

Assignment 2

By Brandon Young and Ruicheng Wu

1 problem 2

1.1 a.

We claim the provided tree correctly categorize the provided example since every example can be inducted from this decision tree. Like GPA above 3.6 is P and below 3.3 is N, then with publication P, otherwise check University Rank, Only rank 2 will be P, other ranks are N. And recommendation doesn't matter.

1.2 b.

Step I

$$I(\frac{6}{12}, \frac{6}{12}) = 1$$

GPA: $[3.9, 4.0]$ 3(*PPP*) , $(3.2, 3.9)$ 5(*PPPNN*) , $[3.0, 3.2]$ 4(*NNNN*)

University: Rank 1— 5(*PPPNN*), Rank 2— 3(*PPN*) , Rank 3— 4(*PNNN*)

Publication: Yes 5(*PPPNN*) , No 7(*PPPNNNN*)

Recommendation: good 8(*PPPPPNNN*) , normal 4(*PNNN*)

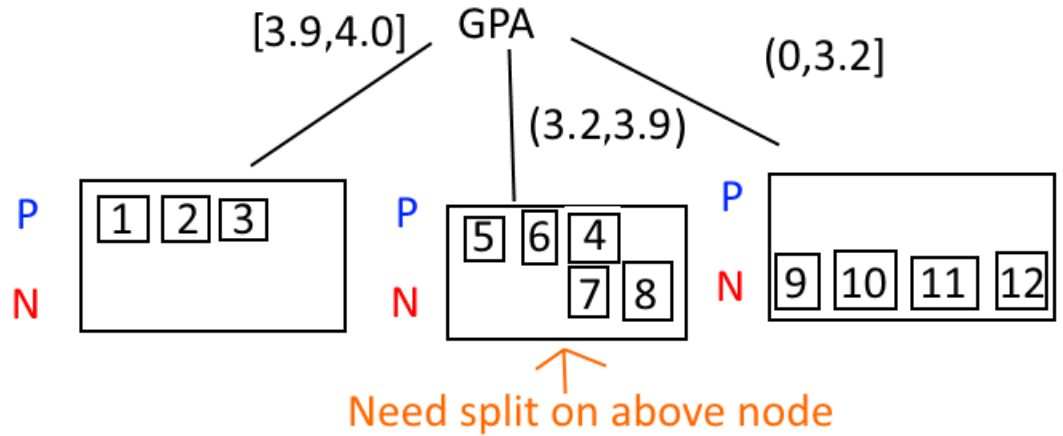
$$\text{Gain(GPA)} = 1 - [\frac{3}{12} B(\frac{3}{3}) + \frac{5}{12} B(\frac{3}{5}) + \frac{4}{12} B(\frac{0}{4})] = 1 - [0.0 + 0.404562747689 + 0.0] = 0.595437252311$$

$$\text{Gain(University)} = 1 - [\frac{5}{12} B(\frac{3}{5}) + \frac{3}{12} B(\frac{2}{3}) + \frac{4}{12} B(\frac{1}{4})] = 1 - [0.404562747689 + 0.229573958514 + 0.270426041486] = 0.095437252395$$

$$\text{Gain(Publication)} = 1 - [\frac{5}{12} B(\frac{3}{5}) + \frac{7}{12} B(\frac{3}{7})] = 1 - [0.404562747689 + 0.574716412687] = 0.020720839624$$

$$\text{Gain}(\text{Recommendation}) = 1 - \left[\frac{8}{12} B\left(\frac{5}{8}\right) + \frac{4}{12} B\left(\frac{1}{4}\right) \right] = 1 - [0.636289335283 + 0.270426041486] = 0.093284623231$$

So we pick GPA as the best Gain attribute in this level



Step II

$$I\left(\frac{2}{5}, \frac{3}{5}\right) = 0.970950594455$$

University: Rank 1— 2(PN), Rank 2— 1(P) , Rank 3— 2(PN)

Publication: Yes 2(PP) , No 3(PNN)

