Research Plan Student Name: Katherine Zhang

Project Title: Dialdehyde Cellulose Nanocrystal Hydrogel Synthesis for Antibiotic

Remediation

A. Rationale

Globally, antibiotic usage exceeds 100,000 tons around the world annually 1-4. In addition, incomplete metabolism of antibiotics prompts up to 90% of consumed antibiotics to be excreted and perpetuated within wastewater, surface water, and groundwater⁵⁻⁹. Accumulation of trace amounts of antibiotics in humans can cause detrimental health impacts such as the impairment of the gut microbiota which increases risks for chronic diseases such as diabetes, obesity, and asthma. 10-13. Furthermore, the presence of antibiotics within the environment accelerates the development of antibiotic resistant bacteria^{8,13–15}. Doxycycline hydrochloride (Doxy), a broad-spectrum tetracycline antibiotic, was examined herein due to its widespread usage, environmental toxicity, low biodegradability, and complex molecular structure¹⁶. Doxy, among other antibiotics, are ineffectively removed by oxidizing agents used in municipal wastewater treatments resulting in its presence in released effluent. The discharge of treated wastewater contaminated with antibiotics instigates the necessity to develop an effective method to remediate antibiotics¹⁷. Adsorption among remediation technologies, shows superior potential due to its simple design, ease of operation¹⁸, and relative low-cost^{19,20}. Dialdehyde cellulose nanocrystals (DCNC) offer additional advantages of facilitating the Schiff Base reaction as a binding mechanism of Doxy's distinct amino groups to DCNC's aldehyde groups^{21,22}. However, DCNC is structurally limited in practical applications due to its difficulty to be recovered leading to secondary pollution^{23–25}. DCNC can be combined into a hydrogel network to synthesize a sustainable and recoverable adsorbent. Synthesis of a sustainable DCNC hydrogel can be achieved via a sodium alginate (SA) platform, commonly used in hydrogel formation because it is naturally occurring²⁶, lowcost, and abundance with carboxylate groups that allows for hydrogel composite formation with other materials to enhance its adsorption performance²⁷⁻²⁹. Thus, the synthesis of a DCNC-SA hydrogel may be an ideal candidate to address recovery limitations of DCNC water treatment applications while effectively remediating antibiotic contaminated water.

B. Research Questions, Hypotheses, Engineering Goals, Expected Outcomes

I. Research Questions

- a. How can a DCNC-SA be successfully synthesized?
- b. How can DCNC-SA remediate Doxy contaminated water?
- c. How can DCNC-SA be reused?

II. Hypotheses

- a. If DCNC and SA are crosslinked in CaCl₂ solution, then a hydrogel will successfully be synthesized
- b. If DCNC-SA is put in Doxy solution, then Doxy will be effectively remediated.
- c. If DCNC-SA is put in multiple adsorption-desorption cycles, then Doxy remediation will still be effective.

III. Engineering Goals

The goal of this project is to synthesize a DCNC-SA hydrogel and determine its adsorption and reusability properties in Doxy solutions.

IV. Expected Outcomes

- a. After combining DCNC and SA, the mixture can be crosslinked with calcium ions and can form hydrogel beads.
- b. DCNC-SA will have a negative surface charge and porous structure that contributes to its adsorption properties.
- c. DCNC-SA will have a high regeneration efficiency after multiple adsorption/desorption cycles.

C. Procedures, Risk and Safety, Data Analysis

I. Procedures

*Note: Data to determine DCNC-SA's adsorption capacities will be measured using a UV-vis spectrometer (GENYSYS 10S, Thermo Fisher, USA, 840-208100) at a wavelength of 272 nm to determine concentrations of each solution.

1. Synthesis of DCNC-SA

1.1. Preparation of DCNC

- a. Measure 92.9 g of cellulose nanocrystals (CNC; Process Development at the University of Maine, USA) into a capped bottle
- b. In a separate container, measure 21.389 g of sodium periodate (> 99.8%) and mix with 178.6 g of distilled water in a dark room to prevent photocatalytic decomposition of sodium periodate
- c. Cover CNC bottle with aluminum foil and stir at 150 RPM in an oil bath at 54 °C
- d. Add sodium periodate solution into CNC bottle in a dark room
- e. After 6 hours, transfer solution into dialysis membranes until conductivity of the distilled water dialysis bath is below 5 μ S/cm for 12 hours
- f. Centrifuge final product at 6000 RPM for 10 minutes

1.2. Preparation of DCNC-SA Hydrogel

- a. Mix DCNC (0.799 wt%) and SA (2 wt%) in water at 400 RPM for 30 minutes in DCNC:SA ratios of 1.25:2, 1.25:1.25, and 1.25:0.5 as well as 2 wt% SA
- b. Load mixture into syringe and attach plastic tubing (inner diameter of 0.2 mm)
- c. Place syringe into mechanical syringe pump with ejection speed of 30 mL/hr into CaCl2 (150 mL; 1M) with a stir speed of 50 RPM, drop height of 10 cm from the surface of CaCl2 solution, and 30 min crosslinking time.

