# Digital Image Processing COSC 6380/4393

Lecture – 7

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**UNIVERSITY of HOUSTON** 

### Review: DIGITAL IMAGE REPRESENTATION

- Once an image is **digitized** (A/D) and stored it is an array of **voltage or magnetic potentials**
- Not easy to work with from an algorithmic point of view
- The representation that is easiest to work with from an algorithmic perspective is that of a matrix of integers

### **Matrix Image Representation**

- Denote a (square) image matrix I = [I(i, j); 0 < i, j < N-1] where
- (i, j) = (row, column)
- I(i, j) = image value at coordinate or pixel (i, j)

# Review: DIGITAL IMAGE REPRESENTATION (contd.)

rows

• **Example** - Matrix notation

$$\mathbf{I} = \begin{bmatrix} I(0,0) & I(0,1) & \dots & I(0,N-1) \\ I(1,0) & I(1,1) & \dots & I(1,N-1) \\ \vdots & \vdots & \ddots & \vdots \\ I(N-1,0) & I(N-1,1) & \dots & I(N-1,N-1) \end{bmatrix}$$

• Example - Pixel notation - an N x N image

What's the minimum number of bits/pixel allocated?

0 1 2 3 4 5 6 7 8 N-1
0 193 191 189 194 196 200 225 227 224 • • • 57
1 189 185 187 190 193 198 223 229 222 • • • 62
2 186 188 185 192 194 193 219 228 223 • • • 59

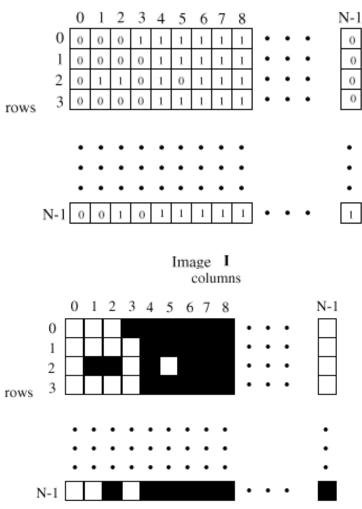
columns

N-1 0 0 1 11 13 11 12 10 15 • • • 189

# Review: DIGITAL IMAGE REPRESENTATION (contd.)

• Example - Binary Image (2-valued, usually BLACK and WHITE)

Another way of depicting the image:



columns

### **BINARY IMAGES**

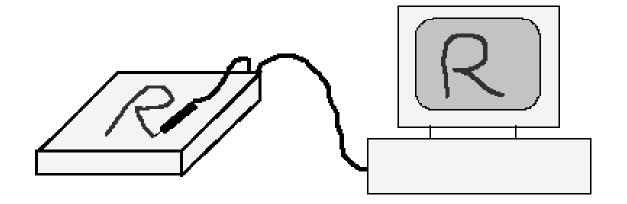
- Since binary = bi-valued, the (logical) values '0' or '1' usually indicate the absence or presence of an image property in an associated gray-level image:
  - Points of high or low intensity (brightness)
  - Points where an object is present or absent
  - More abstract properties, such as smooth vs. nonsmooth, etc.
- Convention We will make the associations
- '1' = BLACK
- '0' = WHITE

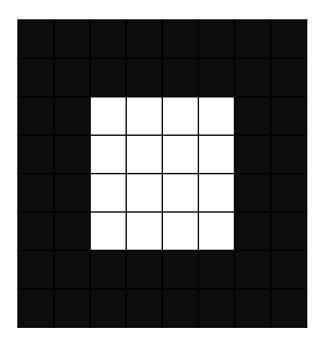
### **BINARY IMAGE**

- Usually a binary image is obtained from a gray-level image
- Advantages:
  - B-fold reduction in required storage
  - Simple abstraction of information
  - Fast processing logical operators
  - Can be further compressed

### BINARY IMAGE GENERATION

- Tablet-Based Input:
- Binary images can derive from **simple sensors** with binary output
- Simplest example: tablet, resistive pad, or light pen
- All pixels initially assigned value '0':
  I = [I(i, j)], I(i, j) = '0' for all (i, j) = (row column)
- When pressure or light is applied at  $(i_0, j_0)$ , the image is assigned the value '1':  $I(i_0, j_0) = '1'$
- This continues until the user completes the drawing





8X8 image → Black box on white background

0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0
0	0	255	255	255	255	0	0
0	0	255	255	255	255	0	0
0	0	255	255	255	255	0	0
0	0	255	255	255	255	0	0
0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0



0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0
0	0	1	1	1	1	0	0
0	0	1	1	1	1	0	0
0	0	1	1	1	1	0	0
0	0	1	1	1	1	0	0
0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0

Grey scale Pixels values

Binary image

### GRAY-LEVEL THRESHOLDING

#### **Simple Thresholding**

- The simplest of image processing operations
- An extreme form of gray-level quantization
- Define an integer **threshold** T (in the gray-scale range)
- Compare each pixel intensity to T

### **THRESHOLDING**

- Suppose gray-level image I has K gray-levels: 0, 1, 2, ...., K-1
- Select threshold  $T \in \{0, 1, 2, ..., K-1\}$
- Compare every gray-level in I to T
- Define a new **binary image J** as follows:
- $J(i, j) = '0' \text{ if } I(i, j) \le T$
- J(i, j) = '1' if I(i, j) > T
- A new binary image J is created from a gray-level image I



0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0
0	0	255	255	255	255	0	0
0	0	255	255	255	255	0	0
0	0	255	255	255	255	0	0
0	0	255	255	255	255	0	0
0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0

Threshold(T) 

Grey scale Pixels values

Binary image

0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0
0	0	255	255	255	255	0	0
0	0	255	255	255	255	0	0
0	0	255	255	255	255	0	0
0	0	255	255	255	255	0	0
0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0

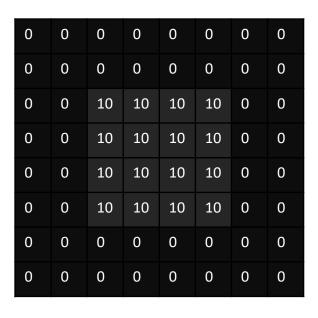
Threshold(T) 

Grey scale Pixels values

Binary image

What is good value of T?





