


CS310 – AI Foundations  
Andrew Abel  
February 2023

**Week 6: Constraint Satisfaction Problems**



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**Welcome!**

- Constraint Satisfaction Problems
  - This class starts out heavy but
  - A) It will make sense by the end!
  - B) Its totally worth it, its actually very interesting
  - C) You might not believe B at first, but it really is

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**Constraint Satisfaction Problems**

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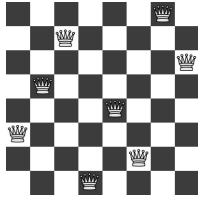
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### Constraint Satisfaction

- Goal: find "admissible" valuation for given set of variables
  - Given some parameters/variables,
  - Try to find solutions that meet conditions
- Example: The 8-queens problem
  - How to distribute 8 queens on a chess board such that none of them attack each other?
- Remarks:
- like planning, constraint satisfaction problems use a **factored representation of states**
- we take state structure into account when searching for a solution!
- Rather than seeing state as a "black box", we now take it into account
  - **general-purpose heuristics**



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### Constraint Satisfaction Problem (formally)

- Previous slide was the "informal" definition
- A CSP consists of three components:
  - a set of variables  $X = X_1, \dots, X_n$ ,
  - a set of domains  $D = D_1, \dots, D_n$  (one for each variable)
    - Domain here refers to a set of possible values
  - a set  $C$  of constraints that specify **allowable combinations** of values.

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### What does a constraint look like?

- A constraint  $C$  consists of a pair  $\langle \text{scope}, \text{rel} \rangle$  where:
- Scope is a tuple of variables that participate in the constraint
- Rel is a relation that defines the values that those variables can take on
- Rel can be either given explicitly or using operators, e.g. suppose two variables  $X_1$  and  $X_2$  both have domain  $\{A, B\}$ , then the constraint that  $X_1$  is not equal to  $X_2$  can be written in either of the following ways:
  - $\langle \{X_1, X_2\}, X_1 \neq X_2 \rangle$
  - $\langle \{X_1, X_2\}, \{(A, B), (B, A)\} \rangle$ 
    - We omit  $(A, A), (B, B)$  since they would be equal
- Often better to use operators
- We often specify the constraint by only stating the relation rel - in the example above we would simply write  $X_1 \neq X_2$

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### What does a solution look like?

- Given a collection  $C_1, \dots, C_n$  of constraints,
  - an assignment is a map  $\{X_i := v_1, \dots, X_k := v_k\}$  where  $X_1, \dots, X_k$  are variables occurring in  $C_1, \dots, C_n$  and for each  $X_i$  we have  $v_i \in D_i$ 
    - Each variable assigned a value, and each value must be in the variable domain
  - a **complete** assignment is an assignment that provides values for all variables in  $C_1, \dots, C_n$ 
    - i.e. all variables in our problem
    - E.g. 8 queens problem, complete assignment is one where each queen specifies a position
  - a **solution** is a complete assignment that "satisfies all constraints", i.e. for each constraint  $\langle \{X_1, \dots, X_m\}, R(X_1, \dots, X_m) \rangle$  we have
    - $R =$  the relationship, i.e. not equals from previous slide
    - $(v_1, \dots, v_m) \in R$

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### Example: Colouring Australia

- We want to use 3 colours to colour in map
- No two adjacent states can have same colour



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### Example: Colouring Australia

- Variables
- $X = \{WA, NT, Q, NSW, V, SA, T\}$
- same domain for all variables
- $D = \{\text{green, red, blue}\}$



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Example: Colouring Australia

- Constraint
- Adjacent tiles can not be the same colour
- constraints:  $C = \{SA \neq WA, SA \neq NT, SA \neq Q, SA \neq NSW, SA \neq V, WA \neq NT, NT \neq Q, Q \neq NSW, NSW \neq V\}$



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Example formalised

- Variables  $X = \{WA, NT, Q, NSW, V, SA, T\}$
- same domain for all variables  $D = \{\text{green, red, blue}\}$
- constraints:  $C = \{SA \neq WA, SA \neq NT, SA \neq Q, SA \neq NSW, SA \neq V, WA \neq NT, NT \neq Q, Q \neq NSW, NSW \neq V\}$
- A solution:  
•  $\{SA = \text{green}, WA = \text{red}, NT = \text{blue}\}, Q = \text{red}, NSW = \text{blue}, V = \text{red}, T = \text{green}\}.$

