

# Finite fields

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2016/09/23 ~



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# Chapter 0

## Preface

### 0.1 References

1. Scattering amplitudes over finite fields and multivariate functional reconstruction (Tiziano Peraro)  
<https://arxiv.org/pdf/1608.01902.pdf>
2. Haskell Language  
[www.haskell.org](http://www.haskell.org)
3. [http://qiita.com/bra\\_cat\\_ket/items/205c19611e21f3d422b7](http://qiita.com/bra_cat_ket/items/205c19611e21f3d422b7)  
(Japanese tech support sns)

### 0.2 Set theoretical gadgets

#### 0.2.1 Numbers

Here is a list of what we assumed that the readers are familiar with:

1.  $\mathbb{N}$  (Peano axiom:  $\emptyset, \text{suc}$ )
2.  $\mathbb{Z}$
3.  $\mathbb{Q}$
4.  $\mathbb{R}$  (Dedekind cut)
5.  $\mathbb{C}$

### 0.2.2 Algebraic structures

1. Monoid:  $(\mathbb{N}, +)$ ,  $(\mathbb{N}, \times)$
2. Group:  $(\mathbb{Z}, +)$ ,  $(\mathbb{Z}, \times)$
3. Ring:  $\mathbb{Z}$
4. Field:  $\mathbb{Q}$ ,  $\mathbb{R}$  (continuous),  $\mathbb{C}$  (algebraic closed)

## 0.3 Haskell

From "A Brief, Incomplete and Mostly Wrong History of Programming Languages":<sup>1</sup>

1990 - A committee formed by Simon Peyton-Jones, Paul Hudak, Philip Wadler, Ashton Kutcher, and People for the Ethical Treatment of Animals creates Haskell, a pure, non-strict, functional language. Haskell gets some resistance due to the complexity of using monads to control side effects. Wadler tries to appease critics by explaining that "a monad is a monoid in the category of endofunctors, what's the problem?"



Figure 1: Haskell's logo, the combinations of  $\lambda$  and monad's bind  $>>=$ .

Haskell language is a standardized purely functional declarative statically typed programming language.

In declarative languages, we describe "what" or "definition" in its codes, however imperative languages, like C/C++, "how" or "procedure". Instead of loops, we use (implicit) recursions in functional language.<sup>2</sup>

<sup>1</sup> <http://james-iry.blogspot.com/2009/05/brief-incomplete-and-mostly-wrong.html>

<sup>2</sup>Of course, as a best practice, we should use higher order function rather than explicit recursions.

# Chapter 1

## Basics

We have assumed living knowledge on (axiomatic, i.e., ZFC) set theory, algebraic structures.

### 1.1 Finite field

#### 1.1.1 Rings

A ring  $(R, +, *)$  is a structured set  $R$  with two binary operations

$$(+)\ ::\ R\ \rightarrow\ R\ \rightarrow\ R \quad (1.1)$$

$$(*)\ ::\ R\ \rightarrow\ R\ \rightarrow\ R \quad (1.2)$$

satisfying the following 3 (ring) axioms:

1.  $(R, +)$  is an abelian, i.e., commutative group, i.e.,

$$\forall a, b, c \in R, (a + b) + c = a + (b + c) \quad (\text{associativity for } +) \quad (1.3)$$

$$\forall a, b \in R, a + b = b + a \quad (\text{commutativity}) \quad (1.4)$$

$$\exists 0 \in R, \text{ s.t. } \forall a \in R, a + 0 = a \quad (\text{additive identity}) \quad (1.5)$$

$$\forall a \in R, \exists (-a) \in R \text{ s.t. } a + (-a) = 0 \quad (\text{additive inverse}) \quad (1.6)$$

2.  $(R, *)$  is a monoid, i.e.,

$$\forall a, b, c \in R, (a * b) * c = a * (b * c) \quad (\text{associativity for } *) \quad (1.7)$$

$$\exists 1 \in R, \text{ s.t. } \forall a \in R, a * 1 = a = 1 * a \quad (\text{multiplicative identity}) \quad (1.8)$$

3. Multiplication is distributive w.r.t addition, i.e.,  $\forall a, b, c \in R$ ,

$$a * (b + c) = (a * b) + (a * c) \quad (\text{left distributivity}) \quad (1.9)$$

$$(a + b) * c = (a * c) + (b * c) \quad (\text{right distributivity}) \quad (1.10)$$

### 1.1.2 Fields

A field is a ring  $(\mathbb{K}, +, *)$  whose non-zero elements form an abelian group under multiplication, i.e.,  $\forall r \in \mathbb{K}$ ,

$$r \neq 0 \Rightarrow \exists r^{-1} \in \mathbb{K} \text{ s.t. } r * r^{-1} = 1 = r^{-1} * r. \quad (1.11)$$

A field  $\mathbb{K}$  is a finite field iff the underlying set  $\mathbb{K}$  is finite. A field  $\mathbb{K}$  is called infinite field iff the underlying set is infinite.

