

**COSC 4351 Fall 2023**

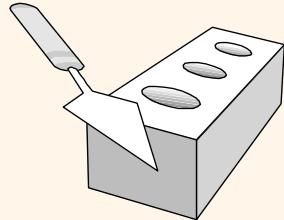
**Software Engineering**

**M & W 4 to 5:30 PM**

Prof. **Victoria Hilford**

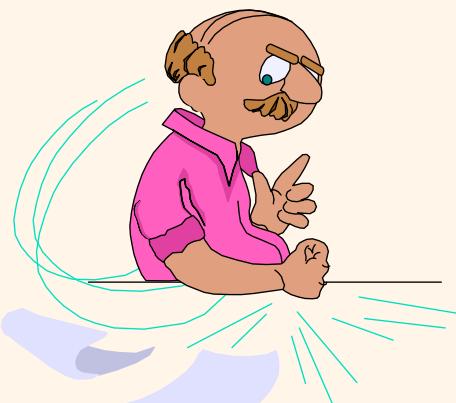
**PLEASE TURN your webcam ON**

**NO CHATTING during LECTURE**



# COSC 4351

## 4 to 5:30



**PLEASE  
LOG IN  
CANVAS**

Youyi [A-L]

Kevin [M-Z]

Please close all other windows.

10.18.2023 (W 4 to 5:30) (17)	<b>Analysis:</b> 1. Semi-Formal and Formal Specification Techniques for Software Systems.pdf	Lecture 5: <b>Requirements</b>	<b>Requirements Papers Summary</b> (1 Page) CANVAS Assignment
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10.23.2023 (M 4 to 5:30) (18)		Lecture 6: <b>WHAT - Analysis</b>	<b>Analysis Papers Summary</b> (1 Page) CANVAS Assignment
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10.25.2023 (W 4 to 5:30) (19)		Lecture 7: <b>HOW - Design</b> <b>Tutorial 5 ERD to Relational</b>	
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10.30.2023 (M 4 to 5:30) (20)		<b>EXAM 3 REVIEW</b> (CANVAS)	<b>ZyBook:</b> <b>Sections 10-11</b>
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11.01.2023 (W 4 to 5:30) (21)			<b>Q &amp; A Set 3 topics.</b>
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11.06.2023 (M 4 to 5:30) (22)			<b>EXAM 3</b> (CANVAS)
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# Class 17

COSC 4351

## Software engineering

10.18.2023 (W 4 to 5:30)  (17)	<b>Analysis:</b> 1. Semi-Formal and Formal Specification Techniques for Software Systems.pdf	Lecture 5: Requirements	Requirements Papers Summary  (1 Page)  CANVAS Assignment
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**From 4:00 to 4:10 – 10 minutes.**

10.18.2023 (W 4 to 5:30)  (17)	Analysis: 1. Semi-Formal and Formal Specification Techniques for Software Systems.pdf	Lecture 5: <b>Requirements</b>	Requirements Papers Summary (1 Page)  CANVAS Assignment	
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CLASS PARTICIPATION 20 points

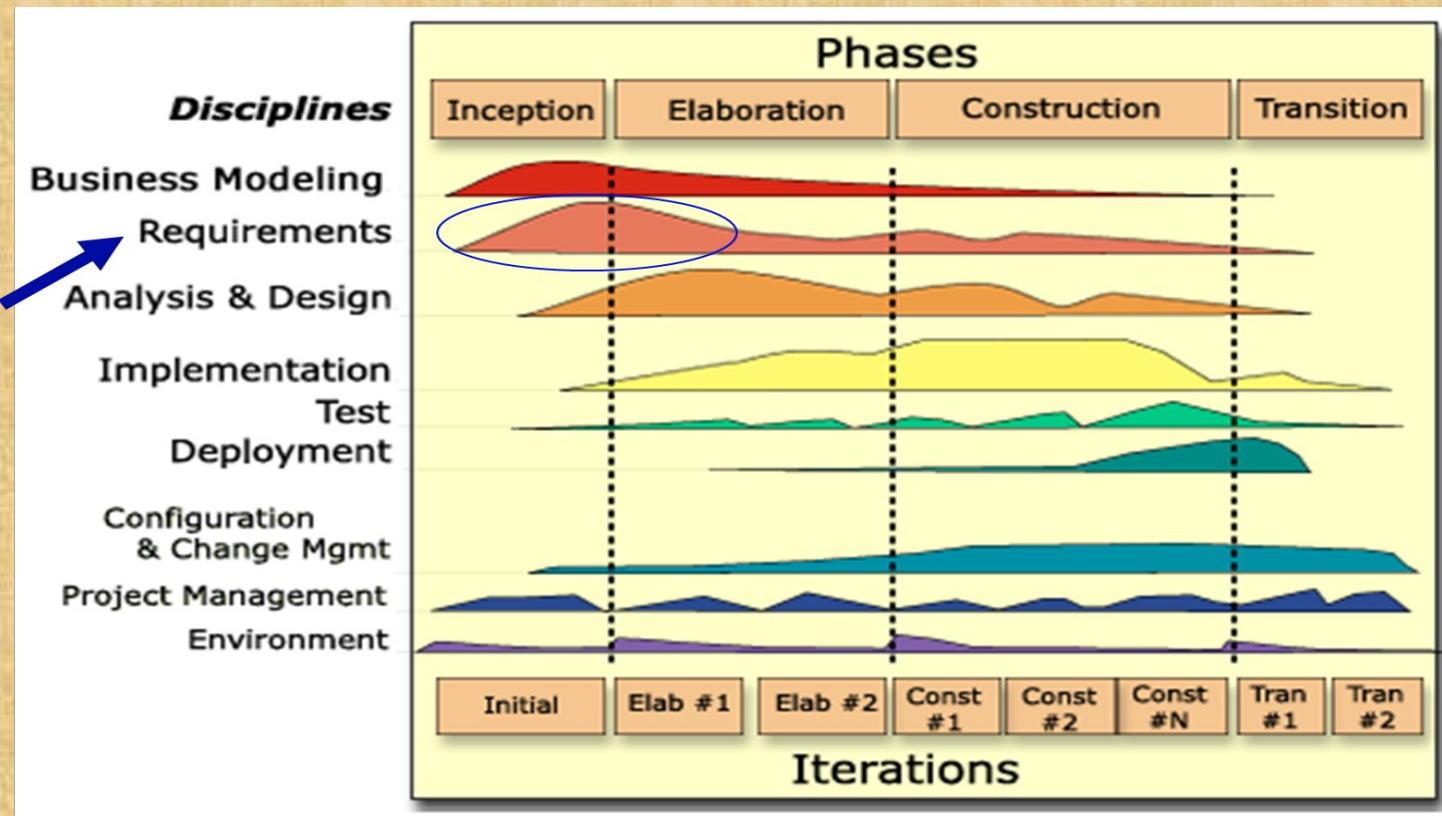
20% of Total

**PASSWORD: I AM IN TEAMS**

BEGIN Class 17 Participation

CLASS PARTICIPATION 20% Module | Not available until Oct 18 at 4:00pm | Due Oct 18 at 4:10pm | 100 pts

# Requirements Workflow



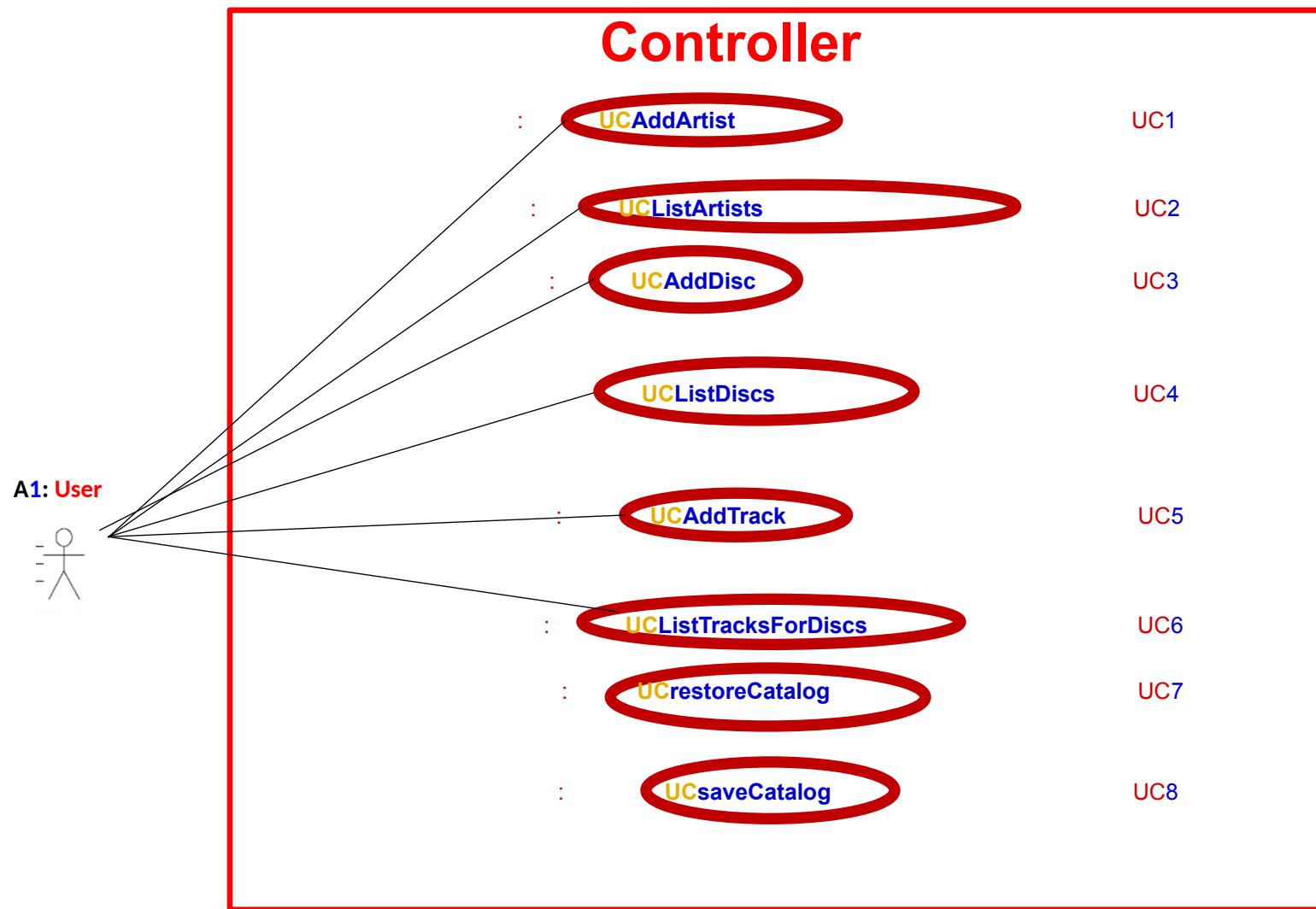
# Overview

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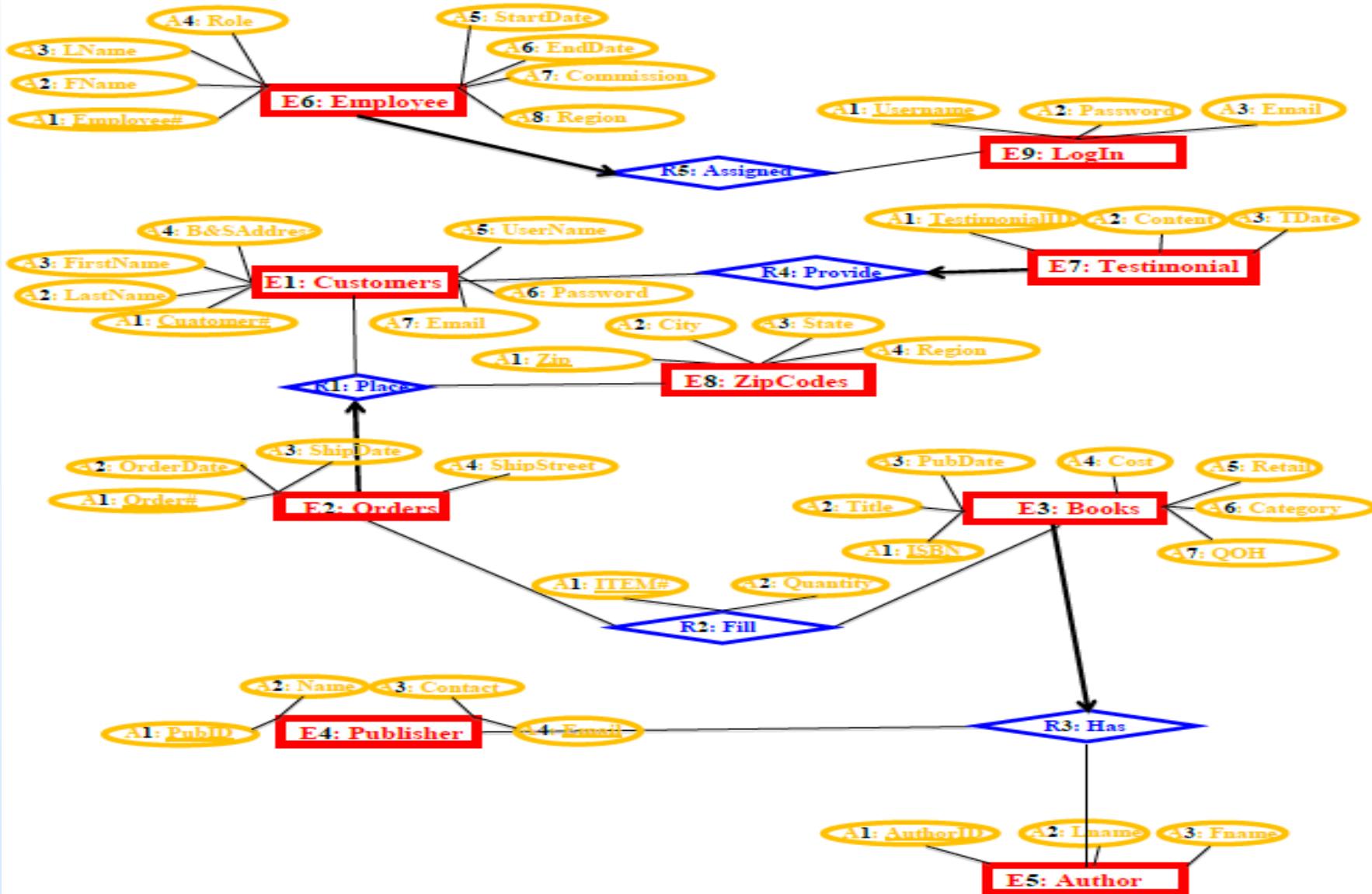
- Determining what the **Client** needs
- **Overview of the Requirements Workflow**
  - Understanding the **Domain**
  - The **Business Model**
  - Initial **Requirements**
- The **Classical Requirements Phase**
- **Rapid Prototyping**
- Human factors
- **Reusing** the rapid prototype
- CASE tools for the **Requirements** Workflow
- **Metrics** for the **Requirements** workflow
- **Challenges of the Requirements Workflow**

