

§6: Separable extensions

Definition 6.0

Let $f(X) = (X-\alpha)^m g(X) \in k[X]$ and assume that $X-\alpha \nmid g(X)$. We say that α is a **multiple root** of f if $m > 1$. Otherwise we say that α is a **simple root**.

Proposition 6.1.

Let α be algebraic over k ; $\alpha \in k^\alpha$ and let:

$$f(X) = \text{Irr}(\alpha, k, X)$$

If $\text{char } k = 0$; then all roots of f have multiplicity 1.

Otherwise if $\text{char } k = p$; then there exists an integer $\mu \geq 0$ s.t every root of f has multiplicity p^μ . We have:

$$[k(\alpha) : k] = p^\mu [k(\alpha) : k]$$

and α^{p^μ} is separable over k .

Proof: First we will show that for all k ; if α

has multiplicity m ; then all roots of f has multiplicity m .

Let $\alpha_1, \dots, \alpha_n$ be the distinct roots of w / $x_1 = d$. We let $E = k[\alpha_1, \dots, \alpha_n]$ and:

$$\sigma_i: E \rightarrow k^a$$

be an embedding of E in k^a over k extending the isomorphism:

$$\begin{aligned} k(\alpha_i) &\mapsto k(x) \\ \alpha_i &\longmapsto x \end{aligned}$$

\mathcal{G}

$$f(x) = \prod_{i=1}^n (x - \alpha_i)^{m_i} ; \text{ we have:}$$

$$\begin{aligned} g(x) &= \prod_{j=1}^n (x - \sigma(\alpha_j))^{m_j} = (x - \alpha_1)^{m_1} \prod_{j \neq i} (x - \sigma(\alpha_j))^{m_j} = f(x). \\ &= (x - \alpha_1)^{m_1} \prod_{j \neq 1} (x - \alpha_j)^{m_j} \end{aligned}$$

$$\text{So if } g(x) = \prod_{j \neq i} (x - \sigma(\alpha_j))^{m_j}; g_2(x) = \prod_{j \neq 1} (x - \alpha_j)^{m_j} \text{ and}$$

assume that $m_i \neq m_1$ so wlog $m_i < m_1$:

$$0 = (X - \alpha_1)^{m_i} \left(g_1(X) - (X - \alpha_1)^{m_1 - m_i} g_2(X) \right)$$

$$\Rightarrow g_1(X) - (X - \alpha_1)^{m_1 - m_i} g_2(X) = 0$$

Since E is normal; σ_j is an automorphism; so

$\sigma_j(\alpha_j) \neq \alpha_1 \wedge j \neq i$. So $\sigma_j(\alpha_1) \neq 0$ but

$$0 = g_1(\alpha_1) - (\alpha_1 - \alpha_1)^{m_1 - m_i} \overbrace{g_2(\alpha_1)}^0$$

X

So $m_i = m_1$, since i was arbitrary all roots of f have all the same multiplicity.

Now we will look at two cases:

- $\text{char } k = 0$:

Then notice $f'(x) \neq 0$ and $\deg f' < \deg f$.

Therefore $f'(x) \notin (f(x))$ so $f'(d) \neq 0$ so
 α is a simple root and so all roots of f
have multiplicity 1.

$$\textcircled{a} \operatorname{char} k = p > 0$$

Assume that α is a multiple root of f .

So $f'(\alpha) = 0 \Rightarrow f'(x) \in (f(x))$ and $\deg f' < \deg f$
so:

$$f'(x) = 0.$$

Now let

$$f(x) = (x - \alpha)^m g(x) \text{ where } x - \alpha \nmid g(x)$$

Then we see that:

$$f'(x) = m(x-\alpha)^{m-1}g(x) + (x-\alpha)^m g'(x)$$

$$= 0$$

$$\therefore (x-\alpha)^{m-1}(mg(x) + (x-\alpha)g'(x)) = 0$$

$$\Rightarrow mg(x) + (x-\alpha)g'(x) = 0.$$

So

$$mg(\alpha) = 0 \text{ but } g(\alpha) \neq 0 \text{ so.}$$

$p \nmid m$; assume that $m = p^k r$ w/ $p \nmid r$.

