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**DISSERTATION THESIS**

**Real-time human pose estimation and tracking for  
rehabilitation therapy**

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# Table of Contents

<b>1</b>	<b>Introduction</b>	<b>1</b>
1.1	Physical Therapy . . . . .	1
1.2	Track progress in rehabilitation therapy . . . . .	2
1.3	What is pose estimation? . . . . .	3
<b>2</b>	<b>Theoretical Background</b>	<b>5</b>
2.1	Neural network . . . . .	5
2.1.1	History . . . . .	5
2.1.2	Biological . . . . .	7
2.1.3	Overview . . . . .	7
2.1.4	Backpropagation algorithm . . . . .	10
2.2	Convolutional Neural Network . . . . .	11
2.2.1	Convolution Layer . . . . .	12
2.2.2	Pooling Layer . . . . .	14
2.2.3	Fully Connected Layer . . . . .	15
<b>3</b>	<b>Related work</b>	<b>16</b>
3.1	DeeperCut: A Deeper, Stronger, and Faster Multi-Person Pose Estimation Model [14]	16
3.1.1	Problem . . . . .	16
3.1.2	Methods . . . . .	16
3.1.3	Data . . . . .	16
3.1.4	Performance and comparisons . . . . .	17
3.2	Human Pose Estimation from Monocular Images: A Comprehensive Survey [25] . .	17
3.2.1	Problem . . . . .	17
3.2.2	Methods . . . . .	17
3.2.3	Data . . . . .	17
3.2.4	Performance and comparisons . . . . .	18
3.3	PersonLab: Person Pose Estimation and Instance Segmentation with a Bottom-Up, Part-Based, Geometric Embedding Model [21] . . . . .	18
3.3.1	Problem . . . . .	18
3.3.2	Methods . . . . .	18
3.3.3	Data . . . . .	19
3.3.4	Performance and comparisons . . . . .	19
3.4	Towards Accurate Multi-person Pose Estimation in the Wild [22] . . . . .	19
3.4.1	Problem . . . . .	19
3.4.2	Methods . . . . .	20

3.4.3	Data . . . . .	20
3.4.4	Performance and comparisons . . . . .	20
3.5	Realtime Multi-Person 2D Pose Estimation using Part Affinity Fields [11] . . . . .	21
3.5.1	Problem . . . . .	21
3.5.2	Methods . . . . .	21
3.5.3	Data . . . . .	21
<b>4</b>	<b>System architecture and specifications</b>	<b>22</b>
4.1	Goals . . . . .	22
4.2	Mobile - Application development . . . . .	23
4.2.1	Specification of the problem . . . . .	23
4.2.2	Analysis and design . . . . .	23
4.2.3	Implementation . . . . .	26
4.2.4	User manual . . . . .	27
4.3	Web - Application development . . . . .	30
4.3.1	Specification of the problem . . . . .	30
4.3.2	Analysis and design . . . . .	30
4.3.3	Implementation . . . . .	31
4.4	Experimental results . . . . .	33
4.5	Possible extensions . . . . .	34
<b>5</b>	<b>Conclusion</b>	<b>36</b>

## **Abstract**

The goal of this paper is to obtain reports on patient progress based on intelligent pose estimation algorithms to motivate the patient to continue medical recovery.

Most patients require long-term medical recovery, which means an average of 2 or 3 years, give up during the recovery period because they do not see results even if they exist, they are not obvious to the naked eye. More worrying is that up to 70% of patients give up physiotherapy because they can not see immediate results.

Our solution consists of making two prototypes demonstrating the ability of these algorithms to integrate into a solution to help the end user, we will focus on the performance of these algorithms.

The main problem is low performance because it requires a large amount of computing. The objective is to run algorithms at a performance of 30 frames per second on the end-user device. Next, we studied two important architectures in pose estimation:

- MobileNet is optimized for mobile, reducing the number of calculations through special architecture [13].
- Convolutional pose machines that specializes in pose estimation, having a composite structure of several classifiers [26].

In this regard, we have chosen to use two separate applications of these architectures, observing the performance and accuracy of the estimates.

The mobile application for tracking patient progress in real time using the camera phone. He will do each exercise in front of the camera and receive reports related to his performance on days. The performances are the distances traveled during the exercise by its body members.

The web application will calculate a range of motion to help the patient perform the correct exercises, so we will count the correct number of repetitions of an exercise based on the angles that help the patient during the exercise.

Following the implementation of the two variants, we discovered that the network architecture presented in the article, Convolutional pose machines [26], is more powerful and accurate than the web implementation using the MobileNet architecture, which is not particularly optimized for the pose estimation problem.

This work is the result of my own activity. I have neither given nor received unauthorized assistance on this work.

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Timis Razvan Vasile

# Chapter 1

## Introduction

More and more people need medical recovery from all age groups. There are many cases where there is a medical problem that can be resolved by medical recovery.

For example, in the case of people who suffer accidents and remain paralyzed completely or partially, recovery is vital in maintaining and improving the person's condition. Other problems that occur frequently at any age are fractures that require increased attention and a recovery program in most cases.

In the case of older people, there are many problems that arise and require medical recovery, one of the problems is osteoporosis, around 10 million Americans have this problem and another 34 million have problems with bone mass, which is a high risk of a problem [15]. And in the case of children there is a significant number that is born with different deficits of movement.

Many people quit medical recovery due to lack of progress or high costs.

For example, America spends more than \$18 billion annually on account of injuries to older people (Burns, Stevens, Lee, 2016; Stevens, Corso, Finkelstein, Miller, 2006).

Next we will talk about what is Physical Therapy and what are the main goals in a medical recovery program. How to track the progress of several sessions and in the end we will talk about what it means to detect a person's posture.

### 1.1 Physical Therapy

Ever since antiquity (ancient China, ancient India, ancient Greece, ancient Egypt, etc.), physical exercises have been practiced to maintain a good physical form but also to treat diseases such as

muscular pain, gout, obesity, etc.

The great Greek antiquity doctor, Hippocrates, in the first hospital built on the island of Kos, carefully studied the physiological effects of gymnastics and massage defining health as a balance between exercise and nutrition. For the first time, the relationship between motion-hypertrophy and immobilization-muscular atrophy is noted. He argues that physical exercise and massage influence favorably the breathing, metabolism, blood circulation and balances the activity of the central nervous system [9].

Physical therapy is used for somato-functional medical recovery and consists of a set of techniques and methods that focus on the physical exercise.

Physical therapy includes three forms of kinetoprophylaxis: primary, secondary, and tertiary.

- Primary when using physical exercise, techniques and methods to prevent illness.
- Secondary kinetoprophylaxis has the role of preventing complications of illnesses.
- Tertiary kinetoprophylaxis prevents the appearance of sequelae following illnesses that could cause motor disabilities.

The main goals of physical therapy are relaxation, increased exercise capacity, increased strength, muscle strength and posture correction.

Current technological developments have allowed the use of computer technologies in physiotherapy for different measurements, allowing accurate assessment and treatment personalization.

## 1.2 Track progress in rehabilitation therapy

In Physiotherapy, tracking Range of Motion (ROM) is a standard approach to measuring progress in patient therapy. Often, ROM is measured subjectively and documentation is inconsistent between clinicians. Physios might come to wrong conclusions if ROM is tracked incorrectly between therapy sessions.

The problem is that up to 70% of patients give up physiotherapy because they can not see immediate results [16].

How do we measure range of motion? For example in Figure 1.1, the elbow of the hand has a range of motion that is expressed in degrees. So we can say that each specific joint has a normal range of motion that is expressed in degrees.

Often there is a problem due to connective tissue, which is involved in the process of repairing the body after a trauma or surgery and causing the limitation of normal joint motion.

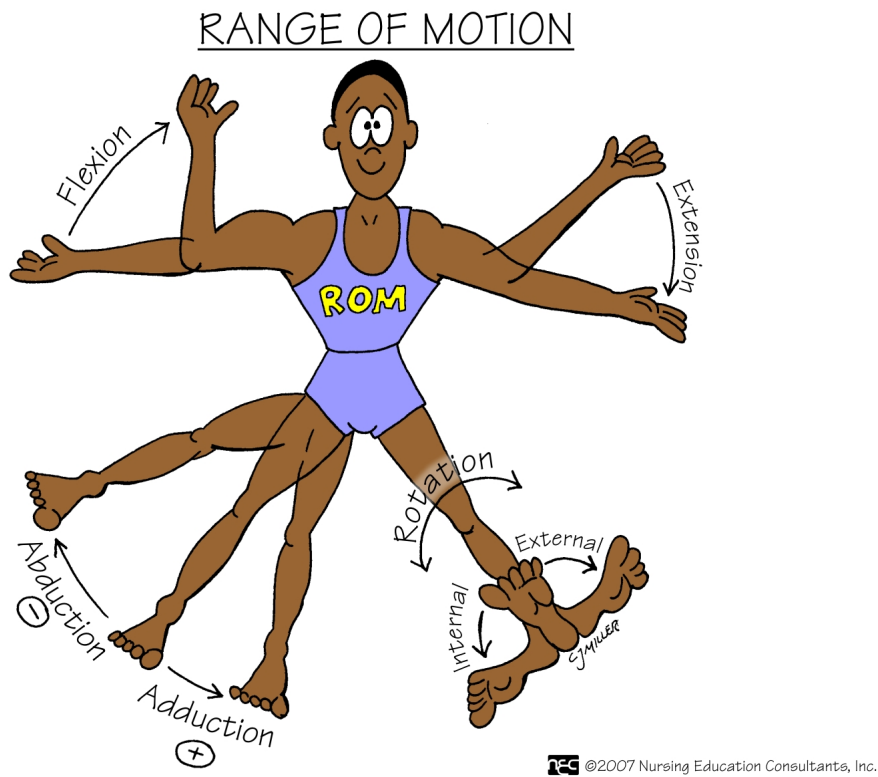


Figure 1.1: Range of Motion [1]

That's why we want to make an application which makes use of a camera to objectively calculate ROM in real-time and automatically produce a report that tracks progress over the course of several therapy sessions.

