

# GRAVITATIONAL LENSING

**LENS FINDING**

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*R. Benton Metcalf*  
2022-2023

# LENSING CROSS SECTION

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*Probability of an object at redshift  $z_l$  with velocity dispersion  $\sigma$ , axis ratio  $q$  and other characteristics being a lens.*

$$P(z_l, \sigma, q, \dots) = \int_{z_l}^{\infty} dz_s \left[ \frac{\partial D}{\partial z_s} \eta(z_s, F > F_{\min} \mu) \right] A_c(z_l, z_s, \sigma, q, \dots)$$

$A_c(z_l, z_s, \sigma, q, \dots)$  *is the area on the source plane in which a source would produce an image that would be recognised as a lens.*  
*This is the **cross section** for this lens.*

$\eta(z_s, F > F_{\min} \mu)$  *is the number density of sources with observed flux larger than the minimum observable flux.*

# LENSING CROSS SECTION

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*Some complications :*

*No easy way to find the area within the tangential caustic analytically even for simple lens models.*

*Not all sources within the cut, or caustics would be recognised as lenses.  
Some would have images that are too dim.*

*Not all sources within the cut, or caustics would be recognised as lenses.  
Some would have images that are too dim.*

*Finite sources can be partly inside and partly outside the caustic.*

*In the end, the probability depends on what you recognise as a lens and thus on how you find them.*

*The probabilities and cross sections usually need to be calculated by simulating lenses and then applying observational cuts that sort the simulated images into observable lenses and not.*

*First detections where serendipitous...*

*Q0957+561, discovered in 1979*

# THE FIRST OBSERVATION

*Discovery of the first galaxy-QSO lens system (1979)*

## 0957+561 A, B: twin quasistellar objects or gravitational lens?

D. Walsh

University of Manchester, Nuffield Radio Astronomy Laboratories, Jodrell Bank, Macclesfield, Cheshire, UK

R. F. Carswell

Institute of Astronomy, Cambridge, UK

R. J. Weymann

Steward Observatory, University of Arizona, Tucson, Arizona 85721

0957+561 A, B are two QSOs of mag 17 with 5.7 arc s separation at redshift 1.405. Their spectra leave little doubt that they are associated. Difficulties arise in describing them as two distinct objects and the possibility that they are two images of the same object formed by a gravitational lens is discussed.

SPECTROSCOPIC observations have been in progress for several years on QSO candidates using a survey of radio sources made at 966 MHz with the MkIA telescope at Jodrell Bank. Many of the identifications have been published by Cohen *et al.*<sup>1</sup> with interferometric positions accurate to ~2 arc s and a further list has been prepared by Porcas *et al.*<sup>2</sup>. The latter list consists of sources that were either too extended or too confused for accurate interferometric positions to be measured, and these were observed with the pencil-beam of the 300 ft telescope at NRAO, Green Bank at  $\lambda$  6 cm and  $\lambda$  11 cm. This gave positions with typical accuracy 5–10 arc s and the identifications are estimated as ~80% reliable.

The list of Porcas *et al.* includes the source 0957+561 which has within its field a close pair of blue stellar objects, separated by ~6 arc s, which are suggested as candidate identifications. Their positions and red and blue magnitudes,  $m_R$  and  $m_B$ , estimated from the Palomar Observatory Sky Survey (POSS) are given in Table 1 and a finding chart is given in Fig. 1. Since the images on the POSS overlap, the magnitude estimates may

be of lower accuracy than normal, but they are very nearly equal and object A is definitely bluer than object B. The mean position of the two objects is 17 arc s from the radio position, so the identification is necessarily tentative.

### Observations

The two objects 0957+561 A, B were observed on 29 March 1979 at the 2.1 m telescope of the Kitt Peak National Observatory (KPNO) using the intensified image dissector scanner (IIDS). Sky subtraction was used with circular apertures separated by 99.4 arc s. Some observational parameters are given in Table 2. The spectral range was divided into 1,024 data bins, each bin 3.5 Å wide, and the spectral resolution was 16 Å. After 20-min integration on each object it was clear that both were QSOs with almost identical spectra and redshifts of ~1.40 on the basis of strong emission lines identified as C IV  $\lambda$  1549 and C III]  $\lambda$  1909. Further observations were made on 29 March and on subsequent nights as detailed in Table 2. By offsetting to observe empty sky a few arc seconds from one object on both 29 and 30 March it was confirmed that any contamination of the spectrum of one object by light from the other was negligible.

Table 1 Positions and magnitudes of 0957+561 A, B

Object	RA	Dec (1950.0)	$M_R$	$M_B$
0957+561A	09 57 57.3	+56 08 22.9	17.0	16.7
0957+561B	09 57 57.4	+56 08 16.9	17.0	17.0

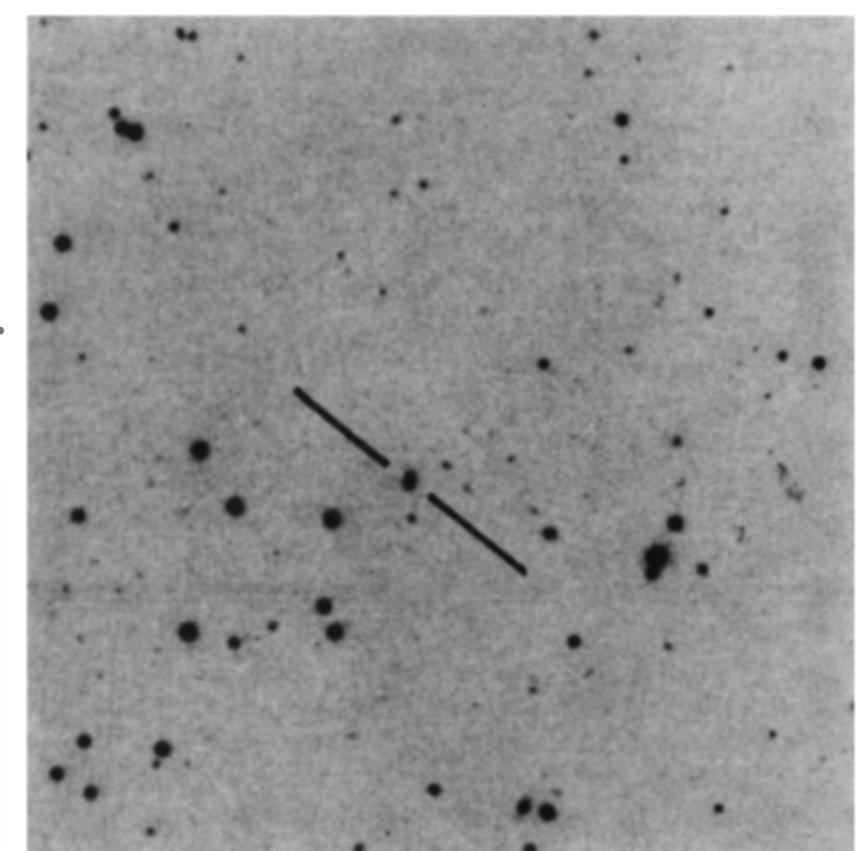


Fig. 1 Finding chart for the QSOs 0957+561 A and B. The chart is 8.5 arc min square with the top right hand corner north preceding and is from the E print of the POSS.

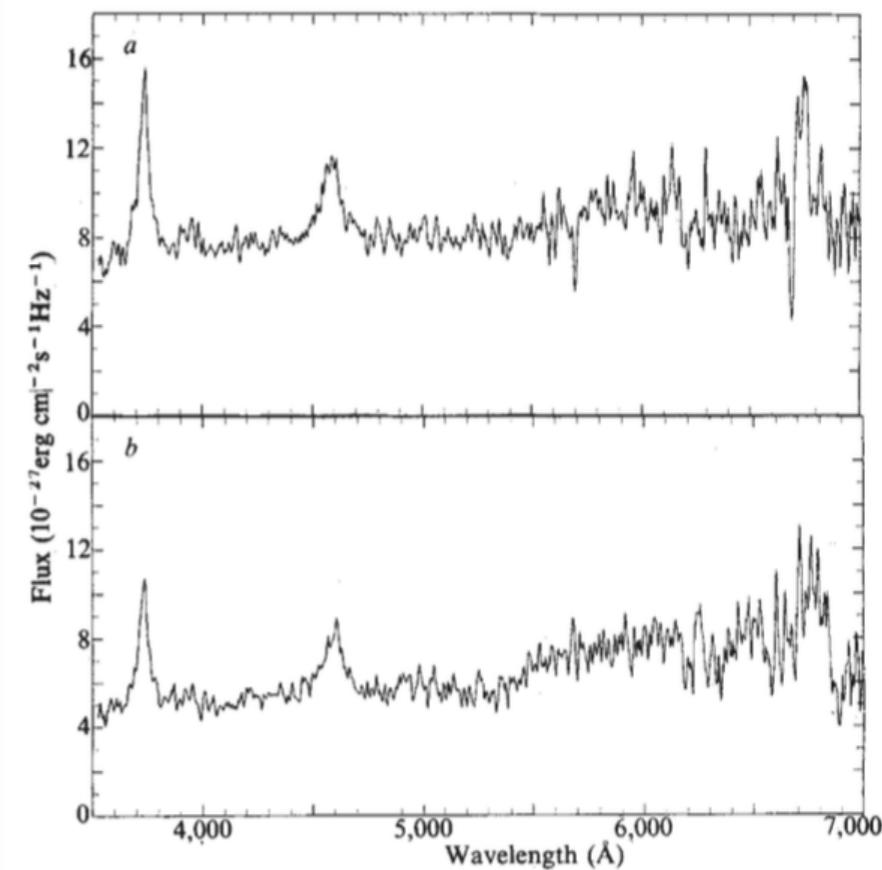
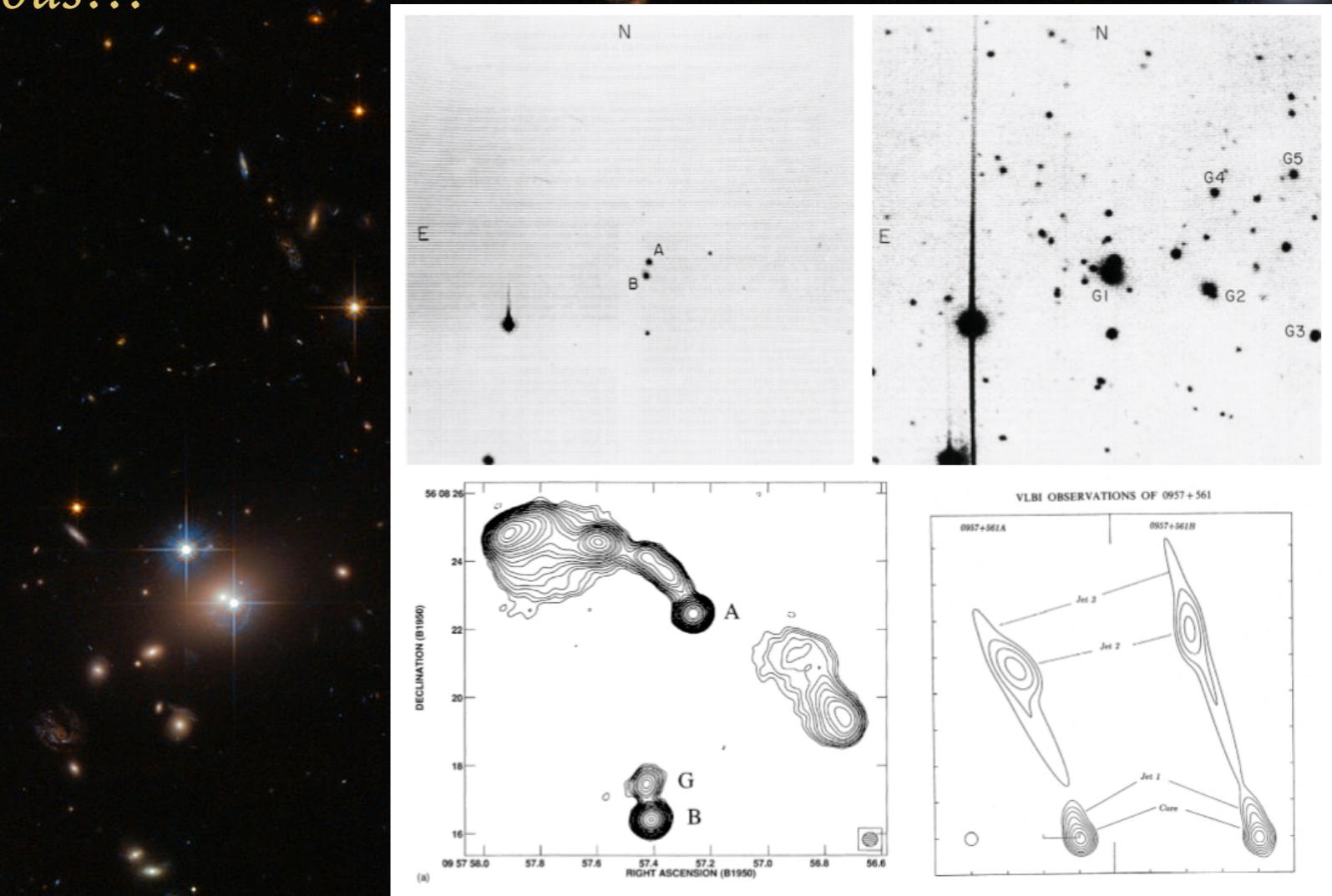
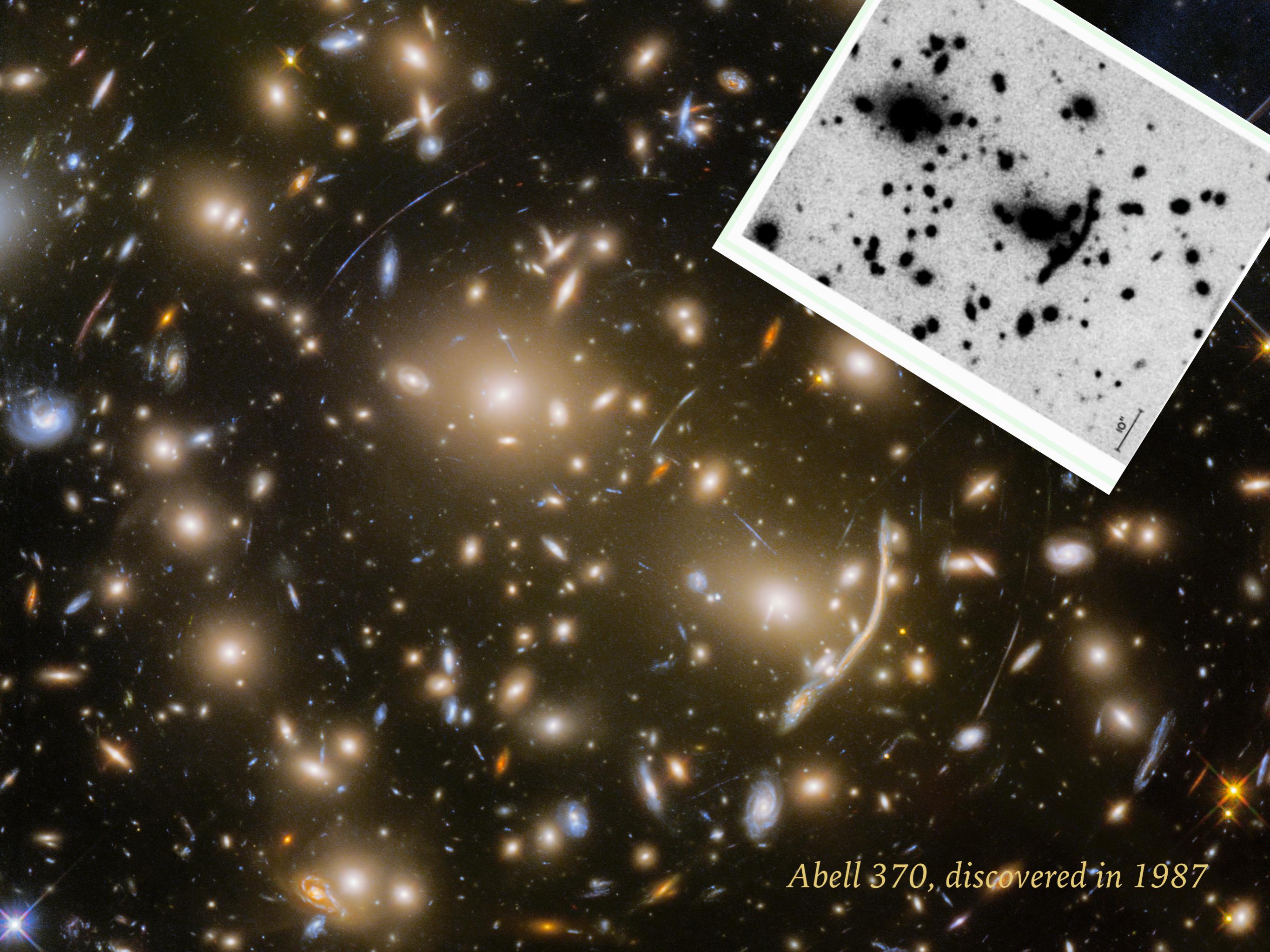


Fig. 2 IIDS scans of 0957+561 A(a) and B(b). The data are smoothed over 10 Å and the spectral resolution is 16 Å.