# Movie Company System

## UML USE CASE MODEL



# ERD Model



# REQUIREMENTS Workflow

TA ->



UML USE CASE DIAGRAM.doc

UML ->



Software Requirements Specification.doc

TA ->

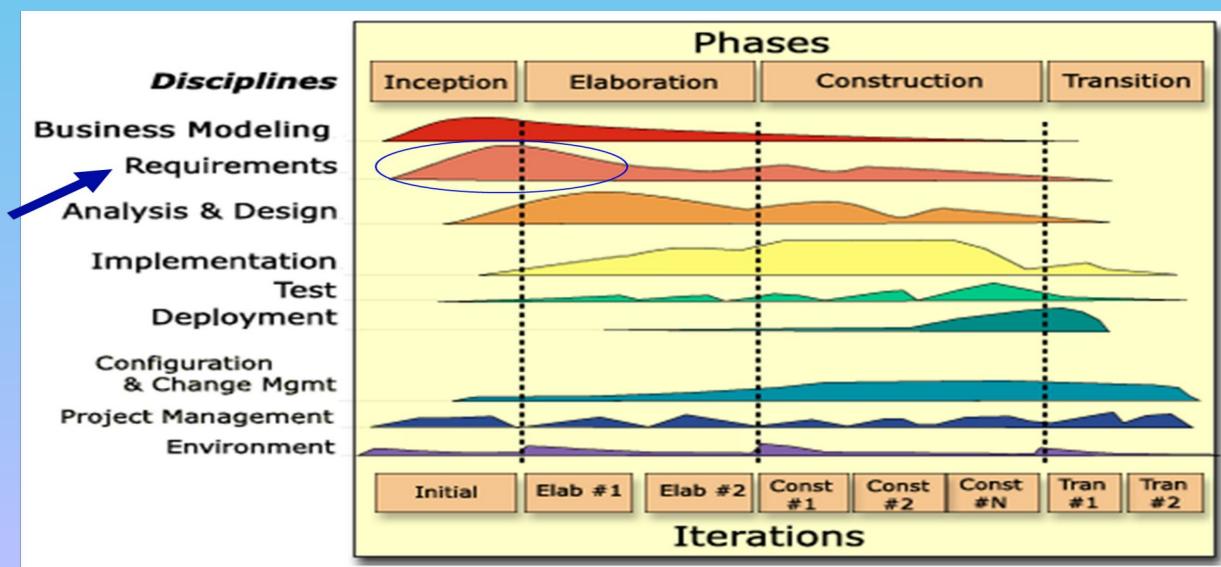


ERD Modeling

ERD ->



Data Requirements Specification



# REQUIREMENTS

"The hardest single part of building a software system is deciding what to build. No part of the work so cripples the resulting system if done wrong. No other part is more difficult to rectify later."

Fred Brooks

Early in a project, there is a phase in which the client and the contractor work together to create a description of what is to be built. This is called the **specification** and building a complex software product without a clear, fixed set of specifications is impossible.

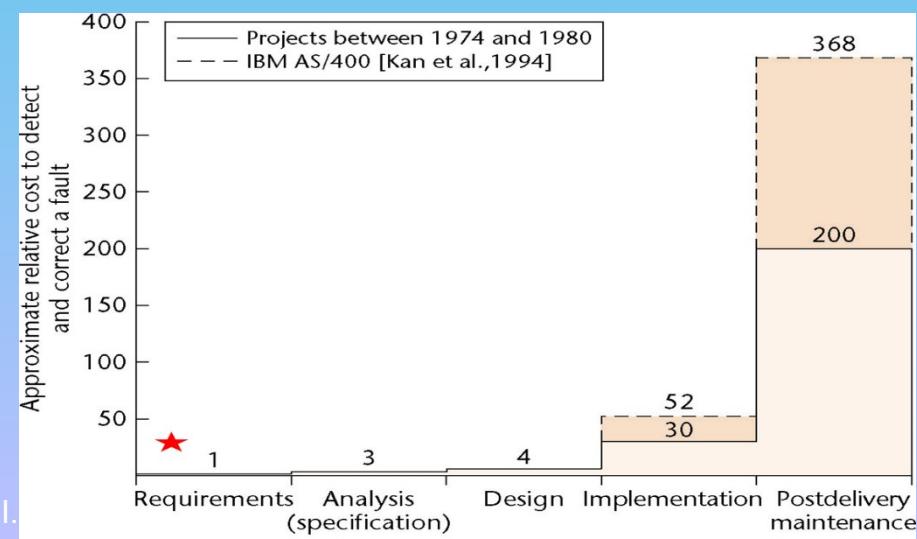
## Software Requirements Specification.doc

## Data Requirements Specification

Requirements:  
1. Why Software Projects Fail 2015.pdf  
2. The Why What who When and How of Software Requirements 2015.pdf

2003

Requirements errors account for 70 percent to 85 percent of the rework costs on a software project (Wieggers 2003). If one finds a requirements defect during the requirements phase and it costs one unit to fix (for example, three engineering hours, \$500), the cost of fixing that same defect will typically increase as it is found later and later in the life cycle. In fact, studies show that it can cost more than 100 times more to fix a requirements defect if it is not found until after the software is released to the field.



## Requirements deficiencies are the prime source of project failures (L1)

- Source: Robert Glass [Glas 98] et al
- Most defects (> 50%) stem from Requirements
- Requirements defects (if not removed quickly) trigger follow-up defects in later activities

### Possible solutions:

- early inspections
- formal specifications & validation early on
- other forms of Prototyping & validation early on
- Reuse of Requirements documentation from similar projects



Defects are most frequent during **Requirements** and **Analysis** activities and are more expensive the later they are removed (L2)

- Source: Barry Boehm [Boeh 75] et al
- >80% of defects are caused up-stream (**Requirements, Analysis**)
- Removal delay is expensive (e.g., factor 10 per phase delay)

## HealthCare.gov October 21, 2013

For large software projects, failure is generally determined early in the process, because failures almost exclusively have to do with planning: the failure to create a workable plan, to stick to it, or both. Healthcare.gov reportedly involved over fifty-five contractors, managed by a human-services agency that lacked deep experience in software engineering or project management. The final product had to be powerful enough to navigate any American through a complex array of different insurance offerings, secure enough to hold sensitive private data, and robust enough to withstand peak traffic in the hundreds of thousands, if not millions, of concurrent users. It also had to be simple enough so that anyone who can open a Web browser could use it. In complexity, this is a project on par with the F.B.I.'s V.C.F. or Sentinel. The number and variety of systems to be connected may not be quite as large, but the interface had to be usable by anyone, without special training. And, unlike V.C.F., Healthcare.gov was given only twenty-two months from contract award to launch—less than two years for a project similar to one that took the F.B.I. more than ten years and over twice the budget.

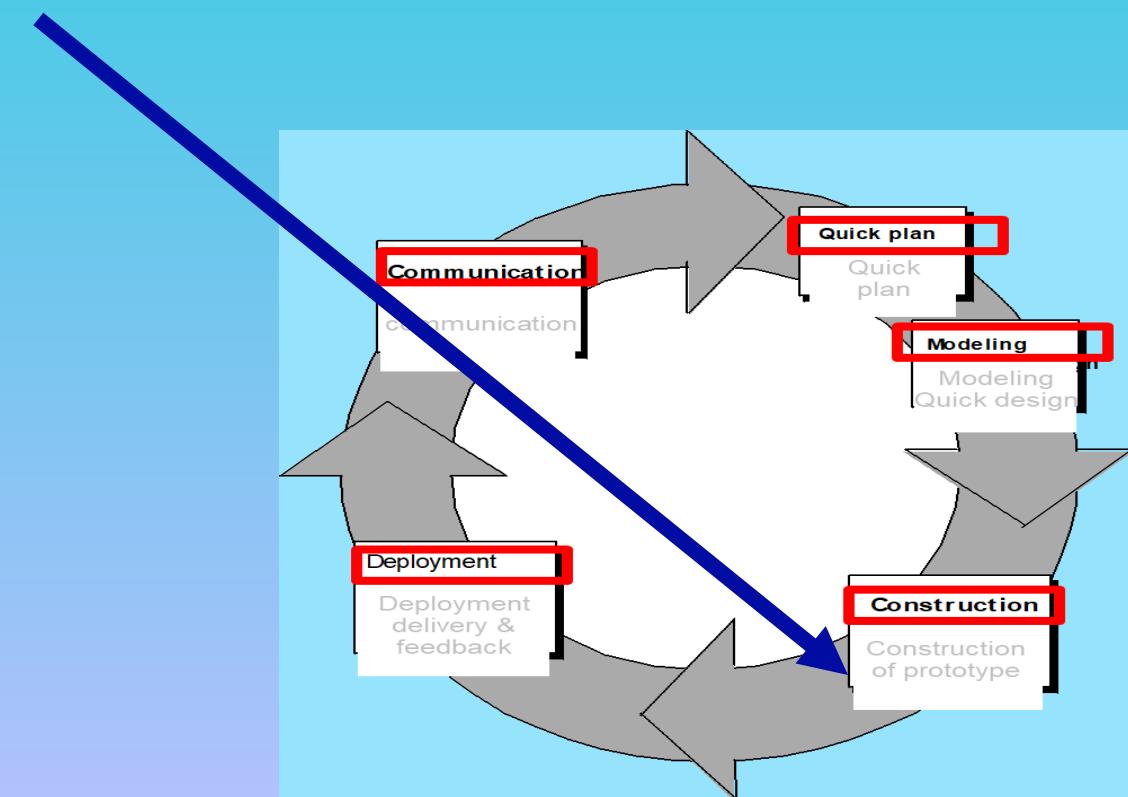
### Waterfall Life Cycle Model

#### • Classical Model (1970) Structured Paradigm ("C")

1. Requirements phase
2. Analysis (specification) phase
3. Design phase
4. Implementation phase
5. Postdelivery maintenance
6. Retirement

# Prototyping (significantly) reduces Requirements and Design defects, especially those related to the User Interface (L3)

- Source: Barry Boehm [Boeh84a]
- Prototype life-cycle Model



# REQUIREMENTS ENGINEERING (RE)

## QUICK LOOK

**What is it?** Requirements engineering helps software engineers to better understand the problem they will work to solve. It encompasses the set of tasks that lead to an understanding of what the business impact of the software will be, what the customer wants, and how end-users will interact with the software.

**Who does it?** Software engineers (sometimes referred to as *system engineers or analysts* in the IT world) and other project stakeholders (managers, customers, end-users) all participate in requirements engineering.

**Why is it important?** Designing and building an elegant computer program that solves the wrong problem serves no one's needs. That's

**What is the work product?** The intent of the requirements engineering process is to provide all parties with a written understanding of the problem. This can be achieved through a number of work products: user scenarios, functions and features lists, analysis models, or a specification.

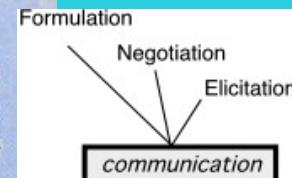
SRS

why it is important to understand what the customer wants before you begin to design and build a computer-based system.

**What are the steps?** Requirements engineering begins with inception—a task that defines the scope and nature of the problem to be solved. It moves onward to elicitation—a task that helps the customer to define what is required, and then elaboration—where basic requirements are refined and modified. As the customer defines the problem, negotiation occurs—what are the priorities, what is essential, when is it required? Finally, the problem is specified in some manner and then reviewed or validated to ensure that your understanding of the problem and the customers' understanding of the problem coincide.



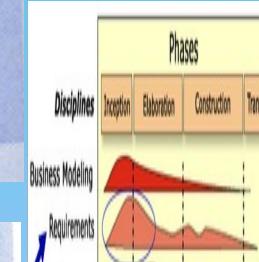
UML USE CASE DIAGRAM.doc



## How do I ensure that I've done it right?

Requirements engineering work products are reviewed with the customer and end-users to ensure that what you have learned is what they really meant. A word of warning: even after all parties agree, things will change, and they will continue to change throughout the project.

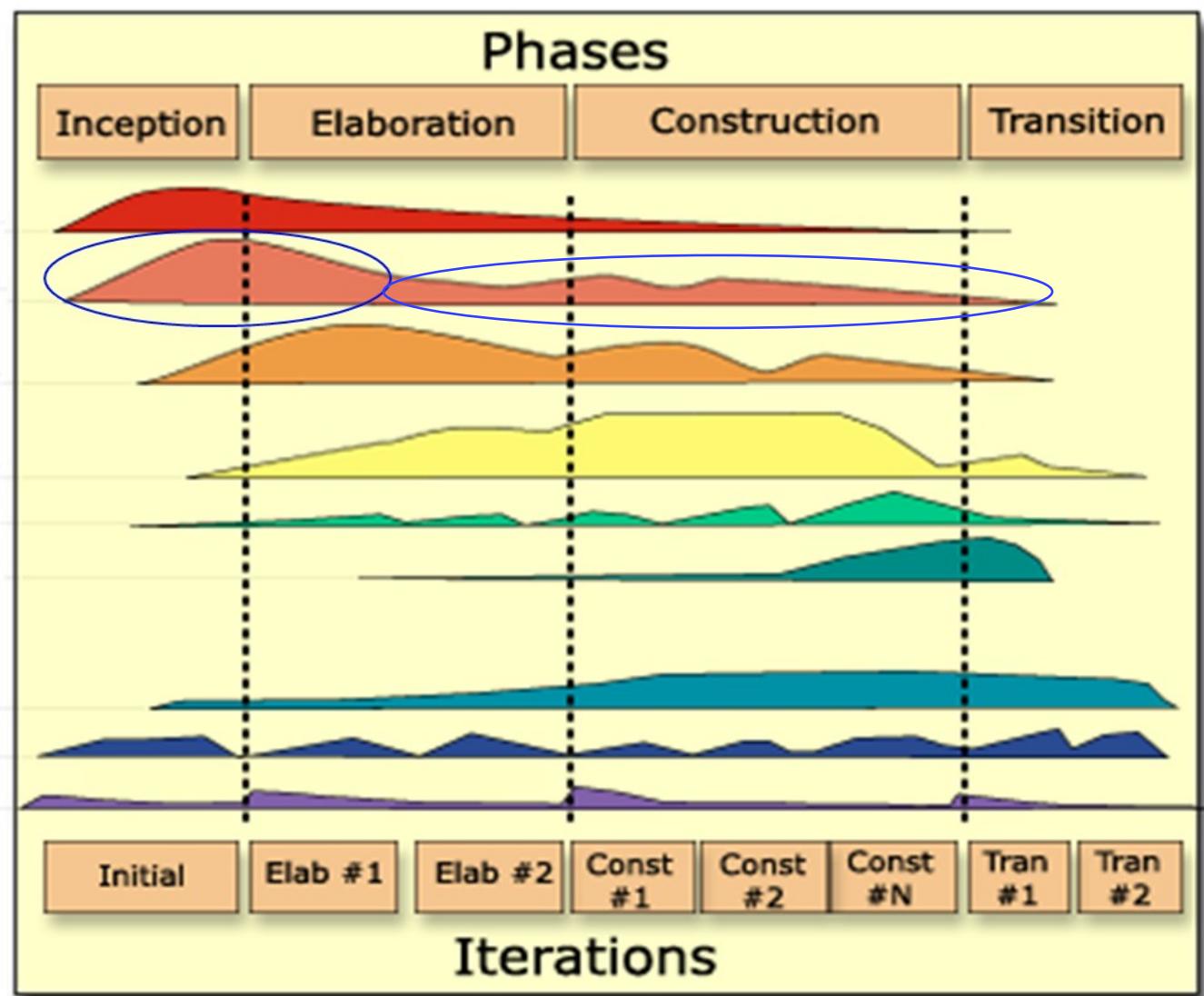
Signed SRS



# REQUIREMENTS Workflow

**Disciplines**

- Business Modeling
- Requirements
- Analysis & Design
- Implementation
- Test
- Deployment
- Configuration & Change Mgmt
- Project Management
- Environment



# IEEE Standard for SRS

[http://www.cse.yorku.ca/course\\_archive/2004-05/F/4312/](http://www.cse.yorku.ca/course_archive/2004-05/F/4312/)

## Software Requirements Specification.doc

### 1 Introduction

Purpose  
Scope  
Definitions, acronyms, abbreviations  
Reference documents  
Overview

Identifies the product & Application Domain

Describes contents and structure of the remainder of the SRS

Describes all external interfaces:

### TABLE1: Requirements

ID	Detail	Type	Priority	Line Numbers
R1	The TEAM?OPTS shall display a list of queries available to CMs	Queries <b>Functional</b>	MustHave	145 - 173
.....				
R26	The TEAM?OPTS shall authenticate CMs.	Authentication <b>Non Functional</b>	MustHave	223 - 227
.....				

