# COMP 543: Tools & Models for Data Science Introduction to Relational Databases

Chris Jermaine & Risa Myers

Rice University



#### What is a Database?

- A collection of data
- Plus, a set of programs for managing that data

#### Back in the Day...

- The dominant data model was the network or navigational model (60's and 70's)
- Data were a set of records with pointers between them
- Much DB code was written in COBOL
- Big problem was lack of physical data independence
  - Code was written for specific storage model
  - Want to change storage? Modify your code
  - Want to index your data? Modify your code
  - Led to very little flexibility
    - Your code locked you into a physical database design!

# Some People Realized This Was a Problem

- By 1970, EF Codd (IBM) was looking at the so-called relational model
  - Landmark 1970 paper, "A relational model of data for large shared data banks"
  - Led to the 1981 Turing Award
    - Highest honor a computer scientist receives
    - Analogous to a Nobel Prize
- Idea: data stored in "relations"
  - A relation is a table of tuples or records
  - Attributes of a tuple have no sub-structure (are atomic)
- No pointers!

# Querying in the Relational Model

- Querying is done via a "relational calculus"
- Declarative
  - You give a mathematical description of the tuples you want
  - System figures out how to get those for you
- ? Why is this good?

# Querying in the Relational Model

- Querying is done via a "relational calculus"
- Declarative
  - You give a mathematical description of the tuples you want
  - System figures out how to get those for you
- ? Why is this good?
  - Data independence!
  - Your code has no data access specifications
  - You can change the physical organization with no code re-writes

#### Relational Schema

- All data are stored in tables, or relations
- A relation schema consists of:
  - A relation name (e.g., LIKES)
  - A set of (attribute\_name, attribute\_type) pairs
    - Each pair is referred to as an "attribute"
    - Or sometimes as a "column"
  - Usually denoted using LIKES (DRINKER string, COFFEE string)
  - Or simply LIKES (DRINKER, COFFEE)

#### A Relation

- A relation schema defines a set of sets
  - Specifically, if  $T_1, T_2, ..., T_n$  are the n attribute types
  - Where each  $T_i$  is a set of possible values
    - Ex: string is all finite-length character strings
    - Ex: integer is all numbers from  $-2^{31}$  to  $2^{31}-1$
  - Then a realization of the schema (aka a "relation") is a subset of
    - $\blacksquare$   $T_1 \times T_2 \times ... \times T_n$
    - lacktriangle where imes is the Cartesian product operator

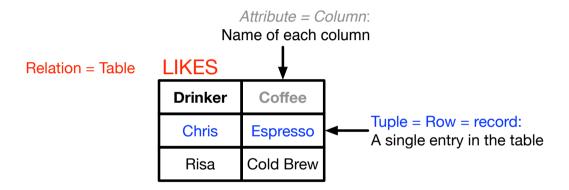
### A Relation (continued)

- So for the relation schema LIKES (DRINKER string, COFFEE string)
- A corresponding relation might be

```
{('Chris', 'Espresso'),('Risa', 'Cold Brew')}
```

- This is also referred to as a "table"
- The entries in the relation are referred to as
  - "rows"
  - "tuples"
  - "records"

### Relational Terminology



# Keys

- In the relational model, given  $R(A_1, A_2, ..., A_n)$
- A set of attributes  $K = \{K_1, ..., K_m\}$  is a KEY of R if:
  - For any valid realization R' of R...
  - For all  $t_1, t_2$  in R'...
  - If  $t_1[K_1] = t_2[K_1]$  and  $t_1[K_2] = t_2[K_2]$  and ...  $t_1[K_m] = t_2[K_m]$ ...
  - Then it must be the case that  $t_1 = t_2$
- ? Note: every relation schema SHOULD have a key... why?

# Keys: Exercise

? What is a key for STUDENT (NETID, FNAME, LNAME, AGE, COLLEGE)?

# Keys: Exercise

? What is a key for LIKES (DRINKER, COFFEE)?

#### Keys: Exercise

What is a key for LIKES (DRINKER, COFFEE)? What is the relation about? Is it about a drinker's favorite style of coffee? Or about the first person to drink a coffee? Or about what styles of coffee does each drinker like? Context matters!