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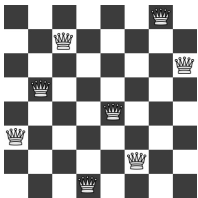
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8 Queens Problem Solution

Variables:  $C_1, C_2, C_3, C_4, C_5, C_6, C_7, C_8$   
(each variable representing a column)

Domains:  $D_1 = D_2 = \dots D_8 = \{1,8\}$   
(position on each column)

Constraints:  $C_i \neq C_j$  for  $i \neq j, i < j$   
 $|C_i - C_j| \neq j - i$  for  $i \neq j, i < j$



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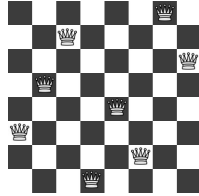
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### Constraint Satisfaction

- Example: The 8-queens problem
  - How to distribute 8 queens on a chess board such that none of them attack each other?



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### 8 Queens Solution

- Have a think about it!

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### Structure of a CSP

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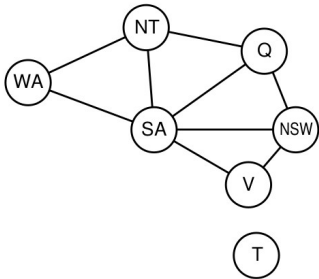
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The Constraint Graph

- To analyse a CSP we consider its constraint graph
- Depicts dependences between variables
- variables are nodes
- an edge indicates that the variables occur in a constraint
- This is still a map of Australia!
  - Variables are connected if they are participating in a constraint



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Example: 2+2=4

- Famous arithmetic problem
- Solve for each letter between 0 and 9
- Two plus Two becomes 4 if we substitute numbers for letters!
- Each number must represent a different value

$$\begin{array}{r} T \ W \ O \\ + \ T \ W \ O \\ \hline F \ O \ U \ R \end{array}$$

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Example: 2+2=4

- Variables are the letters. Constraints are as follows:

- Variables: T,W, O, F, U, R
- Domain: D = {0,...,9}

$$\begin{array}{r} T \ W \ O \\ + \ T \ W \ O \\ \hline F \ O \ U \ R \end{array}$$

- We now have what looks like a set of obvious constraints
- $O + O = R$
- $W + W = U$
- $T + T = O$
- $F = ?$

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**Example: 2+2=4**

- Constraint example:  $O + O = R$ ?
- But what if  $O \geq 5$ 
  - $R$  must be in range  $\{0 \dots 9\}$
  - So we need to carry the 10!

$$\begin{array}{r} T \ W \ O \\ + \ T \ W \ O \\ \hline F \ O \ U \ R \end{array}$$

- $O + O = R + 10 \ C_1$
- $C_1 + W + W = U + 10 \ C_2$
- $C_2 + T + T = O + 10 \ C_3$
- $C_3 = F$
- plus an *Alldiff* constraint that all letters represent different values!

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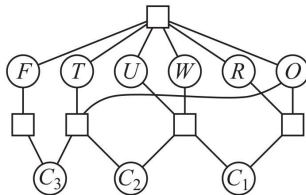
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**Constraint Hypergraph**

- Hypergraph has "hyperedges" that connect more than two nodes:



- Non-binary constraints can always be replaced by binary ones (cf. Norvig & Russell 6.1.3).

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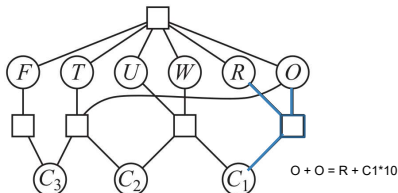
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**Constraint Hypergraph**

- Hypergraph has "hyperedges" that connect more than two nodes:



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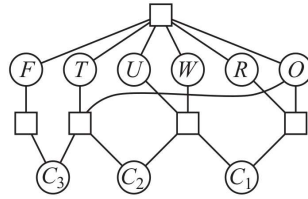
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### Constraint Hypergraph

- In this Graph, all letter variables are connected to each other via the box at the top of the graph (the hyperedge)
  - This is the "alldiff" constraint
- $C_2 + T + T = O + 10 C_3$ 
  - $C_2, T, O, C_3$  all participating in constraint
  - All connected
- Can this help us solve problems more efficiently?



