

# 2+1-dimensional Fixed Boundaries CDT: Programmer's Guide

Jonah Miller

*jonah.maxwell.miller@gmail.com*

September 6, 2012

## 1 Introduction

This is the fixed boundaries branch of Rajesh Kommu's CDT code. The algorithm was originally worked on by David Kemansky, and later improved upon and updated by Jonah Miller.

The code implements CDT using an updated action that includes the boundary terms.

The goal of this file is to explain how to modify the code. I will first give some tips about lisp. Then I will present a very brief overview of the algorithm of the program. Then dive into data structures and specific functions and how they should be used. This document assumes the reader knows how to run the program, its basic structure, and the physics behind it. In order these can be found in:

- The user's guide.
- The file called `program_and_module_list.txt`
- Ambjørn and Loll's "Dynamically Triangulating Lorentzian Quantum Gravity," the documentation file "Gibbons-Hawkin\_Boundary\_Term\_in\_Causal\_Dynamical\_Triangulations.pdf," and my REU write-up.

All of these should be bundled in with the software in the documentation folder.

Hopefully this guide will help elucidate how to edit the CDT code-base. If you have any questions, don't hesitate to email me.

## 2 Lisp Tips and Tricks

### 2.1 Common Lisp Implementations

Although it is a programming language, ANSI Common Lisp is not a single program. Rather, it is a set of guidelines about how the programming language should behave. For this reason,

there are a number of different implementations of Common Lisp, all of which behave slightly differently. We use Steel Bank Common Lisp, which can be found here:

<http://www.sbcl.org/>

## 2.2 Resources

Some resources on Lisp are:

- Peter Siebel’s “Practical Common Lisp” is an excellent, readable, and in-depth guide to writing code in lisp. It was my primary resource and you can find it online.

<http://www.gigamonkeys.com/book/>

- The canonical work on Lisp is Paul Graham’s “On Lisp.” It is available online.

<http://www.paulgraham.com/onlisp.html>

- Successful Lisp is another book available for free online.

<http://psg.com/~dlamkins/sl/contents.html>

- This website links to a number of useful resources.

<http://www.apl.jhu.edu/~hall/lisp.html>

## 2.3 Coding Tools

When I edit lisp, I use the emacs text editor with SLIME, a module for emacs. This is not the only choice, but I think it is a very good one, and I suspect it is the canonical choice. You can find the home project for emacs here:

<http://www.gnu.org/software/emacs/>

and the home project for slime here:

<http://common-lisp.net/project/slime/>

For most Linux distributions, emacs and slime should be very easy to install if you have administrator privileges. The following tutorial explains how to get a nice set up in ubuntu:

<https://functionalrants.wordpress.com/2008/09/06/how-to-set-up-emacs-slime-sbcl-under-gnulinux/>

If you don’t have administrator access, see pages 1–8 in the document labeled **cdthowto.pdf**. You may have to change some of the version numbers to get everything working.<sup>1</sup>

Emacs is a complicated piece of software. A good online tutorial is here:

---

<sup>1</sup>The rest of the cdthowto.pdf document is somewhat outdated. You’re better off referring to the other documentation.

<http://www2.lib.uchicago.edu/keith/tcl-course/emacs-tutorial.html>

and Emacs includes a very good in-program tutorial as well. If you want to learn (much) more about what Emacs is capable of, check out “Emacs Rocks” and “Emacs-Fu,” two blogs about emacs:

<http://emacsrocks.com/>

<http://emacs-fu.blogspot.com/>

Finally, a very good resource on emacs is the Emacs Wiki:

<http://emacswiki.org/>

## 2.4 Tips and Tricks

When editing the CDT code, I suggest that you test your changes live, as you write code. You can do this by running slime from within emacs. Once you’ve opened up a \*.lisp file, type “Escape” and then “x” to access a command prompt. Then type “slime” and press “Enter.” You will get a live Lisp interpreter. This is the “REPL,” which stands for **R**ead-**E**val-**P**rint-**L**oop. Lisp is compiled, not interpreted. However, compilation happens at runtime: there is no separate compile-time step. One advantage of this is that functions can be defined and byte-compiled during a runtime algorithm. Another advantage is that you can prototype functions in Lisp the same way you would for a scripting language like Python. Indeed, you can do more than that, you can initialize a spacetime, and then define or redefine functions live and call them in the simulation to see what they do. While editing a \*.lisp file with slime, if the REPL is active, you can compile a function by pressing “C-c C-c.” This is how I suggest you change your code.

## 3 The Algorithm

Although I’m sure the reader is familiar with the algorithm of CDT, I present it here for completeness. The metropolis algorithm as applied to CDT is as follows:

1. Generate an arbitrary spacetime constructed of equilateral simplices.
2. Randomly apply one of the ergotic moves to the spacetime.
3. Calculate whether the new spacetime is more or less likely than the old spacetime, or less, as given by its un-normalized weight in the partition function,<sup>2</sup>  $e^{iS}$ .

---

<sup>2</sup>Technically, the normalized weight  $\frac{1}{Z}e^{iS}$  is the important quantity. However, since we only care about the ratio of weights, the factor of  $1/Z$  cancels out. This is fortunate, since we don’t know what  $Z$  is—if we did, we could simply perform the path integral.

4. If the new spacetime is more likely than the previous spacetime, accept the change with probability  $P = 1$ . If it is less likely, accept the change with probability  $P = P(new)/P(old)$ . We can rewrite this probability as

$$P = e^{iS_{new} - iS_{old}}.$$

5. Return to step 2, and repeat until the spacetime is acceptably probable.

The primary data structures we need to worry about, then, are the geometric objects. These, and the functions that manipulate them, will be discussed in section 4. The most important functions are the action and the ergotic moves. These will be discussed in some detail in section 5.

## 4 Data Structures

The most important data structures in the simulation are, of course the geometric objects that make up the spacetimes. These are points, edges, triangles, and tetrahedra.

The other important data structures in the simulation are the f- and b-vectors, which count geometric objects. We will discuss these after we discuss the geometric objects.

### 4.1 Points

Points are represented as integer identifiers. There is no master list of points, but, whenever a new point is created, the value of a global variable is incremented to obtain the next point ID. Points have no coordinates in this program. In some sense, points are the most important objects in the simulation. As will be discussed below, the higher-dimensional simplices are partly defined by which points they contain. Objects that manipulate points are:

- **\*LAST-USED-POINT\***—This is the global variable that is incremented to obtain point ids. It starts at 0.
- **next-pt**—This is a function that increments **\*LAST-USED-POINT\*** by 1. It then returns the incremented **\*LAST-USED-POINT\***. Call it with

```
(next-pt)
```

Thus,

```
(format t "The next point is ~A~%" (next-pt))
```

would return

The next point is <next point>.

- **set-last-used-pt**—In certain circumstances, for instance when loading a spacetime from file, we just want to tell the simulation how many points IDs have been used, rather than increment the global variable. The call

```
(set-last-used-pt point-number)
```

will set `*LAST-USED-POINT*` to point-number.

`*LAST-USED-POINT*` and all objects that manipulate it can be found in “globals.lisp.”

## 4.2 Links/Edges

Links are the edges of tetrahedra. There can be time-like links which connect points at two different times and space-like links which connect points at the same time. Since they are one dimensional, we also call links 1-simplices. Links, faces, and tetrahedra are stored in hash tables.<sup>3</sup>

Space-like links are stored in the hash table named

```
*SL1SIMPLEX->ID*
```

and time-like links are stored in the hash table named

```
*TL1SIMPLEX->ID*
```

As the name implies, the key of the hash table contains the geometric object itself. The value associated with each key is actually just 0. For the lower-dimensional simplices, only the keys are really used. Because hash tables can be thought of like mathematical functions, a given key can’t be entered into the hash table twice—it just doesn’t make sense. If I tried to add a new entry to the hash table that shared a key with an existing entry, the value of the existing entry would be overwritten, but otherwise nothing would change. By storing geometric information in the keys of a hash table, we ensure that we have no duplicate geometric objects.

All 1-simplices contained in a given 3-simplex can be generated with the command **make-1simplices**, which has the following prototype:

```
(defun make-1simplices (sctype tmlo tmhi p0 p1 p2 p3)
```

The inputs here are relevant data from the definition of a 3-simplex, which I will discuss more below. `sctype` is the type of tetrahedron—(2,2), (3,1), or (1,3)—; `tmlo` and `tmhi` are the time slices the simplex spans; and `p0,p1,p2,p3` are the points of the simplex.

