

# Peterson's Algorithm

# Lecture Overview

- **Concurrency:** An example
- **Memory sharing in UNIX**
- **Peterson Algorithm:**
  - First attempt.
  - Second attempt.
  - Third attempt.
  - Fourth attempt.
  - Correct solution.

# Concurrency: An example

## Process Code

```
void echo()
{
    chin = getchar();
    chout = chin;
    putchar(chout);
}
```

**Example:** Executing two instances of the process at the same time

### Process A

```
void echo()
{
    chin = getchar();
    chout = chin;
    putchar(chout);
}
```

### Process B

```
void echo()
{
    chin = getchar();
    chout = chin;
    putchar(chout);
}
```

# Concurrency: An example

Assuming that chin is a shared variable:

## Process A

```
void echo()
{
    (1) chin = getchar();

    (3) chout = chin;
    (4) putchar(chout);
}
```

## Process B

```
void echo()
{
    (2) chin = getchar();

    (5) chout = chin;
    (6) putchar(chout);
}
```

What is the output of process A and process B, if the getchar function from A receives a 'C' and the getchar function from B receives a 'W'?

# Memory Sharing in UNIX

**D E M O**

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## FIRST ATTEMPT

**Assumption:** Only one access to a memory location can be made at a time.  
Global memory location “turn” is reserved for shared variable

turn = 0

### Process 0

```
{  
    while (turn != 0)  
        /*do nothing*/;  
    /*CS*/  
    turn = 1;  
}
```

### Process 1

```
{  
    while (turn != 1)  
        /*do nothing*/;  
    /*CS*/  
    turn = 0;  
}
```

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## FIRST ATTEMPT

- Guarantees Mutual Exclusion.
- Has two problems:
  - Processes must strictly alternate in their use of their CS; pace is dictated by the slower process.
  - If one process fails, the other one is permanently blocked; whether in CS or not.

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## SECOND ATTEMPT

Need state information about both processes. flag[0] for P0 and flag[1] for P1  
(Boolean vector flag; when one fails, the other can still access CS)  
Each process may examine the other's flag, but may not alter it...

```
enum boolean {FALSE=0; TRUE=1};  
boolean flag[2] = {FALSE, FALSE};
```

### Process 0

```
{  
    while (flag[1])  
        /*do nothing*/;  
    flag[0]=TRUE;  
    /*CS*/  
    flag[0]=FALSE;  
}
```

### Process 1

```
{  
    while (flag[0])  
        /*do nothing*/;  
    flag[1]=TRUE;  
    /*CS*/  
    flag[1]=FALSE;  
}
```



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## SECOND ATTEMPT

- Does not Guarantees Mutual Exclusion.

A process can change its state after the other process has checked it, but before the other process can enter into critical section.

### Process 0

```
{  
    (1) while (flag[1])  
        /*do nothing*/;  
  
    (3) flag[0]=TRUE;  
  
    /*CS*/  
    flag[0]=FALSE;  
}
```

### Process 1

```
{  
  
    (2) while (flag[0])  
        /*do nothing*/;  
  
    (4) flag[1]=TRUE;  
    /*CS*/  
    flag[1]=FALSE;  
}
```

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## THIRD ATTEMPT

Need state information about both processes. flag[0] for P0 and flag[1] for P1  
(Boolean vector flag; when one fails, the other can still access CS)  
Each process may examine the other's flag, but may not alter it...

```
enum boolean {FALSE=0; TRUE=1};  
boolean flag[2] = {FALSE, FALSE};
```

### Process 0

```
{  
    flag[0]=TRUE;  
    while (flag[1])  
        /*do nothing*/;  
    /*CS*/  
    flag[0]=FALSE;  
}
```

### Process 1

```
{  
    flag[1]=TRUE;  
    while (flag[0])  
        /*do nothing*/;  
    /*CS*/  
    flag[1]=FALSE;  
}
```

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## THIRD ATTEMPT

- If both processes set their flags to TRUE at the same time, then they are in a loop for ever.

**A process sets its flag without knowing other process's status!!**

### Process 0

```
{  
    (1) flag[0]=TRUE;  
  
    (3) while (flag[1])  
        /*do nothing*/;  
        /*CS*/  
    flag[0]=FALSE;  
}
```

### Process 1

```
{  
  
    (2) flag[1]=TRUE;  
  
    (4) while (flag[0])  
        /*do nothing*/;  
        /*CS*/  
    flag[1]=FALSE;  
}
```

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## FOURTH ATTEMPT

Need state information about both processes. flag[0] for P0 and flag[1] for P1

(Boolean vector flag; when one fails, the other can still access CS)

Each process may examine the other's flag, but may not alter it...

```
enum boolean {FALSE=0; TRUE=1};  
boolean flag[2] = {FALSE, FALSE};
```

### Process 0

```
{  
    flag[0]=TRUE;  
    while (flag[1])  
    {  
        flag[0] = FALSE;  
        /* delay */;  
        flag[0] = TRUE;  
    }  
    /*CS*/  
    flag[0]=FALSE;  
}
```

### Process 1

```
{  
    flag[1]=TRUE;  
    while (flag[0])  
    {  
        flag[1] = FALSE;  
        /* delay */;  
        flag[1] = TRUE;  
    }  
    /*CS*/  
    flag[1]=FALSE;  
}
```

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## FOURTH ATTEMPT

### A possible execution:

P0 sets flag[0] to TRUE

P1 sets flag[1] to TRUE

P0 checks flag[1] FALSE

P1 checks flag[0] FALSE

P0 sets flag[0] TRUE

P1 sets flag[1] TRUE

- The above sequences could be extended indefinitely.
- Neither process could get into CS It is not a deadlock! It is a livelock!
- Any alteration in relative speeds of processes could make one process enter into CS.

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## The Correct Solution

Need to observe the state of both processes, which process has the right to insist on entering into CS.

```
boolean flag[2];  
int turn;
```

### Process 0

```
{  
    flag[0]=TRUE;  
    turn =1;  
    while (flag[1] && turn == 1)  
        /* do nothing */;  
    /*CS*/  
    flag[0]=FALSE;  
}
```

### Process 1

```
{  
    flag[1]=TRUE;  
    turn = 0;  
    while (flag[0] && turn == 0)  
        /* do nothing */;  
    /*CS*/  
    flag[1]=FALSE;  
}
```