Another approach we use to measure the progress of patient movement is to measure the distance of the patient's hands and feet. For example, if the patient performs an exercise session after the session is completed we will be able to see a report with the right and left hands, as well as the legs.

In this paper we will try to implement two prototypes of applications, a web application and a mobile application, in which we will address the two approaches to track progress described above.

### 1.3 What is pose estimation?

The task of determining the position of an object in an image or even a real-time video, as is the case in the present work, is called pose estimation. Pose refers to the object's position and orientation



in the coordinate system.

In the computer vision industry, for the first time, pose estimation algorithms have been developed to be use especially in robotics, to schedule a robot to do certain tasks such as moving certain objects to a warehouse. [24]

The pose estimation problem can be solved in three ways:

- Analytic or geometric method consists of identify a set of control points on the object, usually obtained on the basis of features such as edges
- Genetic algorithm consists in using positions as genetic representation and calculating errors as the value between the projection of the object control points and image based on fitness function.
- Learning-based method uses a system based on artificial intelligence, usually convolutional neural network, in the next chapter we will describe how it works.

In this paper we choose the third method based on artificial intelligence, considered the most appropriate.

More than that, we try to run these algorithms on the browser and on mobile devices, in Chapter 4 we will explain in details.

Below we will present more details about intelligent algorithms that have learned to detect the position of body parts.

# Chapter 2

## Theoretical Background

In this chapter we will present a brief introduction to neural networks and then describe the underlying concepts of the Convolutional Neural Network (CNN). We will focus on presenting the main concepts that will be used in the development of pose estimation algorithms.

### 2.1 Neural network

In the Neural Networks chapter, we will talk in the first part about a brief history, presenting the three periods through which artificial intelligence has passed. Then we will address the biological part that is found in neural networks. In the next section we will discuss what is a neural network, how many types of such networks are there? What is a neuron? But an activation function? Finally, we will discuss learning such a neural network with its specific algorithms.

#### 2.1.1 History

Artificial intelligence takes us to think of some SF films, but it still has a long way to go, for now these intelligent algorithms can only get to the level of intelligence of an insect, they work better in certain exact tasks as imagine detectie and not in general like a brain.

But let's not forget that this domain has been built around the dream to overcome human intelligence. The essential question is whether such a system can be implemented on a computer?

The domain of psychology was the first to have had the artificial intelligence applicability, the most famous Turing test that appeared in 1950. It involves a conversation of a person with a computer

and another person and he has to guess who is the person [23].

Three great periods are in the history of artificial intelligence. After the Second World War, the first program was developed to implement various smart algorithms to solve puzzles [23].

An important algorithm in this field is Samuel's game, it was quite simple to implement. The program was aiming to save certain winning positions throughout the game. The first period kept until 1965, but no algorithm has led to major changes in people's lives [23].

In the second period, so many applications are launched that implement concepts from the processing of natural language.

One of the famous programs of those times was called ELIZA. This program learns to copy the conversations of a psychologist with his patients. How did ELIZA work? ELIZA had a knowledge base in English and a field of psychology made up of a set of rules, so ELIZA worked on the "fit" principle. For example, when it found the word "father," it said, "Tell me about your family" [23].

Also during this period, rule-based expert systems appeared. One such system was called MYCIN, which was designed to diagnose infectious diseases of the blood and recommend medical treatment. It is based on the rules made by specialists [23].

From 1975 to the present, there is the third period of artificial intelligence. This domain is becoming more stable and the industry is adopting these intelligent systems.

Warren McCulloch and Walter Pitts have been the first researchers that has made the research. In 1940, they highlighted the first digital model of a neuron that discovers the computational capacity, also providing a mathematical abstraction of this concept. Thus the synapses that a neuron makes through dendrites become inputs, the body of a neuron becomes an activation function, the axon has become the output and the BAIS notion has been introduced for mathematical restlessness features. [5]

In 1969, authors Marvin Minsky and Seymour Papert published the book "Perceptrons," which highlighted the limitations that exist for one-level neural networks. After this publication many people who were doing research, decided to quit [5].

Neural networks have become one of the most used in our days. Big Cloud companies offer an API through which any developer can get his own training in the easiest way.

### 2.1.2 Biological

The great mystery of this universe is the way people think, knowing for several thousand years that powerful head shots can generate loss of consciousness or even death. But more than that, we know our brain is different from animals. In about 335 BC, Aristotle wrote, "Of all animals, man has the greatest brain." [20].

The nervous system is made up of neurons. Each neuron can be represented as a unit. Between neurons there are synapses that can be of two axo-somatic or axo-dendritic types. The body of the neuron has two types of extensions [12]:

- Dendrites are relatively short, and branched near the cell
- The axon is longer and thicker in the propagation of the electrical impulse.

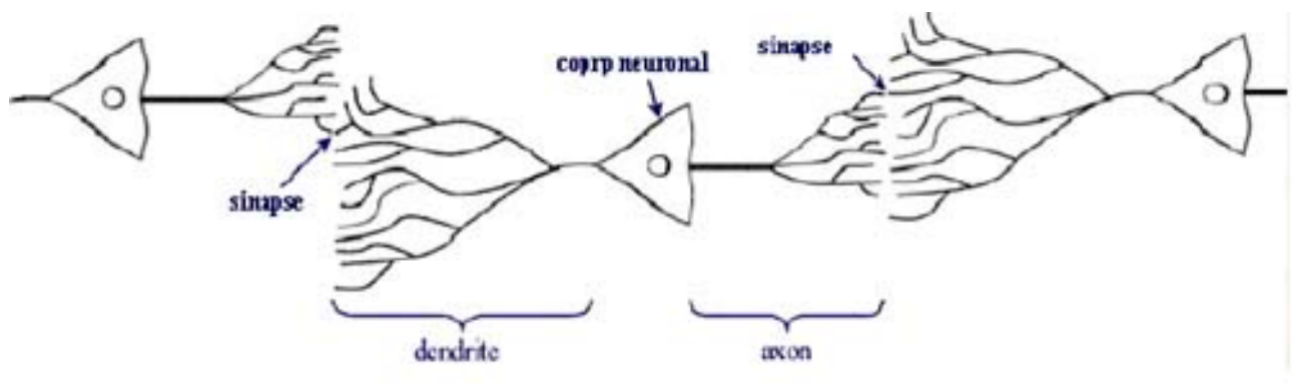


Figure 2.1: Network of biological neurons [20]

While a reader uses a network of  $10^{11}$  biological neurons and  $10^4$  connections between neurons, the processing time of  $10^{-3}$  ms and  $10^{-9}$  ms for electronic circuits, although biological neurons are more slower than electronic circuits, biological ones can process information much faster than any other circuit. [12]

### 2.1.3 Overview

A neural network is a copy of the biological model, so it has nodes that are connected by links, these links are actually real numbers that represent the memory of a network. Learning a neural network is accomplished by changing the weight between the nodes.

Any neural network is organized on layers, so there is an input layer and an output layer. The input layer is the one that receives the data from the outside and the output layer provides us with the information we expect. [20]

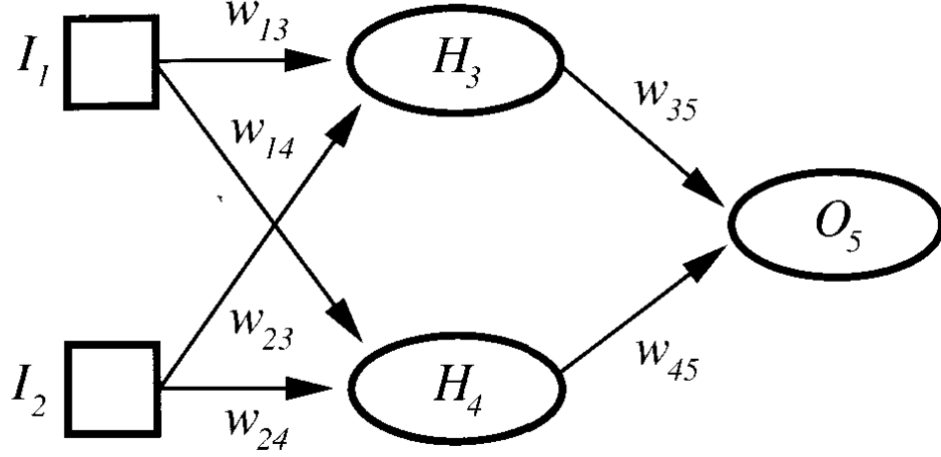


Figure 2.2: Feedforward neural network [20]

In Figure 2.2 shows a neural network of the three-layer feed-forward type, which has links between the neurons in one direction, because the cycles in such a network are missing, the calculation can continue from the input nodes to the exit ones [20].

We can say that everything is summed up to the calculations of a value using input values, weights on each layer, and activation function, most of the times it is difficult to choose the activation function or other parameters that make the performance of a network increase.

If we compare the human brain to such a network, we can see that some regions in the brain are feed-forward, but others have quick back links. Then we can say that the human brain is closer to recurrent network [20].

The best-understood neural networks of the recurrent type are thought to be Hopfield networks. Figure 2.3 shows such a network. It can be observed that they use bidirectional connections between neurons, the weights of the neurons being symmetrical. Besides, all layers in the network are both input and output, and the activation function is the sign function. Such a network works as an associative memory.

