

## Test 1 Review and Practice Questions

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Test 1 in class, Friday, Sep 8, 2016

- Main Topics
  - Asymptotic complexity:  $O$ ,  $\Omega$ , and  $\Theta$ .
  - Designing divide-and-conquer algorithms.
  - Setting up runtime recurrences.
  - Solving recurrences using Master theorem (other methods are optional).
  - Applications of fast multiplication.
- NOT included:
  - Definition and algorithm of inversion counting.
  - Details of how to multiply numbers faster than  $n^2$ .
  - Guess and check / recursion tree: master theorem works for everything on test.
- Proving big- $O$  bounds (Homework 1, Problem 1. Ex 0.1 in Textbook):
  - If  $f_1 = O(g_1(n))$ ,  $f_2 = O(g_2(n))$ , then
    - \*  $f_1 f_2 = O(g_1 g_2)$ .
    - \*  $f_1 + f_2 = O(\max\{g_1, g_2\})$ .
  - For any constants  $a$ ,  $b$ , and  $c$ ,  $O(\log^a(n)) \leq O(n^b) \leq O(c^n)$ ,
  - $\ln(n) = \Theta(\log n) = \Theta(\log_2 n) = \Theta(\log_c n)$ .
- Divide-and-conquer and setting up running time recurrences (Homework 1, Problems 2 and 3. Ex 2.12, 2.16, 2.17, 2.23 in textbook)
  - General structure of a recursive algorithm:
    - \* Split the problem up.
    - \* Make  $a$  recursive calls to problems of size  $n/b$
    - \* Combine the results.
  - $T(n)$ : running time when given input of size  $n$ .

- If total cost of split/combine is  $O(n^d)$ , runtime recurrence is:

$$T(n) = aT(n/b) + O(n^d).$$

- Master Theorem:

The recurrence:

$$T(n) = a T\left(\frac{n}{b}\right) + c \cdot n^d, \quad T(1) = e$$

where  $a > 0$ ,  $b > 1$ ,  $c > 0$ ,  $d \geq 0$  and  $e \geq 0$  are constants, has the solution given below:

**Case 1:** If  $d = \log_b a$  then  $T(n) = O(n^d \log n)$ .

**Case 2:** If  $d > \log_b a$  then  $T(n) = O(n^d)$ .

**Case 3:** If  $d < \log_b a$  then  $T(n) = O(n^{\log_b a})$ .

- Example of using Master theorem to analyze recursion (Homework 1 Problems 2ac, 3. Ex 2.4, 2.5abcde in textbook):
  - Multiplies 2  $n$ -digit numbers using 3 multiplies of  $n/2$ -digit numbers, and addition/subtraction. Recurrence:  $T(n) = 3T(n/2) + O(n)$ .
  - Master theorem: this is  $a = 3$ ,  $b = 2$ ,  $d = 1$ , so  $d < \log_2 3$ , and the overall runtime is  $O(n^{\log_2 3}) = O(n^{1.59})$ .
- Applications of fast (polynomial) multiplication:
  - Computing sumsets by multiplying indicator polynomials (include  $x^i$  if  $i$  is in the set). Set of sums given by non-zeros in product without carry.
  - Counting mismatches in strings (Homework 1, Problem 4)

1. Let  $A$  and  $B$  be two matrices to be multiplied. We saw an algorithm for this problem that takes time  $O(n^{\log_2 7})$ . Suppose someone discovers a way to obtain the product of two order  $n \times n$  matrices by doing 24 multiplications of two matrices of order  $n/5$  and combining the results in  $O(n^2)$  time. Write the recurrence for the running time of this new algorithm. What is the solution to this recurrence? Is this running time better than the running time for Strassen's algorithm?

**Solution:**  $T(n) = 24T(n/5) + cn^2$  whose solution is  $O(n^2)$ . This is certainly better than Strassen's algorithm whose complexity is  $O(n^{\log_2 7})$ .

2. (From Fall 2015 Test 1) Let  $x$  be an  $n$ -bit number. The following recursive algorithm computes  $x^k$  for some integer  $k$ . (Assume  $k$  is a power of 2.) It uses a bit-multiplication algorithm  $\text{MULTIPLY}(y, z)$  that takes two numbers  $y$  and  $z$  and returns their product. The numbers  $y$ ,  $z$  and their product are all in bits.

$\text{POWER}(x, k)$

IF  $k = 1$  then Return  $(x)$

IF  $k > 1$  Then

Let  $y = \text{POWER}(x, k/2)$

Return  $(\text{MULTIPLY}(y, y))$

Assuming that the number of bit operations for multiplying two  $n$  bit numbers is  $n^2$ , set up a recurrence for the number of bit operations used by this algorithm to compute  $x^n$  and solve the recurrence.