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### Types of constraints

- a **unary** constraint is a constraint involving only one variable
  - i.e.  $x < 5$ , only variable mentioned is  $x$
- a **binary** constraint is a constraint involving two variables
  - i.e.  $X_3 > X_4$
- a **higher-order** constraint is a constraint involving more than two variables
- We don't need all the hyper edges and graphs
  - Any CSP can be written into a CSP involving only binary constraints!

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### Binary constraints suffice

- Any CSP can be rewritten into a CSP involving only binary constraints.
- Remove unary constraints by restricting the domain of the relevant variable.
- for each higher-order constraint  $R(X_1, \dots, X_n)$ 
  - introduce a new variable  $Y$ , and
  - define its domain  $D_Y$  by
    - $D(Y) = \{ (d_1, \dots, d_n) \mid R(d_1, \dots, d_n) \text{ is true.} \}$
- for each  $X_i$  add the constraint that  $\pi[Y] = X_i$ , i.e., the  $i$ th component of the value of  $Y$  has to be equal to  $X_i$ .
- Remove the higher-order constraint  $R(X_1, \dots, X_n)$ .

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### Binary constraints suffice

- Remove unary constraints by restricting the domain of the relevant variable.
  - So if  $X_1 < 5$ , just restrict  $D_1$  to  $\{0, \dots, 4\}$  and remove constraint
- for each higher-order constraint  $R(X_1, \dots, X_n)$ 
  - introduce a new variable  $Y$ , and
  - define its domain  $D_Y$  by
    - $D(Y) = \{ (d_1, \dots, d_n) \mid R(d_1, \dots, d_n) \text{ is true.} \}$
- for each  $X_i$  add the constraint that  $\pi[Y] = X_i$ , i.e., the  $i$ th component of the value of  $Y$  has to be equal to  $X_i$ .
- Remove the higher-order constraint  $R(X_1, \dots, X_n)$ .

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### Binary constraints suffice

- for each higher-order constraint  $R(X_1, \dots, X_n)$ 
  - Here we have  $n$  variables, and  $R$  is the relationship that should be satisfied for those  $n$  variables
  - This is a form of constraint
  - introduce a new variable  $Y$ 
    - define its domain  $D_Y$  exactly by the tuples
    - $D(Y) = \{ (d_1, \dots, d_n) \mid R(d_1, \dots, d_n) \text{ is true.} \}$
  - We only keep the combination of the domain elements where the relation holds
  - Result is a set of elements  $d_1$  to  $d_n$  which satisfy this constraint
  - Have a new variable  $Y$  with a specific domain, the exact combinations allowed!

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### Binary constraints suffice

- for each  $X_i$  add the constraint that  $\pi[Y] = X_i$ , i.e., the  $i$ th component of the value of  $Y$  has to be equal to  $X_i$ .
  - Whenever we have values for  $X_i$  and a value for  $Y$
  - Then the  $X_i$ 's are exactly the  $i$ th component of the value for  $Y$
  - $X_i$  will satisfy the relation, because all  $X_i$ 's will create an element of  $Y$  and the elements of  $Y$  satisfy the constraints
    - $\pi[Y] = X_i$
- Remove the higher-order constraint  $R(X_1, \dots, X_n)$ .
  - By using  $Y$  and restricting domain, we have only binary constraints

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### Real-world examples

- Assignment problems e.g., who teaches what class
- Timetabling problems e.g., which class is offered when and where?
- Hardware configuration
- Spreadsheets
- Transportation scheduling
- Factory scheduling
- Floorplanning
  - Layout of buildings
- Notice that many real-world problems involve real-valued variables

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### Looking for a solution I : Propagating Constraints

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### Key idea

- Solving the problem!
  - Cut down on the search space
- focus on "local consistency"
  - Look at one constraint at a time
  - Remove values that will violate the constraints we are trying to satisfy
- simplest form is **node consistency**: remove values from domain  $D_i$  that obviously violate a constraint that  $X_i$  is involved in
  - E.g. if  $X_i < 5$  and  $d = \{2,3,4,5,6,7,8\}$ , can remove 5,6,7,8
- arc-consistency – where 2 variables are involved
- path-consistency, k-consistency – covering whole path, not covered here!
  - see Section 6.2 in Norvig & Russell

