

Analysis of Genetic Data 1: Inferring Population Structure

Peter Carbonetto

Research Computing Center and the Dept. of Human Genetics
University of Chicago



Workshop aims

1. Work through the steps of a basic population structure analysis in human genetics, starting with the **“raw” source data**, and ending with a **visualization of population structure** estimated from the genetic data.
2. Understand how large genetic data sets are commonly represented in computer files.
3. Use command-line tools to manipulate genetic data.

Workshop aims

- This is a *hands-on workshop*—you will get the most out of this workshop if you work through the exercises on your computer.
- All the examples are intended to run on the RCC cluster.
- You may try to run the examples on your laptop. *However, I cannot guarantee all examples will work the same on your laptop. My instructions will assume you are using midway2.*

Software tools we will use today

1. PLINK
2. R
3. Several R packages: data.table, rsvd, ggplot2 and cowplot.
4. Basic shell commands such as “cp” and “less”.

Our research task

We will simulate a population structure analysis commonly done in human genetics studies.

1. We have collected genotype samples as part of our study.
2. We would like to uncover population structure in this sample.
3. We will use the most common statistical technique—principal components analysis (PCA)—to investigate population structure from the genetic data.

We will use the data from the “1000 Genomes” study.

Outline of workshop

- **Preliminaries**
- Programming challenges:
 1. Set up your environment for genetic data analysis.
 2. Prepare genotype data for PCA.
 3. Run PCA on genotype data.
 4. Visualize and interpret PCA results.

Preliminaries

- WiFi.
- Power outlets.
- Reading what I type.
- Pace & questions (e.g., keyboard shortcuts).
- Yubikeys.
- What to do if you get stuck.

Preliminaries

- The workshop packet is a repository on GitHub. Go to:
 - ▷ github.com/rcc-uchicago/genetic-data-analysis-1
- Download the workshop packet to your computer.

What's included in the workshop packet

- **slides.pdf**: These slides.
- **slides.Rmd**: R Markdown source used to create these slides.
- **pca.R**, **pca.sbatch**: Example R and Slurm script implementing the PCA analysis.
- **functions.R**: Some R functions used to run PCA and visualize the PCs.
- **omni_samples.20141118.panel**: 1000 Genomes population labels.
- **1kg.pop**: description of population labels.
- **20140625_related_individuals.txt**: 31 samples identified as “closely related”.

Outline of workshop

- Preliminaries
- Programming challenges:
 1. **Set up your environment for genetic data analysis.**
 2. Prepare genotype data for PCA.
 3. Run PCA on genotype data.
 4. Visualize and interpret PCA results.

Challenge #1: Set up your HPC environment

- Aim: Configure your HPC environment for analysis of the genetic data.
- Steps:
 1. Connect to midway2.
 2. Download workshop packet.
 3. Install PLINK (optional).
 4. Download 1000 Genomes data.
 5. Connect to a midway2 compute node.
 6. Launch R, and check your R environment.
 7. Set up R for plotting.

Connect to midway2

- **If you have an RCC account:** I'm assuming you already know how to connect to midway2. *ThinLinc* is recommended if you do not know how activate X11 forwarding in SSH. See: rcc.uchicago.edu/docs/connecting
- **If you do not have an RCC account:** I can provide you with a Yubikey. This will give you guest access to the RCC cluster (see the next slide).

