

1. on page 2: By looking at the last column id (idle time), which system is under heavy load?

To know which system has heavy load, we need to look at four factors:

- a. id (idle time): We can see from the illustration given that most of the instances are with idle time of *zero*. In other words, the second system has so much less idle than the first system, which indicates that the second system is executing the CPU more than the first system.
- b. us (CPU utilization): Executing the processes of the second system led to more *US* than the first system. In other words, the CPU utilization in the second system is higher.
- c. in (interrupts): There are exceedingly more interrupts in the second system than the first system.
- d. cs (context switches): System two has a low number of context switches in its cases compared to the system one.

From the four factors above, we can conclude that the second system is the one under heavy load.

2. on page 3: A case study analysis (Sustained CPU Utilization).

Sustained CPU utilization means the CPU is completely utilized (highest CPU usage).

To analyze this case study, we need to consider multiple factors:

- a. r (Run Queue): We can notice that the average length of the run queue is about 2 threads. In other words, an average of 2 threads wait for the CPU to execute them but the CPU is busy executing other threads. However, we can see that the last case, in the illustration given, has a run queue length of *zero*, which means the CPU has been able to execute them without leading to starvation. In general, the run queue length, in this case study system, is suitable and does not affect performance negatively. It is important to mention that there is only one run queue for each processor, and usually if the length of the run queue is less than 10 in a processor, then that is appropriate, or if the average length of run queue in most cases is not more than 3, then it is more efficient.
- b. b (Processes Blocked): We can see from the illustration given that there are zero blocked processes in all cases. In other words, these processes are either done with the I/O wait or do not need I/O. This leads to a higher CPU utilization.
- c. in (interrupts): This is the number of interrupts per second. We can notice that the system has a relatively high number of interrupts in most cases. This means that the system is under heavy load.
- d. cs (Context Switches): This is the number of context switches per second. The less context switches there are, the less heavy the system. In this case, the context

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switches are relatively low. Which means there are less interrupts or switching between processes, which means the CPU is executing the process in large chunks. We can also observe that, in each run, the context switches are incredibly low compared to the interrupts, which means better performance because fewer context switches mean better process utilization, or better CPU performance.

- e. us (CPU Utilization) and sy (kernel Utilization): We notice that the sum of the user processes (us) and the kernel/interrupts processes (sy) results in almost 100% in most cases and it is divided almost 70/30 between user and kernel. Which means that the CPU is fully utilized, giving time for both the kernel and the user processes.
- f. Id (CPU idle time): Most cases are zero. In other words, the CPU is fully utilized, better performance. However, this can affect computers with small RAM, but in general, the system is working properly.

3. on page 4: Overloaded Scheduler analysis

- a. Let us first compare the context switches with the interrupts: we can observe that, in each run, the interrupt is less than the context switch. In other words, the result of difference between the context switches and the interrupts lead CPU overload. To explain, since the interrupt are lower than the context switches, this means between interrupts, there is relatively a high number of context switches for each process, and the more switches there are, the lower the performance and efficiency. This leads to a decrease in the overall performance.

- b. us (CPU utilization of user processes): to calculate the total utilization of the user processes, we need to do the following:

Total user processes: $4 + 8 + 9 + 3 + 7 + 2 + 22 + 12 + 6 + 5 + 6 = 84$

Then calculate the total utilization in percentage:

Total user processes * (number of runs/100)

$84 * 11/100 = 9.24\%$

Average user utilization = $84/11 = 7.63\%$

Conclusion:

The average is less than the total, which means the utilization of user processes is appropriate.

- c. r (run queue): The average run time length is $2+2+3+4+5+4+6 = 26$

The average run time length = $26/11 = 2.4$

We can notice that the least run time is 0 and the highest is 6. The average 2.4 is between these two values. This means that it is relatively normal. However, for better performance, the length of the run queue is better to be less than 3 in each run and less than 10 threads per run.

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- d. Sy (kernel and interrupt utilization): We can observe that the system kernel processes are taking more of the CPU than the user processes in most runs. In other words, the CPU is overloaded and trying to reschedule priorities.