---

<sup>3</sup>A hash table is a generalized list. You can think of it as a function in the mathematical sense: It maps a *key* to a *value*.

### 4.2.1 An Aside on Generating Lower-Dimensional Simplices

I will discuss each hash table for these objects in a little bit more detail below, but before I do that, I want to discuss how these objects, and objects like them, are usually generated. In general, lower-dimensional simplices are created when the higher-dimensional simplices are created. In other words, the function `make-3simplex` will generate the lower-dimensional subsimplices as a matter of course.

The upside of this is that a programmer usually doesn't have to worry about explicitly keeping track of these simplices—lower-level functions are already doing all the book-keeping. However, an easy trap to fall into is to forget to remove lower-dimensional simplices. Removing a 3-simplex does not necessarily remove constituent sub-simplices, since that 3-simplex almost certainly shares some of its subsimplices with other simplices (in fact it always will). For this reason, lower-dimensional simplices are generated automatically by lower-level functions, but the programmer must intentionally remove them.

### 4.2.2 \*SL1SIMPLEX->ID\*

The keys for the `*SL1SIMPLEX->ID*` hash table are of the form:

```
(tslice (p0 p1))
```

where `tslice` is the proper time the edge lives in, or the time slice that contains the edge, and `p0` and `p1` are the endpoints of the edge. The parentheses represent that the key is a list. `(p0 p1)` is another list. As discussed at the beginning of section 4.2, the value for each entry in the hash table is `0`.

You can remove spacelike 1-simplices with the commands `remove-sl1simplex` and `remove-sl1simplices`, which have the prototypes

```
(defun remove-sl1simplex (sl1sx))
```

and

```
(defun remove-sl1simplices (sl1sxs))
```

respectively. The former accepts a single simplex key. The second accepts a list of simplices.

You can look at the entire hash table with the function `show-sl1simplex-store`, with prototype

```
(defun show-sl1simplex-store ())
```

and function call

```
(show-sl1simplex-store)
```

You can access the spacelike links at a given time with the function `get-spacelike-links-at-time`, which has the prototype<sup>4</sup>

---

<sup>4</sup>When I describe prototypes, the prototype itself probably doesn't exist, since this program doesn't prototype functions. However, the prototype gives the user the relevant information about a function call.

```
(defun get-spacelike-links-at-time (t0))
```

and you can count the number of spacelike links at a given time with the function **count-spacelike-links-at-time**, which has the prototype

```
(defun count-spacelike-links-at-time (t0))
```

and you can count the spacelike 1simplices in the entire spacetime with the function **count-spacelike-links-in-spacetime**, which has the prototype

```
(defun count-spacelike-links-in-spacetime ()).
```

### 4.2.3 \*TL1SIMPLEX->ID\*

The keys for the \*TL1SIMPLEX->ID\* hash table are of the form:

```
(t_low t_high (p0 p1))
```

where t\_low and t\_high are the proper times between which the link is suspended, and p0 and p1 are the endpoints of the edge. As discussed at the beginning of section 4.2, the value for each entry in the hash table is **0**.

You can remove timelike 1-simplices with the commands **remove-tl1simplex** and **remove-tl1simplices**, which have the prototypes

```
(defun remove-tl1simplex (tl1sx))
```

and

```
(defun remove-tl1simplices (tl1sxs))
```

respectively. The former accepts a single simplex key. The second accepts a list of simplices.

You can look at the entire hash table with the function **show-tl1simplex-store**. The function call is analogous to that for show-sllsimplex-store. You can access the spacelike links at a given time with the function **get-timelike-links-in-sandwich**, which has the prototype

```
(defun get-timelike-links-in-sandwich (t-low t-high))
```

and you can count the number of spacelike links at a given time with the function **count-timelike-links-in-sandwich**, which has the prototype

```
(defun count-timelike-links-in-sandwich (t-low t-high))
```

and you can count the spacelike 1simplices in the entire spacetime with the function **count-timelike-links-in-spacetime**, which has the prototype

```
(defun count-timelike-links-in-spacetime ()).
```

You probably noticed that the spacelike and timelike 1simplices had very similar behavior and functions. This parallel will continue with spacelike and timelike triangles (also known as faces or 2-simplices).

### 4.3 Triangles/Faces

Triangles are the faces of the tetrahedra that make up a spacetime. Since they are two-dimensional, we also call them 2-simplices or 2simplices. Space-like triangles are stored in the hash table named

```
*SL2SIMPLEX->ID*
```

and time-like triangles are stored in the hash table named

```
*TL2SIMPLEX->ID*
```

As with the edges, the hash table key contains the geometric object itself, and the value is **0**. All 2-simplices contained in a given 3-simplex can be generated with the **make-2simplices** function, which has the following prototype:

```
(defun make-2simplices (sctype tmlo tmhi p0 p1 p2 p3))
```

The function takes the same inputs as make-1simplices.

#### 4.3.1 \*SL2SIMPLEX->ID\*

The keys for the \*SL2SIMPLEX->ID\* hash table are of the form

```
(tslice (p0 p1 p2))
```

where tslice is the time slice the triangle lives in and p0, p1, and p2 are the vertices of the triangle. Just like in the 1-simplex case, the value for a given key is always 0.

You can remove space-like 2-simplices with the commands **remove-sl2simplex** and **remove-sl2simplices**, which have prototypes and function calls analogous to the matching functions for space-like and time-like 1-simplices. You can access the space-like triangles with **show-sl2simplex-store**. You can access the spacelike triangles at a given time with **get-spacelike-triangles-at-time**, and you can count them with **count-spacelike-triangles-at-time** and **count-spacelike-triangles-in-spacetime**. The prototypes and function calls are analogous to those for the functions for space-like 1-simplices.

There is an additional function, **3sx2p1->s2sx2p1**, with the prototype

```
(defun 3sx2p1->s2sx2p1 ())
```

which fills a hash table

```
*ID->SPATIAL-2SIMPLEX*
```



with information on space-like 2-simplices as a function of proper time. At each proper time, the points and simplices will be numbered in such a way that the time slice will describe a surface in a coordinate-free way. I have never used this function, but I believe Rajesh uses it for data analysis. You can find this function and many more functions to do with spatial simplices in the module **spacelike\_2simplices.lisp**.

#### 4.3.2 \*TL2SIMPLEX- >ID\*

The keys for the \*TL2SIMPLEX- >ID\* hash table are of the form

```
(type tmlo (p0 p1 p2))
```

where the type is an integer,  $\text{type} \in \{1, 2\}$ . It represents whether a triangle has one vertex in the lower time slice, or two (respectively). *tmlo* is the lower time slice that the triangle is connected to. *p0*, *p1*, and *p2* are the vertices of the triangle. The first type vertices are in the lower time slice and the last 3-type vertices are in the upper time slice. Just like in the 1-simplex case, the value for a given key is always 0.

As before, you remove time-like 2-simplices with the commands **remove-tl2simplex** and **remove-tl2simplices**. You can access the triangles with **show-tl2simplex-store**, **get-timelike-triangles-in-sandwich**, and you can count them with **count-timelike-triangles-in-sandwich** and **count-timelike-triangles-in-spacetime**. The prototypes and calls are analogous to those for time-like 1-simplices.

### 4.4 Tetrahedra/3-simplices

Tetrahedra are the highest-level simplices in the spacetime. These are the most important objects: those changed by the ergotic moves. They are also by far the most complicated data structure in the program. There are three types of tetrahedra, labeled by the number of points in the lower time slice of the two slices they span:  $\text{type} \in \{1, 2, 3\}$ . A type 1 tetrahedron is a (1,3)-simplex. A type 2 tetrahedron is a (2,2)-simplex, and a type 3 tetrahedron is a (3,1)-simplex. Tetrahedra are stored in the hash table

```
*ID->3SIMPLEX*
```

and it uses both keys and values.