Using the Yubikeys

- Prerequisites:
 1. SSH client (for Windows, please use **MobaXterm**)
 2. USB-A port
- Steps:
 1. Insert Yubikey into USB port.
 2. Note your userid: `rccquestXXXX`, where `XXXX` is the last four digits shown on Yubikey.
 3. Follow instructions to connect to midway2 via SSH, replacing the cnetid with your `rccquestXXXX` user name:
`rcc.uchicago.edu/docs/connecting`
 4. When prompted for password, press lightly on metal disc.
- Important notes:
 - ▷ Yubikeys do not work with ThinLinc.
 - ▷ *Please return the Yubikey at the end of the workshop.*

Download workshop packet

Once you have connected to a midway2 login node, download the workshop packet to your scratch directory on the cluster **(note there are no spaces in the URL below)**:

```
cd $SCRATCH  
git clone https://github.com/rcc-uchicago/  
genetic-data-analysis-1.git
```

Install PLINK (optional)

PLINK is already installed on midway2. To use the previously installed PLINK, run:

```
module load plink  
plink --version
```

Alternatively, you may download an up-to-date version of PLINK in the same place as the other workshop materials.

- URL: www.cog-genomics.org/plink2

On midway2, you can run these commands to download PLINK, and check the version:

```
cd $SCRATCH/genetic-data-analysis-1  
wget https://go.aws/38KfiAE -O plink.zip  
unzip plink.zip  
./plink --version
```

Download 1000 Genomes data

Copy or download the 1000 Genomes data from the European Bioinformatics Institute. *Downloading from the EBI may take a long time (10–20 minutes), so it is better to copy the previously downloaded files.*

- Copy previously downloaded data:

```
cd $SCRATCH/genetic-data-analysis-1  
cp ~pcarbo/share/1kg.vcf.gz .
```

- Or download the data from EBI:

- ▷ Short URL: bit.ly/2G7ZWYu

- ▷ Download this file:

```
ALL.chip.omni_broad_sanger_combined.  
20140818.snps.genotypes.vcf.gz
```

- ▷ Rename it as `1kg.vcf.gz`.

- ▷ *Make sure to copy the file to same location as the other workshop materials.*

Connect to a midway2 compute node

Set up an interactive session on a midway2 compute node with 8 CPUs and 19 GB of memory:

```
screen -S workshop  
sinteractive --partition=broadwl \  
    --reservation=workshop --cpus-per-task=8 \  
    --mem=19G --time=3:00:00  
echo $HOSTNAME
```

Launch R

Start up an interactive R session:

```
cd $SCRATCH/genetic-data-analysis-1  
module load R/3.6.1  
which R  
R
```

Check your R environment

Check that you are running R 3.6.1:

```
version$version
```

Check that you are starting with an empty environment:

```
ls()
```

Check that you have the correct working directory—it should be set to the “genetic-data-analysis-1” repository:

```
getwd()
```

Set up R for plotting

Make sure you can display a plot in your current R session.

```
library(ggplot2)
```

```
library(cowplot)
```

```
data(cars)
```

```
quickplot(cars$dist, cars$speed)
```

You should see a scatterplot.

Quit R

Quit R. We will return to it later.

`quit()`

At this point, you have completed the initial setup. You are now ready to move on to the next programming challenge.

Outline of workshop

- Preliminaries
- Programming challenges:
 1. Set up your environment for genetic data analysis.
 2. **Prepare genotype data for PCA.**
 3. Run PCA on genotype data.
 4. Visualize and interpret PCA results.

Challenge #2: Prepare genotype data

- Aim: Prepare genotype data for PCA analysis in R.
- Steps:
 1. Examine VCF file.
 2. Convert VCF file to PLINK format.
 3. Convert PLINK text file to binary format.
 4. Remove related 1000 Genomes samples.
 5. Prune SNPs in LD.

Examine the VCF file

Run a few shell commands to inspect the genotype data stored in the VCF file.

```
cd $SCRATCH/genetic-data-analysis-1  
ls -lh 1kg.vcf.gz  
zcat 1kg.vcf.gz | less -S
```

- Reference: www.cog-genomics.org/plink2/formats#vcf

What is a VCF file?