*First detections where serendipitous...*



*Q0957+561, discovered in 1979*



*Abell 370, discovered in 1987*

# HOW TO FIND STRONG LENSES

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- Then the search became more systematic
- Strong lens searches followed two approaches so far:
  - Find sources that are likely to be lensed (source oriented)
  - Find strong lensing features around the most probable lenses (lens oriented)

# EXAMPLE 1: THE CLASS SURVEY (SOURCE ORIENTED)

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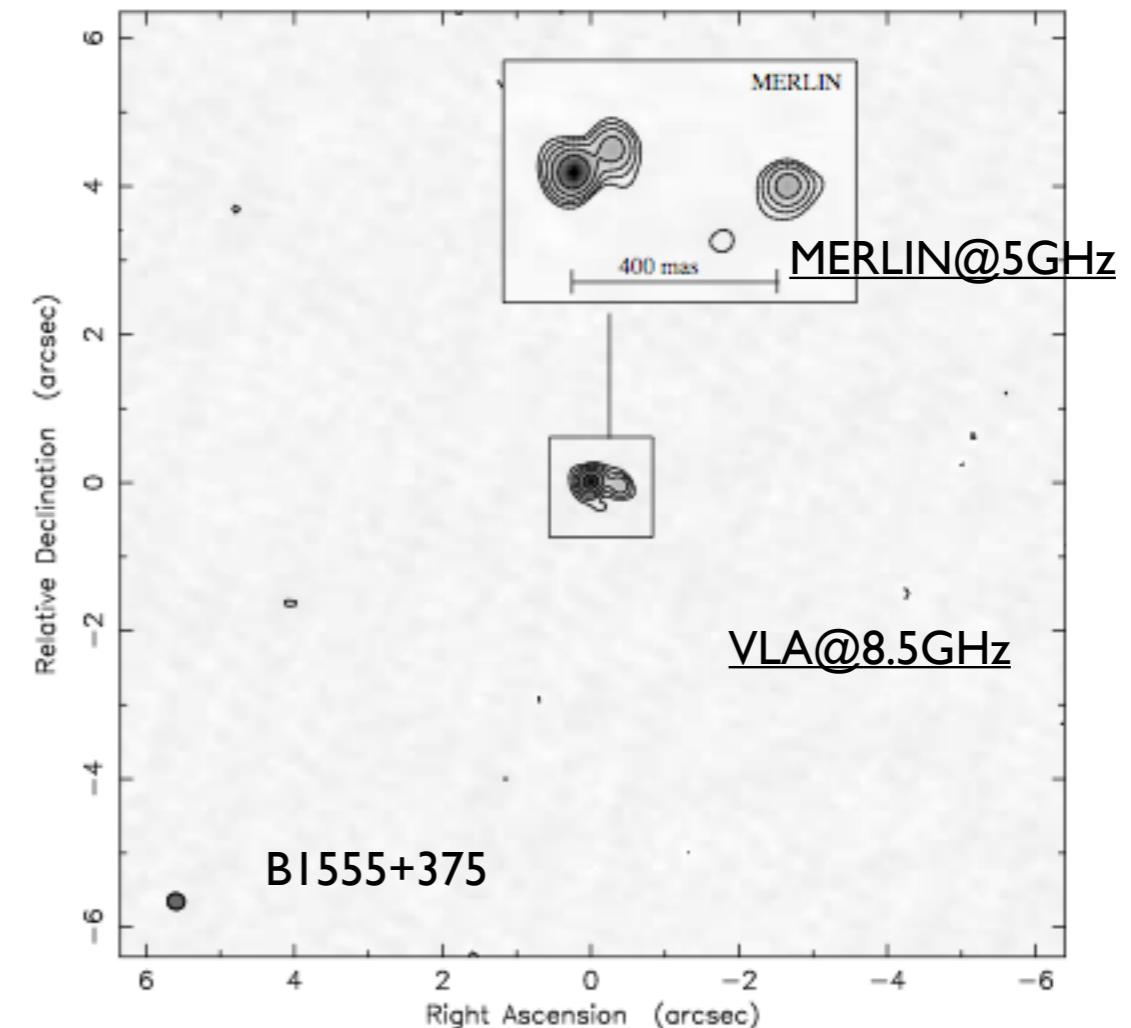
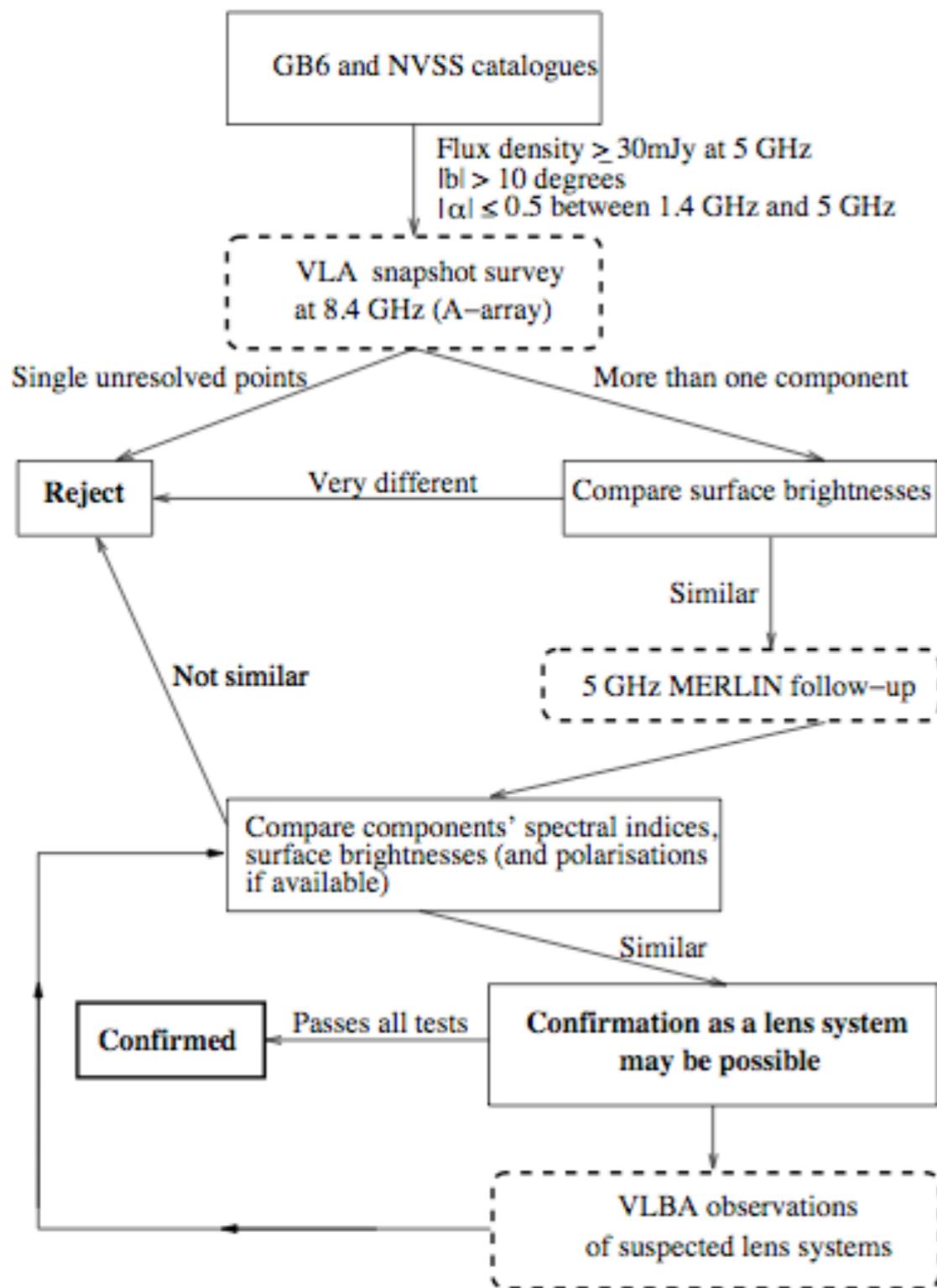
The CLASS (Cosmic Lens All-Sky Survey) was an international project (UK, USA, Netherlands) whose goal was searching for gravitational lenses in the radio domain.

The survey was conducted between 1990 and 1999. During the survey 16503 flat-spectrum radio sources were monitored. Such objects are usually **quasars** and have very **simple radio structures**; they are typically point sources, and occasionally weak extended emission is visible. The point-like radio emission is thought to originate from the base of a relativistic radio jet in an active galaxy, which points more or less at the observer.

The simplicity of these sources is useful for gravitational lensing searches. This is because any flat-spectrum radio source which has extended structure is a possible gravitational lens, as the **extended structure** could represent **multiple images** of a point-like radio source, produced by the gravitational field of an intervening galaxy.

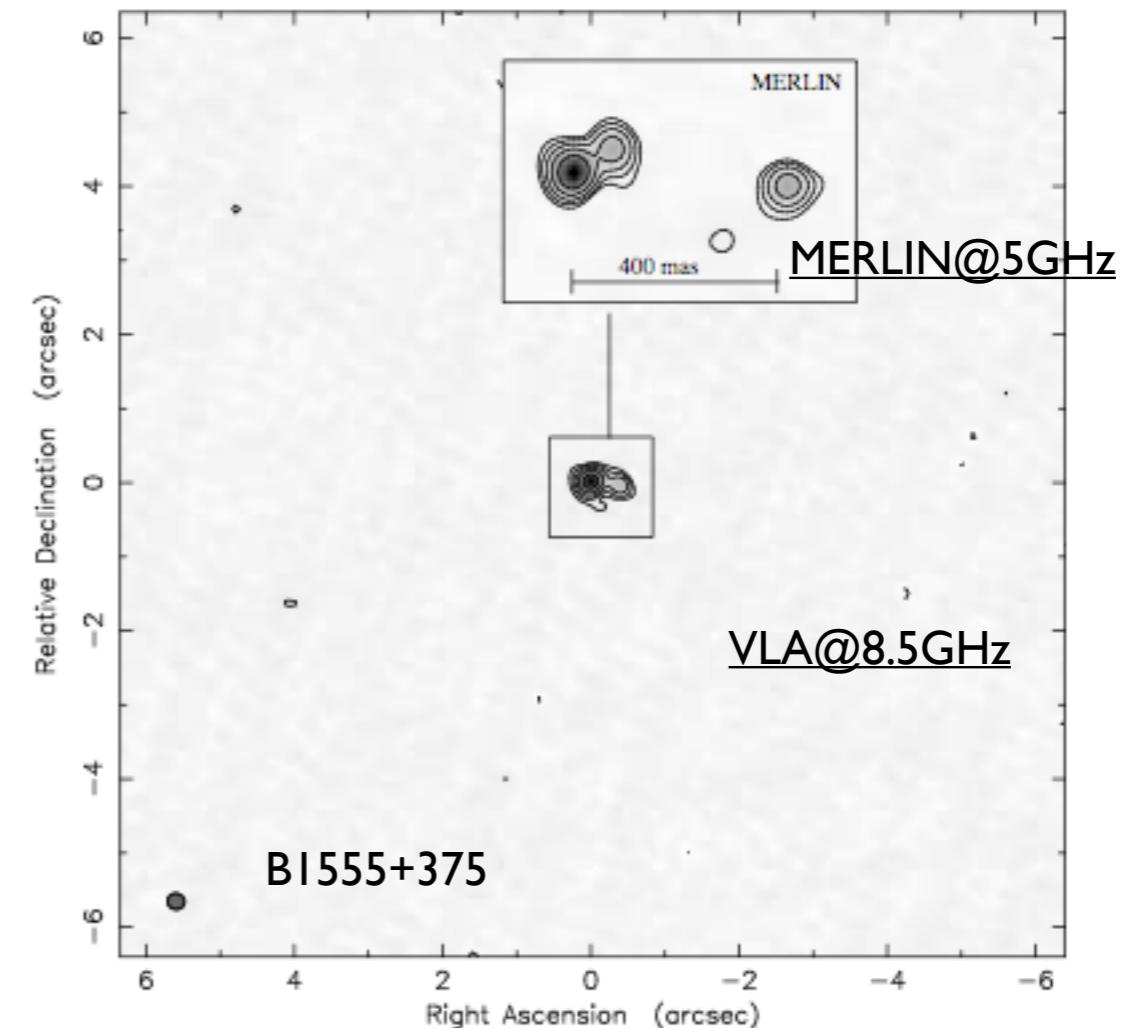
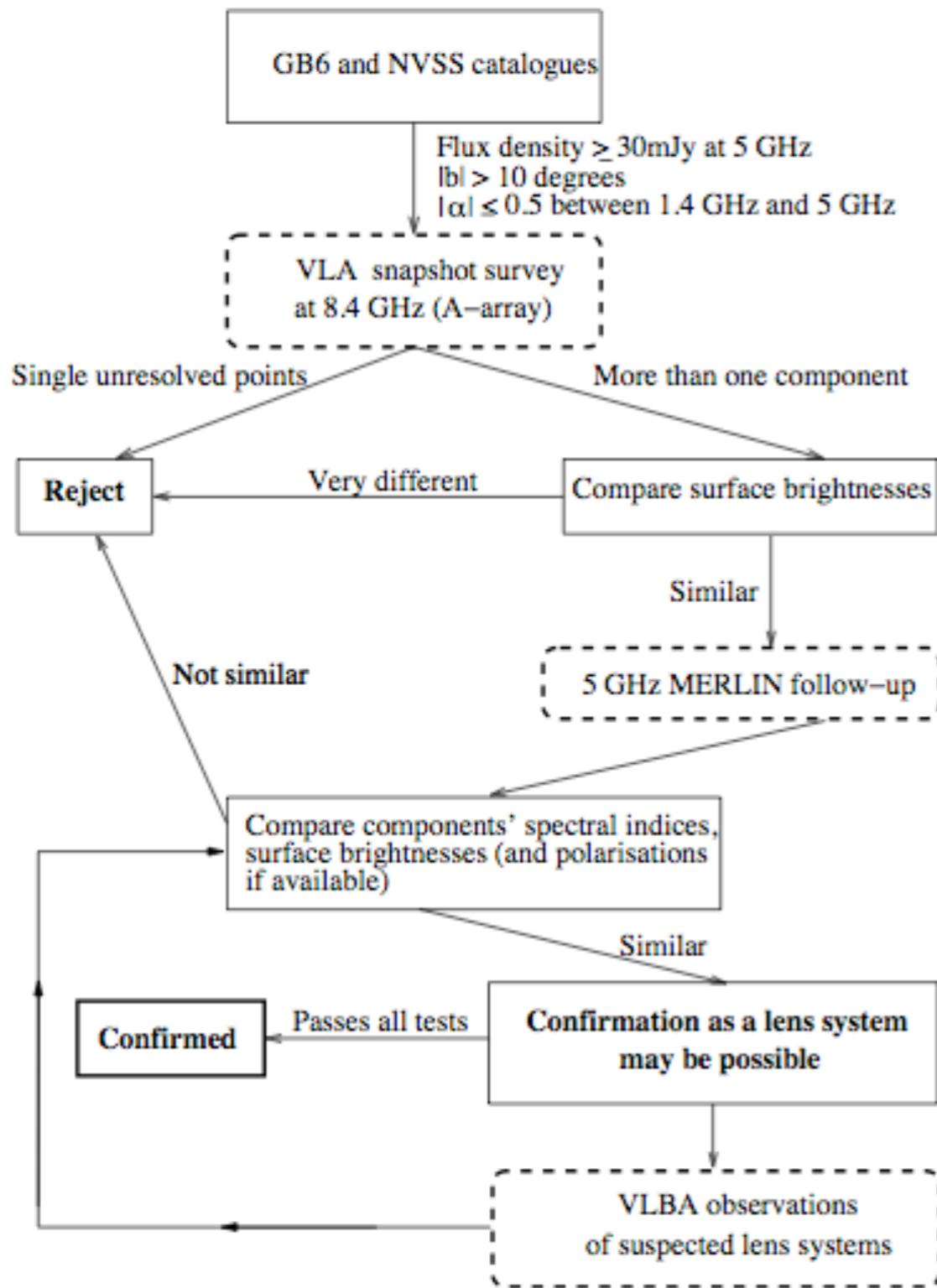
Instruments: VLA (radio maps at 0.2" res.) + follow-up with MERLIN (0.05" res.) and VLBA (0.003" res).