**Solution:**

Since  $x^k$  has  $kn$  bits, multiplying  $x^{k/2}$  with itself takes time  $O(k^2n^2)$ .

Let  $T(k)$  be the runtime of calling  $\text{POWER}(x, k)$ . We get the recurrence:

$$T(k) = T(k/2) + O(k^2n^2), \quad T(1) = 0$$

Since  $n$  does not depend on  $k$ , we can first solve the modified runtime recurrence;

$$T'(k) = T'(k/2) + O(k^2),$$

which by master's theorem with  $a = 1$ ,  $b = 2$ , and  $d = 2$  solves to  $T'(k) = O(k^2)$ . Multiplying the  $n^2$  back in then gives  $T(k) = O(k^2n^2)$ , which when  $k = n$  gives  $O(n^4)$ .

3. (From Fall 2015 Test 1, also on Homework 1, Problem 3) Assume that you are given an  $O(n)$ -time algorithm  $\text{MEDIAN}$  that takes as input an array  $A$  of  $n$  distinct positive integers and returns the median element in  $A$ .

Using the algorithm  $\text{MEDIAN}$  design an  $O(n)$  algorithm that, given an array  $A$  of  $n$  distinct positive integers and an index  $1 \leq k \leq n$ , determines the  $k$ -th smallest element in  $A$ .

(The median element in  $A$  is the  $\lceil n/2 \rceil$ -th smallest element of  $A$ .)

**Solution:** Here is an  $O(n)$  algorithm for the task at hand:

- Use  $\text{MEDIAN}$  algorithm to find the median  $m$  of the array  $A$  in  $O(n)$  time.
- If  $k = \lceil n/2 \rceil$  then return  $m$ .

- Partition  $A$  using  $m$  into two arrays  $A_L$  and  $A_R$  such that  $A_L$  has all elements of  $A$  that are  $\leq m$  and  $A_R$  has all elements of  $A$  that are  $> m$ . This takes  $O(n)$  time.
- If  $k \leq m$ , search  $A_L$  for the  $k$ -th element recursively.
- If  $k > m$ , search  $A_R$  for  $(k - m)$ -th element recursively.

The running time of this algorithm is given by the recurrence:

$$T(n) = T\left(\frac{n}{2}\right) + c n, \quad T(1) = e$$

whose solution is  $O(n)$ .

4. Given as input an array  $A$  of  $n$  integers, describe an  $O(n \log n)$  time algorithm to decide if the entries of  $A$  are distinct. Why does your algorithm run in time  $O(n \log n)$ ?

**Solution:**

Algorithm idea: Sort the array  $A$ . Compare consecutive elements to see any element is repeated. If so, the elements are not distinct.

**Algorithm:**

- Sort the array  $A$ .
- $i = 1$ . *distinctsofar* = *True*.
- WHILE  $i < n$  and *distinctsofar* = *True* do:
  - If  $A[i] \neq A[i + 1]$  Then  $i = i + 1$  ELSE *distinctsofar* = *False*
- Output the array  $B$ .

This algorithm takes time  $O(n \log n)$  time: **Step 1** takes  $O(n \log n)$  time. The WHILE loop is executed at most  $n - 1$  times and each time through the loop the time takes is  $O(1)$ . All other steps take time  $O(1)$ .

5. Given a sorted array of  $n$  distinct integers  $A[1] \cdots A[n]$ , describe an  $O(\log n)$  divide-and-conquer algorithm to find out whether there is an index  $i$  such that  $A[i] = i$ . Why does your algorithm run in the claimed time bound?

**Solution 1:** consider the array  $B$  with

$$B[i] = A[i] - i.$$

Since  $A[i] < A[i + 1]$ , we get

$$B[i] = A[i] - i \leq A[i + 1] - 1 - i = B[i + 1],$$

so the array  $B$  is non-decreasing and sorted.

An index where  $A[i] = i$  corresponds to one where  $B[i] = 0$ , so it suffices to binary search for 0 in  $B$ , which takes  $O(\log n)$  time.

**Solution 2 :** Use binary search as follows, assume  $n = 2^k$ .  $\text{Search}(A, 1, n)$  gives the required answer.

```
Search(A, lb, ub)
{
mid := (ub + lb)/2
if((lb==ub)&&(a[mid] != mid)) return NO
if (A[mid] == mid) output YES
if (A[mid] > mid) Search(A, lb, mid)
if (A[mid] < mid) Search(A, mid, ub)
}
```

Since we are using binary search, for each call to **Search** the difference between  $ub$  and  $lb$  is halved. Hence, the running time is  $O(\log n)$ .

6. You are given an infinite array  $A$  in which the first  $n$  cells contain integers in sorted order and the rest of the cells are filled with  $\infty$ . You are *not* given the value of  $n$ . Describe an  $O(\log n)$  algorithm that takes an integer  $x$  as input and finds a position in the array containing  $x$ , if such a position exists.

