## Finite fields and functional reconstructions

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## Chapter 0

## Preface

#### 0.1 References

1. Scattering amplitudes over finite fields and multivariate functional reconstruction

(Tiziano Peraro)

https://arxiv.org/pdf/1608.01902.pdf

2. Haskell Language www.haskell.org

3. The Haskell Road to Logic, Maths and Programming (Kees Doets, Jan van Eijck) http://homepages.cwi.nl/~jve/HR/

4. Introduction to numerical analysis (Stoer Josef, Bulirsch Roland)

#### 0.2 Set theoretical gadgets

#### 0.2.1 Numbers

Here is a list of what we assumed that the readers are familiar with:

- 1.  $\mathbb{N}$  (Peano axiom:  $\emptyset$ , suc)
- $2. \mathbb{Z}$
- $3. \mathbb{Q}$

- 4.  $\mathbb{R}$  (Dedekind cut)
- $5. \mathbb{C}$

#### 0.2.2 Algebraic structures

1. Monoid:  $(\mathbb{N}, +), (\mathbb{N}, \times)$ 

2. Group:  $(\mathbb{Z}, +), (\mathbb{Z}, \times)$ 

3. Ring:  $\mathbb{Z}$ 

4. Field:  $\mathbb{Q}$ ,  $\mathbb{R}$  (continuous),  $\mathbb{C}$  (algebraic closed)

#### 0.3 Haskell language

From "A Brief, Incomplete and Mostly Wrong History of Programming Languages": <sup>1</sup>

1990 - A committee formed by Simon Peyton-Jones, Paul Hudak, Philip Wadler, Ashton Kutcher, and People for the Ethical Treatment of Animals creates Haskell, a pure, non-strict, functional language. Haskell gets some resistance due to the complexity of using monads to control side effects. Wadler tries to appease critics by explaining that "a monad is a monoid in the category of endofunctors, what's the problem?"



Figure 1: Haskell's logo, the combinations of  $\lambda$  and monad's bind >>=.

Haskell language is a standardized purely functional declarative statically typed programming language.

In declarative languages, we describe "what" or "definition" in its codes, however imperative languages, like C/C++, "how" or "procedure".

 $<sup>^{1}\,</sup> http://james-iry.blogspot.com/2009/05/brief-incomplete-and-mostly-wrong.html$ 

Functional languages can be seen as 'executable mathematics'; the notation was designed to be as close as possible to the mathematical way of writing. $^2$ 

Instead of loops, we use (implicit) recursions in functional language.  $^3$ 

```
> sum :: [Int] -> Int
> sum [] = 0
> sum (i:is) = i + sum is
```

<sup>&</sup>lt;sup>2</sup> Algorithms: A Functional Programming Approach (Fethi A. Rabhi, Guy Lapalme)

<sup>&</sup>lt;sup>3</sup>Of course, as a best practice, we should use higher order function (in this case foldr or foldl) rather than explicit recursions.

## Chapter 1

## **Basics**

We have assumed living knowledge on (axiomatic, i.e., ZFC) set theory, algebraic structures.

#### 1.1 Finite field

Ffield.lhs

https://arxiv.org/pdf/1608.01902.pdf

- > module Ffield where
- > import Data.Ratio
- > import Data.Maybe
- > import Data.Numbers.Primes

#### 1.1.1 Rings

A ring (R, +, \*) is a structured set R with two binary operations

$$(+) :: R \rightarrow R \rightarrow R$$
 (1.1)

$$(*) :: R \rightarrow R \rightarrow R$$
 (1.2)

satisfying the following 3 (ring) axioms:

1. (R, +) is an abelian, i.e., commutative group, i.e.,

$$\forall a, b, c \in R, (a+b) + c = a + (b+c)$$
 (associativity for +) (1.3)

$$\forall a, b, \in R, a + b = b + a$$
 (commutativity) (1.4)

$$\exists 0 \in R, \text{ s.t. } \forall a \in R, a + 0 = a \quad \text{(additive identity)} \quad (1.5)$$

$$\forall a \in R, \exists (-a) \in R \text{ s.t. } a + (-a) = 0$$
 (additive inverse) (1.6)

2. (R,\*) is a monoid, i.e.,

$$\forall a, b, c \in R, (a * b) * c = a * (b * c)$$
 (associativity for \*) (1.7)

$$\exists 1 \in R, \text{ s.t. } \forall a \in R, a * 1 = a = 1 * a \pmod{\text{multiplicative identity}} (1.8)$$

3. Multiplication is distributive w.r.t addition, i.e.,  $\forall a, b, c \in R$ ,

$$a*(b+c) = (a*b) + (a*c)$$
 (left distributivity) (1.9)

$$(a+b)*c = (a*c) + (b*c)$$
 (right distributivity) (1.10)

#### 1.1.2 Fields

A field is a ring  $(\mathbb{K}, +, *)$  whose non-zero elements form an abelian group under multiplication, i.e.,  $\forall r \in \mathbb{K}$ ,

$$r \neq 0 \Rightarrow \exists r^{-1} \in \mathbb{K} \text{ s.t. } r * r^{-1} = 1 = r^{-1} * r.$$
 (1.11)

A field  $\mathbb{K}$  is a finite field iff the underlying set  $\mathbb{K}$  is finite. A field  $\mathbb{K}$  is called infinite field iff the underlying set is infinite.

#### 1.1.3 An example of finite rings $\mathbb{Z}_n$

Let  $n(>0) \in \mathbb{N}$  be a non-zero natural number. Then the quotient set

$$\mathbb{Z}_n := \mathbb{Z}/n\mathbb{Z} \tag{1.12}$$

$$\cong \{0, \cdots, (n-1)\} \tag{1.13}$$

with addition, subtraction and multiplication under modulo n is a ring.<sup>1</sup>

$$0 \le \forall k \le (n-1), [k] := \{k + n * z | z \in \mathbb{Z}\}$$
(1.14)

<sup>&</sup>lt;sup>1</sup> Here we have taken an equivalence class,

#### 1.1.4 Bézout's lemma

Consider  $a, b \in \mathbb{Z}$  be nonzero integers. Then there exist  $x, y \in \mathbb{Z}$  s.t.

$$a * x + b * y = \gcd(a, b),$$
 (1.19)

where gcd is the greatest common divisor (function), see  $\S 1.1.5$ . We will prove this statement in  $\S 1.1.6$ .

#### 1.1.5 Greatest common divisor

Before the proof, here is an implementation of gcd using Euclidean algorithm with Haskell language:

#### Example, by hands

Let us consider the gcd of 7 and 13. Since they are primes, the gcd should be 1. First it binds a with 7 and b with 13, and hit b > a.

$$myGCD 7 13 == myGCD 13 7$$
 (1.20)

Then it hits main line:

$$myGCD 13 7 == myGCD (13-7) 7$$
 (1.21)

with the following operations:

$$[k] + [l] := [k+l]$$
 (1.15)

$$[k] * [l] := [k * l]$$
 (1.16)

This is equivalent to take modular n:

$$(k \mod n) + (l \mod n) := (k+l \mod n) \tag{1.17}$$

$$(k \mod n) * (l \mod n) := (k * l \mod n). \tag{1.18}$$

In order to go to next step, Haskell evaluate (13-7),<sup>2</sup> and

Finally it ends with 1:

$$myGCD \ 1 \ 1 == 1$$
 (1.27)

As another example, consider 15 and 25:

#### Example, with Haskell

Let us check simple example using Haskell:

```
*Ffield> myGCD 7 13

1

*Ffield> myGCD 7 14

7

*Ffield> myGCD (-15) (20)

5

*Ffield> myGCD (-299) (-13)

13
```

<sup>&</sup>lt;sup>2</sup> Since Haskell language adopts lazy evaluation, i.e., call by need, not call by name.

13

The final result is from

\*Ffield> 13\*23 299

#### 1.1.6 Extended Euclidean algorithm

Here we treat the extended Euclidean algorithm, this is a constructive solution for Bézout's lemma.

As intermediate steps, this algorithm makes sequences of integers  $\{r_i\}_i$ ,  $\{s_i\}_i$ ,  $\{t_i\}_i$  and quotients  $\{q_i\}_i$  as follows. The base cases are

$$(r_0, s_0, t_0) := (a, 1, 0)$$
 (1.38)

$$(r_1, s_1, t_1) := (b, 0, 1)$$
 (1.39)

and inductively, for  $i \geq 2$ ,

$$q_i := \operatorname{quot}(r_{i-2}, r_{i-1})$$
 (1.40)

$$r_i := r_{i-2} - q_i * r_{i-1} \tag{1.41}$$

$$s_i := s_{i-2} - q_i * s_{i-1} \tag{1.42}$$

$$t_i := t_{i-2} - q_i * t_{i-1}. (1.43)$$

The termination condition<sup>3</sup> is

$$r_k = 0 (1.44)$$

for some  $k \in \mathbb{N}$  and

$$\gcd(a,b) = r_{k-1} \tag{1.45}$$

$$x = s_{k-1} \tag{1.46}$$

$$y = t_{k-1}. (1.47)$$

#### Proof

By definition,

$$\gcd(r_{i-1}, r_i) = \gcd(r_{i-1}, r_{i-2} - q_i * r_{i-1})$$
(1.48)

$$= \gcd(r_{i-1}, r_{i-2}) \tag{1.49}$$

This algorithm will terminate eventually, since the sequence  $\{r_i\}_i$  is non-negative by definition of  $q_i$ , but strictly decreasing. Therefore,  $\{r_i\}_i$  will meet 0 in finite step k.

and this implies

$$\gcd(a,b) =: \gcd(r_0, r_1) = \dots = \gcd(r_{k-1}, 0), \tag{1.50}$$

i.e.,

$$r_{k-1} = \gcd(a, b).$$
 (1.51)

Next, for i = 0, 1 observe

$$a * s_i + b * t_i = r_i. (1.52)$$

Let  $i \geq 2$ , then

$$r_i = r_{i-2} - q_i * r_{i-1} (1.53)$$

$$= a * s_{i-2} + b * t_{i-2} - q_i * (a * s_{i-1} + b * t_{i-1})$$
 (1.54)

$$= a * (s_{i-2} - q_i * s_{i-1}) + b * (t_{i-2} - q_i * t_{i-1})$$
 (1.55)

$$=: a * s_i + b * t_i.$$
 (1.56)

Therefore, inductively we get

$$\gcd(a,b) = r_{k-1} = a * s_{k-1} + b * t_{k-1} = a * s + b * t. \tag{1.57}$$

This prove Bézout's lemma.

#### Haskell implementation

Here I use lazy lists for intermediate lists of qs, rs, ss, ts, and pick up (second) last elements for the results.

Here we would like to implement the extended Euclidean algorithm. See the algorithm, examples, and pseudo code at:

https://en.wikipedia.org/wiki/Extended\_Euclidean\_algorithm

```
> exGCD' :: (Integral n) => n -> n -> ([n], [n], [n], [n])
> exGCD' a b = (qs, rs, ss, ts)
> where
> qs = zipWith quot rs (tail rs)
> rs = takeUntil (==0) r'
> r' = steps a b
```

```
>
      ss = steps 1 0
>
      ts = steps 0 1
      steps a b = rr
>
        where
          rr@(_:rs) = a:b: zipWith (-) rr (zipWith (*) qs rs)
> takeUntil :: (a -> Bool) -> [a] -> [a]
> takeUntil p = foldr func []
    where
>
      func x xs
        | p x = []
>
        | otherwise = x : xs
```

Here we have used so called lazy lists, and higher order function<sup>4</sup>. The gcd of a and b should be the last element of second list rs, and our targets (s,t) are second last elements of last two lists ss and ts. The following example is from wikipedia:

```
*Ffield> exGCD' 240 46 ([5,4,1,1,2],[240,46,10,6,4,2],[1,0,1,-4,5,-9,23],[0,1,-5,21,-26,47,-120])
```

Look at the second lasts of [1,0,1,-4,5,-9,23], [0,1,-5,21,-26,47,-120], i.e., -9 and 47:

```
*Ffield> gcd 240 46
2
*Ffield> 240*(-9) + 46*(47)
2
```

It works, and we have other simpler examples:

```
*Ffield> exGCD' 15 25
([0,1,1,2],[15,25,15,10,5],[1,0,1,-1,2,-5],[0,1,0,1,-1,3])
*Ffield> 15 * 2 + 25*(-1)
5
*Ffield> exGCD' 15 26
([0,1,1,2,1,3],[15,26,15,11,4,3,1],[1,0,1,-1,2,-5,7,-26],[0,1,0,1,-1,3,-4,15])
*Ffield> 15*7 + (-4)*26
```

<sup>&</sup>lt;sup>4</sup> Naively speaking, the function whose inputs and/or outputs are functions is called a higher order function.

Now what we should do is extract gcd of a and b, and (s,t) from the tuple of lists:

```
> -- a*x + b*y = gcd a b
> exGcd :: Integral t => t -> t -> (t, t, t)
> exGcd a b = (g, x, y)
> where
>    (_,r,s,t) = exGCD' a b
>    g = last r
>    x = last . init $ s
>    y = last . init $ t
```

where the underscore  $\_$  is a special symbol in Haskell that hits every pattern, since we do not need the quotient list. So, in order to get gcd and (s,t) we don't need quotients list.

```
*Ffield> exGcd 46 240
(2,47,-9)
*Ffield> 46*47 + 240*(-9)
2
*Ffield> gcd 46 240
```

#### 1.1.7 Coprime

Let us define a binary relation as follows:

```
coprime :: Integral a => a -> a -> Bool
coprime a b = (gcd a b) == 1
```

#### 1.1.8 Corollary (Inverses in $\mathbb{Z}_n$ )

For a non-zero element

$$a \in \mathbb{Z}_n,$$
 (1.58)

there is a unique number

$$b \in \mathbb{Z}_n \text{ s.t. } ((a * b) \mod n) = 1 \tag{1.59}$$

iff a and n are coprime.

#### Proof

From Bézout's lemma, a and n are coprime iff

$$\exists s, t \in \mathbb{Z}, a * s + n * t = 1. \tag{1.60}$$

Therefore

$$a \text{ and } n \text{ are coprime} \iff \exists s, t \in \mathbb{Z}, a*s+n*t=1$$
 (1.61)

$$\Leftrightarrow \exists s, t' \in \mathbb{Z}, a * s = 1 + n * t'. \tag{1.62}$$

This s, by taking its modulo n is our  $b = a^{-1}$ :

$$a * s = 1 \mod n. \tag{1.63}$$

#### 1.1.9 Corollary (Finite field $\mathbb{Z}_p$ )

If p is prime, then

$$\mathbb{Z}_p := \{0, \cdots, (p-1)\}\$$
 (1.64)

with addition, subtraction and multiplication under modulo n is a field.

#### Proof

It suffices to show that

$$\forall a \in \mathbb{Z}_p, a \neq 0 \Rightarrow \exists a^{-1} \in \mathbb{K} \text{ s.t. } a * a^{-1} = 1 = a^{-1} * a,$$
 (1.65)

but since p is prime, and

$$\forall a \in \mathbb{Z}_p, a \neq 0 \Rightarrow \gcd \ \text{a p == 1} \tag{1.66}$$

so all non-zero element has its inverse in  $\mathbb{Z}_p$ .