The key is a numeric ID number assigned when the simplex is created. The 3-simplex IDs are generated in much the same way as the point IDs are generated. The programmer can find the functions **next-3simplex-id** and **recycle-3simplex-id** in the file *globals.lisp*. **recycle-3simplex-id** fulfills a special purpose. When a simplex is removed from the spacetime, its ID is added to a list **\*RECYCLED-3SX-IDS\***. Later, when we want a new ID, **next-3simplex-id** first checks the list of recycled IDs and takes an element of that list. If the list is empty, then a new ID is generated. If we don't do this, the number of IDs and their values quickly becomes completely intractable.

The value of **\*ID- >3SIMPLEX\*** is the geometric object in question. The object is defined as

```
(sctype tmlo tmhi (p0 p1 p2 p3) (n1 n2 n3 n4))
```

where `sctype` is the type of 3-simplex, as discussed at the beginning of 4.2, `tmlo` and `tmhi` are the indexes of the time slices that the simplex spans. `p0`, `p1`, `p2`, `p3` are the vertices of the tetrahedron, and the first type of them are in the lower time slice. `n1`, `n2`, `n3`, and `n4` are the neighbors of the simplex—The ids of the tetrahedra that share a face with this tetrahedron. They are ordered such that the neighbor attached to the tetrahedron at the face opposite `p1` is `n1`, the neighbor attached at the face opposite `p2` is `n2`, etc..

When a simplex is created **make-3simplex** (more on that below), the neighbor ids are all set to zero. The neighbors are set later using the command **connect-3simplices** and derivative functions. The reason for this is that the neighbors will be modified as the spacetime manifold changes. I will first describe simplex creation, then connecting neighbors, and then I will name the functions that access 3-simplices, which are often quite similar to the functions for lower-dimensional simplices.

#### 4.4.1 Generation

The core function here is **make-3simplex**. It has the prototype

```
(defun make-3simplex (sctype tmlo tmhi p0 p1 p2 p3))
```

and takes simplex type, time slices, and point IDs as its input. It doesn't take in neighbor IDs, because neighbor IDs are set later. This is the lowest-level tetrahedron function, and it generates sub-simplices automatically by calling `make-2simplices` and `make-1simplices`. It returns the ID number of the simplex, which it generates using `next-3simplex-id`.

There are also a number of functions that call `make-3simplex` for specialized purposes. **make-3simplex-v2** takes only 4 inputs, since the points are “packed” into a list. It's used when the ergodic moves are applied, since it is tailor-made to take the output of the try-move functions (more on these later) as input. The function definition is:

```
(defun make-3simplex-v2 (sctype tmlo tmhi pts)
  (let ((p0 (nth 0 pts))
        (p1 (nth 1 pts))
        (p2 (nth 2 pts))
        (p3 (nth 3 pts)))
    (make-3simplex sctype tmlo tmhi p0 p1 p2 p3)))
```

**make-3simplex-v3** is only used during the space-time initialization part of the algorithm. If periodic boundary conditions are specified, it adjusts the points on the final and initial time-slices, since the  $t = t_{final}$  time slice is identified with the  $t = 0$  time slice. It also sets the variable `*LAST-USED-POINT*`, which is required for algorithm for fixed boundary conditions. **make-3simplex-v4** is used when loading a spacetime from a file, because the input is slightly different. **make-3simplex-v5** takes a single list as input, where the list contains all simplex data. A function call might look like

```
(make-3simplex-v5 (list sxtype tmlo tmhi (list p0 p1 p2 p3)))
```

it is primarily used in the function **make-3simplices-in-bulk**. **make-3simplices-in-bulk** is used when applying a move, specifically in the function **2plus1move**, which will be described later. It takes a list of inputs to **make-3simplex-v5**. The function definition is

```
(defun make-3simplices-in-bulk (simplex-data-list)
  (let ((3sxids nil))
    (dolist (simplex-data simplex-data-list)
      (push (make-3simplex-v5 simplex-data) 3sxids))
    3sxids))
```

Since 3-simplices start with no neighbors defined, we have to set their neighboring simplices using **connect-3simplices**. This function takes two 3-simplex IDs as input and, if they are neighbors, modifies their values in the hash table accordingly. This means finding which face they share (defined by the point opposite that face) and modifying the element of the list of neighbors corresponding to that point.

We connect only a few 3-simplices at a time rather than connecting all simplices in the spacetime for efficiency reasons. We only need to connect a few simplices in the region of the spacetime we changed, so we don't want to check the entire spacetime each time. We have some functions that let us selectively connect more than two simplices. **connect-3simplices-within-list** takes a list of 3-simplex ids and runs **connect-3simplices** on every possible combination of 3-simplices in the list. **connect-3simplices-across-lists** takes two lists of 3-simplex ids as input, and tries to connect every 3-simplex in the first list with every 3-simplex in the second list. However, it doesn't try to connect 3-simplices in the first list with other 3-simplices in the first list. **3simplices-connected?** takes 2 simplex IDs as input. If they're listed as neighbors in each-others' list of neighbors, it returns true. Otherwise, it returns false.

#### 4.4.2 Access

Because the 3-simplex data structure is used so often, and because it is somewhat complicated, there are some macros designed to make the code more readable when accessing 3-simplices. They are:

```
(defmacro 3sx-type (sx) '(first ,sx))
(defmacro 3sx-tmlo (sx) '(second ,sx))
(defmacro 3sx-tmhi (sx) '(third ,sx))
(defmacro 3sx-points (sx) '(fourth ,sx))
(defmacro 3sx-sx3ids (sx) '(fifth ,sx))
(defmacro 3sx-lopts (sx) '(subseq (3sx-points ,sx) 0 (3sx-type ,sx)))
(defmacro 3sx-hipts (sx) '(subseq (3sx-points ,sx) (3sx-type ,sx)))
(defmacro nth-point (sx n) '(nth ,n (3sx-points ,sx)))
(defmacro nth-neighbor (sx n) '(nth ,n (3sx-sx3ids ,sx)))
```

each takes a 3-simplex geometric object, not its id. An easy way to get a simplex associated with a given id is **get-3simplex**, which takes an ID as input, and returns the simplex geometric object.

**remove-3simplex** and **remove-3simplices** remove tetrahedra from their hash table and take as inputs 3-simplex ID and a list of IDs respectively. **show-id-3simplex-store** outputs the hash table for tetrahedra in a human-readable way. It takes no input.

3-simplices are defined by their type and by the pair of time-slices they are sandwiched between. Thus the functions that access them are written with this in mind. **get-simplices-in-sandwich** has the prototype

```
(defun get-simplices-in-sandwich (tlo thi))
```

where tlo is the lower time slice and thi is the upper time slice that “sandwich” a bunch of tetrahedra. This function returns a list of IDs of all tetrahedra times in the sandwich. **get-simplices-in-sandwich-of-type**, on the other hand, returns only 3-simplices of the given type. It has prototype

```
(defun get-simplices-in-sandwich-of-type (tlo thi typ))
```

where  $typ \in \{1, 2, 3\}$  and indexes 3-simplex types as described in the beginning of section 4.2. **get-simplices-in-sandwich-ordered-by-type** is like get-simplices-in-sandwich, but it orders them from type 1 to type 3. **get-simplices-of-type** takes a type integer as input and returns all 3-simplices of that type. **count-simplices-of-type** and **count-simplices-in-sandwich** work like their corresponding “get” functions, but they return integers rather than lists of simplex ids. **count-simplices-of-all-types** does exactly what it says on the can. It takes no inputs.

A function unique to fixed-boundaries CDT is **count-boundary-vs-bulk**. It takes no input and returns a list of the number of simplices of various and various locations. The output looks something like this

```
(N13-INITIAL-SLICE N22-INITIAL-SLICE N31-INITIAL-SLICE
 N13-BULK N22-BULK N31-BULK
 N13-FINAL-SLICE N22-FINAL-SLICE N31-FINAL-SLICE
 N3-TOTAL)
```

where N13-INITIAL-SLICE, N22-INITIAL-SLICE, and N31-INITIAL-SLICE are the number of (1, 3)-simplices, (2, 2)-simplices, and (3, 1)-simplices respectively in the time slice defined by  $t = 0$ . Analogously, N13-BULK, N22-BULK, and N31-BULK are the simplices in the bulk of the spacetime and N13-FINAL-SLICE, N22-FINAL-SLICE, and N31-FINAL-SLICE are the simplices in the time slice defined by  $t = t_{final}$ . N3-TOTAL is the total number of 3-simplices in the space time.