- The Variant Call Format (VCF) is a text format for storing many types of DNA variant data (e.g., SNPs, deletions, insertions), and for annotating these variants.
- It is one of the most commonly used data formats in genetics.
- It is *not* an efficient way to store genotype data.
- See also:
 - ▷ vcftools.github.io
 - ▷ samtools.github.io/hts-specs
 - ▷ [doi:10.1093/bioinformatics/btr330](https://doi.org/10.1093/bioinformatics/btr330)

Convert VCF to PLINK

Run this command to convert the genotypes from VCF to the PLINK text format. This may take a few minutes.

```
plink --vcf 1kg.vcf.gz --recode \  
      --chr 1-22 --allow-extra-chr \  
      --geno 0.01 --out 1kg
```

Note: This step will require about 18 GB of free space.

Explore PLINK files

Run a few simple shell commands to inspect the genotype data stored in the PLINK files.

```
head 1kg.map  
tail 1kg.map  
wc -l 1kg.map  
less -S 1kg.ped  
wc -l -w 1kg.ped
```

PLINK files: concepts

- Probably most commonly used format for storing human genotype data.
- Less flexible than VCF.
- Easy to view and manipulate with simple shell commands (e.g., `wc`, `grep`, `cat`, `cut`, `paste`).
- Use PLINK binary (.bed) format for faster processing and more efficient storage. However, it is not “human readable”.
- See: www.cog-genomics.org/plink/1.9/formats#ped

Convert to binary format, remove related samples

To speed up the data processing steps, convert to binary PLINK format (then remove the large “ped” file).

```
plink --file 1kg --make-bed --out 1kg  
rm 1kg.map 1kg.ped
```

Remove 29 of 31 related samples (because most population structure analyses are not designed to handle related samples):

```
cut -f 1 20140625_related_individuals.txt \  
    > temp.txt  
paste temp.txt temp.txt > samples.txt  
plink --bfile 1kg --make-bed \  
    --remove samples.txt --out 1kg_unrelated
```

Prune SNPs in LD

Many basic population structure analyses (e.g., PCA) assume that the SNPs are independent. A common step is to “prune” SNPs that are strongly correlated with each other (*i.e.*, in linkage disequilibrium) to make analysis better supported.

```
plink --bfile 1kg_unrelated \  
      --indep-pairwise 1000 500 0.08  
plink --bfile 1kg_unrelated \  
      --make-bed --extract plink.prune.in \  
      --out 1kg_pruned
```

Data preparation: take-home points

- VCFtools and PLINK have *many* commands for manipulating genotype data.
- For more specialized manipulations, you can go far with basic shell commands (e.g., awk, cut, head, cat, paste).
- Data processing is important—careless data processing can lead to a poor quality analysis.
- It is helpful to record your data processing steps.

Outline of workshop

- Preliminaries
- Programming challenges:
 1. Set up your environment for genetic data analysis.
 2. Prepare genotype data for PCA.
 3. **Run PCA on genotype data.**
 4. Visualize and interpret PCA results.

Challenge #3: Run PCA on genotype data

- Aim: Compute principal components (PCs) from genotype data, which we will use to gain insight into the genetic data.
- Steps:
 1. Convert genotypes to a matrix.
 2. Import genotypes into R.
 3. Fill in missing genotypes.
 4. Compute PCs using rsvd package, and save results.

Convert genotype data to a matrix

The input to PCA should be an $n \times p$ matrix, where n is the number of samples and p is the number of SNPs. The “recode” function in PLINK can be used to create a matrix:

```
plink --bfile 1kg_pruned \  
      --recode A --out 1kg_recoded
```

Import genotypes into R

Start up an interactive R session:

R

I wrote a function to import the genotypes into a matrix. It uses `fread` from the `data.table` package.

```
library(data.table)
source("functions.R")
```

Load the genotype matrix into R:

```
geno <- read.geno.raw("1kg_recoded.raw")
```

Examine genotypes

Run a few commands to examine the genotype matrix:

```
class (geno)
```

```
nrow (geno)
```

```
ncol (geno)
```

```
geno[1:3, 1:10]
```

