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Functional Response Models and Consumer Temperature

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Words in text: 3443

March 6, 2020

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Abstract

Functional responses of predators are effected by temperature and these relationships can be complicated. The nature of these relationships can have important implications for understanding interactions, particularly due to climate change. Three Holling models and two polynomial models were successfully fit to 241 datasets containing functional response data. The best model for each dataset was analysed and compared at different temperature ranges. The search rate and handling times were also compared with temperature. The mechanistic Holling type II model was the most successful model and the number of times each model was the best was significantly different from a uniform distribution but there was not a significant difference between mechanistic and phenomenological models. Log of search rate was weakly negatively correlated to consumer temperature. Log of handling time was weakly positively correlated with consumer temperature. Temperature did not affect which Holling model was most successful but did affect whether phenomenological or mechanistic models were more successful, mostly due a polynomial model of degree three being very successful at 20 – 25 degrees. This response was contrary to existing literature. This is probably because existing literature looks at temperature change within predator prey systems but in this case systems with different consumer temperatures were compared.

1 Introduction

1.1 Functional Responses and existing models

The functional response describes how predators respond to changes in prey density C . Holling 1959; Solomon 1949. As prey numbers increase, the consumption rate of predators initially increases then levels out, however the specific shape of the period of increase can vary C . Holling 1959. Holling modelled the functional response and suggested three different forms which he proposed worked for different types of organisms C . Holling 1959. These are Type I, where the rate of increase in prey consumption with prey density is constant before a plateau, type I, where the rate of increase in prey consumption with prey density is decreasing (i.e the curve is hyperbolic Jeschke, Kopp, and Tollrian 2002) and type III, where the rate of increase in prey consumption with prey density increases then decreases C . Holling 1959. The type I model can be described by equation 1, the type II model can be described by equation 3 where x_R is the resource density, c is the number of prey consumed per predator per unit time, a is the discovery or search rate of the consumer (individual mortality of the resource at low densities Englund et al. 2011) and h is the handling time Dawes and Souza 2013; C. S. Holling 1959. The type III model can be described by a generalised version of equation 2, equation 3 where q changes the shape of the curve Dawes and Souza 2013. This is due to reduced predator efficiency when predator densities are low, so at lower densities

prey mortality is decreased Taylor and Collie 2003; Hassell 1978. When $q = 0$, the model is type II and when $q > 0$, the model is type III Dawes and Souza 2013. These equations are often written with Y , the number of prey consumed per predator, instead of c and T , the time, on the right side of the equation, however these equations are equivalent as $c = \frac{Y}{T}$.

$$c = ax_R \quad (1)$$

$$c = \frac{ax_R}{1 + hax_R} \quad (2)$$

$$c = \frac{ax_R^{q+1}}{1 + hax_R^{q+1}} \quad (3)$$

It is important to note that both the search rate and handling times are functions of different aspects of attacking and eating prey Hassel, Lawton, and Beddington 1976 The handling time is made up of the time predators spend pursuing, subduing, eating and digesting their prey. The search rate (or discovery rate) combines the distance at which a predator will attack prey, the speed of both the prey and the predator and the success rate of attacks C. S. Holling 1966. In general, the Holling type II model is very successful, especially considering its simplicity however there are examples where data is better described by a more complex model, such as a type III Holling model Hassel, Lawton, and Beddington 1976 . Many other models exist to describe the functional response, often based on variations of the Holling equation accounting for different behavioural aspects Jeschke, Kopp, and Tollrian 2002. Jeschke, Kopp, and Tollrian 2002 attempted to separate handling and digestion time from h . The model curve is mostly similar to the Holling type II functional response curve, but is more flexible and when both handling time and digestion time are high, the curve is quite different. In addition, if values of a or h change with prey density, then the Holling II model may not fit well (as a and h are constant in this model). In these examples, the type III Holling model can be a good model Hassel, Lawton, and Beddington 1976.

1.2 Temperature and Functional Responses

Many biological traits are dependent on temperature. Dell, Pawar, and Savage 2014; Dell, Pawar, and Savage 2011. The way in which traits vary with temperature can depend on many factors including life stage Cator et al. 2019, habitat Dell, Pawar, and Savage 2011 and thermy Dell, Pawar, and Savage 2014. In addition often there is asymmetry between predator and prey response to temperature Dell, Pawar, and Savage 2014. This means that understanding how the functional response of predators changes with temperature can be very complicated. Information about both

63 predator and prey responses to temperature is necessary to fully predict how functional responses
64 might change with temperature. The functional response of predators has important applications
65 in nature and agriculture (for example in biological control of agricultural pests Gilioli, Baumgärt-
66 ner, and Vacante 2005). The response of the functional response to changes in temperature is
67 important in predicting the effect of climate change Öhlund et al. 2014. Temperature is impor-
68 tant in determining body velocity so it is likely to be the main way in which temperature affects
69 predator-prey interactions Dell, Pawar, and Savage 2014.

