

## Assignment 2

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### 1 problem 2

#### 1.1 a.

We claim the provided tree correctly categorize the provided example since every example can be inducted from this decision tree. Like GPA above 3.6 is P and below 3.3 is N, then with publication P, otherwise check University Rank, Only rank 2 will be P, other ranks are N. And recommendation doesn't matter.

#### 1.2 b.

##### Step I

$$I(\frac{6}{12}, \frac{6}{12}) = 1$$

GPA:  $[3.9, 4.0]$  3(*PPP*) ,  $(3.2, 3.9)$  5(*PPPNN*) ,  $[3.0, 3.2]$  4(*NNNN*)

University: Rank 1— 5(*PPPNN*), Rank 2— 3(*PPN*) , Rank 3— 4(*PNNN*)

Publication: Yes 5(*PPPNN*) , No 7(*PPPNNNN*)

Recommendation: good 8(*PPPPPNNN*) , normal 4(*PNNN*)

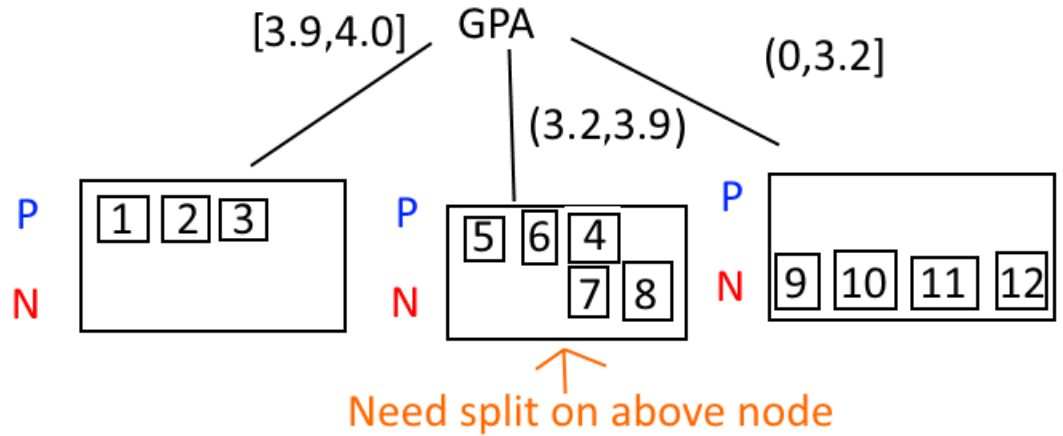
$$\text{Gain(GPA)} = 1 - [\frac{3}{12} B(\frac{3}{3}) + \frac{5}{12} B(\frac{3}{5}) + \frac{4}{12} B(\frac{0}{4})] = 1 - [0.0 + 0.404562747689 + 0.0] = 0.595437252311$$

$$\text{Gain(University)} = 1 - [\frac{5}{12} B(\frac{3}{5}) + \frac{3}{12} B(\frac{2}{3}) + \frac{4}{12} B(\frac{1}{4})] = 1 - [0.404562747689 + 0.229573958514 + 0.270426041486] = 0.095437252395$$

$$\text{Gain(Publication)} = 1 - [\frac{5}{12} B(\frac{3}{5}) + \frac{7}{12} B(\frac{3}{7})] = 1 - [0.404562747689 + 0.574716412687] = 0.020720839624$$

$$\text{Gain}(\text{Recommendation}) = 1 - \left[ \frac{8}{12} B\left(\frac{5}{8}\right) + \frac{4}{12} B\left(\frac{1}{4}\right) \right] = 1 - [0.636289335283 + 0.270426041486] = 0.093284623231$$

So we pick GPA as the best Gain attribute in this level



## Step II

$$I\left(\frac{2}{5}, \frac{3}{5}\right) = 0.970950594455$$

University: Rank 1— 2(PN), Rank 2— 1(P) , Rank 3— 2(PN)

Publication: Yes 2(PP) , No 3(PNN)

Recommendation: good 5(PPPNN)