#### Example and implementation

Let us pick 11 as a prime and consider  $\mathbb{Z}_{11}$ :

Example Z\_{11}

```
*Ffield> isField 11
True

*Ffield> map (exGcd 11) [0..10]

[(11,1,0),(1,0,1),(1,1,-5),(1,-1,4),(1,-1,3),(1,1,-2),(1,-1,2),(1,2,-3),(1,3,-4),(1,-4,5),(1,1,-1)
]
```

This list of three-tuple let us know the candidate of inverse. Take the last one, (1,1,-1). This is the image of exGcd 11 10, and

$$1 = 10 * 1 + 11 * (-1) \tag{1.67}$$

holds. This suggests -1 is a candidate of the inverse of 10 in  $\mathbb{Z}_{11}$ :

$$10^{-1} = -1 \mod 11 \tag{1.68}$$

$$= 10 \mod 11 \tag{1.69}$$

In fact,

$$10 * 10 = 11 * 9 + 1. \tag{1.70}$$

So, picking up the third elements in tuple and zipping with nonzero elements, we have a list of inverses:

```
*Ffield> map (('mod' 11) . (\(_,_,x)->x) . exGcd 11) [1..10] [1,6,4,3,9,2,8,7,5,10] 

*Ffield> zip [1..10] it 

[(1,1),(2,6),(3,4),(4,3),(5,9),(6,2),(7,8),(8,7),(9,5),(10,10)]
```

Let us generalize these flow into a function<sup>5</sup>:

```
> inverses :: Integral a => a -> Maybe [(a,a)]
> inverses n
```

 $<sup>^5</sup>$  From https://hackage.haskell.org/package/base-4.9.0.0/docs/Data-Maybe.html:

The Maybe type encapsulates an optional value. A value of type Maybe a either contains a value of type a (represented as Just a), or it is empty (represented as Nothing). Using Maybe is a good way to deal with errors or exceptional cases without resorting to drastic measures such as error.

```
> | isPrime n = Just lst -- isPrime n
> | otherwise = Nothing
> where
> lst' = map (('mod' n) . (\(_,_,c)->c) . exGcd n) [1..(n-1)]
> lst = zip [1..] lst'
```

The function **inverses** returns a list of nonzero number with their inverses if p is prime.

Now we define inversep' whose 1st input is the base p of our ring(field) and 2nd input is an element in  $\mathbb{Z}_p$ .

```
> inversep' :: Int -> Int -> Maybe Int
> inversep' p a = do
> 1 <- inverses p
> let a' = (a 'mod' p)
> return (snd $ 1 !! (a'-1))

*Ffield> inverses' 11
Just [(1,1),(2,6),(3,4),(4,3),(5,9),(6,2),(7,8),(8,7),(9,5),(10,10)]
```

However, this is not efficient, and we refactor it as follows:<sup>7</sup>

```
> inversep :: Integral a => a -> a -> Maybe a
> inversep p a = let (_,x,y) = exGcd p a in
> if isPrime p then Just (y 'mod' p)
> else Nothing
```

```
map (inversep' 10007) [1..10006] (12.99 secs, 17,194,752,504 bytes) map (inversep 10007) [1..10006] (1.74 secs, 771,586,416 bytes)
```

Monads in Haskell can be thought of as composable computation descriptions.

 $<sup>^6</sup>$  Here we have used do-notation, a syntactic sugar for use with monadic expressions. From  ${\tt https://wiki.haskell.org/Monad:}$ 

<sup>&</sup>lt;sup>7</sup> Note that, here we use our Haskell code as a script, and we have not compile it. Hopefully after compile our code, it become much faster.

#### 1.1.10 A map from $\mathbb{Q}$ to $\mathbb{Z}_p$

Let p be a prime. Now we have a map

$$- \mod p : \mathbb{Z} \to \mathbb{Z}_p; a \mapsto (a \mod p), \tag{1.71}$$

and a natural inclusion (or a forgetful map)<sup>8</sup>

$$\zeta: \mathbb{Z}_p \hookrightarrow \mathbb{Z}.$$
(1.73)

Then we can define a map

$$- \mod p: \mathbb{Q} \to \mathbb{Z}_p \tag{1.74}$$

 $by^9$ 

$$q = \frac{a}{b} \mapsto (q \mod p) := ((a \times \ \ (b^{-1} \mod p)) \mod p). \tag{1.75}$$

#### Example and implementation

An easy implementation is the followings:<sup>10</sup>

A map from Q to  $Z_p$ .

> -- p should be prime.

> where

> (a,b) = (numerator q, denominator q)

Let us consider a rational number  $\frac{3}{7}$  on a finite field  $\mathbb{Z}_{11}$ :

$$\times : (\mathbb{Z}, \mathbb{Z}) \to \mathbb{Z} \tag{1.72}$$

of normal product on  $\mathbb{Z}$ .

<sup>9</sup> This is an example of operator overloadings.

add 1 2 == 1 'add' 2 
$$(1.76)$$

Similarly, use parenthesis we can use an infix binary operator to a function:

$$(+) 1 2 == 1 + 2 \tag{1.77}$$

<sup>&</sup>lt;sup>8</sup> By introducing this forgetful map, we can use

 $<sup>^{10}</sup>$  The backquotes makes any binary function in fix operator. For example,

```
Example: on Z_{11}
Consider (3 % 7).

*Ffield Data.Ratio> let q = 3 % 7
 *Ffield Data.Ratio> 3 'mod' 11
3
 *Ffield Data.Ratio> 7 'mod' 11
7
 *Ffield Data.Ratio> inverses 11
Just [(1,1),(2,6),(3,4),(4,3),(5,9),(6,2),(7,8),(8,7),(9,5),(10,10)]
```

For example, pick 7:

Therefore, on  $\mathbb{Z}_{11}$ ,  $(7^{-1} \mod 11)$  is equal to  $(8 \mod 11)$  and

$$\frac{3}{7} \in \mathbb{Q} \quad \mapsto \quad (3 \times \cancel{\xi}(7^{-1} \mod 11) \mod 11) \tag{1.78}$$

$$= (3 \times 8) \mod 11 \tag{1.79}$$

$$= 24 \mod 11$$
 (1.80)

$$= 2 \mod 11.$$
 (1.81)

Haskell returns the same result

and consistent.

#### 1.1.11 Reconstruction from $\mathbb{Z}_p$ to $\mathbb{Q}$

Consider a rational number q and its image  $a \in \mathbb{Z}_p$ .

$$a := q \mod p \tag{1.82}$$

The extended Euclidean algorithm can be used for guessing a rational number q from the images  $a := q \mod p$  of several primes p's.

At each step, the extended Euclidean algorithm satisfies eq.(1.52).

$$a * s_i + p * t_i = r_i (1.83)$$

Therefore

$$r_i = a * s_i \mod p \Leftrightarrow \frac{r_i}{s_i} \mod p = a.$$
 (1.84)

Hence  $\frac{r_i}{s_i}$  is a possible guess for q. We take

$$r_i^2, s_i^2 < p$$
 (1.85)

as the termination condition for this reconstruction.

#### Haskell implementation

Let us first try to reconstruct from the image  $(\frac{1}{3} \mod p)$  of some prime p. Here we have chosen three primes

```
Reconstruction Z_p -> Q
 *Ffield> let q = (1%3)
 *Ffield> take 3 $ dropWhile (<100) primes
 [101,103,107]</pre>
```

The images are basically given by the first elements of second lists ( $s_0$ 's):

```
*Ffield> q 'modp' 101
34
*Ffield> let try x = exGCD' (q 'modp' x) x
*Ffield> try 101
([0,2,1,33],[34,101,34,33,1],[1,0,1,-2,3,-101],[0,1,0,1,-1,34])
*Ffield> try 103
([0,1,2,34],[69,103,69,34,1],[1,0,1,-1,3,-103],[0,1,0,1,-2,69])
*Ffield> try 107
([0,2,1,35],[36,107,36,35,1],[1,0,1,-2,3,-107],[0,1,0,1,-1,36])
```

Look at the first hit of termination condition eq.(1.85),  $r_4 = 1$  and  $s_4 = 3$ . They give us the same guess  $\frac{1}{3}$ , and that the reconstructed number.

From the above observations we can make a simple "guess" function:

```
> select :: Integral t => [t] -> [t] -> t -> Ratio t
> select [] _ _ = 0%1
> select (r:rs) (s:ss) p
> | s /= 0 && r^2 <= p && s^2 <= p = r%s
> | otherwise = select rs ss p
```

We have put a list of big primes as follows.

```
> -- Hard code of big primes.
> bigPrimes :: [Int]
> bigPrimes = dropWhile (< 897473) $ takeWhile (<978948) primes</pre>
```

We choose 3 times match as the termination condition.

Finally, we can check our gadgets.

What we know is a list of (q 'modp' p) and prime p for several (big) primes.

```
*Ffield> let q = 10%19
*Ffield> let knownData = zip (map (modp q) bigPrimes) bigPrimes
*Ffield> matches3 $ map (fst . guess) knownData
10 % 19
```

The following is the function we need, its input is the list of tuple which first element is the image in  $\mathbb{Z}_p$  and second element is that prime p.

```
,869 % 232,778 % 123,331 % 739]
  > it == qs
  True
   As another example, we have slightly involved function:
> matches3' :: Eq a => [(a, t)] -> (a, t)
> matches3' (a0@(a,_):bb@((b,_):(c,_):cs))
    | a == b \&\& b == c = a0
    | otherwise
                        = matches3' bb
Let us see the first good guess, Haskell tells us that in order to reconstruct,
say \frac{331}{739}, we should take three primes start from 614693:
  *Ffield> let knowData q = zip (map (modp q) primes) primes
  *Ffield> matches3' $ map guess $ knowData (331%739)
  (331 % 739,614693)
  (18.31 secs, 12,393,394,032 bytes)
  *Ffield> matches3' $ map guess $ knowData (11%13)
  (11 % 13,311)
  (0.02 secs, 2,319,136 bytes)
  *Ffield> matches3' $ map guess $ knowData (1%13)
  (1 \% 13,191)
  (0.01 secs, 1,443,704 bytes)
  *Ffield> matches3' $ map guess $ knowData (1%3)
  (1 \% 3, 13)
  (0.01 secs, 268,592 bytes)
  *Ffield> matches3' $ map guess $ knowData (11%31)
```

#### 1.1.12 Chinese remainder theorem

(0.03 secs, 8,516,568 bytes)

From wikipedia<sup>11</sup>

(11 % 31,1129)

(1 % 26,709)

There are certain things whose number is unknown. If we count them by threes, we have two left over; by fives, we have three left over; and by sevens, two are left over. How many things are there?

\*Ffield> matches3' \$ map guess \$ knowData (12%312)

<sup>11</sup> https://en.wikipedia.org/wiki/Chinese\_remainder\_theorem

Here is a solution with Haskell:

\*Ffield> let lst = [n|n<-[0..], mod n 3==2, mod n 5==3, mod n 7==2]\*Ffield> head lst 23

We define an infinite list of natural numbers that satisfy

$$n \mod 3 = 2, n \mod 5 = 3, n \mod 7 = 2.$$
 (1.86)

Then take the first element, and this is the answer.

#### Claim

The statement for binary case is the following. Let  $n_1, n_2 \in \mathbb{Z}$  be coprime, then for arbitrary  $a_1, a_2 \in \mathbb{Z}$ , the following a system of equations

$$x = a_1 \mod n_1 \tag{1.87}$$

$$x = a_2 \mod n_2 \tag{1.88}$$

have a unique solution modular  $n_1 * n_2^{12}$ .

#### Proof

(existence) With §1.1.6, there are  $m_1, m_2 \in \mathbb{Z}$  s.t.

$$n_1 * m_1 + n_2 * m_2 = 1. (1.90)$$

Now we have

$$n_1 * m_1 = 1 \mod n_2 \tag{1.91}$$

$$n_2 * m_2 = 1 \mod n_1 \tag{1.92}$$

that is

$$m_1 = n_1^{-1} \mod n_2 \tag{1.93}$$

$$m_1 = n_1^{-1} \mod n_2$$
 (1.93)  
 $m_2 = n_2^{-1} \mod n_1$ . (1.94)

$$0 \le a < n_1 \times n_2. \tag{1.89}$$

 $<sup>^{12}</sup>$  Note that, this is equivalent that there is a unique solution a in

Then

$$a := a_1 * n_2 * m_2 + a_2 * n_1 * m_1 \mod (n_1 * n_2) \tag{1.95}$$

is a solution.

(uniqueness) If a' is also a solution, then

$$a - a' = 0 \mod n_1 \tag{1.96}$$

$$a - a' = 0 \mod n_2. \tag{1.97}$$

Since  $n_1$  and  $n_2$  are coprime, i.e., no common divisors, this difference is divisible by  $n_1 * n_2$ , and

$$a - a' = 0 \mod (n_1 * n_2).$$
 (1.98)

Therefore, the solution is unique modular  $n_1 * n_2$ .

#### Generalization

Given  $a \in \mathbb{Z}_n$  of pairwise coprime numbers

$$n := n_1 * \dots * n_k, \tag{1.99}$$

a system of equations

$$a_i = a \mod n_i|_{i=1}^k$$
 (1.100)

have a unique solution

$$a = \sum_{i} m_i a_i \mod n, \tag{1.101}$$

where

$$m_i = \left(\frac{n_i}{n} \mod n_i\right) \frac{n}{n_i} \Big|_{i=1}^k. \tag{1.102}$$

### 1.2 Polynomials and rational functions

The following discussion on an arbitrary field  $\mathbb{K}$ .

#### 1.2.1 Notations

Let  $n \in \mathbb{N}$  be positive. We use multi-index notation:

$$\alpha = (\alpha_1, \cdots, \alpha_n) \in \mathbb{N}^n. \tag{1.103}$$

A monomial is defined as

$$z^{\alpha} := \prod_{i} z_i^{\alpha_i}. \tag{1.104}$$

The total degree of this monomial is given by

$$|\alpha| := \sum_{i} \alpha_{i}. \tag{1.105}$$

#### 1.2.2 Polynomials and rational functions

Let  $\mathbb{K}$  be a field. Consider a map

$$f: \mathbb{K}^n \to \mathbb{K}; z \mapsto f(z) := \sum_{\alpha} c_{\alpha} z^{\alpha},$$
 (1.106)

where

$$c_{\alpha} \in \mathbb{K}.$$
 (1.107)

We call the value f(z) at the dummy  $z \in \mathbb{K}^n$  a polynomial:

$$f(z) := \sum_{\alpha} c_{\alpha} z^{\alpha}. \tag{1.108}$$

We denote

$$\mathbb{K}[z] := \left\{ \sum_{\alpha} c_{\alpha} z^{\alpha} \right\} \tag{1.109}$$

as the ring of all polynomial functions in the variable z with  $\mathbb{K}$ -coefficients. Similarly, a rational function can be expressed as a ratio of two polynomials  $p(z), q(z) \in \mathbb{K}[z]$ :

$$\frac{p(z)}{q(z)} = \frac{\sum_{\alpha} n_{\alpha} z^{\alpha}}{\sum_{\beta} d_{\beta} z^{\beta}}.$$
 (1.110)

We denote

$$\mathbb{K}(z) := \left\{ \frac{\sum_{\alpha} n_{\alpha} z^{\alpha}}{\sum_{\beta} d_{\beta} z^{\beta}} \right\}$$
 (1.111)

as the field of rational functions in the variable z with  $\mathbb{F}$ -coefficients. Similar to fractional numbers, there are several equivalent representation of a rational function, even if we simplify with gcd. However there still is an overall constant ambiguity. To have a unique representation, usually we put the lowest degree of term of the denominator to be 1.