There are a number of macros that test a 3-simplex’s position in the spacetime manifold. **in-upper-sandwich** takes an ID number. If the boundary conditions are open and the simplex with the given ID has  $tmlo=t_{max}-1$  and  $tmhi=t_{max}$ , then the macro returns true. Otherwise, it returns false. **in-lower-sandwich** works like in-upper-sandwich, but

returns true if `tmlo=0` and `tmhi=1`. **in-either-boundary-sandwich** returns true if either `in-upper-sandwich` or `in-lower-sandwich` returns true. **has-face-on-boundary** takes the ID of a 3-simplex as input and returns true if that 3-simplex has one face contained in either the initial or the final time slice. Obviously this macro always returns false for (2,2)-simplices.

#### 4.4.3 Generic Counting Functions

A number of the functions that count and list geometric objects are actually calls of the metafunctions contained in **generalized-hash-table-counting-functions.lisp**. These are worth discussing in some detail, since they could save a lot of time, if you need to make new functions that interact with hash tables. We discuss them here, rather than in the functions section, because they are closely related to the data structures discussed in this section. Let's look at **list-keys-with-trait**:

```
(defun list-keys-with-trait (trait hashtable key-subindex)
  (let ((keylist nil)
        (vallist nil))
    (flet ((discriminator (hkey hval)
              (when (funcall trait (nth key-subindex hkey))
                (push hkey keylist)
                (push hval vallist)))))
      (maphash #'discriminator hashtable)
      keylist)))
```

`trait` is a function that returns a Boolean value. `hashtable` is the hash table we're interested in acquiring keys from. `key-subindex` is an integer. These functions assume the key is a geometric object, and that the keys are lists. Thus, `key-subindex` is the index of the list of the key that we want the trait function to act on. `list-keys-with-trait` goes through `hashtable` and, for each entry, tests whether or not the trait function returns true when it is applied to the `key-subindex`'th element of the key. If it is, then that entry of the hash table is added to the list `keylist`. The function then returns `keylist`. As an example, if we wanted to list all the space-like 2-simplices at time 0, the function call would be:

```
(list-keys-with-trait #'(lambda (x) (= x 0)) *SL2SIMPLEX->ID* 0)
```

**count-keys-with-trait** works like `list-keys-with-trait`, except that it returns an integer, the number of keys where `trait` is true. **list-vals-with-trait** and **count-vals-with-trait** work like `list-keys-with-trait` and `count-keys-with-trait` respectively, except that they test the value of an entry in the hash table, rather than the key.

Although they don't interact with hash tables, we do have two more metafunctions designed to interact with our geometric objects. **count-over-all-spacetime-slices** runs a function that returns an integer as a function of time slice (like `count-points-at-time`) and applies it to each time-slice and sums over the results. **count-over-all-spacetime-sandwiches** works the same as `count-over-all-spacetime-slices`, but accepts functions that

take two proper times (for a spacetime sandwich) as input, such abs count-simplices-in-sandwich, as input.

## 4.5 The f- and b-vectors

The discrete Regge action depends on the number of simplices of various type and dimension that make up the spacetime. The boundary term depends on the number of simplices in the boundary, as opposed to those in the bulk. We could, in theory, count up the number of simplices in the spacetime after every move and see if action has increased or decreased. However, this would be extremely slow and memory-intensive, since we'd have to keep track of the spacetime twice: once for before the move and once for after the move. We'd also have to run the counting functions, which are slow, every time we wanted to find out what the action was. A better way to predict changes in the action is to count the number of simplices once at initialization, and then simply keep track of how each ergodic move changes the number of simplices of each type we care about: both in the boundary and in the bulk. The f- and b-vectors do just that.

### 4.5.1 The f-Vector

The F-vector is defined as

$$\vec{f} = \begin{bmatrix} N0 \\ N1 - SL \\ N1 - TL \\ N2 - SL \\ N2 - TL \\ N3 - TL - 31 \\ N3 - TL - 22 \end{bmatrix} = \begin{bmatrix} \text{The number of points in the spacetime} \\ \text{The number of space-like edges in the spacetime} \\ \text{The number of time-like edges in the spacetime} \\ \text{The number of space-like triangles in the spacetime} \\ \text{The number of time-like triangles in the spacetime} \\ \text{The number of (3,1) - and (1,3) - simplices in the spacetime} \\ \text{The number of (2,2) - simplices in the spacetime} \end{bmatrix}.$$

Each element of the f-vector is its own variable that can be accessed on its own. For instance, there is a macro to get the total number of 3-simplices in the spacetime:

```
(defmacro N3 ()
  "total number of timelike 3simplices (tetrahedra)"
  '(+ N3-TL-31 N3-TL-22))
```

You can set the f-vector with the function **set-f-vector**:

```
(defun set-f-vector (v1 v2 v3 v4 v5 v6 v7)
  (setf N0 v1 N1-SL v2 N1-TL v3 N2-SL v4
        N2-TL v5 N3-TL-31 v6 N3-TL-22 v7))
```

and you can print the current f-vector in a human-readable form with the function **f-vector**.

You can update the f-vector with the function **update-f-vector**, which takes a list as input, where the  $i^{th}$  element of the list is the change to the  $i^{th}$  element of the f-vector.

Each of the 5 ergodic moves changes the 5-vector the same way each time it is applied to the spacetime. For this reason, changes to the f-vector are defines as global variables so that they can be passed directly to update-f-vector:

```
(defparameter DF26 '(1 3 2 2 6 4 0))
(defparameter DF62 '(-1 -3 -2 -2 -6 -4 0))
(defparameter DF44 '(0 0 0 0 0 0 0))
(defparameter DF23 '(0 0 1 0 2 0 1))
(defparameter DF32 '(0 0 -1 0 -2 0 -1))
```

**DF26** is the change to the f-vector due to a 2->6 move, **DF23** is due to a 2->3 move etc.. The DFnm variables are returned by the try-move functions discussed below.

#### 4.5.2 The b-vector

In addition to the f-vector, which keeps track of total information (not bulk information), we also have the b-vector, which keeps track of boundary information only. This is useful for fixed boundary conditions. The b-vector is defined as:

$$\vec{b} = \begin{bmatrix} *N1 - SL - TOP* \\ *N3 - 22 - TOP* \\ *N3 - 31 - TOP* \\ *N1 - SL - BOT* \\ *N3 - 22 - BOT* \\ *N3 - 31 - BOT* \end{bmatrix}$$

$$= \begin{bmatrix} \text{\# of space-like edges in the } t = t_{final} \text{ boundary} \\ \text{\# of } (2,2) - \text{simplices with an edge in the } t = t_{final} \text{ boundary} \\ \text{\# of } (3,1) - \text{ and } (1,3) - \text{simplices with } \geq 1 \text{ vertex in the } t = t_{final} \text{ boundary} \\ \text{\# of space-like edges in } t = 0 \text{ boundary} \\ \text{\# of } (2,2) - \text{simplices with an edge in the } t = 0 \text{ boundary} \\ \text{\# of } (3,1) - \text{ and } (1,3) - \text{simplices with } \geq 1 \text{ vertex in the } t = 0 \text{ boundary} \end{bmatrix}$$

and it works much the same ways as the f-vector. Each element is its own variable that can be called individually. You can set the b-vector with the function **set-b-vector**, you can update the b-vector with **update-b-vector**, and you can view the b-vector in human-readable form with **b-vector**, which all work the same way as the corresponding function for the f-vector.<sup>5</sup>

Like with the f-vector, we keep track of how each ergodic move changes the b-vector. However, the changes to the b-vector are dependent on whether or not a move affects simplices in the boundary. For this reason, the functions that change the b-vector are (almost) all<sup>6</sup> macros that take a simplex ID as input, where that simplex ID comes from the 3-simplex chosen to apply a move onto. The changes in the b-vector are:

<sup>5</sup>Note that the indices of the b-vector do not at all correspond to the indices of the f-vector. The b-vector contains only the elements of the boundary required for the boundary term in the Regge action.