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### Arc-consistency

- consider binary constraints (ie constraints with  $\leq 2$  variables)
- a variable is **arc-consistent** if every value in its domain satisfies the variable's binary constraints
- A network is **arc-consistent** if every variable is arc-consistent with every other variable.
- Concretely:  $X_i$  is arc-consistent with respect to  $X_j$  if for every value in  $d_i \in D_i$  there exists a value in  $d_j \in D_j$  such that the pair  $d_i, d_j$  satisfies the constraint on  $(X_i, X_j)$ .
- Example: Let  $X$  and  $Y$  be variables with domain  $\{1, \dots, 10\}$  and consider the constraint  $X^2=Y$ . Then we have to restrict the values of  $X$  to  $\{1, 2, 3\}$  to make  $X$  arc-consistent.

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### Arc-consistency

- Example: Let  $X$  and  $Y$  be variables with domain  $\{1, \dots, 10\}$  and consider the constraint  $X^2=Y$ . Then we have to restrict the values of  $X$  to  $\{1, 2, 3\}$  to make  $X$  arc-consistent.
- So now  $X$  is arc-consistent with  $Y$ 
  - But now we have to make  $Y$  arc consistent with  $X$
  - So we have to restrict values of  $Y$  to  $\{1, 4, 9\}$
- Imagine now we have a whole graph of connected nodes...
- How can we achieve overall arc-consistency for whole program

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### The AC-3 Algorithm

- Taken from Russel and Norvig

```

function AC-3(csp) returns false if an inconsistency is found and true otherwise
  inputs: csp, a binary CSP with components  $(X, D, C)$ 
  local variables: queue, a queue of arcs, initially all the arcs in csp

  while queue is not empty do
     $(X_i, X_j) \leftarrow \text{REMOVE-FIRST}(\text{queue})$ 
    if REVISI(csp,  $X_i, X_j$ ) then
      if size of  $D_i = 0$  then return false
      for each  $X_k$  in  $X_i.\text{NEIGHBORS} - \{X_j\}$  do
        add  $(X_i, X_k)$  to queue
    return true

function REVISI(csp,  $X_i, X_j$ ) returns true iff we revise the domain of  $X_i$ 
  revised  $\leftarrow$  false
  for each  $x$  in  $D_i$  do
    if no value  $y$  in  $D_j$  allows  $(x, y)$  to satisfy the constraint between  $X_i$  and  $X_j$  then
      delete  $x$  from  $D_i$ 
      revised  $\leftarrow$  true
  return revised

```

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### The AC-3 Algorithm

- Returns true if it looks like a solvable problem
- Returns false if an inconsistency is found, i.e. it can't be solved

```

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  return revised

```

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### The AC-3 Algorithm

- Returns true if it looks like a solvable problem
- Returns false if an inconsistency is found, i.e. it can't be solved
- Input is the CSP
- Queue of arcs created
- Arc is a directed connection between 2 variables
  - i.e. pair  $X \rightarrow Y$
  - Pair  $Y \rightarrow X$

```

function AC-3(csp) returns false if an inconsistency is found and true otherwise
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      delete  $x$  from  $D_i$ 
  revised  $\leftarrow$  true
  return revised

```

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### The AC-3 Algorithm

- Remove each arc and check it
- Revise function removes any inconsistent values
- Check if the domain is empty
  - If empty, no possible values, so inconsistent
- If revised, have to add anything that is connected to  $X_i$  back into the queue

```

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      delete  $x$  from  $D_i$ 
  revised  $\leftarrow$  true
  return revised

```

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### The AC-3 Algorithm

- If algorithm produces at least one empty domain the CSP is unsolvable!
- Next, more detailed algorithm and an example!

```

function AC-3(csp) returns false if an inconsistency is found and true otherwise
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    delete  $x$  from  $D_i$ 
  revised  $\leftarrow$  true
return revised

```

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### The AC-3 Algorithm (simplified)

- We had a queue of arcs...
1. Turn each binary constraint into two arcs e.g.  $X_1 < X_2$  becomes  $(X_1, X_2)$  and  $(X_2, X_1)$ .
    1. Important to have both directions,  $X_1$  is constrained by  $X_2$ , and  $X_2$  is also constrained by  $X_1$
  2. Add all arcs to an agenda.
  3. Repeat until agenda empty:
    - o take an arc  $(X_i, X_j)$  of the agenda and check it
    - o for every value of  $D_i$  there must be some value of  $D_j$
    - o remove any inconsistent values from  $D_i$
    - o If domain of  $X_i$  has changed, add all arcs of the form  $(X_k, X_i)$  to the agenda
      - Only add the ones that are not there already
  - This procedure can be done for pre-processing or after each (partial) assignment.
    - o If we do partial assignment and then check and find inconsistencies, then we know our partial assignment does not work!