1.3. DCNC-SA Hydrogel Characterization

- a. Press air-dried DCNC-SA sample into solid sample pellet
- b. Place sample into FTIR instrument securely
- c. Gather background spectra to subtract from result spectra to determine spectra of sample
- d. Transfer analysis to library program to indicate what materials are present in the composition of the DCNC-SA
- e. Repeat to obtain data for SA and DCNC

2. Adsorption Performance of DCNC-SA Hydrogels

2.1. Adsorption Isotherm

a. Place 0.2 ± 0.05 g of DCNC-SA in Doxy solutions (pH 7) that ranged from 0 to 4000 ppm in a shaker (180 RPM) for 24 hours at room temperature.

b. After 24 hours, measure adsorption capacities

2.2. Adsorption Kinetics

- a. 0.2 ± 0.05 g of DCNC-SA in Doxy solutions (pH 7, 400 ppm) were placed in a shaker (180 RPM)
- b. Adsorption capacities were measured after 5 to 400 minutes

2.3. pH Study

- a. Create Doxy solutions at pH 3, 7, 9, and 11 by adjusting with 0.1 M HCl or NaOH and monitor via a pH meter (FE20, Mettler Toledo, USA).
- b. Place 0.2 ± 0.05 g of DCNC-SA hydrogel in Doxy solutions (100 ppm) in a shaker (180 RPM)
- c. After 24 hours, measure adsorption capacities

2.4. Dosage Study

- a. Add 0.02 g, 0.05 g, 0.1 g, 0.2 g, and 0.5 g of DCNC-SA into Doxy solution (pH 7, 900 ppm)
- b. After 24 hours, measure adsorption capacities

3. Regeneration Study

- a. Add 1.00 g of DCNC-SA into 25 mL of 400 ppm Doxy solution
- b. After 30 minutes, measure adsorption capacity and filter out DCNC-SA using a 20-mesh sieve
- c. Immerse adsorbate into 40 mL of 1:1 ratio of HCl (2M):Ethanol (100%) for desorption and stir vigorously
- d. Put regenerated sample into 400 ppm Doxy solution and repeat until data is obtained for 3 regeneration cycles

II. Risk and Safety

For this experiment, Doxy will be treated as a hazardous chemical. All experiments handling Doxy will be conducted with goggles, gloves, lab coat, and disposable face mask for personal protection. Doxy is harmful if swallowed, causes skin irritation, causes serious eye irritation, and may cause respiratory irritation. Wash hands before breaks and at the end of work.

HCI, NaOH, sodium periodate, and sodium alginate will be handled when wearing gloves, a lab coat, and safety glasses at all times. HCI, NaOH, sodium periodate, and sodium alginate can cause eye irritation, skin irritation, kidney damage, irritation of digestive tract, burns, and damage to tissues it comes into contact with. Wear disposable face mask when handling solid chemicals.

III. Data Analysis

A computer with software that is capable of organizing quantitative data into graphs and charts, such as Microsoft Excel (Version 16.15) will be used. The synthesized graphs will show absorption capacities. It is necessary to study the trends within adsorption isotherm and kinetics by using Excel's built-in functions to find the best curve fitting and equation for that curve.

Subject-Specific Guidelines

- 1. Human participants research: N/A
- 2. Vertebrate animal research: N/A
- 3. Potentially hazardous biological agents research: N/A
- 4. Hazardous chemicals, activities & devices:

I. Risk Assessment Process

Doxycycline hydrochloride (Doxy; > 95%):

- Toxicity: 2
- Reactivity: 1
- Flammability: 1
- Corrosive: N/A
- Health Hazard: 2
- Flammability Hazard: 1
- Instability: 0
- Swallowed: Accidental ingestion of the material may be harmful; animal experiments indicate that ingestion of less than 150 gram may be fatal or may produce serious damage to the health of the individual.
- Eye: This material can cause eye irritation and damage.