Regarding the structure of the network, sometimes the performance of the network is very much dependent on this, because if we choose the wrong structure of a network then its performance will be poor. For example, if we choose a network too small, the mathematical model will not be rep-

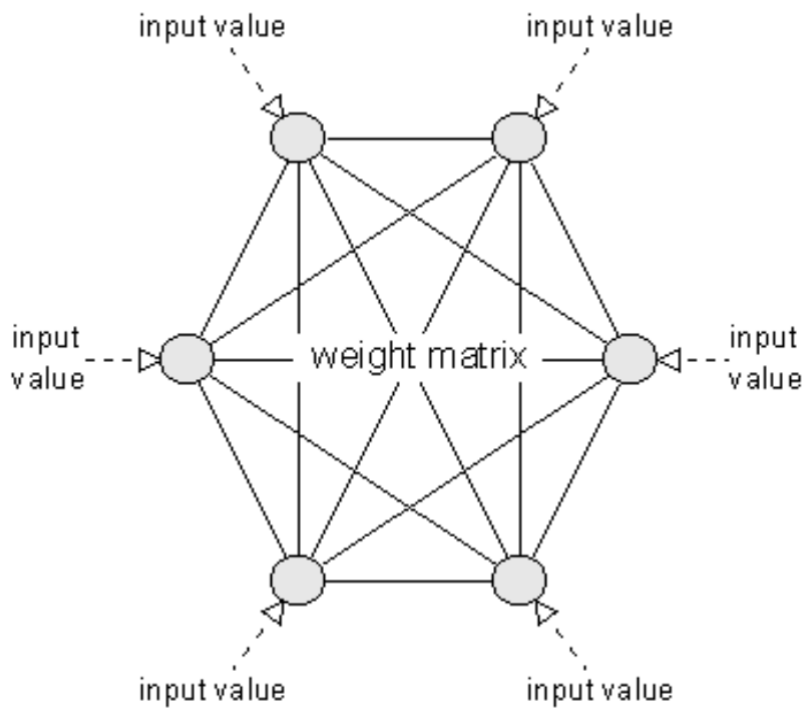


Figure 2.3: Recurrent Network - Hopfield [20]

representative of the training data, and if we choose larger network, it will learn the training data well, memorizing them but will not be able to generalize the new entries. [20]

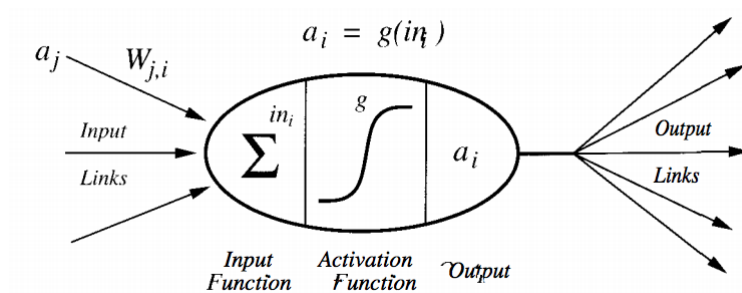


Figure 2.4: An artificial neuron [20]

On top we will describe how a neuron works. Each neuron is connected to other neurons, some of them being input and others output, they make a relatively simple calculation, this computation being divided into two components, one linear and another non-linear. The first is a weighted sum of the input values in the neuron, this is the linear component and the second component is represented by the activation function,  $g$ ,  $a_i$  it converts the weighted sum to the final value, which then serves as an

activation value unit, most of the times the entire network uses the same activation function [20].

There are different models for the activation function, but only three of them are the most used, step function, sign and sigmoid, shown in Figure 2.5. These activation functions allow the network to model nonlinear relationships between input parameters and output values. The step function returns the values according to a threshold  $t$ . If the value is greater than  $t$ , return 1 and otherwise 0. There is also a biological motivation for this function, 1 represents the transmission of the nerve impulse and 0 the failure transmission.

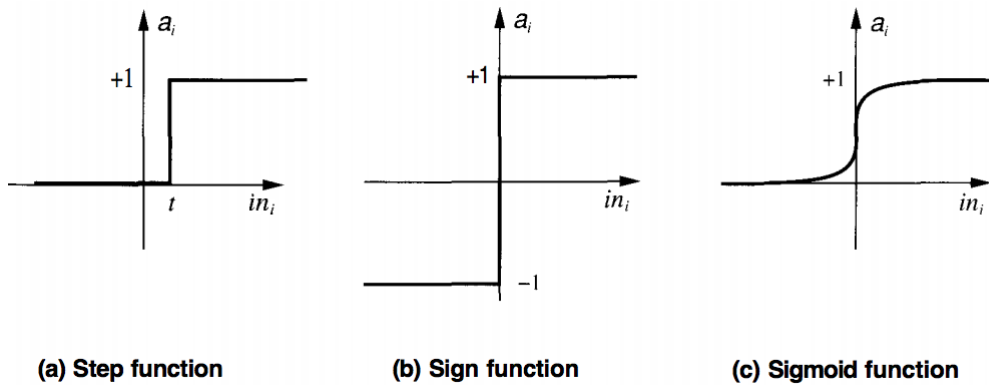


Figure 2.5: Activation functions [20]

Another important issue is networking, their ability to learn by interacting with the environment is the main feature of the neural network. One of the most popular algorithms is backpropagation. We dedicated a special section below for the backpropagation algorithm. The idea behind learning algorithms is based on updating the weights, if there is an error between the output of the network and the value we expect then the weights are updated, so the error is reduced by dividing it equally between weights.

### 2.1.4 Backpropagation algorithm

It was discovered for the first time by Bryson Si Ho in 1969, since the beginning could not be used due to the large computational requirements [20].

This algorithm is based on updating the weights, trying to minimize the error between each calculated output value and the expected value. This process is done by propagating back errors. To update the weights, the derived activation function is used.

This weights update process is repeated for all drive data trying to get as little error as possible.

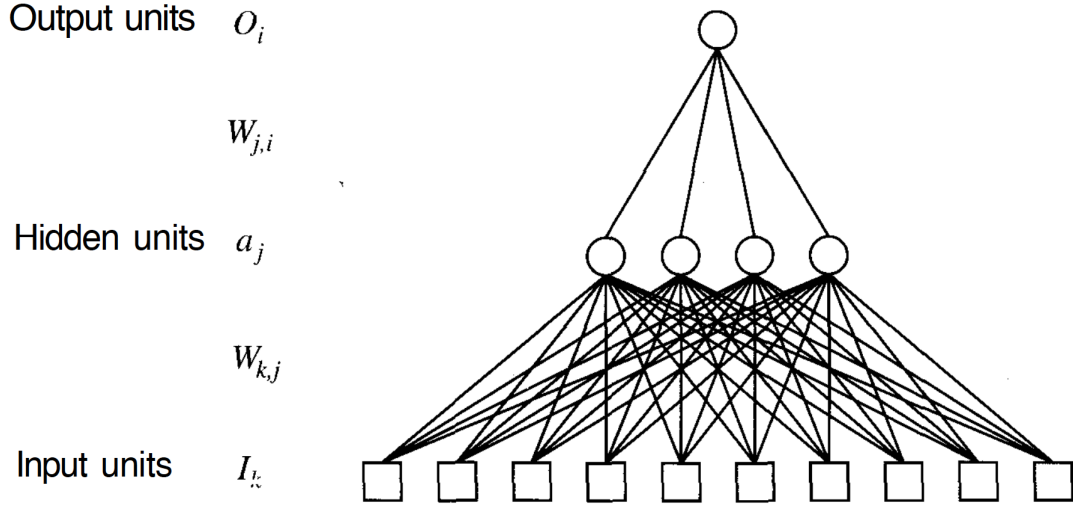


Figure 2.6: Multilayered network [20]

Starting from the idea of updating the weights between the layers. Between the exit entrance and the hidden layer, noted  $W_{i,j}$ , we will use the formula  $W'_{j,i} = W_{j,i} + r \times \Delta_i^{output} \times O_i$ , where  $r$  is learning rate and  $\Delta_i^{output}$  is calculated by using the formula  $\Delta_i^{output} = Err_i \times g'(O_i)$

Now we can calculate the errors by the formula  $Err_i = T_i - O_i$ , where  $O_i$  is output value and  $T_i$  is a expected value.

Next we will need to update weights from the next layer using  $W'_{k,j} = W_{k,j} + r \times \Delta_j^{hidden} \times I_k$  where  $\Delta_j^{hidden} = r \times \Delta_j^{output} \times a_j$ .

Backpropagation is used by computers to learn from their mistakes and get better at doing a specific thing, above I described this process. So using this computer can keep guessing and get better and better at guessing like humans do at one particular task.

## 2.2 Convolutional Neural Network

In this section we discuss the main notions that occur in a neural convolution network that is a kind of neural network, but they are capable of processing large amounts of data such as images.

The name "convolutional neural network" indicates that the convolution mathematical operation is used. Convolution is a mathematical operation on two functions (f and g) to produce a third function [19].



In this thesis we will focus on using CNN on images, more precisely on the image classifications. For example, if we want to classify pictures with dogs and cats, the algorithm will receive an image as input data. The algorithm interprets the input image as a pixel array, like in Figure 2.7.

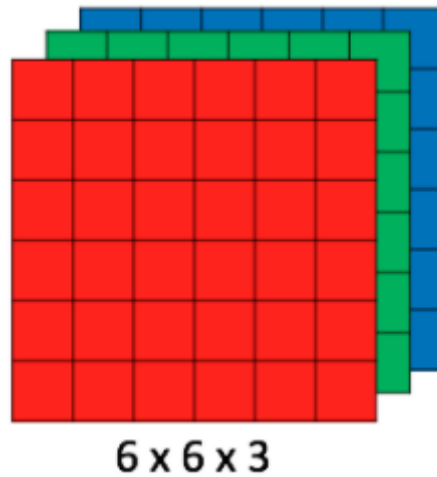


Figure 2.7: Array of RGB Matrix

Next, we will need to define the layers of the network, which can be of several kinds: convolution layers with filters, pooling layers and fully connected layers (FC) and the last time we will apply Softmax function to classify. Each image will pass through these layers to be classified in one of the two categories.