So:

$$f(x) = \prod_i (x - \alpha_i)^{p^k} = \prod_i (x^{p^k} - \alpha_i^{p^k})^r$$

At

$$m(x) = \prod_i (x - \alpha_i^{p^k})^r \in k[X]$$

Assume that $m(X) = p(X)q(X)$ w/ $p, q \in k[X]$.

Then

$$f(X) = m(X^{p^m}) = p(X^{p^m})q(X^{p^m}).$$

Which contradicts the fact that $f(X)$ is irreducible.

So $m(X)$ is irreducible but $\text{Irr}(x^{p^m}, k, X) \mid m(X)$, so

$$m(X) = \text{Irr}(x^{p^m}, k, X)$$

Likewise to above we see that either $r=1$ or $p \nmid r$,
the second case is impossible since $p \nmid r$.

So:

$$f(X) = \prod_i (X^{p^m} - \alpha^{p^m}) = \prod_i (X - \alpha)^{p^m}$$

Note that:

$$X^{p^m} - \alpha^{p^m} = \text{Irr}(\alpha, k(\alpha^{p^m}), X).$$

This is clear if $p(X) \in k(\alpha^{p^m})(X)$ w/ $p(-X) \mid X^{p^r} - \alpha^{p^r}$

then since $X^{p^k} - \alpha^{p^k} = (X - \alpha)^{p^k} \in k[X]$,

$$\Rightarrow p(X) = (X - \alpha)^{p^w} = X^{p^w} - \alpha^{p^w} \quad w/w \leq n.$$

But since $\alpha^{p^w} \in (k[\alpha^{p^k}]) \Rightarrow w \geq n$.

$$[k(\alpha) : k(\alpha^{p^k})] = p^{\mu}.$$

Furthermore since $m(X) = \text{Irr}(\alpha^{p^k}, k, X)$ has w multiple roots:

$$n = [k(\alpha^{p^k}) : k]_s = [k(\alpha^{p^k}) : k]^{\mu}$$

$$\begin{aligned} \Rightarrow [k(\alpha) : k] &= [k(\alpha) : k(\alpha^{p^k})][k(\alpha^{p^k}) : k] \\ &= p^{\mu} [k(\alpha^{p^k}) : k]_s \\ &= p^{\mu} n \\ &= p^{\mu} [k(\alpha) : k]_s \end{aligned}$$

Teil 2

Corollary 6.2.

For any finite extension E of k ; $[E:k]_s \mid [E:k]$.
The quotient is 1 if $\text{char } k = 0$ and a power of p if $p > 0$.

Proof: Let $E = k(x_1, \dots, x_n)$; and apply 6.1
on the tower:

$$k(x_1) \subseteq k(x_1, x_2) \subseteq \dots \subseteq k(x_1, \dots, x_n) = E$$

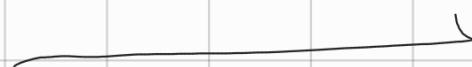
↗

Definition 6.1

If E/k is finite; we call:

$$[E:k]_s = \frac{[E:k]}{[E:k]_s}$$

the inseparable degree



We will now assume that k is a field of characteristic $p > 0$.

Definition 6.2. An element α algebraic over k is

said to be purely inseparable over k if there exists an integer $n \geq 0$ s.t. α^{p^n} lies in k .

Proposition 6.1.1. Let E be an algebraic extension of k .
TFAE:

$$\text{P.Ins 1: } [E:k]_s = 1.$$

P.Ins 2: Every element α of E is purely insep. over k .

P.Ins 3: $\forall \alpha \in E :$

$$\text{Irr}(\alpha, k, X) = X^{p^n} - a \text{ for some } n \geq 0 \text{ & a } \in k$$

P.Ins 4: There exists generators $\{\alpha_i\}_{i \in I}$ of E over k s.t. α_i is purely inseparable over k .