8X8 image → grey box on black background

What is good value of T?

0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0
0	0	10	10	10	10	0	0
0	0	10	10	10	10	0	0
0	0	10	10	10	10	0	0
0	0	10	10	10	10	0	0
0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0

240	240	240	240	240	240	240	240
240	240	240	240	240	240	240	240
240	240	255	255	255	255	240	240
240	240	255	255	255	255	240	240
240	240	255	255	255	255	240	240
240	240	255	255	255	255	240	240
240	240	240	240	240	240	240	240
240	240	240	240	240	240	240	240

8X8 image → grey box on black background
What is good value of T?

8X8 image → white box on dark white background

What is good value of T?

### THRESHOLD SELECTION

- The quality of the **binary image J** obtained by thresholding **I** depends very heavily on the **threshold T**
- Indeed it is instructive to observe the result of thresholding an image at many different levels in sequence
- Different thresholds can produce different valuable abstractions of the image
- Some images do not produce any interesting results when thresholded by any T
- So: How does one decide if thresholding is possible?
- How does one decide on a threshold T?

0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0
0	0	10	10	10	10	0	0
0	0	10	10	10	10	0	0
0	0	10	10	10	10	0	0
0	0	10	10	10	10	0	0
0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0

240	240	240	240	240	240	240	240
240	240	240	240	240	240	240	240
240	240	255	255	255	255	240	240
240	240	255	255	255	255	240	240
240	240	255	255	255	255	240	240
240	240	255	255	255	255	240	240
240	240	240	240	240	240	240	240
240	240	240	240	240	240	240	240

8X8 image → black box on grey background

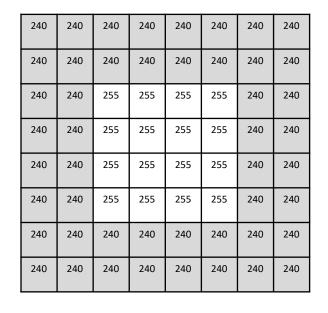
8X8 image → light white box on white background

How do we determine *T*?

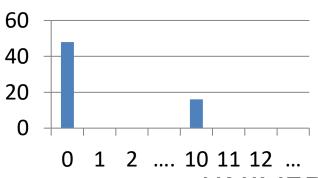


### Determine modes

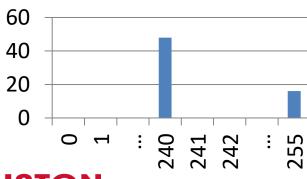
0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0
0	0	10	10	10	10	0	0
0	0	10	10	10	10	0	0
0	0	10	10	10	10	0	0
0	0	10	10	10	10	0	0
0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0



#### **Pixel Count**

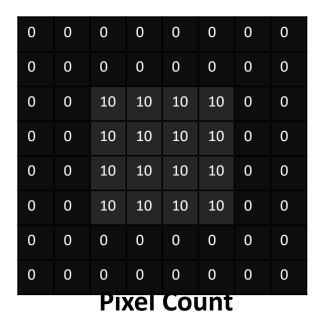


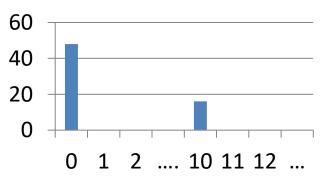
#### **Pixel Count**



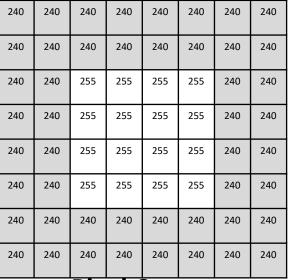
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### Determine modes

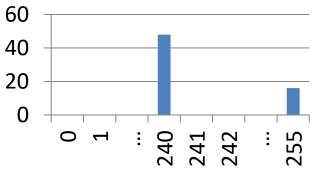




 $mode_1 = 0; mode_2 = 10$ T = avg(mode) = 5 UNIVERSITY of **HOUSTON** T = avg(mode) = 247.5



#### **Pixel Count**



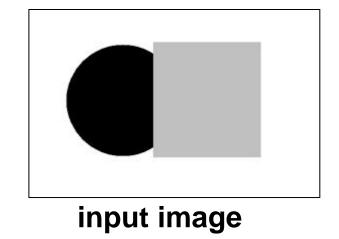
 $mode_1 = 240; mode_2 = 255$ 

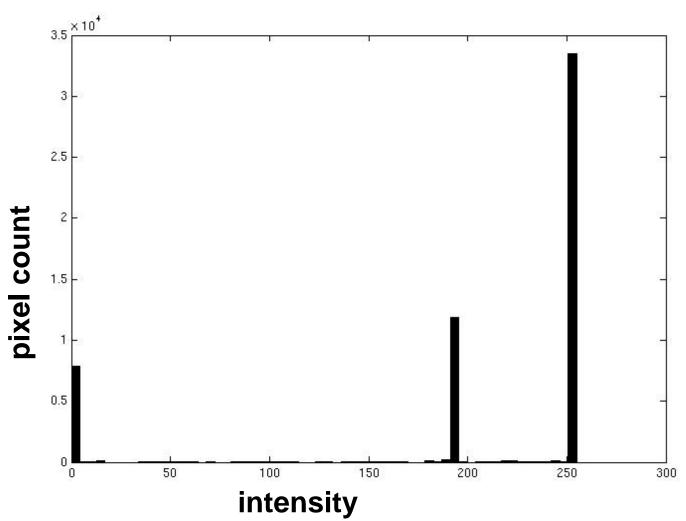
### GRAY-LEVEL IMAGE HISTOGRAM

- The histogram  $H_I$  of image I is a plot or graph of the frequency of occurrence of each gray level in I
- $\mathbf{H}_{\mathbf{I}}$  is a one-dimensional function with domain 0, ..., K-1
- $\mathbf{H}_{\mathbf{I}}(\mathbf{x}) = \mathbf{n}$  if I contains **exactly** n occurrences of gray level x, for each  $\mathbf{x} = 0, \dots K-1$