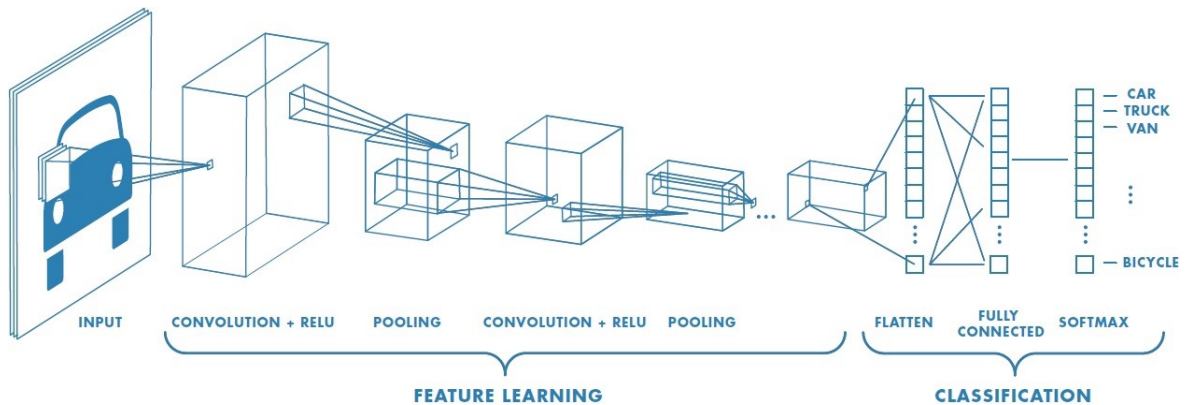


Figure 2.8: Neural network with many convolutional layers [2]

### 2.2.1 Convolution Layer

Convolution operation take two inputs: image matrix, filter matrix. For example if we have a image  $6 \times 6 \times 3$  with a dog and apply convolution operation with filter matrix like in Figure 2.9,

we will get the feature map but how? Convolution operation first creates a sliding window of size  $K \times K \times m \times (k - 1)$  that goes through height and width dimensions of input image and every pixel is multiplier with filter matrix and result a feature. [19]

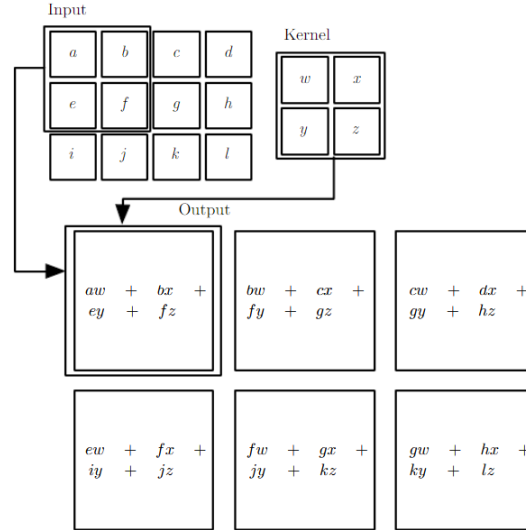


Figure 2.9: An example of 2-D convolution [19]

Depending on the type of filter applied during the convolution operation, it leads to obtaining different feature map. For example, by applying a convolution operation to a image with a dog using the matrix in Figure 2.10, operation gives a feature map that describe the edges of the imagine with a dog, Figure 2.11.

$$\begin{bmatrix} 0 & 1 & 0 \\ 1 & -4 & 4 \\ 0 & 1 & 0 \end{bmatrix}$$

Figure 2.10: Edge detection filter

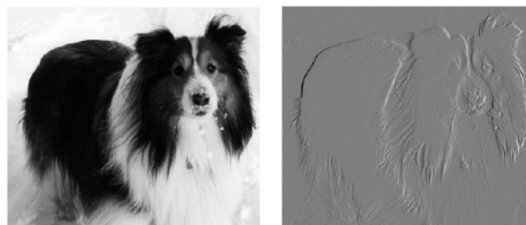


Figure 2.11: Apply convolution on imagine with dog [19]

Convolution of a different image filter can extract different features that help to better learn the neural network, extracting features related to edge detection, blur and sharpening.

For example, to create a convolution layer with tensorflow, use the syntax:

```
conv_1 = tf.nn.conv2d(input, filter, strides=1, padding='SAME')
```

Stride is the number of pixels shifts over the input matrix. In the case above, initializing stride 1 means moving the filter with one pixel at a time. And if we initialize stride 2 then the filter will be moved by 2 pixels.

Another parameter that can be seen is padding. There are two types of padding, valid and same. If padding is the same, then the result of the convolution operation will be as large as the input data. We say that all the pixels in the image will be passed through the convolution operation.

Valid padding which keeps only valid part of the image means this operation drop a part of image, where the filter did not fit.

Convolution layers are subject to an activation function to ensure nonlinear network behavior. As an activation function, ReLU (Rectified Linear Unit) is often used. Figure 2.12 illustrates the result of applying the ReLU function to a feature map.

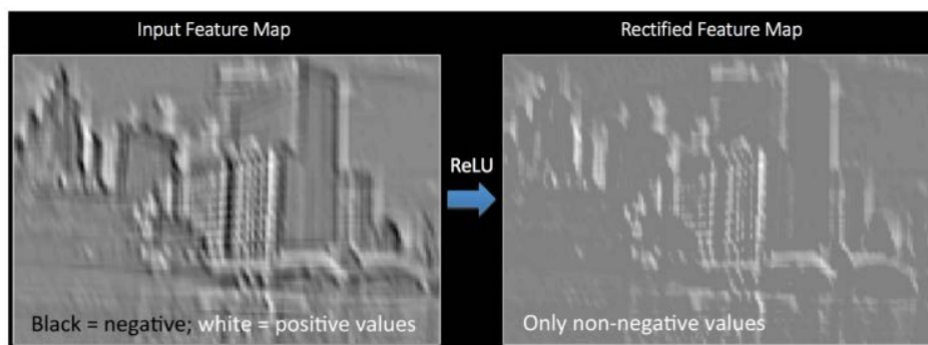


Figure 2.12: Applying Rectified Linear Unit to a feature map [19]

### 2.2.2 Pooling Layer

Just like strides, pooling is another way of reducing the dimensionality of a layer. Depending on the task, one may choose from different pooling methods. Similar to convolution operation, pooling methods also work with patches and strides.

**Max Pooling** will calculate the maximum value in a channel within the patch.

**Average Pooling** will calculate the average value in a channel within the patch.

**Sum Pooling** will calculate the sum from a channel within the patch.

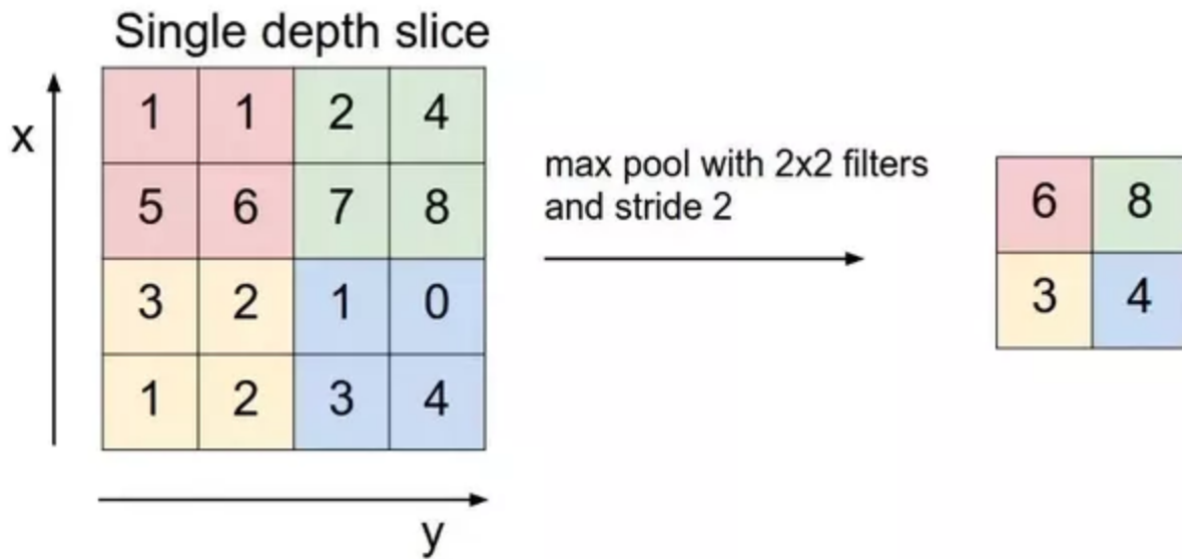


Figure 2.13: Max pooling [8]

For example in Figure 2.13 we can see how max pooling works. Here we will see three types of pooling layers.

### 2.2.3 Fully Connected Layer

As the name suggests, each node in the layer must be connected to each node so we can say that fully connected is actually like a neural network. Within this layer the classification is made, so as input to this layer are provided feature maps and on the output data the softmax activation function is applied.

The output of this layer is a probability vector with a number of components equal to the number of classes. Each component of the vector represents the probability that the input image will fit into the appropriate class.

In Figure 2.8 you can see this process, the first map matrix will be converted as vector and fully connected layers combined these features together to create a model. After applying the softmax will result the probabilities.

# Chapter 3

## Related work

### 3.1 DeeperCut: A Deeper, Stronger, and Faster Multi-Person Pose Estimation Model [14]

#### 3.1.1 Problem

The goal of this paper is to advance the state-of-the-art of articulated pose estimation in scenes with multiple people.

#### 3.1.2 Methods

Part Affinity Fields (PAFs), to learn to associate body parts with individuals in the image. The architecture encodes global context, allowing a greedy bottom-up parsing step that maintains high accuracy while achieving realtime performance.