# CLASS STRATEGY

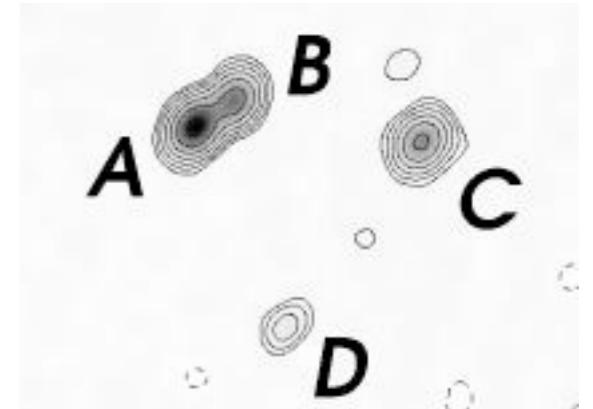


Browne et al. 2002

# CLASS STRATEGY

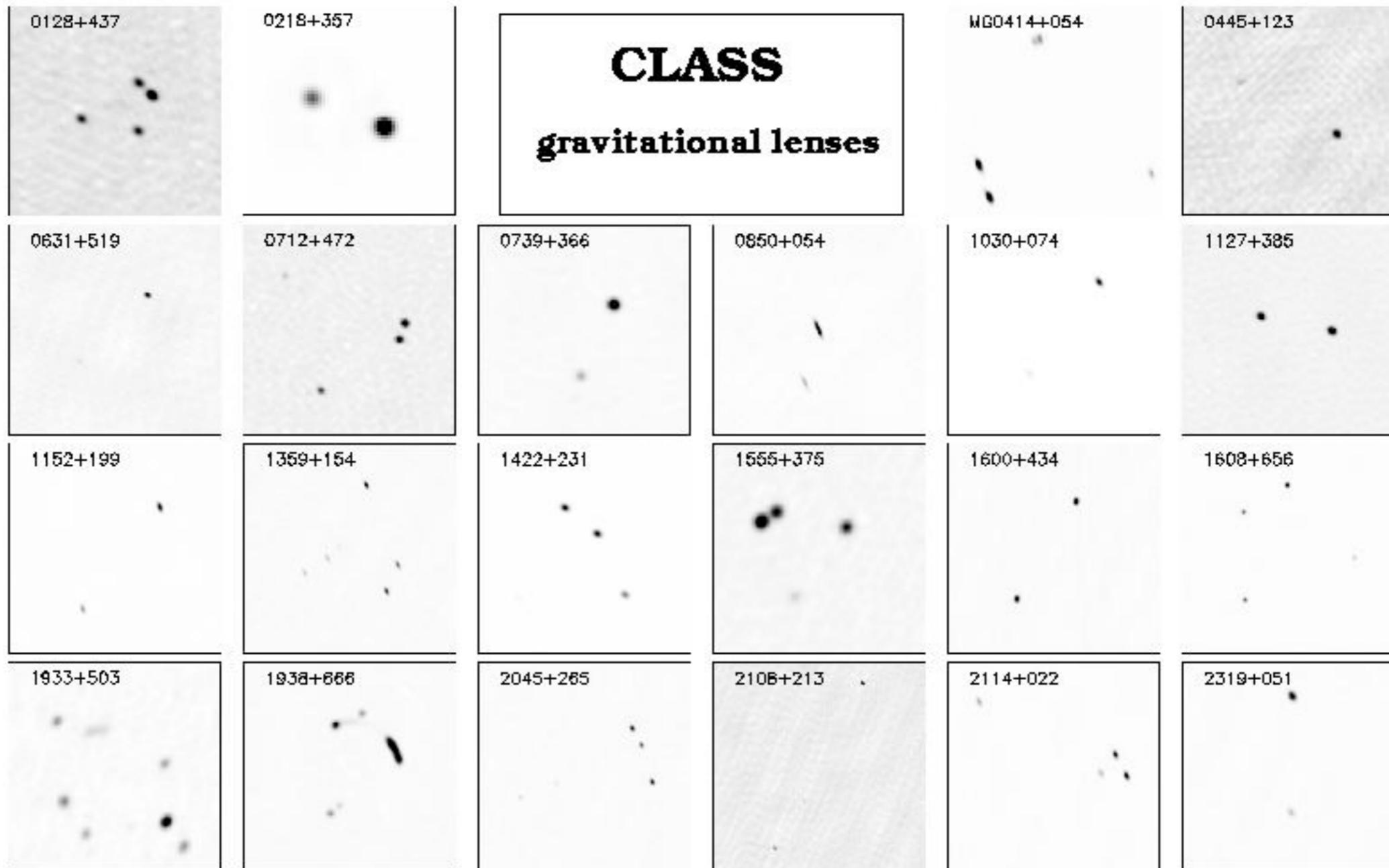


Browne et al. 2002



# CLASS LENSES

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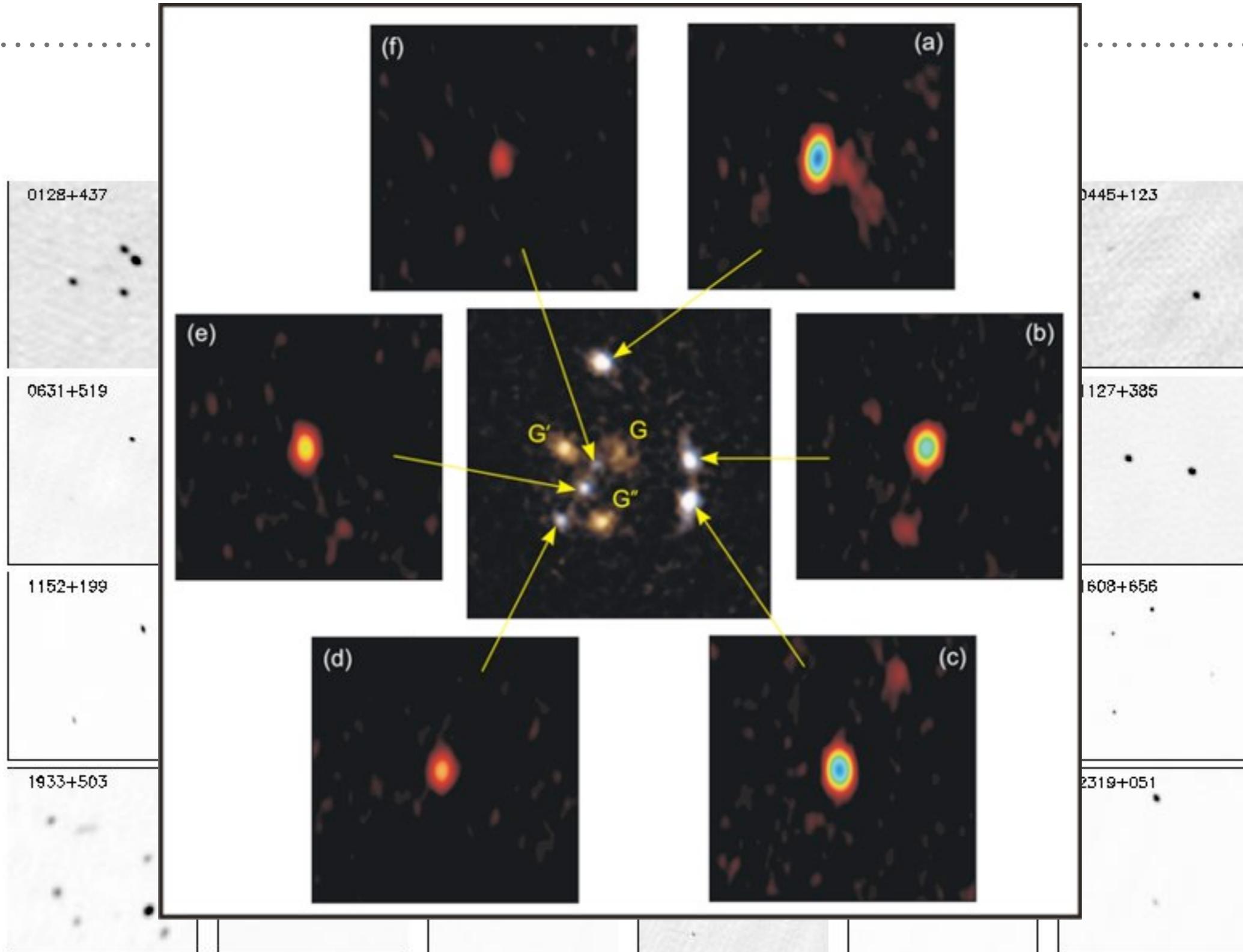


12 double

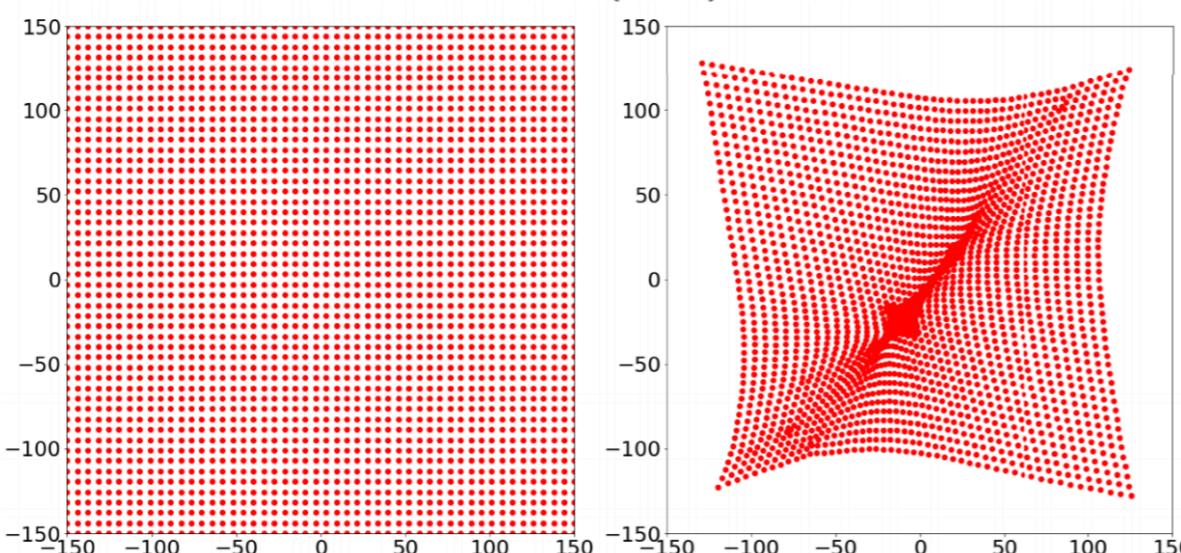
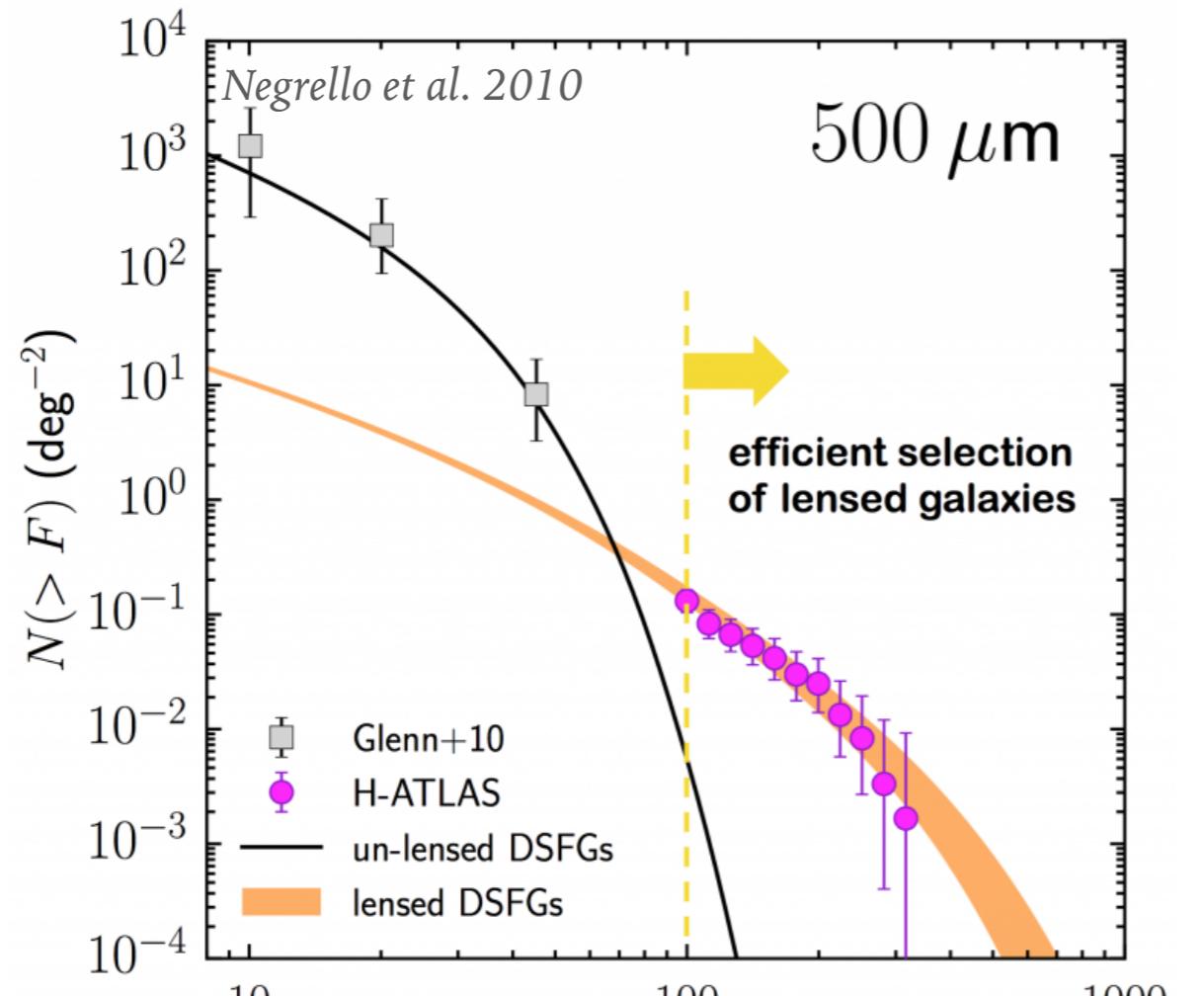
9 quadruple

