Intro. to Computer Architecture

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January 9, 2018

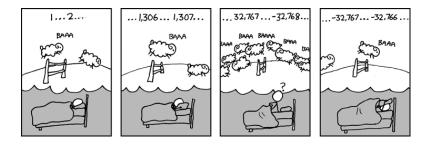
Keep in mind there are *two* PDFs available (of which this is the latter):

- 1. a PDF of examinable material used as lecture slides, and
- 2. a PDF of non-examinable, extra material:
 - the associated notes page may be pre-populated with extra, written explaination of material covered in lecture(s), plus
 - anything with a "grey'ed out" header/footer represents extra material which is useful and/or interesting but out of scope (and hence not covered).

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COMS12200 lecture: week #2



http://xkcd.com/571/

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COMS12200 lecture: week #2

► Hopefully you agree that

$$123 \equiv \langle 3, 2, 1 \rangle$$

i.e., the decimal literal 123 is basically just a sequence of digits.

- ► The same is true elsewhere, e.g.,
 - ▶ a **bit** is a single binary digit, i.e., 0 or 1,
 - ▶ a **byte** is an 8-element sequence of bits, and
 - ► a **word** is a *w*-element sequence of bits

and hence

$$01111011 \equiv \langle 1, 1, 0, 1, 1, 1, 1, 1, 0 \rangle.$$

- ▶ Question: what do these things *mean* ... what do they *represent*?
- ► Answer: anything *we* decide they do!



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COMS12200 lecture: week #2

▶ There's just *one* key concept here, namely

Ŷ	\mapsto	X
the representation $\begin{cases} & \text{of } X \end{cases}$	maps to {	the value $\begin{cases} of X \end{cases}$

- ▶ That is, we need
- 1. a concrete representation that we can write down, and
- 2. a mapping that means the right thing wrt. value, *plus* is consistent (in both directions) noting multiple representations may be able to capture the same (set of) values.

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An Aside: Properties of bit-sequences

Definition

A given literal, say

$$X = 1111011,$$

can be interpreted in $\it two$ ways:

1. A little-endian ordering is where we read bits in a literal from right-to-left, i.e.,

$$X_{LE} = \langle X_0, X_1, X_2, X_3, X_4, X_5, X_6 \rangle = \langle 1, 1, 0, 1, 1, 1, 1 \rangle,$$

where

- ightharpoonup the Least-Significant Bit (LSB) is the right-most in the literal (i.e., X_0), and
- the Most-Significant Bit (MSB) is the left-most in the literal (i.e., $X_{n-1} = X_6$).
- 2. A big-endian ordering is where we read bits in a literal from left-to-right, i.e.,

$$X_{BE} = \langle X_6, X_5, X_4, X_3, X_2, X_1, X_0 \rangle = \langle 1, 1, 1, 1, 0, 1, 1 \rangle,$$

where

- the Least-Significant Bit (LSB) is the left-most in the literal (i.e., $X_{n-1} = X_6$), and
- the Most-Significant Bit (MSB) is the right-most in the literal (i.e., X_0).

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An Aside: Properties of bit-sequences

Definition

Following the idea of vectorial Boolean function, given an n-element bit-sequence X, and an m-element bit-sequence Y we can clearly

1. overload $\emptyset \in \{\neg\}$, i.e., write

 $R = \emptyset X$,

to mean

 $R_i = \emptyset X_i$

for $0 \le i < n$,

2. overload $\Theta \in \{\land, \lor, \oplus\}$, i.e., write

 $R = X \ominus Y$,

to mean

 $R_i = X_i \ominus Y_i$

for $0 \le i < n = m$, where if $n \ne m$, we pad either X or Y with 0 until the n = m.

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► Example: in C, we use the computational (or **bit-wise**) operators ~, &, |, and ^ this way: they apply NOT, AND, OR, and XOR to corresponding bits in the operands.