### 1.1.3 An example of finite rings $\mathbb{Z}_n$

Let  $n(> 0) \in \mathbb{N}$  be a non-zero natural number. Then the quotient set

$$\mathbb{Z}_n := \mathbb{Z}/n\mathbb{Z} \quad (1.12)$$

$$\cong \{0, \dots, (n-1)\} \quad (1.13)$$

with addition, subtraction and multiplication under modulo  $n$  is a ring.<sup>1</sup>

### 1.1.4 Bézout's lemma

Consider  $a, b \in \mathbb{Z}$  be nonzero integers. Then there exist  $x, y \in \mathbb{Z}$  s.t.

$$a * x + b * y = \gcd(a, b), \quad (1.19)$$

where  $\gcd$  is the greatest common divisor (function), see §1.1.5. We will prove this statement in §1.1.6.

---

<sup>1</sup> Here we have taken an equivalence class,

$$0 \leq \forall k \leq (n-1), [k] := \{k + n * z | z \in \mathbb{Z}\} \quad (1.14)$$

with the following operations:

$$[k] + [l] := [k + l] \quad (1.15)$$

$$[k] * [l] := [k * l] \quad (1.16)$$

This is equivalent to take modular  $n$ :

$$(k \bmod n) + (l \bmod n) := (k + l \bmod n) \quad (1.17)$$

$$(k \bmod n) * (l \bmod n) := (k * l \bmod n). \quad (1.18)$$



### 1.1.5 Greatest common divisor

Before the proof, here is an implementation of gcd using Euclidean algorithm with Haskell language:

```
> -- Euclidian algorithm.
> myGCD :: Integral a => a -> a -> a
> myGCD a b
>   | b < 0 = myGCD a (-b)
> myGCD a b
>   | a == b = a
>   | b > a = myGCD b a
>   | b < a = myGCD (a-b) b
```

#### Example, by hands

Let us consider the gcd of 7 and 13. Since they are primes, the gcd should be 1. First it binds `a` with 7 and `b` with 13, and hit `b > a`.

$$\text{myGCD } 7 \ 13 == \text{myGCD } 13 \ 7 \quad (1.20)$$

Then it hits main line:

$$\text{myGCD } 13 \ 7 == \text{myGCD } (13-7) \ 7 \quad (1.21)$$

In order to go to next step, Haskell evaluate  $(13 - 7)$ ,<sup>2</sup> and

$$\text{myGCD } (13-7) \ 7 == \text{myGCD } 6 \ 7 \quad (1.22)$$

$$== \text{myGCD } 7 \ 6 \quad (1.23)$$

$$== \text{myGCD } (7-6) \ 6 \quad (1.24)$$

$$== \text{myGCD } 1 \ 6 \quad (1.25)$$

$$== \text{myGCD } 6 \ 1 \quad (1.26)$$

Finally it ends with 1:

$$\text{myGCD } 1 \ 1 == 1 \quad (1.27)$$

---

<sup>2</sup> Since Haskell language adopts lazy evaluation, i.e., call by need, not call by name.

As another example, consider 15 and 25:

$$\text{myGCD } 15 \ 25 == \text{myGCD } 25 \ 15 \quad (1.28)$$

$$== \text{myGCD } (25-15) \ 15 \quad (1.29)$$

$$== \text{myGCD } 10 \ 15 \quad (1.30)$$

$$== \text{myGCD } 15 \ 10 \quad (1.31)$$

$$== \text{myGCD } (15-10) \ 10 \quad (1.32)$$

$$== \text{myGCD } 5 \ 10 \quad (1.33)$$

$$== \text{myGCD } 10 \ 5 \quad (1.34)$$

$$== \text{myGCD } (10-5) \ 5 \quad (1.35)$$

$$== \text{myGCD } 5 \ 5 \quad (1.36)$$

$$== 5 \quad (1.37)$$

### Example, by Haskell

Let us check simple example using Haskell:

```
*Ffield> myGCD 7 13
1
*Ffield> myGCD 7 14
7
*Ffield> myGCD (-15) (20)
5
*Ffield> myGCD (-299) (-13)
13
```

The final result is from

```
*Ffield> 13*23
299
```

### 1.1.6 Extended Euclidean algorithm

Here we treat the extended Euclidean algorithm.