1 sextuple

# CLASS LENSES



# SEARCHES AT THE SUB-MM WAVELENGTHS: MAGNIFICATION BIAS



$$N_0( > F) = Q F^{-\alpha}$$

$$N_{obs}( > F) = \frac{N_0( > F/\mu)}{\mu}$$

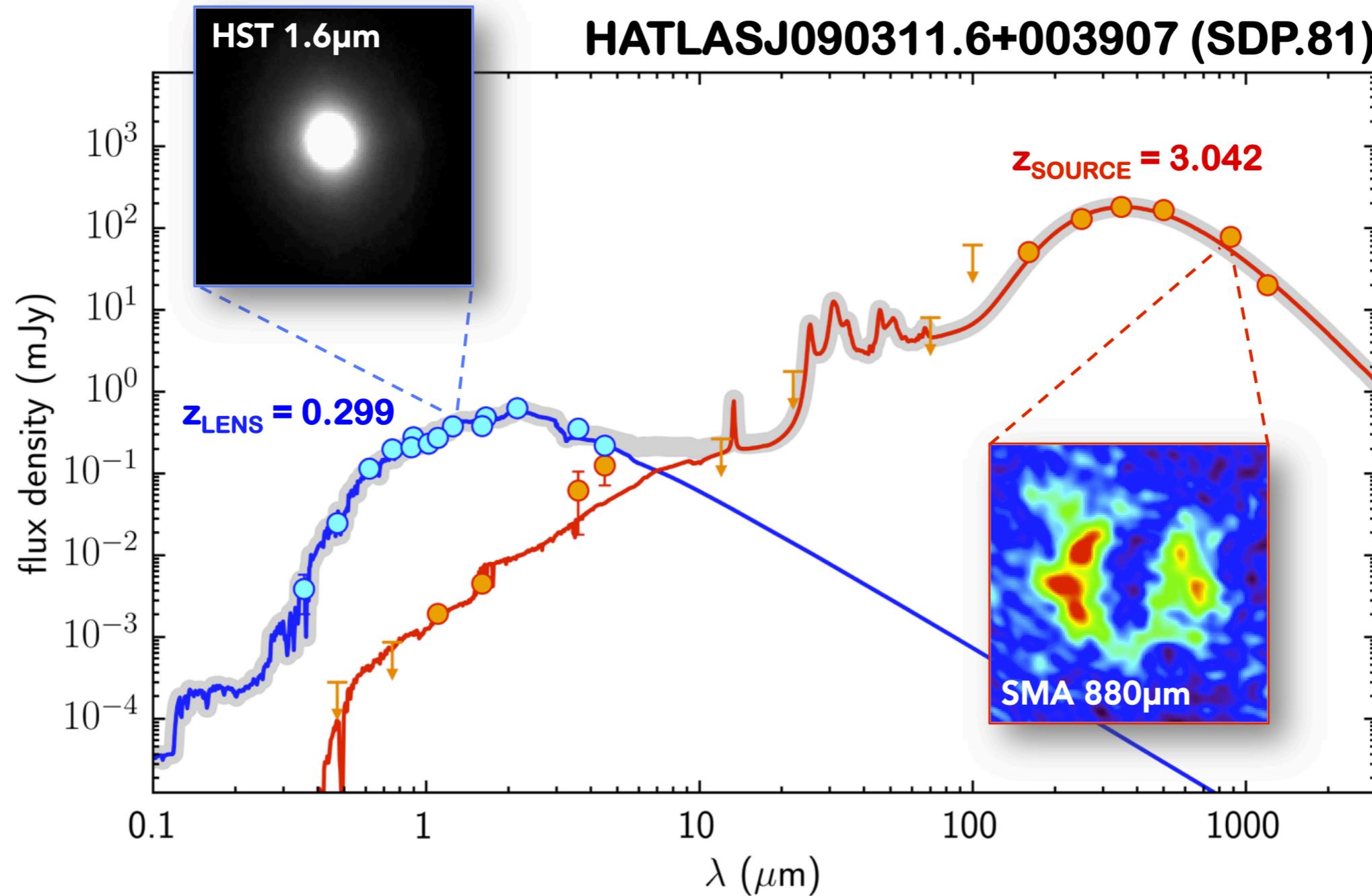
$$N_{obs}( > F) = Q \frac{(F/\mu)^{-\alpha}}{\mu}$$

$$= Q \frac{F^{-\alpha}}{\mu^{1-\alpha}}$$

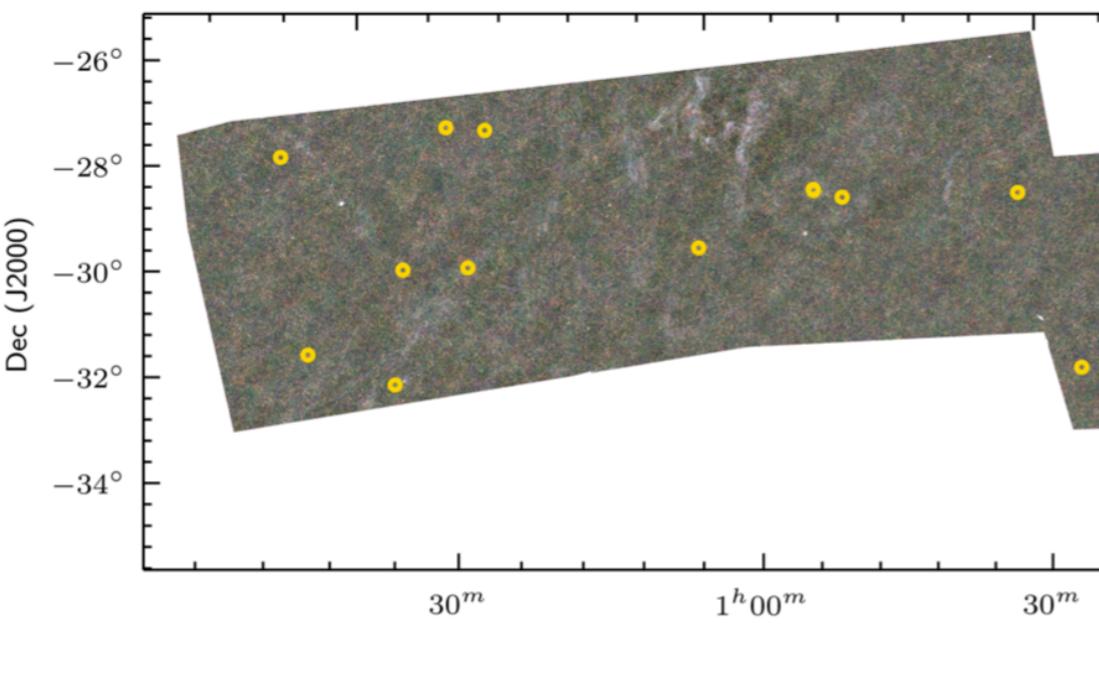
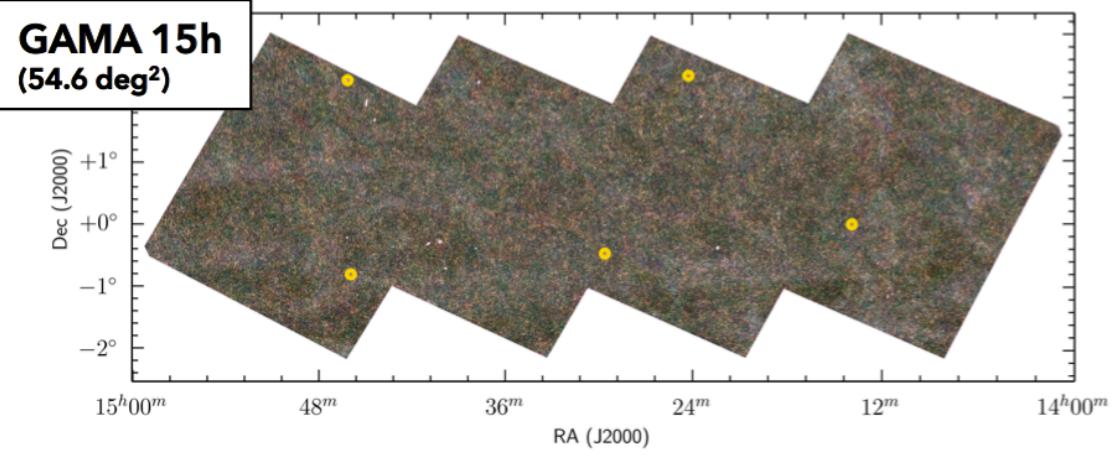
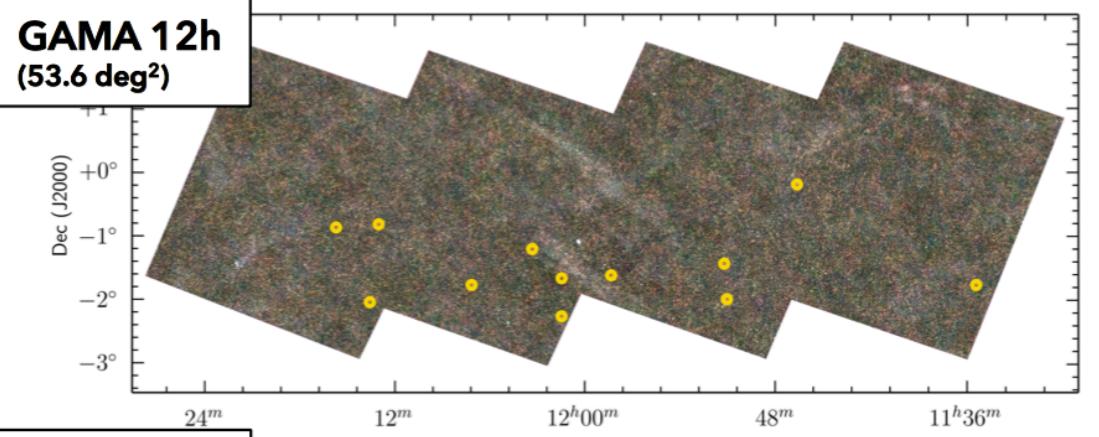
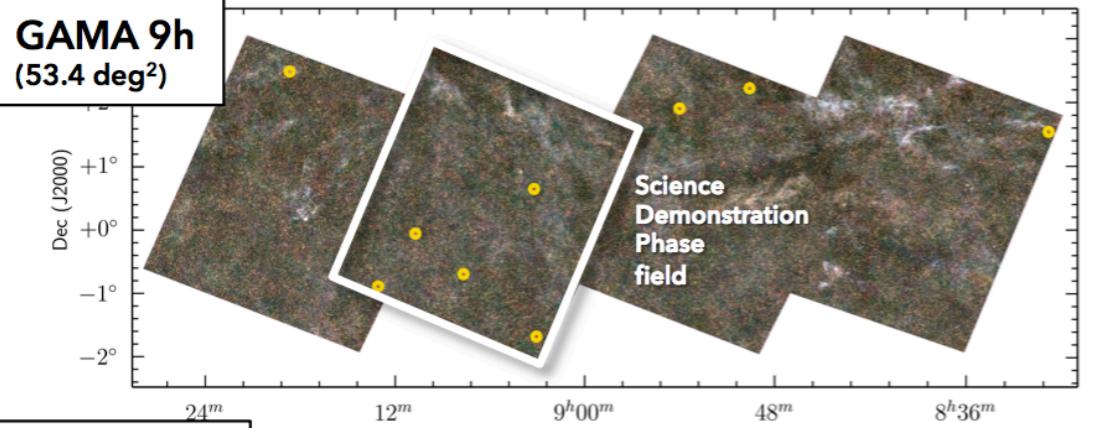
$$= N_0( > F) \mu^{\alpha-1}$$

# SEARCHES AT THE SUB-MM WAVELENGTHS

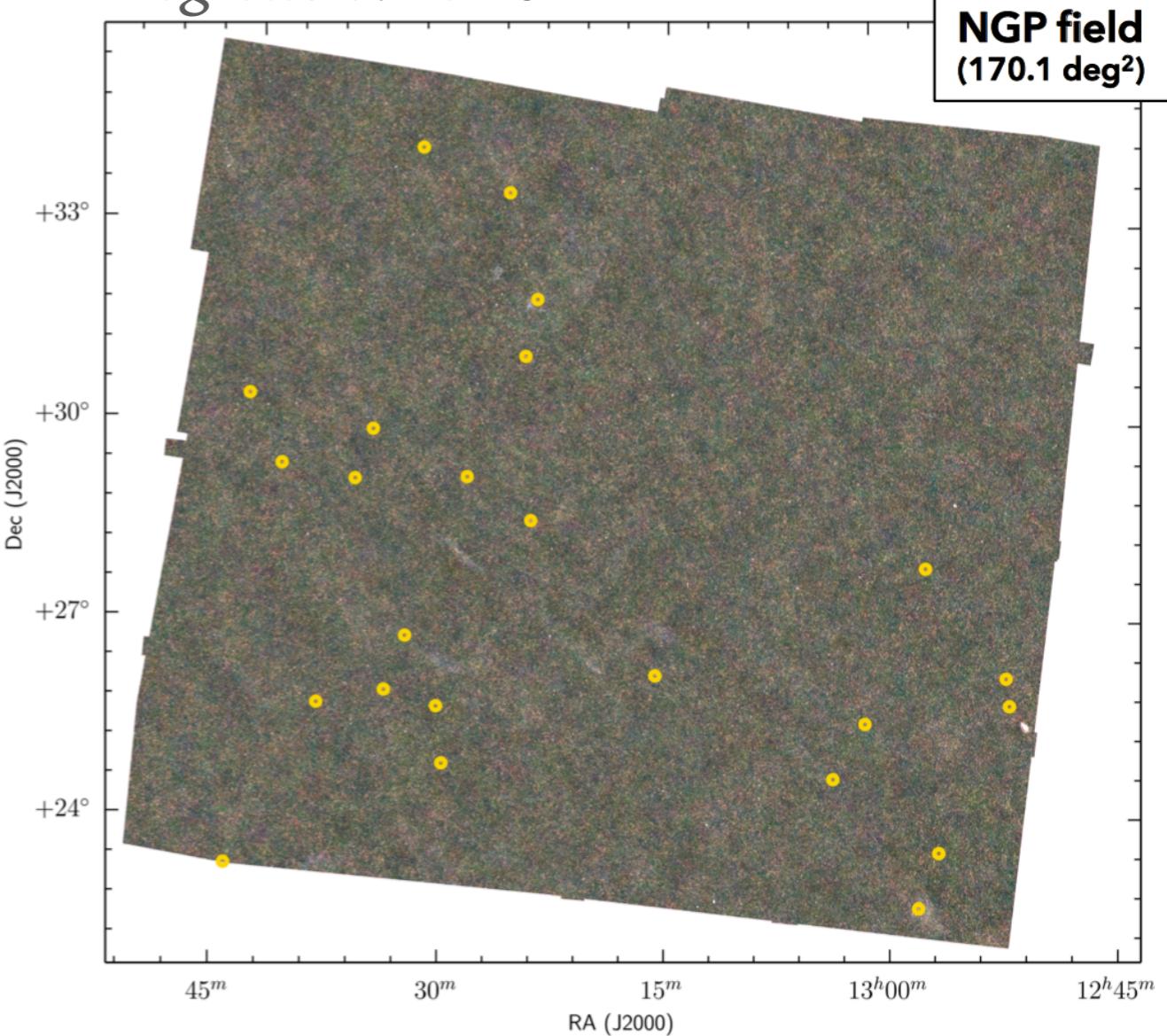
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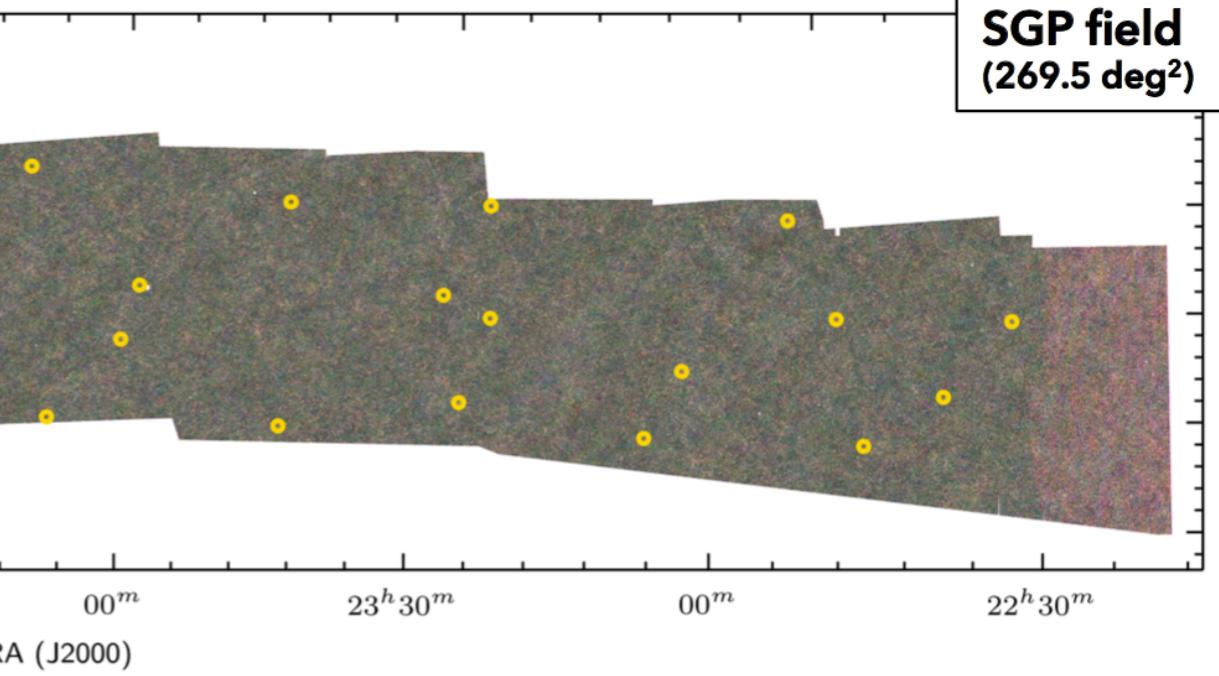
Negrello *et al.* 2010



Negrello et al 2017



**SGP field**  
(269.5 deg<sup>2</sup>)



# SLACS (OPTICAL)

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The SLACS (Sloan Lens ACS survey, Bolton et al. 2006) is a very successful project whose goal was finding strongly lensed sources behind probable lens galaxies selected in the Sloan Digital Sky Survey.