### Appendices

(Security, hardware interactions, parallelism, etc)

### TABLE 1: Actors

### TABLE 2: LABELED USE CASES

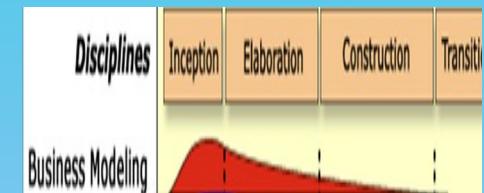
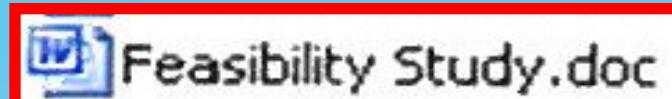
### FIGURE 1: LABELED UML USE CASE DIAGRAM

# Software Specification

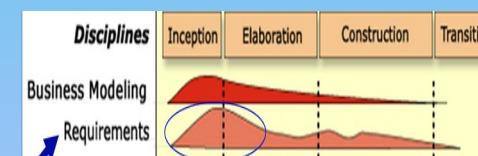
The **Process** of establishing what services are required and the constraints on the **system's operation and development**.

## Requirements Engineering (RE) Process

- Feasibility Study;



- Requirements Elicitation and Analysis;

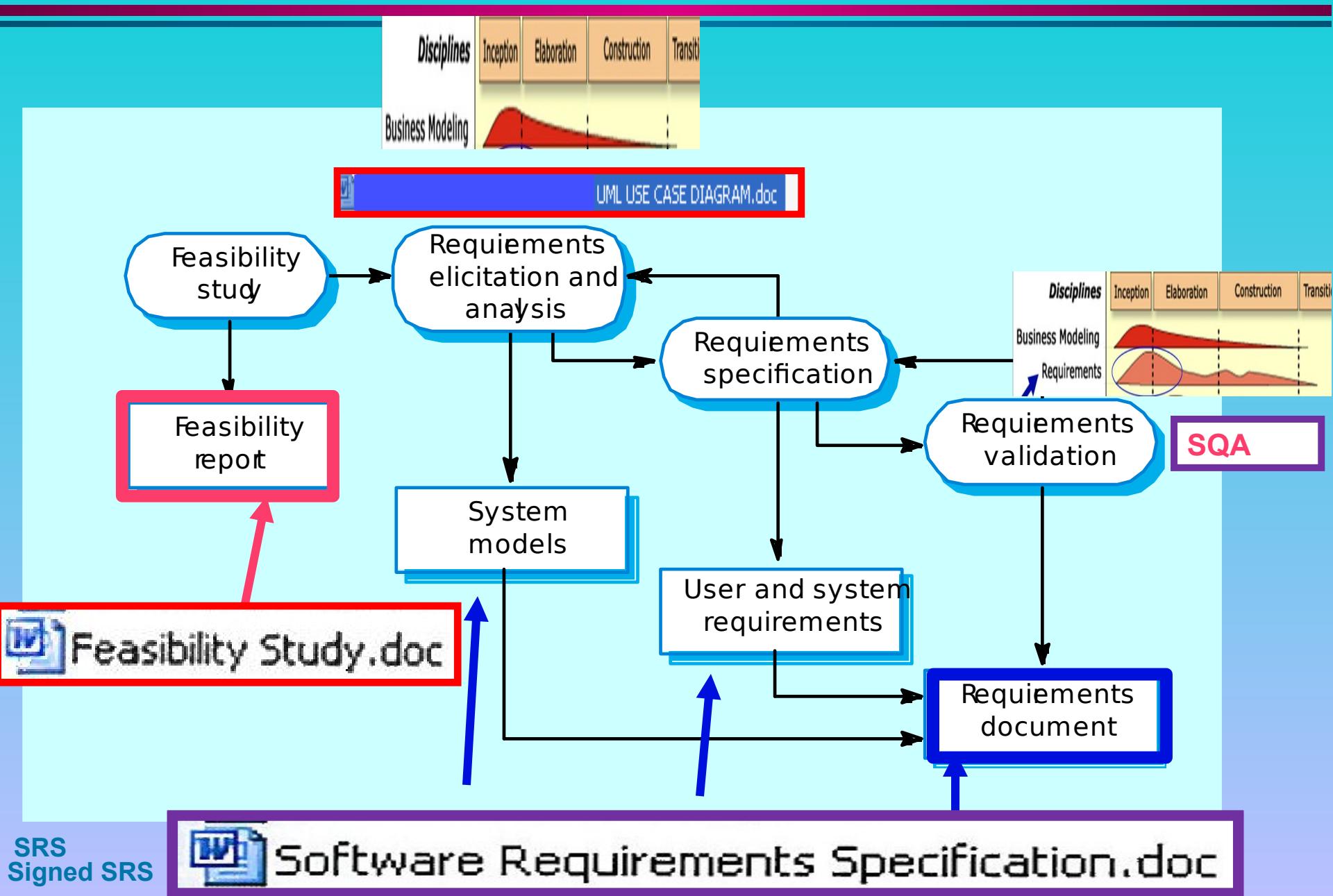


- Requirements Specification;

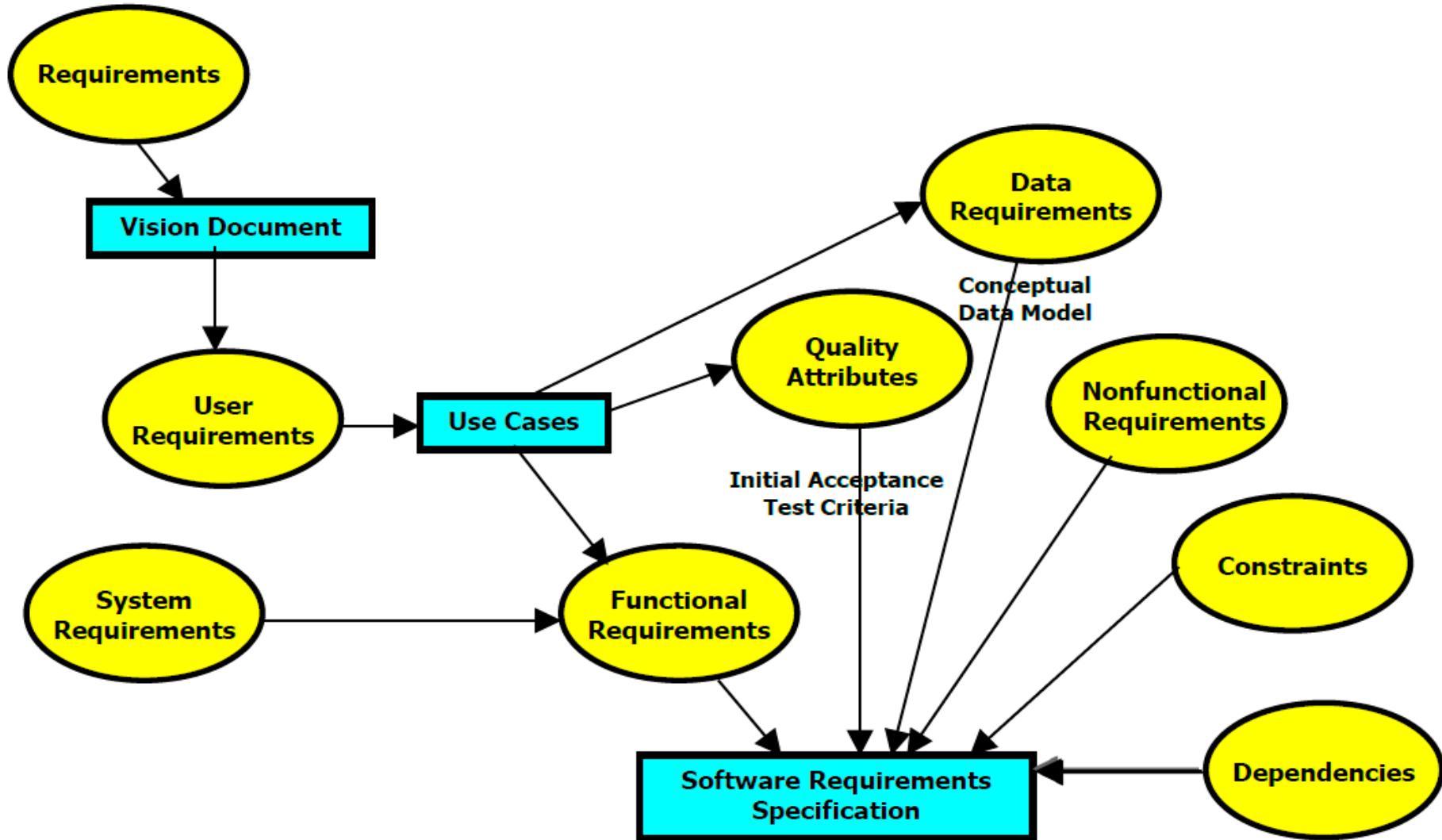


SQA Review Meeting

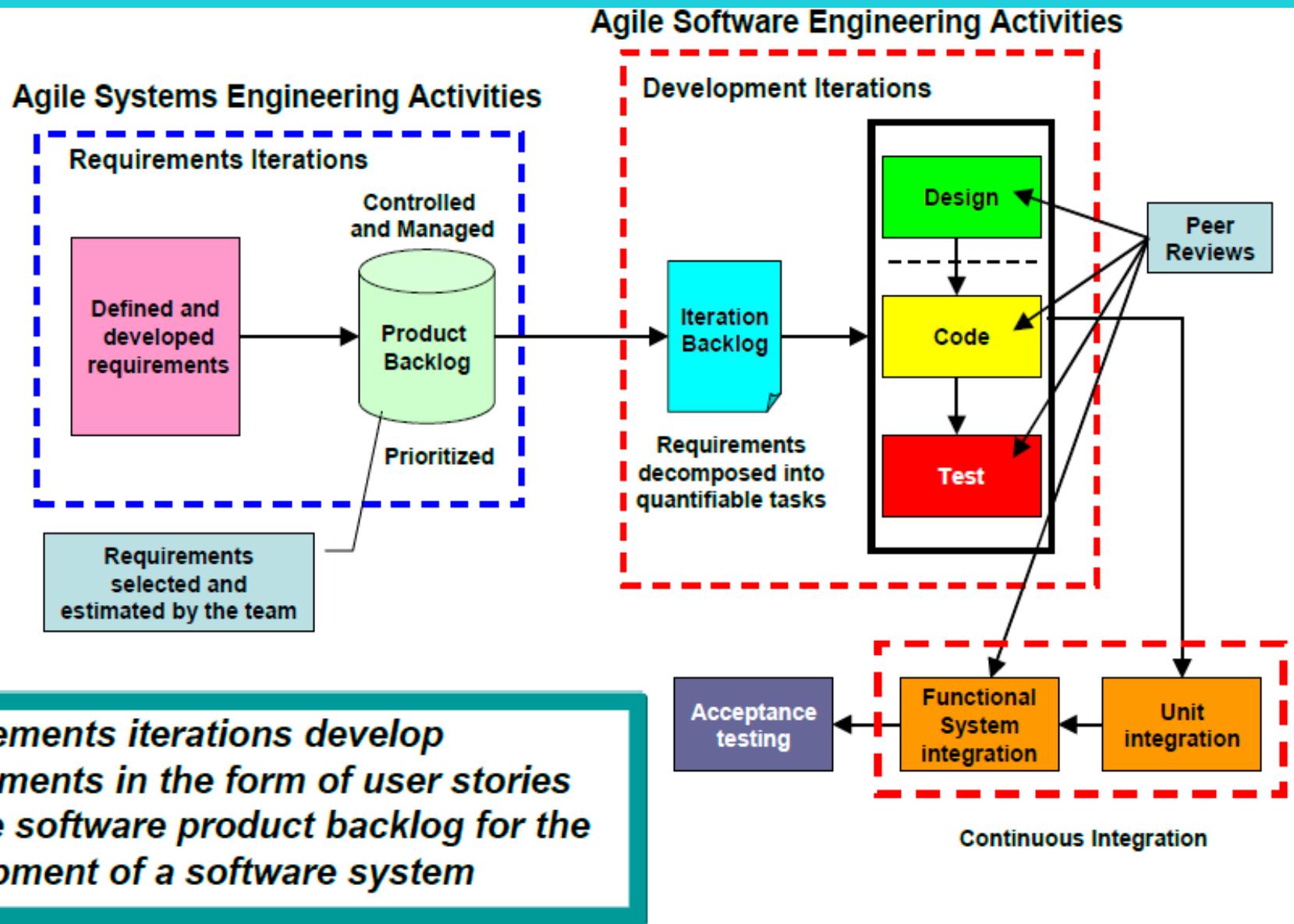
# The Requirements Engineering(**RE**) Process



# Traditional Requirement Engineering



# Agile Requirement Engineering



# Estimation

One of the most challenging aspects of any software project is estimation -- determining how long the work will take.

**Fred Brooks** says it best:

“It is very difficult to make a vigorous, plausible, and job-risking **defense of an estimate** that is derived by **no quantitative method, supported by little data**, and certified chiefly by the hunches of the managers.”