- Inhaled: The material can cause respiratory irritation in some persons. The body's response to such irritation can cause further lung damage.
- Chronic Health Effects: Long-term exposure to respiratory irritants may
 result in disease of the airways involving difficult breathing and related
 systemic problems. There is some evidence that human exposure to the
 material may result in developmental toxicity. This evidence is based on
 animal studies where effects have been observed in the absence of
 marked maternal toxicity, or at around the same dose levels as other toxic
 effects but which are not secondary non-specific consequences of the
 other toxic effects.
- Handling & storage: Store in cool place. Keep container tightly closed in a dry and well-ventilated place (recommended storage temperature -20 °C).
 Avoid all personal contact, including inhalation.

CNC:

- Toxicity: N/A
- Flammability: 0
- Corrosive: N/A
- Health Hazard: 1 Irritant
- Reactivity Hazard: 0
- Contact Rating: 0
- Inhalation: No adverse health effected expected. Treat similarly to a nuisance dust.
- Eye contact: No adverse effects expected but may cause irritation.
- Skin contact: No adverse effects expected.
- Ingestion: Large doses may cause gastro-intestinal upset.
- Handling & storage: Avoid mist formation and control electrical equipment and ignition sources. Employ grounding, venting, and explosion relief provisions in accord with accepted engineering. Containers of this material

may be hazardous when empty since they retain product residues (dust, solids); observe all warnings and precautions listed for the product.

Sodium Periodate (>99.8%):

- Specific target organ toxicity (repeated exposure): 1
- Reactivity (Reactive Hazard): Yes
- Flammability: 3
- Corrosive: 1
- Health Hazard: 3
- Physical Hazard: OX
- Handling & storage: Wear personal protective equipment. Do not get in
 eyes, on skin, or on clothing. Do not ingest. Do not breath vapors/dust.
 Avoid dust formation. Keep away from clothing and other combustible
 materials. Keep containers tightly closed in a dry, cool, and well-ventilated
 place. Corrosives area. Do not store near combustible materials.

Sodium Alginate (2 wt%):

- Toxicity: N/A
- Reactivity: N/A
- Flammability: 0
- Corrosive: N/A
- Health Hazard: 0
- Chronic Health Hazard: 0
- Physical Hazard: 0
- Handling & storage: Avoid contact with skin and eyes. Provide appropriate
 exhaust ventilation at places where dust is formed. Keep container tightly
 closed in a dry and well-ventilated place.

Hydrochloric Acid (0.1 M):

Toxicity: N/A

Reactivity: N/A

Flammability: N/A

Corrosive: 1

Health Hazard: 3

Flammability Hazard: 0

Physical Hazard: 0

Eye contact: Serious eye damage

• Skin contact: Skin corrosion

 Handling & storage: Avoid contact with skin and eyes. Avoid inhalation of vapor or mist. Keep container tightly closed in a dry and well-ventilated place. Containers which are opened must be carefully resealed and kept upright to prevent leakage.

II. Supervision

A designated supervisor trained in the techniques to be used will provide direct supervision at all times.

III. Safety Precautions

For ALL Chemicals

- Eye/face Protection: Wear appropriate protective eyeglasses or chemical safety goggles.
- Skin and body protection: Wear appropriate protective gloves and clothing to prevent skin exposure.
- Respiratory Protection: Handle in accordance with good industrial hygiene and safety practice.

IV. Methods of Disposal

All chemical wastes will be disposed in labeled containers to a designated location in the lab as hazardous waste. Hazardous waste pickups will be requested by emailing HazWaste@stonybrook.edu.

All regulated medical and chemical wastes generated in university laboratories and other facilities must be disposed of in accordance to the guidelines and regulations mandated by the New York State Department of Environmental Conservation.

NO ADDENDUMS EXIST.