**Solution:** Find an upper bound for  $n$  in  $\log_2 n$  rounds by checking in the  $i$ -th round if  $A[2^i]$  is  $\infty$ .

(This upper bound cannot be more than twice the actual value of  $n$ . Why?)

With this upper bound do a binary search to find if  $x$  is in the array.

7. Describe an  $O(n \log_2 n)$  time algorithm that, given a set  $S$  of  $n$  real numbers and another real number  $x$ , determines whether or not there exist two elements in  $S$  whose sum is exactly  $x$ .

(Hint: Doing a binary search in a sorted list can be done in  $O(\log n)$  time.)

**Solution:**

1. Use mergesort to sort the set  $S$  in ascending order. This takes  $\mathcal{O}(n \log_2 n)$  time.
2. For each element  $a \in S$  perform a binary search of the sorted array  $S$  to find  $x - a$ , if it is present. Each search takes  $\mathcal{O}(\log_2 n)$  time and at most  $n$  searches are performed, so this entire step will take at most  $O(n \log_2 n)$  time.

Combining steps 1 and 2 causes the algorithm to take  $O(n \log_2 n)$  time.

8. Let  $A_1, A_2, \dots, A_k$  be  $k$  sorted arrays, each with  $n$  elements. Give an  $O(nk \log k)$  algorithm to combine them into a single sorted array of  $kn$  elements. (Assume  $k$  is a power of 2.)

**Solution:** Merge them pairwise:  $A_i$  with  $A_{i+1}$  for  $i = 1, 3, \dots, k - 1$ . Assuming that merging two arrays of size  $n$  takes  $c \cdot n$  comparisons for some constant  $c$ , the  $k/2$  merges take  $cn(k/2)$  comparisons. In the next stage, merge pairwise the resulting  $k/2$  arrays each with  $2n$  elements. This takes  $c(2n)(k/4) = cn(k/2)$  comparisons. Repeat this process until there is only one array of  $kn$  elements. There are  $\log_2 k$  stages and each stage takes  $cn(k/2)$  comparisons.

9. Let  $A$  be an array of  $n$  positive integers. Let  $n$  be a multiple of 5. Describe an  $O(n)$  algorithm to find if there is an element in  $A$  that occurs at least  $n/5$  times in  $A$ . (Hint: Use the linear time algorithm *SELECTION* for computing the  $k$ -th smallest element discussed in class.)

**Solution:** An element that occurs at least  $n/5$  times must be one of the following four elements:  $n/5$ -th smallest,  $2n/5$ -th smallest,  $3n/5$ -th smallest, and  $4n/5$ -th smallest element. Why? Suppose there is an element  $x$  that occurs at least  $n/5$  times in  $A$ . Let  $AS$  be the array that results when  $A$  is sorted. Let  $i$  be the least index where  $x$  appears in  $AS$ . Then,  $AS[i]$  to  $AS[i + n/5 - 1]$  are all equal to  $x$ .

The algorithm: Use the linear time algorithm *SELECTION* for computing the  $k$ -th smallest element (discussed in class) to compute the  $n/5$ -th smallest,  $2n/5$ -th smallest,  $3n/5$ -th smallest, and  $4n/5$ -th smallest elements. For each one go through the array  $A$  to see if it occurs  $n/5$  times. If none of these elements occur  $n/5$  times then there is no such element. The algorithm takes  $O(n)$  time.

10. Describe an  $O(n^{1.6})$  time algorithm for computing the number of Pythagorean triples modulo  $n$ . Specifically the number of triples  $(a, b, c)$  with  $0 \leq a, b, c < n$  such that

$$a^2 + b^2 \equiv c^2 \pmod{n}.$$

Here  $x \pmod{n}$  denotes the remainder of  $x$  when divided by  $n$ . You may assume that it can also be computed in  $O(1)$  time.

**Solution:** First compute for each  $i$  the number of ways to get

$$a^2 \equiv i \pmod{n}$$

with some  $0 \leq a < n$ . This takes  $O(n)$  time because we can just loop through all values of  $a$ . Let these values be  $p_i$ . Note that since we have the same choices of  $b$  and  $c$ , they will produce the same vector.

Then form the polynomial

$$P(x) = \sum_{i=0}^{n-1} p_i x^i,$$

and compute using fast multiplication

$$R(x) = P(x)^2$$

in  $O(n^{1.6})$  time.

The coefficients of  $x^k$  in  $R$  is the number of pairs of  $0 \leq a < n$  and  $0 \leq b < n$  such that

$$(a^2 \bmod n) + (b^2 \bmod n) = k,$$

For each of these coefficients, we have equality when

$$c^2 \equiv k \bmod n.$$

This value is precisely

$$p_{k \bmod n},$$

so looping through all  $O(n)$  coefficients of  $R$  gives the answer.