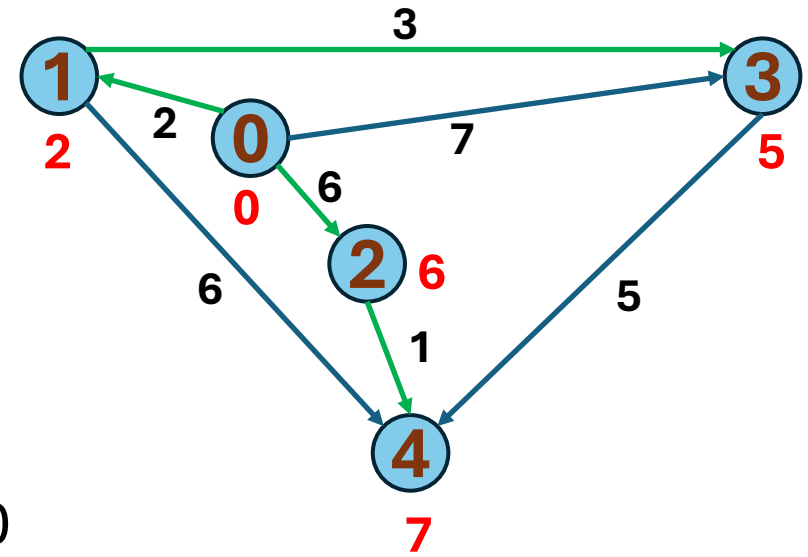
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$p[s] = -1$ (to say 'no predecessor')

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Denoted as **green edges (none initially)**



$s = 0$

At the end of algorithm:

$D[s] = D[0] = 0$ (unchanged)

$D[v] = \delta(s, v)$ for the rest

e.g. $D[2] = 6$, $D[4] = 7$

$p[s] = -1$ (source has no predecessor)

$p[v] =$ the origin of **green edges** for the rest

e.g. $p[2] = 0$, $p[4] = 2$

Simple SSSP Algorithm – Analysis

- If given a graph without negative weight cycle, when will this simple SSSP algorithm terminate?
 - Depends on your luck ... ☹️
 - Can be very slow ...

- The main problem is in this line:

`select edge (u, v) ∈ E in arbitrary manner`

- Next, we will study **Bellman-Ford's** algorithm that do these relaxations in a *better order*!

Bellman-Ford's Algorithm

```
initSSSP(s)

// Simple Bellman-Ford's algorithm runs in  $O(\mathbf{VE})$ 
for i = 1 to  $|V|-1$  //  $O(\mathbf{V})$  here
    for each edge  $(u, v) \in E$  //  $O(\mathbf{E})$  here
        relax(u, v,  $w(u, v)$ ) //  $O(\mathbf{1})$  here

// At the end of Bellman-Ford's algorithm,
//  $D[v] = \delta(s, v)$  if no negative weight cycle exist

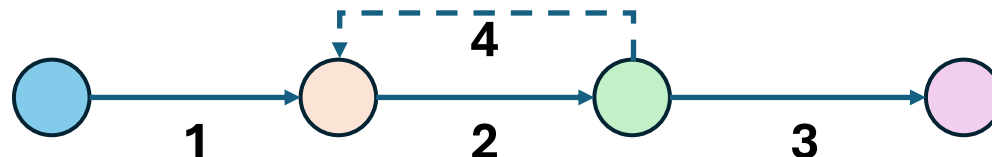
// Q: Why "relaxing all edges  $\mathbf{V}-1$  times" works?
```


Theorem

- If $G = (V, E)$ contains no negative weight cycle, then the shortest path p from s to v is a **simple path**
- Proof by contradiction (our favourite kind of proof 😎)

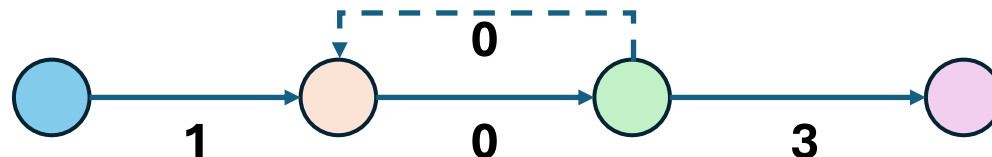
Proof (1/2) – By Contradiction!