## Histogram Example

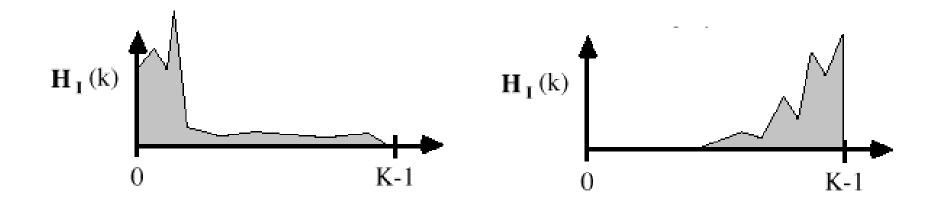
Black = 0 Gray = 190 White = 254





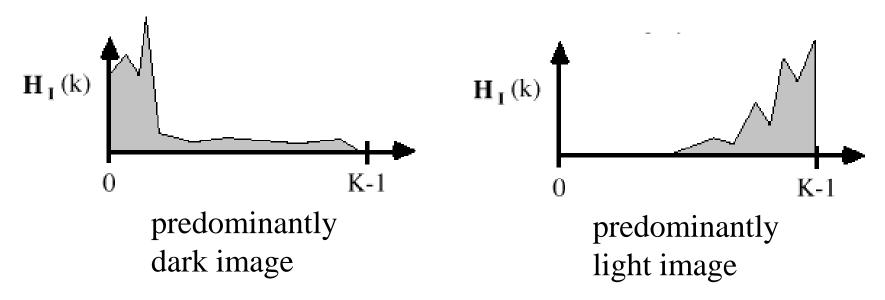
### HISTOGRAM APPEARANCE

• The appearance of a histogram suggests much about the image



### HISTOGRAM APPEARANCE

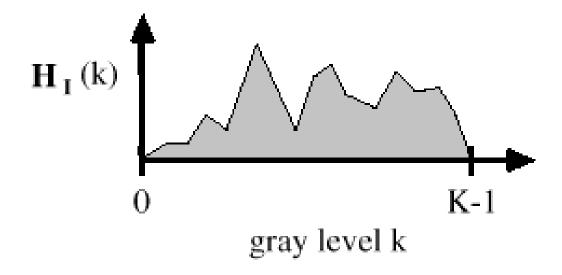
• The appearance of a histogram suggests much about the image



 These could be histograms of underexposed and overexposed images, respectively

### HISTOGRAM APPEARANCE

• This histogram may show better use of the gray-scale range



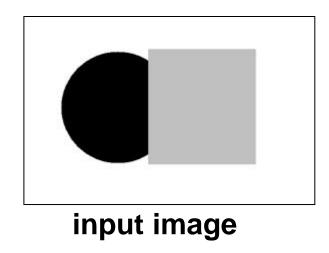
Well-distributed histogram

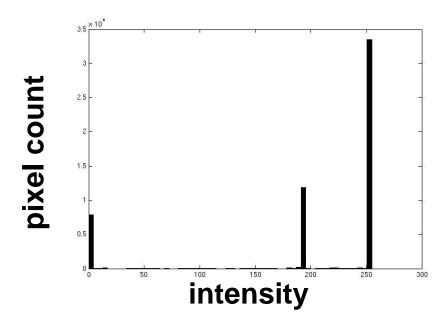
## Histogram Example

Black = 0

Gray = 190

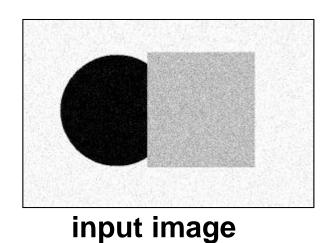
White = 254

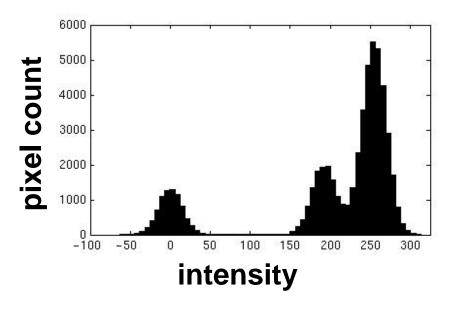




# Histogram Example

#### Reality



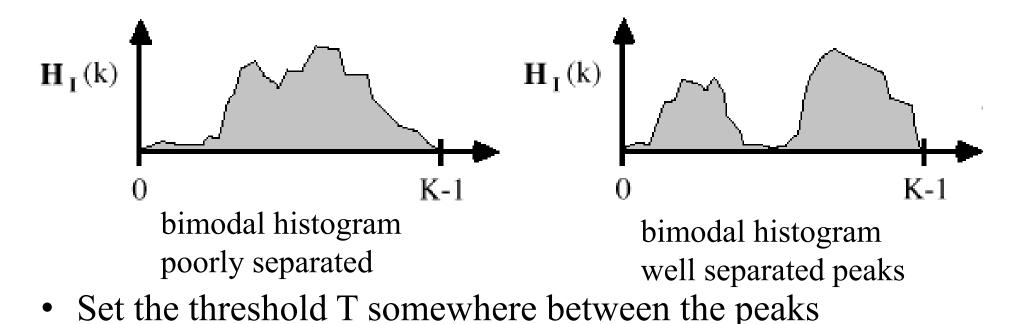


### **BIMODAL HISTOGRAM**

- Thresholding usually works best when there are dark objects on a light background
- Or when there are **light objects** on a **dark background**
- Images of this type tend to have histograms with multiple distinct peaks or modes in them