#### 1.2.3 As data, coefficients list

We can identify a polynomial

$$\sum_{\alpha} c_{\alpha} z^{\alpha} \tag{1.112}$$

as a set of coefficients

$$\{c_{\alpha}\}_{\alpha}.\tag{1.113}$$

Similarly, for a rational function, we can identify

$$\frac{\sum_{\alpha} n_{\alpha} z^{\alpha}}{\sum_{\beta} d_{\beta} z^{\beta}} \tag{1.114}$$

as an ordered pair of coefficients

$$(\{n_{\alpha}\}_{\alpha}, \{d_{\beta}\}_{\beta}). \tag{1.115}$$

However, there still is an overall factor ambiguity even after gcd simplifications.

## 1.3 Haskell implementation of univariate polynomials

Here we basically follows some part of §9 of ref.3, and its addendum<sup>13</sup>.

Univariate.lhs

- > module Univariate where
- > import Data.Ratio
- > import Polynomials

<sup>13</sup> See http://homepages.cwi.nl/~jve/HR/PolAddendum.pdf

#### 1.3.1 A polynomial as a list of coefficients

Let us start instance declaration, which enable us to use basic arithmetics, e.g., addition and multiplication.

```
-- Polynomials.hs
-- http://homepages.cwi.nl/~jve/rcrh/Polynomials.hs
module Polynomials where
default (Integer, Rational, Double)
-- polynomials, as coefficients lists
instance (Num a, Ord a) => Num [a] where
  fromInteger c = [fromInteger c]
  -- operator overloading
 negate []
              = []
 negate (f:fs) = (negate f) : (negate fs)
  signum [] = []
  signum gs
   | signum (last gs) < (fromInteger 0) = negate z
    | otherwise = z
  abs [] = []
  abs gs
    | signum gs == z = gs
    | otherwise
                = negate gs
         + []
  fs
                 = fs
         + gs
                 = gs
  (f:fs) + (g:gs) = f+g : fs+gs
  fs
         * []
                 = []
  * gs
                  = []
  (f:fs) * gg@(g:gs) = f*g : (f .* gs + fs * gg)
delta :: (Num a, Ord a) => [a] -> [a]
delta = ([1,-1] *)
shift :: [a] -> [a]
```

```
shift = tail
p2fct :: Num a => [a] -> a -> a
p2fct[]x = 0
p2fct (a:as) x = a + (x * p2fct as x)
comp :: (Eq a, Num a, Ord a) => [a] -> [a] -> [a]
                = error ".."
           []
comp _
comp []
                  = []
comp (f:fs) g0@(0:gs) = f : gs * (comp fs g0)
comp (f:fs) gg@(g:gs) = ([f] + [g] * (comp fs gg))
                     + (0 : gs * (comp fs gg))
deriv :: Num a => [a] -> [a]
deriv [] = []
deriv (f:fs) = deriv1 fs 1
 where
   deriv1 [] _ = []
   deriv1 (g:gs) n = n*g : deriv1 gs (n+1)
```

Note that the above operators are overloaded, say (\*), f\*g is a multiplication of two numbers but fs\*gg is a multiplication of two list of coefficients. We can not extend this overloading to scalar multiplication, since Haskell type system takes the operands of (\*) the same type

$$(*)$$
 :: Num a => a -> a (1.116)

```
> -- scalar multiplication
> infixl 7 .*
> (.*) :: Num a => a -> [a] -> [a]
> c .* [] = []
> c .* (f:fs) = c*f : c .* fs
```

Let us see few examples. If we take a scalar multiplication, say

$$3 * (1 + 2z + 3z^2 + 4z^3) (1.117)$$

the result should be

$$3 * (1 + 2z + 3z^{2} + 4z^{3}) = 3 + 6z + 9z^{2} + 12z^{3}$$
(1.118)

In Haskell

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and this is exactly same as map with section:

When we multiply two polynomials, say

$$(1+2z)*(3+4z+5z^2+6z^3) (1.119)$$

the result should be

$$(1+2z)*(3+4z+5z^2+6z^3) = 1*(3+4z+5z^2+6z^3)+2z*(3+4z+5z^2+6z^3)$$
$$= 3+(4+2*3)z+(5+2*4)z^2+(6+2*5)z^3+2*6z^4$$
$$= 3+10z+13z^2+16z^3+12z^4$$
(1.120)

In Haskell,

Now the (dummy) variable is given as

A polynomial of degree R is given by a finite sum of the following form:

$$f(z) := \sum_{i=0}^{R} c_i z^i. \tag{1.121}$$

Therefore, it is natural to represent f(z) by a list of coefficient  $\{c_i\}_i$ . Here is the translator from the coefficient list to a polynomial function:

```
> p2fct :: Num a => [a] -> a -> a
> p2fct [] x = 0
> p2fct (a:as) x = a + (x * p2fct as x)
```

This gives us<sup>14</sup>

```
*Univariate> take 10 $ map (p2fct [1,2,3]) [0..] [1,6,17,34,57,86,121,162,209,262] 

*Univariate> take 10 $ map (\n -> 1+2*n+3*n^2) [0..] [1,6,17,34,57,86,121,162,209,262]
```

#### 1.3.2 Difference analysis

We do not know in general this canonical form of the polynomial, nor the degree. That means, what we can access is the graph of f, i.e., the list of inputs and outputs. Without loss of generality, we can take

$$[0..]$$
 (1.124)

as the input data. Usually we take a finite sublist of this, but we assume it is sufficiently long. The outputs should be

map 
$$f[0..] = [f 0, f 1 ..]$$
 (1.125)

For example

\*Univariate take 10 \$ map (
$$n \rightarrow n^2+2*n+1$$
) [0..] [1,4,9,16,25,36,49,64,81,100]

Let us consider the difference sequence

$$\Delta(f)(n) := f(n+1) - f(n). \tag{1.126}$$

Its Haskell version is

```
> -- difference analysis
> difs :: (Num a) => [a] -> [a]
> difs [] = []
> difs [_] = []
> difs (i:jj@(j:js)) = j-i : difs jj
```

To make a lambda, we write a \(()\)(because it kind of looks like the greek letter lambda if you squint hard enough) and then we write the parameters, separated by spaces.

For example,

$$f(x) := x^2 + 1 (1.122)$$

$$f := \lambda x \cdot x^2 + 1 \tag{1.123}$$

are the same definition.

<sup>&</sup>lt;sup>14</sup> Here we have used lambda, or so called anonymous function. From http://learnyouahaskell.com/higher-order-functions

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This gives

```
*Univariate> difs [1,4,9,16,25,36,49,64,81,100] [3,5,7,9,11,13,15,17,19] 
*Univariate> difs [3,5,7,9,11,13,15,17,19] [2,2,2,2,2,2,2,2]
```

We claim that if f(z) is a polynomial of degree R, then  $\Delta(f)(z)$  is a polynomial of degree R-1. Since the degree is given, we can write f(z) in canonical form

$$f(n) = \sum_{i=0}^{R} c_i n^i \tag{1.127}$$

and

$$\Delta(f)(n) := f(n+1) - f(n)$$
 (1.128)

$$= \sum_{i=0}^{R} c_i \left\{ (n+1)^i - n^i \right\}$$
 (1.129)

$$= \sum_{i=1}^{R} c_i \left\{ (n+1)^i - n^i \right\}$$
 (1.130)

$$= \sum_{i=1}^{R} c_i \left\{ i * n^{i-1} + O(n^{i-2}) \right\}$$
 (1.131)

$$= c_R * R * n^{R-1} + O(n^{R-2}) (1.132)$$

where  $O(n^{i-2})$  is some polynomial(s) of degree i-2.

This guarantees the following function will terminate in finite steps<sup>15</sup>; difLists keeps generating difference lists until the difference get constant.

<sup>&</sup>lt;sup>15</sup> If a given lists is generated by a polynomial.

5

Let us try:

```
*Univariate> difLists [[-12,-11,6,45,112,213,354,541,780,1077]]
[[6,6,6,6,6,6]
,[16,22,28,34,40,46,52,58]
,[1,17,39,67,101,141,187,239,297]
,[-12,-11,6,45,112,213,354,541,780,1077]
]
```

The degree of the polynomial can be computed by difference analysis:

```
> degree' :: (Eq a, Num a) => [a] -> Int
> degree' xs = length (difLists [xs]) -1
For example,
*Univariate> degree [1,4,9,16,25,36,49,64,81,100]
2
*Univariate> take 10 $ map (\n -> n^2+2*n+1) [0..]
[1,4,9,16,25,36,49,64,81,100]
*Univariate> degree $ take 10 $ map (\n -> n^5+4*n^3+1) [0..]
```

Above degree' function can only treat finite list, however, the following function can compute the degree of infinite list.

```
> degreeLazy :: (Eq a, Num a) => [a] -> Int
> degreeLazy xs = helper xs 0
> where
> helper as@(a:b:c:_) n
> | a==b && b==c = n
> | otherwise = helper (difs as) (n+1)
```

Note that this lazy function only sees the first two elements of the list (of difference). So first take the lazy degreeLazy and guess the degree, take sufficient finite sublist of output and apply degree'. Here is the hybrid version:

```
> degree :: (Num a, Eq a) => [a] -> Int
> degree xs = let l = degreeLazy xs in
> degree' $ take (1+2) xs
```

## Chapter 2

# Functional reconstruction over $\mathbb{Q}$

The goal of a functional reconstruction algorithm is to identify the monomials appearing in their definition and the corresponding coefficients.

From here, we use  $\mathbb{Q}$  as our base field, but every algorithm can be computed on any field, e.g., finite field  $\mathbb{Z}_p$ .

#### 2.1 Univariate polynomials

#### 2.1.1 Newtons' polynomial representation

Consider a univariate polynomial f(z). Given a sequence of distinct values  $y_n|_{n\in\mathbb{N}}$ , we evaluate the polynomial form f(z) sequentially:

$$f_0(z) = a_0 (2.1)$$

$$f_1(z) = a_0 + (z - y_0)a_1$$
 (2.2)

:

$$f_r(z) = a_0 + (z - y_0) (a_1 + (z - y_1)(\dots + (z - y_{r-1})a_r)$$
 (2.3)

$$= f_{r-1}(z) + (z - y_0)(z - y_1) \cdots (z - y_{r-1})a_r, \qquad (2.4)$$

where

$$a_0 = f(y_0) (2.5)$$

$$a_1 = \frac{f(y_1) - a_0}{y_1 - y_0} \tag{2.6}$$

:

$$a_r = \left( \left( (f(y_r) - a_0) \frac{1}{y_r - y_0} - a_1 \right) \frac{1}{y_r - y_1} - \dots - a_{r-1} \right) \frac{1}{y_r - y_{r-1}} (2.7)$$

It is easy to see that,  $f_r(z)$  and the original f(z) match on the given data points, i.e.,

$$f_r(n) = f(n), 0 \le n \le r.$$
 (2.8)

When we have already known the total degree of f(z), say R, then we can terminate this sequential trial:

$$f(z) = f_R(z) (2.9)$$

$$= \sum_{r=0}^{R} a_r \prod_{i=0}^{r-1} (z - y_i). \tag{2.10}$$

In practice, a consecutive zero on the sequence  $a_r$  can be taken as the termination condition for this algorithm.<sup>1</sup>

#### 2.1.2 Towards canonical representations

Once we get the Newton's representation

$$\sum_{r=0}^{R} a_r \prod_{i=0}^{r-1} (z - y_i) = a_0 + (z - y_0) \left( a_1 + (z - y_1)(\dots + (z - y_{R-1})a_R) \right)$$
 (2.11)

as the reconstructed polynomial, it is convenient to convert it into the canonical form:

$$\sum_{r=0}^{R} c_r z^r. \tag{2.12}$$

This conversion only requires addition and multiplication of univariate polynomials. These operations are reasonably cheap, especially on  $\mathbb{Z}_p$ .

We have not proved, but higher power will be dominant when we take sufficiently big input, so we terminate this sequence when we get a consecutive zero in  $a_r$ .

#### 2.1.3 Simplification of our problem

Without loss of generality, we can put

$$[0..]$$
 (2.13)

as our input list. We usually take its finite part but we assume it has enough length. Corresponding to above input,

map f 
$$[0..]$$
 =  $[f 0, f 1, ...]$  (2.14)

of f :: Ratio Int -> Ratio Int is our output list.

Then we have slightly simpler forms of coefficients:

$$f_r(z) := a_0 + z * (a_1 + (z - 1) (a_2 + (z - 2) (a_3 + \dots + (z - r + 1) a_r)))$$
 (2.15)

$$a_0 = f(0)$$
 (2.16)

$$a_1 = f(y_1) - a_0 (2.17)$$

$$= f(1) - f(0) =: \Delta(f)(0)$$
(2.18)

$$a_2 = \frac{f(2) - a_0}{2} - a_1 \tag{2.19}$$

$$= \frac{f(2) - f(0)}{2} - (f(1) - f(0)) \tag{2.20}$$

$$= \frac{f(2) - 2f(1) - f(0)}{2} \tag{2.21}$$

$$= \frac{(f(2) - f(1)) - (f(1) - f(0))}{2} =: \frac{\Delta^2(f)(0)}{2}$$
 (2.22)

:

$$a_r = \frac{\Delta^r(f)(0)}{r!}, \tag{2.23}$$

where  $\Delta$  is the difference operator in eq.(1.126):

$$\Delta(f)(n) := f(n+1) - f(n). \tag{2.24}$$

In order to simplify our expression, we introduce a falling power:

$$(x)_0 := 1 (2.25)$$

$$(x)_n := x(x-1)\cdots(x-n+1)$$
 (2.26)

$$= \prod_{i=0}^{n-1} (x-i). \tag{2.27}$$

Under these settings, we have

$$f(z) = f_R(z) (2.28)$$

$$= \sum_{r=0}^{R} \frac{\Delta^{r}(f)(0)}{r!} (x)_{r}, \qquad (2.29)$$

where we have assume

$$\Delta^{R+1}(f) = [0, 0, \cdots]. \tag{2.30}$$

#### Example

Consider a polynomial

$$f(z) := 2 * z^3 + 3 * z, \tag{2.31}$$

and its out put list

$$[f(0), f(1), f(3), \cdots] = [0, 5, 22, 63, 140, 265, \cdots]$$
 (2.32)

This polynomial is 3rd degree, so we compute up to  $\Delta^3(f)(0)$ :

$$f(0) = 0 (2.33)$$

$$\Delta(f)(0) = f(1) - f(0) = 5 \tag{2.34}$$

$$\Delta^2(f)(0) = \Delta(f)(1) - \Delta(f)(0)$$

$$= f(2) - f(1) - 5 = 22 - 5 - 5 = 12$$
 (2.35)

$$\Delta^{3}(f)(0) = \Delta^{2}(f)(1) - \Delta^{2}(f)(0)$$

$$= f(3) - f(2) - \{f(2) - f(1)\} - 12 = 12$$
 (2.36)

so we get

$$[0, 5, 12, 12] \tag{2.37}$$

as the difference list. Therefore, we get the falling power representation of f:

$$f(z) = 5(x)_1 + \frac{12}{2}(x)_2 + \frac{12}{3!}(x)_3$$
 (2.38)

$$= 5(x)_1 + 6(x)_2 + 2(x)_3. (2.39)$$

## 2.2 Univariate polynomial reconstruction with Haskell

#### 2.2.1 Newton interpolation formula with Haskell

First, the falling power is naturally given by recursively:

```
> infixr 8 ^- -- falling power
> (^-) :: (Integral a) => a -> a -> a
> x ^- 0 = 1
> x ^- n = (x ^- (n-1)) * (x - n + 1)
```

Assume the differences are given in a list

$$xs = [f(0), \Delta(f)(0), \Delta^{2}(f)(0), \cdots].$$
 (2.40)

Then the implementation of the Newton interpolation formula is as follows:

```
> newtonC :: (Fractional t, Enum t) => [t] -> [t]
> newtonC xs = [x / factorial k | (x,k) <- zip xs [0..]]
> where
> factorial k = product [1..fromInteger k]
```

Consider a polynomial

$$f x = 2*x^3+3*x$$
 (2.41)

Let us try to reconstruct this polynomial from output list. In order to get the list  $[x_0, x_1, x_1, x_n]$ , take difLists and pick the first elements:

```
> let f x = 2*x^3+3*x
> take 10 $ map f [0..]
[0,5,22,63,140,265,450,707,1048,1485]
> difLists [it]
[[12,12,12,12,12,12],
[12,24,36,48,60,72,84,96],
,[5,17,41,77,125,185,257,341,437],
,[0,5,22,63,140,265,450,707,1048,1485]]
> reverse $ map head it
[0,5,12,12]
```

This list is the same as eq.(2.37) and we get the same expression as eq.(2.39)  $5(x)_1 + 6(x)_2 + 2(x)_3$ :

> newtonC it
[0 % 1,5 % 1,6 % 1,2 % 1]

The list of first differences, i.e.,

$$[f(0), \Delta(f)(0), \Delta^{2}(f)(0), \cdots]$$
 (2.42)

can be computed as follows:

```
> firstDifs :: (Eq a, Num a) => [a] -> [a]
> firstDifs xs = reverse $ map head $ difLists [xs]
```

Mapping a list of integers to a Newton representation:

```
> list2npol :: (Integral a) => [Ratio a] -> [Ratio a]
> list2npol = newtonC . firstDifs

*NewtonInterpolation> take 10 $ map f [0..]
[0,5,22,63,140,265,450,707,1048,1485]
*NewtonInterpolation> list2npol it
[0 % 1,5 % 1,6 % 1,2 % 1]
```

Therefore, we get the Newton coefficients from the output list.