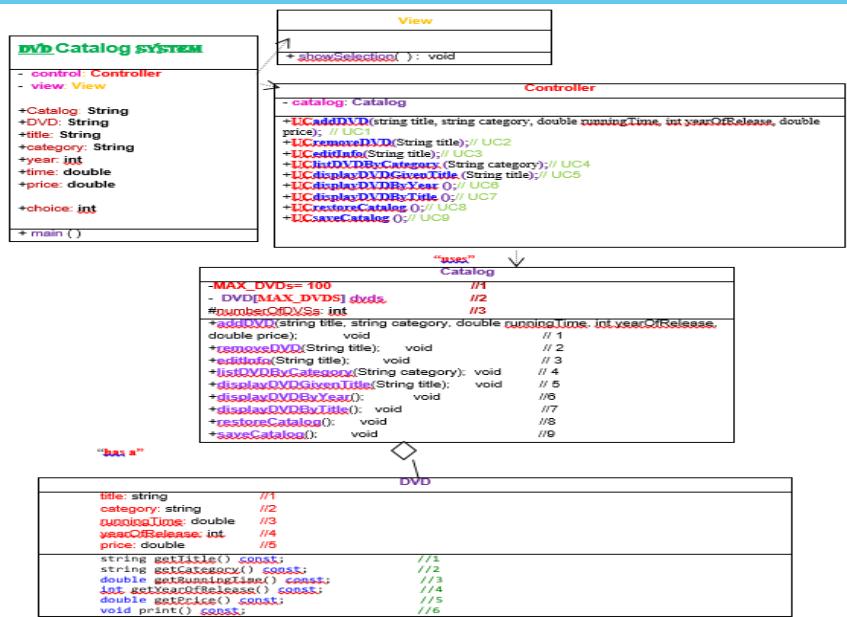
# Bottom-up Approach

## When using the Object Oriented Paradigm

- The independence of the **Classes** assists here
- However, the interactions among the **Classes (coupling)** **complicate** the **Estimation process**

thus, **MVC**

## DVDCollection Application - ? Classes ? methods



**Controller**

```
- catalog: Catalog
Create the instance of the Catalog class
Catalog catalog = new Catalog();

Since the collection is private we need to call a public method in the catalog to operate on the private instance variables of the catalog

public void UCaddDVD (String title){
    catalog.addDVD(title);
} // UC1

public void UCremoveDVD ( String title){
    catalog.removeDVD(title);
} // UC2

public void UCeeditInfo ( String title ){
    catalog.editInfo (title );
} // UC3

public void UClisitDVDByCategory ( String category){
    catalog.listDVDByCategory (category);
} // UC4

public void UCdisplayDVDGivenTitle ( String title ){
    catalog.UCdisplayDVDGivenTitle (title);
} // UC5

public void UCdisplayDVDByYear (0{
    catalog.displayDVDByYear (0);
} // UC6

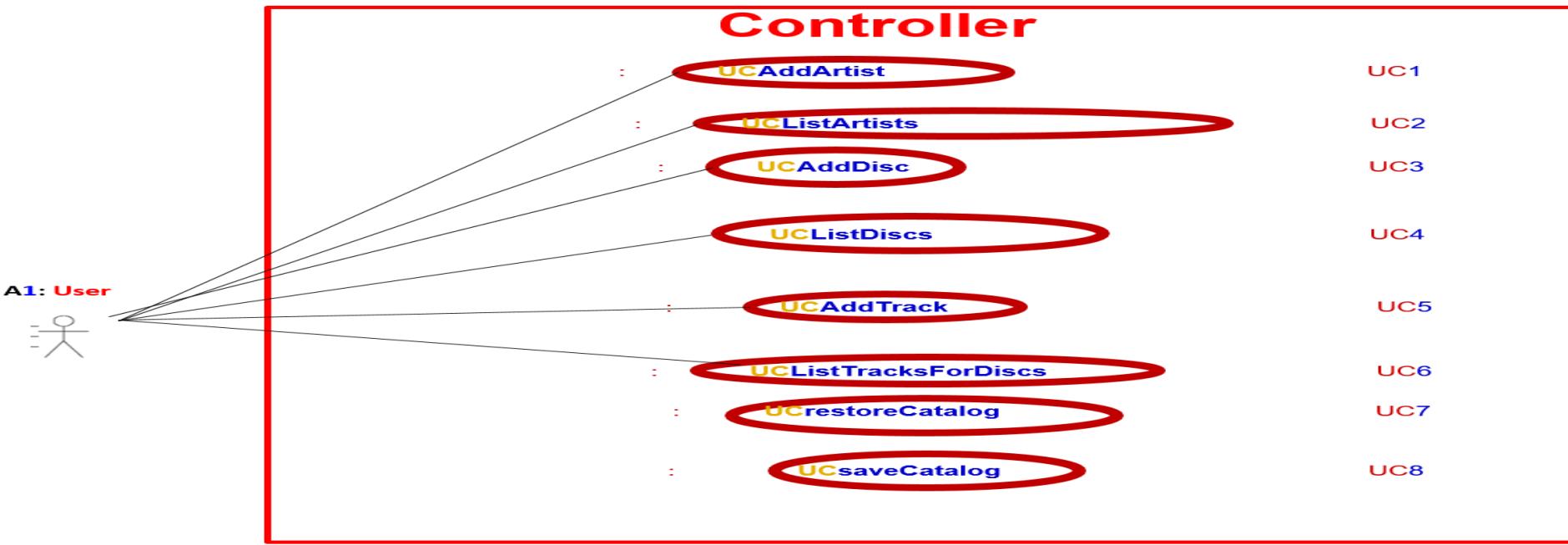
public void UCdisplayDVDByTitle () {
    catalog.displayDVDByTitle();
} // UC7

public void UCrestoreCatalog(){
    catalog.restoreCatalog();
} // UC8

public void UCsaveCatalog(){
    catalog.saveCatalog();
} // UC9
```

# Movie Company System

## UML USE CASE MODEL



## Movie Company System

### UML USE CASE Description

#### UC2 UCListArtists Description

Name:	UCListArtists
Actor:	User
Description:	This use case describes the process used by User to List all Artists
Successful Completion:	User requests Listing Artists 1. <a href="#">Movie Company System</a> displays all the Artists by traversing the artists array
Alternative:	
Pre-Condition:	User requests List Artists
Post-Condition:	Artists displayed
Assumptions:	None

User Story

Step 4: UML Use Cases Description

# The **Aim** of the Requirements Workflow

To answer the question:

“**What**” and “**Why**” must the **product** be able to do!  
But not “**How**”!

In practice, this separation cannot be strictly maintained. Some **Requirements** may directly *prescribe certain **Designs*** or **MVC Implementation** aspects, such as compatibility with existing interfaces or language to be used for the implementation.

**RoR**    **C#**

In order to be able to define certain **Requirements**, some basic **Design decisions** may have to be made first. Also, **Developers** may need an overall idea “**How**” *certain functions can be Implemented* before they can accept them as meaningful **Requirements**.

# Types of Requirements

## User Requirements

- Statements in natural language plus Diagrams of the **services** the **system** provides and its **operational constraints**. Written for **Customers**.

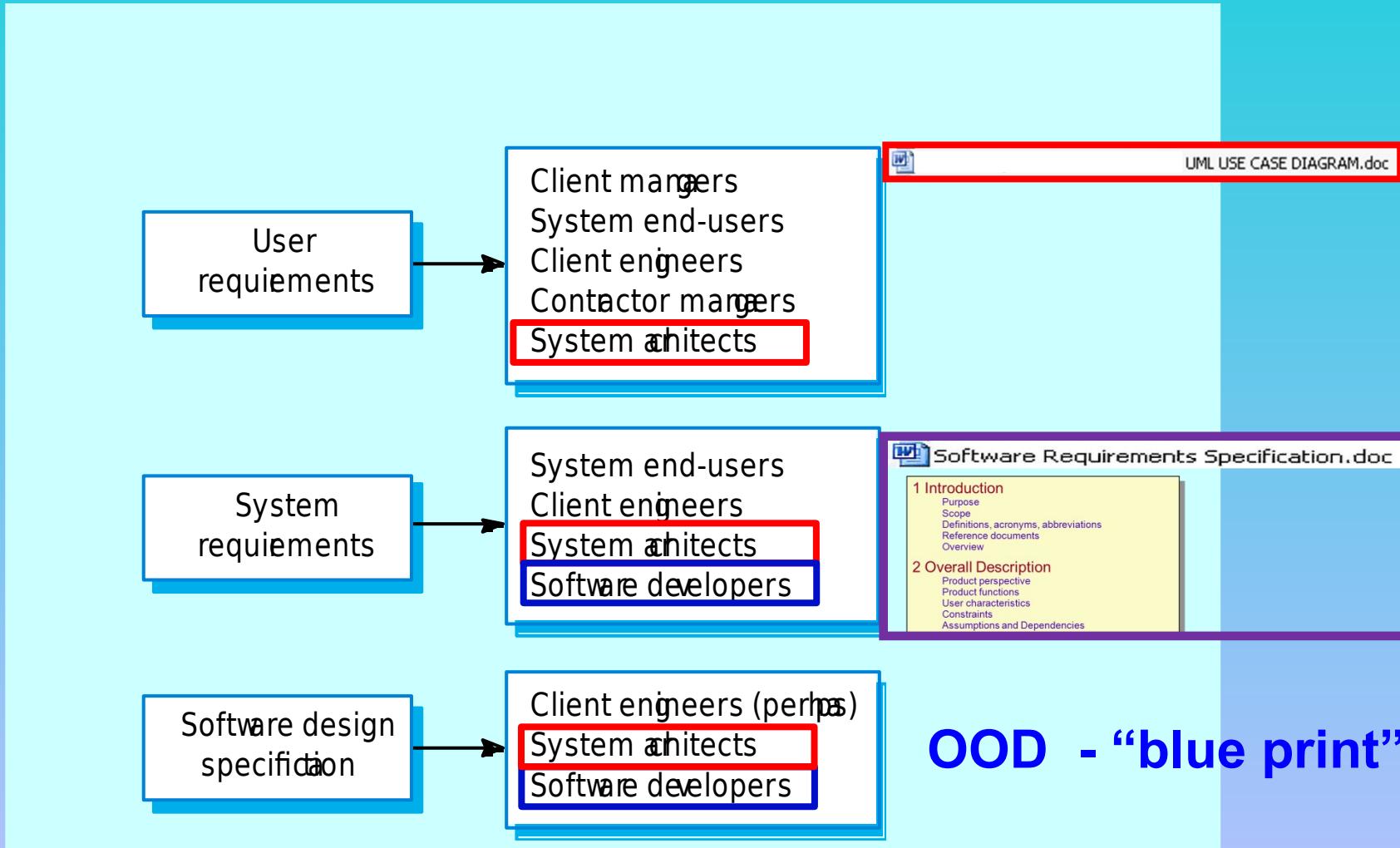


## System Requirements

- A structured document setting out detailed descriptions of the **system's** functions, services and operational **constraints**.  
Defines “**What**” **should be Implemented** so may be part of a **Contract between Client and Contractor**.



# Requirements Readers

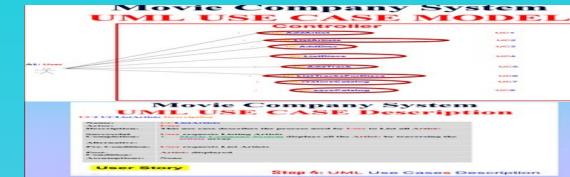


# Functional, Non-Functional, and Domain Requirements

Software system Requirements are classified as:

- **Functional Requirements**

- Statements of **services** the **system** should provide, **how the system** should react to particular inputs and **how the system** should behave in particular situations.



- **Non-Functional Requirements**

- **constraints** on the **services** or **functions** offered by the **system** such as timing **constraints**, **constraints** on the **Development Process**, **Standards**, etc.

- **Domain Requirements**

- Requirements that come from the Application Domain of the **system** and that reflect characteristics of that **Domain**.

Microsoft WORD

# Functional Requirements



- Describe functionality or **system** services.
- Depend on the type of **software**, expected **Users** and the type of **system** where the **software** is used.
- Functional **User Requirements** may be **high-level statements** of **what** the **system** should do but Functional **System Requirements** should **describe** the **system** services **in detail**.

# Examples of Functional Requirements

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- **R13:** The **User** shall be able to search either all of the initial set of **Databases** or select a subset from it.
- **R40:** The **System** shall provide *appropriate viewers* for the **User** to read documents in the document store.
- **R49:** Every order shall be allocated a unique identifier (ORDER\_ID) which the **User** shall be able to copy to the account's permanent storage area.

# Requirements Imprecision

Problems arise when Requirements are not precisely stated.

Ambiguous Requirements may be interpreted in different ways by Developers and Users.

Consider the term 'appropriate viewers'

- User intention - special purpose viewer for each different document type;
- Developer interpretation - Provide a text viewer that shows the contents of each document.

## Requirements *Complete* & *Consistent*

---

In principle, Requirements should be both *Complete* & *Consistent*.

*Complete* They should include descriptions of **all** facilities required.

*Consistent* There should be no conflicts or contradictions in the descriptions of the system facilities.

In practice, it is impossible to produce a *Complete* & *Consistent* Requirements Document.

# Functional Requirements

Software system Requirements are classified as:

- **Functional Requirements**

- Statements of services the system should provide, **how** the system should react to particular inputs and **how** the system should behave in particular situations.

## Movie Company System

### UML USE CASE Description

#### UC2 UCListArtists Description

Name:	<b>UCListArtists</b>
Actor:	<b>User</b>
Description:	This use case describes the process used by <b>User</b> to List all <b>Artists</b>
Successful Completion:	<b>User</b> requests Listing Artists 1. <b>Movie Company System</b> displays all the <b>Artists</b> by traversing the <b>artists array</b>
Alternative:	
Pre-Condition:	<b>User</b> requests List Artists
Post-Condition:	<b>Artists</b> displayed
Assumptions:	None



#### User Story

#### Step 4: UML Use Cases Description

# Non-Functional Requirements

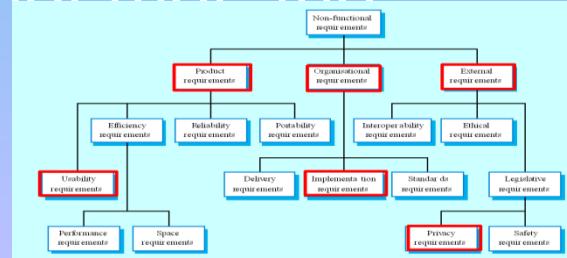
These define **system** properties and **constraints**

- properties: Reliability, Response Time and Storage Requirements,
- constraints: I/O device capability, **system** representations, etc.

**Process Requirements** may also be specified mandating a particular CASE System, **Programming Language** or **Development method**.

**Non-Functional Requirements** may be more critical than **Functional Requirements**. If these are not met, the **system** is useless.

**Not MVC**



# Non-Functional Classifications

## Product Requirements

- Requirements which specify that the delivered product must behave in a particular way e.g. Execution Speed, Reliability, etc.

## Organisational Requirements

- Requirements which are a consequence of organisational **policies** and **procedures** e.g. Process Standards used, Implementation Requirements, etc.