### **BIMODAL HISTOGRAM**

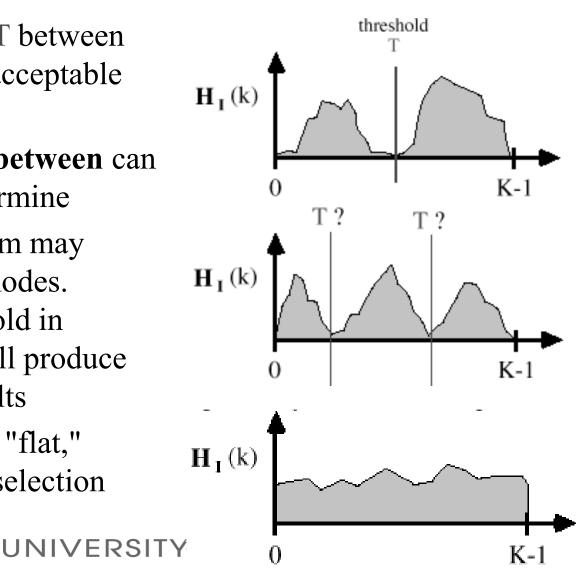
• If the peaks are well-separated, threshold selection can be easy



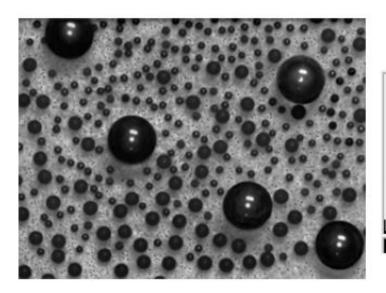
• It may be an interactive trial-and-error process

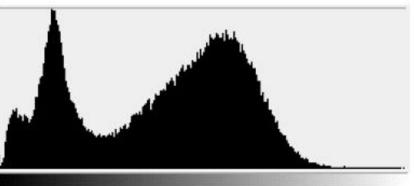
# THRESHOLD SELECTION FROM HISTOGRAM

- Placing threshold T between modes may yield acceptable results
- Exactly **where in between** can be difficult to determine
- An image histogram may contain multiple modes.
   Placing the threshold in different places will produce very different results
- Histogram may be "flat," making threshold selection difficult

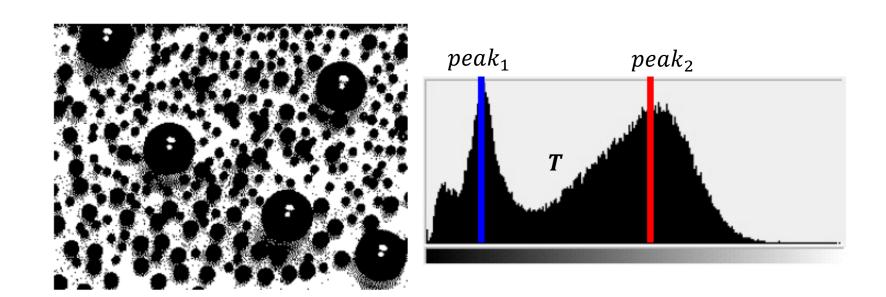


- Microscopic image
- Grey level → binary image
- Binary: 1-cell present, 0-cell absent

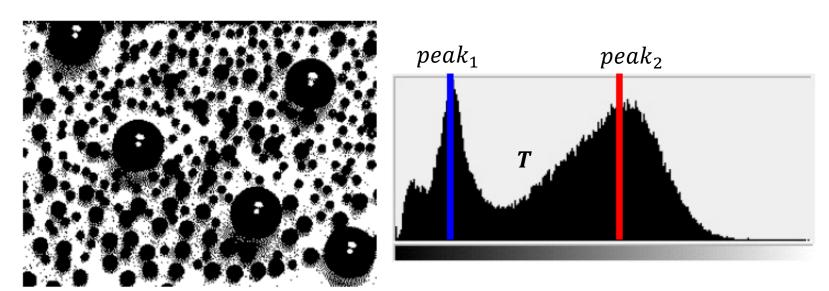




- If the peaks are known
- We can choose T between peaks (say average)



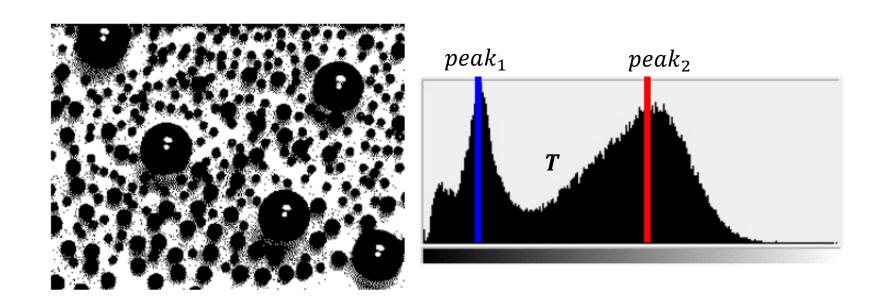
- If the peaks are known
- We can choose T between peaks (say average)



We do not know the peaks!!

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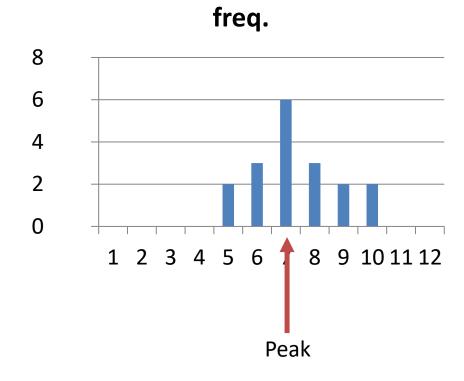
- If the Threshold (T) is known
- Can we determine the peaks?



# Recap: Probability

• Data: {5,5,6,6,6,7,7,7,7,7,8,8,8,9,9,10,10}

• *X*: random variable



# Recap: Probability

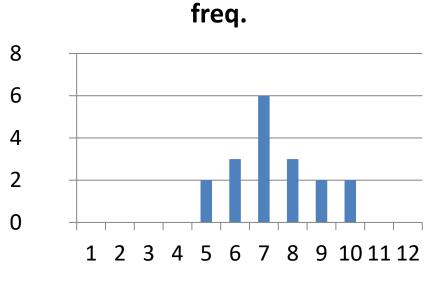
- Data: {5,5,6,6,6,7,7,7,7,7,8,8,8,9,9,10,10}
- X: random variable
- P: X  $\rightarrow$  [0,1] probability function
- P(X = 7) = ?