#### 2.2.2 Stirling numbers of the first kind

We need to map Newton falling powers to standard powers to get the canonical representation. This is a matter of applying combinatorics, by means of a convention formula that uses the so-called Stirling cyclic numbers

$$\left[\begin{array}{c} n\\k \end{array}\right] \tag{2.43}$$

Its defining relation is,  $\forall n > 0$ ,

$$(x)_n = \sum_{k=1}^n (-)^{n-k} \begin{bmatrix} n \\ k \end{bmatrix} x^k,$$
 (2.44)

and

$$\left[\begin{array}{c} 0\\0 \end{array}\right] := 1. \tag{2.45}$$

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From the highest order,  $x^n$ , we get

$$\left[\begin{array}{c} n\\n \end{array}\right] = 1, \forall n > 0. \tag{2.46}$$

We also put

$$\begin{bmatrix} 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 0 \\ 2 \end{bmatrix} = \begin{bmatrix} 0 \\ 3 \end{bmatrix} = \dots = 0, \tag{2.47}$$

and

$$\begin{bmatrix} 1 \\ 0 \end{bmatrix} = \begin{bmatrix} 2 \\ 0 \end{bmatrix} = \begin{bmatrix} 3 \\ 0 \end{bmatrix} = \dots = 0. \tag{2.48}$$

The key equation is

$$(x)_n = (x)_{n-1} * (x - n + 1)$$
(2.49)

and we get

$$(x)_n = \sum_{k=1}^n (-)^{n-k} \begin{bmatrix} n \\ k \end{bmatrix} x^k$$
 (2.50)

$$= x^{n} + \sum_{k=1}^{n-1} (-)^{n-k} \begin{bmatrix} n \\ k \end{bmatrix} x^{k}$$
 (2.51)

$$(x)_{n-1} * (x - n + 1) = \sum_{k=1}^{n-1} (-)^{n-1-k} \left\{ \begin{bmatrix} n-1 \\ k \end{bmatrix} x^{k+1} - (n-1) \begin{bmatrix} n-1 \\ k \end{bmatrix} x^k \right\}$$
 (2.52)

$$= \sum_{l=2}^{n} (-)^{n-l} \begin{bmatrix} n-1 \\ l-1 \end{bmatrix} x^{l} + (n-1) \sum_{k=1}^{n-1} (-)^{n-k} \begin{bmatrix} n \\ k \end{bmatrix} x^{k}$$
 (2.53)

$$= x^n + (n-1)(-)^{n-1}x$$

$$+\sum_{k=2}^{n-1} (-)^{n-k} \left\{ \left[ \begin{array}{c} n-1 \\ k-1 \end{array} \right] + (n-1) \left[ \begin{array}{c} n-1 \\ k \end{array} \right] \right\} x^k$$
 (2.54)

$$= x^{n} + \sum_{k=1}^{n-1} (-)^{n-k} \left\{ \begin{bmatrix} n-1 \\ k-1 \end{bmatrix} + (n-1) \begin{bmatrix} n-1 \\ k \end{bmatrix} \right\} x^{k}$$
 (2.55)

Therefore,  $\forall n, k > 0$ ,

$$\begin{bmatrix} n \\ k \end{bmatrix} = \begin{bmatrix} n-1 \\ k-1 \end{bmatrix} + (n-1) \begin{bmatrix} n-1 \\ k \end{bmatrix}$$
 (2.56)

Now we have the following canonical, power representation of reconstructed polynomial

$$f(z) = f_R(z) (2.57)$$

$$= \sum_{r=0}^{R} \frac{\Delta^{r}(f)(0)}{r!}(x)_{r}$$
 (2.58)

$$= \sum_{r=0}^{R} \frac{\Delta^{r}(f)(0)}{r!} \sum_{k=1}^{r} (-)^{r-k} \begin{bmatrix} r \\ k \end{bmatrix} x^{k}, \qquad (2.59)$$

So, what shall we do is to sum up order by order.

Here is an implementation, first the Stirling numbers:

```
> stirlingC :: Integer -> Integer
> stirlingC 0 0 = 1
> stirlingC 0 _ = 0
> stirlingC n k = (n-1)*(stirlingC (n-1) k) + stirlingC (n-1) (k-1)
```

This definition can be used to convert from falling powers to standard powers.

We use fall2pol to convert Newton representations to standard polynomials in coefficients list representation. Here we have uses sum to collect same order terms in list representation.

#### 2.2.3 list2pol: from output list to canonical coefficients

Finally, here is the function for computing a polynomial from an output sequence:

```
> list2pol :: (Integral a) => [Ratio a] -> [Ratio a]
> list2pol = npol2pol . list2npol
```

Here are some checks on these functions:

```
Reconstruction as curve fitting
  *NewtonInterpolation> list2pol $ map (\n -> 7*n^2+3*n-4) [0..100]
  [(-4) % 1,3 % 1,7 % 1]

*NewtonInterpolation> list2pol [0,1,5,14,30]
  [0 % 1,1 % 6,1 % 2,1 % 3]
  *NewtonInterpolation> map (\n -> n%6 + n^2%2 + n^3%3) [0..4]
  [0 % 1,1 % 1,5 % 1,14 % 1,30 % 1]

*NewtonInterpolation> map (p2fct $ list2pol [0,1,5,14,30]) [0..8]
  [0 % 1,1 % 1,5 % 1,14 % 1,30 % 1,55 % 1,91 % 1,140 % 1,204 % 1]
```

First example shows that from the sufficiently long output list, we can reconstruct the list of coefficients. Second example shows that from a given outputs, we have a list coefficients. Then use these coefficients, we define the output list of the function, and they match. The last example shows that from a limited (but sufficient) output information, we reconstruct a function and get extra outputs outside from the given data.

#### 2.3 Univariate rational functions

We use the same notion, i.e., what we can know is the output-list of a univariate rational function, say f::Int -> Ratio Int:

map f 
$$[0..]$$
 ==  $[f 0, f 1..]$  (2.60)

#### 2.3.1Thiele's interpolation formula

We evaluate the polynomial form f(z) as a continued fraction:

$$f_0(z) = a_0 (2.61)$$

$$f_0(z) = a_0$$
 (2.61)  
 $f_1(z) = a_0 + \frac{z}{a_1}$  (2.62)

$$\vdots 
f_r(z) = a_0 + \frac{z}{a_1 + \frac{z - 1}{a_2 + \frac{z - 2}{a_{r-2} + \frac{z}{a_{r-1} + \frac{z - r + 1}{a_r}}}}, (2.63)$$

where

$$a_0 = f(0)$$
 (2.64)

$$a_0 = f(0)$$
 (2.64)  
 $a_1 = \frac{1}{f(1) - a_0}$  (2.65)

$$a_2 = \frac{1}{\frac{2}{f(2) - a_0} - a_1} \tag{2.66}$$

$$a_{r} = \frac{1}{\frac{2}{3}} - a_{r-1}$$

$$\frac{3}{\frac{\vdots}{f(r) - a_{0}} - a_{1}}$$
(2.67)

$$f(r) - a_0$$

$$= \left( \left( (f(r) - a_0)^{-1} r - a_1 \right)^{-1} (r - 1) - \dots - a_{r-1} \right)^{-1} 1$$
 (2.68)

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#### 2.3.2 Towards canonical representations

In order to get a unique representation of canonical form

$$\frac{\sum_{\alpha} n_{\alpha} z^{\alpha}}{\sum_{\beta} d_{\beta} z^{\beta}} \tag{2.69}$$

we put

$$d_{\min r'} = 1 \tag{2.70}$$

as a normalization, instead of  $d_0$ . However, if we meet 0 as a singular value, then we can shift s.t. the new  $d_0 \neq 0$ . So without loss of generality, we can assume f(0) is not singular, i.e., the denominator of f has a nonzero constant term:

$$d_0 = 1 (2.71)$$

$$f(z) = \frac{\sum_{i} n_{i} z^{i}}{1 + \sum_{j>0} d_{z}^{j}}.$$
 (2.72)

#### 2.4 Univariate rational function reconstruction with Haskell

Here we the same notion of

https://rosettacode.org/wiki/Thiele%27s\_interpolation\_ formula

and especially

https://rosettacode.org/wiki/Thiele%27s\_interpolation\_ formula#C

#### 2.4.1 Reciprocal difference

We claim, without proof<sup>2</sup>, that the Thiele coefficients are given by

$$a_0 := f(0)$$
 (2.73)

$$a_n := \rho_{n,0} - \rho_{n-2,0},$$
 (2.74)

 $<sup>^{2}</sup>$  See the ref.4, Theorem (2.2.2.5) in 2nd edition.

where  $\rho$  is so called the reciprocal difference:

$$\rho_{n,i} := 0, n < 0 \tag{2.75}$$

$$\rho_{0,i} := f(i), i = 0, 1, 2, \cdots$$
(2.76)

$$\rho_{n,i} := \frac{n}{\rho_{n-1,i+1} - \rho_{n-1,i}} + \rho_{n-2,i+1} \tag{2.77}$$

These preparation helps us to write the following codes:

Thiele's interpolation formula

Reciprocal difference rho, using the same notation of https://rosettacode.org/wiki/Thiele%27s\_interpolation\_formula#C

```
> rho :: [Ratio Int] -- A list of output of f :: Int -> Ratio Int
> -> Int -> Int -> Ratio Int
> rho fs 0 i = fs !! i
> rho fs n _
> | n < 0 = 0
> rho fs n i = (n*den)%num + rho fs (n-2) (i+1)
> where
> num = numerator next
> den = denominator next
> next = (rho fs (n-1) (i+1)) - (rho fs (n-1) i)

Note that (%) has the following type,
    (%) :: Integral a => a -> a -> Ratio a
> a fs 0 = fs !! 0
> a fs n = rho fs n 0 - rho fs (n-2) 0
```

#### 2.4.2 tDegree for termination

Now let us consider a simple example which is given by the following Thiele coefficients

$$a_0 = 1, a_1 = 2, a_2 = 3, a_3 = 4.$$
 (2.78)

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The function is now

$$f(x) := 1 + \frac{x}{2 + \frac{x - 1}{3 + \frac{x - 2}{4}}}$$

$$= \frac{x^2 + 16x + 16}{16 + 6x}$$
(2.79)

$$= \frac{x^2 + 16x + 16}{16 + 6x} \tag{2.80}$$

Using Maxima<sup>3</sup>, we can verify this:

```
(%i25) f(x) := 1+(x/(2+(x-1)/(3+(x-2)/4)));
(\%025) f(x):=x/(2+(x-1)/(3+(x-2)/4))+1
(%i26) ratsimp(f(x));
(\%026) (x^2+16*x+16)/(16+6*x)
```

Let us come back Haskell, and try to get the Thiele coefficients of

```
*Univariate> let func x = (x^2 + 16*x + 16)\%(6*x + 16)
*Univariate> let fs = map func [0..]
*Univariate> map (a fs) [0..]
[1 % 1,2 % 1,3 % 1,4 % 1,*** Exception: Ratio has zero denominator
```

This is clearly unsafe, so let us think more carefully. Observe the reciprocal differences

```
*Univariate> let fs = map func [0..]
*Univariate> take 5 $ map (rho fs 0) [0..]
[1 % 1,3 % 2,13 % 7,73 % 34,12 % 5]
*Univariate> take 5 $ map (rho fs 1) [0..]
[2 % 1,14 % 5,238 % 69,170 % 43,230 % 53]
*Univariate> take 5 $ map (rho fs 2) [0..]
[4 % 1,79 % 16,269 % 44,667 % 88,413 % 44]
*Univariate> take 5 $ map (rho fs 3) [0..]
[6 % 1,6 % 1,6 % 1,6 % 1,6 % 1]
```

So, the constancy of the reciprocal differences can be used to get the depth of Thiele series:

```
> tDegree :: [Ratio Int] -> Int
> tDegree fs = helper fs 0
```

<sup>3</sup> http://maxima.sourceforge.net

```
> where
> helper fs n
> | isConstants fs' = n
> | otherwise = helper fs (n+1)
> where
> fs' = map (rho fs n) [0..]
> isConstants (i:j:_) = i==j -- 2 times match
> -- isConstants (i:j:k:_) = i==j && j==k
```

Using this tDegree function, we can safely take the (finite) Thiele sequence.