Fill in missing genotypes

Problem: A small fraction ($<1\%$) of the genotypes are missing:

```
mean(is.na(geno))
```

To run PCA, we need to fill in these missing genotypes. In this particular case, we can set these missing entries to the mean genotype:

```
p <- ncol(geno)
for (j in 1:p) {
  i <- which(is.na(geno[,j]))
  geno[i,j] <- mean(geno[,j], na.rm = TRUE)
}
```

Double-check that there are no more missing genotypes:

```
sum(is.na(geno))
```

Compute PCs

Use the “rpca” function from the rsvd package to compute the first 10 PCs—that is, the 10 components that explain the most variation in the genotypes:

```
library(rsvd)
out.pca <- rpca(geno, k = 10, center = TRUE,
                scale = FALSE, retx = TRUE)
```

Compute PCs

Take a quick look at the PCA results:

```
summary(out.pca)
```

Get the projection of the genotype samples onto the 10 PCs:

```
pcs <- out.pca$x  
colnames(pcs) <- paste0("PC", 1:10)  
head(pcs)
```

Before moving on, let's save our results:

```
save(file = "1kg_pca.RData",  
      list = c("out.pca", "pcs"))
```

PCA analysis: take-home points

- The input to PCA must be a (numeric) matrix with no missing values.
- Other software deals more elegantly with missing data. Here it does not matter much.
- We glossed over some details about encoding genotypes as a matrix.
- See file “pca.sbatch” for an example of automating the PCA analysis using Slurm.

Outline of workshop

- Preliminaries
- Programming challenges:
 1. Set up your environment for genetic data analysis.
 2. Prepare genotype data for PCA.
 3. Run PCA on genotype data.
 4. **Visualize and interpret PCA results.**

Challenge #4: Visualize and interpret PCA results

- Aim: Create plots from the PCA results to gain insight into the genetic data.
- Steps:
 1. Set up R for plotting.
 2. Create a basic PC plot.
 3. Create a PC plot with population labels.

Set up R for plotting

Load the plotting packages and some functions I defined for creating the PCA plots.

```
library(ggplot2)
library(cowplot)
source("functions.R")
```

If necessary, load the PCA results.

```
load("1kg_pca.RData")
```

Create a basic PC plot

Use function `basic.pc.plot` to plot all the samples projected onto the first 2 PCs:

```
p <- basic.pc.plot(pcs, x = "PC1", y = "PC2",  
                  size = 2)  
print(p)
```

You may want to adjust the “size” argument.

Create a PC plot with population labels

To create a plot with labels, we first need to load the 1000 Genomes population labels. They are stored in `omni_samples.20141118.panel`.

```
labels <-  
  read.table("omni_samples.20141118.panel",  
            sep = " ", header = TRUE, as.is = "id")
```

Add the labels to the PCs matrix:

```
pcs      <- as.data.frame(pcs)  
ids      <- sapply(strsplit(rownames(pcs), "_"), "[", 2)  
labels <- subset(labels, is.element(labels$id, ids))  
all(ids == labels$id)  
pcs$label <- factor(labels$pop)
```

Create a PC plot with population labels

Create the PC plot with labels:

```
p2 <- labeled.pc.plot(pcs, x = "PC1", y = "PC2",  
                      size = 2)  
print(p2)
```

Save the labeled PC plot

Save your work as a PDF file using the “ggsave” from the ggplot2 package:

```
ggsave("1kg_pca.pdf", p2)
```

Visualizing and interpreting PCA results: take-home points

- PCA is the most commonly used approach to infer population structure from genotype data.
- One reason PCA is so popular is that it can produce evocative visualizations of population structure.
- However, there are many pitfalls in interpreting PCA results... proceed with caution!

Recap

Some genetic data analysis techniques we used today:

- Using PLINK and basic shell commands to process and reformat genotype data.
- Importing genotype data into R.
- Running PCA on a genotype matrix in R.
- Using ggplot2 to visualize the results of the PCA analysis.