1. Suppose the shortest path **p** is not a simple path
2. Then **p** contains one (or more) cycle(s)
3. Suppose there is a cycle **c** in **p** with positive weight
4. If we remove **c** from **p**, then we have a shorter ‘shortest path’ than **p**
5. This contradicts the fact that **p** is a shortest path



Proof (2/2) – By Contradiction!

6. Even if **c** is a cycle with zero total weight (it is possible!), we can still remove **c** from **p** without increasing the SP weight of **p**
7. So, **p** is a simple path (from point 5) or can always be made into a simple path (from point 6)
 - In other words, path **p** has at most $|V|-1$ edges from the source **s** to the “furthest possible” vertex **v** in **G** (in terms of number of edges in the shortest path)



Another Theorem!

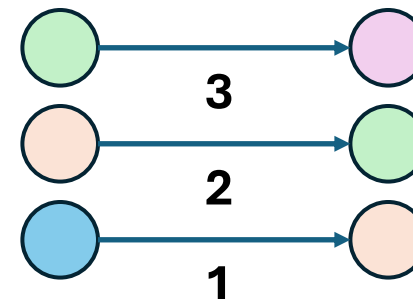
- Theorem 2 : If $\mathbf{G} = (\mathbf{V}, \mathbf{E})$ contains no negative weight cycle, then after Bellman-Ford's terminates $\mathbf{D}[\mathbf{v}] = \delta(\mathbf{s}, \mathbf{v}), \forall \mathbf{v} \in \mathbf{V}$
- Proof by Induction! 😬

Proof (1/2) – By Induction

1. Define \mathbf{v}_i to be any vertex that has shortest path \mathbf{p} requiring i number of edges from s
2. Initially $\mathbf{D}[\mathbf{v}_0] = \delta(\mathbf{s}, \mathbf{v}_0) = \mathbf{0}$, as \mathbf{v}_0 is just \mathbf{s}
3. After **1** pass through \mathbf{E} , we have $\mathbf{D}[\mathbf{v}_1] = \delta(\mathbf{s}, \mathbf{v}_1)$
4. After **2** passes through \mathbf{E} , we have $\mathbf{D}[\mathbf{v}_2] = \delta(\mathbf{s}, \mathbf{v}_2), \dots$
5. After **k** passes through \mathbf{E} , we have $\mathbf{D}[\mathbf{v}_k] = \delta(\mathbf{s}, \mathbf{v}_k)$

Proof (2/2)– By Induction!

6. When there is no negative weight cycle, the shortest path **p** will be simple (see the previous proof)
7. Thus, after $|V|-1$ iterations, the “furthest” vertex $v_{|V|-1}$ from **s** has $D[v_{|V|-1}] = \delta(s, v_{|V|-1})$
- Even if edges in **E** are processed in the *worst possible order*



A 'Side Effect'

- Corollary: If a value **$D[v]$** *fails to converge* after **$|V|-1$** passes, then there exists a negative-weight cycle reachable from **s**
- Additional check after running Bellman-Ford's