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Although they look similar, take care not to confuse the bit-wise operators with the Boolean operators!, && and ||. It's reasonable to
think of the former as being used for computation and the latter for conditions (i.e., when a decision is needed).

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Although they look similar, take care not to confuse the bit-wise operators with the Boolean operators!, && and ||. It's reasonable to
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An Aside: Properties of bit-sequences

Definition

Given two n-bit sequences X and Y, we can define some important properties named after Richard Hamming, a researcher

▶ The **Hamming weight** of *X* is the number of bits in *X* that are equal to 1, i.e., the number of times $X_i = 1$. This can be expressed as

$$\mathcal{H}(X) = \sum_{i=0}^{n-1} X_i.$$

▶ The **Hamming distance** between *X* and *Y* is the number of bits in *X* that differ from the corresponding bit in *Y*, i.e., the number of times $X_i \neq Y_i$. This can be expressed as

$$\mathcal{D}(X,Y) = \sum_{i=0}^{n-1} X_i \oplus Y_i.$$

Note that both quantities naturally generalise to non-binary sequences.



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An Aside: Properties of bit-sequences

Definition

Given two n-bit sequences X and Y, we can define some important properties named after Richard Hamming, a researcher at Bell Labs:

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$$\mathcal{D}(X,Y) = \sum_{i=0}^{n-1} X_i \oplus Y_i.$$

Note that both quantities naturally generalise to non-binary sequences.

Example: given $X = \langle 1, 0, 0, 1 \rangle$ and $Y = \langle 0, 1, 1, 1 \rangle$ we find that

$$\mathcal{H}(X) = \sum_{i=0}^{n-1} X_i$$

$$= 1 + 0 + 0 + 1 = 2$$

$$\mathcal{D}(X,Y) = \sum_{i=0}^{n-1} X_i \oplus Y_i = (1 \oplus 0) + (0 \oplus 1) + (0 \oplus 1) + (1 \oplus 1) = 1 + 1 + 1 + 0 = 3$$

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Positional Number Systems (1)

- ► You *already* use **positional number systems** without thinking about it ...
- ... the idea is to express the value of a number using a base-*b* expansion

$$\hat{x} = \langle x_0, x_1, \dots, x_{n-1} \rangle$$

$$\mapsto \quad \pm \sum_{i=0}^{n-1} x_i \cdot b^i$$

where each x_i

- ▶ is one of *n* digits taken from the digit set $X = \{0, 1, ..., b 1\}$,
- ▶ is "weighted" by some power of of the base *b*.

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Positional Number Systems (2)

Example

Consider an example where we

- 1. set b = 10, i.e., deal with **decimal** numbers, and
- 2. have $x_i \in X = \{0, 1, ..., 10 1 = 9\}.$

This means we can write

$$\hat{x} = 123 \qquad = \langle 3, 2, 1 \rangle_{(10)}$$

$$\mapsto \sum_{i=0}^{n-1} x_i \cdot 10^i$$

$$\mapsto 3 \cdot 10^0 + 2 \cdot 10^1 + 1 \cdot 10^2$$

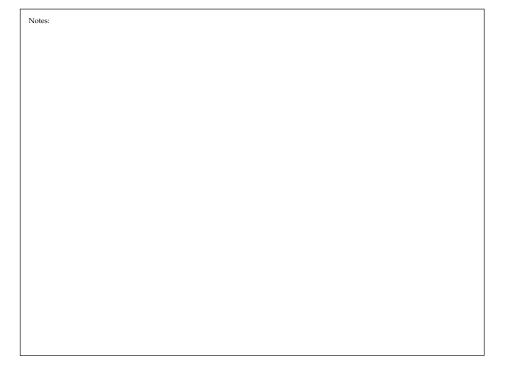
$$\mapsto 3 \cdot 1 + 2 \cdot 10 + 1 \cdot 100$$

$$\mapsto 123_{(10)}$$

i.e., represent the value "one hundred and twenty three" in a variety of ways using different bases.