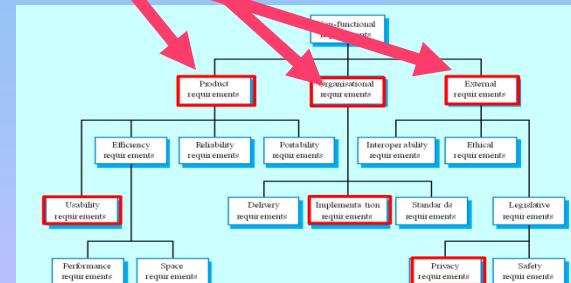
## External Requirements

- Requirements which arise from factors which are external to the system and its **Development Process** e.g. Interoperability Requirements, Legislative Requirements, etc.

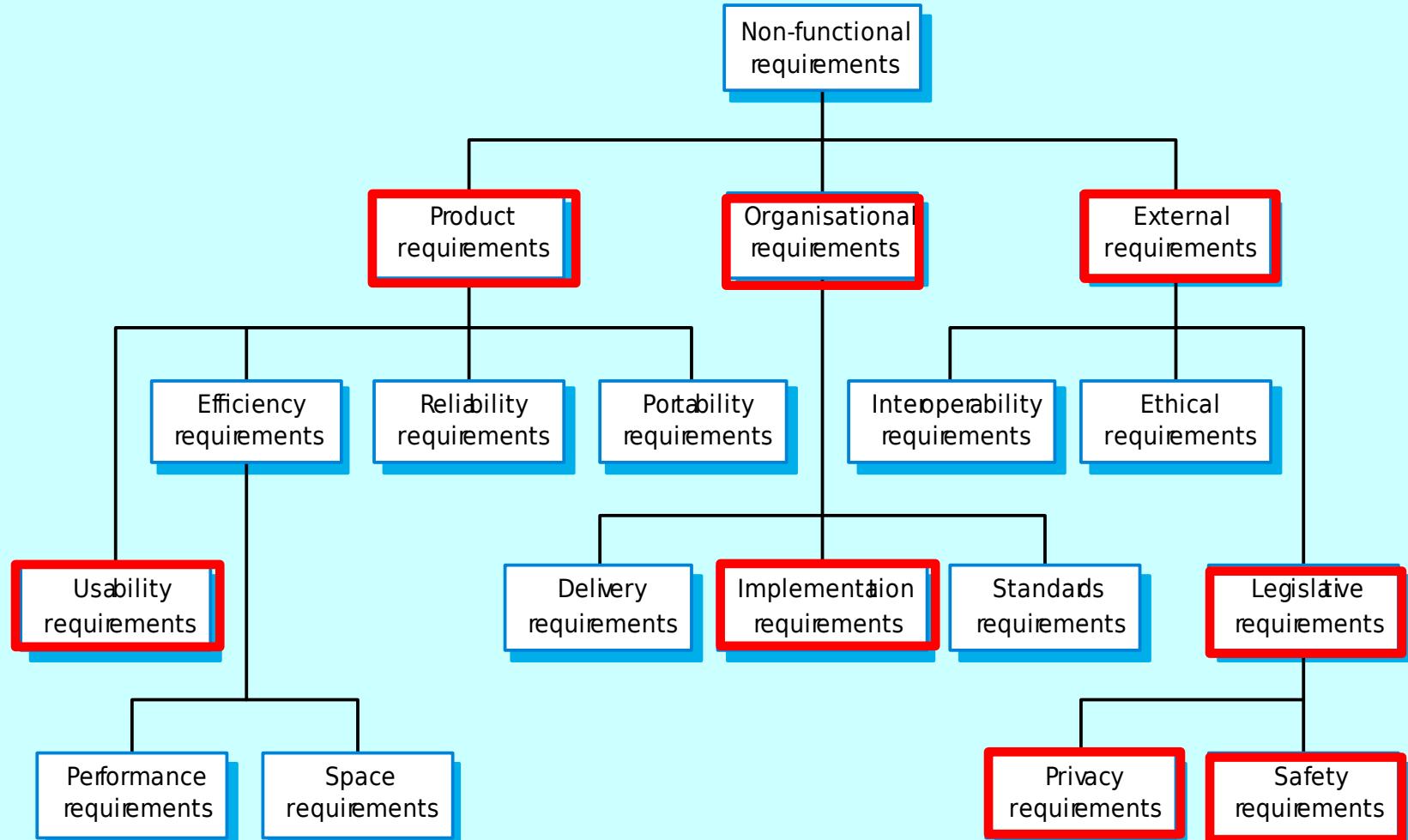
UML

MVC

HIPPA



# Non-Functional Requirement Types



# Non-Functional Requirements examples

## Product Requirements

R.8.1 The User Interface for LIBSYS shall be implemented as simple HTML without frames or Java applets.

## Organisational Requirements

R.9.3.2 The system **Development Process** and deliverable documents shall conform to the **Process** and **Deliverables** defined in XYZCo-SP-STAN-95.

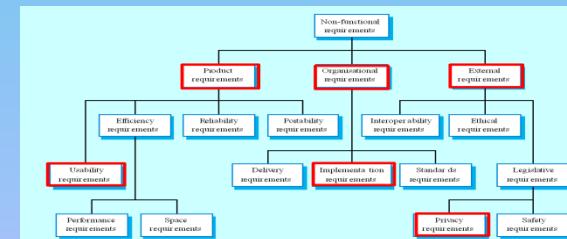
Unified Process

SCRUM

## External Requirements

R.7.6.5 The system shall not disclose any personal information about **Customers** apart from their name and **reference number** to the **Operators** of the system.

HIPPA



# Goals and Requirements

Non-Functional Requirements may be **very difficult to state** precisely and imprecise Requirements may be difficult to **Verify**.

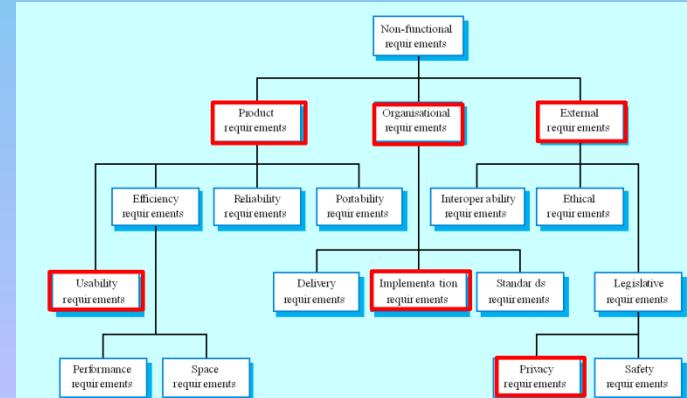
## Goal

- A **general intention** of the **User** such as **ease of use**.

## Verifiable Non-Functional Requirement

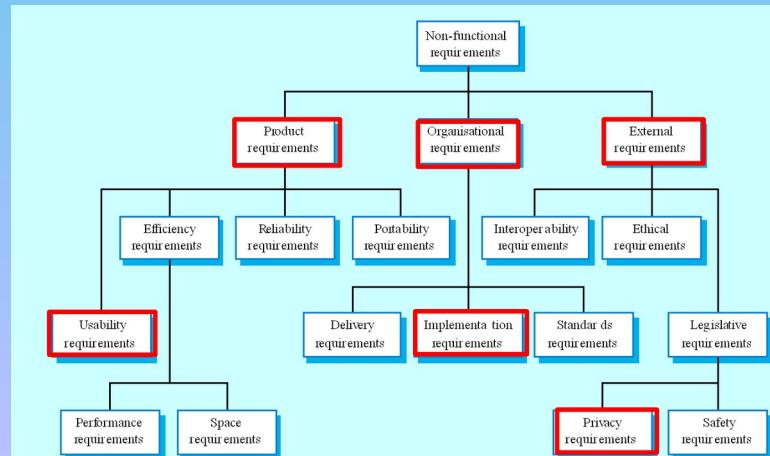
- A statement using **some measure** that can be objectively **tested**.

**Goals** are helpful to **Developers** as they convey the intentions **of** the **system Users**.



# Examples

- **A System Goal**
  - The **system** should be easy to use by experienced **Controllers** and should be organised in such a way that **User** errors are minimized.
- **A Verifiable Non-Functional Requirement**
  - Experienced **Controllers** shall be able to use all the **system** functions after a total of two hours training. After this **training**, the **average number of errors** made by experienced **Users** shall not exceed **two per day**.



# Examples

## Boeing 737 Max 8

### A Verifiable Non-Functional Requirement

- Experienced **Controllers** shall be able to use all the **system** functions after a total of two hours training. After this training, the **average number** of errors made by experienced **Users** shall not exceed **two per day**.

US pilots got an extra hour or two of training to prepare to use Boeing's new 737 Max 8 aircraft, which has been involved in two deadly crashes in less than six months.

The first crash involved a [Lion Air flight](#) in Indonesia that plunged into the sea soon after takeoff in October last year, killing all 189 on board. A week ago, [an Ethiopian Airlines flight crashed](#) six minutes after taking off, killing 157 people. After the second crash, China grounded the aircraft, and was quickly followed by other nations. The US Federal Aviation Administration initially allowed airlines in the US to keep flying the plane, but on Wednesday (March 13) [grounded both the Max 8 and Max 9 models](#), citing [refined satellite data](#) and "physical evidence" found at the scene that showed similarities between the two crashes.

- [The only metric of success that really matters is the one we ignore](#)

The aircraft was developed to include changes to the flight control system that would sharply pitch the plane's nose down if the onboard computer sensed an imminent stall. The system, known as the Maneuvering Characteristics Augmentation System, or MCAS, was incorporated because the plane has larger engines placed further forward on the craft, and so has a chance of facing a stall at lower speeds than earlier 737 variations. In the Lion Air flight, [pilots appeared to be fighting against the system before the aircraft crashed](#), according to the preliminary accident report.

# Requirements Measures

Property	Measure
Speed	Processed transactions/second User/Event response time Screen refresh time
Size	M Bytes Number of ROM chips
Ease of use	Training time Number of help frames
Reliability	Mean time to failure Probability of unavailability Rate of failure occurrence Availability
Robustness	Time to restart after failure Percentage of events causing failure Probability of data corruption on failure
Portability	Percentage of target dependent statements Number of target systems

# Quality of a Software Product

- **Software Product Quality** – is usually defined as the degree to which it **meets Customer Requirements**. This view emphasizes **one side** of the **Quality**: the **User's perspective**. A more comprehensive view also includes the **Developer's side**.

View	Criterion	Definition
User	Availability	High degree of access
	Reliability	Low failure rate
	Efficiency	Economic resource consumption
	Installability	Easy and fast bring-up in user environment
	Usability	Well adapted to skills and preferences of user
	Robustness	Safe reaction to user errors and hardware failures
	Safety/Security	Low damage in case of negligent/malicious use
Developer	Testability	Good documentation and structure
	Maintainability	High readability and modifiability
	Portability	Low dependency on technical environment
	Localizability	Adaptable to national and regional requirements
	Reusability	High modularity and completeness

# Quality of a Software Product

- **Availability** – the **unit** is % (e.g. **99.9%**)
- **MTTF** – **Mean Time To Failure** and
- **MTTR** – **Mean Time To Repair**

$$\text{Availability} = \text{MTTF}/(\text{MTTF} + \text{MTTR})$$

View	Criterion	Definition
User	Availability	High degree of access
	Reliability	Low failure rate
	Efficiency	Economic resource consumption
	Installability	Easy and fast bring-up in user environment
	Usability	Well adapted to skills and preferences of user
	Robustness	Safe reaction to user errors and hardware failures
	Safety/Security	Low damage in case of negligent/malicious use
	Testability	Good documentation and structure
	Maintainability	High readability and modifiability
	Portability	Low dependency on technical environment
Developer	Localizability	Adaptable to national and regional requirements
	Reusability	High modularity and completeness

# Requirements Interaction

Conflicts between different Non-Functional Requirements are common in complex **systems**.

## Spacecraft **system**

- To minimise weight, the **number of separate chips** in the **system** should be **minimised**.
- To minimise power consumption, **lower power chips** should be **used**.
- However, using **low power chips** may mean that **more chips** have to be used. Which is the most critical **Requirement**?

# Non-Functional Requirements

Software system Requirements are classified as:

- **Functional Requirements**

- Statements of services the **system** should provide, how the **system** should react to particular inputs and how the **system** should behave in particular situations.

**TABLE1: Requirements**

.....				
R26	The TEAM?OPTS shall authenticate CMs.	Authentication Non Functional	MustHave	223 - 227
.....				

# Domain Requirements

- Derived from the *Application Domain* and describe **system** characteristics and features that reflect the *Domain*.
- **Domain Requirements** may be new **Functional Requirements**, **constraints** on existing **Requirements** or define specific computations.
- If **Domain Requirements** are not satisfied, the **system** may be unworkable.

# Domain Requirements Problems

## Understandability

- Requirements are expressed in the language of the Application Domain;
- This is often not understood by Software Engineers developing the system.

## Implicitness

- Domain Specialists understand the area so well that they do not think of making the Domain Requirements explicit.

# IEEE Standard for SRS

[http://www.cse.yorku.ca/course\\_archive/2004-05/F/4312/](http://www.cse.yorku.ca/course_archive/2004-05/F/4312/)

## Software Requirements Specification.doc

### 1 Introduction

Purpose  
Scope  
Definitions, acronyms, abbreviations  
Reference documents  
Overview

Identifies the product & application domain

### 2 Overall Description

Product perspective  
Product functions  
User characteristics  
Constraints  
Assumptions and Dependencies

Describes contents and structure of the remainder of the **SRS**

Describes all external interfaces: system, user, hardware, software; also operations and site adaptation, and hardware constraints

### 3 Specific Requirements

#### Appendices

A.1 Outline for SRS Section 3  
Organized by **Use Case**

Summary of major functions

Anything that will limit the developer's options (e.g. regulations, reliability, criticality, hardware limitations, parallelism, etc)

#### Index

All the requirements go in here (i.e. this is the body of the document). IEEE STD provides 8 different templates for this section

# Problems with Natural Languages

## Lack of clarity

- **Precision** is difficult **without making the document** difficult to read

## Requirements confusion

- Functional and **Non-Functional Requirements** tend to be mixed-up.

## Requirements amalgamation

- Several different **Requirements** may be expressed together.

# Example - Naur's Requirements Specification

*Given an input text consisting of words separated by blanks or carriage return (CR) characters. Transform the text into a line-by-line format where a line should start only where a blank or CR character was in the input text. Each output line should be as full as possible, and no line should have more than a given number ( $n$ ) of characters.*

Peter Naur [Naur69a]

The following Requirements errors found in the proposed SRS:

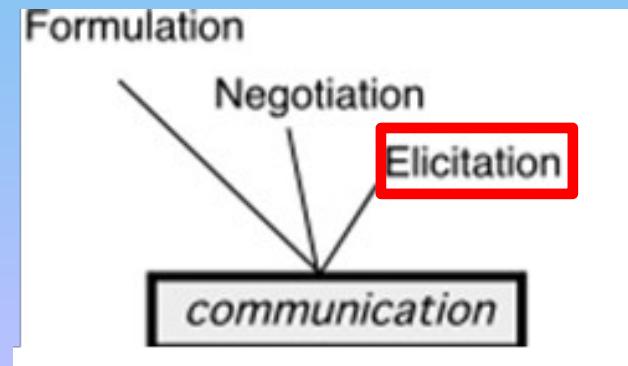
- r1: No condition specified for the normal end of the program.
- r2: No information on the role of the CR character in the output file.
- r3: No information on how to handle errors, e.g. words longer than  $n$  characters.
- r4: Unspecified assumption on the sequence and non-changeability of words.
- r5: No information on storage formats or medium of input and output.
- r6: Open whether multiple consecutive blanks are allowed in the output.