As intermediate steps, this algorithm makes sequences of integers  $\{r_i\}_i$ ,  $\{s_i\}_i$ ,  $\{t_i\}_i$  and quotients  $\{q_i\}_i$  as follows. The base case are

$$(r_0, s_0, t_0) := (a, 1, 0) \quad (1.38)$$

$$(r_1, s_1, t_1) := (b, 0, 1) \quad (1.39)$$

and inductively,

$$q_i := \text{quot}(r_{i-2}, r_{i-1}) \quad (1.40)$$

$$r_i := r_{i-2} - q_i * r_{i-1} \quad (1.41)$$

$$s_i := s_{i-2} - q_i * s_{i-1} \quad (1.42)$$

$$t_i := t_{i-2} - q_i * s_{i-1}. \quad (1.43)$$

The termination condition<sup>3</sup> is

$$r_k = 0 \quad (1.44)$$

for some  $k \in \mathbb{N}$  and

$$\gcd(a, b) = r_{k-1} \quad (1.45)$$

$$x = s_{k-1} \quad (1.46)$$

$$y = t_{k-1}. \quad (1.47)$$

### Proof

By definition,

$$\gcd(r_{i-1}, r_i) = \gcd(r_{i-1}, r_{i-2} - q_i * r_{i-1}) \quad (1.48)$$

$$= \gcd(r_{i-1}, r_{i-2}) \quad (1.49)$$

and this implies

$$\gcd(a, b) =: \gcd(r_0, r_1) = \dots = \gcd(r_{k-1}, 0), \quad (1.50)$$

i.e.,

$$r_{k-1} = \gcd(a, b). \quad (1.51)$$

Next, for  $i = 0, 1$  observe

$$a * s_i + b * t_i = r_i. \quad (1.52)$$

Let  $i \geq 2$ , then

$$r_i = r_{i-2} - q_i * r_{i-1} \quad (1.53)$$

$$= a * s_{i-2} + b * t_{i-2} - q_i * (a * s_{i-1} + b * t_{i-1}) \quad (1.54)$$

$$= a * (s_{i-2} - q_i * s_{i-1}) + b * (t_{i-2} - q_i * t_{i-1}) \quad (1.55)$$

$$=: a * s_i + b * t_i. \quad (1.56)$$

---

<sup>3</sup> This algorithm will terminate eventually, since the sequence  $\{r_i\}_i$  is non-negative by definition of  $q_i$ , but strictly decreasing. Therefore,  $\{r_i\}_i$  will meet 0 in finite step  $k$ .

Therefore, inductively we get

$$\gcd(a, b) = r_{k-1} = a * s_{k-1} + b * t_{k-1}. =: a * s + b * t. \quad (1.57)$$

This prove Bézout's lemma.

■

### Haskell implementation

Here I use lazy lists for intermediate lists of  $qs, rs, ss, ts$ , and pick up (second) last elements for the results.

Here we would like to implement the extended Euclidean algorithm. See the algorithm, examples, and pseudo code at:

[https://en.wikipedia.org/wiki/Extended\\_Euclidean\\_algorithm](https://en.wikipedia.org/wiki/Extended_Euclidean_algorithm)

I've asked at Qiita(Japanese) and get some solutions:

[http://qiita.com/bra\\_cat\\_ket/items/205c19611e21f3d422b7](http://qiita.com/bra_cat_ket/items/205c19611e21f3d422b7)

```
> exGCD' :: Integral n => n -> n -> ([n], [n], [n], [n])
> exGCD' a b = (qs, rs, ss, ts)
>   where
>     qs = zipWith quot rs (tail rs)
>     rs = takeBefore (==0) r'
>     r' = steps a b
>     ss = steps 1 0
>     ts = steps 0 1
>     steps a b = rr
>       where rr@(_:rs) = a:b: zipWith (-) rr (zipWith (*) qs rs)
>
> takeBefore :: (a -> Bool) -> [a] -> [a]
> takeBefore _ [] = []
> takeBefore p (l:ls)
>   | p l      = []
>   | otherwise = l : (takeBefore p ls)
```

Here we have used so called lazy lists, and higher order function<sup>4</sup>. The gcd of  $a$  and  $b$  should be the last element of second list, and our targets  $(s, t)$

---

<sup>4</sup> Naively speaking, the function whose inputs and/or outputs are functions is called a higher order function.

are second last elements of last two lists. The following example is from wikipedia:

```
*Ffield> exGCD' 240 46
([5,4,1,1,2],[240,46,10,6,4,2],[1,0,1,-4,5,-9,23],[0,1,-5,21,-26,47,-120])
*Ffield> gcd 240 46
2
*Ffield> 240*(-9) + 46*(47)
2
```

It works, and we have other simpler examples:

```
*Ffield> exGCD' 15 25
([0,1,1,2],[15,25,15,10,5],[1,0,1,-1,2,-5],[0,1,0,1,-1,3])
*Ffield> 15 * 2 + 25*(-1)
5
*Ffield> exGCD' 15 26
([0,1,1,2,1,3],[15,26,15,11,4,3,1],[1,0,1,-1,2,-5,7,-26],[0,1,0,1,-1,3,-4,15])
*Ffield> 15*7 + (-4)*26
1
```