4. on page 4&5: Practice section

Part 1:

Step1: Run vmstat on your machine with a 1 second delay between updates. Let it run for approximately 10 seconds. Notice the CPU utilization by looking at the (id) column Hint: To stop vmstat, CTRL + C

```
rawan@rawan-VirtualBox:~$ vmstat -t 1 10
procs -----memory----- --swap-- --io-- --system-- --cpu-- --timestamp--
r b swpd free buff cache si so bi bo in cs us sy id wa st +04
0 0 0 612740 59868 749876 0 0 9492 385 736 7905 46 15 37 2 0 2021-01-06 12:48:47
0 0 0 612732 59876 749924 0 0 0 52 289 955 10 4 85 1 0 2021-01-06 12:48:48
1 0 0 612732 59876 749924 0 0 0 0 341 967 6 1 93 0 0 2021-01-06 12:48:49
1 0 0 624844 59876 749920 0 0 0 0 235 848 14 2 84 0 0 2021-01-06 12:48:50
0 0 0 621560 59876 751080 0 0 0 0 564 2372 31 7 62 0 0 2021-01-06 12:48:51
0 0 0 621560 59876 751080 0 0 0 0 697 2063 52 2 46 0 0 2021-01-06 12:48:52
1 0 0 609576 59876 750984 0 0 0 0 369 1102 37 7 56 0 0 2021-01-06 12:48:53
0 0 0 609576 59876 750948 0 0 0 0 618 2400 7 5 88 0 0 2021-01-06 12:48:54
0 0 0 609576 59876 750948 0 0 0 0 201 522 5 1 94 0 0 2021-01-06 12:48:55
11 0 0 604032 59888 750948 0 0 0 84 96 205 3 1 96 0 0 2021-01-06 12:48:56
```

Illustration 1.1: The system vmstat analysis.

Step2: Run ex00.py script (Ubuntu\$ python ex00.py). Then run vmstat in another terminal.

```
rawan@rawan-VirtualBox:~$ vmstat -t 1 10
procs -----memory----- --swap-- --io-- --system-- --cpu-- --timestamp--
r b swpd free buff cache si so bi bo in cs us sy id wa st +04
4 0 0 523788 60804 807764 0 0 6371 250 602 5302 45 11 43 1 0 2021-01-06 12:49:32
1 0 0 531352 60836 809516 0 0 1520 0 651 1151 98 2 0 0 0 2021-01-06 12:49:33
1 0 0 531352 60836 809516 0 0 0 0 580 592 99 1 0 0 0 2021-01-06 12:49:34
1 0 0 531352 60844 809508 0 0 0 24 506 280 100 0 0 0 0 2021-01-06 12:49:35
1 0 0 532596 60844 809516 0 0 0 0 614 706 100 0 0 0 0 2021-01-06 12:49:36
1 0 0 532620 60844 809516 0 0 0 0 466 226 100 0 0 0 0 2021-01-06 12:49:37
1 0 0 532620 60844 809516 0 0 0 64 709 1269 100 0 0 0 0 2021-01-06 12:49:38
1 0 0 532620 60844 809516 0 0 0 0 489 215 100 0 0 0 0 2021-01-06 12:49:39
1 0 0 532620 60844 809516 0 0 0 0 660 821 99 1 0 0 0 2021-01-06 12:49:40
1 0 0 533344 60844 809516 0 0 0 0 494 352 100 0 0 0 0 2021-01-06 12:49:41
```

Illustration 1.2: The system vmstat analysis after running ex00.py

Q1. What do you notice in this case?

The r (run time queue) length increases slightly indicating a process waiting.

The id (idle time of the CPU) has dropped to zero in most runs indicating that the CPU – from ex00.py code – pass for one second each run and allowing it to be fully engaged every run because it is executing the code.

The us (User process utilization) resembles in this case the code in ex00.py and it engages the CPU fully 100% in most runs, while the kernel processes give up the CPU to allow the code to run.

Q2. Without opening ex00.py, is there anything you can deduce regarding the script

It will be looping infinitely and engaging the CPU for the user process in the script.

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Q3. Have a look inside ex00.py

```
while True:
    pass
```

Illustration 1.3: the script inside ex00.py

Q4. Why CPU utilization is around 25% (not 100%)? Hint: run mpstat

In a quad-core we can run 4 threads at once. In our script, we only need one thread, which means we are only using quarter of our entire CPU. This is the reason for most modern computers. However, in our case, the user programs are consuming much more.

