Bayesian variant-based pathway enrichment analysis using GWAS summary statistics

Xiang Zhu^1 and $Matthew Stephens^{1,2}$

¹Department of Statistics, ²Department of Human Genetics



Can pathway enrichment and variant prioritization be integrated?

Carbonetto and Stephens [1] proposed a single framework that integrated testing pathway enrichment, estimating enrichment level and prioritizing genetic variants in the enriched pathways. The software, BMApathway, is available at https://github.com/pcarbo/bmapathway.

A novel integrated approach

The GWAS full data are genotypes $X := (\mathbf{x}_1, \dots, \mathbf{x}_n)^\mathsf{T}$ and phenotypes $\mathbf{y} := (y_1, \dots, y_n)^\mathsf{T}$ from n individuals.

▶ For continuous traits, linear regression is used:

$$y_i|\mathbf{x}_i, \boldsymbol{\beta} \sim N(\beta_0 + \mathbf{x}_i^\mathsf{T}\boldsymbol{\beta}, \tau^{-1}).$$

▶ For binary traits, logistic regression is used:

 $y_i|\mathbf{x}_i, \boldsymbol{\beta} \sim \text{Bernoulli}(\eta(\mathbf{x}_i, \boldsymbol{\beta})), \ \text{logit}(\eta(\mathbf{x}_i, \boldsymbol{\beta})) = \beta_0 + \mathbf{x}_i^\mathsf{T} \boldsymbol{\beta}.$

The multiple-SNP effect of p SNPs, $\beta := (\beta_1, \dots, \beta_p)^T$, has prior

$$\beta_i \sim (1-\pi_i)\delta_0 + \pi_i N(0, \sigma_B^2).$$

The enrichment of associations withing a pathway is modeled as

$$logit_{10}(\pi_j) = \theta_0 + a_j\theta,$$

where $a_j := \mathbf{1}\{\mathsf{SNP}\ j$ is in the pathway $\}$, θ is the log-fold enrichment and θ_0 is the genome-wide log-odds.

Notations: $\mathbf{a} := (a_1, \dots, a_p)^{\mathsf{T}}$ and $\boldsymbol{\gamma} := (\gamma_1, \dots, \gamma_p)^{\mathsf{T}}$, $\gamma_j = \mathbf{1}\{\beta_j \neq 0\}$.

Can the integrated method be applied to GWAS summary data?

- ► Application of BMApathway is complicated by access to full data.
- ► Summary statistics from single-SNP analysis are widely available.
- ▶ The enrichment prior is useful even if full data are not provided.

A similar integrated analysis is possible if

we keep the prior and use a likelihood that only relies on summary data.

References

- [1] P. Carbonetto, M. Stephens, *PLoS Genetics* **9**, e1003770 (2013).
- [2] X. Zhu, M. Stephens, *Presented at the 60th Annual Meeting of The American Society of Human Genetics, Baltimore, MD* (2015).
- [3] Wellcome Trust Case Control Consortium, Nature 447, 661 (2007).
- [4] D. Croft, et al., Nucleic Acids Research 42, D472 (2014).
- [5] L. Y. Geer, et al., Nucleic Acids Research 38, D492 (2010).
- [6] E. G. Cerami, et al., Nucleic Acids Research 39, D685 (2011).
- [7] C. F. Schaefer, et al., Nucleic Acids Research 37, D674 (2009).
- [8] H. Mi, A. Muruganujan, P. D. Thomas, *Nucleic Acids Research* **41**, D377 (2013).
- [9] C. Wrzodek, F. Büchel, M. Ruff, A. Dräger, A. Zell, *BMC systems biology* 7, 15 (2013).
- [10] A. R. Pico, et al., PLoS Biology 6, e184 (2008).
- [11] L. S. Chen, et al., The American Journal of Human Genetics 86, 860 (2010).
- [12] H. Gui, M. Li, P. C. Sham, S. S. Cherny, *BMC research notes* **4**, 386 (2011).
- [13] M.-X. Li, J. S. Kwan, P. C. Sham, *The American Journal of Human Genetics* **91**, 478 (2012).
- [14] A. R. Wood, et al., Nature Genetics 46, 1173 (2014).
- [15] 1000 Genomes Project Consortium, Nature 467, 1061 (2010).