# Determining **What** the Client Needs

- Misconception
  - We must determine **what** the Client Wants
- “I know you believe you understood what you think I said, but I am not sure you realize that what you heard **is not** what I meant!”
- We must determine **what** the Client Needs
  - *What Client should be saying*
  - *It is easy to misunderstand what the Client is saying*

# Determining **What** the Client Needs

- It is hard for a **Systems Analyst** to visualize a **software product** and its functionality
  - The problem is far worse for the **Client**
- A skilled **Systems Analyst** is needed to **Elicit** the appropriate information from the **Client**
- The **Client** is the **only** source of this information



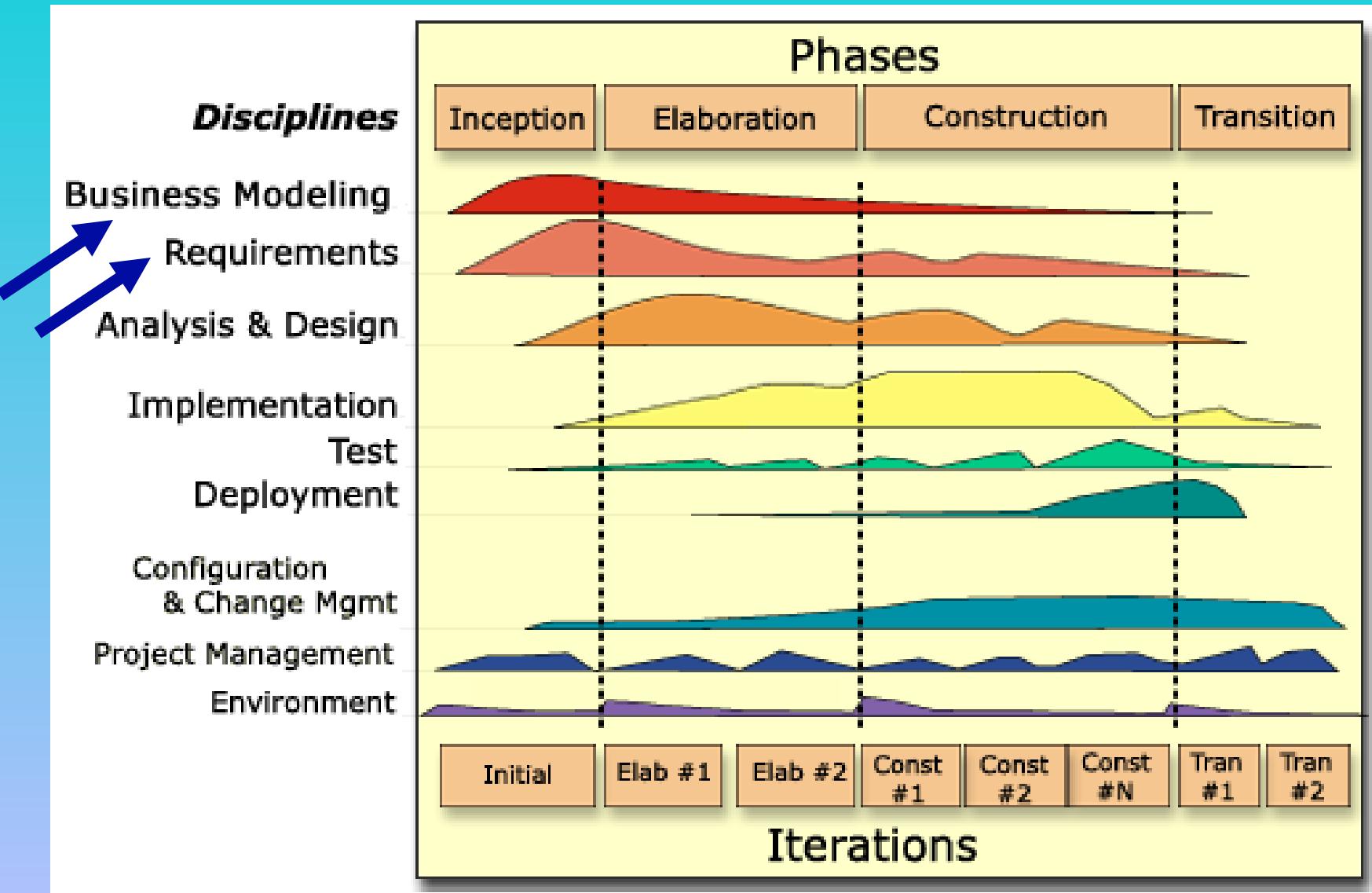
# Determining **What** the Client Needs

---

The solution:

- Obtain initial information from the **Client**
- Use this initial information as input to the **Unified Process**
- Follow the steps of the **Unified Process** to determine the **Client's** real **Needs**

# Unified Process



# UP Requirements Workflow Steps

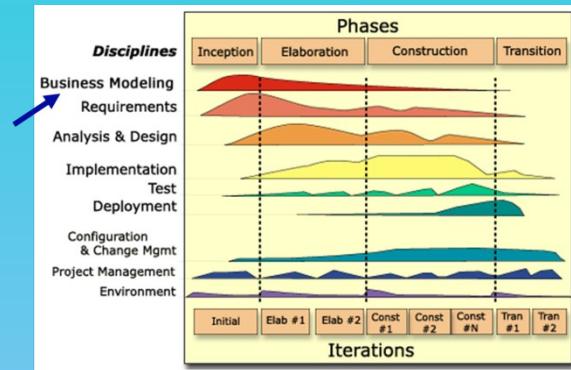
- First, gain an understanding of the *Application Domain* (or *Domain*, for short)
  - The specific environment in which the target product is to operate
- Second, build a Business Model
  - Model the **Client's** Business Processes



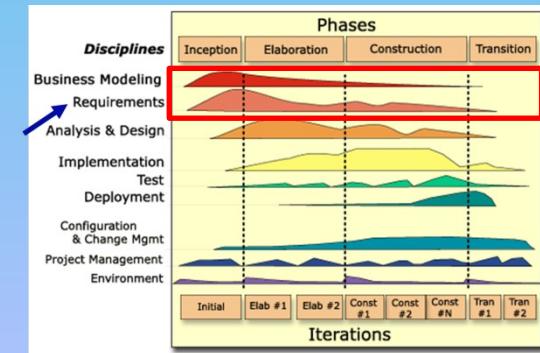
UML



ERD



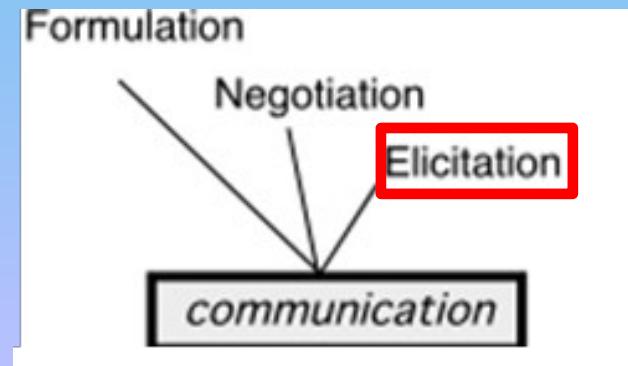
- Third, use the Business Model to determine the **Client's Requirements**



- Iterate the above Steps

# Definitions

- **Discovering the Client's Requirements**
  - Requirements *Elicitation* (or Requirements *Capture*)
  - Methods include **Interviews** and **Surveys**
- **Refining and Extending the initial Requirements**
  - Requirements *Analysis*



# Overview of the Requirements Workflow

---

- First, gain an understanding of the *Application Domain* (or *Domain*, for short)
  - The specific environment in which the target product is to operate
- Second, build a business model
  - Model the client's business processes
- Third, use the business model to determine the client's requirements
- Iterate the above steps

# Step 1: Understanding the **Domain**

---

**Every Member** of the **Requirements Team** must become fully familiar with the **Application Domain**

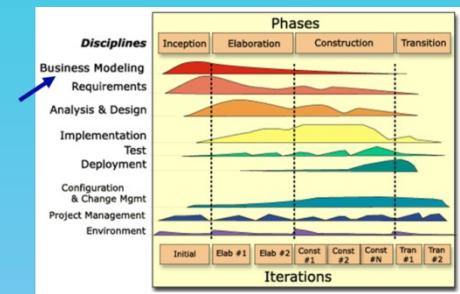
- Correct terminology is essential

Construct a **Glossary**

- A list of technical words used in the **Domain**, and their meanings

# Overview of the Requirements Workflow

- First, gain an understanding of the *application domain* (or *domain*, for short)
  - The specific environment in which the target product is to operate



- Second, build a Business Model
  - Model the **Client's Business Processes**



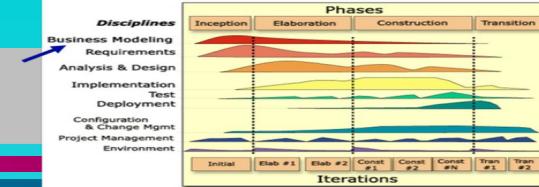
UML USE CASE DIAGRAM.doc



ERD Modeling

- Third, use the business model to determine the client's requirements
- Iterate the above steps

# Step 2: Business Model



UML USE CASE DIAGRAM.doc

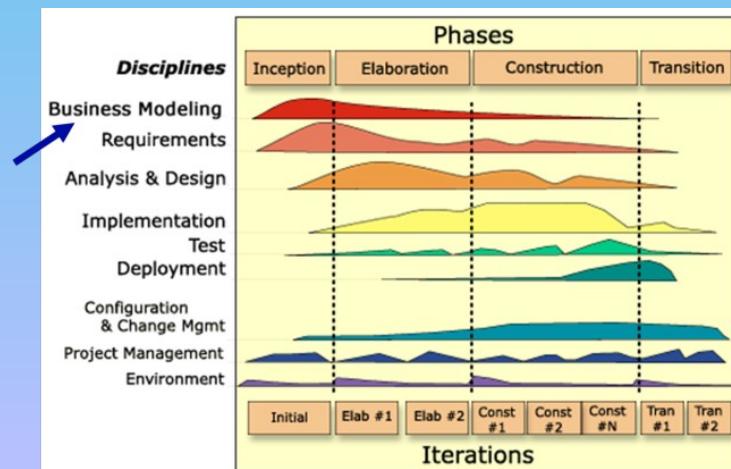


ERD Modeling

- A **Business Model** is a description of the **Business Processes** of an Organization
- The **Business Model gives an understanding** of the Client's Business as a whole
  - This knowledge is essential for advising the **Client** regarding computerization
- The **Systems Analyst** needs to **obtain a detailed understanding** of the various **Business Processes**
  - Different techniques are used, primarily **Interviewing**

## Step 2: Interviewing

- The Requirements Team meet with the Client and Users to extract all relevant information



# Interviewing

---

- There are two types of questions
  - *Close-ended* questions require a specific answer
  - *Open-ended* questions are posed to encourage the **Person** being **Interviewed** to speak out
- There are two types of **Interviews**
  - In a *structured* **Interview**, specific preplanned questions are asked, frequently *Close-ended*
  - In an *unstructured* **Interview**, questions are posed in response to the answers received, frequently *Open-ended*

# Interviewing

---

## Interviewing is not easy

- An Interview that is too unstructured will not yield much relevant information
- The Interviewer must be fully familiar with the Application Domain
- The Interviewer must remain open-minded at all times

After the Interview, the Interviewer must prepare a written Report

- It is strongly advisable to give a copy of the Report to the Person who was Interviewed

- **Interviewing** is the primary technique
- A **Questionnaire** is useful when the **opinions of hundreds of Individuals** need to be determined
- **Examination of Business Forms** shows how the **Client** currently does **Business**

# Other Techniques

---

Direct observation of the **Employees** while they perform their duties can be useful

- Videotape **Cameras** are a modern version of this technique
- But, it can take a long time to analyze the tapes
- **Employees** may view the **Cameras** as an unwarranted invasion of privacy

# Overview of the Requirements Workflow

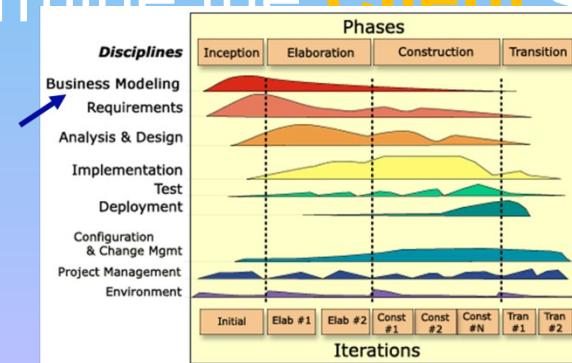
First, gain an understanding of the *application domain* (or *domain*, for short)

- The specific environment in which the target product is to operate

Second, build a business model

- Model the client's business processes

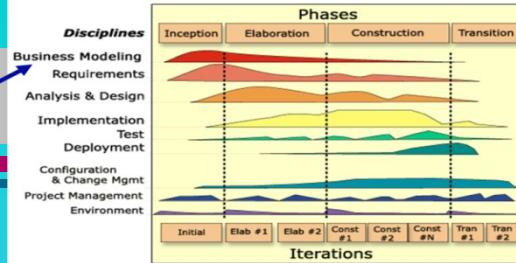
Third, use the **Business Model** to determine the **Client's Requirements**