Part2:

Step1: Open ex01.py and run it with a parameter less than 1000 (exp: Ubuntu\$ python ex01.py 900), and then more than 1000.

```
rawan@rawan-VirtualBox:~$ python3 ex01.py 900
factorial(1)
factorial(2)
factorial(3)
factorial(4)
factorial(5)
factorial(6)
factorial(7)
factorial(8)
factorial(9)
factorial(10)
.
.
.
factorial(895)
factorial(896)
factorial(897)
factorial(898)
factorial(899)
factorial(900)
rawan@rawan-VirtualBox:~$ python3 ex01.py 1100
```

Illustration 2.1: Running ex01.py with a parameter of 900

```
rawan@rawan-VirtualBox:~$ python3 ex01.py 1100
factorial(1)
factorial(2)
factorial(3)
factorial(4)
factorial(5)
factorial(6)
factorial(7)
factorial(8)
```

```
factorial(997)
factorial(998)
Traceback (most recent call last):
  File "ex01.py", line 14, in <module>
    factorial(i)
  File "ex01.py", line 7, in factorial
    return n * factorial(n-1)
  File "ex01.py", line 7, in factorial
    return n * factorial(n-1)
  File "ex01.py", line 7, in factorial
    return n * factorial(n-1)
  [Previous line repeated 995 more times]
  File "ex01.py", line 4, in factorial
    if n == 0:
RecursionError: maximum recursion depth exceeded in comparison
```

Illustration 2.2: Running ex01.py with a parameter of 1100

Q1. What is the problem?

“RecursionError: maximum recursion depth exceeded in comparison”

Since the script in `ex01.py` is a recursive function of factorial. The recursion usually stores the current value or state in the system's stack. There is a limit to the system stack, if it is gets full, no more values can be stored. Therefore, `factorial(998)` got stored in the system stack, but any further recursion will cause the program to fail. When we ran `ex01.py` with the parameter 1100, we noticed that the program failed. This is because there were too many recursion calls that the system stack got full. There is an overflow.

```

ewangrawan-VirtualBox:~$ python3 ex01b.py 1200
factorial(1)
factorial(2)
factorial(3)
factorial(4)
factorial(5)
factorial(6)
factorial(7)
factorial(8)
factorial(9)
factorial(10)
factorial(11)
factorial(12)

```

```
factorial(1195)
factorial(1196)
factorial(1197)
factorial(1198)
factorial(1199)
factorial(1200)
rawan@rawan-VirtualBox:~$
```

```

rawan@rawan-VirtualBox:~$ vmstat 1
procs  -----memory-----  ---swap--  -----io-----  -system--  -----cpu-----
 r  b    swpd    free    buff    cache    si    so    bi    bo    in    cs    us    sy    id    wa    st
 0  0      0  451484  63328  818540    0    0    177   15   343   400   64    1   35    0    0
 0  0      0  451224  63328  818568    0    0    0    0   535  2214   47    7   46    0    0
 0  0      0  451224  63328  818584    0    0    0    0   339  1322   36    2   62    0    0
 2  0      0  448696  63328  818584    0    0    0    0   153   436   11    1   88    0    0
 0  0      0  450216  63328  818584    0    0    0    0   421  8296   68    4   28    0    0
 0  0      0  450216  63328  818584    0    0    0    0   381  1960   13    9   78    0    0
 0  0      0  450216  63328  818584    0    0    0    0   117   326    4    1   95    0    0
 1  0      0  450216  63328  818600    0    0    0    0   352  1264   44    3   53    0    0
 1  0      0  450216  63328  818600    0    0    0    0   111   277   3    1   96    0    0
 0  0      0  450216  63328  818600    0    0    0    0   102   232    2    0   98    0    0
 0  0      0  450216  63328  818600    0    0    0    0   108   257    2    2   96    0    0
 0  0      0  450216  63328  818600    0    0    0    0   107   244   3    1   96    0    0
 0  0      0  450216  63328  818600    0    0    0    0    98   225    1    0   99    0    0
 0  0      0  450216  63328  818600    0    0    0    0   109   266    3    0   97    0    0
 0  0      0  450216  63328  818600    0    0    0    0   109   327   3    0   97    0    0
 0  0      0  450216  63328  818600    0    0    0    0   266  1159    7    6   86    0    0
 2  0      0  450216  63328  818600    0    0    0    0   437  1651   21    4   74    0    0