The part affinity is a 2D vector field for each limb: for each pixel in the area belonging to a particular limb, a 2D vector encodes the direction that points from one part of the limb to the other. Each type of limb has a corresponding affinity field joining its two associated body parts.

#### 3.1.3 Data

The MPII human multi-person dataset [10] and the COCO 2016 keypoints challenge dataset [18]. These two datasets collect images in diverse scenarios that contain many real-world challenges such as crowding, scale variation, occlusion, and contact.

MPII Human Pose dataset is a state of the art benchmark for evaluation of articulated human pose estimation. The dataset includes around 25K images containing over 40K people with annotated body joints. The images were systematically collected using an established taxonomy of everyday human activities.

### **3.1.4 Performance and comparisons**

Evaluation is done on two single-person and two multi-person pose estimation benchmarks. The proposed approach significantly outperforms best known multi-person pose estimation results while demonstrating competitive performance on the task of single person pose estimation.

## **3.2 Human Pose Estimation from Monocular Images: A Comprehensive Survey [25]**

### **3.2.1 Problem**

In this paper, a comprehensive survey of human pose estimation from monocular images is carried out including milestone works and recent advancements. The goal of our application is to provide initialization for automatic video surveillance.

### **3.2.2 Methods**

Based on one standard pipeline for the solution of computer vision problems, this survey splits the problem into several modules: feature extraction and description, human body models, and modeling methods. There are additional sections for motion-related methods in all modules: motion features, motion models, and motion-based methods.

### **3.2.3 Data**

The paper collects 26 publicly available datasets for validation and provides error measurement methods that are frequently used.

### 3.2.4 Performance and comparisons

The first survey that includes recent advancements on human pose estimation based on deep learning algorithms. Although deep learning algorithms bring huge success to many computer vision problems, there are no human pose estimation reviews that discuss these works. In this survey, about 20 papers of this category are included. This is not a very large number compared to other problems, but this is a inclusive survey considering the relatively few works addressing this problem.

## 3.3 PersonLab: Person Pose Estimation and Instance Segmentation with a Bottom-Up, Part-Based, Geometric Embedding Model [21]

### 3.3.1 Problem

Paper try to solve the task of 2-D pose estimation and instance segmentation of people in multi-person images using an efficient single-shot model based on a box-free bottom-up approach. The study contributes to computer vision applications such as smart photo editing, person and activity recognition, virtual or augmented reality, and robotics.

### 3.3.2 Methods

Bottom-up approach for pose estimation and segmentation:

- localizing identity-free semantic entities (individual keypoint proposals or semantic person segmentation labels, respectively)
- grouping them into person instances:
  - use a greedy decoding process to group them into instances
  - train network to predict instance-agnostic semantic person segmentation maps
  - for every person pixel we also predict a set vectors to each of the  $K$  keypoints of the corresponding person instance. (corresponding vectors fields can be thought as a geometric

embedding representation and induce basins of attraction around each person instance, leading to an efficient association algorithm)

- \* For each pixel  $x_i$ , they predict the locations of all K keypoints for the corresponding person that  $x_i$  belongs to
- \* then compare this to all candidate detected people j (in terms of average keypoint distance), weighted by the keypoint detection probability
- \* if this distance is low enough, we assign pixel i to person j

### 3.3.3 Data

Standard COCO keypoint dataset [18] , which annotates multiple people with 12 body and 5 facial keypoints.

### 3.3.4 Performance and comparisons

- compared to the best previous bottom-up approach they improve keypoint AP (Average Precision) from 0.655 to 0.687
- compared to the strong top-down FCIS method [17] improve mask AP from 0.417 to 0.386

## 3.4 Towards Accurate Multi-person Pose Estimation in the Wild [22]

### 3.4.1 Problem

Paper try to solve the task of multi-person detection and 2D pose estimation based on a top-down approach (2-D localization of human joints on the arms, legs, and keypoints on torso and the face). The study want to localize people, understand the activities they are involved in, understand how people move for the purpose of Virtual/Augmented Reality, and learn from them to teach autonomous systems.



### 3.4.2 Methods

Top-down approach consisting of two stages:

- first stage, predict the location and scale of boxes which are likely to contain people, use Faster RCNN detector.
- second stage, estimate the keypoints of the person potentially contained in each proposed bounding box:
  - for each keypoint type predict dense heatmaps and offsets using a fully convolutional ResNet
  - combine these outputs by a novel aggregation procedure to obtain highly localized keypoint predictions
    - \* use keypoint-based Non-Maximum-Suppression (NMS), instead of the cruder boxlevel NMS
    - \* keypoint-based confidence score estimation, instead of box-level scoring

### 3.4.3 Data

Training data: standard COCO keypoint dataset [18], which annotates multiple people with 12 body and 5 facial keypoints.

### 3.4.4 Performance and comparisons

- average precision of 0.649 on the COCO test-dev set and the 0.643 test-standard sets, outperforming the winner of the 2016 COCO keypoints challenge and other recent state-of-art.
- using additional in-house labeled data we obtain an even higher average precision of 0.685 on the test-dev set and 0.673 on the test-standard set, more than 5% absolute improvement compared to the previous best performing method on the same dataset.

## 3.5 Realtime Multi-Person 2D Pose Estimation using Part Affinity Fields [11]

### 3.5.1 Problem

The purpose is to recognize a layout of the body parts, based on the pose estimation and Pose Affinity Fields (PAF).

### 3.5.2 Methods

- Part affinity fields(PAF): is a set of 2D vector fields that encode the location and orientation of the limbs. It is a set of vectors that encodes the direction from one part of the limb to the other; each limb is considered as an affinity field between body parts.
- Estimation of body-part confidence maps.

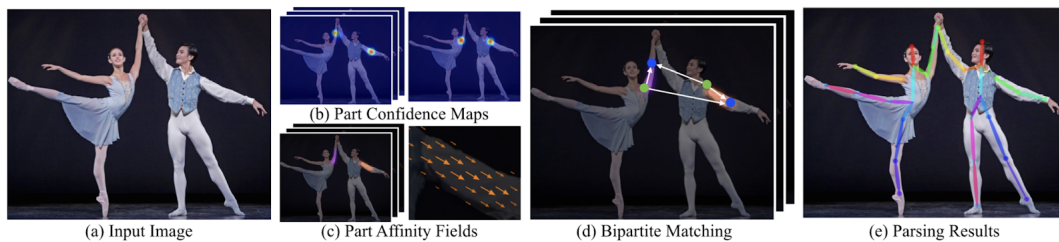


Figure 3.1: Overall pipeline [11]

### 3.5.3 Data

As an input we have an image. This method simultaneously infers two maps with body-parts, as you can see in b figure and runs a special bipartite matching algorithm. In the end it assemble the body parts into full body poses.

Training data: standard COCO keypoint dataset [18] and the MPII human multi-person dataset [10]

# Chapter 4

## System architecture and specifications

In this chapter we will begin by describing the objectives of the system presented in this paper. We will provide information on the development of the two prototypes. At the same time, we will highlight the capabilities of the application. Finally, we will discuss possible extensions and results.

### 4.1 Goals

Our motivation is to help patients who need physiotherapy to see a progress and to encourage them to be constant during their treatment. We want to support patients motivation in continuing to build new and healthy behaviours. But also helping Physiotherapist in evaluation of patient.

The primary objective is to track the patient while he doing exercise to help the physical therapist in evaluation and motivation of the patient by reporting progress.

In this case, we implemented the pose estimation algorithm, the two approaches detailed in the introduction of the papers, the one that calculate Range of Motion and the calculation of the distances for display the progress of the patient

But to achieve this goal, we need the pose estimation algorithm to have a minimum of 30 fps that can run both in the browser and on mobile devices.

Next we will accurately run real-time pose estimation algorithms on the video camera at a performance of at least 30 frames per second, but also finding convolution neural network architectures to allow this.

We do not want to use a server that is to receive the streams of images and apply detection algorithms due to calculations costly in time and volume of data to be processed.

The server variant was implemented but the big problem was a delay of 3-4 sec so our goal of at least 30 frames per second could not be achieved.

To achieve maximum performance of the algorithms, it is necessary to run them on the GPU of the client application.

We proposed the implementation of two prototypes, a web application and a mobile application. Each application implements a different approach that uses a different type of architecture from convolution neural network.

The mobile app will calculate the distance traveled by the hands and legs during an exercise that the patient will perform, generating reports with day-to-day performance to improve motivation of the patient.

The web application will calculate a range of motion to help the patient perform the correct exercises, so we will count the correct number of repetitions of an exercise based on the angles that help the patient during the exercise.

## **4.2 Mobile - Application development**

In this chapter we will talk about implementing the mobile application. Presenting the main aspects that led to results.

### **4.2.1 Specification of the problem**

This application is designed to help everyone who need physiotherapy treatment to stay motivated, reach their goals, and create habits that are healthy and helpful for a long term. In this case we have a solution by creating an app which will be a useful tool for patients who needs help to reach their goals by automated tracking of distances.

### **4.2.2 Analysis and design**

The application that comes to demonstrate the capabilities of the tracking algorithm is client-server.

The client is a mobile app, compatible with both Android and IOS. We will offer the possibility of using the system and without internet connection due to the use of firebase.

The server is a composite of several Google cloud services called Firebase. The Firestore service facilitates CRUD operations on resources such as the exercise program. Cloud Function provides custom function execution to implement business logic. The data will be stored in a NOSQL database by the Firestore service. Communication between the client application and the server is done through the Http protocol.

Figure 4.1 shows a diagram showing the relationships between client application and firebase services.

