

Do We Train on Test Data? The Impact of Near-Duplicates on License Plate Recognition

Rayson Laroca¹, Valter Estevam^{1,2},
Alceu S. Britto Jr.³, Rodrigo Minetto⁴, David Menotti¹

¹Federal University of Paraná, Curitiba, Brazil

³Pontifical Catholic University of Paraná, Curitiba, Brazil

²Federal Institute of Paraná, Irati, Brazil

⁴Federal University of Technology-Paraná, Curitiba, Brazil

June 2023



Automatic License Plate Recognition (ALPR)



A usual *Automatic License Plate Recognition (ALPR)* system.

Automatic License Plate Recognition (ALPR)



A usual *Automatic License Plate Recognition (ALPR)* system.

ALPR has many practical applications:

- Toll collection;
- Vehicle access control in restricted areas;
- Traffic law enforcement.

Automatic License Plate Recognition (ALPR)



A usual *Automatic License Plate Recognition (ALPR)* system.

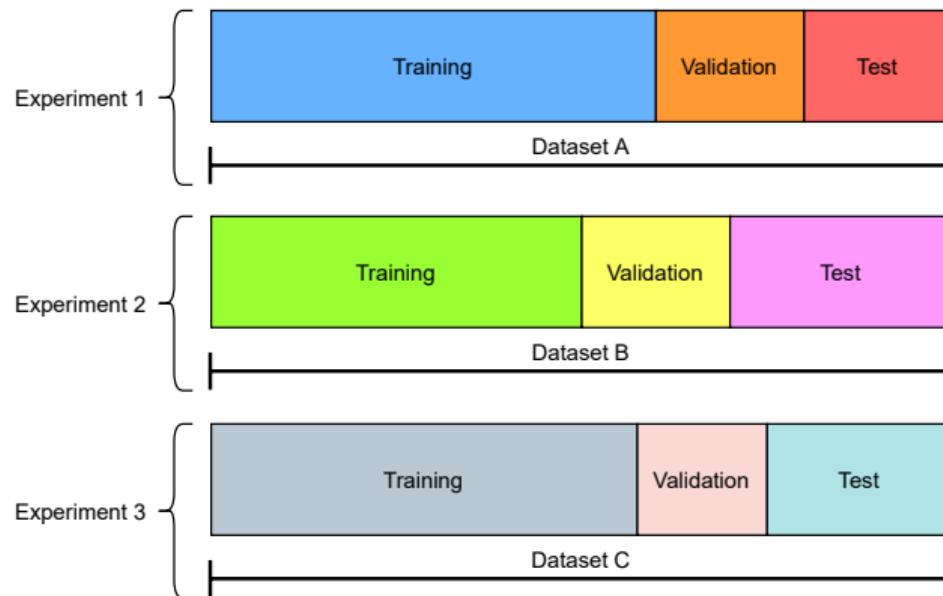
ALPR has many practical applications:

- Toll collection;
- Vehicle access control in restricted areas;
- Traffic law enforcement.

Current research has mostly focused on the **License Plate Recognition (LPR)** stage.

Problem Statement [1/2]

*LPR methods are typically evaluated using images from public datasets, which are divided into **disjoint** training and test sets using standard splits or following previous works (when there is no standard split).*



Problem Statement [2/2]

Although the images for training and testing belong to disjoint sets, the splits traditionally adopted in the literature were defined without the authors considering that the same license plate may appear in multiple images.

Problem Statement [2/2]

Although the images for training and testing belong to disjoint sets, the splits traditionally adopted in the literature were defined without the authors considering that the same license plate may appear in multiple images.

As a result, we found that there are many **near-duplicates** (i.e., different images of the same license plate) in the training and test sets of datasets widely explored in ALPR research.

Near-Duplicates – AOLP dataset



(a) Subset AC



(b) Subset LE



(c) Subset RP



(d) Subset AC



(e) Subset AC



(f) Subset RP

In the split protocols traditionally adopted in the literature,
some of these images are in the training set and others are in the test set.

Near-Duplicates – CCPD dataset



(a) Training set



(b) Test set

Many vehicles/license plates appear in both training and test images in the CCPD dataset.

Near-Duplicates – LP Rectification

- State-of-the-art ALPR approaches **rectify (unwarp)** the detected license plates before feeding them to the recognition model:



(a) detected license plates



(b) rectified license plates

Near-Duplicates – LP Rectification

- State-of-the-art ALPR approaches **rectify (unwarp)** the detected license plates before feeding them to the recognition model:



(a) detected license plates



(b) rectified license plates

Hence, the presence of duplicates in the training and test sets means that LPR models are, in many cases, being trained and tested on essentially the same images:

Test	Training	AOLP (Protocol A)	AOLP (Protocol B)	CCPD (latest version)
		2B·5459 DU·0712 1985GW	3886·EF 7263·KT 9F·1381	皖A UGO77 皖A 36L25 皖A D12368
		2B·5459 DU·0712 1985GW	3886·EF 7263·KT 9F·1381	皖A UGO77 皖A 36L25 皖A D12368

Examples of *near-duplicates* in the training and test sets of the AOLP and CCPD datasets.

