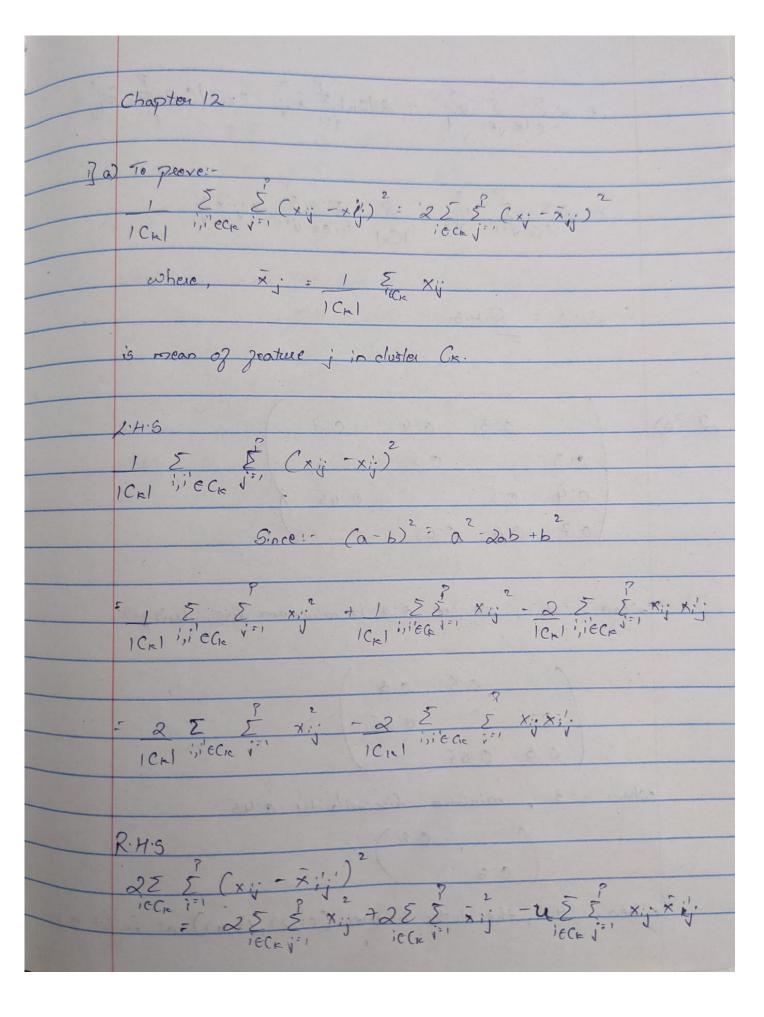
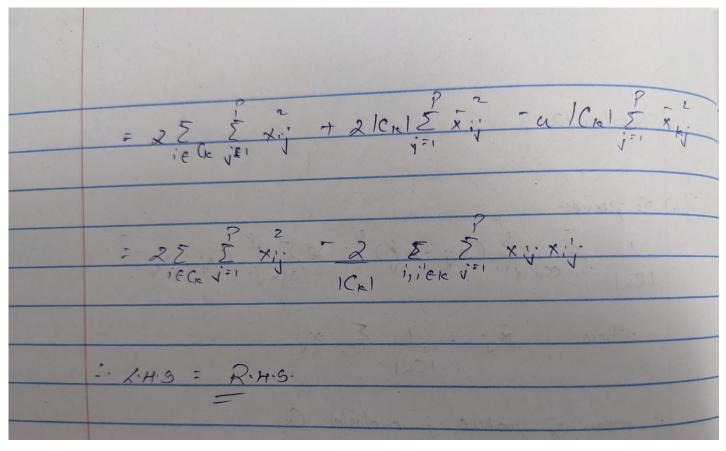
Name:- PARTH RATHOD CWID:- A20458817 HW :- HW5 COURSE:- DPA CS571

Recitation Question 1 a)

knitr::include_graphics("1a-1.jpeg")



knitr::include_graphics("1a-2.jpeg")