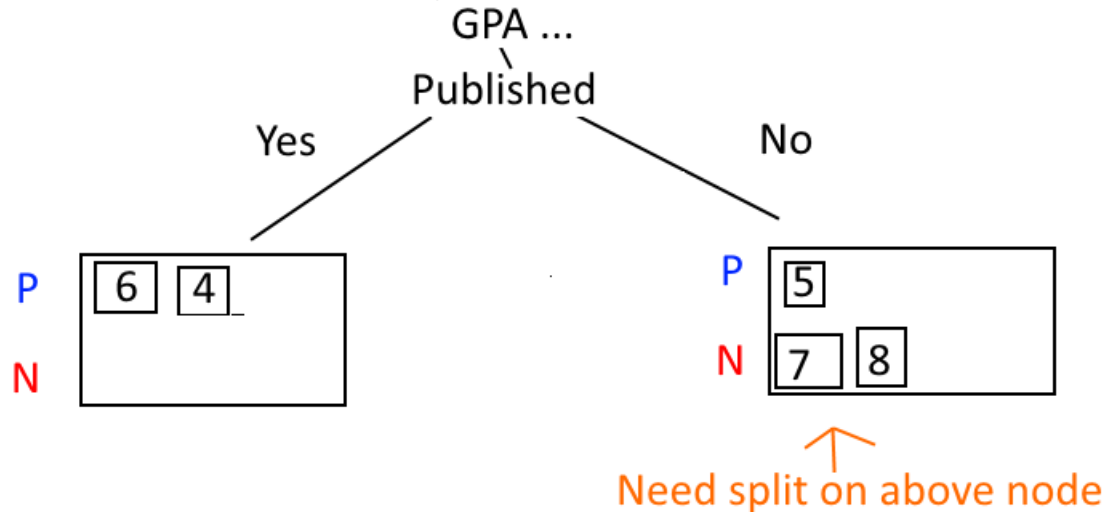
$$\text{Gain}(\text{University}) = 0.970950594455 - \left[ \frac{2}{5} B\left(\frac{1}{2}\right) + \frac{1}{5} B\left(\frac{1}{1}\right) + \frac{2}{5} B\left(\frac{1}{2}\right) \right] = 0.970950594455 - [0.4 + 0.0 + 0.4] = 0.170950594455$$

$$\text{Gain}(\text{Publication}) = 0.970950594455 - \left[ \frac{3}{5} B\left(\frac{1}{3}\right) + \frac{2}{5} B\left(\frac{2}{2}\right) \right] = 0.970950594455 - [0.550977500433 + 0.0] = 0.419973094022$$

$$\text{Gain}(\text{Recommendation}) = 0.970950594455 - \left[ \frac{5}{5} B\left(\frac{3}{5}\right) \right] = 0.970950594455 -$$

$$[0.970950594455+0.0+0.0] = 0$$

So we pick Publication as the best Gain attribute in this level



### Step III

$$I(\frac{1}{3}, \frac{2}{3}) = 0.918295834054$$

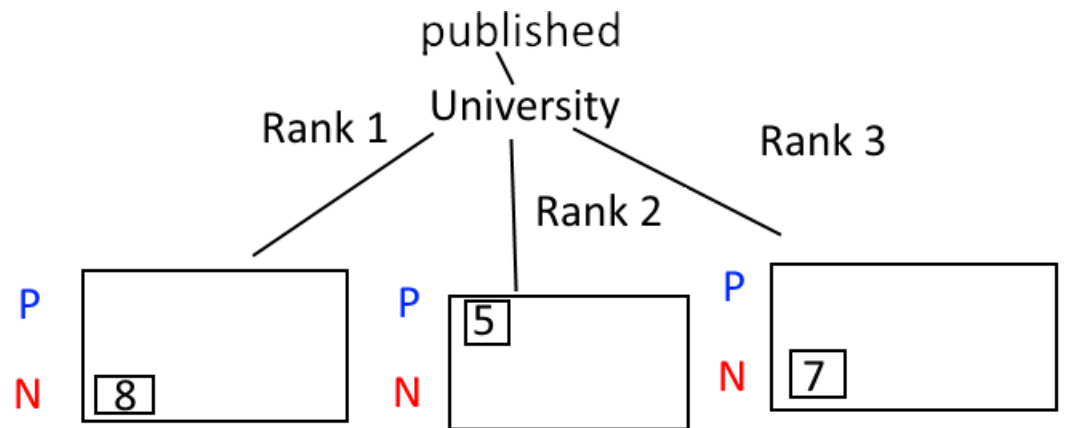
University: Rank 1 — 1(N), Rank 2 — 1(P) , Rank 3 — 1(N)