<sup>6</sup>The 4->4 move never affects simplex counts, so it is just a constant.



```
(defmacro DB23 (sxd) ; Change in b-vector due to 23-move.
  '(cond ((in-upper-sandwich ,sxd) (list 0 1 0 0 0 0))
        ((in-lower-sandwich ,sxd) (list 0 0 0 0 1 0))
        (t (list 0 0 0 0 0 0))))
```

```
;; change in b-vector due to 32-move. Inverse of DB23
(defmacro DB32 (sxd)
  '(cond ((in-upper-sandwich ,sxd) (list 0 -1 0 0 0 0))
        ((in-lower-sandwich ,sxd) (list 0 0 0 0 -1 0))
        (t (list 0 0 0 0 0 0))))
```

```
(defparameter DB44 '(0 0 0 0 0 0)) ; Change in b-vector due to a
                                     ; 44-move. 44 is its own inverse
```

```
(defmacro DB26 (sxd) ; Change in b-vector due to a 26-move.
  '(cond ((and (has-face-on-boundary ,sxd) *merge-faces*)
        (list 3 0 2 3 0 2))
        (t (list 0 0 0 0 0 0))))
```

```
(defmacro DB62 (sxd) ; Change in b-vector due to a 62-move.
  '(cond ((and (has-face-on-boundary ,sxd) *merge-faces*)
        (list -3 0 -2 -3 0 -2))
        (t (list 0 0 0 0 0 0))))
```

The variable **\*merge-faces\*** perhaps deserves some description. If **\*merge-faces\*** is set to a non-nil value, and the boundary conditions are set to “OPEN,” then the initial and final boundaries will be identified, but the simulation will keep track of changes to the boundary and put them into the action. This mode is really only for debugging purposes and shouldn’t be used for a real simulation. All functions and variables relating to the f- and b-vectors can be found in **tracking-vectors.lisp**.

## 5 Functions

We’re now ready to talk about the primary functions in the simulation. There are a number of broad categories of function that interact with each other as the Monte Carlo algorithm runs. We will discuss functions relating to the action, functions that relate to the ergodic moves, functions that relate to the actual Metropolis loop, functions that relate to initialization, and functions that relate to data output.



## 5.1 An Aside: bc-mod

In the periodic boundary conditions case, it is important that the simulation think of  $t = \tau > t_{final}$  as  $t = \tau \bmod t_{final}$ . For this reason, we have the function **bc-mod**:

```
(defmacro bc-mod (num)
  '(if (or (string= BCTYPE "PERIODIC")) *merge-faces*)
      (mod ,num NUM-T)
      ,num))
```

If boundary conditions are set as periodic, then **bc-mod** takes an input  $i$  and returns  $i$  modulo  $t_{final}$ , where  $t_{final}$  is the number of time slices in the spacetime. However, if the boundary conditions are open (i.e., fixed), then **bc-mod** simply returns any input it is given. This is an extremely important macro, and nothing will work in the periodic boundary conditions case without it.

## 5.2 The Action

Although there are calls to a function named “action,” no such function is named in the code. What is going on? For efficiency reasons, the function **action** is defined at runtime. It’s compiled after a call to **set-k0-k3-alpha** or **set-k-litL-alpha**<sup>7</sup> so that the numeric values of the coupling constants are byte-compiled into the code. This makes the algorithm run a little bit faster. The function that contains the definition of the action is **action-exposed**. **set-k0-k3-alpha** and **set-k-litL-alpha** call **make-action**, which defines and byte-compiles the function “action.”

If you change the action, you must change **action-exposed**. If all you change is the functional form of the action, but it is not dependent on any new variables, you don’t need to change any other function. If the number of inputs to the action change, you must edit **action-exposed**, **make-action**, and **accept-move?**. We’ll discuss **accept-move?** later, since it is part of the Monte Carlo loop. All functions relating to generating and setting the action can be found in **action.lisp**.

A function not directly related to the action, but nevertheless essential to the functioning of the simulation is **damping**, which has the following function definition:

```
(defun damping (num3)
  (* *eps* (abs (- num3 N-INIT))))
```

Damping is multiplied by the action during the **accept-move?** phase of the Metropolis-Hasting algorithm. It makes moves that deviate from the 3-volume of the just-initialized spacetime less probable. **\*eps\*** defines how significant the damping term is compared to the action.

---

<sup>7</sup>These functions are discussed in depth in the users’ guide. They set *all* the coupling constants, no matter which function you use. The difference is which pair of coupling constants is more intuitive to you as input.

## 5.3 Ergodic Moves

There are three types of function that relate to the ergodic moves: the move-subcomplex functions, the try-move functions, and the moves themselves. I will discuss each type in-depth here. Move types are

$$n -> m$$

where  $n$  is the number of simplices in the subcomplex before the move and  $m$  is the number of simplices in the subcomplex after the move. When I say *subcomplex*, I mean a collection of simplices on which an ergodic move of the correct type can be performed. For more details, see the literature by Ambørn and Loll. In 2+1 dimensions, we have:

- 2->6
- 2->3
- 4->4
- 3->2
- 6->2

### 5.3.1 move-subcomplex

These functions are: **2->6-subcomplex**, **2->3-subcomplex**, **4->4-subcomplex**, **3->2-subcomplex**, and **6->2-subcomplex**. These functions take a 3-simplex ID and try and retrieve the a set of simplices around and containing the simplex with the given ID, such that a move of the given type can be applied without breaking any topological restrictions.<sup>8</sup> If such a set can be retrieved, then the function returns a list of simplex IDs contained in the subcomplex. Otherwise, the function returns nil. These functions are extremely complicated, however, it is unlikely they will need to be changed. Each move-subcomplex function is only called by its corresponding try-move function.

One subtlety is that sometimes it is possible to construct more than one topologically acceptable subcomplex around a given simplex. If this is the case, the function constructs all acceptable subcomplexes and returns them all in a list. This is important because a bias in which subcomplex is chosen could cause irregular behavior. More on this later.

### 5.3.2 try-move

These functions are: **try-2>6**, **try-2>3**, **try-4>4**, **try-3->2**, and **try-6->2**. These functions take a 3-simplex ID, call their corresponding move-subcomplex function to generate a subcomplex, and then return move data that the accept-move? and apply-move functions can use decide whether or not to keep a change to the spacetime and to apply that change. If a move is not topologically acceptable the try-move functions return nil. If it is topologically acceptable, they return a list of the form:

---

<sup>8</sup>Each spatial slice must remain homeomorphic to a sphere, for instance.

```
(new3sx neighbors old3sx oldTL2sx oldSL2sx oldTL1sx oldSL1sx DFnm DBnm)
```

Here `new3sx` contains a list of points and types for 3-simplices that will be generated by applying the move. `old3sx` contains a list of IDs of the simplices in the subcomplex that will be deleted by applying the move. The function `make-3simplices-in-bulk` will make them. `neighbors` returns a list of simplices that neighbor the simplices in `old3sx` and on which the `connect-simplices` function will need to be called on. `oldTL2sx`, `oldSL2sx`, `oldTL1sx` and `oldSL1sx` are lists of lower-dimensional simplices which will need to be deleted along with the simplices containing them. `DFnm` and `DBnm` are the change to f-vector and the b-vector for a given move. They are lists. the try-move functions return the `DFnm` and `DBnm` variables defined in section 4.5. A list of this type is called **move data**.

A single macro encapsulates all the try-move functions. It is called **try-move**, and it takes a simplex ID and an integer between 0 and 4 as the input. The integer defines the move type:

```
(defun try-move (sxd mtype)
  (ecase mtype
    (0 (try-2->6 sxd))
    (1 (try-2->3 sxd))
    (2 (try-4->4 sxd))
    (3 (try-3->2 sxd))
    (4 (try-6->2 sxd))))
```

Note that, since the move-subcomplex functions can generate multiple possible complexes, the try-move function will generate all possible sets of move data, and then choose one at random. This is an important bug-fix. In the original CDT code, the try-move functions constructed a list of all acceptable move attempts and then chose the first element of that list. The result was that the volume-increasing moves were favored at earlier proper times and volume-decreasing<sup>9</sup> moves were favored at later proper times. For periodic boundary conditions, this wasn't a problem. It meant that the bulk of the spacetime had a random walk over proper time. However, for fixed boundary conditions, the results were non-physical.