#### 2.4.3 thieleC

From the equation (3.26) of ref.1,

```
*Univariate> let h t = (3+6*t+18*t^2)%(1+2*t+20*t^2)
*Univariate> let hs = map h [0..]
*Univariate> tDegree hs
4
```

So we get the Thiele coefficients

```
*Univariate> map (a hs) [0..(tDegree hs)]
[3 % 1,(-23) % 42,(-28) % 13,767 % 14,7 % 130]
```

Plug these in the continued fraction, and simplify with Maxima

```
 \begin{array}{lll} \text{(\%i35)} & \text{h(t):=3+t/((-23/42)+(t-1)/((-28/13)+(t-2)/((767/14)+(t-3)/(7/130))));} \\ \text{(\%o35)} & \text{h(t):=t/((-23)/42+(t-1)/((-28)/13+(t-2)/(767/14+(t-3)/(7/130))))+3} \\ \text{(\%i36)} & \text{ratsimp(h(t));} \\ \text{(\%o36)} & \text{(18*t^2+6*t+3)/(1+2*t+20*t^2)} \end{array}
```

Finally we make a function thieleC that returns the Thiele coefficients:

```
> thieleC :: [Ratio Int] -> [Ratio Int]
> thieleC lst = map (a lst) [0..(tDegree lst)]

*Univariate> thieleC hs
[3 % 1,(-23) % 42,(-28) % 13,767 % 14,7 % 130]
```

We need a convertor from this Thiele sequence to continuous form of rational function.

```
> nextStep [a0,a1] (v:_) = a0 + v/a1
> nextStep (a:as) (v:vs) = a + (v / nextStep as vs)
> -- From thiele sequence to (rational) function.
> thiele2ratf :: Integral a => [Ratio a] -> (Ratio a -> Ratio a)
> thiele2ratf as x
    | x == 0 = head as
    | otherwise = nextStep as [x,x-1 ..]
The following example shows that, the given output lists hs, we can inter-
polate the value between our discrete data.
  *Univariate> let h t = (3+6*t+18*t^2)\%(1+2*t+20*t^2)
  *Univariate> let hs = map h [0..]
  *Univariate> take 5 hs
  [3 % 1,27 % 23,87 % 85,183 % 187,45 % 47]
  *Univariate> let as = thieleC hs
  *Univariate> as
  [3 % 1,(-23) % 42,(-28) % 13,767 % 14,7 % 130]
  *Univariate> let th x = thiele2ratf as x
  *Univariate> map th [0..5]
  [3 % 1,27 % 23,87 % 85,183 % 187,45 % 47,69 % 73]
  *Univariate> th 0.5
  3 % 2
2.4.4 Haskell representation for rational functions
```

We represent a rational function by a tuple of coefficient lists, like,

```
(ns,ds) :: ([Ratio Int],[Ratio Int])
                                                (2.81)
```

Here is a translator from coefficients lists to rational function.

```
> lists2ratf :: (Integral a) =>
    ([Ratio a],[Ratio a]) -> (Ratio a -> Ratio a)
> lists2ratf (ns,ds) x = (p2fct ns x)/(p2fct ds x)
  *Univariate > let frac x = lists2ratf ([1,1\%2,1\%3], [2,2\%3]) x
  *Univariate> take 10 $ map frac [0..]
  [1 % 2,11 % 16,1 % 1,11 % 8,25 % 14,71 % 32,8 % 3,25 % 8,79 % 22,65 % 16]
  *Univariate> let ffrac x = (1+(1\%2)*x+(1\%3)*x^2)/(2+(2\%3)*x)
  *Univariate> take 10 $ map ffrac [0..]
  [1 % 2,11 % 16,1 % 1,11 % 8,25 % 14,71 % 32,8 % 3,25 % 8,79 % 22,65 % 16]
```

Simply taking numerator and denominator polynomials.

The following canonicalizer reduces the tuple-rep of rational function in canonical form, i.e., the coefficient of the lowest degree term of the denominator to be  $1^4$ .

```
> canonicalize :: (Integral a) =>
    ([Ratio a], [Ratio a]) -> ([Ratio a], [Ratio a])
 canonicalize rat@(ns,ds)
    | dMin == 1 = rat
    | otherwise = (map (/dMin) ns, map (/dMin) ds)
      dMin = firstNonzero ds
      firstNonzero [a] = a -- head
      firstNonzero (a:as)
        | a /= 0 = a
        | otherwise = firstNonzero as
  *Univariate > canonicalize ([1,1%2,1%3],[2,2%3])
  ([1 % 2,1 % 4,1 % 6],[1 % 1,1 % 3])
  *Univariate> canonicalize ([1,1%2,1%3],[0,0,2,2%3])
  ([1 % 2,1 % 4,1 % 6],[0 % 1,0 % 1,1 % 1,1 % 3])
  *Univariate> canonicalize ([1,1%2,1%3],[0,0,0,2%3])
  ([3 % 2,3 % 4,1 % 2],[0 % 1,0 % 1,0 % 1,1 % 1])
```

What we need is a translator from Thiele coefficients to this tuple-rep. Since the list of Thiele coefficients is finite, we can naturally think recursively.

Before we go to a general case, consider

$$f(x) := 1 + \frac{x}{2 + \frac{x-1}{3 + \frac{x-2}{4}}}$$
 (2.82)

<sup>&</sup>lt;sup>4</sup> Here our data point start from 0, i.e., the output data is given by map f [0..], 0 is not singular, i.e., the denominator should have constant term and that means non empty. Therefore, the function firstNonzero is actually head.

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When we simplify this expression, we should start from the bottom:

$$f(x) = 1 + \frac{x}{2 + \frac{x-1}{4*3 + x - 2}}$$
 (2.83)

$$= 1 + \frac{x}{2 + \frac{x-1}{x+10}} \tag{2.84}$$

$$= 1 + \frac{x}{\frac{2*(x+10)+4*(x-1)}{x+10}}$$
 (2.85)

$$= 1 + \frac{x}{\frac{6x+16}{x+10}} \tag{2.86}$$

$$= \frac{1*(6x+16) + x*(x+10)}{6x+16}$$
 (2.87)

$$= \frac{x^2 + 16x + 16}{6x + 16} \tag{2.88}$$

Finally, if we need, we take its canonical form:

$$f(x) = \frac{1 + x + \frac{1}{16}x^2}{1 + \frac{3}{8}x}$$
 (2.89)

In general, we have the following Thiele representation:

$$a_{0} + \frac{z}{a_{1} + \frac{z - 1}{a_{2} + \frac{z - 2}{\vdots}}}$$

$$\vdots$$

$$a_{n} + \frac{z - n}{a_{n+1}}$$
(2.90)

The base case should be

$$a_n + \frac{z-n}{a_{n+1}} = \frac{a_{n+1} * a_n - n + z}{a_{n+1}}$$
 (2.91)

and induction step  $0 \le r \le n$  should be

$$a_r(z) = a_r + \frac{z - r}{a_{r+1}(z)}$$
 (2.92)

$$= \frac{a_r a_{r+1}(z) + z - r}{a_{r+1}(z)} \tag{2.93}$$

$$= \frac{a_{r+1}(z)}{a_{r+1}(z) + z - r}$$

$$= \frac{a_r * \operatorname{num}(a_{r+1}(z)) + \operatorname{den}(a_{r+1}(z)) * (z - r)}{\operatorname{num}(a_{r+1}(z))}$$
(2.93)

where

$$a_{r+1}(z) = \frac{\operatorname{num}(a_{r+1}(z))}{\operatorname{den}(a_{r+1}(z))}$$
 (2.95)

is a canonical representation of  $a_{n+1}(z)^5$ .

Thus, the implementation is the followings.

```
> thiele2coef :: (Integral a) =>
    [Ratio a] -> ([Ratio a], [Ratio a])
 thiele2coef as = canonicalize $ t2r as 0
      t2r [an,an'] n = ([an*an'-n,1],[an'])
      t2r (a:as) n = ((a .* num) + ([-n,1] * den), num)
        where
          (num, den) = t2r as (n+1)
  From the first example,
  *Univariate> let func x = (x^2+16*x+16)\%(6*x+16)
  *Univariate> let funcList = map func [0..]
  *Univariate> tDegree funcList
  *Univariate> take 5 funcList
  [1 % 1,3 % 2,13 % 7,73 % 34,12 % 5]
  *Univariate> let aFunc = thieleC funcList
  *Univariate> aFunc
  [1 % 1,2 % 1,3 % 1,4 % 1]
  *Univariate> thiele2coef aFunc
  ([1 % 1,1 % 1,1 % 16],[1 % 1,3 % 8])
```

From the other example, equation (3.26) of ref.1,

<sup>&</sup>lt;sup>5</sup> Not necessary being a canonical representation, it suffices to express  $a_{n+1}(z)$  in a polynomial over polynomial form, that is, two lists in Haskell.

```
*Univariate> let h t = (3+6*t+18*t^2)%(1+2*t+20*t^2)
*Univariate> let hs = map h [0..]
*Univariate> take 5 hs
[3 % 1,27 % 23,87 % 85,183 % 187,45 % 47]
*Univariate> let th x = thiele2ratf as x
*Univariate> map th [0..5]
[3 % 1,27 % 23,87 % 85,183 % 187,45 % 47,69 % 73]
*Univariate> as
[3 % 1,(-23) % 42,(-28) % 13,767 % 14,7 % 130]
*Univariate> thiele2coef as
([3 % 1,6 % 1,18 % 1],[1 % 1,2 % 1,20 % 1])
```

#### 2.4.5 lists2rat: from output lists to canonical coefficients

Finally, we get

```
> lists2rat :: (Integral a) => [Ratio a] -> ([Ratio a], [Ratio a])
> lists2rat = thiele2Coef . thieleC

as the reconstruction function from the output sequence.

*Univariate> let h t = (3+6*t+18*t^2)%(1+2*t+20*t^2)
*Univariate> lists2rat $ map h [0..]
([3 % 1,6 % 1,18 % 1],[1 % 1,2 % 1,20 % 1])
```

# 2.5 Multivariate polynomials

From now on, we will use only the following functions from univariate cases.

Multivariate.lhs

```
> module Multivariate
> where

> import Data.Ratio
> import Univariate
> ( degree, list2pol
> , thiele2ratf, lists2ratf, thiele2coef, lists2rat
> )
```

#### 2.5.1 Foldings as recursive applications

Consider an arbitrary multivariate polynomial

$$f(z_1, \cdots, z_n) \in \mathbb{K}[z_1, \cdots, z_n]. \tag{2.96}$$

First, fix all the variable but 1st and apply the univariate Newton's reconstruction:

$$f(z_1, z_2, \dots, z_n) = \sum_{r=0}^{R} a_r(z_2, \dots, z_n) \prod_{i=0}^{r-1} (z_1 - y_i)$$
 (2.97)

Recursively, pick up one "coefficient" and apply the univariate Newton's reconstruction on  $z_2$ :

$$a_r(z_2, \dots, z_n) = \sum_{s=0}^{S} b_s(z_3, \dots, z_n) \prod_{j=0}^{s-1} (z_2 - x_j)$$
 (2.98)

The terminate condition should be the univariate case.

#### 2.5.2 Experiments, 2 variables case

Let us take a polynomial from the denominator in eq.(3.23) of ref.1.

$$f(z_1, z_2) = 3 + 2z_1 + 4z_2 + 7z_1^2 + 5z_1z_2 + 6z_2^2$$
(2.99)

In Haskell, first, fix  $z_2 = 0, 1, 2$  and identify  $f(z_1, 0), f(z_1, 1), f(z_1, 2)$  as our univariate polynomials.

- \*Multivariate> let f z1 z2 =  $3+2*z1+4*z2+7*z1^2+5*z1*z2+6*z2^2$
- \*Multivariate> let fs z = map ('f' z) [0..]
- \*Multivariate> let llst = map fs [0,1,2]
- \*Multivariate> map degree llst

[2,2,2]

Fine, so the canonical form can be

$$f(z_1, z) = c_0(z) + c_1(z)z_1 + c_2(z)z_1^2.$$
(2.100)

Now our new target is three univariate polynomials  $c_0(z)$ ,  $c_1(z)$ ,  $c_2(z)$ .

\*Multivariate> list2pol \$ take 10 \$ fs 0

[3 % 1,2 % 1,7 % 1]

\*Multivariate> list2pol \$ take 10 \$ fs 1

[13 % 1,7 % 1,7 % 1]

\*Multivariate> list2pol \$ take 10 \$ fs 2

[35 % 1,12 % 1,7 % 1]

That is

$$f(z,0) = 3 + 2z + 7z^2 (2.101)$$

$$f(z,1) = 13 + 7z + 7z^2 (2.102)$$

$$f(z,2) = 35 + 12z + 7z^2. (2.103)$$

From these observation, we can determine  $c_2(z)$ , since it already a constant sequence.

$$c_2(z) = 7 (2.104)$$

Consider  $c_1(z)$ , the sequence is now enough to determine  $c_1(z)$ :

\*Multivariate> degree [2,7,12]

1

\*Multivariate> list2pol [2,7,12]

[2 % 1,5 % 1]

i.e.,

$$c_1(z) = 2 + 5z. (2.105)$$

However, for  $c_1(z)$ 

\*Multivariate> degree [3, 13, 35]

\*\*\* Exception: difLists: lack of data, or not a polynomial CallStack (from HasCallStack):

error, called at ./Univariate.lhs:61:19 in main:Univariate

so we need more numbers. Let us try one more:

\*Multivariate> list2pol \$ take 10 \$ map ('f' 3) [0..] [69 % 1,17 % 1,7 % 1] \*Multivariate> degree [3, 13, 35, 69] 2 \*Multivariate> list2pol [3,13,35,69]

Thus we have

[3 % 1,4 % 1,6 % 1]

$$c_0(z) = 3 + 4z + 6z^2 (2.106)$$

and these fully determine our polynomial:

$$f(z_1, z_2) = (3 + 4z_2 + 6z_2^2) + (2 + 5z_2)z_1 + 7z_1^2.$$
 (2.107)

As another experiment, take the denominator.

```
*Multivariate> let g x y = 1+7*x + 8*y + 10*x^2 + x*y+9*y^2 *Multivariate> let gs x = map (g x) [0..] *Multivariate> map degree $ map gs [0..3] [2,2,2,2]
```

So the canonical form should be

$$g(x,y) = c_0(x) + c_1(x)y + c_2(x)y^2$$
(2.108)

Let us look at these coefficient polynomial:

```
*Multivariate> list2pol $ take 10 $ gs 0 [1 % 1,8 % 1,9 % 1]

*Multivariate> list2pol $ take 10 $ gs 1 [18 % 1,9 % 1,9 % 1]

*Multivariate> list2pol $ take 10 $ gs 2 [55 % 1,10 % 1,9 % 1]

*Multivariate> list2pol $ take 10 $ gs 3 [112 % 1,11 % 1,9 % 1]
```

So we get

$$c_2(x) = 9 (2.109)$$

and

```
*Multivariate> map (list2pol . (take 10) . gs) [0..4]

[[1 % 1,8 % 1,9 % 1]
,[18 % 1,9 % 1,9 % 1]
,[55 % 1,10 % 1,9 % 1]
,[112 % 1,11 % 1,9 % 1]
,[189 % 1,12 % 1,9 % 1]
]

*Multivariate> map head it
[1 % 1,18 % 1,55 % 1,112 % 1,189 % 1]

*Multivariate> list2pol it
[1 % 1,7 % 1,10 % 1]

*Multivariate> list2pol $ map (head . list2pol . (take 10) . gs) [0..4]
[1 % 1,7 % 1,10 % 1]
```

Using index operator (!!),

\*Multivariate> list2pol \$ map ((!! 0) . list2pol . (take 10) . gs) [0..4] [1 % 1,7 % 1,10 % 1] \*Multivariate> list2pol \$ map ((!! 1) . list2pol . (take 10) . gs) [0..4] [8 % 1,1 % 1]

\*Multivariate> list2pol \$ map ((!! 2) . list2pol . (take 10) . gs) [0..4] [9 % 1]

Finally we get

$$c_0(x) = 1 + 7x + 10x^2, c_1(x) = 8 + x, (c_2(x) = 9,)$$
 (2.110)

and

$$g(x,y) = (1+7x+10x^2) + (8+x)y + 9y^2$$
(2.111)

### 2.6 Multivariate rational functions

#### 2.6.1 The canonical normalization

Our target is a pair of coefficients  $(\{n_{\alpha}\}_{\alpha}, \{d_{\beta}\}_{\beta})$  in

$$\frac{\sum_{\alpha} n_{\alpha} z^{\alpha}}{\sum_{\beta} d_{\beta} z^{\beta}} \tag{2.112}$$

A canonical choice is

$$d_0 = d_{(0,\dots,0)} = 1. (2.113)$$

Accidentally we might face  $d_0 = 0$ , but we can shift our function and make

$$d_0' = d_s \neq 0. (2.114)$$

#### 2.6.2 An auxiliary t

Introducing an auxiliary variable t, let us define

$$h(z,t) := f(tz_1, \cdots, tz_n),$$
 (2.115)

and reconstruct h(t, z) as a univariate rational function of t:

$$h(z,t) = \frac{\sum_{r=0}^{R} p_r(z)t^r}{1 + \sum_{r'=1}^{R'} q_{r'}(z)t^{r'}}$$
(2.116)

where

$$p_r(z) = \sum_{|\alpha|=r} n_{\alpha} z^{\alpha} \tag{2.117}$$

$$p_r(z) = \sum_{|\alpha|=r} n_{\alpha} z^{\alpha}$$

$$q_{r'}(z) = \sum_{|\beta|=r'} n_{\beta} z^{\beta}$$

$$(2.117)$$

are homogeneous polynomials.