Proof:

$$1) \Rightarrow 2)$$

Let $\alpha \in E$ we have the tower:

$$E \supseteq k(\alpha) \supseteq k \quad w/$$

$$1 = [E:k]_S = [E:k(\alpha)]_S [k(\alpha):k]_S$$

↓ ↓
1 1

Furthermore recall:

$$[k(\alpha):k]_S = \# \text{ distinct roots of } f(x)$$

Where $f(x) = \text{Irr}(d, k, X)$.

\therefore

$$f(x) = (X - \alpha)^m \quad ; \text{ but recall } 3 \alpha > 0 \text{ s.t.}$$

$$m = p^n \quad (\text{by prop 6.1})$$

$$f(x) = (X - \alpha)^{p^n} = X^{p^n} - \alpha^{p^n} \in k[X]$$

$\therefore \alpha^{p^n} \in k.$

2) \Rightarrow 3)

Let n be the smallest integer s/t $\alpha = \alpha^{p^n} \in k$.

$\Rightarrow (X - \alpha)^{p^n} = X^{p^n} - \alpha^{p^n} = X^{p^n} - \alpha \in k[X]$

$$\text{So } \text{Ir}(a, k[X]) = f(X) \mid (X-a)^{p^n}$$

But this means that $f(X)$ only has one distinct root. So by Prop 6.1:

$$f(X) = (X-a)^{p^\mu} \text{ for some } 0 \leq \mu \leq n.$$

$$= X - a^{p^\mu}$$

Since $a^{p^\mu} \in b$ and $\mu \leq n$ by the definition of n .

$$f(X) = (X-a)^{p^n} = X - a.$$

3) \Rightarrow 4)

Since all elements of $\alpha \in E$ have their irreducible pol of the type:

$$X^{p^n} - a = 0 \Rightarrow a^{p^n} = a \in k \text{ for some } n.$$

So all elements of E are purely inseparable over k . We can take E to be the set of generators of itself.

4.) \Rightarrow 1)

Let $\sigma: E \rightarrow E^a$; be an embedding over k .

Let $\{\alpha_i\}_{i \in I}$ be the set of "generators" of E over k s.t
each α_i is purely inseparable over k .

So $\forall i$; let η_i be s/E :

$$\alpha_i^{p^{\eta_i}} \in k$$

So:

$$\alpha_i^{p^{\eta_i}} = \sigma(\alpha_i^{p^{\eta_i}}) = \sigma(\alpha_i)$$

$$\Rightarrow \sigma(\alpha_i)^{p^{\eta_i}} = \alpha_i^{p^{\eta_i}} = 0$$

$$\Rightarrow (\sigma(\alpha_i) - \alpha_i^{p^{\eta_i}}) = 0.$$

$$\Rightarrow \sigma(\alpha_i) = \alpha_i$$

$$\text{So } \forall \alpha \in E \Rightarrow \alpha = \sum_{i \in I} x_i \alpha_i \quad ; x_i \in k.$$

$$\Rightarrow \sigma(\alpha) = \sum_i \sigma(x_i) \sigma(\alpha_i) = \sum_i x_i \alpha_i = \alpha$$

So σ is the identity. Since it was chosen to be an arbitrary embedding, any embedding of E over k is the identity.

$$[\bar{E} : k]_S = 1$$

By def of $[E : k]_S$.



Definition 6.3.

Any extension satisfying one (hence all) of the above properties will be called *purely inseparable*.

Prop 6.5

Purely inseparable extensions form a "distinguished" class of extensions.

Proof: If $E \supseteq F \supseteq k$:

$$[E : k]_S = [\bar{E} : \bar{F}]_S [\bar{F} : k]_S$$

$$\therefore [\bar{E} : k]_S = 1 \Leftrightarrow [\bar{E} : \bar{F}]_S = [\bar{F} : k]_S = 1.$$

• If $E, F \subseteq L$, $E \setminus k$ is purely inseparable

Let E_{distr} be the set of generators of E over k s.t.
they are all purely inseparable.