70 Search rate is predicted to increase exponentially with temperature according to the metabolic
71 theory of ecology and the Arrhenius equation Brown et al. 2004; Dell, Pawar, and Savage 2014;
72 Englund et al. 2011. Increases in search rate when temperature is increased have been found in
73 multiple predator-prey systems both linearly Gilioli, Baumgärtner, and Vacante 2005; Zamani et
74 al. 2006 and exponentially Lefébure, Larsson, and Byström 2014. Many studies have found that
75 this increases reaches a plateau McCoull, Swain, and Barnes 1998; Thompson 1978 or reaches a
76 maximum and decreases Englund et al. 2011; Zamani et al. 2006; Sentis, Hemptinne, and Brodeur
77 2012.

78 The metabolic theory of ecology Brown et al. 2004 also predicts that handling time reduces expo-
79 nentially when temperature is increased Dell, Pawar, and Savage 2014. Experimentally changing
80 the temperature in predator prey systems does reduce the handling time Thompson 1978; McCoull,
81 Swain, and Barnes 1998; Jalali, Tirry, and De Clercq 2010; Zamani et al. 2006, sometimes expo-
82 nentially Sentis, Hemptinne, and Brodeur 2012.

83 The response between type of functional response and temperature is less clear. Some studies have
84 found no effect of temperature on type of functional response Sentis, Hemptinne, and Brodeur 2012
85 but there are examples of the functional response changing with temperature Taylor and Collie
86 2003.

87 1.3 Models

88 Models can be phenomenological or mechanistic. In mechanistic models, all parameters have
89 biological meaning and in phenomenological models they do not; instead a function is used that
90 fits the data or processes Otto and Day 2007; Geritz and Kisdi 2012. Phenomenological models
91 may fit better to data and can be very useful in the absence of mechanistic models. They can
92 be easier to understand, however do not have as much biological meaning as mechanistic models
93 Otto and Day 2007. Geritz and Kisdi 2012 claim that this could stop them being valid for use in
94 biological systems. Mechanistic models can improve our understanding of biology and are useful for
95 making predictions more accurately because when the meanings of parameters of known, biological
96 constraints can be included. They can include as much information about the system as is available

107 Otto and Day 2007; Kendall et al. 1999. Mechanistic models are simplifications of systems and may
108 have strong assumptions but they can still be very useful tools in understanding a biological system.
109 How well a simplified model fits to data can give important insight into what aspects of a system
110 are important in determining the dynamics Geritz and Kisdi 2012. The Holling models described
111 above are mostly mechanistic. The type III model is more phenomenological than they type I
112 and type II models due to the non-biological parameter q . Even the Holling type II model may
113 be partially phenomenological because the values of a and h are a functions of multiple biological
114 components Hassel, Lawton, and Beddington 1976.

105 1.4 This work

116 In this paper, five models were fitted to experimental functional response data: Holling’s type I,
117 Holling’s type II, Holling’s type III, a polynomial model of degree two (to capture increasing and
118 levelling out of the functional response) and a polynomial model of degree three (to capture a
119 change in the rate of increase of the functional response. Then the best model for each experiment
120 was determined. The Holling II model was predicted to be the best model. It was expected that
121 the Holling type III model would be able to fit better to the data but may have a higher AIC
122 value due the the extra parameter. The best model was found at different temperature ranges to
123 explore whether studies in particular consumer temperature ranges led to different models fitting
124 better. In addition the search rate and handling times from the Holling’s models were compared
125 to the consumer temperature to explore whether functional response parameters for systems with
126 different consumer temperatures would show similar responses to the studies looking at different
127 temperatures within the same system. It was expected that search rate would increase with
128 temperature, then reach a maximum and decrease. It was expected that handling time would
129 decrease with temperature.

120 2 Methods

121 2.1 Computing Tools

122 Bash was used to compile the pdf of the tex file, to calculate and format the word count of the
123 project (using `texcount`) and to run the project files. This was used due to the ease of accessing
124 files and files contents in bash. In addition, bash can run python, R and other bash scripts. Python
125 was used to initially sort the data, add new columns to the dataset and remove datasets with an
126 insufficient number of points and export this updated dataframe as a csv. These tasks are well
127 suited to Python’s abilities. R was used to model to data, plot graphs and analyse the data. This
128 is due to R’s dataframe structures which make it very easy to store and manipulate variables. In

addition plotting in ggplot2 is very flexible. "xtable" in R was used to store results for some parts of data analysis because it allows tabular results from R to be integrated in tex files. "minpack.lm" in R was used to fit Holling models using Levenberg-Marquardt nonlinear least squares Elzhov et al. 2016.