Recommendation: good 5(PPPNN)

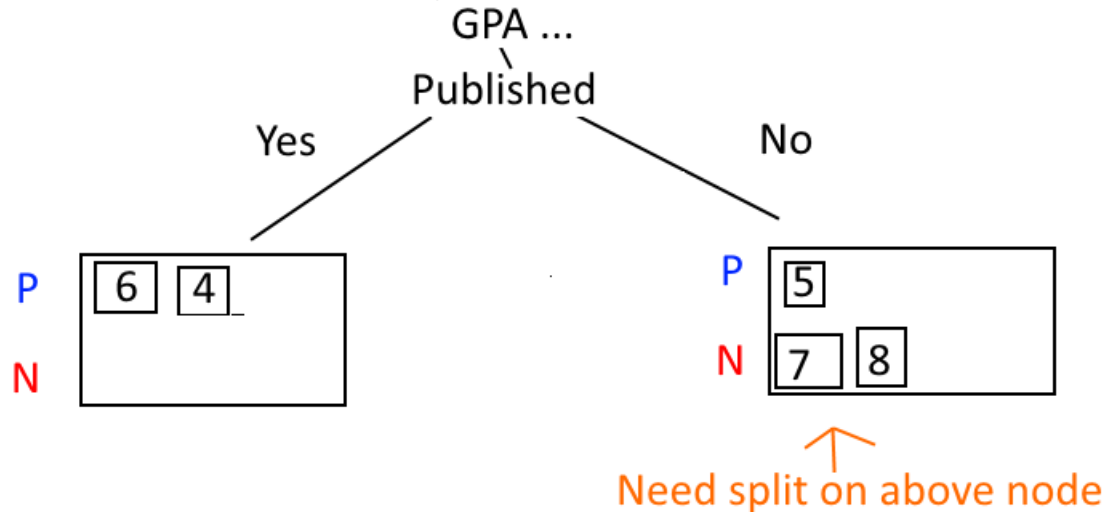
$$\text{Gain}(\text{University}) = 0.970950594455 - \left[\frac{2}{5} B\left(\frac{1}{2}\right) + \frac{1}{5} B\left(\frac{1}{1}\right) + \frac{2}{5} B\left(\frac{1}{2}\right) \right] = 0.970950594455 - [0.4 + 0.0 + 0.4] = 0.170950594455$$

$$\text{Gain}(\text{Publication}) = 0.970950594455 - \left[\frac{3}{5} B\left(\frac{1}{3}\right) + \frac{2}{5} B\left(\frac{2}{2}\right) \right] = 0.970950594455 - [0.550977500433 + 0.0] = 0.419973094022$$

$$\text{Gain}(\text{Recommendation}) = 0.970950594455 - \left[\frac{5}{5} B\left(\frac{3}{5}\right) \right] = 0.970950594455 -$$

$$[0.970950594455+0.0+0.0] = 0$$

So we pick Publication as the best Gain attribute in this level



Step III

$$I(\frac{1}{3}, \frac{2}{3}) = 0.918295834054$$

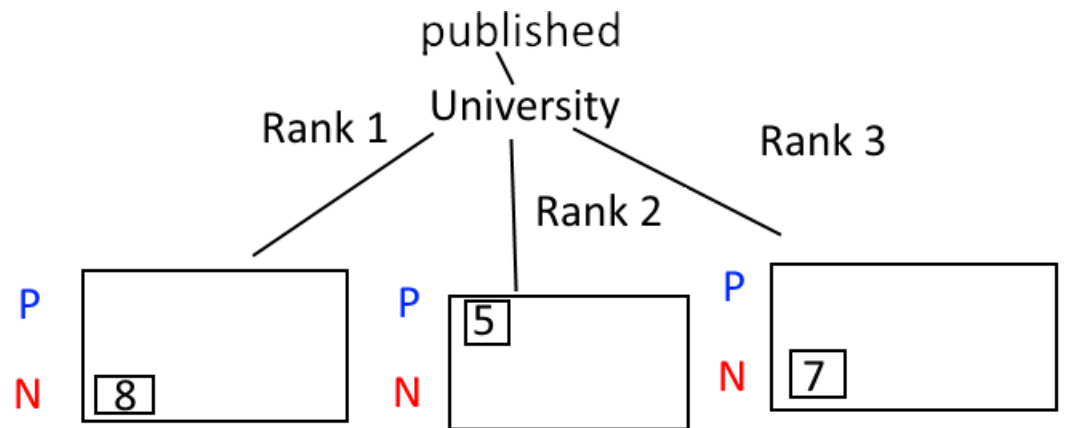
University: Rank 1 — 1(N), Rank 2 — 1(P) , Rank 3 — 1(N)