### 5.3.3 Apply Move

The function that actually applies a move is called **2plus1move**. It takes the output of a try-move function as input and it performs all the necessary operations to make a change to the spacetime:

```
(defun 2plus1move (sxdata)
  (let ((new3sxids (make-3simplices-in-bulk (first sxdata))))
    (connect-3simplices-within-list new3sxids)))
```

<sup>9</sup>Here I mean spacetime 3-volume. See the user's guide for more details.

```
(connect-3simplices-across-lists new3sxids (second sxdata))
(remove-3simplices (third sxdata))
(remove-tl2simplices (fourth sxdata))
(remove-sl2simplices (fifth sxdata))
(remove-tl1simplices (sixth sxdata))
(remove-sl1simplices (seventh sxdata))
(update-f-vector (eighth sxdata))
(update-b-vector (ninth sxdata)))
```

## 5.4 Metropolis Loop

The Metropolis algorithm as applied to CDT is described in section 3. The functions run the loop can be found in **montecarlo.lisp**. **random-move** has the prototype

```
(defun random-move (nsweeps))
```

**random-move** is used for debugging, initialization, and randomization. It simply applies a move at random nsweeps times. It then prints out some information on the moves it performed and the simplex counts in the spacetime in a human-readable format. If something is wrong with the simulation, it might be a good idea to use **random-move** in the slime interpreter and see what happens.

**accept-move?** takes as input the simplex ID for a simplex in the spacetime around which a subcomplex has been constructed, and an integer representing the type of move to be applied to that subcomplex. It has the following prototype:

```
(defun accept-move? (mtype sxid))
```

It checks whether the post-move spacetime is more or less probable than the pre-move spacetime and by how much and decides whether or not to accept the move, as per the Metropolis algorithm (see section 3). **accept-move** returns a Boolean value: true if the move is to be accepted, nil otherwise. **accept-move** also checks to make sure that the change in the Wick-rotated action for a given move is purely imaginary and raises an error if the action contains a real part. This function is a core component of the Metropolis algorithm. It is called in **sweep** (described next) after a move is attempted. If the user makes changes to the f- and b-vectors, **accept-move** must also be changed.

**sweep** has the prototype

```
(defun sweep ())
```

and is the actual loop that performs the Metropolis-Hastings algorithm. It performs  $N_3$  iterations of steps 2–5 in the algorithm defined in section 3, where  $N_3$  is the total number of 3-simplices in the spacetime. Over the course of a simulation, it takes about 50000 sweeps to thermalize a small spacetime so that a probable configuration is reached. After that, another 500 sweeps per spacetime usually generates suitably different spacetimes for an ensemble.

## 5.5 Initialization

### 5.5.1 Top-Level Functionality

The initialization routines, found in **initialization.lisp** are quite possibly the most difficult to understand and intimidating algorithms in the entire code-base. All the end user sees is the function **initialize-t-slices-with-v-volume**, the use of which is described in detail in the user’s guide. However, **initialize-t-slices-with-v-volume** is a top-level function which calls a number of much more complicated algorithms.

It might be worth talking about **initialize-t-slice-with-v-volume** step by step. The algorithm is shown in figure 1. First, we set the global variable **STOPOLOGY**, which some other functions (mostly initialization functions) reference. Then, the function initializes a minimally triangulated spacetime. If the user asked for a two-sphere, the function calls **initialize-s2-triangulation**. If the user asked for a two-torus, the function calls **initialize-t2-triangulation**.<sup>10</sup> If the user asked for some other topology, the function raises an error. The function then calls **grow-volume-v2**<sup>11</sup> to increase the minimally triangulated spacetime to the target 3-volume chosen by the user. Finally, the function sets the global variable **N-INIT** to the total number of 3-simplices after initialization. **N-INIT** is used by the damping function to help keep the 3-volume of the spacetime fixed.

### 5.5.2 Mid-Level Functionality

**initialize-s2-triangulation** and **initialize-t2-triangulation** are the mid-level functions of the initialization routine. Let’s discuss **initialize-s2-triangulation**. It has the following prototype:

```
(defun initialize-s2-triangulation (num-time-slices boundary-conditions
                                   &optional
                                   initial-spatial-geometry
                                   final-spatial-geometry))
```

**initialize-s2-triangulation** first generates a minimally triangulated manifold with the topology  $S^2 \times I$ . If we have periodic boundary conditions, it then identifies the initial and final time slices. Otherwise, it removes the initial and final time slices, replaces them with user-input geometry, and connects them to the bulk of the spacetime in a topologically acceptable way. I will discuss each of these steps in detail below. First, let’s talk about the minimal initialization. We use the following algorithm:

1. Generate a minimal triangulation of each of the first two time slices. Since we are working with a spherical spatial topology, each spatial slice is a tetrahedron and has a surface area of 4 triangles.

---

<sup>10</sup>**initialize-s2-triangulation** will be discussed in considerable more detail below. We will not discuss **initialize-t2-triangulation** much. Suffice it to say that it works like the two-sphere case except that it only works for periodic boundary conditions.

<sup>11</sup>**grow-volume-v1** works fine, but I personally think it is less likely to generate a nicely random spacetime. Either way, it shouldn’t matter.

```

1 (defun initialize-T-slices-with-V-volume (&key
2                                     num-time-slices
3                                     target-volume
4                                     spatial-topology
5                                     boundary-conditions
6                                     initial-spatial-geometry
7                                     final-spatial-geometry)
8
9   ;; set global variables according to parameters
10  (setf STOPOLOGY (string-upcase spatial-topology))
11
12  ;; perform initialization based on type of spatial topology
13  (cond
14    ((string= STOPOLOGY "S2")
15     (initialize-S2-triangulation num-time-slices boundary-conditions
16                                 initial-spatial-geometry
17                                 final-spatial-geometry))
18    ((string= STOPOLOGY "T2")
19     (initialize-T2-triangulation num-time-slices boundary-conditions
20                                 initial-spatial-geometry
21                                 final-spatial-geometry))
22    (t (error "unrecognized-spatial-topology")))
23
24  ;; ... some human-readable output omitted ...
25  (increase-volume-v2 target-volume)
26  ;; ... some human-readable output omitted ...
27
28  (setf N-INIT (N3)))

```

Figure 1: The “initialize-t-slices-with-v-volume” function.

2. The number of vertices on each slice is equal to the number of triangles on each slice. We can imagine placing each vertex in the first slice at the center of each triangular face in the second slice, with a one-to-one correspondence. Furthermore, this creates an analogous one-to-one correspondence between vertices in the second slice and triangular faces in the first slice.
3. Connect each vertex in the first time slice to each vertex in the triangle we’ve assigned to it in the second time slice. Similarly, connect each vertex in the second time slice to each vertex of the triangle we’ve assigned to it in the first time slice. This creates a collection of  $(1, 3)$ - and  $(3, 1)$ -simplices.
4. Fill in “holes” in the triangulation<sup>12</sup> by adding  $(2, 2)$ -simplices. This generates a “sand-

---

<sup>12</sup>By “holes” I mean that each time-like face should share two tetrahedra.

wich” with the  $t = 0$  and  $t = 1$  time slices as the bread.

5. To generate the  $t = 1$ ,  $t = 2$  sandwich, we reflect the  $t = 0$ ,  $t = 1$  sandwich about the  $t = \text{time slice}$  and identify the tetrahedra in the obvious way.

Steps 1–4 are hard-coded into the algorithm. The remaining steps are handled by **connect-existing-simplices**.

### 5.5.3 connect-existing-simplices

**connect-existing-simplices** has the following prototype:

```
(defun connect-existing-simplices (&optional initial-spatial-geometry  
                                     final-spatial-geometry)
```

If the boundary conditions have been set to periodic, **connect-existing-simplices** simply resets the points in the final time slice to be the same as the points in the initial time slice. It then ensures that each 3-simplex knows its neighbors by using **connect-simplices-in-sandwich**, as described in section 4.3 and sets the f-vector using the counting functions defined in section 4.4.2. If the boundary conditions have been set to open, things are more complicated.

In the case where the boundary conditions are open, for each user defined boundary,<sup>13</sup> **connect-existing-simplices** deletes the minimally triangulated boundary and replaces it with a user-defined one (see the users guide). It does this by first deleting all simplices connecting the boundary to the bulk and by deleting all lower-dimensional simplices that have at least one point in the boundary. It then calls the function **triangulate-between-s2-slices**<sup>14</sup> (which I will talk about below) to generate the simplex information which **make-3simplex-v3** can use to make new 3-simplices to build all the simplices which connect the boundary to the bulk such that the boundary has the right geometry. Finally **connect-existing-simplices** runs **triangulate-between-slices** and sets the b- and f-vectors after counting up the number of simplices and sub-simplices in the manifold.