2.2 Initial Data Sorting

The data used was from the Biotraits database Dell, Pawar, and Savage 2013, which contains information collated from different studies about how biological traits respond to environmental drivers. The parameters of interest here were the number of prey the predator consumed per unit time and the resource density. Data sorting was carried out in python version 2.7. New columns were added and experiments with less than six experiments were removed. This new dataset was exported to a csv for model fitting.

2.3 Model Fitting

The data were fitted to five different models: a quadratic model, a cubic model and the three Holling models C. S. Holling 1959 using R 3.6.2 R Core Team 2019. The Holling models were the type I model (equation 1, a linear model where the intercept was the origin), type II model (equation 2) and generalised type III model (equation 3). Models were fitted sequentially for each experiment and plotted. This allowed the fit to be visually inspected as the model fitting process was improved.

2.3.1 Linear models

The Holling type I, quadratic and cubic models were fitted using lm (base R). For the quadratic and cubic models, poly was used to compute orthogonal polynomials to avoid correlation of variables.

2.3.2 Non-linear Models

The Holling type II and type III models were fitted using NLSlm (from the package minpack.lm Elzhov et al. 2016). The coefficients a , h , and q were given a lower bound of zero and the maximum number of iterations was set to 1000. For both type II and type III models, starting values were calculated using starting value functions where a and h were estimated. The initial value for h was the maximum value of c . The initial value for a was the initial steep part of the curve which was calculated by repeatedly fitting linear models the dataset then deleting the maximum value of x_R and storing the largest gradient of these models. For the type III model, this initial value of q was picked from a random uniform distribution between -2 and 2. Finding the starting values was

160 followed by sampling positive values around these initial values and repeatedly running the models
161 and storing the coefficients and AIC values of these models. The coefficients of the model with
162 the lowest AIC were used as the initial values for the main model fitting step. Once the starting
163 values had been determined, the models were rerun with these initial values and plotted (with the
164 other models).

165 2.4 Data Analysis

166 Data analysis was carried out in R 3.6.2R Core Team 2019. The models were compared using AIC
167 and the most appropriate model was determined for each dataset. AIC was used because other
168 techniques to compare models are not appropriate for non linear models. Between AIC and BIC,
169 AIC was used because it penalises extra parameters more at lower sample sizes than BIC Johnson
170 and Omundsen 2004. As this data set contains a number of models with small sample sizes, this
171 could prevent an overfitted model being selected as the best model.

172 The confidence intervals for values of q were calculated (from the mean \pm two times the standard
173 error). When the confidence interval for q overlapped zero, the best AIC was recalculated for the
174 remaining Holling models (because when the confidence interval for q is zero, the type III model is
175 the same as the type III model). A chi-square (χ^2) goodness of fit test was carried out on the best
176 model and the best model type (phenomenological or mechanistic) to determine if the number of
177 models in each category was significantly different.

178 The p-value of each parameter was stored and if the model was not significant, the parameter was
179 removed from analysis of that parameter. Shapiro-Wilk tests were used to test the log consumer
180 temperatures and log parameter values and found that they were not normally distributed. In
181 addition, there were ties in the data so Spearman's rank correlation could not be calculated.
182 Kendall rank order correlation tests were carried out on consumer temperatures and log search
183 rate and consumer temperatures and log handling time for each of the Holling models. Log of the
184 parameters was used because both search rate and the handling time values were mostly very low
185 with a few very large values. The search rate for type III models could not be tested due to a low
186 number of models where search rate was significant.

187 Chi-square (χ^2) tests were carried out to determine the association between consumer temperature
188 and best model type and consumer temperature and best Holling model (recalculated). The
189 temperature values were discretised by creating an expectation table with intervals of five degrees
190 and combining these intervals until the expected values were all greater than five.

191 3 Results

192 3.1 Number of Fits

193 Many of the models fit well to the data, for example (Figure1). Most models successfully fit the
 194 data. Of the 241 datasets, only 19 Holling type II models and 19 Holling type II models did not
 converge.