Recommendation: good 3(PNN)

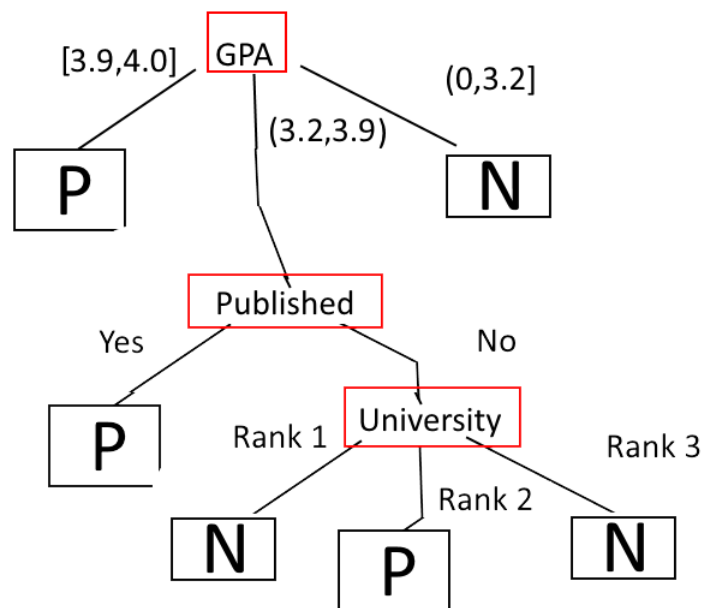
$$\text{Gain(University)} = 0.918295834054 - [\frac{1}{3} B(\frac{0}{1}) + \frac{1}{3} B(\frac{1}{1}) + \frac{1}{3} B(\frac{0}{1})] = 0.918295834054 - [0.0 + 0.0 + 0.0] = 0.918295834054$$

$$\text{Gain(Recommendation)} = 0.918295834054 - [\frac{3}{3} B(\frac{1}{3})] = 0.918295834054 - [0.918295834054] = 0$$