Thus, what we shall do is the (homogeneous) polynomial reconstructions of  $p_r(z)|_{0 \le r \le R}$ ,  $q_{r'}|_{1 \le r' \le R'}$ .

#### A simplification

Since our new targets are homogeneous polynomials, we can consider, say,

$$p_r(1, z_2, \cdots, z_n) \tag{2.119}$$

instead of  $p_r(z_1, z_2, \dots, z_n)$ , reconstruct it using multivariate Newton's method, and homogenize with  $z_1$ .

#### 2.6.3 Experiments, 2 variables case

Consider the equation (3.23) in ref.1.

```
*Multivariate> let f x y = (3+2*x+4*y+7*x^2+5*x*y+6*y^2)
                            \% (1+7*x+8*y+10*x^2+x*y+9*y^2)
*Multivariate> :t f
f :: Integral a => a -> a -> Ratio a
*Multivariate> let h x y t = f (t*x) (t*y)
*Multivariate> let hs x y = map (h x y) [0...]
*Multivariate> take 5 $ hs 0 0
[3 % 1,3 % 1,3 % 1,3 % 1,3 % 1]
*Multivariate> take 5 $ hs 0 1
[3 % 1,13 % 18,35 % 53,69 % 106,115 % 177]
*Multivariate> take 5 $ hs 1 0
[3 % 1,2 % 3,7 % 11,9 % 14,41 % 63]
*Multivariate> take 5 $ hs 1 1
[3 % 1,3 % 4,29 % 37,183 % 226,105 % 127]
```

Here we have introduced the auxiliary t as third argument.

We take (x,y) = (1,0), (1,1), (1,2), (1,3) and reconstruct them<sup>6</sup>.

<sup>&</sup>lt;sup>6</sup>Eq.(3.26) in ref.1 is different from our reconstruction.

```
*Multivariate> lists2rat $ hs 1 0
([3 % 1,2 % 1,7 % 1],[1 % 1,7 % 1,10 % 1])
*Multivariate> lists2rat $ hs 1 1
([3 % 1,6 % 1,18 % 1],[1 % 1,15 % 1,20 % 1])
*Multivariate> lists2rat $ hs 1 2
([3 % 1,10 % 1,41 % 1],[1 % 1,23 % 1,48 % 1])
*Multivariate> lists2rat $ hs 1 3
([3 % 1,14 % 1,76 % 1],[1 % 1,31 % 1,94 % 1])
```

So we have

$$h(1,0,t) = \frac{3+2t+7t^2}{1+7t+10t^2}$$
 (2.120)

$$h(1,1,t) = \frac{3+6t+18t^2}{1+15t+20t^2}$$
 (2.121)

$$h(1,2,t) = \frac{3+10t+41t^2}{1+23t+48t^2}$$
 (2.122)

$$h(1,0,t) = \frac{3+2t+7t^2}{1+7t+10t^2}$$

$$h(1,1,t) = \frac{3+6t+18t^2}{1+15t+20t^2}$$

$$h(1,2,t) = \frac{3+10t+41t^2}{1+23t+48t^2}$$

$$h(1,3,t) = \frac{3+14t+76t^2}{1+31t+94t^2}$$
(2.120)

Our next targets are the coefficients as polynomials in  $y^7$ .

Let us consider numerator first. This list is Haskell representation for eq.(2.120), eq.(2.121), eq.(2.122) and eq.(2.123).

```
*Multivariate> let list = map (lists2rat . (hs 1)) [0..4]
*Multivariate> let numf = map fst list
*Multivariate> list
[([3 % 1,2 % 1,7 % 1],[1 % 1,7 % 1,10 % 1])
,([3 % 1,6 % 1,18 % 1],[1 % 1,15 % 1,20 % 1])
,([3 % 1,10 % 1,41 % 1],[1 % 1,23 % 1,48 % 1])
,([3 % 1,14 % 1,76 % 1],[1 % 1,31 % 1,94 % 1])
,([3 % 1,18 % 1,123 % 1],[1 % 1,39 % 1,158 % 1])
*Multivariate> numf
[[3 % 1,2 % 1,7 % 1]
,[3 % 1,6 % 1,18 % 1]
,[3 % 1,10 % 1,41 % 1]
,[3 % 1,14 % 1,76 % 1]
,[3 % 1,18 % 1,123 % 1]
```

 $<sup>^{7}</sup>$  In our example, we take x=1 fixed and reproduce x-dependence using homogenization

From this information, we reconstruct each polynomials

```
*Multivariate> list2pol $ map head numf
[3 % 1]

*Multivariate> list2pol $ map (head . tail) numf
[2 % 1,4 % 1]

*Multivariate> list2pol $ map last numf
[7 % 1,5 % 1,6 % 1]
```

that is we have  $3, 2 + 4y, 7 + 5y + 6y^2$  as results. Similarly,

```
*Multivariate> let denf = map snd list

*Multivariate> denf

[[1 % 1,7 % 1,10 % 1]
,[1 % 1,15 % 1,20 % 1]
,[1 % 1,23 % 1,48 % 1]
,[1 % 1,31 % 1,94 % 1]
,[1 % 1,39 % 1,158 % 1]
]

*Multivariate> list2pol $ map head denf
[1 % 1]

*Multivariate> list2pol $ map (head . tail) denf
[7 % 1,8 % 1]

*Multivariate> list2pol $ map last denf
[10 % 1,1 % 1,9 % 1]
```

So we get

$$h(1,y,t) = \frac{3 + (2+4y)t + (7+5y+6y^2)t^2}{1 + (7+8y)t + (10+y+9y^2)t^2}$$
(2.124)

Finally, we use the homogeneous property for each powers:

$$h(x,y,t) = \frac{3 + (2x + 4y)t + (7x^2 + 5xy + 6y^2)t^2}{1 + (7x + 8y)t + (10x^2 + xy + 9y^2)t^2}$$
(2.125)

Putting t = 1, we get

$$f(x,y) = h(x,y,1)$$

$$= \frac{3 + (2x + 4y) + (7x^2 + 5xy + 6y^2)}{1 + (7x + 8y) + (10x^2 + xy + 9y^2)}$$
(2.126)
$$(2.127)$$

# Chapter 3

TBA Functional reconstruction over finite fields

## 62CHAPTER 3. TBA FUNCTIONAL RECONSTRUCTION OVER FINITE FIELDS

# Chapter 4

# Codes

#### 4.1 Ffield.lhs

Listing 4.1: Ffield.lhs

```
1 Ffield.lhs
3 https://arxiv.org/pdf/1608.01902.pdf
5 > module Ffield where
7 > import Data.Ratio
8 > import Data.Maybe
9 > import Data.Numbers.Primes
10
11 > coprime :: Integral a => a -> a -> Bool
12 > coprime a b = gcd a b == 1
14 Consider a finite ring
15
     Z_n := [0..(n-1)]
16
17 > haveInverse :: Integral a => a -> [Bool]
18 > \text{haveInverse n = map (coprime n) } [0..(n-1)]
19
20
     *Ffield> haveInverse 8
21
     [False, True, False, True, False, True, False, True]
     *Ffield> zip [0..] $ haveInverse 8
23
     [(0,False),(1,True),(2,False),(3,True),(4,False),(5,
        True),(6,False),(7,True)]
24
```

```
25 If any non-zero element has its multiplication inverse,
      then the ring is a field:
26
27 > isField' :: Integral a => a -> Bool
28 > isField' n = and $ tail $ haveInverse n
29
30\, Or more efficiently,
31
32 > isField :: Integral a => a -> Bool
33 > isField = isPrime
34
35
     zip [2..] $ map isField [2..13]
36
     [(2, True), (3, True), (4, False), (5, True), (6, False), (7, True
        ),(8,False),(9,False),(10,False),(11,True),(12,
        False),(13,True)]
37
38 Here we would like to implement the extended Euclidean
      algorithm.
39
  See the algorithm, examples, and pseudo code at:
40
41
     https://en.wikipedia.org/wiki/
        Extended_Euclidean_algorithm
42
43\, I've asked at Qiita and get some solutions:
44
45
     http://qiita.com/bra_cat_ket/items/205c19611e21f3d422b7
46
47 > exGCD' :: (Integral n) => n -> n -> ([n], [n], [n], [n
      ])
48 > exGCD, a b = (qs, rs, ss, ts)
49 >
       where
50 >
         qs = zipWith quot rs (tail rs)
51 >
         rs = takeUntil (==0) r'
52 >
         r' = steps a b
53 >
         ss = steps 1 0
54 >
         ts = steps 0 1
55 >
         steps a b = rr
56 >
           where
57 >
             rr@(_:rs) = a:b: zipWith (-) rr (zipWith (*) qs
       rs)
58 >
59 > takeUntil :: (a -> Bool) -> [a] -> [a]
60 > takeUntil p = foldr func []
61 >
       where
62 >
         func x xs
```

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```
63 >
            | p x = []
64 >
            | otherwise = x : xs
65
66 This example is from wikipedia:
67
      *Ffield> exGCD' 240 46
68
69
      ([5,4,1,1,2],[240,46,10,6,4,2],[1,0,1,-4,5,-9,23],[0,1,-5,21,-26,47,-120])
70
      *Ffield> gcd 240 46
71
72
      *Ffield> 240*(-9) + 46*(47)
73
74
75 > -- a*x + b*y = gcd a b
76 > exGcd :: Integral t => t -> t -> (t, t, t)
77 > exGcd a b = (g, x, y)
78 >
        where
79 >
          (\_,r,s,t) = exGCD, a b
80 >
          g = last r
81 >
          x = last . init $ s
82 >
          y = last . init $ t
83
84
      *Ffield> exGcd 46 240
      (2,47,-9)
85
86
      *Ffield> 46*47 + 240*(-9)
87
88
      *Ffield> gcd 46 240
89
90
91 Example Z_{11}
93
      *Ffield> isField 11
94
95
      *Ffield> map (exGcd 11) [0..10]
96
      [(11,1,0),(1,0,1),(1,1,-5),(1,-1,4),(1,-1,3)
      ,(1,1,-2),(1,-1,2),(1,2,-3),(1,3,-4),(1,-4,5),(1,1,-1)
97
98
99
100
      *Ffield> map (('mod' 11) . (\(_,_,x)->x) . exGcd 11)
         [1..10]
101
      [1,6,4,3,9,2,8,7,5,10]
102
      *Ffield> zip [1..10] it
103
      [(1,1),(2,6),(3,4),(4,3),(5,9),(6,2),(7,8),(8,7),(9,5)
          ,(10,10)]
104
```

```
105 > inverses :: Integral a => a -> Maybe [(a,a)]
106 > inverses n
107 >
       | isPrime n = Just lst -- isPrime n
108 >
        | otherwise = Nothing
109 >
        where
          lst' = map (('mod' n) . (\(\(\(\_,\_,c\)\)->c) . exGcd n)
110 >
       [1..(n-1)]
111 >
          lst = zip [1..] lst'
112 >
113 > inversep :: Integral a => a -> a -> Maybe a
114 > inversep p a = let (\_,x,y) = exGcd p a in
        if isPrime p then Just (y 'mod' p)
116 >
                      else Nothing
117
118
      map (inversep 10007) [1..10006]
119
      (1.74 secs, 771,586,416 bytes)
120
121 A map from Q to Z_p.
122
123 > -- p should be prime.
124 > modp :: Integral a => Ratio a -> a -> a
125 > q 'modp' p = (a * (bi 'mod' p)) 'mod' p
126 >
127 >
          (a,b) = (numerator q, denominator q)
128 >
          bi = fromJust $ inversep p b
129
130 Example: on Z_{11}
131 Consider (3 \% 7).
132
      *Ffield Data.Ratio> let q = 3 \% 7
133
134
      *Ffield Data.Ratio > 3 'mod' 11
135
136
      *Ffield Data.Ratio > 7 'mod' 11
137
138
      *Ffield Data.Ratio> inverses 11
139
      Just [(1,1),(2,6),(3,4),(4,3),(5,9),(6,2),(7,8),(8,7)]
          ,(9,5),(10,10)]
      *Ffield Data.Ratio> 7*8 == 11*5+1
140
141
      True
142
143 On Z_{11}, (7^{-1}) 'mod' 11) is equal to (8 'mod' 11) and
144
      (3\%7) /-> (3 * (7^{-1}) 'mod' 11) 'mod' 11)
145
                 == (3*8 'mod' 11)
146
                 == 2 ' mod 11
147
```

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```
148
      *Ffield Data.Ratio > modp q 11
149
150
   Example: on Z_{-}\{5\}
151
152
      *Ffield Data.Ratio > 3 'mod' 5
153
154
      *Ffield Data.Ratio > 7 'mod' 5
155
156
      *Ffield Data.Ratio> inverses 5
157
      Just [(1,1),(2,3),(3,2),(4,4)]
158
      *Ffield Data.Ratio> modp q 5
159
160
161 Reconstruction Z_p \rightarrow Q
162
      *Ffield > let q = (1\%3)
163
      *Ffield> take 3 $ dropWhile (<100) primes
164
      [101,103,107]
165
      *Ffield > q 'modp' 101
166
      *Ffield > let rec x = exGCD' (q 'modp' x) x
167
168
      *Ffield> rec 101
169
      ([0,2,1,33],[34,101,34,33,1],[1,0,1,-2,3,-101],[0,1,0,1,-1,34])
      *Ffield> rec 103
170
171
      ([0,1,2,34],[69,103,69,34,1],[1,0,1,-1,3,-103],[0,1,0,1,-2,69])
172
      *Ffield> rec 107
      ([0,2,1,35],[36,107,36,35,1],[1,0,1,-2,3,-107],[0,1,0,1,-1,36])
173
174
175 > guess :: Integral t =>
176 >
                (t, t)
                               -- (q 'modp' p, p)
177 >
             \rightarrow (Ratio t, t)
178 > guess (a, p) = let (\_, rs, ss,\_) = exGCD, a p in
179 >
         (select rs ss p, p)
180 >
           where
181 >
             select :: Integral t \Rightarrow [t] \rightarrow [t] \rightarrow t \rightarrow Ratio
182 >
             select [] _ = 0%1
183 >
             select (r:rs) (s:ss) p
184 >
               / s /= 0 & v^2 <= p & v^2 <= p = r/s
185 >
               / otherwise = select rs ss p
186 >
187 > -- Hard code of big primes.
188 > bigPrimes :: [Int]
```

```
189 > bigPrimes = dropWhile (< 897473) $ takeWhile (< 978948)
        primes
190 >
191 > matches3 :: Eq a => [a] -> a
192 > matches3 (a:bb@(b:c:cs))
193 > / a == b \&\& b == c = a
194 >
        / otherwise
                       = matches3 bb
195
196 What we know is a list of (q 'modp' p) and prime p.
197
198
     *Ffield > let q = 10\%19
199
      *Ffield> let knownData = zip (map (modp q) bigPrimes)
         bigPrimes
200
      *Ffield> matches3 $ map (fst . guess) knownData
201
      10 % 19
202
203 > reconstruct :: Integral a =>
204 >
                      [(a, a)] -- :: [(Z_p, primes)]
205 >
                   \rightarrow Ratio a
206 > reconstruct aps = matches3 $ map (fst . guess) aps
207
208 Here is a naive test:
209
      > let qs = [1 % 3, 10 % 19, 41 % 17, 30 % 311, 311 % 32
                  ,869 % 232, 778 % 123, 331 % 739
210
211
212
      > let func q = zip (map (modp q) bigPrimes) bigPrimes
213
      > let longList = map func qs
      > map reconstruct longList
214
215
      [1 % 3,10 % 19,41 % 17,30 % 311,311 % 32
216
      ,869 % 232,778 % 123,331 % 739
217
      7
218
      > it == qs
219
      True
220
221 > matches3' :: Eq a => [(a, t)] -> (a, t)
222 > matches3' (a0@(a,_):bb@((b,_):(c,_):cs))
223 > / a == b \&\& b == c = a0
224 > / otherwise
                           = matches3 ' bb
225
226
      *Ffield > let q = (331\%739)
227
      (0.01 secs, 48,472 bytes)
228
      *Ffield > let knownData = zip (map (modp q) primes)
         primes
229
      (0.02 secs, 39,976 bytes)
230
      *Ffield > \ matches 3 \ ' \ \$ \ map \ guess \ known Data
```

```
231 (331 % 739,614693)
232 (19.92 secs, 12,290,852,136 bytes)
```