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Notes:

• For values of b > 10 we run into a problem: values such as 11 aren't a single digit, so it becomes awkward to say $x_i = 11$. To combat this, in hexadecimal or b = 16 for example, we use letters. This means it's important to remember

 $A \mapsto 10$ $B \mapsto 11$ $C \mapsto 12$ $D \mapsto 13$ $E \mapsto 14$

Positional Number Systems (2)

Example

Consider an example where we

1. set b = 2, i.e., deal with **binary** numbers, and

2. have $x_i \in X = \{0, 2 - 1 = 1\}.$

This means we can write

$$\hat{x} = 1111011$$
 = $\langle 1, 1, 0, 1, 1, 1, 1 \rangle_{(2)}$
 $\mapsto \sum_{i=0}^{n-1} x_i \cdot 2^i$
 $\mapsto 1 \cdot 2^0 + 1 \cdot 2^1 + 0 \cdot 2^2 + 1 \cdot 2^3 + 1 \cdot 2^4 + 1 \cdot 2^5 + 1 \cdot 2^6$
 $\mapsto 1 \cdot 1 + 1 \cdot 2 + 0 \cdot 4 + 1 \cdot 8 + 1 \cdot 16 + 1 \cdot 32 + 1 \cdot 64$
 $\mapsto 123_{(10)}$

i.e., represent the value "one hundred and twenty three" in a variety of ways using different bases.

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Positional Number Systems (2)

Example

Consider an example where we

1. set b = 8, i.e., deal with **octal** numbers, and

2. have $x_i \in X = \{0, 1, \dots, 8 - 1 = 7\}.$

This means we can write

$$\hat{x} = 173 \qquad = \langle 3, 7, 1 \rangle_{(8)}$$

$$\mapsto \sum_{i=0}^{n-1} x_i \cdot 8^i$$

$$\mapsto 3 \cdot 8^0 + 7 \cdot 8^1 + 1 \cdot 8^2$$

$$\mapsto 3 \cdot 1 + 7 \cdot 8 + 1 \cdot 64$$

$$\mapsto 123_{(10)}$$

i.e., represent the value "one hundred and twenty three" in a variety of ways using different bases.





Notes.

• For values of b > 10 we run into a problem: values such as 11 aren't a single digit, so it becomes awkward to say $x_i = 11$. To combat this, in hexadecimal or b = 16 for example, we use letters. This means it's important to remember

 $\begin{array}{cccc} A & \mapsto & 10 \\ B & \mapsto & 11 \\ C & \mapsto & 12 \\ D & \mapsto & 13 \\ E & \mapsto & 14 \\ F & \mapsto & 15 \end{array}$

Notes:

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Positional Number Systems (2)

Example

Consider an example where we

1. set b = 16, i.e., deal with **hexadecimal** numbers, and

2. have $x_i \in X = \{0, 1, \dots, 16 - 1 = 15\}.$

This means we can write

$$\hat{x} = 7B \qquad = \langle B, 7 \rangle_{(16)}$$

$$\mapsto \sum_{i=0}^{n-1} x_i \cdot 16^i$$

$$\mapsto 11 \cdot 16^0 + 7 \cdot 16^1$$

$$\mapsto 123_{(10)}$$

i.e., represent the value "one hundred and twenty three" in a variety of ways using different bases.

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Positional Number Systems (3)

- ► Fact:
 - each hexadecimal digit $x_i \in \{0, 1, ..., 15\}$,
 - four bits gives $2^4 = 16$ possible combinations, so
 - each hexadecimal digit can be thought of as a short-hand for four binary digits.
- **Example:** we can perform the following translation steps

$$8AF = \langle F, A, 8 \rangle_{(16)}$$

$$= \langle \langle 1, 1, 1, 1 \rangle_{(2)}, \langle 0, 1, 0, 1 \rangle_{(2)}, \langle 0, 0, 0, 1 \rangle_{(2)} \rangle_{(16)}$$

$$= \langle 1, 1, 1, 1, 0, 1, 0, 1, 0, 0, 0, 1 \rangle_{(2)}$$

$$\mapsto 2223_{(10)}$$

st. in C, for example,

$$0x8AF = 2223.$$





Notes:

•	For values of $b > 10$ we run into a problem: values such as 11 aren't a single digit, so it becomes awkward to say $x_i = 11$. To comba
	this in hexadecimal or $h = 16$ for example, we use letters. This means it's important to remember

 $\begin{array}{cccc} A & \mapsto & 10 \\ B & \mapsto & 11 \\ C & \mapsto & 12 \\ D & \mapsto & 13 \\ E & \mapsto & 14 \\ F & \mapsto & 15 \end{array}$

Notes:	

Positional Number Systems (4)

- ► Fact: left-shift (resp. right-shift) of some x by y digits is equivalent to multiplication (resp. division) by b^y .
- **Example:** taking b = 2 we find that

$$\begin{array}{rcl} x \cdot 2^{y} & = & (\sum_{i=0}^{n-1} x_{i} \cdot 2^{i}) \cdot 2^{y} \\ & = & \sum_{i=0}^{n-1} x_{i} \cdot 2^{i} \cdot 2^{y} \\ & = & \sum_{i=0}^{n-1} x_{i} \cdot 2^{i+y} \\ & = & x \ll y \end{array}$$

st. in C, for example,

$$0x8AF \ll 2 \mapsto 2223_{(10)} \cdot 2^2 = 8892_{(10)} \mapsto 0x22BC.$$

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Positional Number Systems (5)

Example

Fractional components can be accommodated by tweaking the range of i in our original definition; we imagine there are m digits in the fractional component. Consider an example where we

- 1. set b = 10, i.e., deal with **decimal** numbers, and
- 2. have $x_i \in X = \{0, 1, ..., 10 1 = 9\}.$

This means we can write

$$\hat{x} = 123.3125 \qquad = \qquad \langle 5, 2, 1, 3, 3, 2, 1 \rangle$$

$$\mapsto \qquad \sum_{i=-m}^{n-m-1} x_i \cdot b^i$$

$$\mapsto \qquad 5 \cdot 10^{-4} \qquad + 2 \cdot 10^{-3} \qquad + 1 \cdot 10^{-2} \qquad + 3 \cdot 10^{-1} \qquad + \\ 3 \cdot 10^0 \qquad + 2 \cdot 10^1 \qquad + 1 \cdot 10^2$$

$$\mapsto \qquad 5 \cdot 1/10000 + 2 \cdot 1/1000 + 1 \cdot 1/100 + 3 \cdot 1/10 \qquad + \\ 3 \cdot 1 \qquad \qquad + 2 \cdot 10 \qquad + 1 \cdot 100$$

$$\mapsto \qquad 123.3125_{(10)}$$

i.e., represent the value "one hundred and twenty three point three, one, two, five" in a variety of ways using different bases.

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· For completeness, note that

$$\begin{array}{rcl} x/2^{y} & = & (\sum_{i=0}^{n-1} x_{i} \cdot 2^{i})/2 \\ & = & \sum_{i=0}^{n-1} x_{i} \cdot 2^{i}/2^{y} \\ & = & \sum_{i=0}^{n-1} x_{i} \cdot 2^{i-y} \\ & = & x \gg y \end{array}$$

st. in C, for example,

$$0x8AF >> 2 \mapsto 2223_{(10)}/2^2 = 555_{(10)} \mapsto 0x022B.$$

	Notes:

Example

Fractional components can be accommodated by tweaking the range of i in our original definition; we imagine there are m digits in the fractional component. Consider an example where we