# Recap: Probability

- Data: {5,5,6,6,6,7,7,7,7,7,8,8,8,9,9,10,10}
- X: random variable
- P: probability function
- P(X = 7) = 0.33

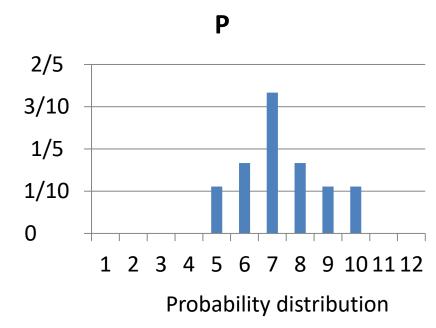
### Recap: Histogram

- Data: {5,5,6,6,6,7,7,7,7,7,8,8,8,9,9,10,10}
- *X*: random variable
- p: probability function
- p(X = 7) = 0.33
- Histogram



## Recap: Probability Distribution

- Data: {5,5,6,6,6,7,7,7,7,7,8,8,8,9,9,10,10}
- *X*: random variable
- p: probability function
- p(X = 7) = 0.33
- p→Normalize(Histogram)



Where is the peak for this case?

### Expectation

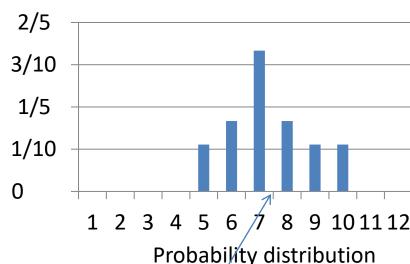
- $E(X) \rightarrow$  Expected value of random variable X
- ~Average of all the expected values of random variable X
- E(X) = ?

### Expectation

- $E(X) \rightarrow$  Expected value of random variable X
- ~Average of all the values
- $E(X) = \sum X p(X)$

## Recap: Probability Distribution

- Data: {5,5,6,6,6,7,7,7,7,7,8,8,8,9,9,10,10}
- *X*: random variable
- p: probability function
- p(X = 7) = 0.33
- p→Normalize(Histogram)

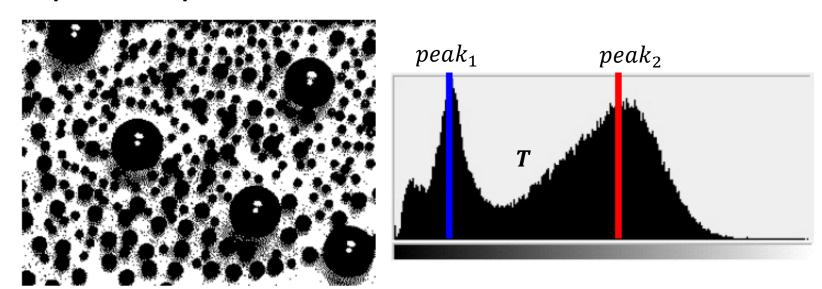


P

- Where is the peak for this case?
- E(X) = 5 \* 0.11 + 6\*0.17 + 7\*0.33 + ... = 7.33

# Example: How to find T

- If the Threshold (T) is known
- Can we determine the peaks?
- Yes, Compute Expectation on either side of the threshold.



# **Algorithm**

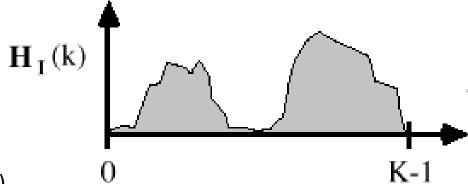
Initialize 
$$T = K/2$$
  
Do  

$$Compute \mu_1 = E(X) \forall X < T$$

$$Compute \mu_2 = E(X) \forall X \ge T$$

$$Set T = \frac{\mu_1 + \mu_2}{2}$$

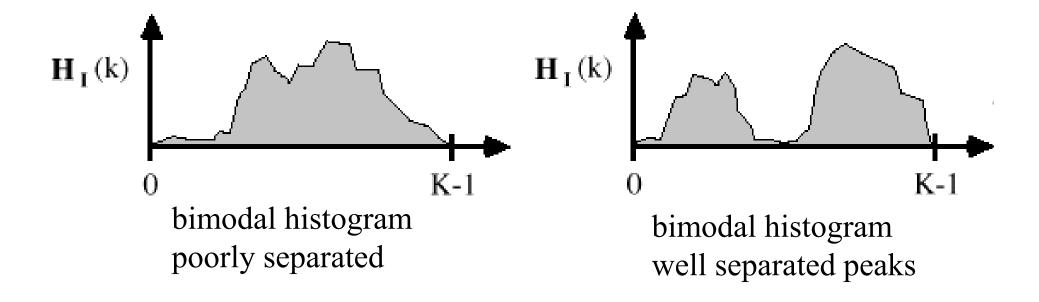
$$While \Delta \mu_1! = 0 \& \Delta \mu_2! = 0$$



AKA: Expectation Maximization (simple version)