## 4.2 Polynomials.hs

Listing 4.2: Polynomials.hs

```
1 -- Polynomials.hs
2 -- http://homepages.cwi.nl/~jve/rcrh/Polynomials.hs
4 module Polynomials where
  default (Integer, Rational, Double)
  -- scalar multiplication
8
9 infix1 7 .*
  (.*) :: Num a => a -> [a] -> [a]
11 c .* []
               = []
12 c .* (f:fs) = c*f : c .* fs
13
14 z :: Num a => [a]
15 z = [0,1]
16
17
  -- polynomials, as coefficients lists
18 instance (Num a, Ord a) => Num [a] where
     fromInteger c = [fromInteger c]
19
20
     -- operator overloading
21
     negate []
                   = []
     negate (f:fs) = (negate f) : (negate fs)
23
24
     signum [] = []
25
     signum gs
26
      | signum (last gs) < (fromInteger 0) = negate z
27
       | otherwise = z
28
29
     abs [] = []
30
     abs gs
31
       | signum gs == z = gs
32
       | otherwise
                      = negate gs
33
34
     fs
            + []
                     = fs
35
            + gs
                      = gs
36
     (f:fs) + (g:gs) = f+g : fs+gs
37
            * [] = []
38
     fs
```

```
[] * gs
                     = []
     (f:fs) * gg@(g:gs) = f*g : (f .* gs + fs * gg)
40
41
42 delta :: (Num a, Ord a) \Rightarrow [a] \Rightarrow [a]
43 \text{ delta} = ([1,-1] *)
44
45 shift :: [a] -> [a]
46 shift = tail
47
48 p2fct :: Num a => [a] -> a -> a
49 \text{ p2fct } [] x = 0
50 p2fct (a:as) x = a + (x * p2fct as x)
52 comp :: (Eq a, Num a, Ord a) => [a] -> [a] -> [a]
53 comp _ [] = error ".."
54 \text{ comp} []
                       = []
55 comp (f:fs) g0@(0:gs) = f : gs * (comp fs g0)
56 \text{ comp } (f:fs) gg@(g:gs) = ([f] + [g] * (comp fs gg))
57
                          + (0 : gs * (comp fs gg))
58
59 deriv :: Num a => [a] -> [a]
60 \text{ deriv} [] = []
61 deriv (f:fs) = deriv1 fs 1
62
     where
63
        deriv1 [] _ = []
64
       deriv1 (g:gs) n = n*g : deriv1 gs (n+1)
```

#### 4.3 Univariate.lhs

Listing 4.3: Univariate.lhs

```
1 Univariate.lhs
2
3 > module Univariate where
4 > import Data.Ratio
5 > import Polynomials
6
7 From the output list
8    map f [0..]
9 of a polynomial
10    f :: Int -> Ratio Int
11 we reconstrunct the canonical form of f.
12
13 > -- difference analysis
14 > difs :: (Num a) => [a] -> [a]
```

```
15 > difs [] = []
16 > difs [_] = []
17 > difs (i:jj@(j:js)) = j-i : difs jj
18 >
19 > difLists :: (Eq a, Num a) => [[a]] -> [[a]]
20 > difLists [] = []
21 > difLists xx@(xs:xss) =
       if isConst xs then xx
23 >
                     else difLists $ difs xs : xx
24 >
      where
25 >
         isConst (i:jj@(j:js)) = all (==i) jj
         isConst _ = error "difLists: ulack uof udata, uor unot ua
      □polynomial"
27 >
28 > -- This degree function is "strict", so only take
      finite list.
29 > degree' :: (Eq a, Num a) => [a] -> Int
30 > degree' xs = length (difLists [xs]) -1
31 >
32 > -- This degree function can compute the degree of
      infinite list.
33 > degreeLazy :: (Eq a, Num a) => [a] -> Int
34 > degreeLazy xs = helper xs 0
35 >
       where
36 >
         helper as@(a:b:c:_) n
37 >
          | a==b \&\& b==c = n
38 >
                       = helper (difs as) (n+1)
           | otherwise
40 > -- This is a hyblid version, safe and lazy.
41 > degree :: (Num a, Eq a) => [a] -> Int
42 > degree xs = let 1 = degreeLazy xs in
43 >
       degree' $ take (1+2) xs
44
45 Newton interpolation formula
46 First we introduce a new infix symbol for the operation
      of taking a falling power.
47
48 > infixr 8 ^- -- falling power
49 > (^-) :: (Eq a, Num a) => a -> a -> a
50 > x ^- 0 = 1
51 > x - n = (x - (n-1)) * (x - n + 1)
53 Claim (Newton interpolation formula)
54\, A polynomial f of degree n is expressed as
     f(z) = \sum_{k=0}^n (diff^n(f)(0)/k!) * (x ^- n)
```

```
56 where diff<sup>n</sup>(f) is the n-th difference of f.
57
58 Example
59 Consider a polynomial f = 2*x^3+3*x.
60
61 In general, we have no prior knowledge of this form, but
      we know the sequences as a list of outputs:
62
     Univariate > let f x = 2*x^3+3*x
63
64
     Univariate > take 10 $ map f [0..]
     [0,5,22,63,140,265,450,707,1048,1485]
65
     Univariate > degree $ take 10 $ map f [0..]
66
67
     3
68
69 Let us try to get differences:
70
71
     Univariate > difs $ take 10 $ map f [0..]
72
     [5,17,41,77,125,185,257,341,437]
73
     Univariate > difs it
74
     [12,24,36,48,60,72,84,96]
75
     Univariate > difs it
76
     [12,12,12,12,12,12,12]
77
78 Or more simply take difLists:
79
80
     Univariate > difLists [take 10 $ map f [0..]]
     [[12,12,12,12,12,12,12]]
81
82
     ,[12,24,36,48,60,72,84,96]
     ,[5,17,41,77,125,185,257,341,437]
84
     ,[0,5,22,63,140,265,450,707,1048,1485]
85
86
87 What we need is the heads of above lists.
88
89
     Univariate > map head it
90
     [12,12,5,0]
91
92 Newton interpolation formula gives
     f' x = 0*(x^-0) 'div' (0!) + 5*(x^-1) 'div' (1!) +
93
        12*(x^-2) 'div' (2!) + 12*(x^-3) 'div' (3!)
          = 5*(x^-1) + 6*(x^-2) + 2*(x^-3)
94
95 So
96
97
     Univariate > let f x = 2*x^3+3*x
98
     Univariate > let f' x = 5*(x^-1) + 6*(x^-2) + 2*(x^-1)
```

```
^- 3)
99
      Univariate > take 10 $ map f [0..]
100
      [0,5,22,63,140,265,450,707,1048,1485]
101
      Univariate > take 10 $ map f' [0..]
102
      [0,5,22,63,140,265,450,707,1048,1485]
103
104\, Assume the differences are given in a list
105
      [x_0, x_1 ..]
106 where x_i = diff^k(f)(0).
107 Then the implementation of the Newton interpolation
       formula is as follows:
108
109 > \text{newtonC} :: (Fractional t, Enum t) => [t] -> [t]
110 > newtonC xs = [x / factorial k | (x,k) <- zip xs [0..]]
111 >
        where
112 >
          factorial k = product [1..fromInteger k]
113
114
      Univariate > let f x = 2*x^3+3*x
115
      Univariate > take 10 $ map f [0..]
116
      [0,5,22,63,140,265,450,707,1048,1485]
117
      Univariate > difLists [it]
118
      [[12,12,12,12,12,12,12]]
119
      ,[12,24,36,48,60,72,84,96]
120
      ,[5,17,41,77,125,185,257,341,437]
121
      ,[0,5,22,63,140,265,450,707,1048,1485]
122
123
      Univariate > reverse $ map head it
124
      [0,5,12,12]
125
      Univariate > newtonC it
126
      [0 % 1,5 % 1,6 % 1,2 % 1]
127
128 The list of first differences can be computed as follows:
129
130 > firstDifs :: (Eq a, Num a) => [a] -> [a]
131 > firstDifs xs = reverse $ map head $ difLists [xs]
132
133 Mapping a list of integers to a Newton representation:
134
135 > -- This implementation can take infinite list.
136 > list2npol :: (Integral a) => [Ratio a] -> [Ratio a]
137 > list2npol xs = newtonC . firstDifs $ take n xs
138 >
        where n = (degree xs) + 2
139
      *Univariate > let f x = 2*x^3 + 3*x + 1\%5
140
141
      *Univariate > take 10 $ map f [0..]
```

```
142
      [1 % 5,26 % 5,111 % 5,316 % 5,701 % 5,1326 % 5,2251 %
         5,3536 % 5,5241 % 5,7426 % 5]
143
      *Univariate > list2npol it
144
      [1 % 5,5 % 1,6 % 1,2 % 1]
145
      *Univariate > list2npol $ map f [0..]
146
      [1 % 5,5 % 1,6 % 1,2 % 1]
147
148\, We need to map Newton falling powers to standard powers.
149 This is a matter of applying combinatorics, by means of a
        convention formula that uses the so-called Stirling
       cyclic numbers (of the first kind.)
150 Its defining relation is
151
      (x ^- n) = \sum_{k=1}^n (stirlingC n k) * (-1)^(n-k) *
         x^k.
152 The key equation is
153
     (x ^- n) = (x ^- (n-1)) * (x-n+1)
               = x*(x^- (n-1)) - (n-1)*(x^- (n-1))
154
155
156 Therefore, an implementation is as follows:
157
158 > stirlingC :: (Integral a) => a -> a -> a
159 > stirlingC 0 0 = 1
160 > stirlingC 0 = 0
161 > stirlingC n k = stirlingC (n-1) (k-1) + (n-1)*stirlingC
        (n-1) k
162
163 This definition can be used to convert from falling
       powers to standard powers.
164
165 > fall2pol :: (Integral a) => a -> [a]
166 > fall2pol 0 = [1]
167 > fall2pol n = 0
                       -- No constant term.
168 >
                 : [(-1)^{(n-k)} * stirlingC n k | k < -[1..n]]
169
170 We use this to convert Newton representations to standard
        polynomials in coefficients list representation.
171 Here we have uses sum to collect same order terms in list
        representation.
172
173 > -- For later convenience, we relax the type annotation.
174 > -- npol2pol :: (Integral a) => [Ratio a] -> [Ratio a]
175 > \text{npol2pol} :: (Ord t, Num t) => [t] -> [t]
176 > npol2pol xs = sum [ [x] * map fromInteger (fall2pol k)
177 >
                        | (x,k) < -zip xs [0..]
178 >
                        ]
```

```
179
180 Finally, here is the function for computing a polynomial
       from an output sequence:
181
182 > list2pol :: (Integral a) => [Ratio a] -> [Ratio a]
183 > list2pol = npol2pol . list2npol
184
185 Reconstruction as curve fitting
      *Univariate > let f x = 2*x^3 + 3*x + 1\%5
186
187
      *Univariate > take 10 $ map f [0..]
188
      [1 % 5,26 % 5,111 % 5,316 % 5,701 % 5,1326 % 5,2251 %
         5,3536 % 5,5241 % 5,7426 % 5]
189
      *Univariate > list2npol it
190
      [1 % 5,5 % 1,6 % 1,2 % 1]
191
      *Univariate > list2npol $ map f [0..]
192
      [1 % 5,5 % 1,6 % 1,2 % 1]
193
      *Univariate > list2pol $ map (n \rightarrow 1\%3 + (3\%5)*n +
          (5\%7)*n^2 [0..]
194
      [1 % 3,3 % 5,5 % 7]
195
      *Univariate > list2pol [0,1,5,14,30,55]
196
      [0 % 1,1 % 6,1 % 2,1 % 3]
197
      *Univariate > map (p2fct $ list2pol [0,1,5,14,30,55])
      [0 % 1,1 % 1,5 % 1,14 % 1,30 % 1,55 % 1,91 % 1]
198
199
200
201
202 Thiele's interpolation formula
203 https://rosettacode.org/wiki/Thiele%27
       s_interpolation_formula#Haskell
204 http://mathworld.wolfram.com/ThielesInterpolationFormula.
       html
205
206 reciprocal difference
207 Using the same notation of
208 https://rosettacode.org/wiki/Thiele%27
       s_interpolation_formula#C
209
210 > rho :: (Integral a) =>
211 >
              [Ratio a] -- A list of output of f :: a \rightarrow Ratio
212 >
          -> a -> Int -> Ratio a
213 > rho fs 0 i = fs !! i
214 > rho fs n _
215 > | n < 0 = 0
```

```
216 > \text{rho fs n i} = (n*den)%num + \text{rho fs } (n-2) (i+1)
217 >
        where
218 >
          num = numerator next
219 >
          den = denominator next
220 >
          next = rho fs (n-1) (i+1) - rho fs (n-1) i
221
222 Note that (%) has the following type,
      (%) :: Integral a => a -> a -> Ratio a
224
225 > a :: (Integral a) => [Ratio a] -> a -> Ratio a
226 > a fs 0 = head fs
227 > a fs n = rho fs n 0 - rho fs (n-2) 0
228
229 Consider the following continuous fraction form.
230
      (\%i25) f(x) := 1+(x/(2+(x-1)/(3+(x-2)/4)));
231
      (\% \circ 25) f(x):=x/(2+(x-1)/(3+(x-2)/4))+1
232
      (%i26) ratsimp(f(x));
233
      (\%o26) (x^2+16*x+16)/(16+6*x)
234
235
      *Univariate > map (a fs) [0..]
236
      [1 % 1,2 % 1,3 % 1,4 % 1,*** Exception: Ratio has zero
         denominator
237
      *Univariate > let func x = (x^2 + 16*x + 16)\%(6*x + 16)
238
239
      *Univariate > let fs = map func [0..]
240
      *Univariate > take 5 $ map (rho fs 0) [0..]
241
      [1 % 1,3 % 2,13 % 7,73 % 34,12 % 5]
242
      *Univariate > take 5 $ map (rho fs 1) [0..]
243
      [2 % 1,14 % 5,238 % 69,170 % 43,230 % 53]
244
      *Univariate > take 5 $ map (rho fs 2) [0..]
      [4 % 1,79 % 16,269 % 44,667 % 88,413 % 44]
245
246
      *Univariate > take 5 $ map (rho fs 3) [0..]
247
      [6 % 1,6 % 1,6 % 1,6 % 1,6 % 1]
248
249 > tDegree :: Integral a => [Ratio a] -> a
250 > tDegree fs = helper fs 0
        where
251 >
252 >
          helper fs n
253 >
            | isConstants fs' = n
254 >
                           = helper fs (n+1)
            | otherwise
255 >
            where
256 >
              fs' = map (rho fs n) [0..]
257 >
          isConstants (i:j:_) = i==j -- 2 times match
          isConstants (i:j:k_{-}) = i==j && j==k -- 3 times
       match
```

```
259
260
      *Univariate > let h t = (3+6*t+18*t^2)\%(1+2*t+20*t^2)
261
      *Univariate > let hs = map h [0..]
262
      *Univariate > tDegree hs
263
264
      *Univariate > map (a hs) [0..(tDegree hs)]
      [3 % 1,(-23) % 42,(-28) % 13,767 % 14,7 % 130]
265
266
267 With Maxima,
268
      (\%i35) h(t) := 3+t/((-23/42)+(t-1)/((-28/13)+(t-2)
         /((767/14)+(t-3)/(7/130)));
269
270
      (\%o35) h(t):=t/((-23)/42+(t-1)/((-28)/13+(t-2)
         /(767/14+(t-3)/(7/130))))+3
271
      (%i36) ratsimp(h(t));
272
273
      (\% \circ 36) (18*t^2+6*t+3)/(1+2*t+20*t^2)
274
275 > thieleC :: (Integral a) => [Ratio a] -> [Ratio a]
276 > thieleC lst = map (a lst) [0..(tDegree lst)]
277
278
      *Univariate > thieleC hs
279
      [3 % 1,(-23) % 42,(-28) % 13,767 % 14,7 % 130]
280
281 We need a convertor from this thiele sequence to
       continuous form of rational function.
282
283 > nextStep [a0,a1] (v:_) = a0 + v/a1
284 > \text{nextStep (a:as)} (v:vs) = a + (v / nextStep as vs)
285 >
286 > -- From thiele sequence to (rational) function.
287 > thiele2ratf :: Integral a => [Ratio a] -> (Ratio a ->
       Ratio a)
288 > thiele2ratf as x
289 >
      | x == 0 = head as
290 >
        | otherwise = nextStep as [x,x-1...]
291
292
      *Univariate > let h t = (3+6*t+18*t^2)\%(1+2*t+20*t^2)
293
      *Univariate > let hs = map h [0..]
294
      *Univariate > let as = thieleC hs
295
      *Univariate > as
296
      [3 % 1,(-23) % 42,(-28) % 13,767 % 14,7 % 130]
297
      *Univariate > let th x = thiele2ratf as x
298
      *Univariate > take 5 hs
299
      [3 % 1,27 % 23,87 % 85,183 % 187,45 % 47]
```

```
300
      *Univariate > map th [0..5]
301
      [3 % 1,27 % 23,87 % 85,183 % 187,45 % 47,69 % 73]
302
303 We represent a rational function by a tuple of
       coefficient lists:
304
      (ns,ds) :: ([Ratio Int],[Ratio Int])
305 where ns and ds are coef-list-rep of numerator polynomial
        and denominator polynomial.
306 Here is a translator from coefficients lists to rational
       function.
307
308 > -- similar to p2fct
309 > lists2ratf :: (Integral a) =>
310 >
                    ([Ratio a],[Ratio a]) -> (Ratio a ->
       Ratio a)
311 > lists2ratf (ns,ds) x = p2fct ns x / p2fct ds x
312
313
      *Univariate > let frac x = lists2ratf
         ([1,1\%2,1\%3],[2,2\%3]) x
314
      *Univariate > take 10 $ map frac [0..]
      [1 % 2,11 % 16,1 % 1,11 % 8,25 % 14,71 % 32,8 % 3,25 %
315
         8,79 % 22,65 % 16]
316
      *Univariate > let ffrac x = (1+(1\%2)*x+(1\%3)*x^2)
         /(2+(2\%3)*x)
317
      *Univariate > take 10 $ map ffrac [0..]
318
      [1 % 2,11 % 16,1 % 1,11 % 8,25 % 14,71 % 32,8 % 3,25 %
         8,79 % 22,65 % 16]
319
320 The following canonicalizer reduces the tuple-rep of
       rational function in canonical form
321 That is, the coefficien of the lowest degree term of the
       denominator to be 1.
322 However, since our input starts from 0 and this means
       firstNonzero is the same as head.
323
324 > canonicalize :: (Integral a) => ([Ratio a],[Ratio a])
       -> ([Ratio a],[Ratio a])
325 > canonicalize rat@(ns,ds)
326 >
       | dMin == 1 = rat
327 >
       | otherwise = (map (/dMin) ns, map (/dMin) ds)
328 >
       where
329 >
          dMin = firstNonzero ds
330 >
          firstNonzero [a] = a -- head
331 >
          firstNonzero (a:as)
332 >
            | a /= 0
                       = a
```

```
333 >
            | otherwise = firstNonzero as
334
335 What we need is a translator from Thiele coefficients to
       this tuple-rep.
336
337 > thiele2coef :: (Integral a) => [Ratio a] -> ([Ratio a
       ],[Ratio a])
338 > thiele2coef as = canonicalize $ t2r as 0
339 >
        where
340 >
          t2r [an,an'] n = ([an*an'-n,1],[an'])
341 >
          t2r (a:as) n = ((a .* num) + ([-n,1] * den), num)
342 >
            where
              (num, den) = t2r as (n+1)
343 >
344 >
345 > lists2rat :: (Integral a) => [Ratio a] -> ([Ratio a], [
       Ratio a])
346 > lists2rat = thiele2coef . thieleC
347
348
      *Univariate > let h t = (3+6*t+18*t^2)%(1+2*t+20*t^2)
349
      *Univariate > let hs = map h [0..]
350
      *Univariate > take 5 hs
351
      [3 % 1,27 % 23,87 % 85,183 % 187,45 % 47]
352
      *Univariate > let th x = thiele2ratf as x
      *Univariate > map th [0..5]
353
354
      [3 % 1,27 % 23,87 % 85,183 % 187,45 % 47,69 % 73]
355
      *Univariate > as
      [3 % 1,(-23) % 42,(-28) % 13,767 % 14,7 % 130]
356
357
      *Univariate > thiele2coef as
358
      ([3 % 1,6 % 1,18 % 1],[1 % 1,2 % 1,20 % 1])
```