So we pick University as the best Gain attribute in this level



And the final tree to be returned is



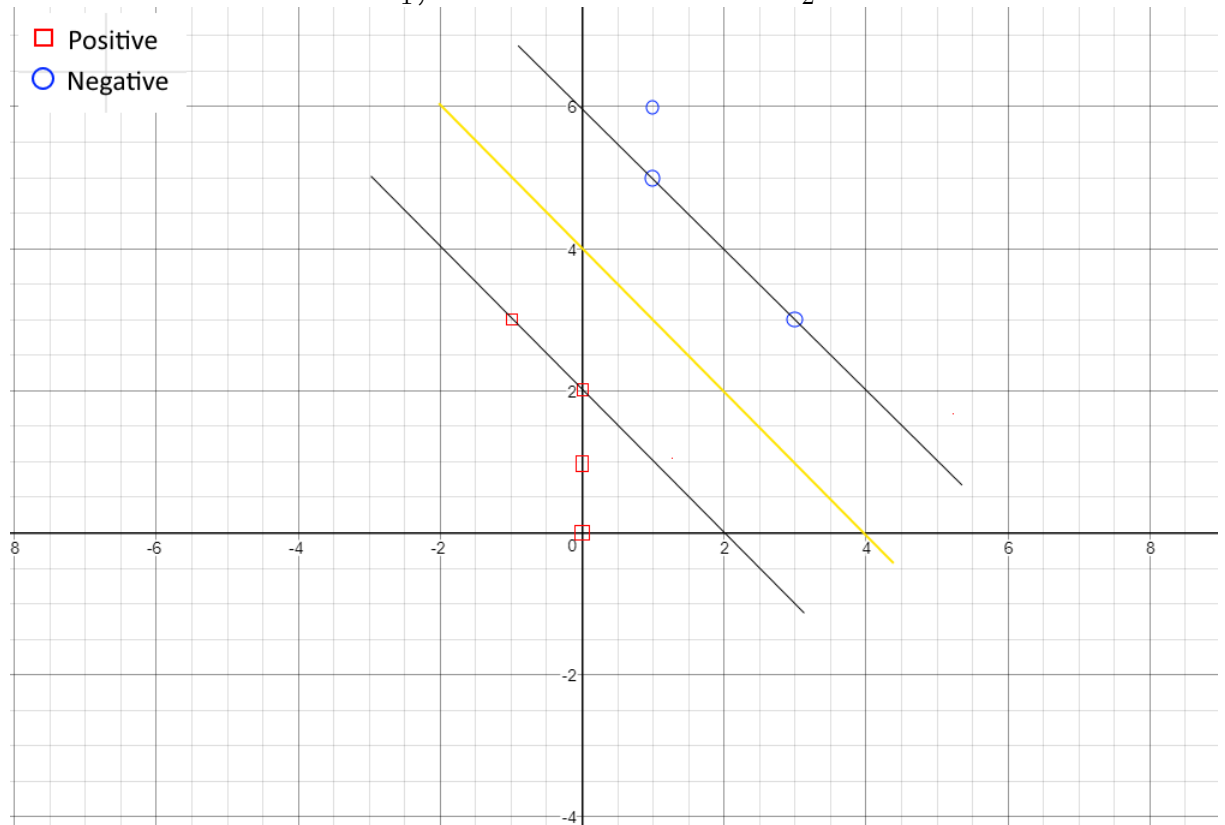
### 1.3 c.

The decision tree we got from b. is same from the provided one. This is not coincidence, it is believed both tree is generated by applying the decision tree algorithm.

## 2 problem 3

### 2.1 a.

The horizontal line is  $x_1$ , the vertical line is  $x_2$ .



## 2.2 b.

$s_1 : (1, 5)$  ,  $s_2 : (-1, 3)$  ,  $w : (1, 1)$ , So decision boundary is:  
 $y = (1, 1)x - 4$  Assume there is  $(a, a)$  for  $w^T$ , we will have

$$a + 5a + b = -1$$

$$-a + 3a + b = 1$$

Solve those equations for :

$$a = -0.5, b = 2$$

So we have  $w^T : (-0.5, -0.5)$  and  $b : 2$  for parameters. We can confirm the max Margin is  $\frac{2}{\|w^T\|} = \frac{2}{(\frac{\sqrt{2}}{2})} = 2\sqrt{2}$ , which verifies with the distance between  $s_1 : (1, 5)$  ,  $s_2 : (-1, 3)$  ,  $\sqrt{(1 - (-1))^2 + (5 - 3)^2} = 2\sqrt{2}$ .

And also we verify with given data.

$[-1 \ 3]^T \ [0 \ 2]^T \ [0 \ 1]^T \ [0 \ 0]^T$ , plug in: All positive , so those are 1;