Now what we should do is extract gcd of  $a$  and  $b$ , and  $(s, t)$  from the tuple of lists:

```
> -- a*x + b*y = gcd a b
> exGcd a b = (g, x, y)
>   where
>     (_,r,s,t) = exGCD' a b
>     g = last r
>     x = last . init $ s
>     y = last . init $ t
```

where the underscore  $\_$  is a special symbol in Haskell that hits every pattern. So, in order to get gcd and  $(s, t)$  we don't need quotients list.

```
*Ffield> exGcd 46 240
(2,47,-9)
*Ffield> 46*47 + 240*(-9)
2
*Ffield> gcd 46 240
2
```

### 1.1.7 Coprime

Let us define a binary relation as follows:

```
coprime :: Integral a => a -> a -> Bool
coprime a b = (gcd a b) == 1
```

### 1.1.8 Corollary (Inverses in $\mathbb{Z}_n$ )

For a non-zero element

$$a \in \mathbb{Z}_n, \quad (1.58)$$

there is a unique number

$$b \in \mathbb{Z}_n \text{ s.t. } ((a * b) \bmod n) = 1 \quad (1.59)$$

iff  $a$  and  $n$  are coprime.

#### Proof

From Bézout's lemma,  $a$  and  $n$  are coprime iff

$$\exists s, t \in \mathbb{Z}, a * s + n * t = 1. \quad (1.60)$$

Therefore

$$a \text{ and } n \text{ are coprime} \Leftrightarrow \exists s, t \in \mathbb{Z}, a * s + n * t = 1 \quad (1.61)$$

$$\Leftrightarrow \exists s, t' \in \mathbb{Z}, a * s = 1 + n * t'. \quad (1.62)$$

This  $s$ , by taking its modulo  $n$  is our  $b = a^{-1}$ :

$$a * s = 1 \bmod n. \quad (1.63)$$

■

### 1.1.9 Corollary (Finite field $\mathbb{Z}_p$ )

If  $p$  is prime, then

$$\mathbb{Z}_p := \{0, \dots, (p-1)\} \quad (1.64)$$

with addition, subtraction and multiplication under modulo  $n$  is a field.

**Proof**

It suffices to show that

$$\forall a \in \mathbb{Z}_p, a \neq 0 \Rightarrow \exists a^{-1} \in \mathbb{K} \text{ s.t. } a * a^{-1} = 1 = a^{-1} * a, \quad (1.65)$$

but since  $p$  is prime, and

$$\forall a \in \mathbb{Z}_p, a \neq 0 \Rightarrow \gcd a p == 1 \quad (1.66)$$

so all non-zero element has its inverse in  $\mathbb{Z}_p$ .

■

**Example and implementation**

Let us pick 11 as a prime and consider  $\mathbb{Z}_{11}$ :

Example  $\mathbb{Z}_{\{11\}}$

```
*Ffield> isField 11
True
*ffield> map (exGcd 11) [0..10]
[(11,1,0),(1,0,1),(1,1,-5),(1,-1,4),(1,-1,3)
,(1,1,-2),(1,-1,2),(1,2,-3),(1,3,-4),(1,-4,5)
,(1,1,-1)
]

*ffield> map (('mod' 11) . \(_,_,x)->x) . exGcd 11 [1..10]
[1,6,4,3,9,2,8,7,5,10]
*ffield> zip [1..10] it
[(1,1),(2,6),(3,4),(4,3),(5,9),(6,2),(7,8),(8,7),(9,5),(10,10)]
```

Let us generalize these flow into a function<sup>5</sup>:

```
> inverses :: Int -> Maybe [(Int, Int)]
> inverses n
```

---

<sup>5</sup> From <https://hackage.haskell.org/package/base-4.9.0.0/docs/Data-Maybe.html>:

The Maybe type encapsulates an optional value. A value of type Maybe  $a$  either contains a value of type  $a$  (represented as Just  $a$ ), or it is empty (represented as Nothing). Using Maybe is a good way to deal with errors or exceptional cases without resorting to drastic measures such as error.

```

> | isField n = Just lst -- isPrime n
> | otherwise = Nothing
> where
>   lst' = map (('mod' n) . (\(_,_,c)->c) . exGcd n) [1..(n-1)]
>   lst = zip [1..] lst'

```

Now we define `inversep`<sup>6</sup> whose 1st input is the base  $p$  of our ring(field) and 2nd input is an element in  $\mathbb{Z}_p$ .

```

> inversep :: Int -> Int -> Maybe Int
> inversep p a = do
>   l <- inverses p
>   let a' = (a 'mod' p)
>   return (snd $ l !! (a'-1))

*Ffield> inverses 11
Just [(1,1),(2,6),(3,4),(4,3),(5,9),(6,2),(7,8),(8,7),(9,5),(10,10)]

```

The function `inverses` returns a list of nonzero number with their inverses if  $p$  is prime.