### 5.5.4 triangulate-between-s2-slices

**triangulate-between-s2-slices** has the following prototype:

```
(defun triangulate-between-s2-slices (original-sheet new-sheet  
                                       t0 t1 last-used-pt-id))
```

The job of **triangulate-between-s2-slices** is to calculate, given the spatial simplices of two separate time slices, how to generate 3-simplices that connect the two time slices in a topologically acceptable way. The algorithm for this code was written by David Kamensky.

<sup>13</sup>The user can choose not to define either boundary, in which case **connect-existing-simplices** does very little. It simply ensures that each simplex knows its neighbors and sets the f- and b-vectors.

<sup>14</sup>In the source code, it calls **triangulate-between-slices**. However, this is just a macro that calls **triangulate-between-s2-slices** if the topology is set to a sphere and raises an error otherwise.

David generalizes the known triangulation between two space-like tetrahedra (described in section 5.5.2) by decomposing each spatial geometry into four “pseudo-faces” and six “pseudo-edges.” Each pseudo-face is a simply-connected set of triangular faces. Each pseudo-face is bounded by 3 pseudo-edges. A pseudo-edge is a set of line-segments—edges of the actual triangular faces—such that it forms a piecewise curve. The pseudo-faces are analogous to the triangular sides of a tetrahedron and the pseudo-edges are analogous to the edges of those triangular sides.

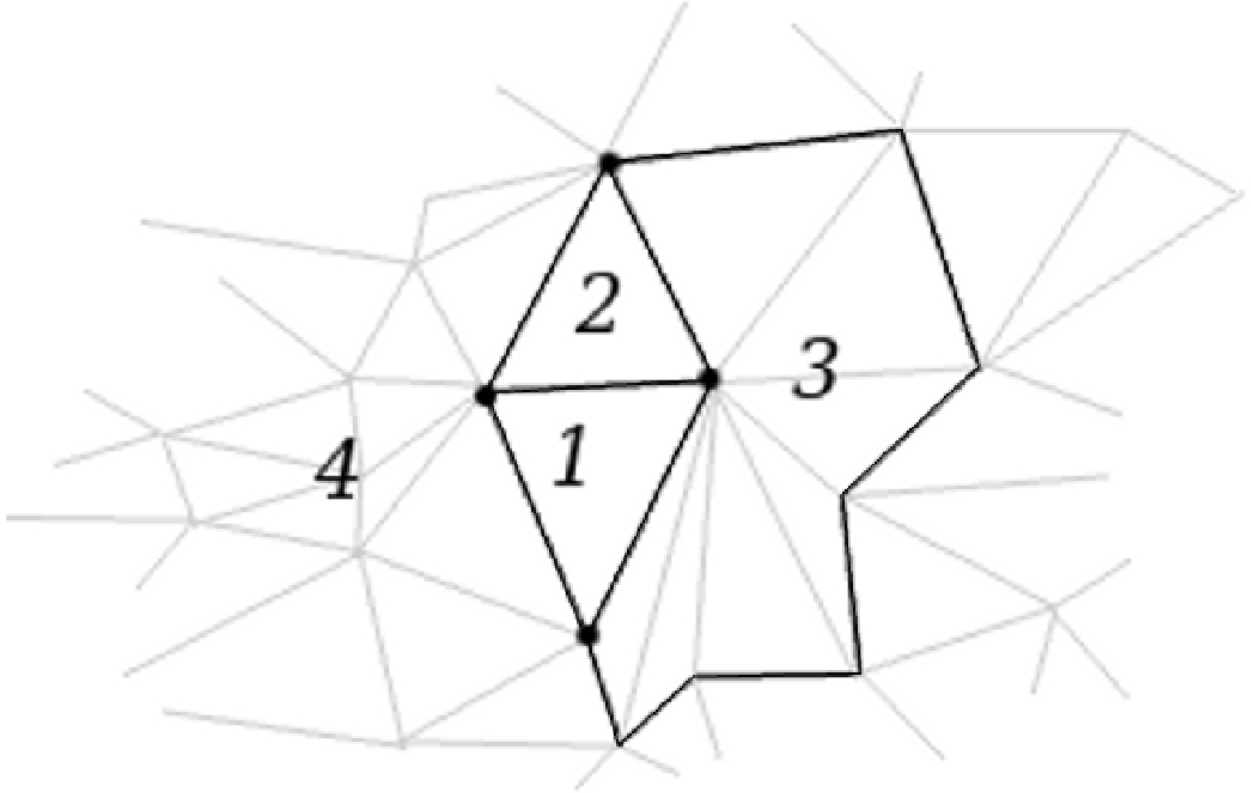


Figure 2: A simple decomposition of a manifold  $\mathcal{M}$  into 4 pseudo-faces and corresponding pseudo-edges, where  $\mathcal{M}$  is homeomorphic to the 2-sphere. Figure by David Kamensky.

The algorithm for generating pseudo-faces and pseudo-edges does not have to be very clever, since Monte Carlo simulations are simulation independent if we wait long enough, and since the configuration is immediately randomized (although the the space-like simplices on the boundary must remain fixed). David’s algorithm is as follows:

1. Select a random triangle in the boundary as the first pseudo-face.
2. Select a random neighbor of the first triangle as a second pseudo-face.
3. Select one endpoint of the shared edge between the two triangles and let all other triangles that contain that endpoint as a vertex be the third pseudo-face.



4. Let all remaining triangles be the fourth pseudo-face.
5. Since each of the first two triangles is a pseudo-face, each edge contained by either of those two triangles is a pseudo-edge. The sixth pseudo-edge is the combination of edges required to completely bound the third pseudo-edge.

Figure 2 shows this decomposition. This part of the algorithm is handled by **get-s2-pseudo-faces-and-edges**.

The advantage of this approach is that the pseudo-faces and pseudo-edges meet each other with the same combinatorics as for a tetrahedron. In this case, we can replace the simplices used to connect two space-like tetrahedra with analogous complices constructed of many simplices. Space-like triangles are replaced by pseudo-faces, space-like edges are replaced by pseudo-edges, and time-like triangles are replaced by “triangle fans” which connect every vertex in a pseudo edge to a single vertex on the other time slice. The result is that we have 3 types of complices analogous to the 3 types of 3-simplex, as shown in figure 3. Indeed, the  $(3,1)$ -complex can be constructed entirely out of  $(1,3)$ -simplices, the  $(2,2)$ -complex can be constructed entirely out of  $(2,2)$ -simplices, and the  $(3,1)$ -complex can be constructed entirely out of  $(3,1)$ -simplices. This information is hard-coded into the program in the functions **triangulate-13-complex**, **triangulate-22-complex**, and **triangulate-31-complex**. Each takes in the appropriate combination of pseudo-faces and pseudo-edges as input, as well as the low and high time-slice indices as input.

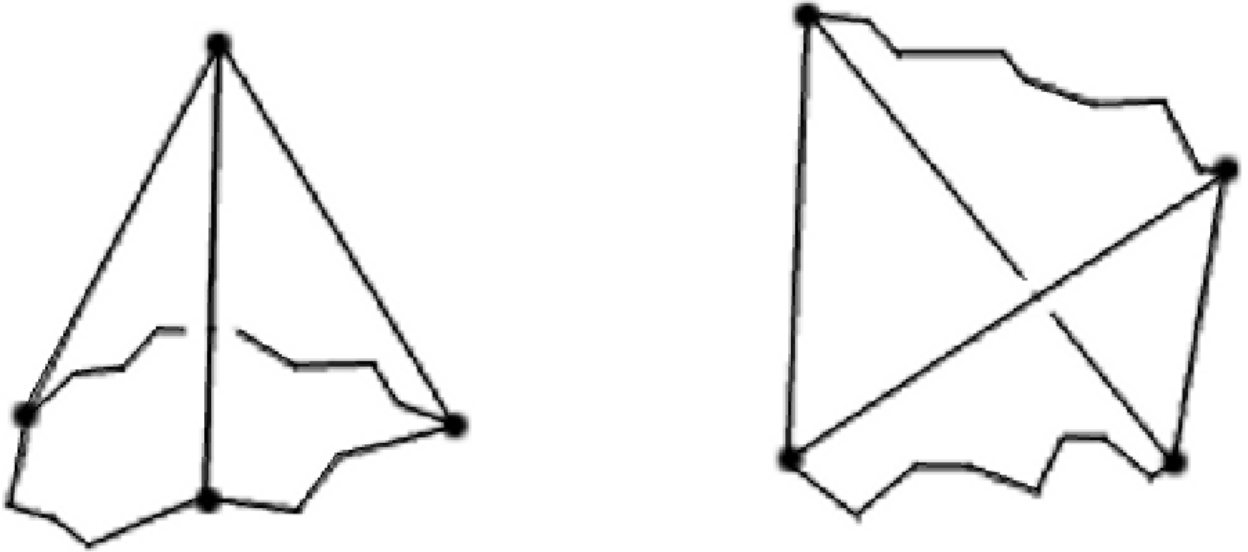


Figure 3: Possible types of complices constructed by pseudo-faces and pseudo-edges. Left: a  $(3,1)$ -complex. Right: a  $(2,2)$ -complex. The jagged lines are space-like pseudo-edges and the straight lines are time-like edges. Figure by David Kamensky.