Regression with Summary Statistics (RSS) provides a solution.

We propose the following regression model for GWAS summary statistics: $\widehat{\boldsymbol{\beta}}|S,R,\boldsymbol{\beta}\sim N(SRS^{-1}\boldsymbol{\beta},SRS).$

- $\widehat{\beta} := (\hat{\beta}_1, \dots, \hat{\beta}_p)^\mathsf{T}$, where $\hat{\beta}_j$ is the single-SNP effect estimate of SNP j;
- ullet $S:=\operatorname{diag}(\mathbf{s}),\ \mathbf{s}:=(s_1,\ldots,s_p)^{\mathsf{T}},\ \text{where }s_i$ is the standard error of $\hat{\beta}_i$;
- ightharpoonup R is the population linkage disequilibrium (LD) matrix.

We term the model Regression with Summary Statistics [2].

RSS uses an algorithm based on variational approximation.

Our posterior computation exploits the fact that

$$p(\boldsymbol{\beta}, \boldsymbol{\gamma}|\mathbf{D}) = \int p(\boldsymbol{\beta}, \boldsymbol{\gamma}|\mathbf{D}, \theta_0, \theta) p(\theta_0, \theta|\mathbf{D}) d\theta_0 d\theta.$$

The strength of pathway enrichment is measured by

$$\mathsf{SBF}(\mathbf{a}) := p(\widehat{\boldsymbol{\beta}}|S,R,\mathbf{a},\theta>0) \ / \ p(\widehat{\boldsymbol{\beta}}|S,R,\mathbf{a},\theta=0).$$

The log-fold enrichment level heta is estimated from

$$p(\theta|\mathbf{D}), \quad \mathbf{D} := \{\widehat{\boldsymbol{\beta}}, S, R, \mathbf{a}\}.$$

The association signal of SNP j given the enrichment is summarized as $SPIP(j) := p(\gamma_j = 1 | \widehat{\beta}, S, R, \mathbf{a}).$

Estimate $p(\beta, \gamma | \mathbf{D}, \theta_0, \theta)$ given $\{\theta_0, \theta\}$

Decomposition of marginal likelihood:

$$\log p(\mathbf{D}|\theta_0, \theta) = \underbrace{\mathbb{E}_q \log \left[\frac{q(\boldsymbol{\beta}, \boldsymbol{\gamma})}{p(\boldsymbol{\beta}, \boldsymbol{\gamma}|\mathbf{D}, \theta_0, \theta)} \right]}_{\text{Kullback-Leibler (KL) divergence}} + \underbrace{\mathbb{E}_q \log \left[\frac{p(\mathbf{D}, \boldsymbol{\beta}, \boldsymbol{\gamma}|\theta_0, \theta)}{q(\boldsymbol{\beta}, \boldsymbol{\gamma})} \right]}_{\text{Evidence lower bound (LB)}$$

Optimization problem:

$$q^* = \arg\min_{q} \mathsf{KL}(q; \theta_0, \theta) = \arg\max_{q} \mathsf{LB}(q; \theta_0, \theta)$$

The only assumption being made: mean field approximation

$$q(oldsymbol{eta},oldsymbol{\gamma}) = \prod_{j=1}^p q_j(eta_j,\gamma_j)$$

Optimal solution q_j^* for each each q_j :

$$q_j^{\star}(\beta_j, \gamma_j) = [\alpha_j \mathsf{N}(\beta_j; \mu_j, \sigma_j^2)]^{\gamma_j} [(1 - \alpha_j) \delta_0(\beta_j)]^{1 - \gamma_j}$$

Iterative scheme for obtaining q_i^* :

$$\sigma_{j}^{2} = (s_{j}^{-2} + \sigma_{B}^{-2})^{-1}$$

$$\mu_{j} = \sigma_{j}^{2} (s_{j}^{-2} \hat{\beta}_{j} - s_{j}^{-1} \sum_{i \neq j} s_{i}^{-1} R_{ij} \alpha_{i} \mu_{i})$$

$$\frac{\alpha_{j}}{1 - \alpha_{j}} = \frac{\pi_{j}}{1 - \pi_{j}} \cdot \frac{\sigma_{j}}{\sigma_{B}} \cdot \exp\left\{\frac{\mu_{j}^{2}}{2\sigma_{j}^{2}}\right\}$$

Estimate $p(\theta_0, \theta | \mathbf{D})$

- ullet Current approach is to use points of $\{\theta_0,\theta\}$ in a regular grid and set
 - $p(\theta_0, \theta | \mathbf{D}) \propto \exp\{\mathsf{LB}(q^\star; \theta_0, \theta)\}.$
- ▶ We are investigating more efficient approaches.