### 1.1.10 A map from $\mathbb{Q}$ to $\mathbb{Z}_p$

Let  $p$  be a prime. Now we have a map

$$- \text{ mod } p : \mathbb{Z} \rightarrow \mathbb{Z}_p; a \mapsto (a \text{ mod } p), \quad (1.67)$$

and a natural inclusion (or a forgetful map)<sup>7</sup>

$$j : \mathbb{Z}_p \hookrightarrow \mathbb{Z}. \quad (1.69)$$

Then we can define a map<sup>8</sup>

$$- \text{ mod } p : \mathbb{Q} \rightarrow \mathbb{Z}_p \quad (1.70)$$

---

<sup>6</sup> Here we have used do-notation, a syntactic sugar for use with monadic expressions. From <https://wiki.haskell.org/Monad>:

Monads in Haskell can be thought of as composable computation descriptions.

<sup>7</sup> By introducing this forgetful map, we can use

$$\times : (\mathbb{Z}, \mathbb{Z}) \rightarrow \mathbb{Z} \quad (1.68)$$

of normal product on  $\mathbb{Z}$ .

<sup>8</sup> This is an example of operator overloadings.



by

$$q = \frac{a}{b} \mapsto (q \bmod p) := ((a \times i(b^{-1} \bmod p)) \bmod p). \quad (1.71)$$

### Example and implementation

An easy implementation is the followings:

A map from  $\mathbb{Q}$  to  $\mathbb{Z}_p$ .

```
> modp :: Ratio Int -> Int -> Int
> q 'modp' p = (a * (bi 'mod' p)) 'mod' p
>   where
>     (a,b) = (numerator q, denominator q)
>     bi = fromJust $ inversep p b
```

Let us consider a rational number  $\frac{3}{7}$  on a finite field  $\mathbb{Z}_{11}$ :

Example: on  $\mathbb{Z}_{11}$

Consider  $(3 \% 7)$ .

```
*Ffield Data.Ratio> let q = 3 % 7
*Ffield Data.Ratio> 3 'mod' 11
3
*Ffield Data.Ratio> 7 'mod' 11
7
*Ffield Data.Ratio> inverses 11
Just [(1,1),(2,6),(3,4),(4,3),(5,9),(6,2),(7,8),(8,7),(9,5),(10,10)]
*Ffield Data.Ratio> 7*8 == 11*5+1
True
```

on  $\mathbb{Z}_{11}$ ,  $(7^{-1} \bmod 11)$  is equal to  $(8 \bmod 11)$  and

```
(3%7) |-> (3 * (7^{-1} 'mod' 11) 'mod' 11)
      == (3*8 'mod' 11)
      == 2 'mod 11
```

```
*Ffield Data.Ratio> q 'modp' 11
2
```

### 1.1.11 Reconstruction from $\mathbb{Z}_p$ to $\mathbb{Q}$

Consider a rational number  $q$  and its image  $a \in \mathbb{Z}_p$ .

$$a := q \mod p \quad (1.72)$$

The extended Euclidean algorithm can be used for guessing a rational number  $q$  from  $a := q \mod p$ .

At each step, the extended Euclidean algorithm satisfies eq.(1.52).

$$a * s_i + p * t_i = r_i \quad (1.73)$$

Therefore

$$r_i = a * s_i \mod p \Leftrightarrow \frac{r_i}{s_i} \mod p = a. \quad (1.74)$$

Hence  $\frac{r_i}{s_i}$  is a possible guess for  $q$ . We take

$$r_i^2 < p \quad (1.75)$$

as the termination condition for this reconstruction.

### Haskell implementation

From the following observation

```
Reconstruction Z_p -> Q
*Ffield> let q = (1%3)
*Ffield> take 3 $ dropWhile (<100) primes
[101,103,107]
*Ffield> q 'modp' 101
34
*Ffield> let try x = exGCD' (q 'modp' x) x
*Ffield> try 101
([0,2,1,33],[34,101,34,33,1],[1,0,1,-2,3,-101],[0,1,0,1,-1,34])
*Ffield> try 103
([0,1,2,34],[69,103,69,34,1],[1,0,1,-1,3,-103],[0,1,0,1,-2,69])
*Ffield> try 107
([0,2,1,35],[36,107,36,35,1],[1,0,1,-2,3,-107],[0,1,0,1,-1,36])
```

we can make a simple "guess" function:

```

> guess :: (Int, Int)      -- (q 'modp' p, p)
>      -> (Ratio Int, Int)
> guess (a, p) = let (_,rs,ss,_) = exGCD' a p in
>   (select rs ss p, p)
>   where
>     select :: Integral t => [t] -> [t] -> t -> Ratio t
>     select [] _ _ = 0%1
>     select (r:rs) (s:ss) p
>         | s /= 0 && r^2 <= p && s^2 <= p = (r%s)
>         | otherwise = select rs ss p