Recommendation: good 3(PNN)

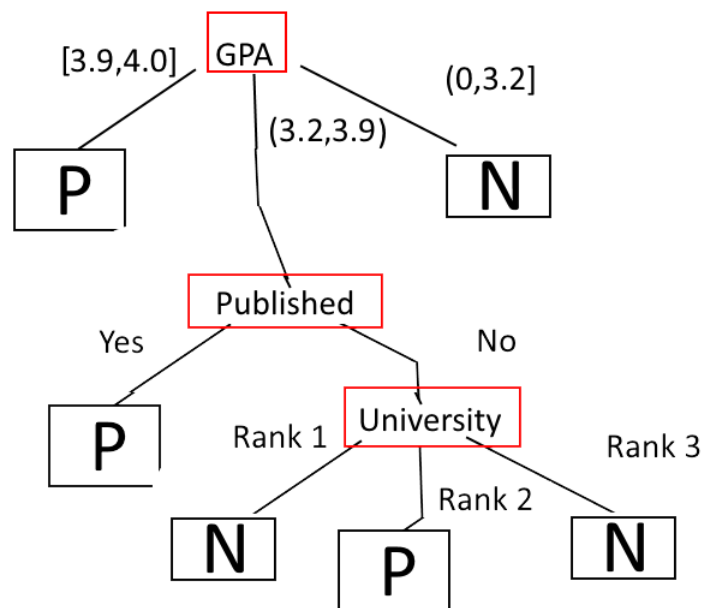
$$\text{Gain(University)} = 0.918295834054 - [\frac{1}{3} B(\frac{0}{1}) + \frac{1}{3} B(\frac{1}{1}) + \frac{1}{3} B(\frac{0}{1})] = 0.918295834054 - [0.0 + 0.0 + 0.0] = 0.918295834054$$

$$\text{Gain(Recommendation)} = 0.918295834054 - [\frac{3}{3} B(\frac{1}{3})] = 0.918295834054 - [0.918295834054] = 0$$