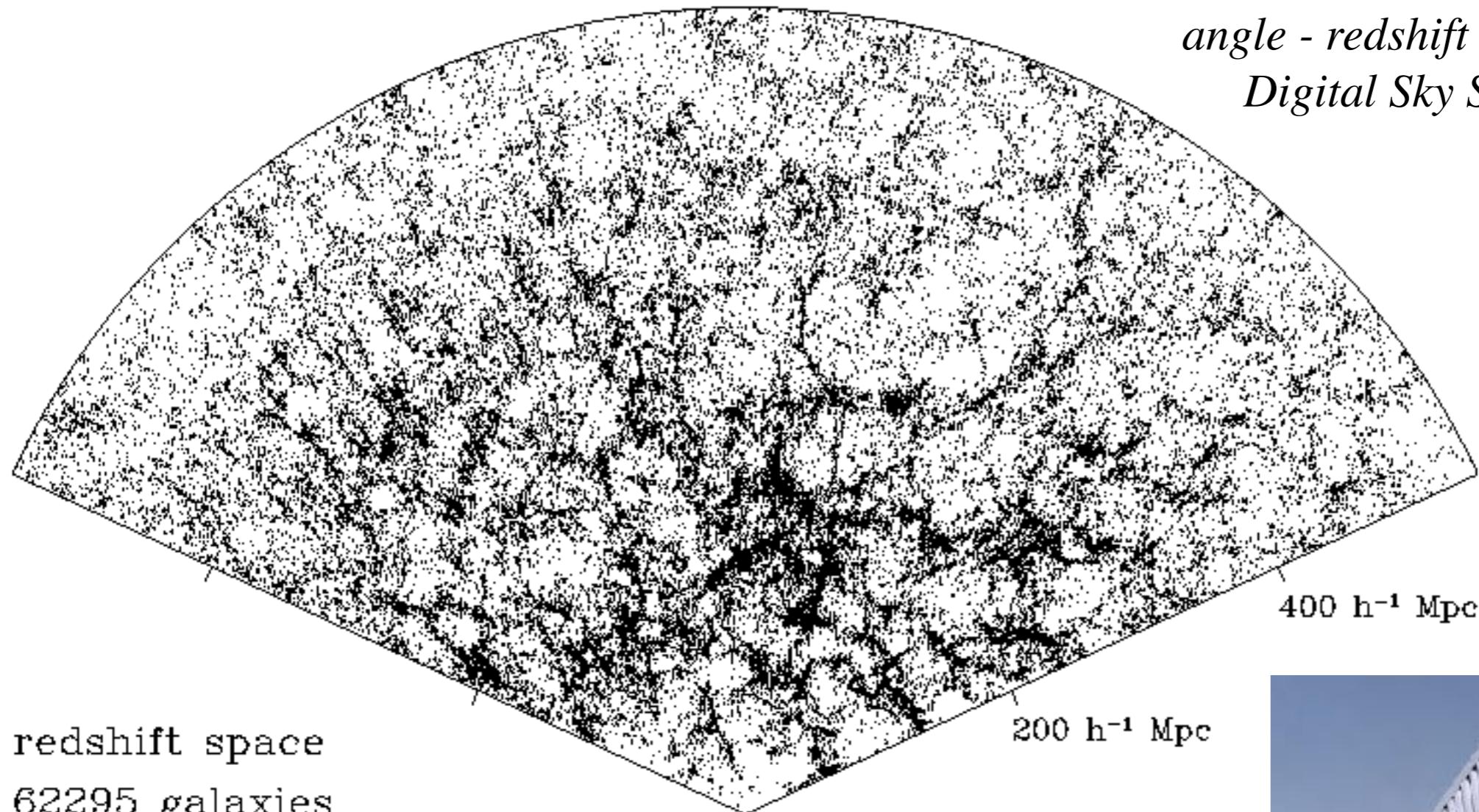
The candidate lenses are selected from the spectroscopic database. This survey has produced imaging and spectra for galaxies on a huge portion of the sky (8400 sq. degree). The observations were conducted between 2000-2005 (SDSS-I) and 2005-2009 (SDSS-II) using a dedicated 2.5m-telescope at Apache Point (New Mexico).

The candidate lenses are galaxies whose spectra can hardly be fitted with a single spectrum. This is an indication of superposition of two different galaxies along the line of sight. This technique follows the discovery of a lens system by Warren et al. (1996)

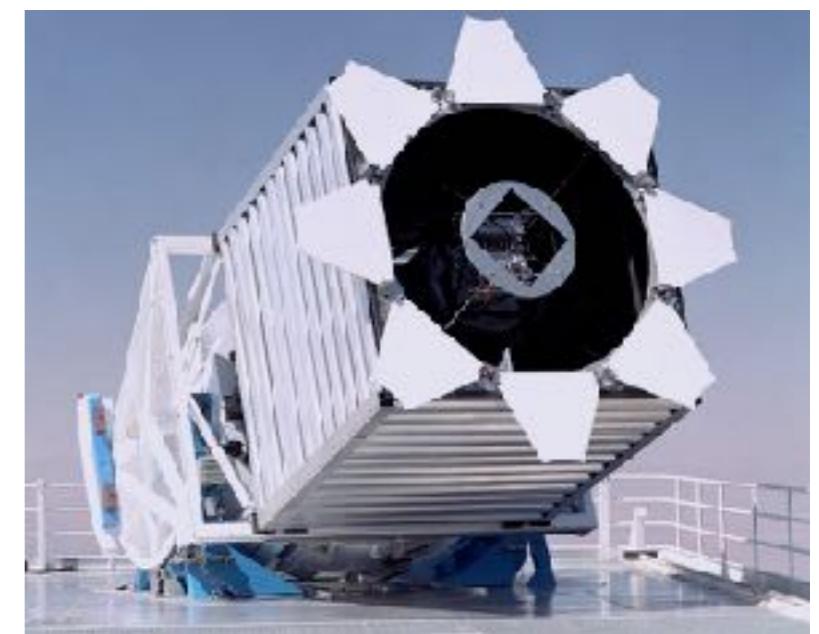
The selected candidates are observed at high-resolution with the ACS onboard HST.

# SLACS (OPTICAL)

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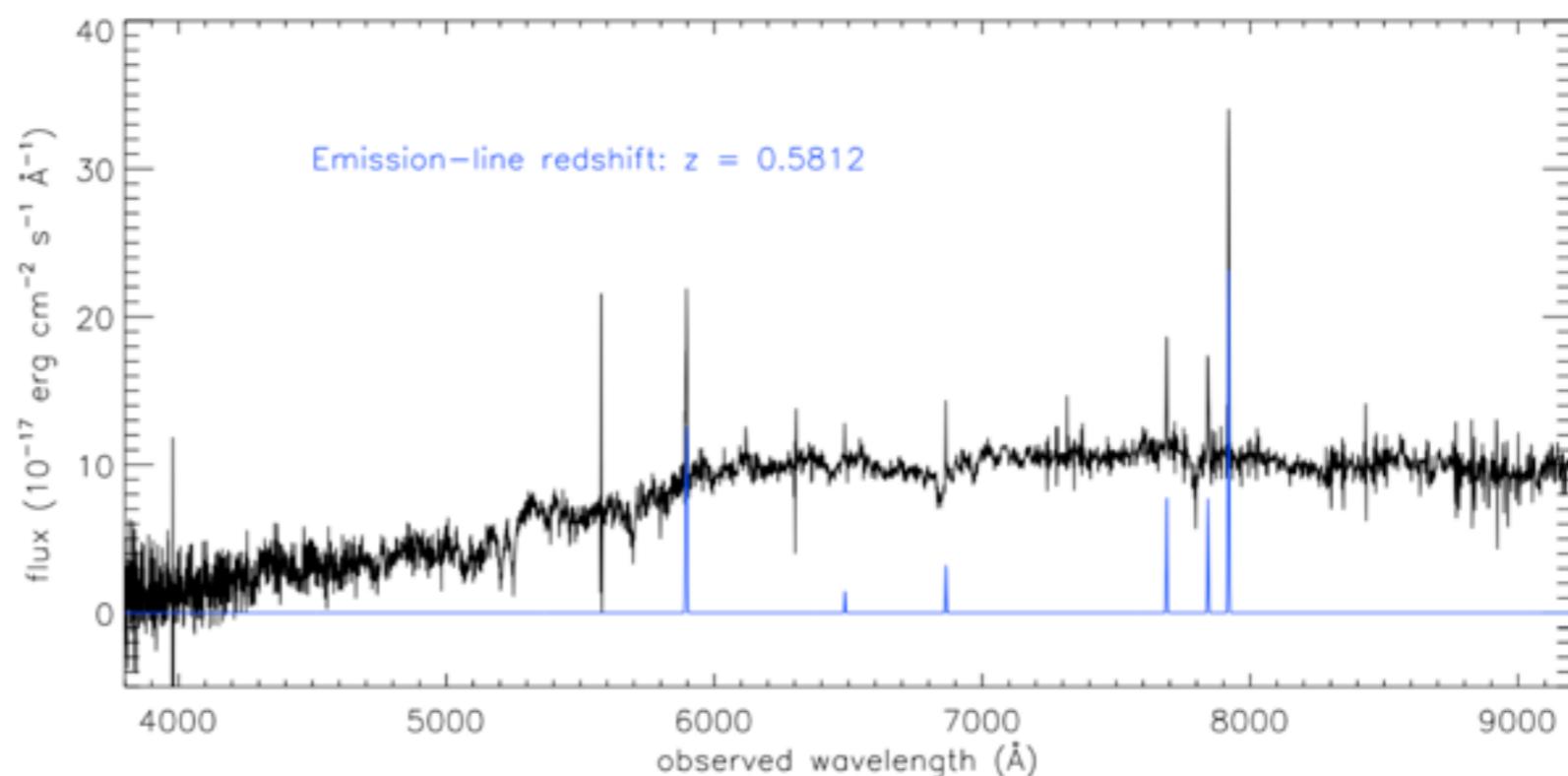
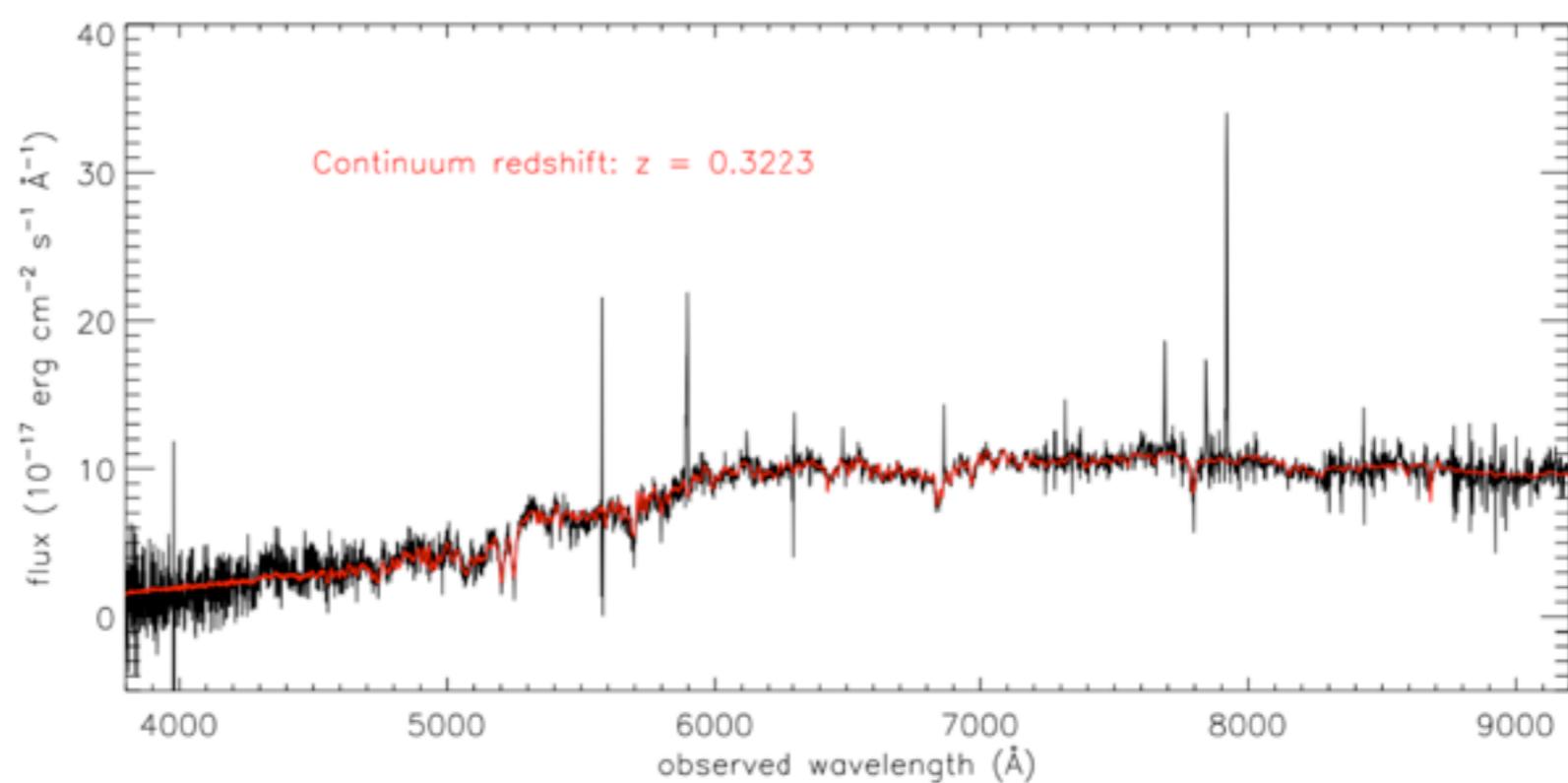
*angle - redshift slice of the Sloan  
Digital Sky Survey (SDSS)*



# SLACS (OPTICAL)

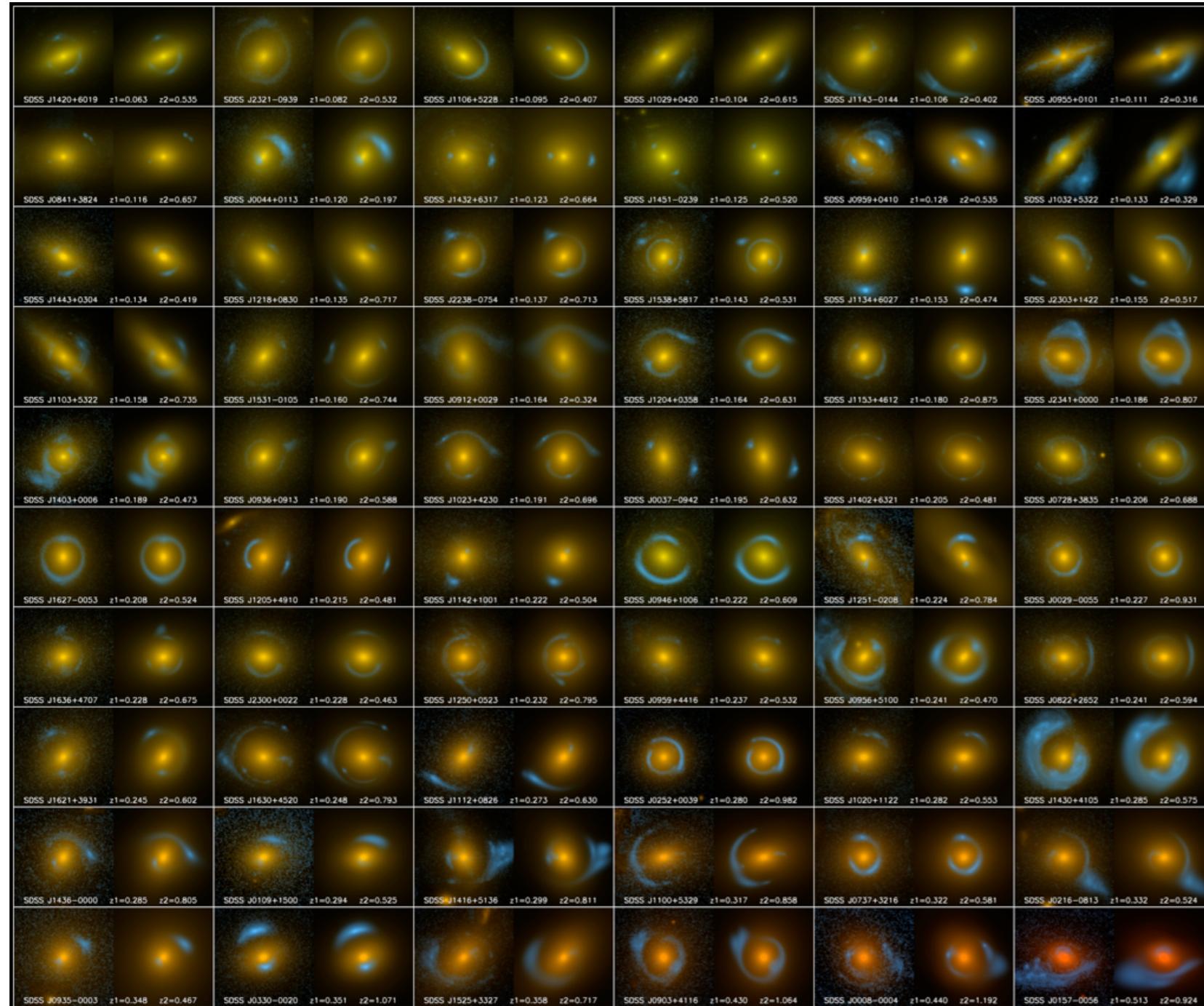
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The SLACS survey looked for unusual spectra that are the combination of galaxies at different redshifts - source and lens.