1. set b = 2, i.e., deal with **binary** numbers, and

2. have
$$x_i \in X = \{0, 2 - 1 = 1\}.$$

This means we can write

$$\hat{x} = 1111011.0101 = \langle 1,0,1,0,1,1,0,1,1,1,1 \rangle$$

$$\mapsto \sum_{i=-m}^{n-m-1} x_i \cdot b^i$$

$$\mapsto 1 \cdot 2^{-4} + 0 \cdot 2^{-3} + 1 \cdot 2^{-2} + 0 \cdot 2^{-1} +$$

$$1 \cdot 2^0 + 1 \cdot 2^1 + 0 \cdot 2^2 + 1 \cdot 2^3 + 1 \cdot 2^4 + 1 \cdot 2^5 + 1 \cdot 2^6$$

$$\mapsto 1 \cdot 1/16 + 0 \cdot 1/8 + 1 \cdot 1/4 + 0 \cdot 1/2 +$$

$$1 \cdot 1 + 1 \cdot 2 + 0 \cdot 4 + 1 \cdot 8 + 1 \cdot 16 + 1 \cdot 32 + 1 \cdot 64$$

$$\mapsto 123.3125_{(10)}$$

i.e., represent the value "one hundred and twenty three point three, one, two, five" in a variety of ways using different bases.

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Positional Number Systems (5)

Example

Fractional components can be accommodated by tweaking the range of i in our original definition; we imagine there are m digits in the fractional component. Consider an example where we

1. set b = 8, i.e., deal with **octal** numbers, and

2. have $x_i \in X = \{0, 1, \dots, 8 - 1 = 7\}.$

This means we can write

$$\hat{x} = 173.24 \qquad = \langle 2, 4, 3, 7, 1 \rangle$$

$$\mapsto \sum_{i=-m}^{n-m-1} x_i \cdot b^i$$

$$\mapsto 4 \cdot 8^{-2} + 2 \cdot 8^{-1} + 3 \cdot 8^0 + 7 \cdot 8^1 + 1 \cdot 8^2$$

$$\mapsto 4 \cdot 1/16 + 2 \cdot 1/8 + 3 \cdot 8 + 7 \cdot 64 + 1 \cdot 512$$

$$\mapsto 123.3125_{(10)}$$

i.e., represent the value "one hundred and twenty three point three, one, two, five" in a variety of ways using different bases.





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Example

Fractional components can be accommodated by tweaking the range of i in our original definition; we imagine there are m digits in the fractional component. Consider an example where we

1. set b = 16, i.e., deal with **hexadecimal** numbers, and

2. have $x_i \in X = \{0, 1, ..., 16 - 1 = 15\}.$

This means we can write

$$\hat{x} = 7B.5 \qquad = \langle 5, B, 7 \rangle$$

$$\mapsto \sum_{i=-m}^{n-m-1} x_i \cdot b^i$$

$$\mapsto 5 \cdot 16^{-1} + 11 \cdot 16^0 + 7 \cdot 16^1$$

$$\mapsto 5 \cdot 1/16 + 11 \cdot 1 + 7 \cdot 16$$

 \mapsto 123.3125₍₁₀₎

i.e., represent the value "one hundred and twenty three point three, one, two, five" in a variety of ways using different bases.

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 \mathbb{Z} (1)

- ▶ Problem: we want to represent and perform various operations on elements of **Z**, but
- 1. it's an an infinite set, and
- 2. so far we've ignored the issue of sign.
- ► Solution: in C for example we get

but why *these*, and how do they work?

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Definition

An unsigned integer can be represented in n bits by using the natural binary expansion. That is, we have

$$\hat{x} = \langle x_0, x_1, \dots, x_{n-1} \rangle$$

$$\mapsto \sum_{i=0}^{n-1} x_i \cdot 2^i$$

for $x_i \in \{0, 1\}$, and

$$0 \le x \le 2^n - 1.$$

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 \mathbb{Z} (3) Signed, sign-magnitude

Definition

A signed integer can be represented in n bits by using the **sign-magnitude** approach; 1 bit is reserved for the sign (0 means positive, 1 means negative) and n-1 for the magnitude. That is, we have

$$\hat{x} = \langle x_0, x_1, \dots, x_{n-1} \rangle$$

$$\mapsto -1^{x_{n-1}} \cdot \sum_{i=0}^{n-2} x_i \cdot 2^i$$

for $x_i \in \{0, 1\}$, and

$$-2^{n-1} + 1 \le x \le +2^{n-1} - 1.$$

Note that there are two representations of zero (i.e., +0 and -0).