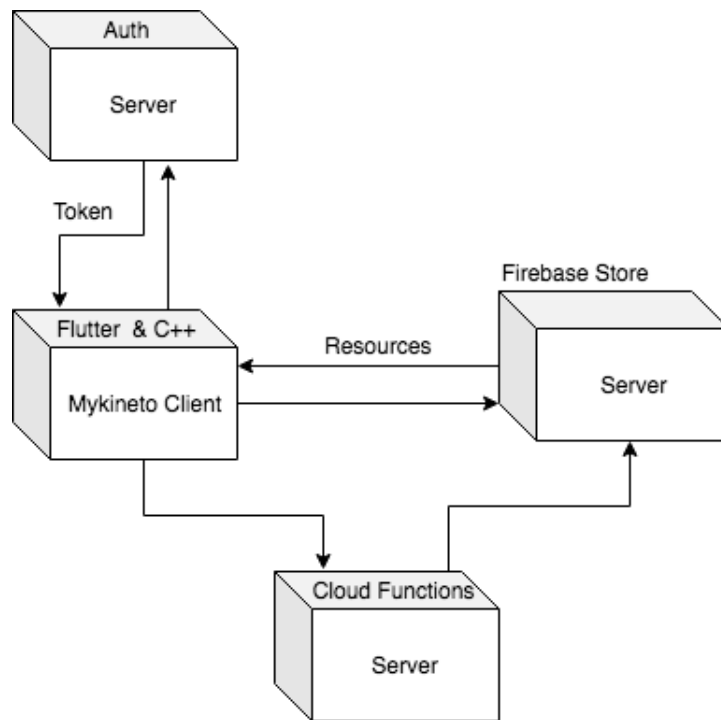


Figure 4.1: Deployment diagram

The goal is to motivate the patient through daily reports with his or her work. In this case, real-time estimation algorithms will be run on the images received from the mobile phone camera and we will save the data generated in firebase.

In Figure 4.2, we can see how the data obtained from the pose estimation algorithm is saved.

So, each time we start an exercise, we create a new session that will save all the movements detected by the algorithm while doing the exercise.

For detection, we will use a convolution neural network with a specialty architecture for pose estimation. The architecture of the network is described in the article "Convolutional pose machine" [26].

This network was implemented in python using the tensorflow library. After training, I got a

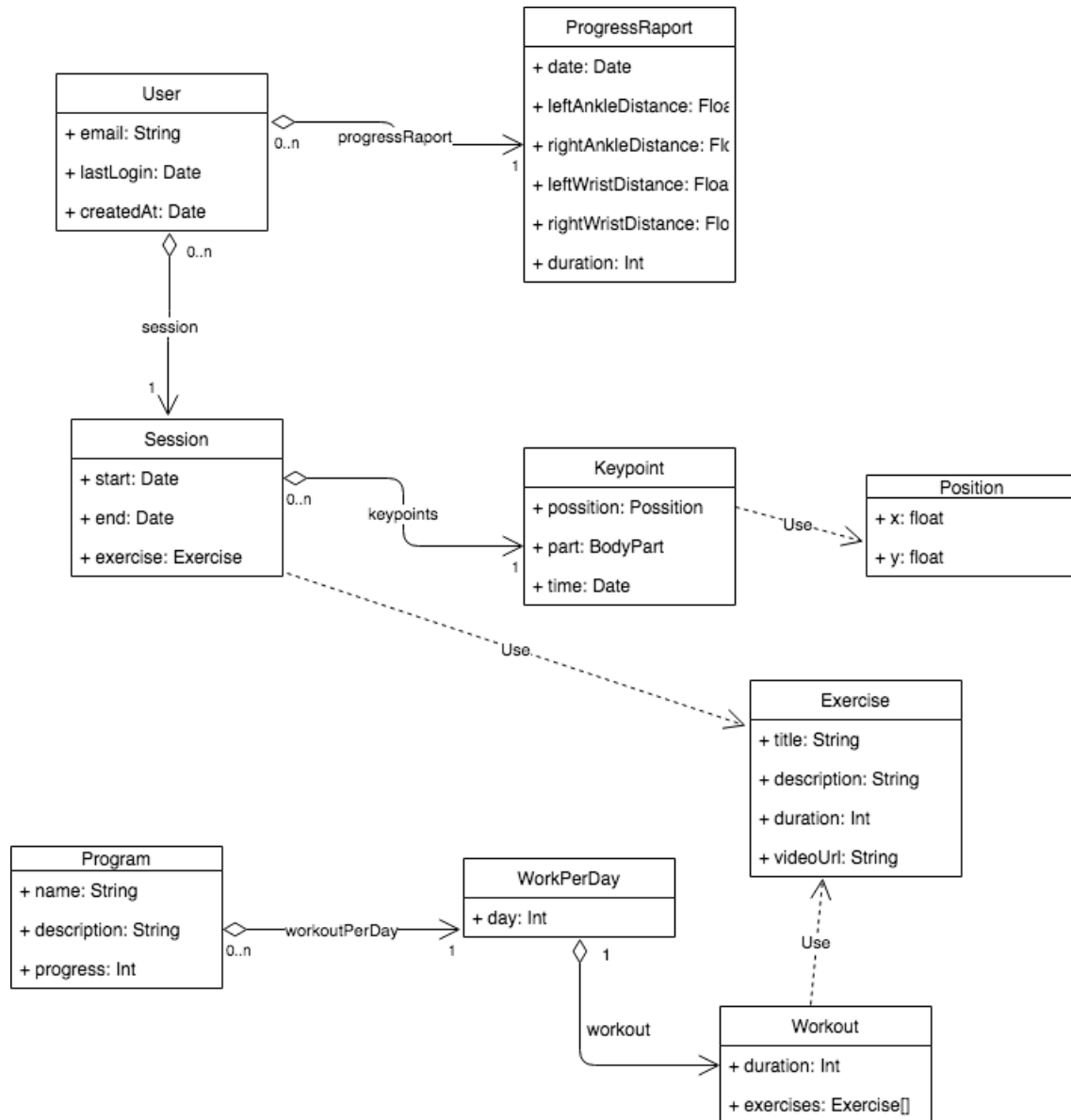


Figure 4.2: Class diagram with mobile application architecture

model that was converted into an optimized one to run on mobile devices, called tensorflow lite. After testing it was found that the performance is weak due to its running on the CPU.

After a research we found a library that knows how to convert the tensorflow lite model into a model capable of using the graphics card. This framework is called the Mobile AI Compute Engine (MACE), that was developed by XiaoMi. To be able to use MACE, I have to integrate a connection between android and C ++, because the MACE is written in C ++, we will use Android NDK for integration.

Following integration, much better performances were achieved, reaching 30-40 frames per second. Obtain a run of the model in 30-40 ms on the image.

Above we described how to save sessions while the user is doing exercises. Based on these sessions, reports will be generated. This makes use of a cloud function that takes all sessions of the current day and generates a ProgressReport, according to the diagram in Figure 4.2. In the next chapter we will give you more details about the implementation of the application.

### 4.2.3 Implementation

Flutter was used to implement the application. Flutter is a framework made by google, is written in Dart programming language. It allowed running the same code on mobile, desktop, web and IoT devices. It is component-oriented offering a reuse of increased code.

If we make a comparison between Flutter and React Native, we can see that architecturally both implement similar concepts, such as components, provider-consumer, Flux architecture.

But the big difference is the internal implementation. React native uses native Android components by using a communication channel for the javascript and native component. Flutter gave up this idea, no longer using native components, resorted to drawing them directly using the board API that actually uses native components to draw on the UI.

In order to integrate the pose estimation part it was necessary to open an activity from android using the Flutter architecture that allows communication between the written code in the Dart with the one written in Java.

To achieve high performance of the pose estimation algorithm, we used MACE that comes with a method to convert the tensorflow model into a model that can run on the graphics card of the phone. To draw the skeleton obtained by the algorithm we will use opencv, which will also be integrated from C ++.

In order to generate reports, we will run a cloud function that will also take the data from each exercise session and process them to get the distance traveled. This function will create ProgressRaport objects, as can be seen in Figure 4.2.

This distance will be calculated using the mathematical formula of the Euclidean distance. So we'll take two coordinate detections and calculate the distance from them. The algorithm will exclude very small distances to lower the error.

#### **4.2.4 User manual**

The application is currently only available for android operating systems, even if the final version is cross-platform, also available in iOS because of the lack of an iOS phone, we can not guarantee good operation of the application.

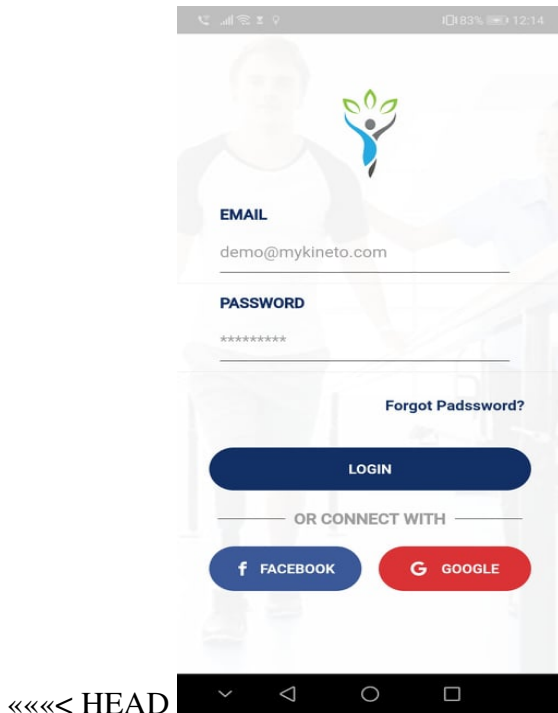
One can download on Google Play at this link: <https://play.google.com/store/apps/details?id=com.mykineto> or you can use it apk from CD attached works.

You need a phone to run the application that has android operating system with a minimal version 9.