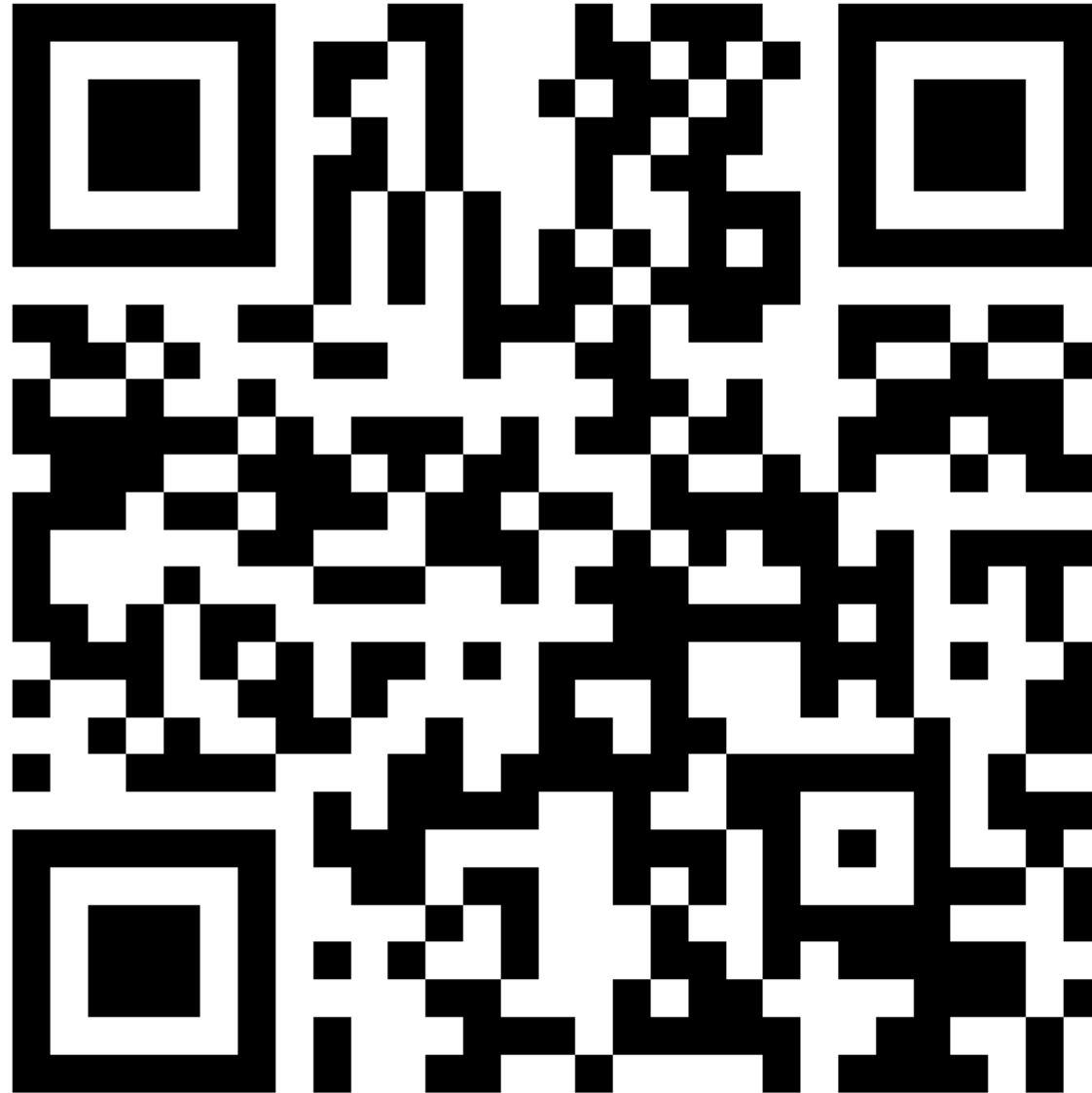
```
for each edge  $(u, v) \in E$   
    if  $(D[u] \neq \text{INF} \ \&\& \ D[v] > D[u] + w(u, v))$   
        report negative weight cycle exists in  $G$ 
```


Summary

- SSSP problem
- BFS algorithm for unweighted SSSP problem
 - But it fails on general case
- Bellman-Ford's algorithm
 - Solves SSSP problem for general weighted graph in $O(\mathbf{VE})$
 - Can also be used to detect the presence of negative weight cycle

Next

- Special cases of the classical SSSP problem



Continuous Feedback

<https://forms.office.com/r/KsNwmTUD0q>