# Step 3: Initial Requirements

UML USE CASE DIAGRAM.doc

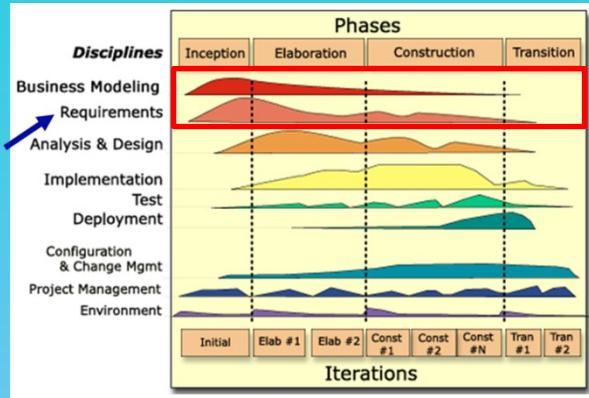
ERD Modeling



The **Initial Requirements** are based on the **Initial Business Model**

Software Requirements Specification.doc

Data Requirements Specification



Then they are **Refined**

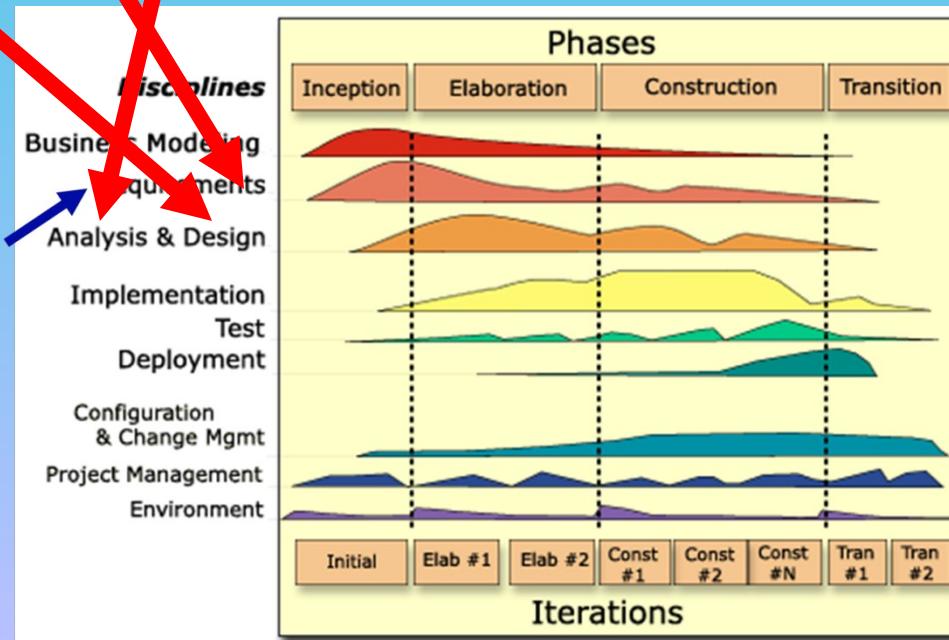
The **Requirements** are **dynamic** — there are frequent changes

- Maintain a list of likely **Requirements**, together with **Use Cases** of **Requirements** approved by the **Client**

Iterate the above steps

# Requirements

- Functional Requirements are handled as part of the Requirements and Analysis Workflows
- Some Non-Functional Requirements have to wait until the Design Workflow



# CASE Tools for the Requirements Workflow

We need **Graphical Tools** for **UML** Diagrams

- » To make it easy to change **UML** Diagrams

**MS WORD**

- » The documentation is stored in the **Tool** and therefore is always available

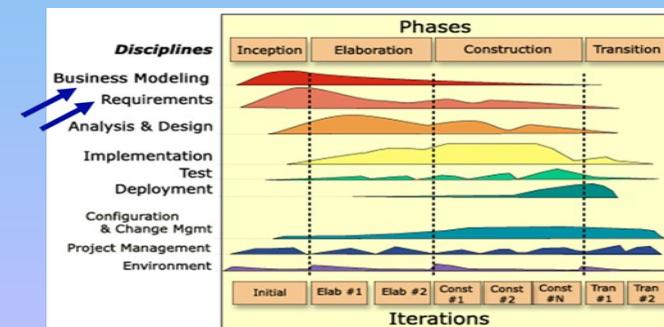
**SVN**

Such **Tools** are sometimes hard to use

The Diagrams may need considerable “tweaking”

Overall, the strengths **outweigh the** weaknesses

**(Cost Benefit Analysis)**



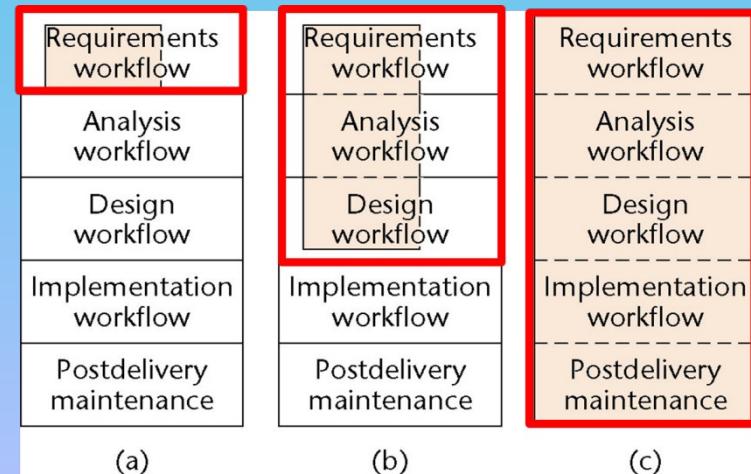
# CASE Tools for the Requirements Workflow

- Graphical **CASE Environments** support **UML**

- System Architect (IBM)
- Software through Pictures

- Object Oriented **CASE Environments** include

- IBM Rational Rose
- Together
- ArgoUML (open source)
- MagicDraw



(a) **Tool** versus (b) **Workbench** versus (c) **Environment**

# CASE Tools for the Requirements Workflow

chipware.com - Mozilla Firefox

File Edit View History Bookmarks Tools Help

http://chipware.com/

Firefox prevented this site from opening a popup window.

chipware

Welcome to chipware.com

For ads on Integrated Chipware and Chip

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- ▶ Refurbished computer

Search

Done



# CASE Tools for the Requirements Workflow

Use Cases and Requirements Management - CaseComplete - Mozilla Firefox

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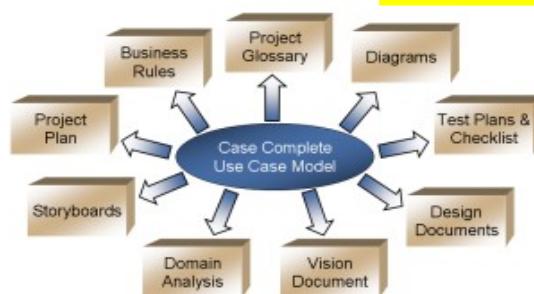
**MS WORD**

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- High quality Microsoft Word and HTML reports
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- Create Use Case diagrams, GUI mockups/wireframes and flow charts
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Since CaseComplete is easy to install, you can literally *get started today* creating great use cases, requirements and test plans that are customizable to fit your organization's needs. See how easy it is: watch the online demos and then download your 30-day free trial.



# Metrics for the Requirements Workflow

- Volatility and Speed of Convergence are **measures** of **how rapidly** the **Client's** needs are determined



	Sun	Mon	Tue	Wed	Thu	Fri	Sat
<a href="#">View Week</a>	24	25 LECTURE 9	26	27 LECTURE 10 & BLUE TEAMS FORMATIONS BEGIN	28	1	2
<a href="#">View Week</a>	3	4 March 9, 2015 PURPLE TEAMS DELIVERABLES- WHAT & BLUE TEAMS FORMATIONS 9 MRTs 11	5	6	7	8	9
<a href="#">View Week</a>	10	11 HOLIDAY	12	13 HOLIDAY	14	15	16
<a href="#">View Week</a>	17	18 LECTURE 11	19 Today	20 LECTURE 12 & MRTs 12, 13	21	22	23
<a href="#">View Week</a>	24	25 March 30, 2015 LECTURE 13 & MRTs 14	26	27	28	29	30

First iteration SRS

> 2 weeks later SRS not signed!

# Metrics for the Requirements Workflow

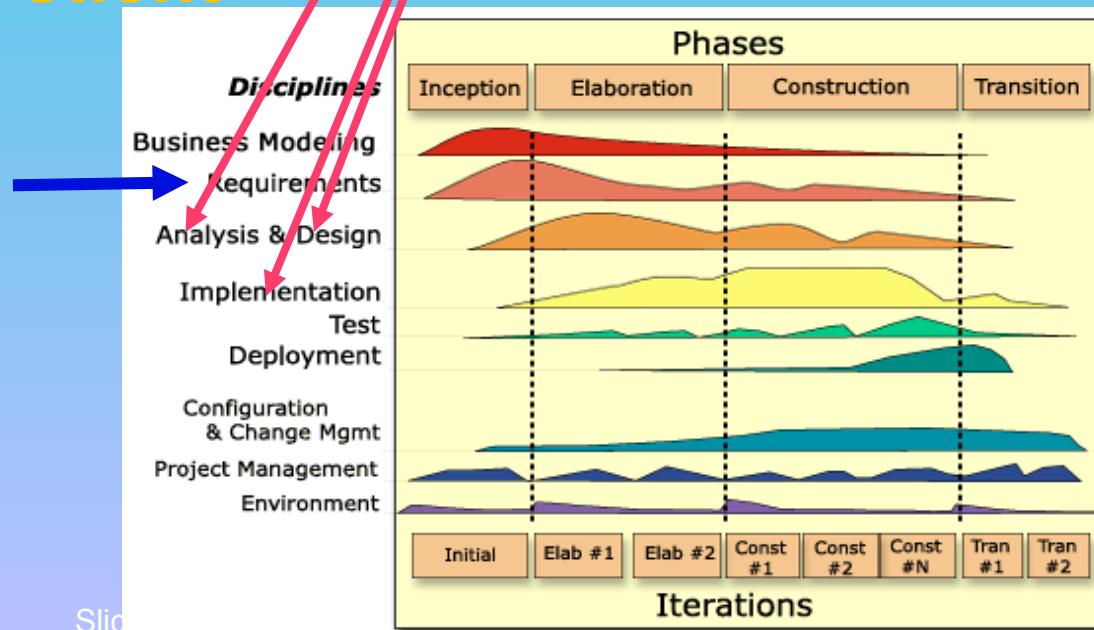
The Number of Changes made during subsequent Phases

Changes initiated by the **Developers**

- Too many changes can mean the **Process** is flawed

Changes initiated by the **Client**

- **Moving target problem**



# Challenges of the Requirements Phase

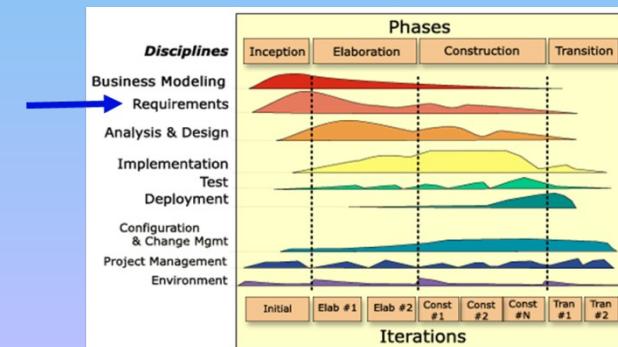
**Employees** of the **Client** organization often feel **threatened** by computerization

**Requirements Team Members** must be able to **negotiate**

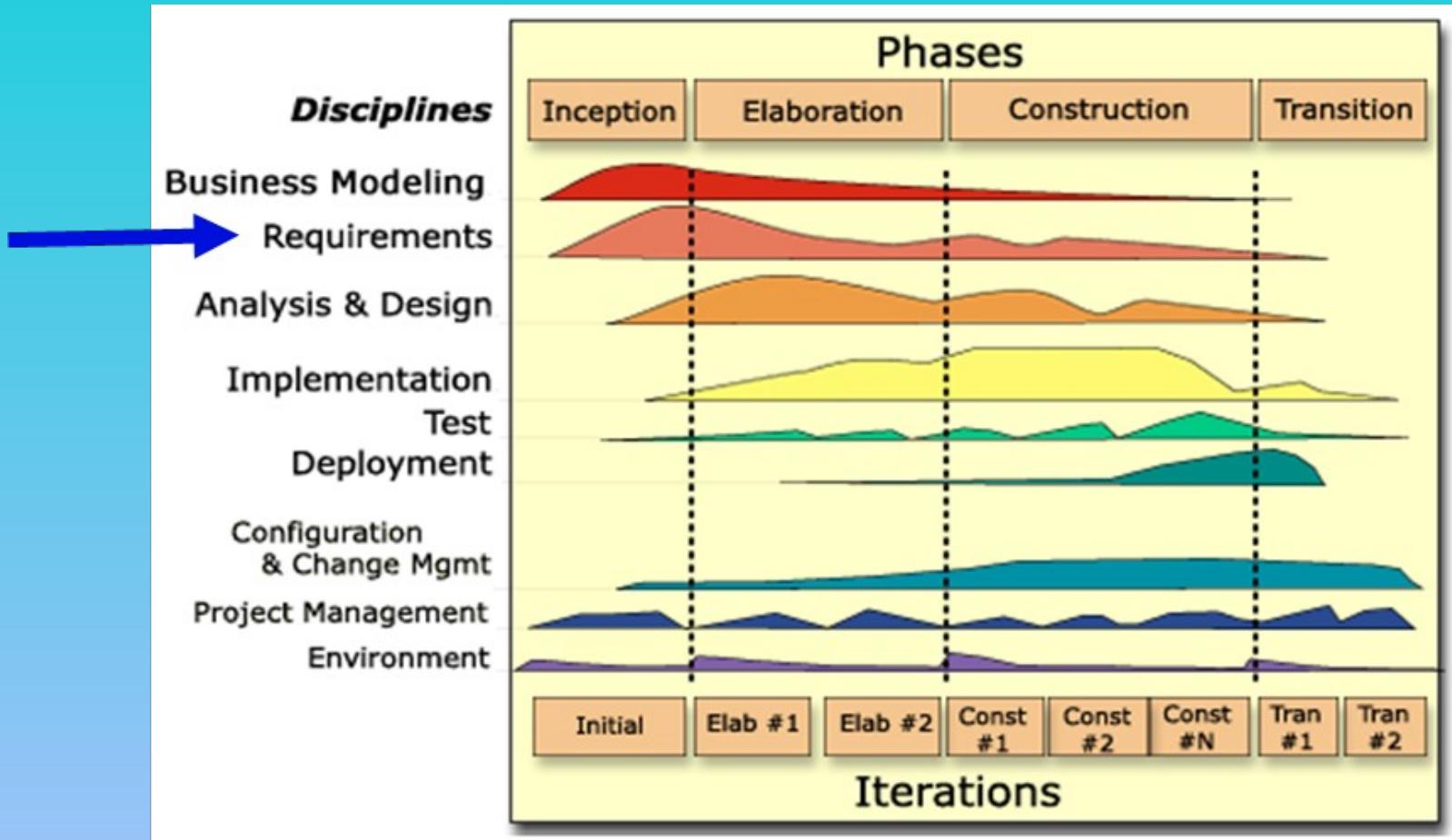
- The **Client**'s needs may have to be scaled down

Key **Employees** of the **Client** organization **may not** have the time for essential in-depth discussions

Flexibility and Objectivity are essential



# Requirements Workflow



## Overview of the Requirements Workflow

Fill in the \_\_\_\_\_ and the \_\_\_\_\_ with words that are appropriate (each word is worth 1 point):

The overall aim of the Requirements Workflow is for the development organization to determine the Client's needs. The first step toward this goal is to gain an understanding of the application domain (or domain, for short), that is, the specific environment in which the target product is to operate. The domain could be banking, space exploration, automobile manufacturing, or telemetry. Once the members of the development team understand the domain to a sufficient depth, they can build a business MODEL that is,

**UML USE CASE** Diagram to describe the Client's business processes. The business MODEL is used to determine WHAT the Client's initial Requirements are. Then iteration is applied. In other words, the starting point is an initial understanding of the domain. This information is used to build the initial business MODEL. The initial business MODEL is utilized to draw up an initial set of the Client's Requirements. Then, in the light of what has been learned about the Client's Requirements, a deeper understanding of the domain is gained; and this knowledge is utilized in turn to refine the business MODEL and hence the Client's Requirements. This iteration continues until the team is satisfied with the set of Requirements. At this point, the iteration stops.