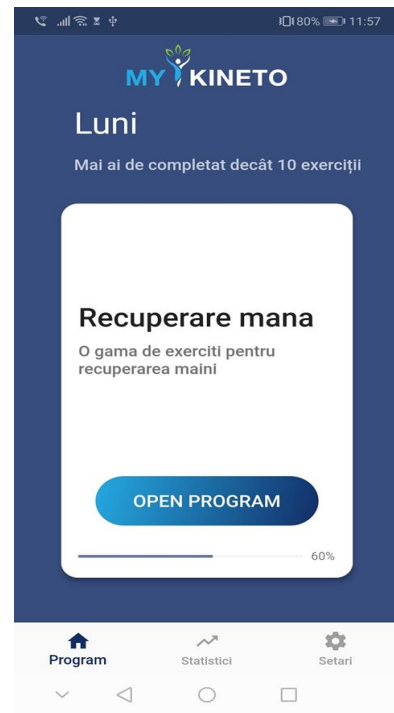
The window in Figure 4.3c will appear. The user will login to the application and then see a list of recovery programs. He will enter a recovery program where he will choose his day of exercise.

After selecting the day, the user will start exercising in front of the video camera of the phone. The application will monitor and save the movements made by the user during each exercise. The user will be able to view the daily statistics of the progress made during the training.

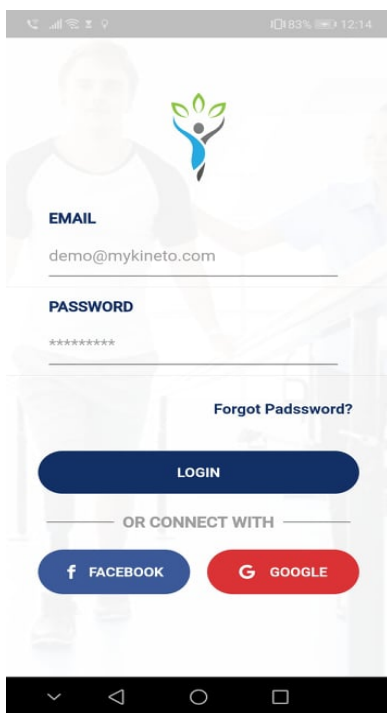




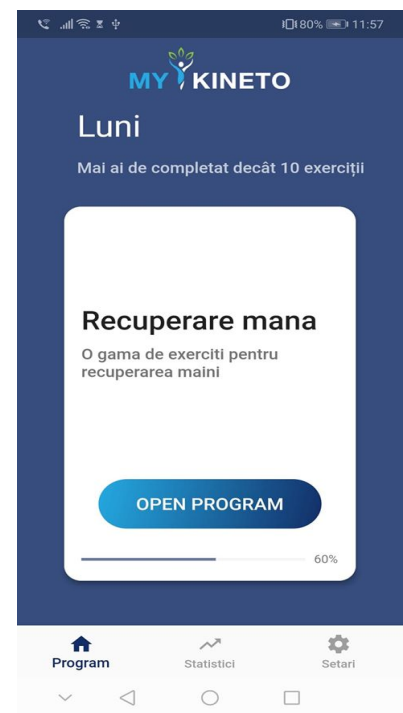
(a) Login



(b) Rehab program



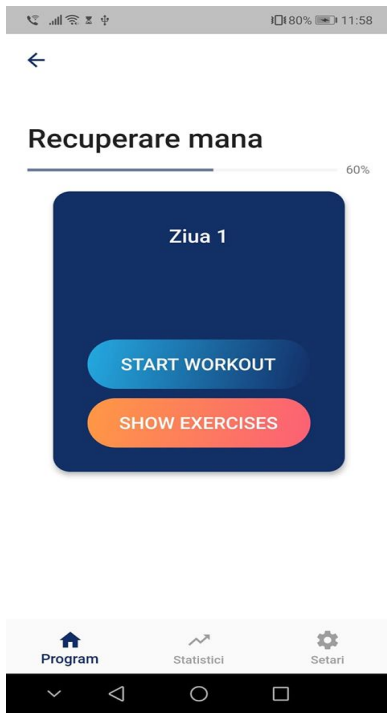
(c) Login



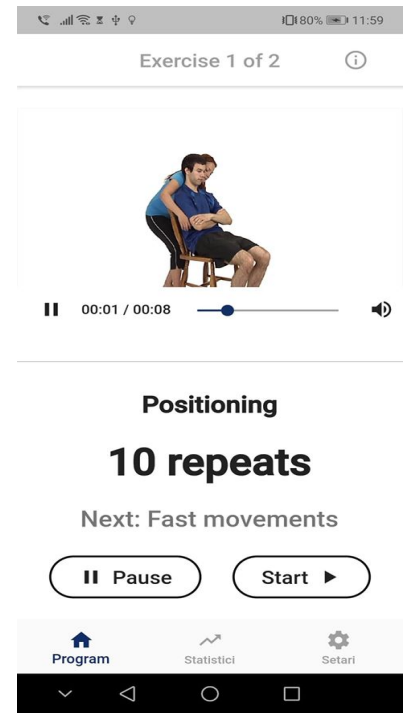
(d) Rehab program

»»»»> fbad53f39a03c91483a7ea75d91c939b9c8b6f1b

Figure 4.3: Screen with login and rehab program

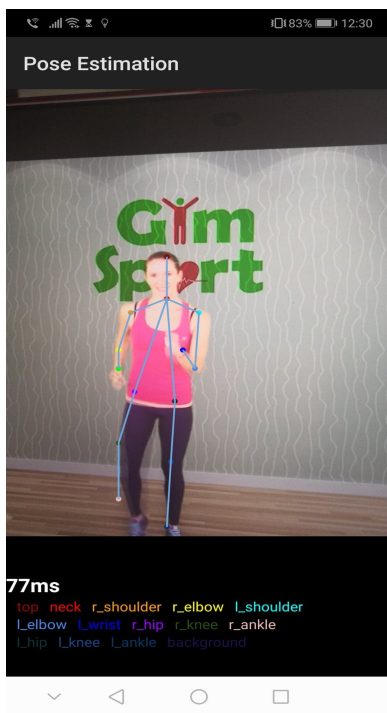


(a) Workout



(b) Exercise

Figure 4.4: Screen with workout and exercise



(a) Pose Estimation



(b) Statistics

Figure 4.5: Screen with pose estimation and progress

## 4.3 Web - Application development

In this chapter we will describe how the application was developed, surprising the main algorithms used. Continue with the implementation details that contributed to this application.

### 4.3.1 Specification of the problem

Application is an interactive software destined for patients who need physiotherapy treatments. Our application guide patient to see how they need to make their exercises in a correct manner by showing Range of Motion (ROM) in real time and after allowing us to count the number of movements.

It is based on exercises, because we think that a constant and correct number of movements could be more efficient for patients then just to present them what exercises they need to do. In this manner the application guides each patient during the period they need to follow their treatment.

### 4.3.2 Analysis and design

The application will run in the browser using the webcam to detect the patient's posture in real-time. Offering the  $(x, y)$  coordinate of the body parts on which we will apply the algorithm for calculating the angles, thus obtaining a range of motion.

In this sense, we will use tensorflow.js to run the artificial intelligence algorithm in the browser. We will use the PoseNet.

PoseNet is a training model based on a convolutional neural network, learn to classify body parts, resulting in their coordinates. Figure 4.6 show a diagram that represent the structure of output data provided by PoseNet.

PoseNet was developed by Google and uses a MobileNet architecture. MobileNet is an optimized architecture to run on low-power devices such as phones.

In the article "Mobilenets: Efficient convolutional neuralnetworks for mobile vision applications" [13] are described the main optimization brought by the architecture called MobileNet.

The most important performance optimization was achieved by introducing a new type of layer, called Depthwise Separable Convolution. This layer replaces standard convolution and the big difference is that it is divide into two separate steps, applying filtration and combining.

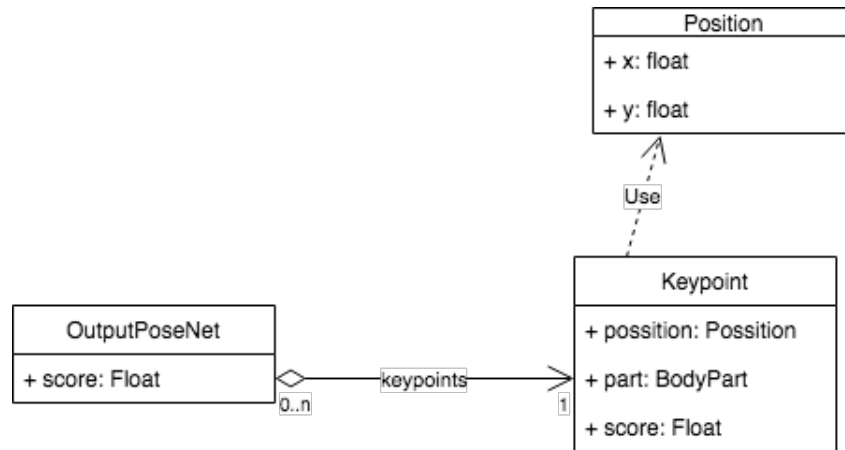


Figure 4.6: PoseNet Output

Based on keypoints from PoseNet we will draw angles between the patient's body limbs using canvas. The angle between two straight lines will be calculated using the mathematical formula representing range of motion.

To count the number of correct exercises we will use an algorithm based on angles for each type of exercise.

For example, if the user does the squat exercise, then the algorithm will work on states, so we will have the states:

- The beginning of the exercise if the knee angle is between 10 and 75 degrees
- End of exercise if the knee angle is between 145 and 300 degrees

Based on these two states, we counted the number of exercises done correctly in terms of angles.

In Figure 4.7 we can see how the algorithm counts the number of correct iterations and displays the real-time detected algorithm points using canvas.

### 4.3.3 Implementation

To implement this web application, React.js was used in the development of the graphical interface. And on the estimation pose we used Tensorflow.js along with the PoseNet model.