References

- (1) Krockow, E. M.; Colman, A. M.; Chattoe-Brown, E.; Jenkins, D. R.; Perera, N.; Mehtar, S.; Tarrant, C. Balancing the Risks to Individual and Society: A Systematic Review and Synthesis of Qualitative Research on Antibiotic Prescribing Behaviour in Hospitals. *Journal of Hospital Infection*. W.B. Saunders Ltd April 1, 2019, pp 428–439. https://doi.org/10.1016/j.jhin.2018.08.007.
- (2) Davey, P.; Brown, E.; Charani, E.; Fenelon, L.; Gould, I. M.; Holmes, A.; Ramsay, C. R.; Wiffen, P. J.; Wilcox, M. Targeting Prescribers Can Reduce Excessive Use of Antibiotics in Hospitals. *Saudi Medical Journal*. August 2013, p 870. https://doi.org/10.1002/14651858.CD003543.pub3.
- (3) Atlanta, C. for D. C. and P.-; Health, G. U. D. of; 2014, undefined. Core Elements of Hospital Antibiotic Stewardship Programs.
- (4) Antibiotic Use in Outpatient Settings, 2017 | Antibiotic Use | CDC https://www.cdc.gov/antibiotic-use/stewardship-report/outpatient.html (accessed Nov 9, 2019).
- (5) O'Flaherty, E.; Cummins, E. Antibiotic Resistance in Surface Water Ecosystems: Presence in the Aquatic Environment, Prevention Strategies, and Risk Assessment. *Hum. Ecol. Risk Assess. An Int. J.* **2017**, *23* (2), 299–322. https://doi.org/10.1080/10807039.2016.1247254.
- (6) Manaia, C. M.; Rocha, J.; Scaccia, N.; Marano, R.; Radu, E.; Biancullo, F.; Cerqueira, F.; Fortunato, G.; lakovides, I. C.; Zammit, I.; et al. Antibiotic Resistance in Wastewater Treatment Plants: Tackling the Black Box. *Environ. Int.* **2018**, *115*, 312–324. https://doi.org/10.1016/j.envint.2018.03.044.
- (7) Sanganyado, E.; Gwenzi, W. Antibiotic Resistance in Drinking Water Systems: Occurrence, Removal, and Human Health Risks. *Sci. Total Environ.* **2019**, *669*, 785–797. https://doi.org/10.1016/J.SCITOTENV.2019.03.162.
- (8) Danner, M. C.; Robertson, A.; Behrends, V.; Reiss, J. Antibiotic Pollution in Surface Fresh Waters: Occurrence and Effects. *Science of the Total Environment*. Elsevier B.V. May 10, 2019, pp 793–804. https://doi.org/10.1016/j.scitotenv.2019.01.406.
- (9) Xu, Z.; Li, T.; Bi, J.; Wang, C. Spatiotemporal Heterogeneity of Antibiotic Pollution and Ecological Risk Assessment in Taihu Lake Basin, China. Sci. Total Environ. 2018, 643, 12–20. https://doi.org/10.1016/J.SCITOTENV.2018.06.175.
- (10) Wester, R. C.; Maibach, H. I. *Human Skin Binding and Absorption of Contaminants from Ground and Surface Water During Swimming and Bathing*; Mary Ann Liebert, Inc., Publishers, 1989; Vol. 8.
- (11) Kim, E.; Little, J. C.; Chiu, N. Estimating Exposure to Chemical Contaminants in Drinking Water. *Environ. Sci. Technol.* **2004**, *38* (6), 1799–1806. https://doi.org/10.1021/es026300t.
- (12) Lu, J.; Wu, J.; Zhang, C.; Zhang, Y.; Lin, Y.; Luo, Y. Occurrence, Distribution, and Ecological-Health Risks of Selected Antibiotics in Coastal Waters along the Coastline of China. *Sci. Total Environ.* **2018**, *644*, 1469–1476. https://doi.org/10.1016/j.scitotenv.2018.07.096.
- (13) Kraemer, S. A.; Ramachandran, A.; Perron, G. G. Antibiotic Pollution in the Environment: From Microbial Ecology to Public Policy. *Microorganisms* **2019**, 7