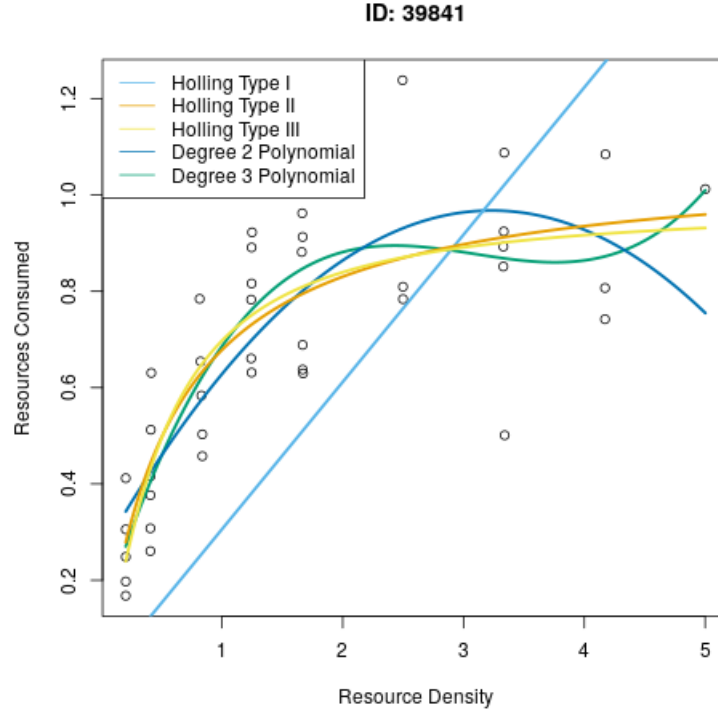
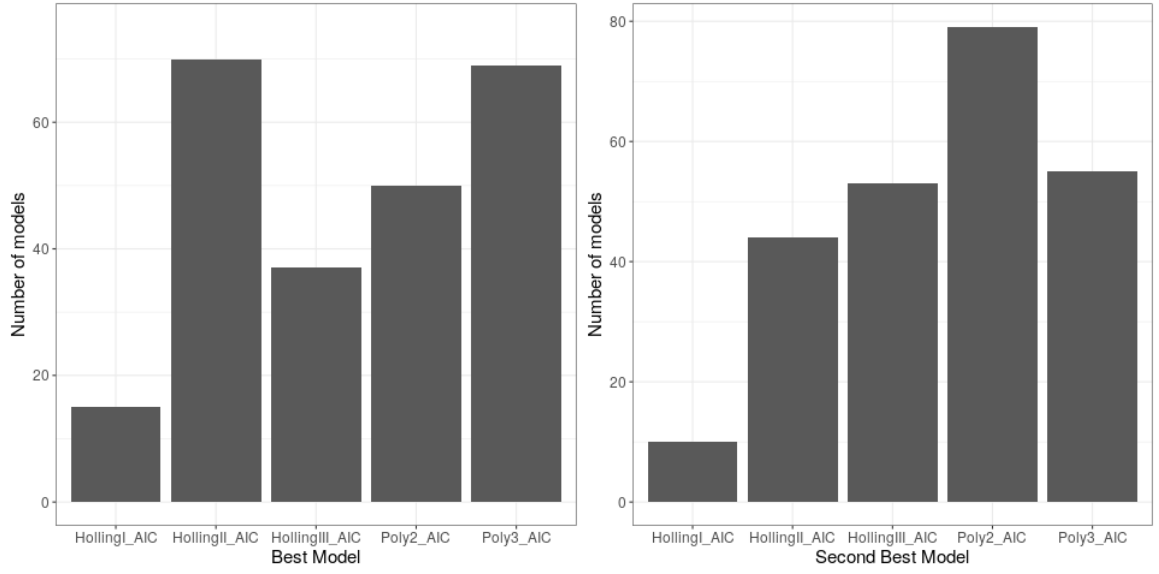


Figure 1: This is a graph for the experiment with ID 39841

195

196 3.2 Best Model

197 The Holling's type II model was most frequently the best model (0.29%) and the polynomial
 198 of degree 2 was most frequently the second best model (0.23%) (Figure 2). The mechanistic
 199 models were marginally more often the best model (%) than the mechanistic models (Figure 3
 200) The distribution of the best model was not best described by a uniform distribution ($p <$
 201 0.05, Table1). The distribution of the best model type was best described by a uniform distribution
 202 ($p > 0.05$, Table1).



(a) Number of times that each model was the best model (b) Number of times that each model was the second best model

Figure 2: Best and second best model from the lowest and second lowest AIC values. Models are Holling type I, Holling type II, Holling type II, polynomial of degree 2, polynomial of degree 3. $n = 241$