bimodal histogram well separated peaks

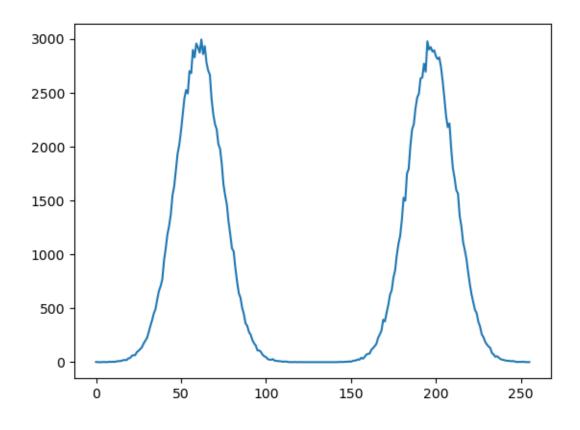
### **BIMODAL HISTOGRAM**



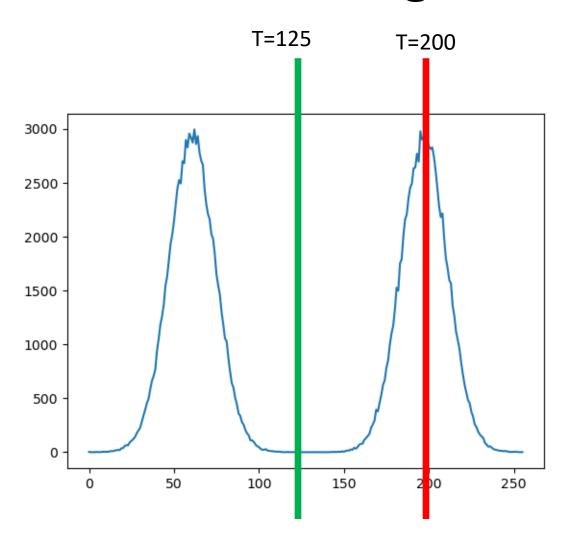
### Otsu's Binarization

- Popular thresholding method
- It works on the histogram of the image
- It assumes that the histogram is bimodal

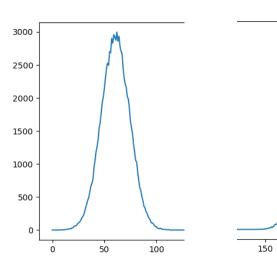
# Bimodal Histogram



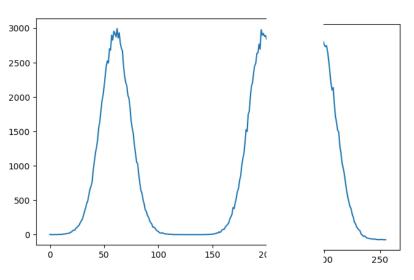
# Bimodal Histogram





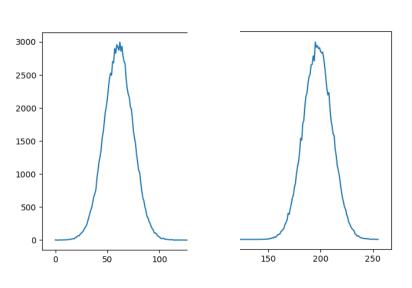


### T=200

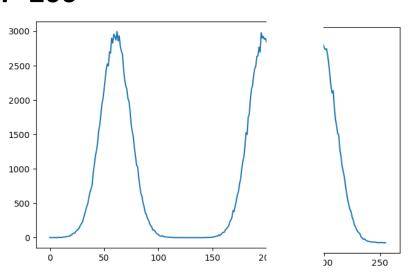


200





### T=200

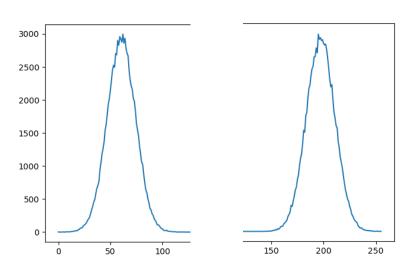


Compute a statistical value

Compute a statistical value

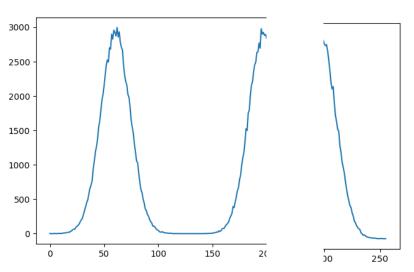
Compare
Pick Threshold
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T=125



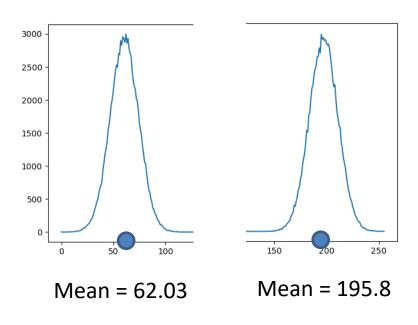
What metric to compute?

T=200

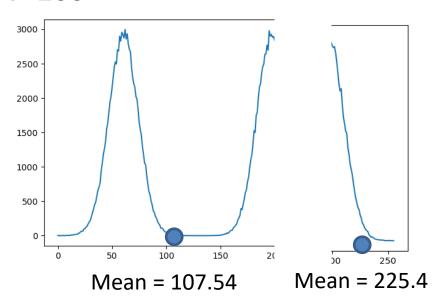


What metric to compute?