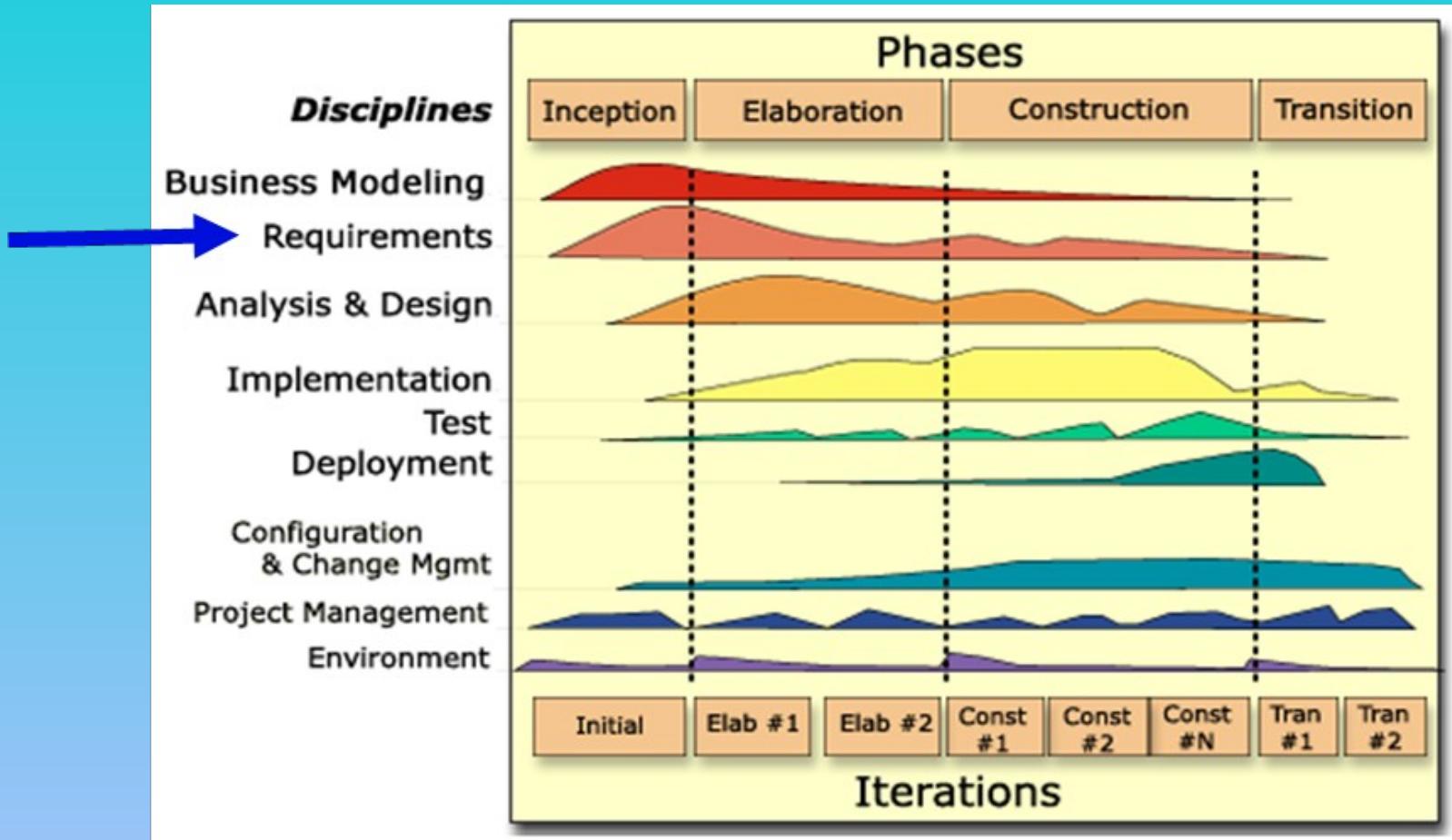
The term Requirements Engineering is sometimes used to describe what is performed during the Requirements Workflow. The process of discovering the Client's Requirements is termed Requirements Elicitation (or Requirements Capture). Once the initial set of Requirements has been drawn up, the process of refining and extending them is termed Requirements Analysis.

# Is there such a thing as “Object Oriented Requirements”?

---

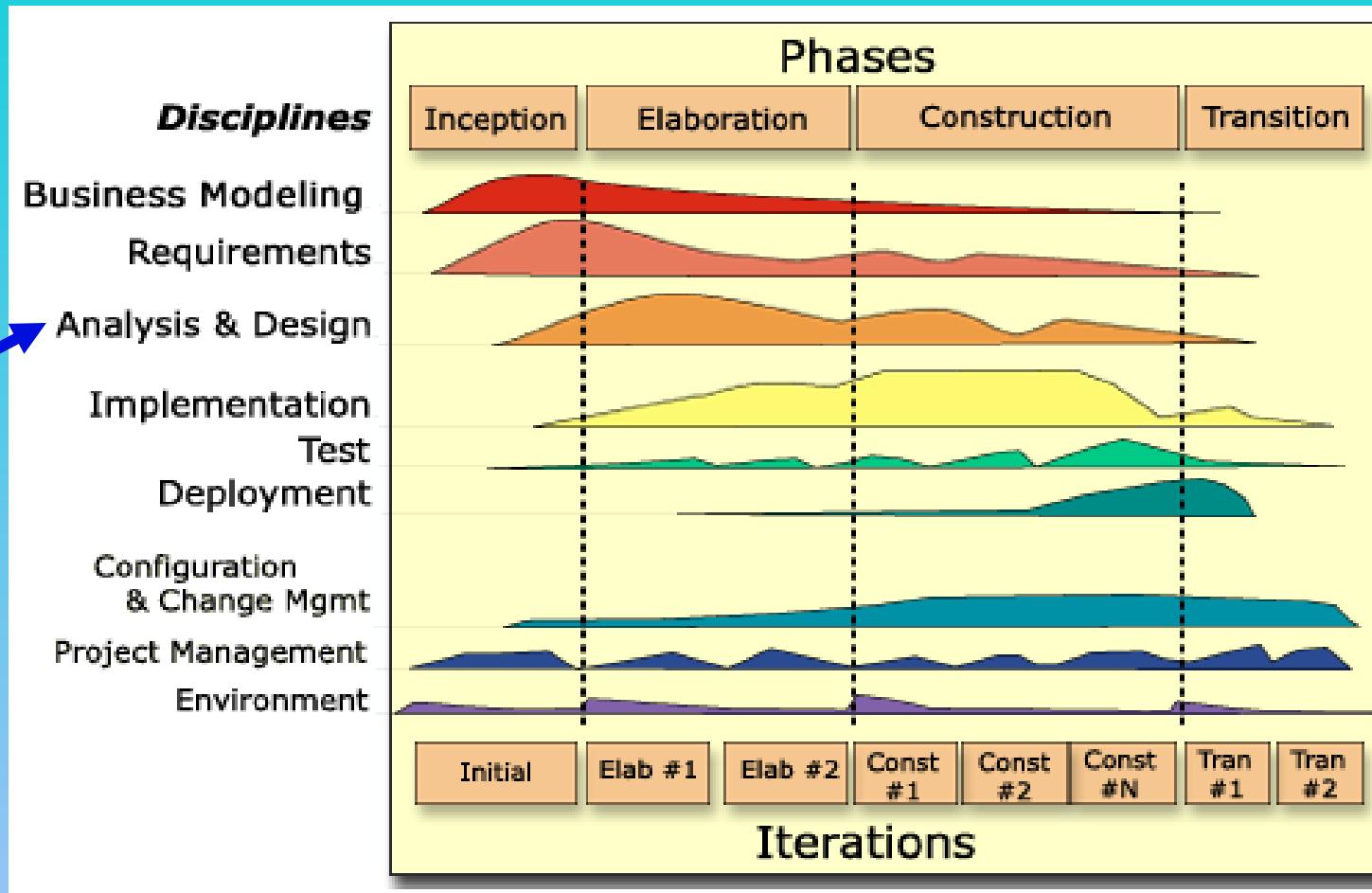
The **Requirements Workflow** has nothing to do with how the **product** is to be built.

# Requirements Workflow



**COMPLETED! NEXT???**

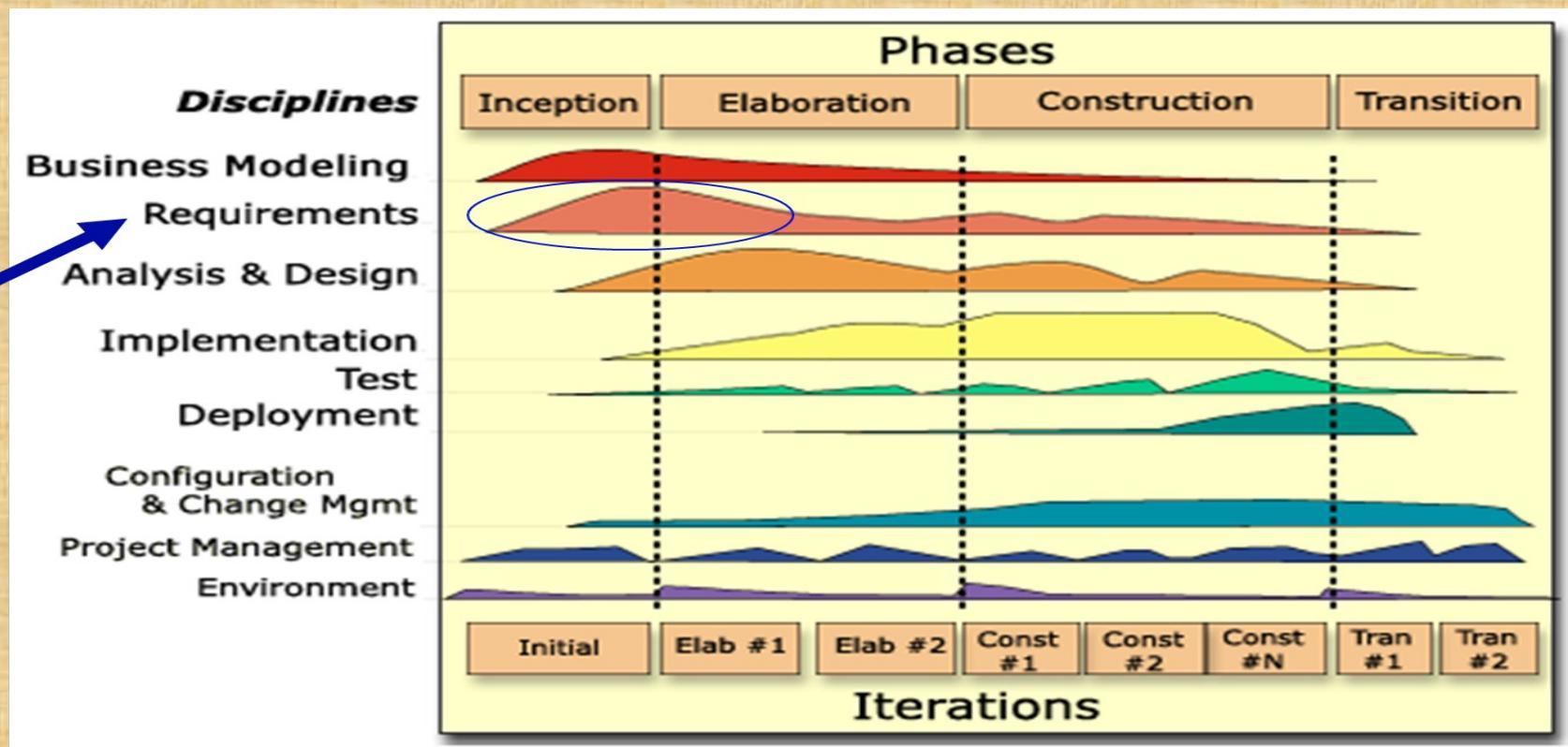
# OO Analysis Workflow



From 4:10 to 5:00 – 50 minutes.

END

# Requirements Workflow



At 5:00.

10.18.2023  
(W 4 to 5:30)  
(17)

Analysis:  
1. Semi-Formal and Formal Specification Techniques for Software Systems.pdf

Lecture 5:  
Requirements

Requirements  
Papers Summary  
(1 Page)  
CANVAS Assignment

CLASSES

PAPERS on ANALYSIS Techniques

Semi-Formal and Formal Specification Techniques for Software Systems.pdf

# NEXT

10.23.2023 (M 4 to 5:30)  (18)		Lecture 6: <b>WHAT - Analysis</b>		<b>Analysis Papers</b> Summary (1 Page) CANVAS Assignment	
10.25.2023 (W 4 to 5:30)  (19)		Lecture 7: <b>HOW - Design</b>  <b>Tutorial 5 ERD to Relational</b>			
10.30.2023 (M 4 to 5:30)  (20)		<b>EXAM 3 REVIEW</b>  (CANVAS)	<b>Dr. CHENG</b> <b>ZyBook:</b> <b>Sections 10-11</b>		
11.01.2023 (W 4 to 5:30)  Optional  (21)				<b>Q &amp; A Set 3 topics.</b>	
11.06.2023 (M 4 to 5:30)  (22)				<b>EXAM 3</b>  (CANVAS)	

10.18.2023 (W 4 to 5:30)  (17)	<b>Analysis:</b> 1. Semi-Formal and Formal Specification Techniques for Software Systems.pdf	Lecture 5: <b>Requirements</b>	<b>Requirements Papers Summary</b>  (1 Page)  <b>CANVAS Assignment</b>
10.23.2023 (M 4 to 5:30)  (18)	Lecture 6: <b>WHAT - Analysis</b>	<b>Analysis Papers Summary</b>  (1 Page)  <b>CANVAS Assignment</b>	

CLASS PARTICIPATION 20%



One Page Summary of Analysis Paper

CLASS PARTICIPATION 20% Module | 100 pts



**From 5:05 to 5:15 – 10 minutes.**

10.18.2023 (W 4 to 5:30)  (17)	Analysis: 1. Semi-Formal and Formal Specification Techniques for Software Systems.pdf	Lecture 5: Requirements	Requirements Papers Summary  (1 Page)  CANVAS Assignment	
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CLASS PARTICIPATION 20 points

20% of Total

**PASSWORD: I AM IN TEAMS**

END Class 17 Participation

CLASS PARTICIPATION 20% Module | Not available until Oct 18 at 5:05pm | Due Oct 18 at 5:15pm | 100 pts

**At 5:15.**

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**End Class 17**

VH, Download Attendance Report  
Rename it:  
**10.18.2023 Attendance Report FINAL**

**VH, upload Class 17 to CANVAS**