What is React? React is a JavaScript library used to develop web interfaces. The first version was released by facebook in March 2013. Faced with other platforms React comes with its ability to be declarative, component-based, and reusable [6].

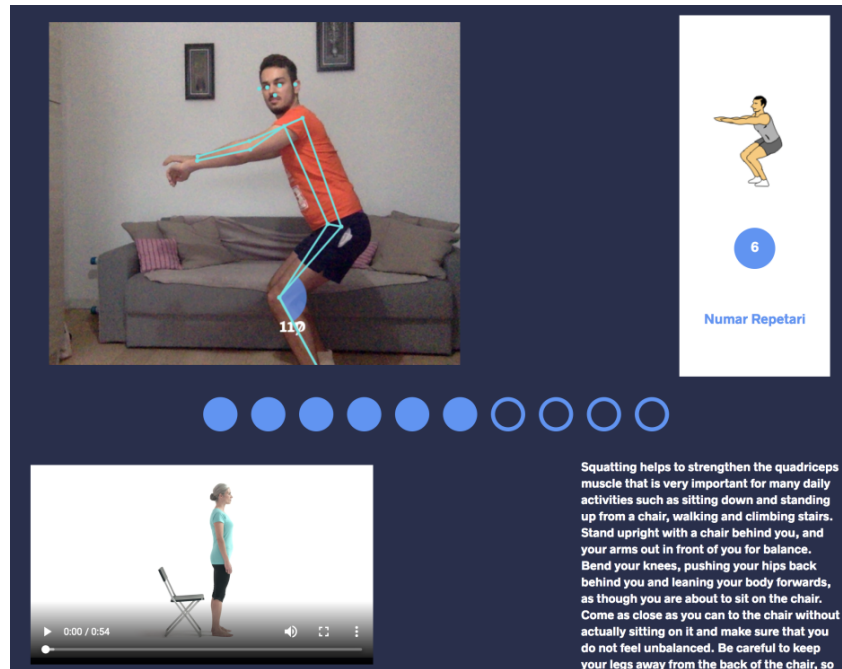


Figure 4.7: Demo MyKineto

The React Library allows you to create components for each application status, changing the status of the components to occur for that state, and thus increasing the performance of the application.

React uses the new JavaScript Extension (JSX) syntax that is similar to the Hypertext Markup Language (HTML) syntax, the difference is that as markers we can use react components [4].

A cause of the component-based declarative model is the reuse of the code, each component encapsulates and manages its own state. Complex interfaces can be made by assembling them like puzzles. Each component manages its current state, which is a difficult task in building complex architectures.

For greater efficiency, React uses Virtual DOM, which is an abstraction of DOM, because DOM operations are slow [3].

Tensorflow.js allows the artificial intelligence algorithms to run in javascript. As we know artificial intelligence algorithms, especially those applied to images or video need a high performance of computing. To solve this problem, tensorflow.js runs algorithms using WebGL automatically. This work makes algorithms run on the GPU [7].

Additionally, for the development of the application we used a typescript what is a superset of JavaScript which primarily provides optional static typing, classes and interfaces. Also we use the Visual Studio Code development environment.

## 4.4 Experimental results

Following the implementation of the above two variants we performed several tests related to the performance of the algorithms. Specifically, how fast the estimation pose algorithm runs on an image. The faster it runs, the better our performance will become and our target is to obtain 30 frames per second.

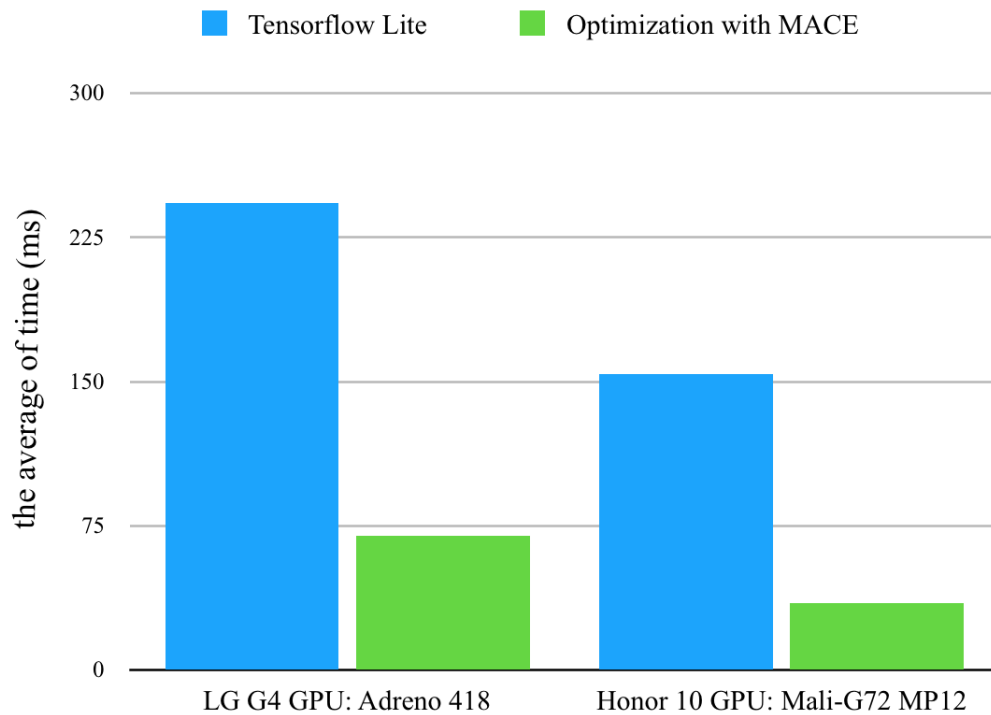


Figure 4.8: The performance of the pose estimation algorithm run on two devices with optimized and non-optimized model.

In Figure 4.8 we can see a 50% increase performance for the mobile optimized model.

In case of a web application, things are a bit different. I'm trying to make a comparison between running the algorithm on the client or on the server. It can be clearly seen from Figure 4.8 that the version with using the server is excluded because the duration of the request lasts for an average of 50 ms since a large number of data is sent.

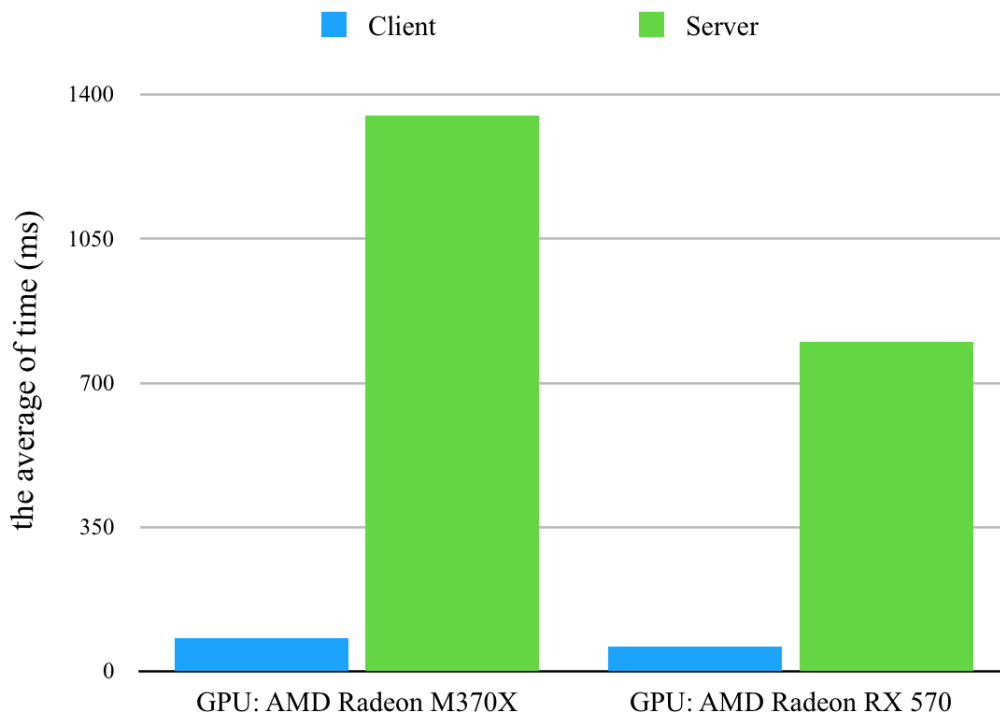


Figure 4.9: The performance of the pose estimation algorithm run on web

## 4.5 Possible extensions

A possible extension of the system would be to unify the two applications so that we have the two variants by which we motivate the patient. The variant which we follow the progress by calculating the distances and the variant in which we calculate the range of motion, counting the number of correct exercises. Both will be available on the web and on the mobile.

In addition, we are considering extending functionality to cover minimal usage scenarios for a user who has health problems. First of all, voice commands should be implemented.

It would be of interest to implement a model for a physiotherapist to manage each patient's recovery program plus access to performance reports.

Another optimization that I see is the combination of the two neural network architectures into one. This provides the possibility of increasing the performance. And finding an optimal network configuration that works both on the web and on the mobile.

At optimization we can also think of implementing a tracking algorithm based on contour. The detection will always be at the beginning.

After inspiring us from the competition, we could implement a game in which the patient have to

do certain moves to win the game.



# Chapter 5

## Conclusion

Proposed system for the tracking of the posture doesn't perform as we originally expected.

There seems to be some problems regarding the determination of the bounding box, maybe due to the fact that the detected points are on a surface that is characterized by just a few colors that appear in almost all tracked region. Because of these characteristics of the tracked posture we fail to track enough points due to insufficient difference in the nearest proximity of a point.

For future development we will try to adapt described system to track the contours.

Even if posture tracking system doesn't perform as we expected, we succeeded in the finding the appropriate settings for the PoseNet so that it performs enough good for the determination of the ranges of motion. Based on these calculations we were able to count, in real time, the number of exercises that user made.

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