```

We have put a list of big primes as follows.

```

> -- Hard code of big primes.
> bigPrimes :: [Int]
> bigPrimes = dropWhile (< 897473) $ takeWhile (<978948) primes

```

We choose 3 times match as the termination condition.

```

> matches3 :: Eq a => [a] -> a
> matches3 (a:bb@(b:c:cs))
>   | a == b && b == c = a
>   | otherwise       = matches3 bb

```

Finally,

What we know is a list of (q 'modp' p) and prime p.

```

*Ffield> let q = 10%19
*Ffield> let knownData = zip (map (modp q) bigPrimes) bigPrimes
*Ffield> matches3 $ map (fst . guess) knownData
10 % 19

> reconstruct :: [(Int,Int)] -> Ratio Int
> reconstruct aps = matches3 $ map (fst . guess) aps

```

Here is a naive test:

```

*Ffield> let qs = [1 % 3, 10 % 19, 41 % 17, 30 % 311, 311 % 32, 869 % 232, 778 % 123, 331 % 73]
*Ffield> let longList = map lst qs
*Ffield> map reconstruct long
longList  longlist
*Ffield> map reconstruct longList

```

```
[1 % 3, 10 % 19, 41 % 17, 30 % 311, 311 % 32, 869 % 232, 778 % 123, 331 % 739]
*Ffield> it == qs
True
```

### 1.1.12 Chinese remainder theorem

From wikipedia<sup>9</sup>

There are certain things whose number is unknown. If we count them by threes, we have two left over; by fives, we have three left over; and by sevens, two are left over. How many things are there?

Here is a solution with Haskell:

```
> let lst = [n|n<-[0..], n `mod` 3 == 2, n `mod` 5 == 3, n `mod` 7 == 2]
> head lst
23
```

or more explicitly,

```
> let clst = [n|n<-[0.. (3*5*7)], mod n 3 == 2, mod n 5 == 3, mod n 7 == 2]
> clst
[23]
```

The statement for binary case is the following. Let  $n_1, n_2 \in \mathbb{Z}$  be coprime, then for arbitrary  $a_1, a_2 \in \mathbb{Z}$ , the following a system of equations

$$x = a_1 \pmod{n_1} \quad (1.76)$$

$$x = a_2 \pmod{n_2} \quad (1.77)$$

have a unique solution modular  $n_1 * n_2$ .

#### Proof

(existence) With §1.1.6, there are  $m_1, m_2 \in \mathbb{Z}$  s.t.

$$n_1 * m_1 + n_2 * m_2 = 1. \quad (1.78)$$

Now we have

$$n_1 * m_1 = 1 \pmod{n_2} \quad (1.79)$$

$$n_2 * m_2 = 1 \pmod{n_1} \quad (1.80)$$

---

<sup>9</sup> [https://en.wikipedia.org/wiki/Chinese\\_remainder\\_theorem](https://en.wikipedia.org/wiki/Chinese_remainder_theorem)

that is

$$m_1 = n_1^{-1} \pmod{n_2} \quad (1.81)$$

$$m_2 = n_2^{-1} \pmod{n_1}. \quad (1.82)$$

Then

$$a := a_1 * n_2 * m_2 + a_2 * n_1 * m_1 \pmod{n_1 * n_2} \quad (1.83)$$

is a solution.

(uniqueness) If  $a'$  is also a solution, then

$$a - a' = 0 \pmod{n_1} \quad (1.84)$$

$$a - a' = 0 \pmod{n_2}. \quad (1.85)$$

Since  $n_1$  and  $n_2$  are coprime, i.e., no common divisors, this difference is divisible by  $n_1 * n_2$ , and

$$a - a' = 0 \pmod{n_1 * n_2}. \quad (1.86)$$

Therefore, the solution is unique modular  $n_1 * n_2$ .

■

### Generalization

Given  $a \in Z_n$  of pairwise coprime numbers

$$n := n_1 * \cdots * n_k, \quad (1.87)$$

a system of equations

$$a_i = a \pmod{n_i} \quad (1.88)$$

have a unique solution

$$a = \sum_i m_i a_i \pmod{n}, \quad (1.89)$$

where

$$m_i = \left( \frac{n_i}{n} \pmod{n_i} \right) \frac{n}{n_i} \Big|_{i=1}^k. \quad (1.90)$$