```

```

rawangrawan-VirtualBox:~$ mpstat 1
Linux 5.4.0-58-generic (rawan-VirtualBox)      06 2021 ,Li_      _x86_64_      (1 CPU)

+04 02:00:21 p  CPU      %usr      %nice      %sys %iowait      %irq      %soft      %steal      %guest      %gnice      %idle
+04 02:00:22 p  all      54.64      0.00      7.22      0.00      0.00      0.00      0.00      0.00      0.00      38.14
+04 02:00:23 p  all      13.37      0.00      1.02      0.00      0.00      0.00      0.00      0.00      0.00      85.71
+04 02:00:24 p  all      40.40      0.00      3.03      0.00      0.00      0.00      0.00      0.00      0.00      56.57
+04 02:00:25 p  all      41.41      0.00      2.02      0.00      0.00      0.00      0.00      0.00      0.00      56.57
+04 02:00:26 p  all      11.46      0.00      8.33      0.00      0.00      0.00      0.00      0.00      0.00      80.21
+04 02:00:27 p  all      24.74      0.00      1.03      0.00      0.00      0.00      0.00      0.00      0.00      74.23
+04 02:00:28 p  all      23.76      0.00      3.96      0.00      0.00      0.00      0.00      0.00      0.00      72.28
+04 02:00:29 p  all      2.04      0.00      0.00      0.00      0.00      0.00      0.00      0.00      0.00      97.96
+04 02:00:30 p  all      2.04      0.00      0.00      0.00      0.00      1.02      0.00      0.00      0.00      96.94
+04 02:00:31 p  all      2.04      0.00      1.02      0.00      0.00      0.00      0.00      0.00      0.00      96.94
+04 02:00:32 p  all      2.00      0.00      1.00      0.00      0.00      0.00      0.00      0.00      0.00      97.00
+04 02:00:33 p  all      3.06      0.00      0.00      0.00      0.00      0.00      0.00      0.00      0.00      96.94
+04 02:00:34 p  all      2.04      0.00      0.00      0.00      0.00      0.00      0.00      0.00      0.00      97.96
+04 02:00:35 p  all      4.17      0.00      3.12      0.00      0.00      0.00      0.00      0.00      0.00      92.71

```

Illustration 2.5: mpstat command to check the CPU behavior.

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Q2. Explain what is happening.

The script in the ex01b.py contains a function that is iterative and not recursive. Iterative functions do not use the system stack to store values. Iterative functions can take large values of n and they are fast. We notice that we took a parameter of 1200 in this script and the script ran properly without any errors. That is due to the fact the code is an iterative function. We can observe, from illustration 2.4 and 2.5, that the CPU became more engaged during the execution of the code. In other words, the idle time percentage decreased.

Step3: Remove line 14 (the print call) and then run the program.

```
import sys

def factorial(n):
    num = 1
    while n >= 1:
        num = num * n
        n = n - 1
    return num

if __name__ == '__main__':
    big_number = int(sys.argv[1])

    for i in range(1, big_number+1):
        #print ("factorial(%d)%(i))
        factorial(i)
```

Illustration 2.6: removing or commenting the 14th line of ex01b.py (the print statement)

Q3. Analyze the behavior now.

```
rawan@rawan-VirtualBox:~$ mpstat 1
Linux 5.4.0-58-generic (rawan-VirtualBox)      06 2021 ,l_i_  _x86_64_      (1 CPU)

+04 02:11:39 p CPU    %usr   %nice    %sys %iowait    %irq   %soft  %steal  %guest  %gnice   %idle
+04 02:11:40 p all    50.51    0.00    3.03    0.00    0.00    0.00    0.00    0.00    0.00    46.46
+04 02:11:41 p all    28.00    0.00    0.00    0.00    0.00    0.00    0.00    0.00    0.00    72.00
+04 02:11:42 p all     8.25    0.00    3.09    0.00    0.00    0.00    0.00    0.00    0.00    88.66
+04 02:11:43 p all    44.55    0.00    1.98    0.00    0.00    0.00    0.00    0.00    0.00    53.47
+04 02:11:44 p all     7.07    0.00    0.00    0.00    0.00    0.00    0.00    0.00    0.00    92.93
+04 02:11:45 p all     6.06    0.00    0.00    0.00    0.00    0.00    0.00    0.00    0.00    93.94
```

Illustration 2.7: removing or commenting the 14th line of ex01b.py (the print statement)

We notice that since we removed the print statement, there will be less instructions for the CPU, therefore more idle time. Comparing mpstat in this case with the previous one (with the print statement), we can see an improvement. We can observe that the CPU has become more idle. Which means less engaged with instructions.

Step4: Run both ex00.py and ex01b.py simultaneously and use vmstat and mpstat to monitor what is going on.