Parallel implementation

 $R_{ij} = 0$ if SNP i and j are on different chromosomes.

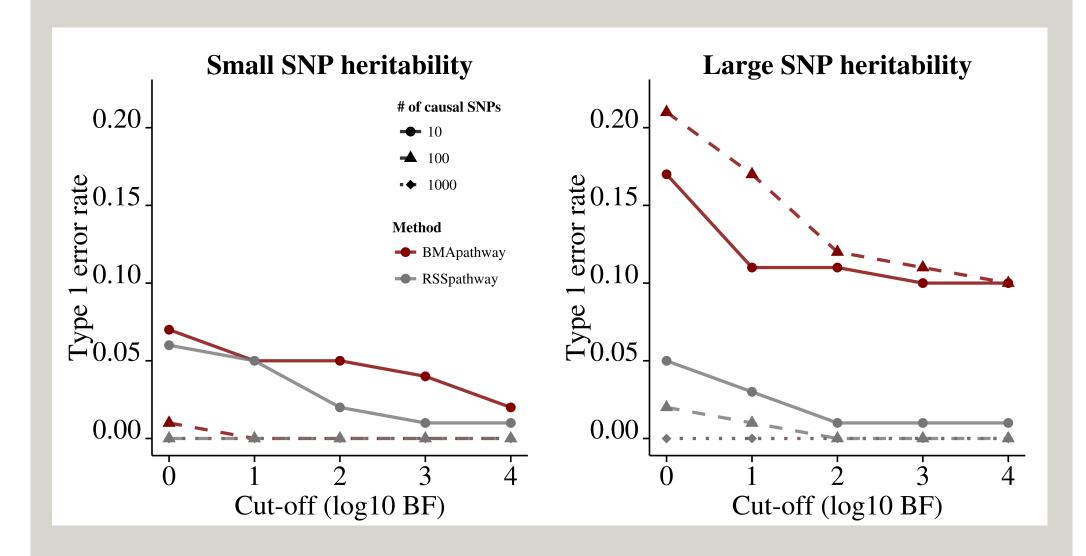
- ▶ Iterative update of q_i^* only requires data from SNP i that $R_{ij} \neq 0$.
- ▶ LB $(q^*; \theta_0, \theta) = \sum_{c=1}^{22} LB_c$, LB_c only uses data from Chromosome c.

RSS yields results comparable to a method that requires genotype data.

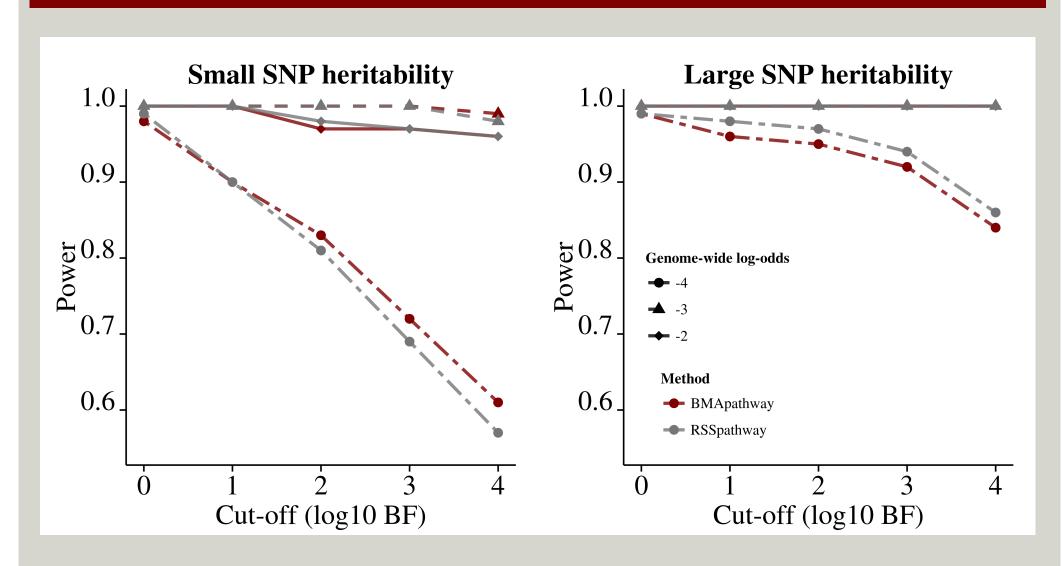
We compare RSS with a full data-based method, BMApathway [1], through simulations based on real genotype data [3].