Research Question

Research Question

To what extent have such near-duplicates impacted the evaluation of deep learning-based models applied to LPR?

Experimental Setup

We explored the two most popular datasets in the field:

- AOLP (<https://github.com/avlab-cv/aolp>);
- CCPD (<https://github.com/detectrecog/ccpd>).

Experimental Setup

We explored the two most popular datasets in the field:

- AOLP (<https://github.com/avlab-cv/aolp>);
- CCPD (<https://github.com/detectrecog/ccpd>).

We created ***fair splits*** for each dataset, where:

- There are **no duplicates** in the training and test sets;
- The **key characteristics of the original partitions are preserved** as much as possible.

Experimental Setup

We explored the two most popular datasets in the field:

- AOLP (<https://github.com/avlab-cv/aolp>);
- CCPD (<https://github.com/detectrecog/ccpd>).

We created ***fair splits*** for each dataset, where:

- There are **no duplicates** in the training and test sets;
- The **key characteristics of the original partitions are preserved** as much as possible.

We compared the performance of six well-known Optical Character Recognition (OCR) models applied to LPR under the traditional (adopted in previous works) and fair protocols:

OCR Model	Original Application	OCR Model	Original Application
CNNG	License Plate Recognition	STAR-Net	Scene Text Recognition
Holistic-CNN	License Plate Recognition	TRBA	Scene Text Recognition
Multi-Task	License Plate Recognition	ViTSTR-Base	Scene Text Recognition

Results – AOLP [1/2]

Results achieved under the AOLP-A^{1,2} (adopted in previous works) and AOLP-Fair-A (ours) protocols.

Model	AOLP-A ↑	AOLP-A-Fair ↑	Gap ↓	Rel. Gap ↓
CNNG	98.88%	95.63%	3.25%	290.2%
Holistic-CNN	96.75%	93.11%	3.64%	112.0%
Multi-Task	97.33%	93.79%	3.54%	132.6%
STAR-Net	98.69%	95.83%	2.86%	218.3%
TRBA	99.18%	96.94%	2.24%	273.2%
ViTSTR-Base	98.74%	96.94%	1.80%	142.9%

The error rates were **more than twice as high** in the experiments conducted under the fair protocol, which has no duplicates.

¹Protocol A: images divided into training and test sets with a 2:1 ratio.

²AOLP-A: 46.9% of the test images have duplicates in the training set.

Results – AOLP [2/2]

Results achieved under the AOLP-B^{3,4} (adopted in previous works) and AOLP-Fair-B (ours) protocols.

Model	AOLP-B ↑	AOLP-B-Fair ↑	Gap ↓	Rel. Gap ↓
CNN	98.91%	96.80%	2.11%	193.6%
Holistic-CNN	98.42%	96.30%	2.12%	134.2%
Multi-Task	98.42%	95.29%	3.13%	198.1%
STAR-Net	98.47%	96.46%	2.01%	131.4%
TRBA	98.75%	97.47%	1.28%	102.4%
ViTSTR-Base	98.75%	97.31%	1.44%	115.2%

The error rates were **more than twice as high** in the experiments conducted under the fair protocol, which has no duplicates.

³Protocol B: the AC and LE subsets are used for training, while the RP subset is used for testing.

⁴AOLP-B: 67.6% of the test images have duplicates in the training set.

Results – AOLP [2/2]

Results achieved under the AOLP-B^{3,4} (adopted in previous works) and AOLP-Fair-B (ours) protocols.

Model	AOLP-B ↑	AOLP-B-Fair ↑	Gap ↓	Rel. Gap ↓
CNN	98.91%	96.80%	2.11%	193.6%
Holistic-CNN	98.42%	96.30%	2.12%	134.2%
Multi-Task	98.42%	95.29%	3.13%	198.1%
STAR-Net	98.47%	96.46%	2.01%	131.4%
TRBA	98.75%	97.47%	1.28%	102.4%
ViTSTR-Base	98.75%	97.31%	1.44%	115.2%

The error rates were **more than twice as high** in the experiments conducted under the fair protocol, which has no duplicates.

The ranking of OCR models **changed** when they were trained and tested under fair splits.

Best model: **CNN** → **TRBA**

³Protocol B: the AC and LE subsets are used for training, while the RP subset is used for testing.

⁴AOLP-B: 67.6% of the test images have duplicates in the training set.

Results – CCPD

Results achieved on the CCPD dataset under the standard⁵ and CCPD-Fair protocols.

Model	CCPD \uparrow	CCPD-Fair \uparrow	Gap \downarrow	Rel. Gap \downarrow
CNNG	88.24%	86.93%	1.31%	11.1%
Holistic-CNN	77.01%	75.41%	1.60%	7.0%
Multi-Task	83.01%	81.84%	1.17%	6.9%
STAR-Net	78.53%	73.33%	5.20%	24.2%
TRBA	75.83%	71.48%	4.35%	18.0%
ViTSTR-Base	79.06%	76.37%	2.69%	12.9%

⁵CCPD's standard protocol: 19.1% of the test images have duplicates in the training set.