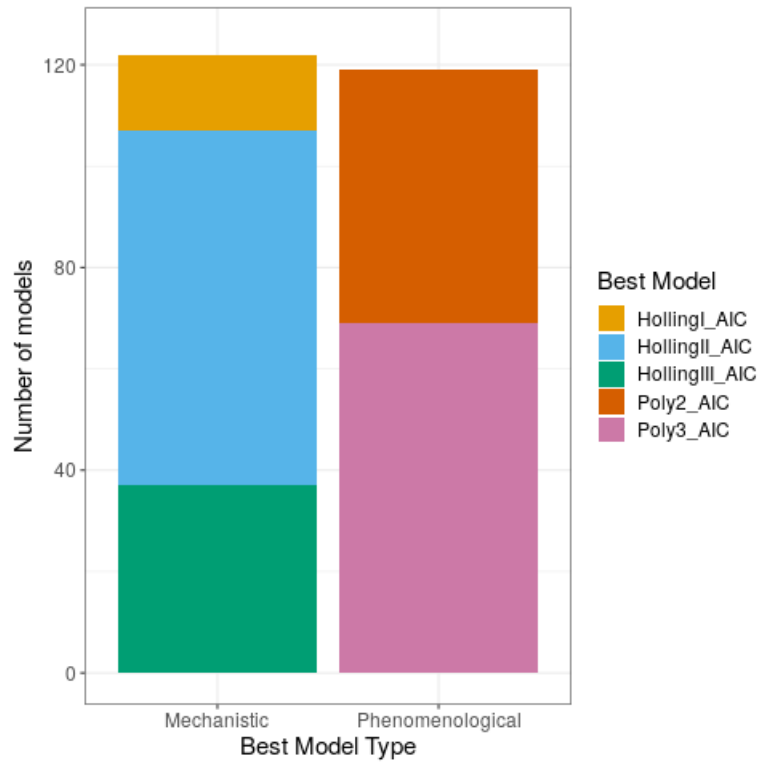


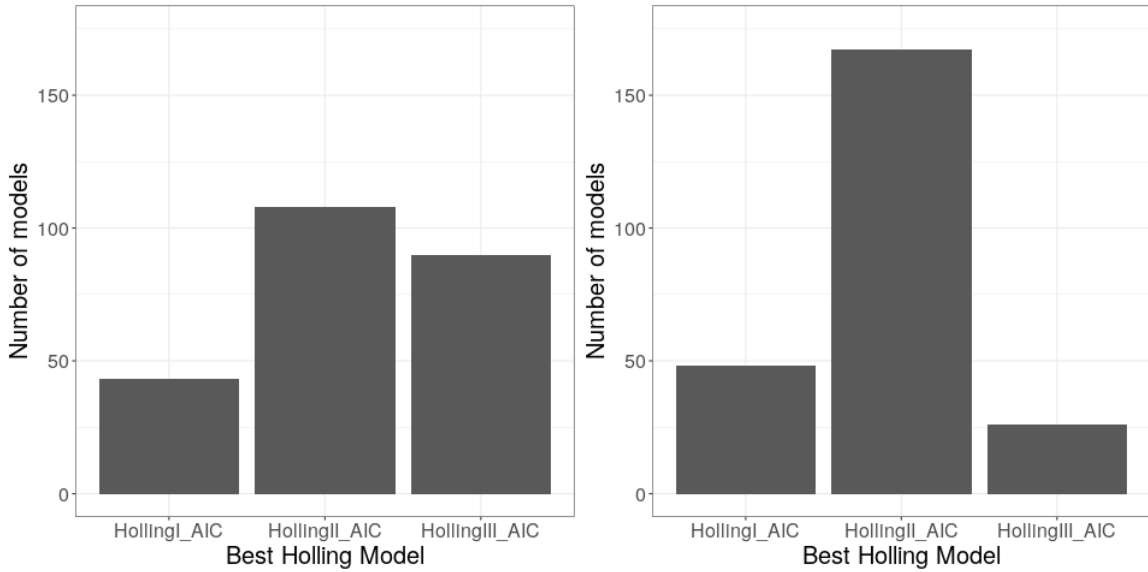
Figure 3: Number of models where the best type was phenomenological or mechanistic. Colour is the model. $n = 241$

Table 1: Results of chi-squared tests for whether the best model and the best model type (i.e phenomenological or mechanistic) are uniformly distributed

| | Chi-squared | p-value |
|-----------------|-------------|---------|
| Best Model | 44.37 | 0.00 |
| Best Model Type | 0.04 | 0.85 |

3.3 Best Holling Model

Of the three Holling models, the type II model was the best (Figure4). The best Holling model was recalculated, removing the type III Holling model when the confidence interval for q spanned 0. This occurred for 64 models. The majority of these were best described by the Holling type II model of the other Holling models, but some were better described by the type I model (Figure 4)