Then note they are also the set of generators of
 EF over F .

QED

Prop 6.6

Let E be an algebraic extension of k . If E_0 is the
composition of all subfields F of E s.t. $F \supseteq k$ and
 F is separable over k .

Then E_0 is separable over k ; and E is purely
inseparable over E_0 .

Proof: E_0 is separable over k since separable

extensions form a "distinguished" class.
 Furthermore note that all elements of E_0 are separable over k ; and for all $\alpha \in E \setminus E_0$ α is separable over k , $k(\alpha)$ is a subfield of E that is separable over k .
 $\exists \alpha \in E \setminus E_0$.

$\therefore E_0 = \{\text{all elements of } E; \text{ separable over } k\}$.

But note $\forall \alpha \in E; \exists n \geq 0$ s.t. α^{p^n} is separable over k .

So $\alpha^{p^n} \in E_0 \Rightarrow E$ is purely insep over E_0 .

◻

Cor 6.7. If E/k is purely inseparable & separable then $E=k$.

Proof: Let $\alpha \in E$; by Prop 6.1.1 for some $n \in \mathbb{N}$

$$\text{Irr}(\alpha, k, X) = X^{p^n} - \alpha^{p^n} = (X - \alpha)^{p^n}$$

But since α is separable $\text{Irr}(\alpha, k, X)$ has

no multiple roots; so $p^n = 1 \Rightarrow n = 0$.

$$\therefore \alpha = \alpha^{p^n} \in k \quad \forall \alpha \in E.$$

So $E = k$. Q.E.D.

Cor 6.8. Let K be normal over k and R_0 be its maximal separable subextension.
Then K_0 is also normal over k .

Proof: Let

$$\sigma: K_0 \hookrightarrow K^a \text{ over } k$$

be an embedding and extend this to an embedding of K .

Since R is normal $\sigma k = K$. Now note that

σK_0 is still normal over k & $\sigma K_0 \subseteq \sigma R = K$.

So $\sigma_{K_0} \subseteq K_0$.

So $\sigma: K_0 \rightarrow K_0$ is an embedding and so it is an isomorphism.

12

Corollary 6.9. Let E, F be two finite extensions of k . Assume that E/k is separable, F/k is purely inseparable.
Assume E, F are subfields of a common field.
Then:

$$[EF:F] = [E:k] = [EF:k],$$
$$[EF:E] = [F:k] = [EF:k].$$

Proof:

$$\bullet [EF:F] = [EF:F] \text{, since } EF|F \text{ is sep}$$

$$= [EF:F]_1 [F:k]_2$$

$$= [EF:k]_3.$$

$$= [\bar{E}F:E]_S [\bar{E}:k]_S$$

$$= [\bar{E}:k]_S \text{ since } \bar{E}F \setminus \bar{E} \text{ is p.i.}$$

$$= [E:k]$$

$$\circ [EF:E] = [\bar{E}F:\bar{E}]_i \text{ since } \bar{E}F \setminus \bar{E} \text{ is p.i.}$$

$$= \frac{[\bar{E}F:k]_i}{[E:k]_i}$$

$$= [\bar{E}F:k]_i \text{ since } E \setminus k \text{ is sep}$$

$$= [EF:F]_i [\bar{F}:k]_i$$

$$= [F:k]_i \text{ since } \bar{E}F \setminus F \text{ is sep}$$

$$= [F:k]$$

BB

Cor. 6.10

Let E^p denote the field of all elements x^p ; $x \in E$.
Let E be a finite extension of k .

If $E^p = E$ then E is separable over k . If E is sep over k then $E^{p^n} = E \forall n \geq 1$.

Def 6.4 k/b be normal; the group of automorphisms of K over b is called the Galois group of the extension K/b .

Def 6.5.

A field b is called perfect if $b^p = b$. (Every field of char zero is also called perfect).

Cor 6.12. If b is perfect then every algebraic extension of b is separable; and every alg. extension of b is perfect.