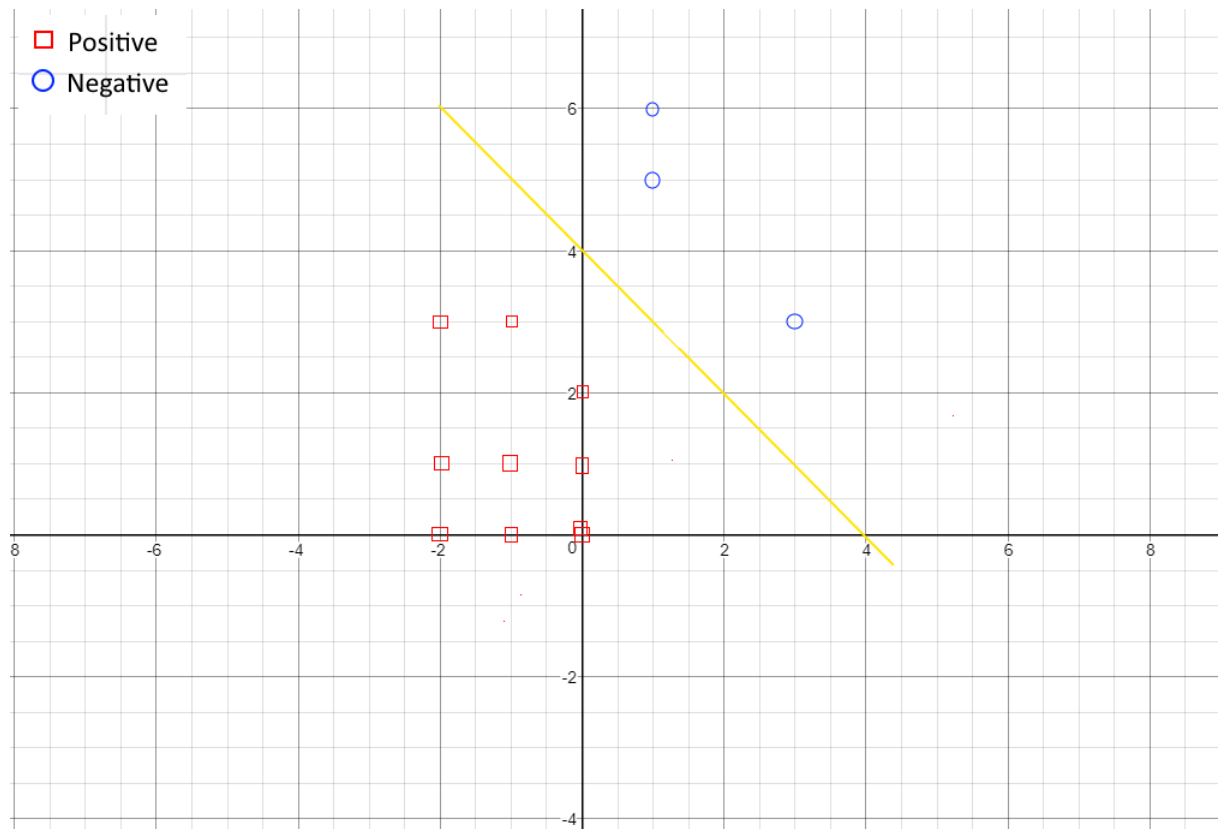
$[1 \ 5]^T \ [1 \ 6]^T \ [3 \ 3]^T$  , plug in: All negative , so those are -1;

## 2.3 c.

By inspection, the new added points are not support vectors(ie. the closest points to separating line)

We claim the  $w^T : (-0.5, -0.5)$  and  $b : 2$  are still same to parametrize with new data.

$[-2 \ 0]^T \ [-2 \ 1]^T \ [-2 \ 3]^T \ [-1 \ 0]^T \ [-1 \ 1]^T \ [0 \ 0]^T$ , plug in: All positive , so those are 1;