- (6), 180. https://doi.org/10.3390/microorganisms7060180.
- (14) Borghi, A. A.; Silva, M. F.; Al Arni, S.; Converti, A.; Palma, M. S. A. Doxycycline Degradation by the Oxidative Fenton Process. *J. Chem.* **2015**, *2015*. https://doi.org/10.1155/2015/492030.
- (15) Alsager, O. A.; Alnajrani, M. N.; Abuelizz, H. A.; Aldaghmani, I. A. Removal of Antibiotics from Water and Waste Milk by Ozonation: Kinetics, Byproducts, and Antimicrobial Activity. *Ecotoxicol. Environ. Saf.* **2018**, *158*, 114–122. https://doi.org/10.1016/j.ecoenv.2018.04.024.
- (16) Liu, S.; Xu, W.; Liu, Y.; Tan, X.; Zeng, G.; Li, X.; Liang, J.; Zhou, Z.; Yan, Z.; Cai, X. Facile Synthesis of Cu(II) Impregnated Biochar with Enhanced Adsorption Activity for the Removal of Doxycycline Hydrochloride from Water. *Sci. Total Environ.* **2017**, *5*92, 546–553. https://doi.org/10.1016/J.SCITOTENV.2017.03.087.
- (17) Salvador, F.; Martin-Sanchez, N.; Sanchez-Hernandez, R.; Sanchez-Montero, M. J.; Izquierdo, C. Regeneration of Carbonaceous Adsorbents. Part I: Thermal Regeneration. *Microporous Mesoporous Mater.* **2015**, 202, 259–276. https://doi.org/10.1016/J.MICROMESO.2014.02.045.
- (18) A Septevani, A.; Rifathin, A.; A Sari, A.; Sampora, Y.; Ariani, G. N.; Sudiyarmanto; Sondari, D. Oil Palm Empty Fruit Bunch-Based Nanocellulose as a Super-Adsorbent for Water Remediation. *Carbohydr. Polym.* **2019**, 115433. https://doi.org/10.1016/j.carbpol.2019.115433.
- (19) Mohammed, N.; Grishkewich, N.; Waeijen, H. A.; Berry, R. M.; Tam, K. C. Continuous Flow Adsorption of Methylene Blue by Cellulose Nanocrystal-Alginate Hydrogel Beads in Fixed Bed Columns. *Carbohydr. Polym.* **2016**, *136*, 1194–1202. https://doi.org/10.1016/j.carbpol.2015.09.099.
- (20) Mandeep; Gulati, A.; Kakkar, R. Graphene-Based Adsorbents for Water Remediation by Removal of Organic Pollutants: Theoretical and Experimental Insights. *Chem. Eng. Res. Des.* **2020**, *153*, 21–36. https://doi.org/10.1016/j.cherd.2019.10.013.
- (21) Zhang, L.; Zhang, Q.; Zheng, Y.; He, Z.; Guan, P.; He, X.; Hui, L.; Dai, Y. Study of Schiff Base Formation between Dialdehyde Cellulose and Proteins, and Its Application for the Deproteinization of Crude Polysaccharide Extracts. *Ind. Crops Prod.* **2018**, *112*, 532–540. https://doi.org/10.1016/j.indcrop.2017.12.056.
- (22) Jamwal, S.; Dautoo, U. K.; Ranote, S.; Dharela, R.; Chauhan, G. S. Enhanced Catalytic Activity of New Acryloyl Crosslinked Cellulose Dialdehyde-Nitrilase Schiff Base and Its Reduced Form for Nitrile Hydrolysis. *Int. J. Biol. Macromol.* 2019, 131, 117–126. https://doi.org/10.1016/J.IJBIOMAC.2019.03.034.
- (23) Karak, N. Nanomaterials and Polymer Nanocomposites: Raw Materials to Applications.
- (24) Abdel Maksoud, M. I. A.; Elgarahy, A. M.; Farrell, C.; Al-Muhtaseb, A. H.; Rooney, D. W.; Osman, A. I. Insight on Water Remediation Application Using Magnetic Nanomaterials and Biosorbents. *Coord. Chem. Rev.* 2020, 403, 213096. https://doi.org/10.1016/j.ccr.2019.213096.
- (25) Mohammed, N.; Grishkewich, N.; Berry, R. M.; Tam, K. C. Cellulose Nanocrystal–Alginate Hydrogel Beads as Novel Adsorbents for Organic Dyes in Aqueous Solutions. *Cellulose* **2015**, 22 (6), 3725–3738. https://doi.org/10.1007/s10570-015-0747-3.

- (26) Basu, H.; Singhal, R. K.; Pimple, M. V.; Saha, S. Graphene Oxide Encapsulated in Alginate Beads for Enhanced Sorption of Uranium from Different Aquatic Environments. *J. Environ. Chem. Eng.* **2018**, *6* (2), 1625–1633. https://doi.org/10.1016/j.jece.2018.01.065.
- (27) Bai, C.; Wang, L.; Zhu, Z. Adsorption of Cr(III) and Pb(II) by Graphene Oxide/Alginate Hydrogel Membrane: Characterization, Adsorption Kinetics, Isotherm and Thermodynamics Studies. *Int. J. Biol. Macromol.* **2019**. https://doi.org/10.1016/j.ijbiomac.2019.09.249.
- (28) Ma, Y.; Qi, P.; Ju, J.; Wang, Q.; Hao, L.; Wang, R.; Sui, K.; Tan, Y. Gelatin/Alginate Composite Nanofiber Membranes for Effective and Even Adsorption of Cationic Dyes. *Compos. Part B Eng.* **2019**, *162*, 671–677. https://doi.org/10.1016/j.compositesb.2019.01.048.
- (29) Zhang, H.; Omer, A. M.; Hu, Z.; Yang, L.-Y.; Ji, C.; Ouyang, X. Fabrication of Magnetic Bentonite/Carboxymethyl Chitosan/Sodium Alginate Hydrogel Beads for Cu (II) Adsorption. *Int. J. Biol. Macromol.* 2019, 135, 490–500. https://doi.org/10.1016/J.IJBIOMAC.2019.05.185.