### Keys (continued)

- A relation schema can have many keys
- Those that are minimal are CANDIDATE KEYs
  - "Minimal" means no subset is a key
- One is typically designated as the PRIMARY KEY
- Denoted with an underline
  - STUDENT (<u>NETID</u>, FNAME, LNAME, AGE, COLLEGE)

#### Connecting Relations

The relational model does not have pointers that connect different relations

#### Why not?

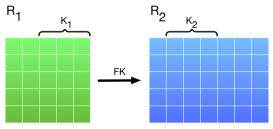
- Not nice mathematically
  - Mathematical elegance key goal in model design
- 2 Difficult implementation
  - Move an object? All pointers are invalid!
  - Solution: Use a centralized look-up table
    - Expensive
    - Complicated
    - Problem still exists

### Solution: Foreign Keys

- But we still need some notion of between-tuple references
  - LIKES (DRINKER, COFFEE)
  - DRINKER (DRINKER, FNAME, LNAME)
  - Clearly, LIKES.DRINKER refers to DRINKER.DRINKER
- Accomplished via the idea of a FOREIGN KEY

#### Foreign Keys (continued)

- Given a relation schema:  $R_1$ ,  $R_2$ 
  - We say a set of attributes  $K_1$  from  $R_1$  is a foreign key to a set of attributes  $K_2$  from  $R_2$  if...
  - $\blacksquare$  (1)  $K_2$  is a candidate key for  $R_2$ , and...
  - (2) For any valid realizations  $R'_1$ ,  $R'_2$  of  $R_1$ ,  $R_2$ ...
  - For each tuple  $t_1 \in R'_1$ , it MUST be the case that there exists  $t_2 \in R'_2$  s.t...
  - $\bullet$   $t_1[K_{1,1}] = t_2[K_{2,1}]$  and  $t_1[K_{1,2}] = t_2[K_{2,2}]$  and ...  $t_1[K_{1,m}] = t_2[K_{2,m}]$
- ? Intuitively, what does this mean?



### Foreign Key Interpretation

Intuitively, what does this mean?

- The foreign key must be an attribute or set of attributes that uniquely identify a record in another table
- AND that combination of attribute values must be present in the other table
- The foreign key may consist of
  - More than one attribute
  - Attributes with different names in each table

? Which way is the foreign key?

#### PERSON

FERSON		
NETID	FIRSTNAME	LASTNAME
cmj4	Chris	Jermaine
rbm2	Risa	Myers

#### LIKES

DRINKER	COFFEE	
cmj4	Espresso	
rbm2	Cold Brew	
cmj4	Chai Latte	

### Foreign Key Interpretation

- In other words
  - The target must be a candidate key
  - There are no dangling pointers
- Why is this a requirement?
  - To prevent inconsistencies
  - To match to a single target
- The database enforces these requirements via
  - Cascading deletes
  - To match to a single target
  - Failed inserts

# Queries/Computations in the Relational Model

- The original query language was the RELATIONAL CALCULUS
  - Fully declarative programming language
  - Mostly theoretical/math not actually implemented
  - Helps you understand how to write SQL
- next was the RELATIONAL ALGEBRA
  - Imperative
  - Define a set of operations over relations
  - An RA program is then a sequence of those operations
  - This is the "abstract machine" of RDBs
  - Helps you understand query performance

# Queries/Computations in the Relational Model

- Today we use SQL
  - Heavily influenced by RC
  - Has aspects of RA
  - More complex than either of them!

#### Overview of Relational Calculus

- RC is a variant on first order logic
- You say: "Give me all tuples t where P(t) holds"
- $\blacksquare$  P(t) is a predicate in first order logic

#### **Predicates**

- First order logic allows predicates
  - Predicate: A function that evals to true/false
  - "It's raining on day X" or Raining(X)
  - "It's cloudy on day X" or *Cloudy*(X)
- Build more complicated predicates using logical operations over them
  - and (∧) (both)
  - $\blacksquare$  or ( $\lor$ ) (either or both)
  - $\blacksquare$  not  $(\neg)$  (flip truth value)
  - $\blacksquare$  implies  $(\rightarrow)$
  - $\blacksquare$  if and only if  $(\leftrightarrow)$

#### Predicates: And

 $Raining(X) \wedge Cloudy(X)$  Evaluates to TRUE if both:

- It is raining on day X and
- It is cloudy on day X

#### **AND Truth Table**

AND HUILI IADI		
р	q	$\mathbf{p} \wedge \mathbf{q}$
Т	Т	Т
Т	F	F
F	T	F
F	F	F

#### Predicates: Or

 $Raining(X) \wedge Cloudy(X)$  Evaluates to TRUE if either:

- It is raining on day X or
- It is cloudy on day X

#### **OR Truth Table**

OIT HUIH HADIC		
р	q	$p \lor q$
Т	Т	Т
Т	F	Т
F	Т	Т
F	F	F

# Predicates: Implies

 $Raining(X) \rightarrow Cloudy(X)$ 

Evaluates to TRUE if either:

- It is not raining on day X or
- It is raining and cloudy on day X
- $lue{}$  ightarrow is like a logical "if-then"
- State is FALSE if it is raining and not cloudy
- If it's not raining, we don't care about whether or not it's cloudy

#### **IMPLICATION**

#### Truth Table

iruth lable		
р	q	$\mathbf{p}  ightarrow \mathbf{q}$
Т	Т	Т
Т	F	F
F	T	Т
F	F	Т

### Predicates: If and Only If

Raining(X)  $\leftrightarrow$  Cloudy(X) Evaluates to TRUE if both:

- $\begin{array}{c} \blacksquare \ \, \mathsf{Raining}(\mathsf{X}) \to \mathsf{Cloudy}(\mathsf{X}) \\ \mathsf{AND} \end{array}$
- $\blacksquare$  Cloudy(X)  $\rightarrow$  Raining(X)

#### IFF Truth Table

р	q	p  o q	$\mathbf{q}  o \mathbf{p}$	$p \leftrightarrow q$
Т	Т	Т	Т	Т
Т	F	F	Т	F
F	Т	Т	F	F
F	F	Т	Т	Т

# First Order Logic

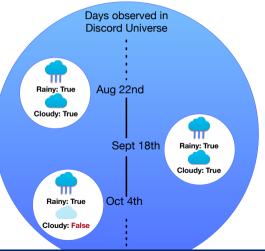
- Just predicates and logical ops?
  - You've got predicate logic
- But when you add quantification
  - **■** ∀, ∃
  - You've got first order logic

#### **Universal Quantification**

- Asserts that a predicate is true all of the time
- Example:
  - $\blacksquare$   $\forall (X)(Raining(X) \rightarrow Cloudy(X))$
  - Zero-argument predicate (takes no params)
  - Asserts that it only rains when it is cloudy
  - Note: idea of universe of discourse is key!
  - X is a variable. It ranges over the entire universe of discourse
  - Plug the value of that variable into the predicate. If it is always true, then the predicate is true

# Universal Quantification Example 1

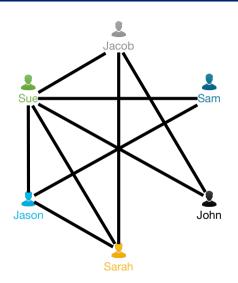
- $\blacksquare$   $\forall (X)(Raining(X) \rightarrow Cloudy(X))$
- Given the following Universe of Discourse, is our predicate T or F?



Day	Rainy	Cloudy	$\begin{array}{c} Raining(X) \\ \rightarrow Cloudy(X) \end{array}$
$\forall$			

### Universal Quantification Example 2

- $\blacksquare \forall (X)(Friends(X,Y))$
- $\blacksquare$  This is a predicate over Y
- Asserts that person Y is friends with everyone
- Can be T or F, depending on what's in the U of D



#### **Existential Quantification**

- Asserts that a predicate can be satisfied
- Example:
  - $\blacksquare$   $\exists (X)(Raining(X) \land \neg Cloudy(X))$
  - Asserts that it is possible for it to rain when it is not cloudy
  - aka a "sun shower"

#### Important Equivalence

- $\blacksquare$   $\forall (X)(P(X))$  is equivalent to...
- $\neg \exists (X)(\neg P(X))$ 
  - Ex:  $\neg \exists (X,Y)(Friends(X,Y) \land Friends(X,Z) \land Friends(Y,Z))$
  - Can be changed to:
  - $\forall (X,Y)(\neg(Friends(X,Y) \land Friends(X,Z) \land Friends(Y,Z)))$
  - Or  $\forall (X,Y)(\neg Friends(X,Y) \lor \neg Friends(X,Z) \lor \neg Friends(Y,Z))$
- Which is easier?
  - lacktriangle Often easier to reason about  $\exists$  compared to  $\forall$
  - Can be hard to conceptualize an assertion that something is true over every item in the entire universe!
  - In fact, SQL does not even have ∀
- ? What is the name of rule applied to distribute the negation?

### Questions?