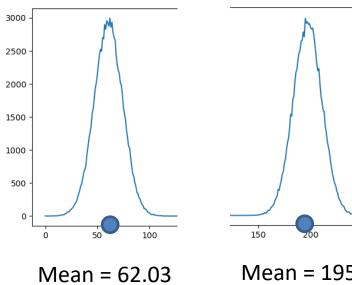




### T=200



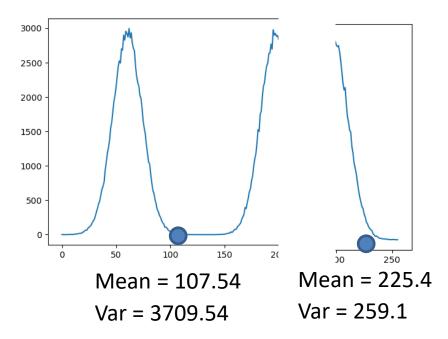




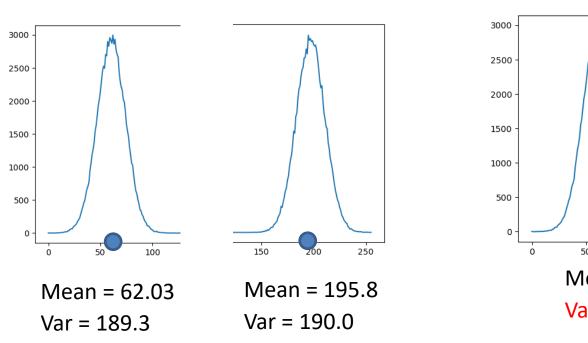
Var = 189.3

Mean = 195.8 Var = 190.0

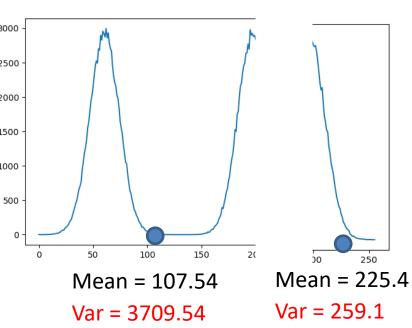
### T=200









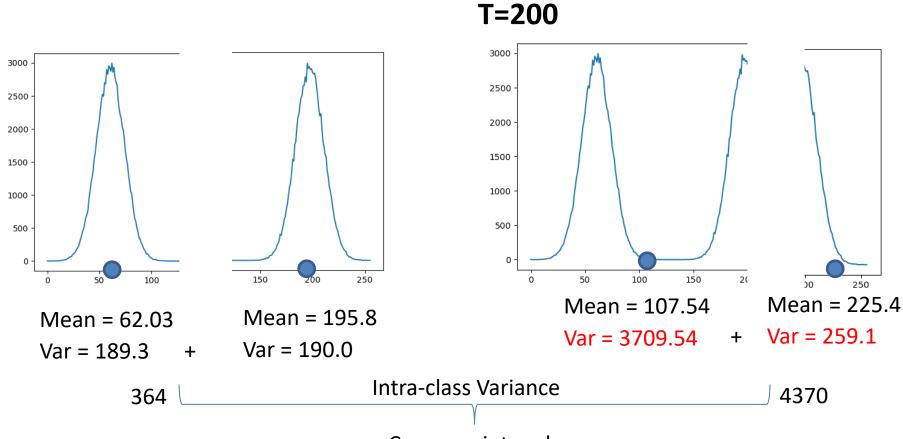


Intra-class Variance

Compare intra-class var

Pick Threshold that minimizes this value UNIVERSITY of HOUSTON

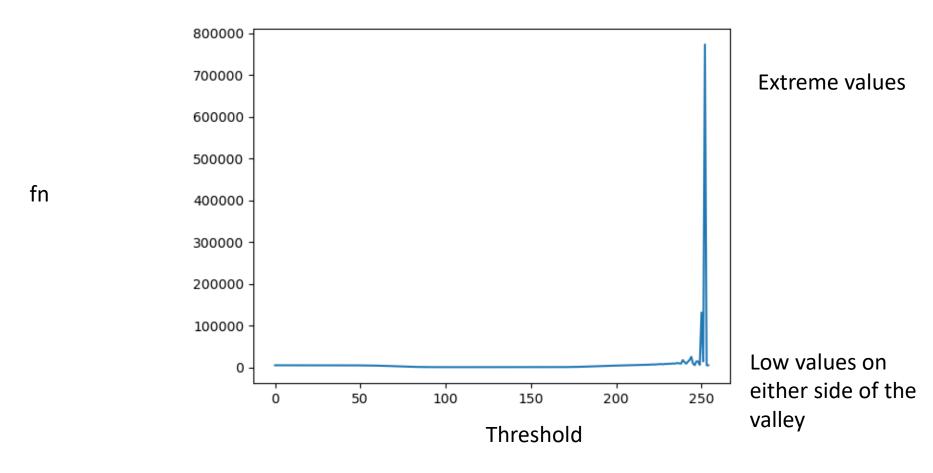




Compare intra-class var

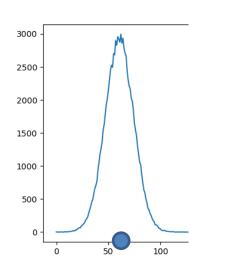
Pick Threshold that minimizes this value UNIVERSITY of HOUSTON

### Fn = Var1 + var 2

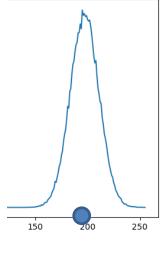


## Weighted Sum

T=125

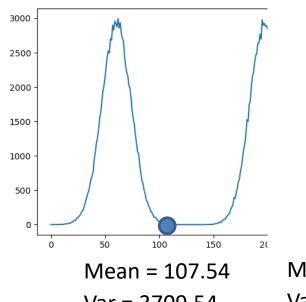


Mean = 62.03Var = 189.3



Mean = 195.8Var = 190.0

#### T=200



$$Var = 3709.54$$

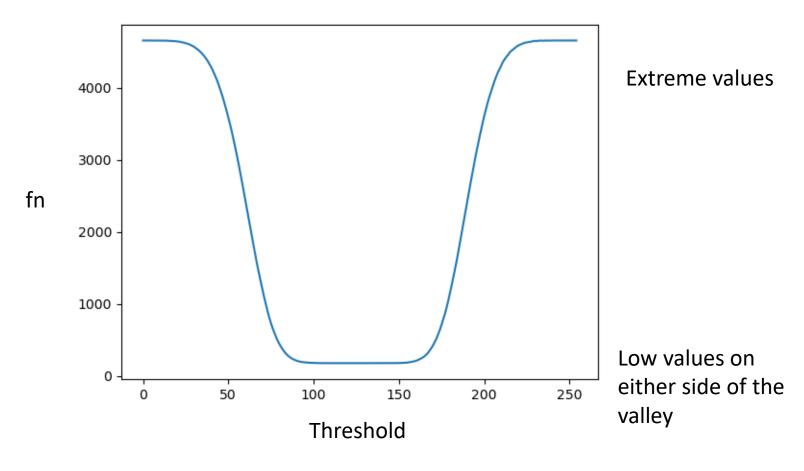


Mean = 
$$225.4$$

$$Var = 259.1$$

$$fn = w_1 \ var1 + w_2 var2$$
 $w_1 = \sum_{i=0}^{t} p(i), \ w_2 = \sum_{i=t+1}^{255} p(i),$ 
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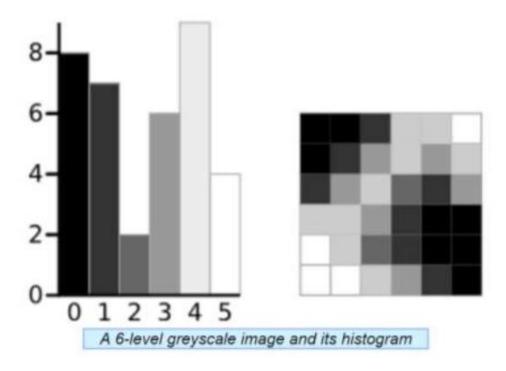
$$fn = w_1 var1 + w_2 var2$$