- ▶ Null dataset assumes that each SNP is equally likely to be causal.
- ► Enriched dataset assumes that SNPs in the pathway are more likely to be associated with the phenotypes. The pathway used in simulations is signal transduction (Reactome [4]) retrieved from BioSystems [5].

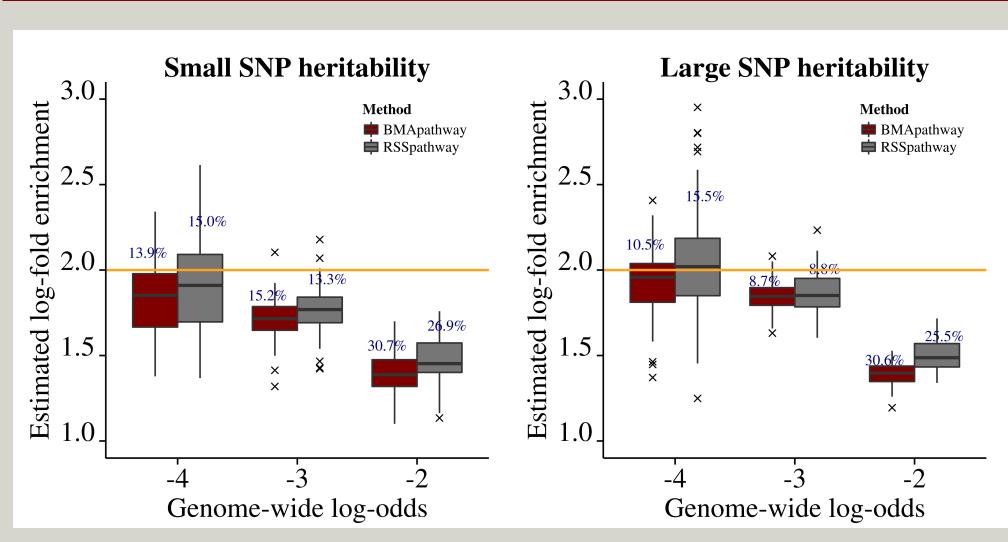
Type 1 error



Power



Enrichment estimation



Acknowledgements

We thank Peter Carbonetto and Xin He for helpful discussions. We thank Raman Shah and John Zekos for expert technical support.

This study makes use of data generated by the Wellcome Trust Case Control Consortium. A full list of the investigators who contributed to the generation of the data is available from www.wtccc.org.uk.

We acknowledge the University of Chicago Research Computing Center for support of this work.

RSS provides a way to gain biological insights into complex human traits.

Pathways are retrieved from the Pathway Commons (PC) [6], Biosystems (BS) [5] and BioCarta (BC), which includes gene sets derived from Reactome [4], PID [7], PANTHER [8], KEGG [9] and WikiPathways (Wiki) [10].