### 5.5.5 Generating a Random Spacetime of The Appropriate Size

Once a minimally triangulated spacetime has been created, as described in the sections above, we need to generate a spacetime of the proper 3-volume. To do this, use either **increase-volume-v1** or **increase-volume-v2**. By default, the program calls `increase-volume-v2`. `increase-volume-v1` was written by Christian Anderson, while `increase-volume-v2` was written by Rajesh Kommu.

- `increase-volume-v1` has the following prototype:

```
(defun increase-volume-v1 (target-volume))
```

It randomly chooses one of the volume increasing moves until the spacetime reaches the target volume, which it takes as input. The range of the local variable `type-chooser` decides the weight and frequency of the volume-increasing moves. The advantage of `increase-volume-v1` is that it is more random than `increase-volume-v2`.

- `increase-volume-v2` has the following prototype:

```
(defun increase-volume-v2 (target-volume))
```

It performs the following algorithm:

- Apply 2->3 moves on all simplices for which this is topologically acceptable.
- Apply 4->4 moves on all simplices for which this is topologically acceptable.
- Apply 2->6 moves on all simplices for which this is topologically acceptable.
- Apply 4->4 moves on all simplices for which this is topologically acceptable.
- Repeat until the total number of 3-simplices in the spacetime is equal to `target-volume`

The advantage of `increase-volume-v2` is that it generates an extremely even volume distribution to start the simulation with before thermalization. I personally believe this makes thermalization go faster because the spacetime is probably not in a meta-stable state to start.

This pretty much covers how to initialize a spacetime. `initialize-t2-triangulation` works very much like `initialize-s2-triangulation` and calls the same lower-level functions. However, `initialize-t2-triangulation` does not support fixed boundaries.

### 5.5.6 Loading a Spacetime From File

Instead of initializing (and then probably thermalizing) a new spacetime, you may wish to load a spacetime from a previous `*.3sx2p1` file. The function to call is **load-spacetime-from-file**, and has the following prototype:

```
(defun load-spacetime-from-file (infile)
  (parse-parameters-line (read-line infile nil))
  (loop for line = (read-line infile nil)
        while line do (parse-simplex-data-line line)))
```

infile is a file stream, not a file name. Therefore, the syntax is :

```
1 (defparameter *filename* "/path/to/your/file.3sx2p1")
2 (with-open-file (f *filename*)
3   (load-spacetime-from-file f))
```

This function reads a file and generates all 3-simplices defined in the \*.3sx2p1 file and all subsimplices—the function it calls for this is **parse-simplex-data-line**. Furthermore, all the required parameters such as the number of sweeps so far performed, the number of simplices of each type, and the coupling constants are all defined—the function it calls for this is **parse-parameters-line**.

## 5.6 Output Functions

Once you’ve run a simulation, you probably want to see the output. A catalog of the various data-taking functions is given in the user’s guide, so I won’t dwell much on the top-level functions. Instead, I’d like to talk about how the output functions work and on what lower-level functions the top-level functions depend on. The **generate-data** functions use the following algorithm:

1. Make the files that are appended to during data creation. See section 5.6.1.
2. Run a Monte Carlo Sweep. See section 5.4.
3. If the sweep number is an integer multiple of SAVE-EVERY-N-SWEEPS, append to the files created in step 1 (see section 5.6.2) and make any files that are generated anew during data creation (see section 5.6.1).
4. Go back to step 2.

Let’s talk about the individual steps in the algorithm. All of the higher-level functions can be found in **output.lisp**

### 5.6.1 Making new files

The functions that generate new files are:

- **make-spacetime-file**, which makes \*.3sx2p1 files. It uses:
  - save-spacetime-to-file**, which prints 3-simplex information and parameter information to a stream (found in **simplex.lisp**).

- **make-progress-file**, which makes \*.prg2p1 files.
- **make-movie-file**, which makes \*.mov2p1 files. It uses:  
**print-movie-data**, which is described in section 5.6.2.

These functions generate a filename and then print the appropriate information into the file. Filename generation is handled by a pair of functions and a battery of constants. The functions are:

- **generate-filename**: generate-filename lists a number of parameters for the simulation, but doesn't specify how many sweeps have been performed. It is, in general, for generating a single file over the course of the simulation.
- **generate-filename-v2**: generate-filename-v2 works like generate-filename, but it keeps track of how many sweeps have been performed in the simulation when filename is generated. This is useful for generating files periodically during a simulation.

The generate-filename functions only generate the bulk of the file name. The extension is predefined in one of 4 global variables (all of which are defined in globals.lisp). The extensions are:

```
(defparameter 3SXEXT ".3sx2p1"
  "used for storing the parameters and 3simplex information")
(defparameter PRGEXT ".prg2p1"
  "used for keeping track of the progress of a simulation run")
(defparameter MOVEXT ".mov2p1"
  "used for storing the movie data information")
(defparameter S2SXEXT ".s2sx2p1"
  "used for storing the spatial 2-simplex information")
```

### 5.6.2 Appending to Files

The only file to which information is appended is a movie data file, \*.mov2p1. We append to a \*.mov2p1 file with **append-to-movie-file**, which calls **print-movie-data** to format the movie data. print-movie-data looks through each sandwich, counts the number of 3-simplices in that sandwich, and prints that information to a stream.

## 6 Final Thoughts and TODO

That about covers the bulk of functions and variables in our current implementation of fixed-boundaries, 2+1-dimensional CDT. There are some things I haven't covered, but I hope that the holes are minor and that it should be relatively easy to figure out how to manipulate the code that's not discussed.

What's next? Well, in some sense, that's up to you. However, some obvious gaps are:

- Fixed boundaries do not function for toroidal spatial topologies. It would be nice to extend David Kamensky's algorithm for pseudo-faces and pseudo-edges and apply it to the T2 spatial topology.
- Christian Anderson developed a version of the CDT code that can incorporate Horava-Lifshitz gravity. Merging the two code bases is a daunting task, but I think it would be very much worthwhile. Hopefully this programmer's guide would make such a task easier.
- Generating arbitrary boundaries to use with this program is non-trivial. I am currently working on a Monte Carlo-inspired method in python. The program is called `sphere_generator`, and it is almost done. Hopefully I'll be finished sometime (relatively) soon.
- Currently the CDT code-base is not object oriented. However, I really think that, with so many people working on the code, object-oriented methods could be very helpful. If the data structures were objects (which is very natural for geometric objects anyway), much less would have to change each time the program is modified. LISP has a powerful object oriented library called the Common Lisp Object System (CLOS). I think integrating CLOS would make editing the code much easier, although there might be a large cost in efficiency, which might be why Rajesh didn't include it in the first place. In performance computing, ease of coding is often sacrificed for speed. Many of Rajesh's algorithms are likely much faster than the object-oriented way. For this reason, perhaps object-oriented is not a good choice. I guess it's up to you!

That's about it. I'd like to thank Rajesh Kommu, David Kamensky, and Christian Anderson for their previous contributions to the code. I'd also like to thank Josh Cooperman and Professor Steve Carlip for their help and guidance on this project.

Happy hacking!