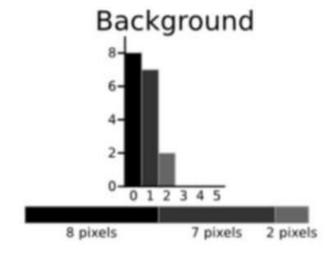
## Algorithm

- 1. Compute Histogram
- 2. Compute probabilities
- 3. Iterate through all possible threshold values (t=0 to t= 255)
  - 1. Compute weights  $(q_1, q_2)$
  - 2. Compute mean  $(\mu_1, \mu_2)$
  - 3. Compute intra-class variance  $(\sigma_1^2, \sigma_2^2)$
  - 4. Compute weighted sum of intra-class variance  $(q_1\sigma_1^2 + q_2\sigma_2^2)$
- 4. Pick threshold that minimizes the weighted sum of intraclass variance

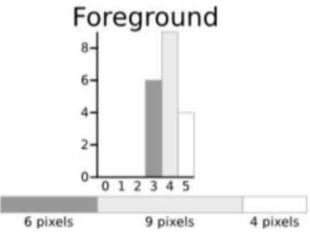
### Example



The calculations for finding the foreground and background variances (the measure of spread) for a single threshold are now shown in next slide.



Weight 
$$W_b = \frac{8+7+2}{36} = 0.4722$$
  
Mean  $\mu_b = \frac{(0\times8) + (1\times7) + (2\times2)}{17} = 0.6471$   
Variance  $\sigma_b^2 = \frac{((0-0.6471)^2 \times 8) + ((1-0.6471)^2 \times 7) + ((2-0.6471)^2 \times 2)}{17}$   
 $= \frac{(0.4187\times8) + (0.1246\times7) + (1.8304\times2)}{17}$   
 $= 0.4637$ 

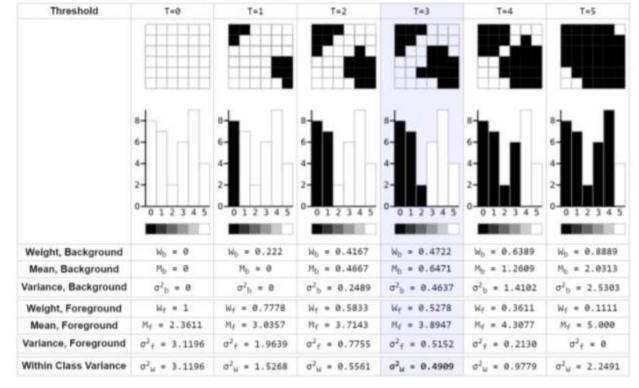


Weight 
$$W_f = \frac{6+9+4}{36} = 0.5278$$
  
Mean  $\mu_f = \frac{(3\times6)+(4\times9)+(5\times4)}{19} = 3.8947$   
Variance  $\sigma_f^2 = \frac{((3-3.8947)^2\times6)+((4-3.8947)^2\times9)+((5-3.8947)^2\times4)}{19}$   
 $= \frac{(4.8033\times6)+(0.0997\times9)+(4.8864\times4)}{19}$   
 $= 0.5152$ 

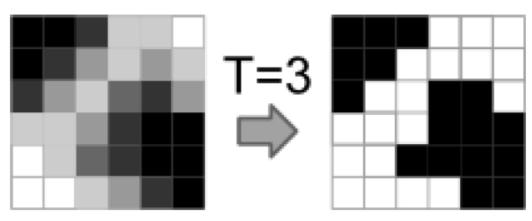
The next step is to calculate the 'Within-Class Variance'. This is simply the sum of the two variances multiplied by their associated weights

Within Class Variance 
$$\sigma_W^2 = W_b \, \sigma_b^2 + W_f \, \sigma_f^2 = 0.4722 * 0.4637 + 0.5278 * 0.5152$$
  
= 0.4909

This final value is the 'sum of weighted variances' for the threshold value 3. This same calculation needs to be performed for all the possible threshold values 0 to 5. The table below shows the results for these calculations. The highlighted column shows the values for the threshold calculated above



It can be seen that for the threshold equal to 3, as well as being used for the example, also has the lowest sum of weighted variances. Therefore, this is the final selected threshold. All pixels with a level less than 3 are background, all those with a level equal to or greater than 3 are foreground. As the images in the table show, this threshold works well.



## Algorithm

- 1. Compute Histogram (H(i)), with N different intensity values.
- 2. Compute probabilities  $P(i) = \frac{H(i)}{\sum_i H(i)}$
- 3. Iterate through all possible threshold values (t=0 to t= 255)
  - 3.1 Calculate Weights

$$q_1(t) = \sum_{i=0}^{t} P(i)$$
  $q_2(t) = \sum_{i=t+1}^{N} P(i)$ 

3.2 Compute mean

$$\mu_1(t) = \sum_{i=0}^{i=t} \frac{iP(i)}{q_1(t)}, \mu_2(t) = \sum_{i=t+1}^{i=N} \frac{iP(i)}{q_2(t)}$$

3.3 Compute intra-class variance

$$\sigma_1^2(t) = \sum_{i=0}^t \frac{\left(i - \mu_1(t)\right)^2 P(i)}{q_1(t)} \qquad \sigma_2^2(t) = \sum_{i=t+1}^N \frac{\left(i - \mu_2(t)\right)^2 P(i)}{q_2(t)}$$

3.4 Compute weighted sum of intra-class variance

$$\sigma_w^2(t) = q_1(t) \ \sigma_1^2(t) + q_2(t) \ \sigma_2^2(t)$$

4. Pick threshold that minimizes the weighted sum of intra-class variance