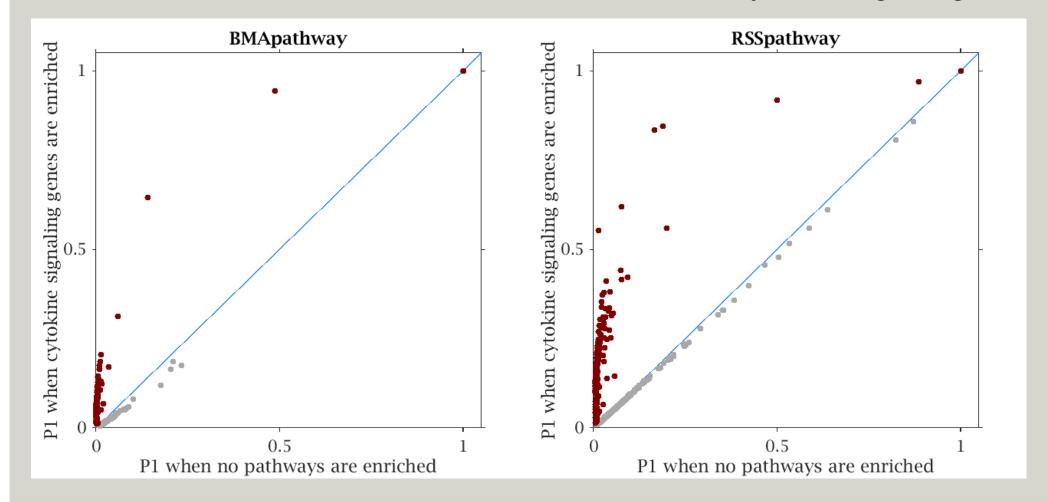
Crohn's disease

We applied RSS on 3160 curated pathways and GWAS summary statistics of 435,615 SNPs for Crohn's disease from 4,686 individuals (1,748 cases and 2,938 controls) in the British population [3].

The top five candidate pathways for enrichment of Crohn's disease detected by RSSpathway and BMApathway are the same, and their enrichments are also significant using other methods.

| Pathway | Source | Database | log ₁₀ (BF) | | $-\log_{10}(P)$ | | |
|-------------------------------------|----------|----------|------------------------|----------------|-----------------|------------------|-----------|
| | | | RSSpathway | BMApathway [1] | GRASS [11] | Gates-Simes [12] | HYST [13] |
| IL12-mediated signaling events | PID | PC | 9.42 | 4.00 | 11.06 | 10.80 | 15.65 |
| Cytokine signaling in immune system | Reactome | BS | 8.87 | 5.97 | 14.91 | 10.32 | 15.65 |
| IL23-mediated signaling events | PID | PC | 8.72 | 4.13 | 12.29 | 11.02 | Inf |
| Immune system | Reactome | BS | 6.24 | 3.30 | 10.72 | 9.97 | 8.39 |
| Immune system | Reactome | PC | 5.75 | 3.07 | 10.04 | 10.13 | 7.49 |

The following figure shows P_1 , posterior probability that locus contains disease risk variants, with and without enrichment of cytokine signaling.



Adult height

We applied RSS on 3700 curated pathways and GWAS summary statistics of 1.06 million SNPs for adult human height from 253,288 individuals of European (EUR) ancestry [14]. The population LD matrix R was estimated from the 1000 Genomes [15] EUR samples.

The top-ranked pathways are listed below, and most of them are linked to skeletal development and homeostasis, regulation of human stature, cell migration, cancer and etiology of bone diseases.

| Pathway | Source | Database | # of genes |
|--|----------|----------|------------|
| Hedgehog signaling pathway | Wiki | BS | 22 |
| Hedgehog signaling pathway | KEGG | BS | 51 |
| Basal cell carcinoma | KEGG | BS | 55 |
| Hedgehog 'on' state | Reactome | BS | 84 |
| RAC1 signaling pathway | PID | PC | 54 |
| Rho cell motility signaling pathway | BC | BC | 32 |
| Signaling by Hedgehog | Reactome | BS | 135 |
| Regulatory role of PI3K subunit p85 | BC | BC | 16 |
| How does salmonella hijack a cell | BC | BC | 13 |
| Y branching of actin filaments | BC | BC | 20 |
| Pathogenic Escherichia coli infection | KEGG | BS | 55 |
| Pathogenic <i>Escherichia coli</i> infection | Wiki | BS | 56 |
| How progesterone initiates oocyte membrane | BC | BC | 33 |
| Rho GTPases activate WASPs and WAVEs | Reactome | BS | 35 |
| Cytoskeletal regulation by Rho GTPase | PANTHER | PC | 70 |
| Signaling events mediated by the Hedgehog family | PID | BS | 22 |
| Signaling events mediated by the Hedgehog family | PID | PC | 23 |
| EPHB-mediated forward signaling | Reactome | PC | 41 |
| Ligand-receptor interactions | Reactome | BS | 8 |
| | | | |

Software

Software of using RSS for integrated enrichment analysis, RSSpathway, is available from https://github.com/stephenslab/rss.