So we pick University as the best Gain attribute in this level



And the final tree to be returned is



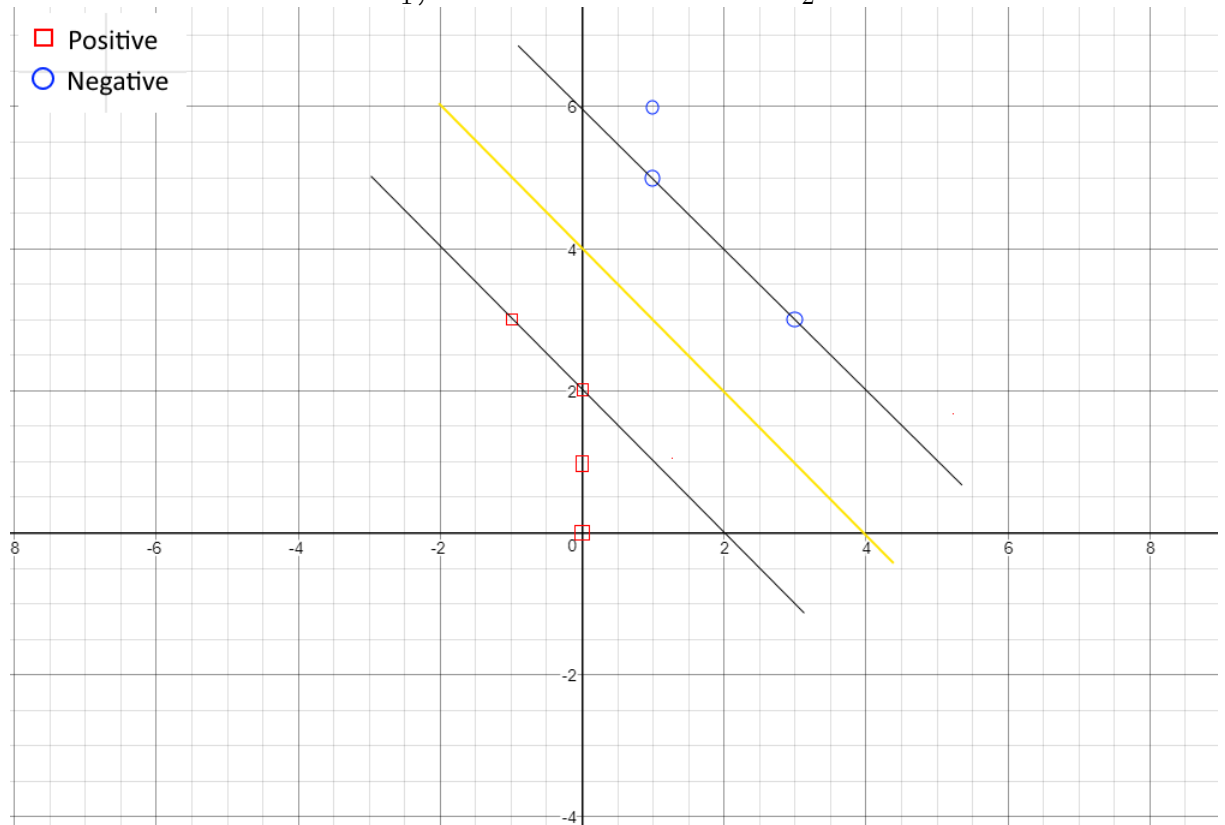
1.3 c.

The decision tree we got from b. is same from the provided one. This is not coincidence, it is believed both tree is generated by applying the decision tree algorithm.

2 problem 3

2.1 a.

The horizontal line is x_1 , the vertical line is x_2 .



2.2 b.

$s_1 : (1, 5)$, $s_2 : (-1, 3)$, $w : (1, 1)$, So decision boundary is:
 $y = (1, 1)x - 4$ Assume there is (a, a) for w^T , we will have

$$a + 5a + b = -1$$

$$-a + 3a + b = 1$$

Solve those equations for :

$$a = -0.5, b = 2$$

So we have $w^T : (-0.5, -0.5)$ and $b : 2$ for parameters. We can confirm the max Margin is $\frac{2}{\|w^T\|} = \frac{2}{(\frac{\sqrt{2}}{2})} = 2\sqrt{2}$, which verifies with the distance between $s_1 : (1, 5)$, $s_2 : (-1, 3)$, $\sqrt{(1 - (-1))^2 + (5 - 3)^2} = 2\sqrt{2}$.

And also we verify with given data.

$[-1 \ 3]^T$ $[0 \ 2]^T$ $[0 \ 1]^T$ $[0 \ 0]^T$, plug in: All positive , so those are 1;

$[1 \ 5]^T$ $[1 \ 6]^T$ $[3 \ 3]^T$, plug in: All negative , so those are -1;

2.3 c.

By inspection, the new added points are not support vectors(ie. the closest points to separating line)

We claim the $w^T : (-0.5, -0.5)$ and $b : 2$ are still same to parametrize with new data.

$[-2 \ 0]^T$ $[-2 \ 1]^T$ $[-2 \ 3]^T$ $[-1 \ 0]^T$ $[-1 \ 1]^T$ $[0 \ 0]^T$, plug in: All positive , so those are 1;