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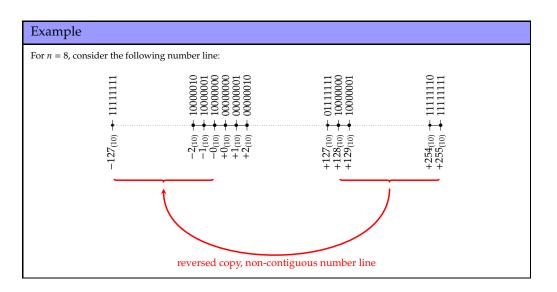
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\mathbb{Z}(4)
Signed, sign-magnitude
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Example
If n = 8, for example, we can represent values in the range -127... + 127; selected cases are as follows:
       01111111 \quad \mapsto \quad -1^0 \quad \cdot \quad \left( \quad 1 \cdot 2^6 \quad + 1 \cdot 2^5 + 1 \cdot 2^4 + 1 \cdot 2^3 + 1 \cdot 2^2 + 1 \cdot 2^1 + 1 \cdot 2^0 \quad \right) \quad = \quad +127_{(10)}
       01111011 \quad \mapsto \quad -1^0 \quad \cdot \quad \left( \quad 1 \cdot 2^6 \quad + 1 \cdot 2^5 + 1 \cdot 2^4 + 1 \cdot 2^3 + 0 \cdot 2^2 + 1 \cdot 2^1 + 1 \cdot 2^0 \quad \right) \quad = \quad +123_{(10)}
       -0_{(10)}
       11111011 \mapsto -1<sup>1</sup> · ( 1 · 2<sup>6</sup> + 1 · 2<sup>5</sup> + 1 · 2<sup>4</sup> + 1 · 2<sup>3</sup> + 0 · 2<sup>2</sup> + 1 · 2<sup>1</sup> + 1 · 2<sup>0</sup> ) = -123<sub>(10)</sub>
       111111111 \quad \mapsto \quad -1^{1} \quad \cdot \quad \left( \quad 1 \cdot 2^{6} \quad +1 \cdot 2^{5} +1 \cdot 2^{4} +1 \cdot 2^{3} +1 \cdot 2^{2} +1 \cdot 2^{1} +1 \cdot 2^{0} \quad \right) \quad = \quad -127_{(10)}
```

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 \mathbb{Z} (5) Signed, sign-magnitude



Notes:		



Definition

A signed integer can be represented in n bits by using the **two's-complement** approach. The basic idea is to weight the (n-1)-th bit using -2^{n-1} rather than $+2^{n-1}$, and all other bits as normal. That is, we have

$$\hat{x} = \langle x_0, x_1, \dots, x_{n-1} \rangle$$

$$\mapsto x_{n-1} \cdot -2^{n-1} + \sum_{i=0}^{n-2} x_i \cdot 2^i$$

for $x_i \in \{0, 1\}$, and

$$-2^{n-1} \le x \le +2^{n-1} - 1.$$

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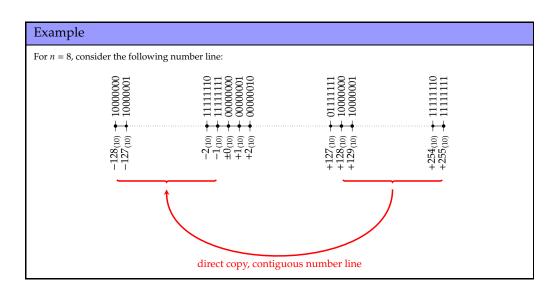
 \mathbb{Z} (7) Signed, two's-Complement

Example

If n = 8 for example, we can represent values in the range -128... + 127; selected cases are as follows:

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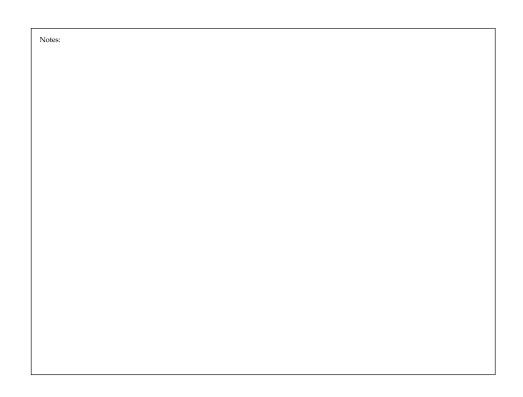
An Aside: The shift-and-mask paradigm

- ▶ Problem: set the *i*-th bit of some x, i.e., x_i , to 1.
- ► Solution: compute

$$x \vee (1 \ll i)$$
.

Example If $x = 0011_{(2)}$ and i = 2 then we compute $\begin{array}{ccccc} x & \lor & (& 1 & \ll & i &) \\ 0011_{(2)} & \lor & (& 1 & \ll & 2 &) \\ 0011_{(2)} & \lor & & 0100_{(2)} \\ 0111_{(2)} & & & & & & \\ \end{array}$ meaning initially $x_2 = 0$, then we changed it so $x_2 = 1$.