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```
rawan@rawan-VirtualBox:~$ mpstat 1
Linux 5.4.0-58-generic (rawan-VirtualBox)      06 2021 ,li_  _x86_64_      (1 CPU)

+04 02:23:44  µ CPU      %usr    %nice    %sys %iowait    %irq    %soft    %steal    %guest    %gnice   %idle
+04 02:23:45  µ all      58.16    0.00    7.14    0.00    0.00    0.00    0.00    0.00    0.00   34.69
+04 02:23:46  µ all      11.58    0.00    3.16    0.00    0.00    0.00    0.00    0.00    0.00   85.26
+04 02:23:47  µ all      27.84    0.00    3.09    0.00    0.00    0.00    0.00    0.00    0.00   69.07
+04 02:23:48  µ all      95.00    0.00    5.00    0.00    0.00    0.00    0.00    0.00    0.00    0.00
+04 02:23:49  µ all      99.00    0.00    1.00    0.00    0.00    0.00    0.00    0.00    0.00    0.00
+04 02:23:50  µ all     100.00    0.00    0.00    0.00    0.00    0.00    0.00    0.00    0.00    0.00
+04 02:23:51  µ all      99.00    0.00    1.00    0.00    0.00    0.00    0.00    0.00    0.00    0.00
+04 02:23:52  µ all     100.00    0.00    0.00    0.00    0.00    0.00    0.00    0.00    0.00    0.00
+04 02:23:53  µ all     100.00    0.00    0.00    0.00    0.00    0.00    0.00    0.00    0.00    0.00
+04 02:23:54  µ all     100.00    0.00    0.00    0.00    0.00    0.00    0.00    0.00    0.00    0.00
+04 02:23:55  µ all     100.00    0.00    0.00    0.00    0.00    0.00    0.00    0.00    0.00    0.00
+04 02:23:56  µ all      99.00    0.00    1.00    0.00    0.00    0.00    0.00    0.00    0.00    0.00
+04 02:23:57  µ all      68.37    0.00    1.02    0.00    0.00    0.00    0.00    0.00    0.00   30.61
+04 02:23:58  µ all       7.69    0.00    1.10    0.00    0.00    0.00    0.00    0.00    0.00   91.21
^Z
```

Illustration 2.8: mpstat command to check the CPU behavior.

```
rawan@rawan-VirtualBox:~$ vmstat 1
procs -----memory----- ---swap-- ----io---- -system-- -----cpu-----
r  b   swpd   free   buff  cache   si   so    bi   bo    in   cs  us  sy  id  wa  st
0  0     0  383716  63988 824272    0    0   134   13   288  387  50   1  50   0   0
4  0     0  383700  63988 824300    0    0    0    0   694 2944  51   9  40   0   0
1  0     0  383700  63988 824336    0    0    0    0   811 3515  56   8  36   0   0
0  0     0  383700  63988 824336    0    0    0    0   215  850  10   2  88   0   0
3  0     0  389756  63988 824300    0    0    0    0   269  790  39   4  57   0   0
2  0     0  389252  63988 824328    0    0    4    0   575 7951  96   4   0   0   0
1  0     0  391780  63988 824328    0    0    0    0   500  554  99   1   0   0   0
3  0     0  391780  63988 824328    0    0    0    0   778 1818  99   1   0   0   0
1  0     0  391780  63988 824328    0    0    0    0   506  408 100   0   0   0   0
1  0     0  391780  63996 824320    0    0    0   48  476  249 100   0   0   0   0
1  0     0  391780  63996 824328    0    0    0    0  485  267 100   0   0   0   0
1  0     0  391780  63996 824328    0    0    0    0  575  818 100   0   0   0   0
1  0     0  391780  63996 824328    0    0    0    0  549  491 100   0   0   0   0
2  0     0  391780  63996 824328    0    0    0    0  762 1830  98   2   0   0   0
1  0     0  391780  63996 824340    0    0    0    0  633 2162  60   0  40   0   0
3  0     0  391780  63996 824340    0    0    0    0  379 1557  17   2  81   0   0
2  0     0  391780  63996 824376    0    0    0    0  797 3160  54   3  43   0   0
```

Illustration 2.9: vmstat command to check the CPU behavior.

From illustration 2.8 and 2.9, we can observe that when the two scripts ran together, the CPU was utilized almost completely. Both are taking more CPU. However, after ex01b.py finishes its execution and the infinite loop in ex00.py is still running, this causes a decrease in the CPU utilization.