# SLACS LENSES

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SLACS: The Sloan Lens ACS Survey

A. Bolton (U. Hawai'i IfA), L. Koopmans (Kapteyn), T. Treu (UCSB), R. Gavazzi (IAP Paris), L. Moustakas (JPL/Caltech), S. Burles (MIT)

[www.SLACS.org](http://www.SLACS.org)

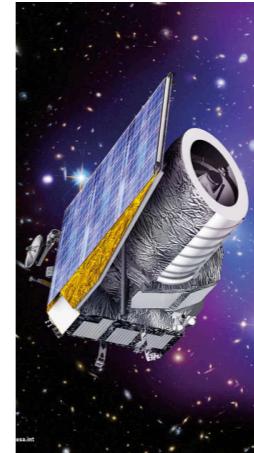
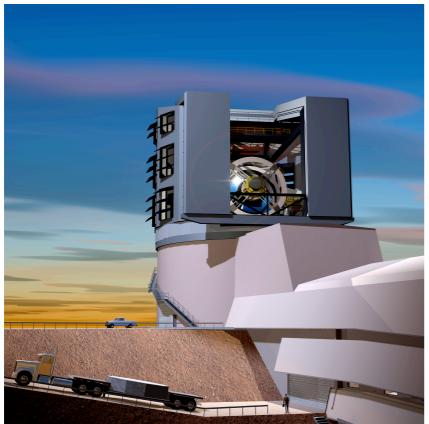
Image credit: A. Bolton, for the SLACS team and NASA/ESA

- 85 galaxy lenses
- 13 probable lenses
- redshifts for all systems
- 80% ellipticals
- 10% lenticular
- 10% spirals (mostly bulge dominated)
- big galaxies with v. disp.  
~200-300 km/s (average:  
248 km/s)

# THE NEXT GENERATION OF LENSING SURVEYS

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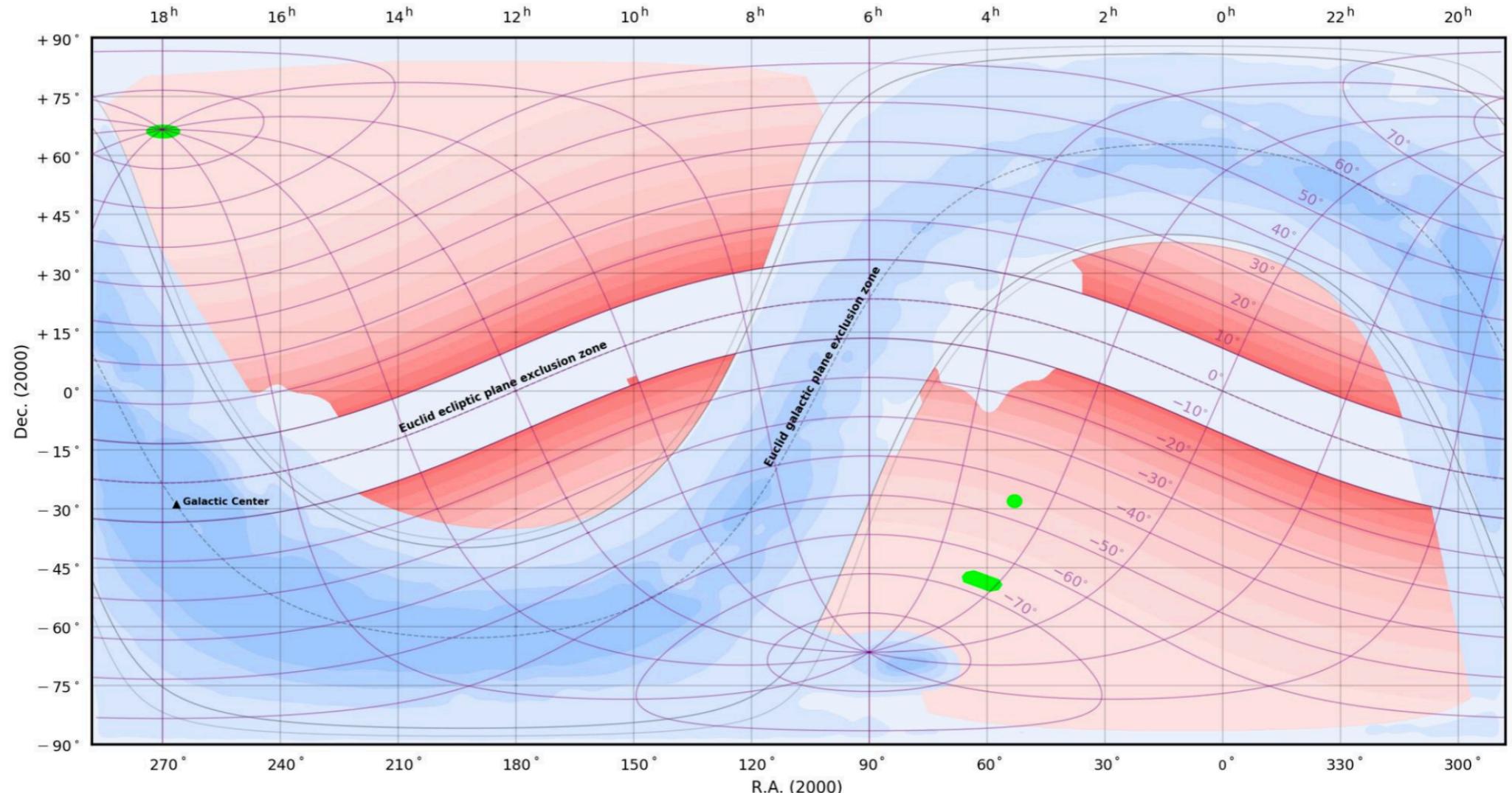
- Some ongoing surveys (KiDS, DES, Pan-STARRS, HSC) are producing large amounts of imaging data (thousands of sq. degrees)
- Between 2022-2025, some other telescopes will become operative and start imaging nearly the whole sky at large depths and with good spatial resolution.
- These surveys were proposed mainly as cosmological experiments employing weak lensing
- However, the data will be of good quality also to exploit strong lensing
- Large-Synoptic-Survey-Telescope (LSST), Vera Rubin Observatory
- **Euclid**
- WFIRST



## Vera C. Rubin Observatory



Proposed lifetime	2022 - 2032	2022 - 2029	2025 - 2031
Mirror size (m)	6.5 (effective diameter)	1.2	2.4
Survey size (sq deg)	~20,000	15,000	2,227
Median z (WL)	0.9	0.9	1.2
Depth (AB mag)	~27.5	~24.5	~27
FoV (sq deg)	9.6	0.5 (Vis) 0.5 (NIR)	0.28
Filters	u-g-r-i-z-y	Y-J-H-Vis	Y-J-H-F184
PSF Size	~0.7"	~0.2" (optical)	~0.2" (NIR)
Mode	Photometry	Photometry/Grism	Photometry/Grism



ECSURV (plots by  
J.C. Cuillandre)

Euclid Foregrounds (1/9): zodiacal light background level from Lagrangian2

■ Euclid Wide Survey : 17 Kdeg.<sup>2</sup> region of interest to enable the 15 Kdeg.<sup>2</sup> space survey

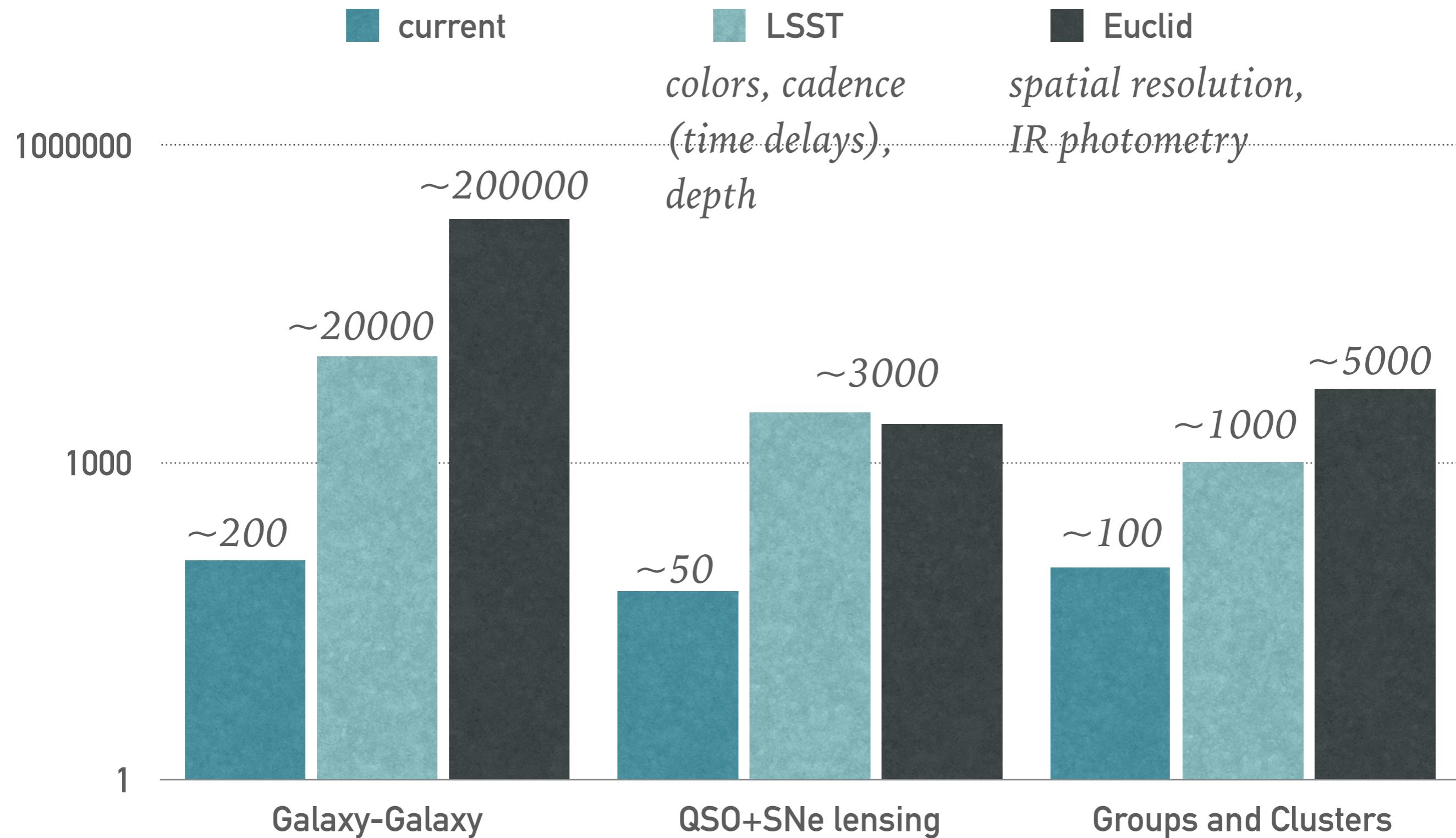
■ Euclid exclusion zone : 24 Kdeg.<sup>2</sup> [stellar density + extinction + zodiacal light]

■ Euclid Deep Fields : North=10 deg.<sup>2</sup>, Fornax=10 deg.<sup>2</sup>, South=20 deg.<sup>2</sup>

Zodiacal light level in the VIS band (Mjy/sr & mag./arcsec<sup>2</sup>)

	0.11 22.9	0.23 22.1	0.28 21.8	0.36 21.6	MAX
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# STRONG LENSING IN THE ERA OF EUCLID AND LSST



Source: LSST science book; Euclid SL white paper (in progress)

# WE ENTERED A NEW ERA: AUTOMATED SEARCHES FOR LENSES

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- The strategy had to be changed: large areas, big depth, large number of potential lenses, making difficult the lens identification in the usual way
- The idea of “automated detection” has taken place
- Machine learning! Deep learning with CNNs...