## 1.2 Polynomials and rational functions

### 1.2.1 Notations

Let  $n \in \mathbb{N}$  be positive. We use multi-index notation:

$$\alpha = (\alpha_1, \dots, \alpha_n) \in \mathbb{N}^n. \quad (1.91)$$

A monomial is defined as

$$z^\alpha := \prod_i z_i^{\alpha_i}. \quad (1.92)$$

The total degree of this monomial is given by

$$|\alpha| := \sum_i \alpha_i. \quad (1.93)$$

### 1.2.2 Polynomials and rational functions

Let  $\mathbb{K}$  be a field. Consider a map

$$f : \mathbb{F}^n \rightarrow \mathbb{F}; z \mapsto f(z) := \sum_{\alpha} c_{\alpha} z^{\alpha}, \quad (1.94)$$

where

$$c_{\alpha} \in \mathbb{F}. \quad (1.95)$$

We call the value  $f(z)$  at the dummy  $z \in \mathbb{F}^n$  a polynomial:

$$f(z) := \sum_{\alpha} c_{\alpha} z^{\alpha}. \quad (1.96)$$

We denote

$$\mathbb{F}[z] := \left\{ \sum_{\alpha} c_{\alpha} z^{\alpha} \right\} \quad (1.97)$$

as the ring of all polynomial functions in the variable  $z$  with  $\mathbb{F}$ -coefficients.

Similarly, a rational function can be expressed as a ratio of two polynomials  $p(z), q(z) \in \mathbb{F}[z]$ :

$$\frac{p(z)}{q(z)} = \frac{\sum_{\alpha} n_{\alpha} z^{\alpha}}{\sum_{\beta} d_{\beta} z^{\beta}}. \quad (1.98)$$

We denote

$$\mathbb{F}(z) := \left\{ \frac{\sum_{\alpha} n_{\alpha} z^{\alpha}}{\sum_{\beta} d_{\beta} z^{\beta}} \right\} \quad (1.99)$$

as the field of rational functions in the variable  $z$  with  $\mathbb{F}$ -coefficients. Similar to fractional numbers, there are several equivalent representation of a rational function, even if we simplify with gcd. However there still is an overall constant ambiguity. To have a unique representation, usually we put the lowest degree of term of the denominator to be 1.

### 1.2.3 As data

We can identify a polynomial

$$\sum_{\alpha} c_{\alpha} z^{\alpha} \quad (1.100)$$

as a set of coefficients

$$\{c_{\alpha}\}_{\alpha}. \quad (1.101)$$

Similarly, for a rational function, we can identify

$$\frac{\sum_{\alpha} n_{\alpha} z^{\alpha}}{\sum_{\beta} d_{\beta} z^{\beta}} \quad (1.102)$$

as an ordered pair of coefficients

$$(\{n_{\alpha}\}_{\alpha}, \{d_{\beta}\}_{\beta}). \quad (1.103)$$

However, there still is an overall factor ambiguity even after gcd simplifications.





## Chapter 2

# Functional reconstruction

The goal of a functional reconstruction algorithm is to identify the monomials appearing in their definition and the corresponding coefficients.

### 2.1 Univariate polynomials

#### 2.1.1 Newtons' polynomial representation

Consider a univariate polynomial  $f(z)$ . Given a sequence of values  $y_n|_{n \in \mathbb{N}}$ , we evaluate the polynomial form  $f(z)$  sequentially:

$$f_0(z) = a_0 \quad (2.1)$$

$$f_1(z) = a_0 + (z - y_0)a_1 \quad (2.2)$$

$$\vdots$$

$$f_r(z) = a_0 + (z - y_0)(a_1 + (z - y_1)(\cdots + (z - y_{r-1})a_r)) \quad (2.3)$$

$$= f_{r-1}(z) + (z - y_0)(z - y_1) \cdots (z - y_{r-1})a_r, \quad (2.4)$$

where

$$a_0 = f(y_0) \quad (2.5)$$

$$a_1 = \frac{f(y_1) - a_0}{y_1 - y_0} \quad (2.6)$$

$$\vdots$$

$$a_r = \left( \left( (f(y_r) - a_0) \frac{1}{y_r - y_0} - a_1 \right) \frac{1}{y_r - y_1} - \cdots - a_{r-1} \right) \frac{1}{y_r - y_{r-1}} \quad (2.7)$$

When we have already known the total degree of  $f(z)$ , say  $R$ , then we can terminate this sequential trial:

$$f(z) = f_R(z) \quad (2.8)$$

$$= \sum_{r=0}^R a_r \prod_{i=0}^{r-1} (z - y_i). \quad (2.9)$$

In practice, a consecutive zero on the sequence  $a_r$  can be taken as the termination condition for this algorithm.<sup>1</sup>

### 2.1.2 Towards canonical representations

Once we get the Newton's representation

$$\sum_{r=0}^R a_r \prod_{i=0}^{r-1} (z - y_i) = a_0 + (z - y_0)(a_1 + (z - y_1)(\cdots + (z - y_{R-1})a_R)) \quad (2.10)$$

as the reconstructed polynomial, it is convenient to convert it into the canonical form:

$$\sum_{r=0}^R c_r z^r. \quad (2.11)$$

This conversion only requires addition and multiplication of univariate polynomials. These operations are reasonably cheap, especially on  $\mathbb{Z}_p$ .

---

<sup>1</sup> We have not proved, but higher power will be dominant when we take sufficiently big input, so we terminate this sequence when we get a consecutive zero in  $a_r$ .