Results – CCPD

Results achieved on the CCPD dataset under the standard⁵ and CCPD-Fair protocols.

Model	CCPD ↑	CCPD-Fair ↑	Gap ↓	Rel. Gap ↓
CNNG	88.24%	86.93%	1.31%	11.1%
Holistic-CNN	77.01%	75.41%	1.60%	7.0%
Multi-Task	83.01%	81.84%	1.17%	6.9%
STAR-Net	78.53%	73.33%	5.20%	24.2%
TRBA	75.83%	71.48%	4.35%	18.0%
ViTSTR-Base	79.06%	76.37%	2.69%	12.9%

The CCPD dataset has $\approx 157K$ test images:

- The lowest performance gap of **1.17%** translates to **1,800+** additional license plates being misrecognized under the fair split (vs. the standard one);
- The highest gap of **5.20%** represents a staggering number of **8,000+** more license plates being incorrectly recognized under the fair split.

⁵CCPD's standard protocol: 19.1% of the test images have duplicates in the training set.

AOLP dataset

*The high fraction of near-duplicates in the splits traditionally adopted in the literature **may have hindered the development and acceptance of more efficient LPR models** that have strong generalization abilities but do not memorize duplicates as well as other models.*

Results – Overview

AOLP dataset

*The high fraction of near-duplicates in the splits traditionally adopted in the literature **may have hindered the development and acceptance of more efficient LPR models** that have strong generalization abilities but do not memorize duplicates as well as other models.*

CCPD dataset

*Our experiments provide a clearer picture of the true capabilities of LPR models compared to prior evaluations using the standard split, which has duplicates. Results revealed a decrease in the average recognition rate from **80.3%** to **77.6%** when the experiments were conducted under a fair split without duplicates.*

What about other datasets?

Other Datasets [1/3]

The EnglishLP, Medialab LPR, and PKU datasets lack an official split protocol.

These datasets are customarily divided into training and test sets **randomly** without the authors noticing that the same vehicle/license plate may appear in multiple images.



(a) EnglishLP



(b) Medialab LPR



(c) PKU

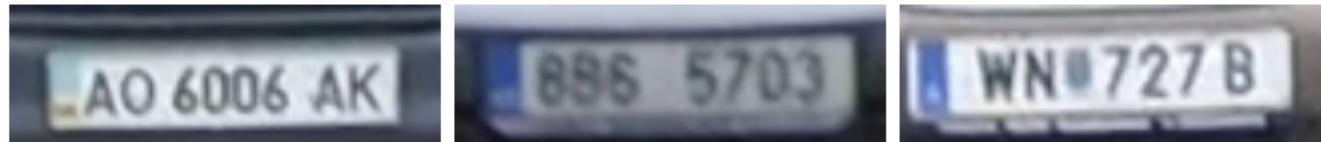
The presence of near-duplicates has also been overlooked in such setups.

Other Datasets [2/3]

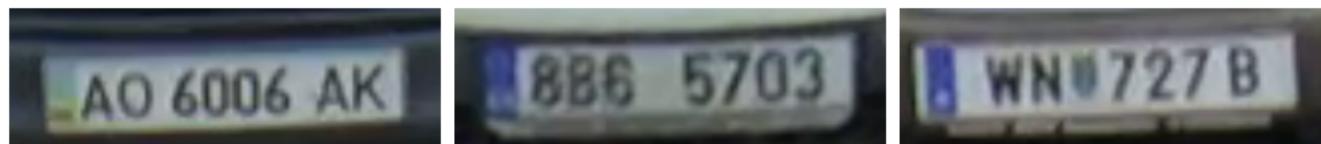
The Reld dataset:

- 105,923 images in the training set;
- 76,412 images in the test set.

52,394 of the test images (**68.6%**) have near-duplicates in the training set.



(a) Training set



(b) Test set

Examples of near-duplicates in the Reld dataset.

Other Datasets [3/3]

There are duplicates **even across different datasets.**



(a) Images from the ChineseLP dataset



(b) Images from the CLPD dataset

Both datasets contain images scraped from the internet.

Conclusions

- Our experiments on the AOLP and CCPD datasets showed that near-duplicates have significantly biased the evaluation and development of deep learning-based models for LPR;

Conclusions

- Our experiments on the AOLP and CCPD datasets showed that near-duplicates have significantly biased the evaluation and development of deep learning-based models for LPR;
- As this problem has not yet received due attention from the community, the existence of near-duplicates has recurred in evaluations conducted on several other public datasets;

Conclusions

- Our experiments on the AOLP and CCPD datasets showed that near-duplicates have significantly biased the evaluation and development of deep learning-based models for LPR;
- As this problem has not yet received due attention from the community, the existence of near-duplicates has recurred in evaluations conducted on several other public datasets;
- We hope this work will encourage LPR researchers:
 - To train/assess their models using the fair splits⁶ we created for the AOLP and CCPD datasets;
 - To beware of duplicates when performing experiments on other datasets.

⁶The fair splits as well as the list of near-duplicates we have found are publicly available for further research.



Thank you!

<https://raysonlaroca.github.io/supp/lpr-train-on-test/>