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### Complexity of AC-3

- assume  $n$  variables, domain size at most  $d$  and value  $c=n^2$ 
  - o  $c$  is measure of number of possible arcs in problem
- checking one arc for consistency can be done in  $O(d^2)$ 
  - o For every element in domain, we need to find an element in the other domain
- checking all arcs once is  $O(cd^2)$
- an arc can be added at most  $d$  times to the queue
- -> overall worst-case complexity is  $O(cd^3)$ .

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Example

- $D_X = D_Y = D_Z = \{1, 2, 3, 4\}$
  - $X > Y$  and  $Y > Z$
1. Draw the constraint graph!
  2. Make this problem arc-consistent via AC-3.
  3. Solve the remaining instance.

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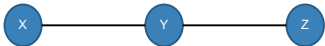
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AC3 – Algorithm Example, 1 – Draw Constraint Graph

- Variables:  $X, Y, Z$
  - Domains  $D_X = D_Y = D_Z = \{1, 2, 3, 4\}$
  - Constraints  $X > Y, Y > Z$
- Constraint graph



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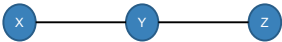
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AC3 – Algorithm Example, 2 – Make problem arc-consistent

- Add all arcs to agenda
  - Agenda =  $\{ (X, Y), (Y, X), (Y, Z), (Z, Y) \}$
- Remove  $(X, Y)$ :  $X > Y$ 
    - $D_X = \{ 2, 3, 4 \}$  (Removed 1)
    - Add  $(Y, X)$  to agenda **if not there** (it is, so we do not!)
    - Agenda =  $\{ (Y, X), (Y, Z), (Z, Y) \}$
  - Remove  $(Y, X)$ :  $Y < X$ 
    - $D_Y = \{ 1, 2, 3 \}$  (4 is not smaller than anything, so we remove it)
    - Add  $(X, Y)$  to agenda **if not there**
    - Agenda =  $\{ (Y, Z), (Z, Y), (X, Y) \}$



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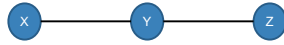
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### AC3 – Algorithm Example, 2 – Make problem arc-consistent

- Remove (Y,Z):  $Y > Z$ 
  - $D_Y = \{2,3\}$  (Removed 1)
  - Add (Z,Y) to agenda **if not there**
  - Agenda =  $\{(Z,Y), (X,Y)\}$
- Remove (Z, Y):  $Z < Y$ 
  - $D_Z = \{1, 2\}$
  - Add (Y,Z) to agenda **if not there**
  - Agenda =  $\{(X,Y), (Y,Z)\}$
- Remove (X,Y):  $X > Z$ 
  - $D_X = \{3,4\}$
  - Add (Y,X) to agenda **if not there**
  - Agenda =  $\{(Y,Z), (Y,X)\}$



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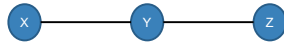
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### AC3 – Algorithm Example, 2 – Make problem arc-consistent

- Remove (Y,Z):  $Y > Z$ 
  - $D_Y = \{2,3\}$  (No change)
  - Agenda =  $\{(Y,X)\}$
- Remove (Y,X):  $Y < X$ 
  - $D_Y = \{2,3\}$  (No change)
  - Agenda =  $\{\}$  (empty)
- Algorithm Terminates:
  - $D_X = \{3,4\}$ ,  $D_Y = \{2,3\}$ ,  $D_Z = \{1,2\}$



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### Example

- Another excellent video by John Levine
- Runs through AC-3 Algorithm as a detailed example

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**Summary**

- This week was about learning to solve problems!
- Some of the theory was a bit heavy
  - Unary, Binary, and Higher-order constraints
  - Hypergraphs
  - Theory of binary constraints
- Constraint satisfaction with the AC3 Algorithm
  - Very useful way of solving problem
- Some very real applications
  - Useful for programming!