# WE ENTERED A NEW ERA: AUTOMATED SEARCHES FOR LENSES

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*The strategy needs to be changed : large area, large depth, large number of potential lenses and no spectra make it difficult to identify lenses in the traditional ways.*

## *Human inspection*

*Tens of billions of galaxies will need to be inspected, at least at the first stage, which will be difficult even for crowd sourcing.*

*Objectivity and consistency is difficult to assess.*

## *automated "arc finders"*

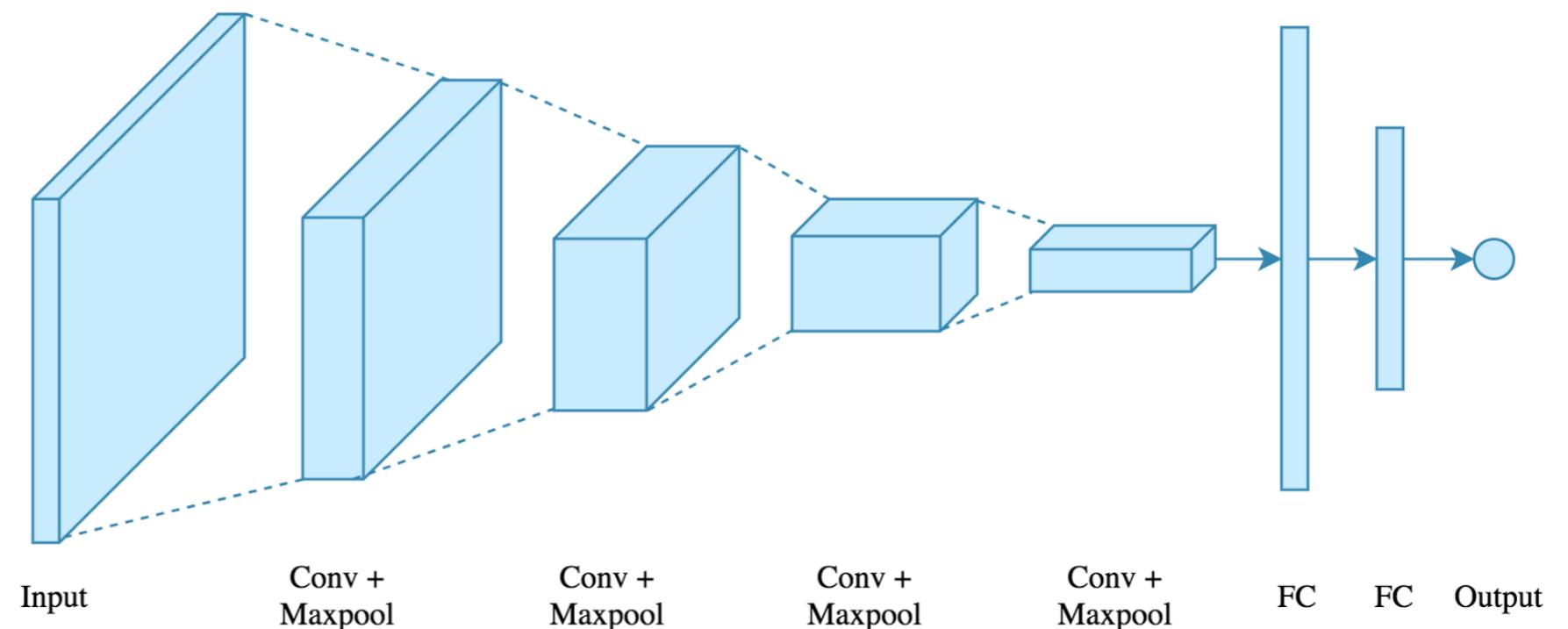
*This may be useful for giant arcs in clusters.*

*Does not seem to be competitive for galaxy-galaxy lenses*

## *machine learning / deep learning with Convolutional Neural Networks (CNNs)*

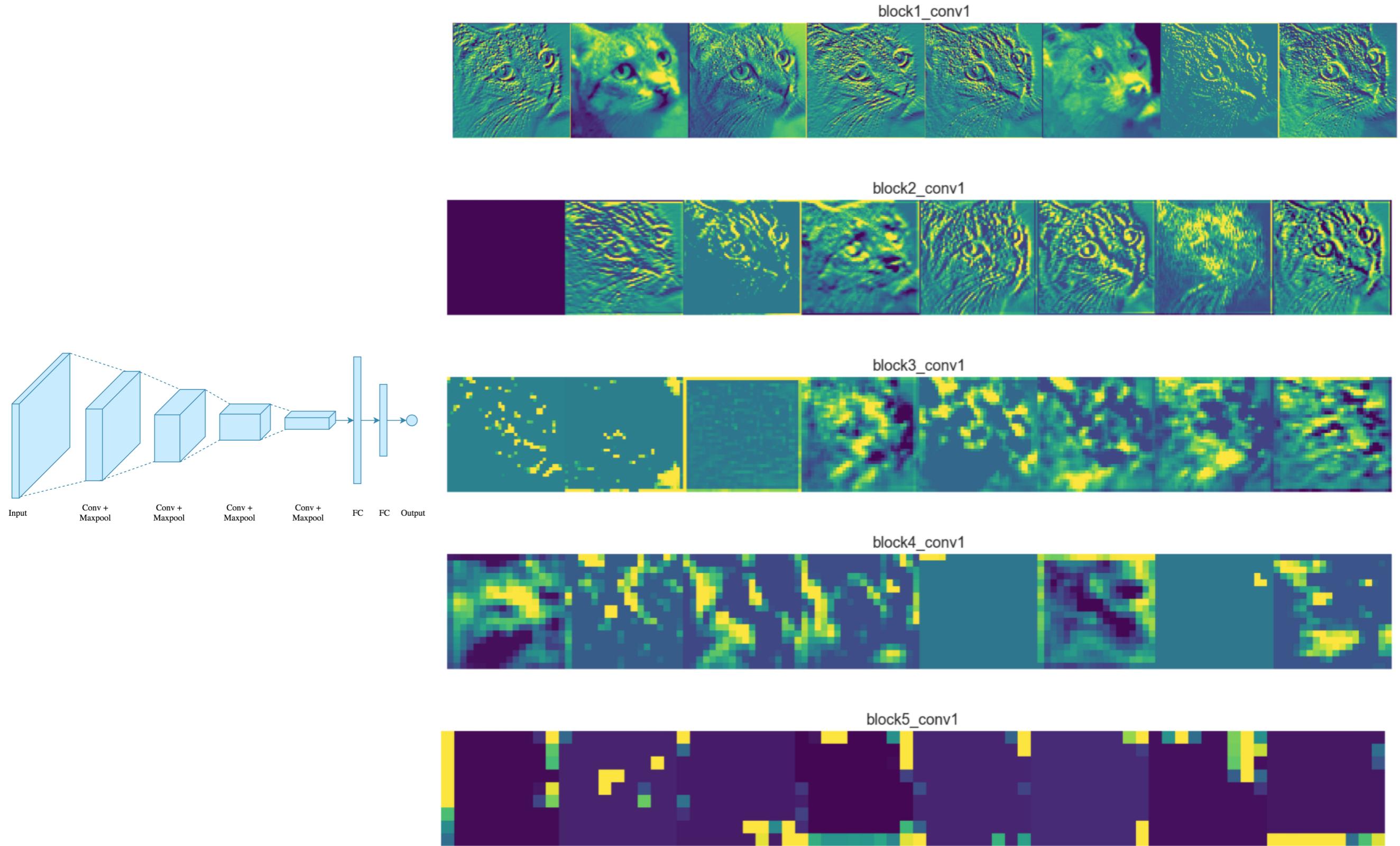
# CONVOLUTIONAL NEURAL NETWORKS (AND THEIR VARIANTS)

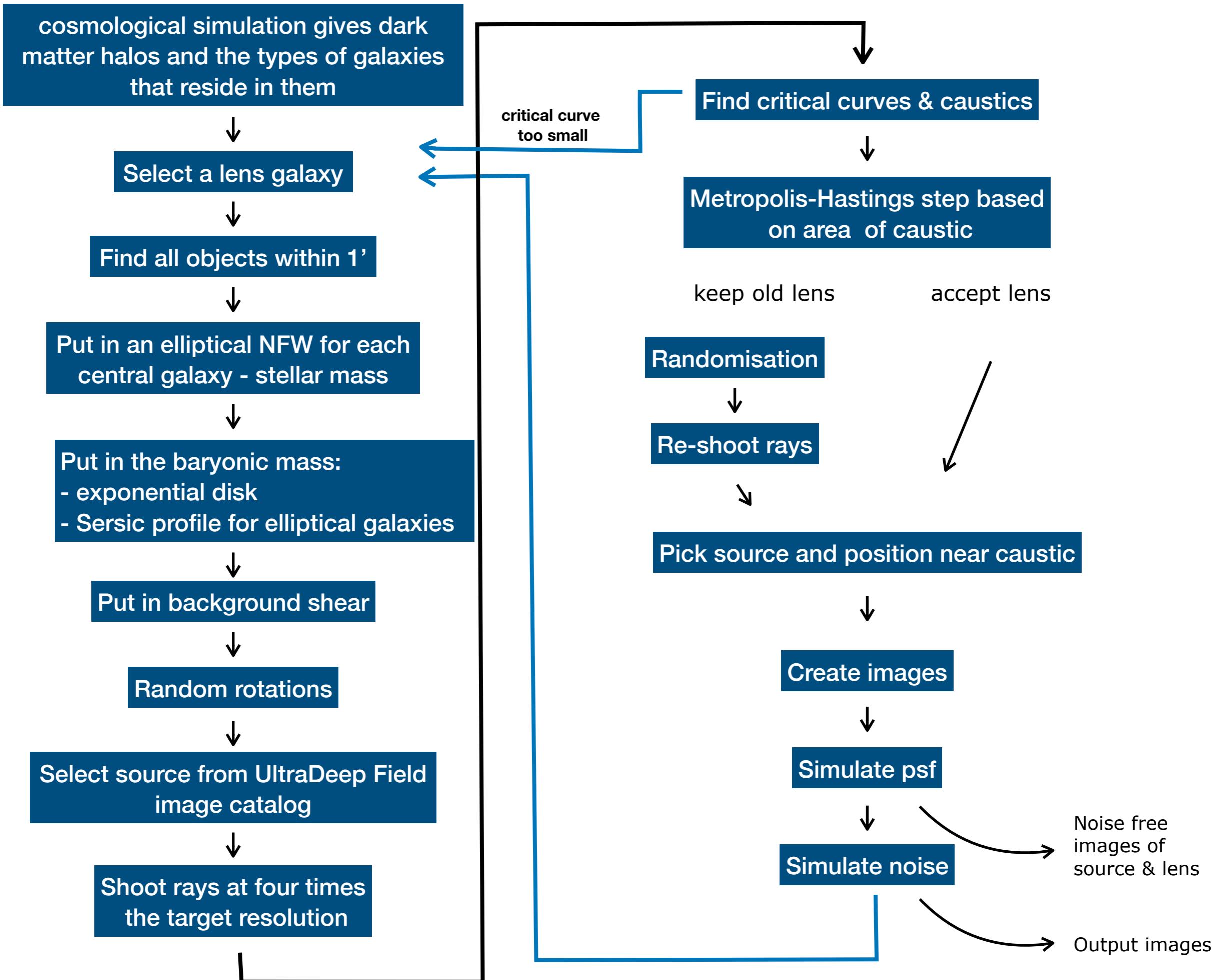
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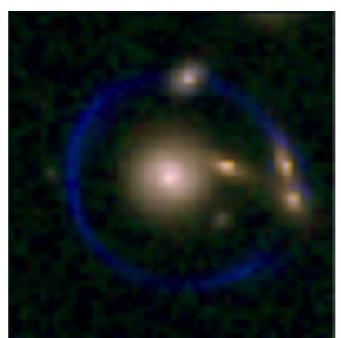
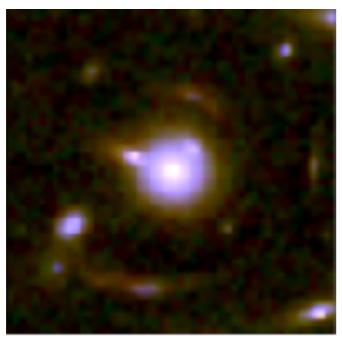
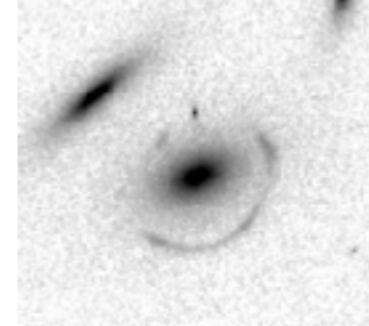
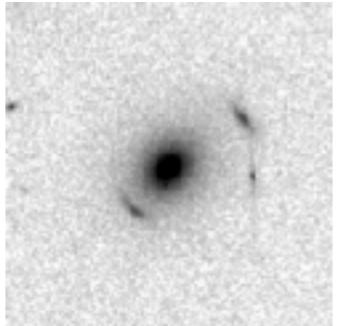
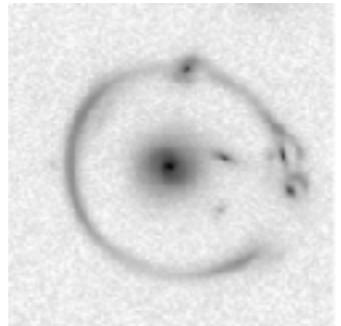
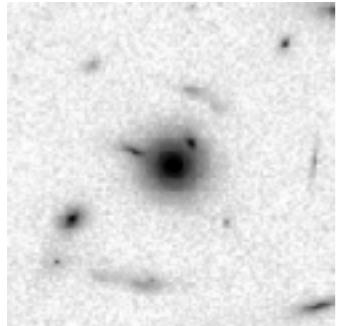
<https://towardsdatascience.com/applied-deep-learning-part-4-convolutional-neural-networks-584bc134c1e2>

# CONVOLUTIONAL NEURAL NETWORKS (AND THEIR VARIANTS)

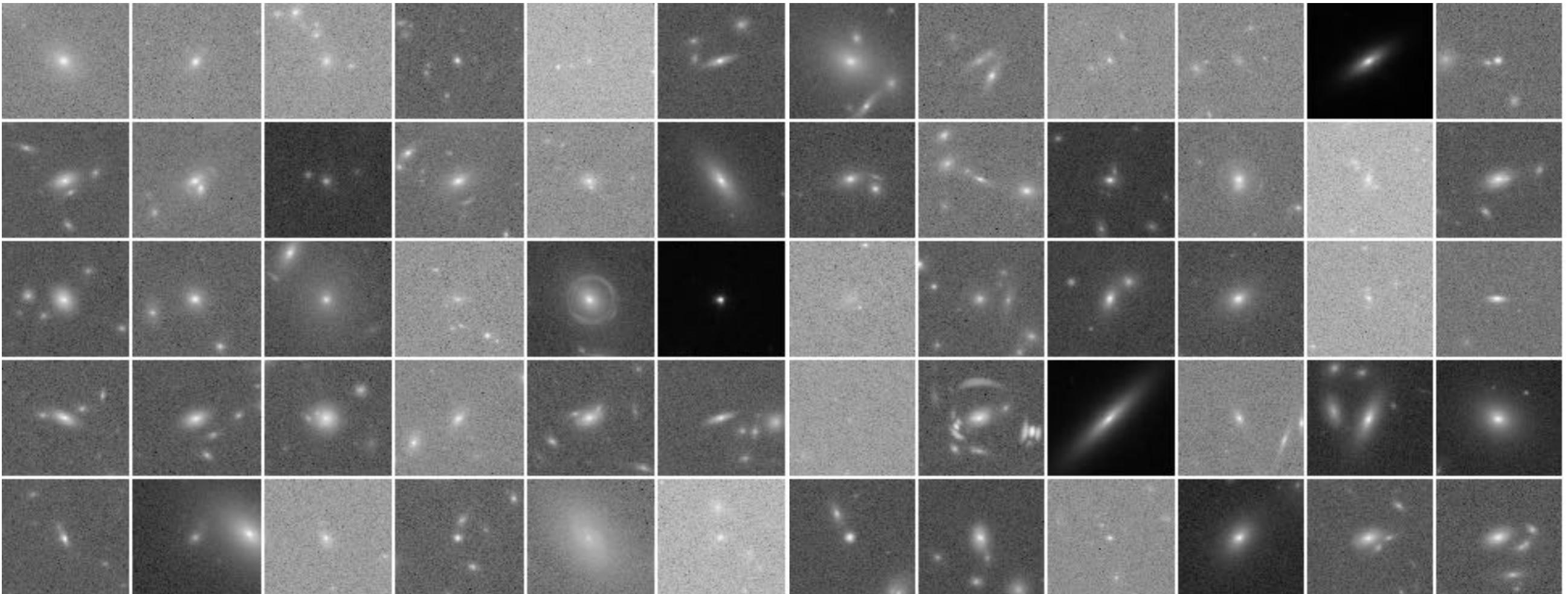




# CONVOLUTIONAL NEURAL NETWORKS (AND THEIR VARIANTS)



*Simulated  
gradational lenses  
used as a training  
set for the CNNs.*



# LENSING FINDING : EVALUATION OF ML LENS FINDERS

$$f_{\text{lens}} \gg FPR = \frac{FP^*}{N^*}$$

false positive rate

true fraction of lenses

$$\left( \frac{1 - Pre^*}{Pre^*} \right) \frac{TP^*}{N^*} \ll f_{\text{lens}}$$

precision in simulation

true positives simulation

number of targets in simulation

	Is really a lens	Is not really a lens
--	---------------------	-------------------------

Evaluated  
As lens

<b>TP</b>	<b>FP</b>
<b>FN</b>	<b>TN</b>

Evaluated  
As not lens

$$\text{precision} = \frac{TP}{TP + FP}$$

$$\text{recall} = \frac{TP}{TP + FN}$$

$$\text{fp rate} = \frac{FP}{FP + TN}$$

$$\text{accuracy} = \frac{TP + TN}{N}$$



# LENSING FINDING : EVALUATION OF ML LENS FINDERS

## F1 score

$$F_\beta = (1 + \beta^2) \frac{\text{precision} \times \text{recall}}{\beta^2 \text{precision} + \text{recall}}$$

$$F_\beta = \frac{(1 + \beta^2)TP}{(1 + \beta^2)TP + \beta^2 FN + FP}$$

$$0 < F_\beta < 1$$

$\beta$  can be used to adjusted the importance of recall relative to precision.