## 2.2 Univariate rational functions

### 2.2.1 Thiele's interpolation formula

Consider a univariate rational function  $f(z)$ . Given a sequence of values  $y_n|_{n \in \mathbb{N}}$ , we evaluate the polynomial form  $f(z)$  as a continued fraction:

$$f_0(z) = a_0 \quad (2.12)$$

$$f_1(z) = a_0 + \frac{(z - y_0)}{a_1} \quad (2.13)$$

$$\vdots$$

$$f_r(z) = a_0 + \frac{(z - y_0)}{a_1 + \frac{z - y_1}{a_2 + \frac{z - y_3}{\dots + \frac{z - y_{r-1}}{a_r}}}}, \quad (2.14)$$

where

$$a_0 = f(y_0) \quad (2.15)$$

$$a_1 = \frac{y_1 - y_0}{f(y_1) - a_0} \quad (2.16)$$

$$\vdots$$

$$a_r = \left( \left( (f(y_r) - a_0)^{-1} (y_r - y_0) - a_1 \right)^{-1} \frac{1}{y_r - y_1} - \dots - a_{r-1} \right)^{-1} (y_r - y_{r-1}) \quad (2.17)$$

### Termination condition(s)

We choose our termination conditions as several agreements among new reconstructed function:<sup>2</sup>

$$f_{n-1}(z) \neq f_n(z) = f_{n+1}(z) = f_{n+2}(z) = \dots \quad (2.19)$$

---

<sup>2</sup> Note that, this does not simply mean

$$a_n = a_{n+1} = a_{n+2} = \dots = 0. \quad (2.18)$$

### 2.2.2 Towards canonical representations

In order to get a unique representation of canonical form

$$\frac{\sum_{\alpha} n_{\alpha} z^{\alpha}}{\sum_{\beta} d_{\beta} z^{\beta}} \quad (2.20)$$

we put

$$d_{\min} r' = 1 \quad (2.21)$$

as a normalization, instead of  $d_0$ .

## 2.3 Multivariate polynomials

### 2.3.1 Foldings as recursive applications

Consider an arbitrary multivariate polynomial

$$f(z_1, \dots, z_n) \in \mathbb{F}[z_1, \dots, z_n]. \quad (2.22)$$

First, fix all the variable but 1st and apply the univariate Newton's reconstruction:

$$f(z_1, z_2, \dots, z_n) = \sum_{r=0}^R a_r(z_2, \dots, z_n) \prod_{i=0}^{r-1} (z_1 - y_i) \quad (2.23)$$

Recursively, pick up one "coefficient" and apply the univariate Newton's reconstruction on  $z_2$ :

$$a_r(z_2, \dots, z_n) = \sum_{s=0}^S b_s(z_3, \dots, z_n) \prod_{j=0}^{s-1} (z_2 - x_j) \quad (2.24)$$

The terminate condition should be the univariate case.

## 2.4 Multivariate rational functions

### 2.4.1 The canonical normalization

Our target is a pair of coefficients  $(\{n_{\alpha}\}_{\alpha}, \{d_{\beta}\}_{\beta})$  in

$$\frac{\sum_{\alpha} n_{\alpha} z^{\alpha}}{\sum_{\beta} d_{\beta} z^{\beta}} \quad (2.25)$$

A canonical choice is

$$d_0 = d_{(0, \dots, 0)} = 1. \quad (2.26)$$

Accidentally we might face  $d_0 = 0$ , but we can shift our function and make

$$d'_0 = d_s \neq 0. \quad (2.27)$$

### 2.4.2 An auxiliary $t$

Introducing an auxiliary variable  $t$ , let us define

$$h(t, z) := f(tz_1, \dots, tz_n), \quad (2.28)$$

and reconstruct  $h(t, z)$  as a univariate rational function of  $t$ :

$$h(t, z) = \frac{\sum_{r=0}^R p_r(z) t^r}{1 + \sum_{r'=1}^{R'} q_{r'}(z) t^{r'}} \quad (2.29)$$

where

$$p_r(z) = \sum_{|\alpha|=r} n_\alpha z^\alpha \quad (2.30)$$

$$q_{r'}(z) = \sum_{|\beta|=r'} n_\beta z^\beta \quad (2.31)$$

are homogeneous polynomials.

Thus, what we shall do is the (homogeneous) polynomial reconstructions of  $p_r(z)|_{0 \leq r \leq R}$ ,  $q_{r'}(z)|_{1 \leq r' \leq R'}$ .

### A simplification

Since our new targets are homogeneous polynomials, we can consider, say,

$$p_r(1, z_2, \dots, z_n) \quad (2.32)$$

instead of  $p_r(z_1, z_2, \dots, z_n)$ , reconstruct it using multivariate Newton's method, and homogenize with  $z_1$ .