### 3 problem 5

#### 3.1 a.

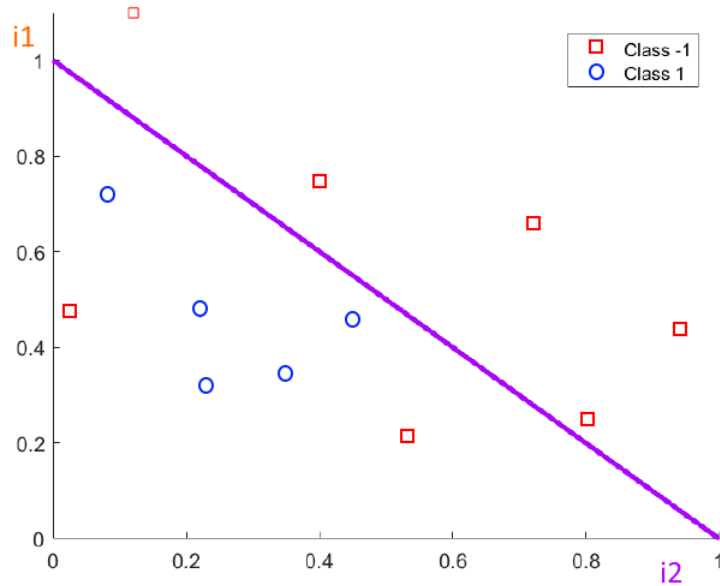
We define those points by inspection.

Class 1:  $(0.03, 0.50), (0.11, 1.2), (0.5, 0.75), (0.54, 0.23), (0.7, 0.65), (0.8, 0.25), (0.91, 0.4)$

Class -1:  $(0.07, 0.73), (0.23, 0.49), (0.24, 0.33), (0.35, 0.35), (0.46, 0.46)$ ;

The line can be observed from the graph, and we found the best single perceptron will have two unclassified points. So the minimum error will be  $\frac{2}{12} = 0.167$ ;

The formula for this dividing line is :  $1 - 1 * x_1 - 1 * x_2 = 0$



### 3.2 b.

We claim that we need 3 separate lines to completely separate two classes. So we need at least 3 perceptrons to compute classification functions. We will just use 3 perceptrons for simplicity.

Formula for line 1:

$$1 - 1 * x_1 - 1 * x_2 = 0$$

$$W_{1,0} = 1, W_{1,1} = -1, W_{1,2} = -1$$

Formula for line 2:

$$0.2 - 1 * x_1 + 0.6 * x_2 = 0$$

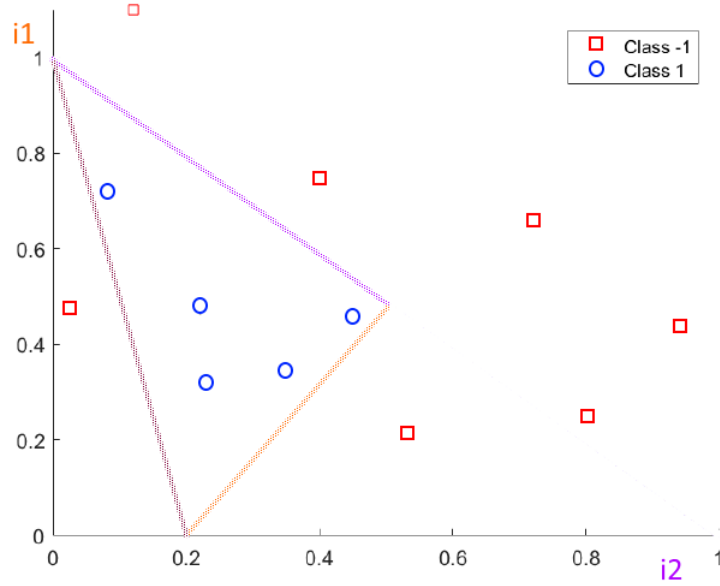
$$W_{1,0} = 0.2, W_{1,1} = -1, W_{1,2} = 0.6$$

Formula for line 3:

$$-0.2 + 1 * x_1 + 0.2 * x_2 = 0$$

$$W_{1,0} = -0.2, W_{1,1} = 1, W_{1,2} = 0.2$$





As we can see, a data point gives output as positive with all three perceptrons is class 1 and same applies to class -1. So in the next layer, we can simply apply "and" operation to the results of all three perceptrons. In that case, we only need one unit. And the output will be 1 if and only if all perceptrons in 1st layer output 1.

Hence we set

$$W_{4,0} = -2.5, W_{4,1} = 1, W_{4,2} = 1, W_{4,3} = 1$$