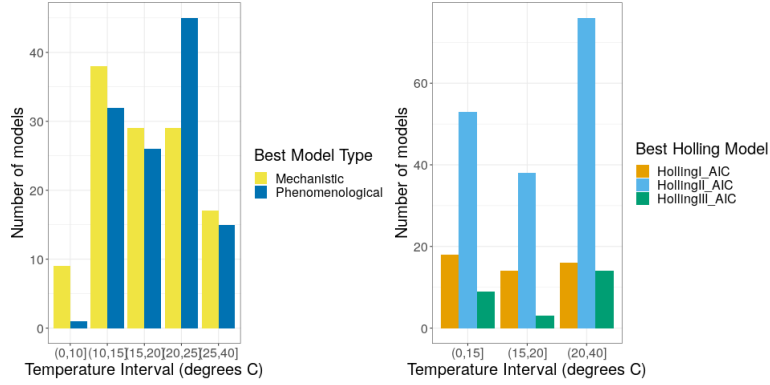
(a) Number of times that each model was the best Holling model
(b) Number of times that each model was the best Holling model, when the best Holling model was recalculated if the confidence intervals of q spanned 0

Figure 4: Best model from the lowest AIC values (of the Holling model). Models are Holling type I, Holling type II and Holling type II. $n = 241$

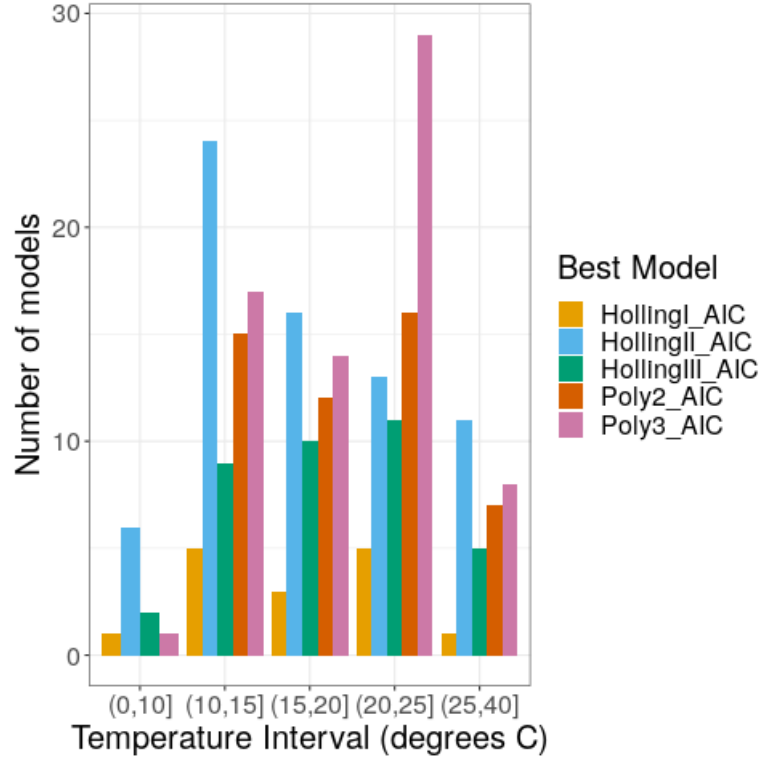
3.4 Temperature and Best Model

The type of the best Holling model did not vary much with the temperature (Figure 5 and the temperature interval was not associated with the best Holling model. ($\chi^2 = 4.59, p = 0.33, df = 4$).

At most temperatures (< 20 degrees), more mechanistic models fit the best better. Below 10 degrees this difference was extreme. However at the interval 20–25 degrees, more phenomenological models fit the best (Figure 5). The temperature interval was associated with the best model type ($\chi^2 = 10.63, p = 0.03, df = 4$). This difference seemed to be due to the polynomial of degree three being particularly successful in the interval 20 – 25 degrees.



(a) Number of times a mechanistic or a phenomenological model was the best model at each consumer temperature interval. (b) Number of times the recalculated Holling model was the best model at each consumer temperature interval.



(c) Number of times each model was the best model at each consumer temperature interval.

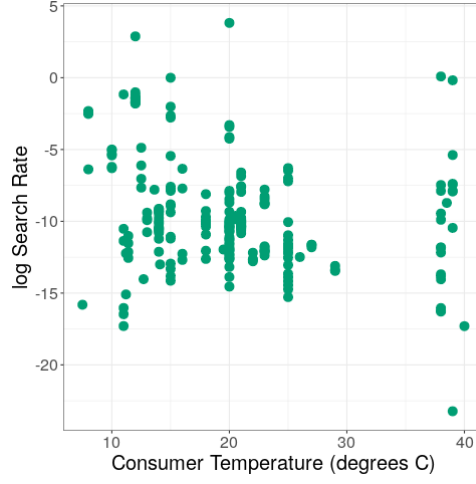
Figure 5: Best model is determined from the lowest AIC values. Colour is the best model type. $n = 241$