## 4.4 Multivariate.lhs

Listing 4.4: Multivariate.lhs

```
1 Multivariate.lhs
2
3 > module Multivariate
4 > where
5
6 > import Data.Ratio
7 > import Univariate
8 > ( degree, list2pol
9 > , thiele2ratf, lists2ratf, thiele2coef, lists2rat
10 > )
```

## 4.5 FROverZp.lhs

Listing 4.5: FROverZp.lhs

```
1 > module FROverZp where
3 Functional Reconstruction over finite field Z_p
5 > import Data.Ratio
6 > import Data.Numbers.Primes
7 >
8 > import Ffield (modp, guess, matches3, bigPrimes,
      reconstruct)
9 > import Univariate ((^-), stirlingC, fall2pol, npol2pol)
10
11 Univariate Polynomial case
12 Our target is a univariate polynomial
    f :: (Integral a) =>
          Ratio a -> Ratio
14
15
16 Let us consider
17
18
     *FROverZp > let f x = (1\%3) + (3\%5)*x + 7*x^2
19
     *FROverZp> let fs = map f [0..]
20
21 So fs is our accessible data.
22 First, we should map ('modp' p) over this list, and take
      p elements from fs.
23
24
     *FROverZp > let fsp p = map ('modp' p) $ take p fs
25
     *FROverZp> take 10 $ fsp 101
     [34,82,43,18,7,10,27,58,2,61]
26
27
     *FROverZp> map ('mod' 101) $ difs it
28
     [48,62,76,90,3,17,31,45,59]
29
     *FROverZp > map ('mod' 101) $ difs it
30
     [14,14,14,14,14,14,14,14]
31
32\, So, on Z_101, f is 2nd degree polynomial and is
33
     34*(x^-0) + 48*(x^-1) + 14/(2!) * (x^-2)
      == 34 + 48*x + 7*(x^{-2})
34
35
     == 34 + 48*x + 7*x*(x-1)
36
      == 34 + 41*x + 7*x^2 \pmod{101}
37
38 > -- Function-modular.
39 > fmodp :: Integral c => (a -> Ratio c) -> c -> a -> c
```

```
40 > f 'fmodp' p = ('modp' p) . f
41
42
     *FROverZp> let f x = (1\%3) + (3\%5)*x + 7*x^2
43
     *FROverZp> let fp = f 'fmodp' 101
44
     *FROverZp> :t fp
45
     fp :: Integral c => Ratio c -> c
     *FROverZp> take 10 $ map (f 'fmodp' 101) [0..]
46
47
     [34,82,43,18,7,10,27,58,2,61]
48
49 Difference analysis over Z_p
50
51 > accessibleData :: (Ratio Int -> Ratio Int) -> Int -> [
      Intl
52 > accessibleData f p = take p $ map (f 'fmodp' p) [0..]
53 >
54 > accessibleData' :: [Ratio Int] -> Int -> [Int]
55 > accessibleData' fs p = take p $ map ('modp' p) fs
56 >
57 > difsp :: Integral b => b -> [b] -> [b]
58 > difsp p xs = map ('mod' p) (zipWith (-) (tail xs) xs)
59
60
     *FROverZp> let f x = (1\%3) + (3\%5)*x + 7*x^2
61
     *FROverZp > let fps = map (f 'fmodp' 101) [0..]
62
     *FROverZp> take 5 fps
63
     [34,82,43,18,7]
64
     *FROverZp> difsp 101 it
     [48,62,76,90]
65
66
     *FROverZp> difsp 101 it
67
     [14,14,14]
68
69 > difListsp :: Integral b => b -> [[b]] -> [[b]]
70 > difListsp _ [] = []
71 > difListsp p xx@(xs:xxs) =
72 >
       if isConst xs then xx
                      else difListsp p \$ difsp p xs : xx
73 >
74 >
       where
75 >
         isConst (i:jj@(j:js)) = all (==i) jj
76 >
         isConst _ = error "difListsp:⊔"
77
     *FROverZp> let f x = (1\%3) + (3\%5)*x + 7*x^2
78
79
     *FROverZp> map head $ difListsp 101 [(accessibleData f
        101)]
80
     [14,48,34]
82 Degree, eager and lazy versions
```

```
83
84 > degreep' p xs = length (difListsp p [xs]) -1
85 > degreep'Lazy p xs = helper xs 0
86 >
        where
87 >
          helper as@(a:b:c:_) n
88 >
            | a==b \&\& b==c = n
89 >
            | otherwise
                         = helper (difsp p as) (n+1)
90 >
91 > degreep :: Integral b => b -> [b] -> Int
92 > degreep p xs = let 1 = degreep'Lazy p xs in
93 >
        degreep' p $ take (1+2) xs
94
95
      *FROverZp> let f x = (1\%3) + (3\%5)*x + 7*x^2
96
      *FROverZp> let myDeg p = degreep p $ accessibleData f p
97
      *FROverZp> myDeg 101
98
99
      *FROverZp> myDeg 103
100
101
      *FROverZp> myDeg 107
102
103
104 > newtonCp :: (Integral a, Integral t) => a -> [t] -> [t]
105 > newtonCp p xs = [x 'div' factorial k | (x,k) <- zip xs
       [0..(p-1)]
106 >
        where
107 >
          factorial k = product [1.. fromIntegral k]
108
109
      *FROverZp> let f x = (1\%3) + (3\%5)*x + 7*x^2
110
      *FROverZp> difListsp 101 [take 10 $ accessibleData f
         101]
      [[14,14,14,14,14,14,14,14]
111
112
      ,[48,62,76,90,3,17,31,45,59]
113
      ,[34,82,43,18,7,10,27,58,2,61]
114
115
      *FROverZp> reverse $ map head it
116
      [34,48,14]
117
      *FROverZp> newtonCp 101 it
118
      [34,48,7]
119
120 > firstDifsp :: Integral a => a -> [a] -> [a]
121 > firstDifsp p xs = reverse $ map head $ difListsp p [xs]
122
123
      *FROverZp> let f x = (1\%3) + (3\%5)*x + 7*x^2
      *FROverZp> firstDifsp 101 $ accessibleData f 101
124
125
      [34,48,14]
```

```
126
127 > list2npolp :: Integral t => t -> [t] -> [t]
128 > list2npolp p xs = newtonCp p $ firstDifsp p $ take n xs
129 >
      where n = (degreep p xs) + 2
130
131
      *FROverZp> let f x = (1\%3) + (3\%5)*x + 7*x^2
132
      *FROverZp> let fsp p = list2npolp p $ accessibleData f
133
      *FROverZp> fsp 101
134
      [34,48,7]
135
      *FROverZp> npol2pol $ fsp 101
136
      [34,41,7]
137
      *FROverZp> npol2pol $ fsp 103
138
      [69,83,7]
139
      *FROverZp> npol2pol $ fsp 107
140
      [36,22,7]
141
142 > list2polp' :: Integral t => t -> [t] -> [t]
143 > list2polp' p xs = npol2pol $ list2npolp p xs
144
      *FROverZp> list2polp' 101 $ map (f 'fmodp' 101) [0..]
145
146
      [34,41,7]
147
      *FROverZp> list2polp' 103 $ map (f 'fmodp' 103) [0..]
148
      [69,83,7]
149
      *FROverZp> list2polp' 107 $ map (f 'fmodp' 107) [0..]
150
      [36,22,7]
151
152 We ready to guess these data:
153
154
155
156
157
158
159
160
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164
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166
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168
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```

```
170
171
172
173
174
175
176
177 > guessPol' :: Integral t \Rightarrow t \Rightarrow [t] \Rightarrow [Ratio t]
178 > guessPol' p xs = map (fst . (\a -> guess (a, p))) $
       list2polp ' p xs
179
180
      *FROverZp> let f x = (1\%3) + (3\%5)*x + 7*x^2
181
      *FROverZp> guessPol', 101 $ map (f 'fmodp', 101) [0..]
182
      [1 % 3,3 % 5,7 % 1]
      *FROverZp> guessPol' 103 $ map (f 'fmodp' 103) [0..]
183
      [1 % 3,3 % 5,7 % 1]
184
185
      *FROverZp> guessPol', 107 $ map (f 'fmodp', 107) [0..]
186
      [1 % 3,3 % 5,7 % 1]
187
188
      *FROverZp> map (\p -> guessPol' p (map ('fmodp f p)
          [0..])) [101,103,107]
      [[1 % 3,3 % 5,7 % 1],[1 % 3,3 % 5,7 % 1],[1 % 3,3 % 5,7
189
190
      *FROverZp> matches3 $ map (\p -> guessPol' p (map (f '
          fmodp ' p) [0..])) primes
191
      [1 % 3,3 % 5,7 % 1]
192
193 > reconstructPol fs =
        matches3 $ map (\p -> guessPol' p (accessibleData' fs
        p)) bigPrimes
195
196
      *FROverZp> let f x = (1\%3) + (3\%5)*x + 7*x^2
197
      *FROverZp> let fs = map f [0..]
198
      *FROverZp> reconstructPol fs
      [1 % 3,3 % 5,7 % 1]
199
```