

# Nondeterministic Finite Automata

So far, we have been talking about deterministic finite automata (DFA)

A Nondeterministic finite automata (NFA) is essentially a DFA but we can have multiple transitions per string

Ways to think about the nondeterminism:

- Computational: fork new parallel thread whenever you have multiple options and accept if any thread reaches accept
- Mathematical: tree with branches and accept if any branch reaches accept
- Magical: guess at each step which way to go, and a machine will always make a right guess that leads to accepting

An empty string  $\varepsilon$  automatically produces a fork (either you move along it or you don't)

## Nondeterministic Finite Automaton

A nondeterministic finite automaton  $N$  is a 5-tuple  $N = (Q, \Sigma, \delta, q_0, F)$

Same as before except for  $\delta$

$$\delta : Q \times \underbrace{\Sigma_\varepsilon}_{\Sigma \cup \{\varepsilon\}} \rightarrow \underbrace{\mathcal{P}(Q)}_{\text{power set}} = \{R \mid R \subseteq Q\}$$

- Power set of  $Q$  is the set of all subsets of  $Q$

## NFAs and Languages

If  $A = L(N)$  for NFA  $N$  then  $A$  is regular

**Proof:**

Let  $N = (Q, \Sigma, \delta, q_0, F)$  and we wish to construct some DFA  $M = (Q', \Sigma, \delta', q'_0, F')$ . The idea is that we want to capture in our DFA all possible subsets that we could be at in  $N$

- $Q' = \mathcal{P}(Q)$  = power set of  $Q$
- $\delta'(R, a) = \{q \mid q \in \delta(r, a) \text{ for some } r \in R\}$ 
  - $R \in Q'$
  - Needs to be slightly modified to include empty transitions
- $q'_0 = \{q_0\}$
- $F' = \{R \in Q' \mid R \cap F \neq \emptyset\}$

## Proving Closure Properties with NFAs

### Proposition: Closure under Union

If  $A_1, A_2$  are regular languages, so is  $A_1 \cup A_2$

**Proof:**

We wish to construct an NFA  $M$  that accepts both  $A_1$  and  $A_2$

We just construct a new NFA such that it has a single starting state that has two outgoing  $\varepsilon$  transitions to start state of  $M_1$  and  $M_2$ .

### Proposition: Closure under Concatenation

If  $A_1, A_2$  are regular languages, so is  $A_1 \circ A_2$

**Proof:**

We wish to construct an NFA  $M$  that accepts all inputs  $w = xy$  where  $x \in A_1$  and  $y \in A_2$

We include  $M_1$ , and we make all accept states of  $M_1$  no longer accept. Then we add transitions with empty string from all of those to the start state of  $M_2$ .

### Proposition: Closure under Klein Star">

If  $A$  is a regular language, so is  $A^*$

**Proof:**

We wish to construct an NFA  $M'$  from  $M$  such that  $A = L(M)$  and  $A^* = L(M')$

We create a start state that leads to the start of  $M$  with a  $\varepsilon$  transition (this ensures that  $M'$  accepts  $\varepsilon$ )

- All accept states of  $M$  remain accept states but they all have a  $\varepsilon$  transition to the start of  $M$

### Proposition: Regular Expressions can be Converted to NFAs

Given a regular expression  $R$ , we can make a corresponding NFA with the same language as  $R$

**Proof:**

If  $R$  is atomic, we have the following easy NFAs we can make:

- $\Sigma$  could be considered as a single symbol, but it can also be done through composition of atomic expressions so it is fine to not include it

All compositions of atomic expressions with  $\cup, \circ, *$  can all be made using the closure properties.

### Goal

We've done (the easier) half of showing that regular expressions are equivalent to FAs

To prove that a DFA can be converted to a regular expression, we need another concept first: Generalized Nondeterministic Finite Automata