217 3.5 Temperature and Parameter Values

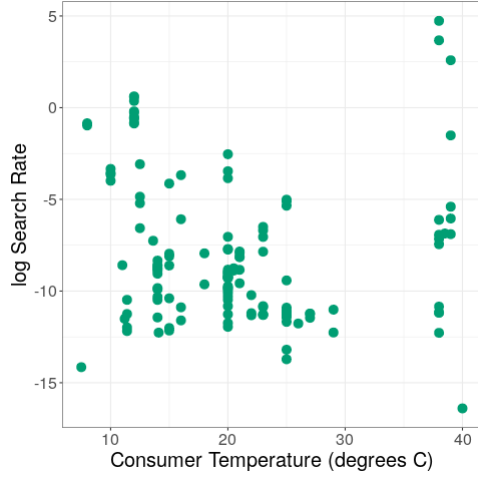
218 The handling time was and the search rate was The consumer temperatures are weakly negatively
 219 correlated with the search rate for type I and type II Holling models (Figure 6, Table 2). The search
 220 rate is smaller and less varied at intermediate temperatures, however at very low and very high
 221 temperatures, the temperature is very varied and can be very high. The consumer temperatures
 222 are weakly positively correlated with handling time for type II and type III Holling models (Figure
 223 6, Table 2).

Table 2: Table of results for Kendall rank order correlation tests
for consumer temperature and parameter values.

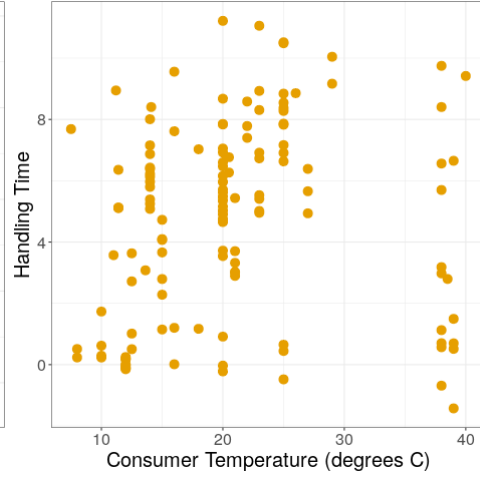
| | z | tau | p-value | n |
|------------------------|-------|-------|---------|--------|
| Search rate type I | -4.58 | -0.21 | 0.00 | 229.00 |
| Search rate type II | -2.94 | -0.18 | 0.00 | 137.00 |
| Handling time type II | 3.60 | 0.21 | 0.00 | 137.00 |
| Handling time type III | 2.27 | 0.14 | 0.02 | 131.00 |



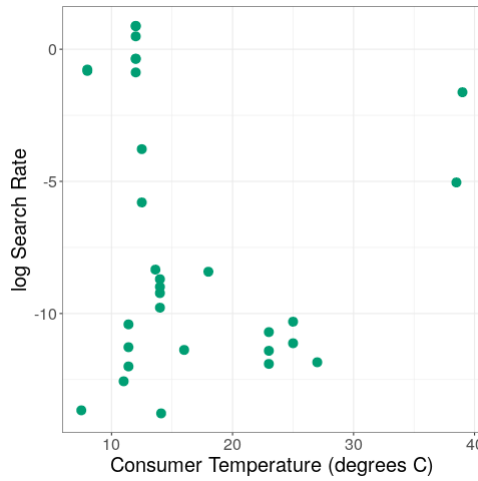
(a) Consumer temperature and log search rate for type I Holling model



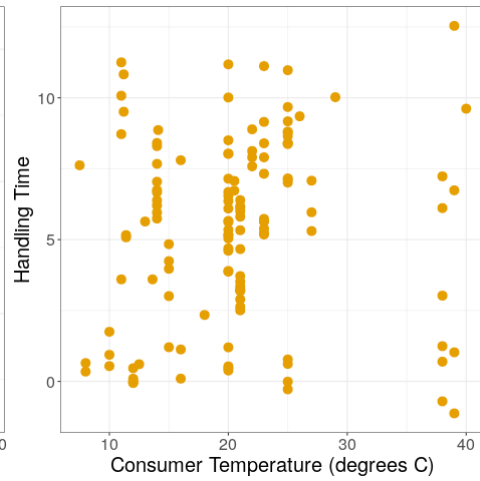
(b) Consumer temperature with log search rate for type II Holling model



(c) Consumer temperature with log handling time for type II Holling model



(d) Resource temperature with log search rate for type III Holling model



(e) Consumer temperature with log handling time for type III Holling model

Figure 6: Logged parameter values and Consumer temperature for Type I, Type II and Type II Holling Models.