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An Aside: The shift-and-mask paradigm

- ▶ Problem: set the *i*-th bit of some x, i.e., x_i , to 0.
- ► Solution: compute

$$x \land \neg (1 \ll i)$$
.

Example

If $x = 0111_{(2)}$ and m = 2 then we compute

meaning initially $x_2 = 1$, then we changed it so $x_2 = 0$.

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An Aside: The shift-and-mask paradigm

- ▶ Problem: extract the *i*-th bit of some x, i.e., x_i .
- ► Solution: compute

Example

$$(x \gg i) \wedge 1$$
.



meaning $x_0 = 1$.

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An Aside: The shift-and-mask paradigm

- ▶ Problem: extract an *m*-bit sub-word (i.e., *m* contiguous bits) starting at the *i*-th bit of some *x*.
- ► Solution: compute

$$(x \gg i) \wedge ((1 \ll m) - 1).$$

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Conclusions

- ► Take away points:
- 1. We control what bit-sequences mean: we can interpret an instance of the C int data-type as
 - a signed 32-bit integer, or
 - ► a generic object which can take one of 2³² states,

and, as a result, can represent anything, e.g.,

- a pixel within an image,
- a character within a text file,
- a network IP address,
- 2. Beyond this, knowing about various standard representations is important and useful in a general sense.

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Additional Reading

- ▶ Wikipedia: Numeral system. URL: http://en.wikipedia.org/wiki/Numeral_system.
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- W. Stallings. "Chapter 9: Number systems". In: Computer Organisation and Architecture. 9th ed. Prentice-Hall, 2013.
- A.S. Tanenbaum and T. Austin. "Appendix A: Binary numbers". In: Structured Computer Organisation. 6th ed. Prentice-Hall, 2012

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- [1] Wikipedia: Numeral system. url: http://en.wikipedia.org/wiki/Numeral_system (see p. 69).
- [2] D. Page. "Chapter 1: Mathematical preliminaries". In: A Practical Introduction to Computer Architecture. 1st ed. Springer-Verlag, 2009 (see p. 69).
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- [4] W. Stallings. "Chapter 9: Number systems". In: Computer Organisation and Architecture. 9th ed. Prentice-Hall, 2013 (see p. 69).
- [5] A.S. Tanenbaum and T. Austin. "Appendix A: Binary numbers". In: Structured Computer Organisation. 6th ed. Prentice-Hall, 2012 (see p. 69).

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