$$F_{\beta=0} = \text{precision}$$

$$F_{\beta=\infty} = \text{recall}$$

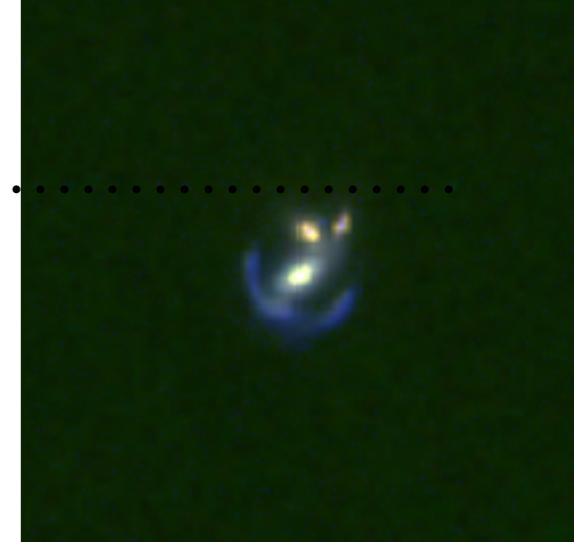
	Is really a lens	Is not really a lens
Evaluated As lens	TP	FP
Evaluated As not lens	FN	TN

$$\text{precision} = \frac{TP}{TP + FP}$$

$$\text{recall} = \frac{TP}{TP + FN}$$

$$\text{fp rate} = \frac{FP}{FP + TN}$$

$$\text{accuracy} = \frac{TP + TN}{N}$$



# LENSING FINDING : EVALUATION OF ML LENS FINDERS

The F1 score is a function of many parameters.

$$F_\beta(p, R_{Einstein}, \text{fluxes, etc...})$$

↑  
threshold of classifier

Score can be the maximum of  $F_\beta$  for  $\beta = 0.1$  or  $\beta = 0.01$

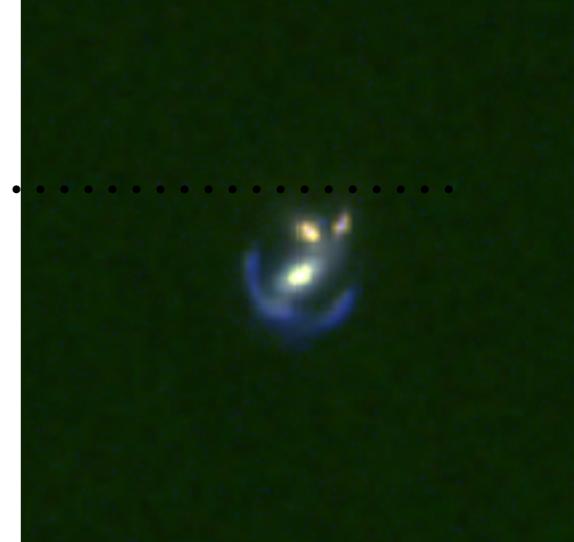
$$F_\beta(R_{Einstein}, \text{fluxes, etc...}) = \max_p [F_\beta(p, R_{Einstein}, \text{fluxes, etc...})]$$

	Is really a lens	Is not really a lens
Evaluated As lens	TP	FP
Evaluated As not lens	FN	TN

$$\text{precision} = \frac{TP}{TP + FP}$$

$$\text{recall} = \frac{TP}{TP + FN} \quad \text{fp rate} = \frac{FP}{FP + TN}$$

$$\text{accuracy} = \frac{TP + TN}{N}$$



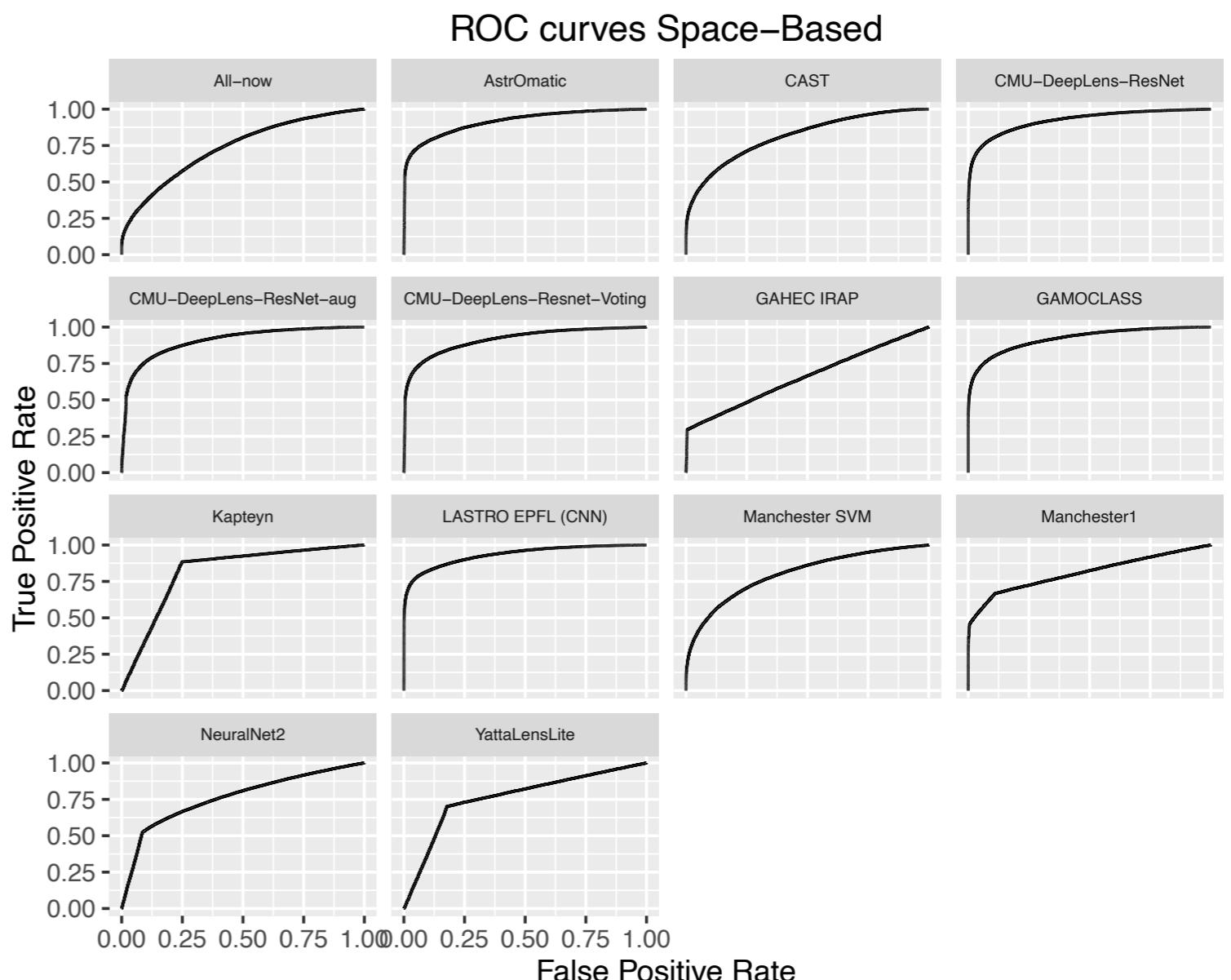
# LENSING FINDING : EVALUATION OF ML LENS FINDERS

*The traditional way to evaluate classifiers is with the **ROC curve**.*

*The ROC curve is a plot of the **true positive rate vs the false positive rate**.*

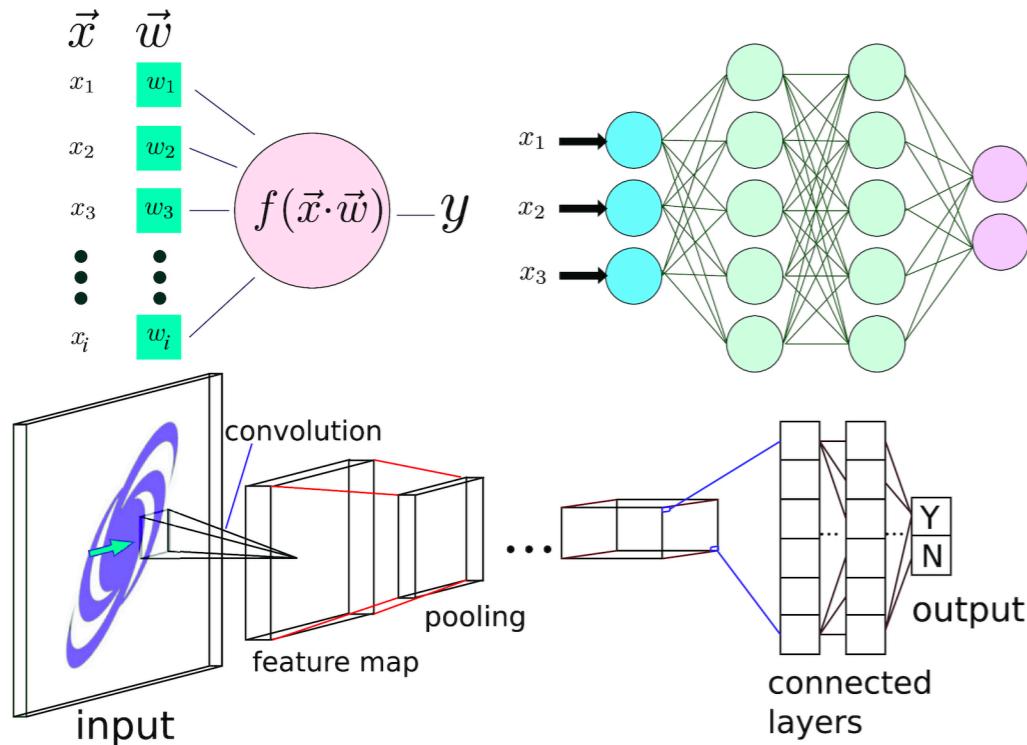
*A classifier usually has a threshold parameter that when varied traces out a curve in this space.*

*The more area under the curve the better. The maximum is one since neither of these rates can be more than one.*

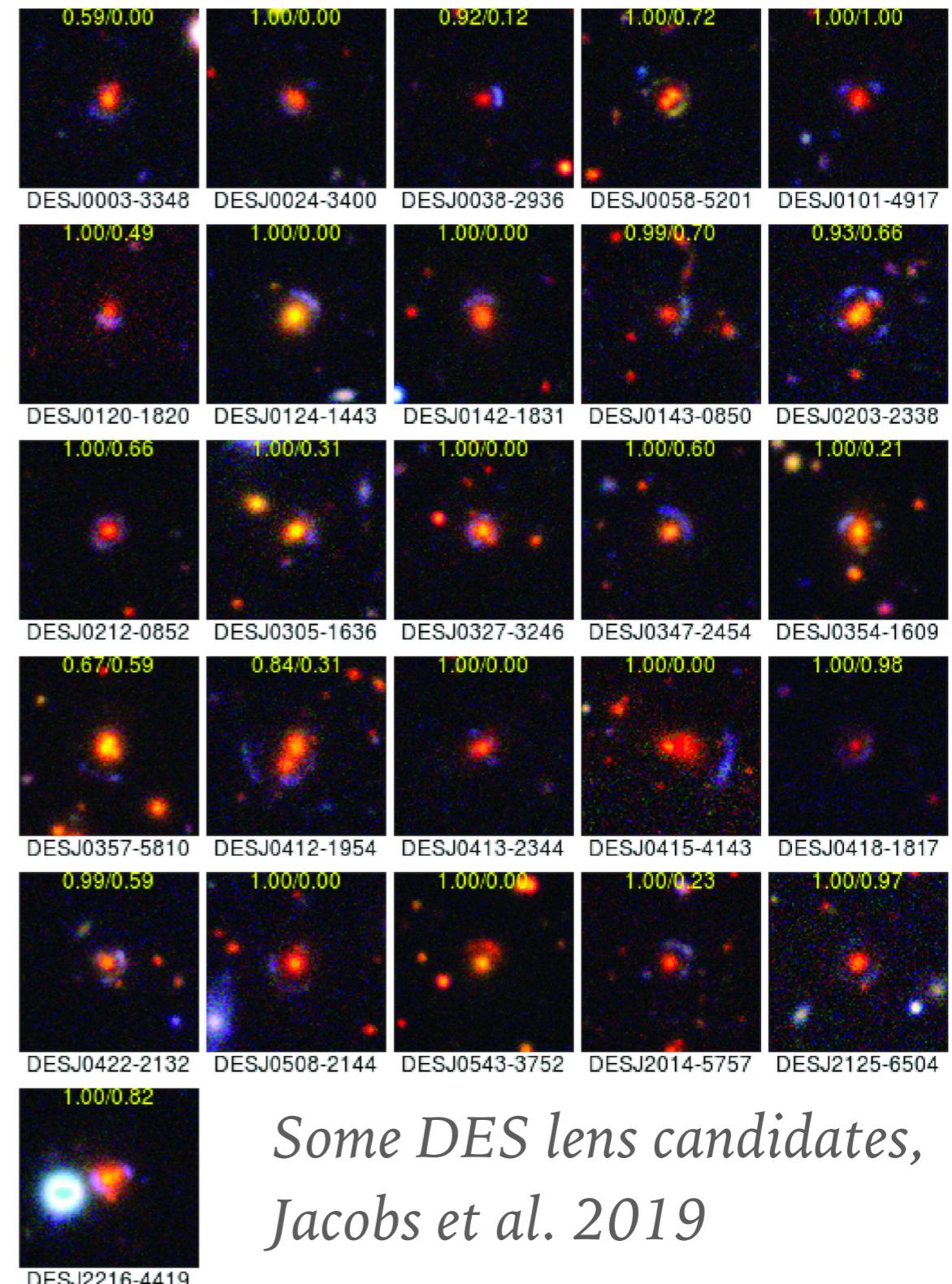


# CONVOLUTIONAL NEURAL NETWORKS TO FIND STRONG LENSES

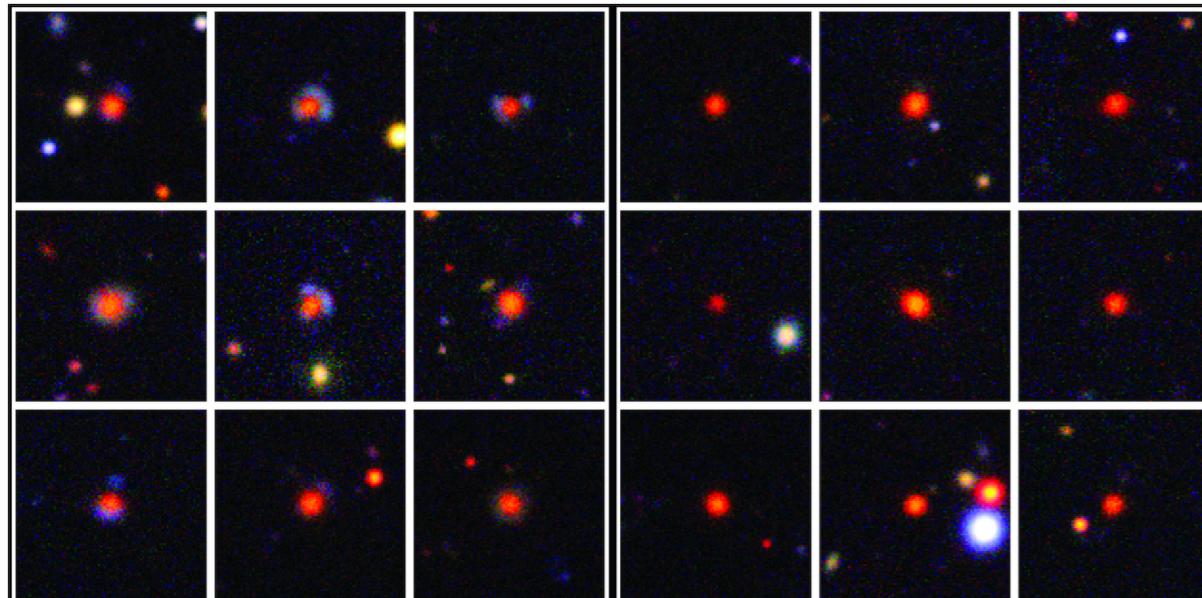
1) Choose a network architecture



3) Find the lenses:



2) Training and validation with simulated lenses



Some DES lens candidates,  
Jacobs et al. 2019