224 4 Discussion

225 4.1 Holling Model Recalculated

226 Initially the Holling type II model was the most successful model, followed by the Holling type
227 III model, then the Holling type I model 4. This was expected from the literature as type II
228 models are very common, type I responses are limited to filter feeders Jeschke, Kopp, and Tollrian
229 2004 and type III responses are frequently seen, for example in *Daphnia* Sarnelle and Wilson
230 2008. There was not sufficient time to explore what type of organisms had each type of functional
231 response but it is likely given existing knoweldge that the systems showing type I responses are
232 filter feeders. Recalculating the best model so that Holling type III was the best model only when q
233 was significantly different from 0 meant that the type I Holling model became more successful than
234 the Holling type III model. This response was contrary to existing knowledge about functional
235 responses, for example Dunn and Hovel 2020 found a proportion of each model similar to the initial
236 proportion of the best functioal response type. It is possible that the initial result overestimated
237 the number of experiments showing type III responses because it doesn't take into account the
238 value of q , which could be very close to 0 (equivalent to a type II response). The method of
239 recalculating the best model could be improved to ensure that datasets showing a true type III
240 response are not removed. This could be achieved by taking the units of x_R and c into account.
241 Alternatively, the dataset could be biased towards type I functional responses models because they
242 include organisms that may be easier to study than non-filter feeders Jeschke, Kopp, and Tollrian
243 2004.

244 As for the phenomenological models, the polynomial of degree three best described the data almost
245 as frequently as the type II models 2. It is possible that this could indicate a type III functional
246 response (fitting better to a phenomenological model than a mechanistic model). Alternatively the
247 type III model could be capturing decreases in consumption at high prey densities, which is seen in
248 some datasets. It was not possible to control where the point of inflection was, so this model is likely
249 to capture patterns not caused by type III responses. The polynomial of degree two could capture
250 the increase in resource consumption followed by plateau (where the plateau is the maximum of
251 the peak of the polynomial). It was somewhat successful, however not as successful as the Holling
252 type II model. It was most frequently the second best model which could be indicating that is
253 successfully capturing the type II responses, albeit not as effectively a Holling's type II model. This
254 could be because it has one more parameter than the Holling model, which is penalised by the
255 AIC. Alternatively, the polynomial might fit less well to type II responses because the polynomial
256 curve decreases after maximum instead of levelling out as is seen in Holling type II responses.

257 4.2 Mechanistic and Phenomological

258 In general mechanistic models performed slightly better than phenomenological models but this
259 difference was not significant. It is not unexpected that phenomenological models fit the data well
260 but it may not be biologically useful. Phenomenological models can fit well to any dataset, so
261 are more likely to fit to poor quality data or data, which may be the reason they were successful.
262 Additionally, their flexibility may lead to graph shapes that are not biologically feasible. This
263 means the examples where the polynomial phenomenological models fit better to data may have less
264 biological meaning, and should not be interpreted as showing a type II or type III phenomenological
265 responses unless the plot or coefficients are examined in more detail. Phenomenological models may
266 fit better to data showing relationships not predicted by the mechanistic models. This may indicate
267 a possibility for improving the mechanistic models and acquiring a more biological understanding
268 of this aspect of the relationship, which could be scope for future study

269 4.3 Temperature

270 The origin of the temperatures is likely to have varied between datasets. If the consumer temper-
271 ature was missing, the ambient temperature was used instead. Additionally, the dataset contained
272 both ectotherms and endotherms which have very different body temperatures and respond very
273 differently to temperature changes. ectotherms are more effected by changes in temperature Dell,
274 Pawar, and Savage 2014. In much of the literature, temperature changes within predator-prey sys-
275 tems are compared. However here, temperatures between vastly different predatory-prey systems
276 were compared. Therefore it is not very surprising that different responses to temperature were
277 found here.

278 4.3.1 Temperature and Model

279 4.3.2 Handling Time and Search Rate

280 Log search rate was negatively correlated with temperature, which is in contrast with what is seen
281 in the literature Log handling time was positively correlated with temperature which also contrasts
282 with the literature. It is possible that combining ectotherm-ectotherm, endotherm-ecotherm and
283 endotherm-endotherm ecosystems is the reason for unexpected results. In future analysis could
284 be repeated separately for these systems, however the dataset does not contain a large number of
285 endotherms so this is unlikely to have a large effect. Additionally Kendall's rank order correlation
286 is prone to false positives when n is large Dytham 2011. It is clear that there is a relationship
287 between temperature and handling time and search rate but this becomes very complicated when
288 comparing vastly different systems.

289 5 Conclusion

290 In conclusion, the Holling type II model fit most of the models best. The temperature affected the
291 best model type and the search rate and handling time.

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