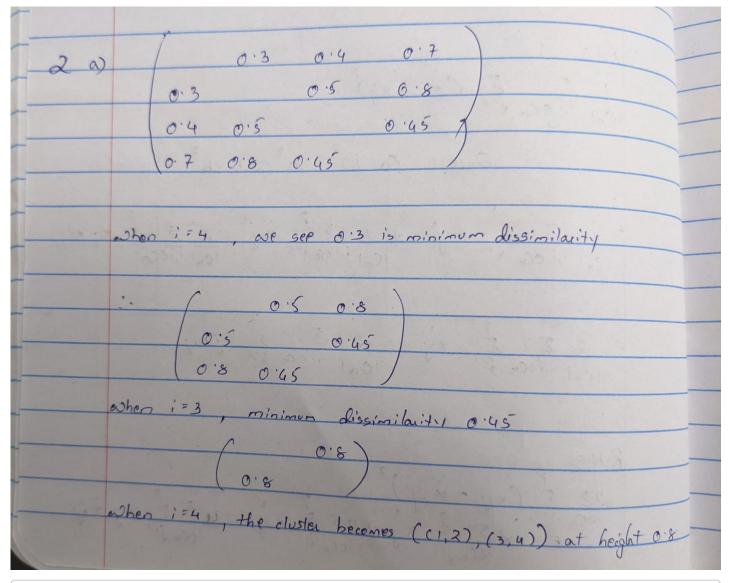


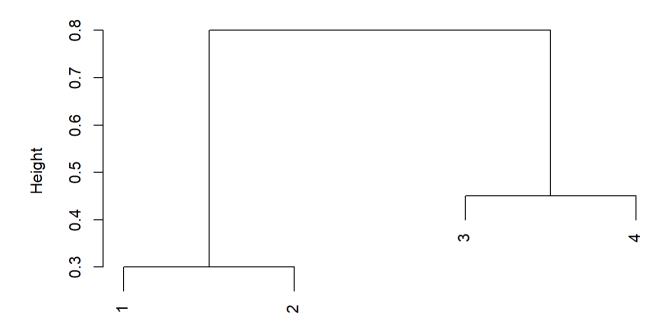
b.

In K-means clustering algorithm, at each iteration, an observation is assigned to its nearest cluster. Due to which after each iteration the value of RHS will decreases as this quantity is sum of squared distance of each observation from the cluster mean. Hence, in this way the k-means will decrease the objective in each iteration.

Question 2 a)

knitr::include_graphics("2a.jpeg")





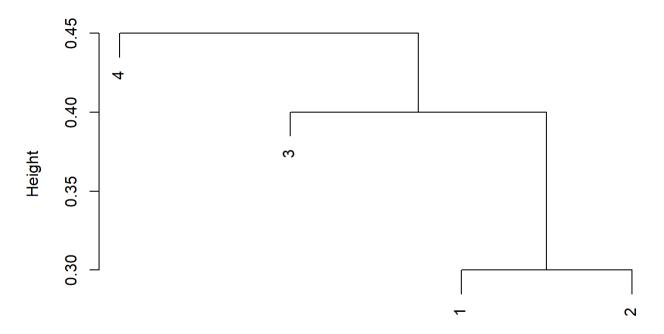
dend hclust (*, "complete")

b.

knitr::include_graphics("2b.jpeg")

2 b) easter 0.3 0.4 0.7 0.8 0.4 0.7 0.8 0.45 0.45	
when $i=4$, we see 0.3 is rainiman dissimilarity $0.4 0.7$ 0.45 0.45	
when i=3, minimum dissimilarity is 0:4 (0:45)	
When i=4, Juse clusters to joen (((1,2),3),4) at o	us

plot(hclust(dend, method = "single"))

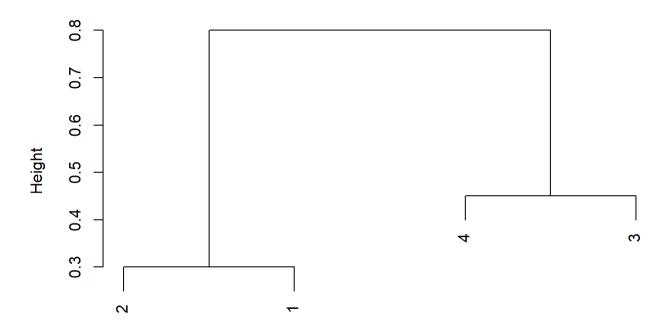


dend hclust (*, "single")

- c. In this case, we have clusters (1,2) and (3,4).
- d. In this case, we have clusters ((1,2),3) and (4).

e.

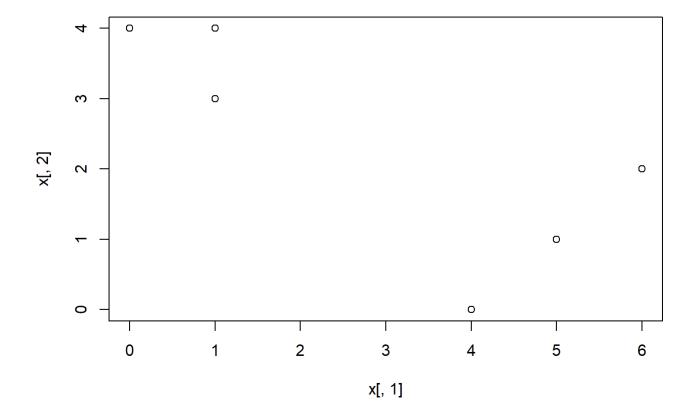
```
plot(hclust(dend, method = "complete"), labels = c(2,1,4,3))
```



dend hclust (*, "complete")

Question 3 a)

```
x <- cbind(c(1, 1, 0, 5, 6, 4), c(4, 3, 4, 1, 2, 0))
plot(x[,1], x[,2])
```

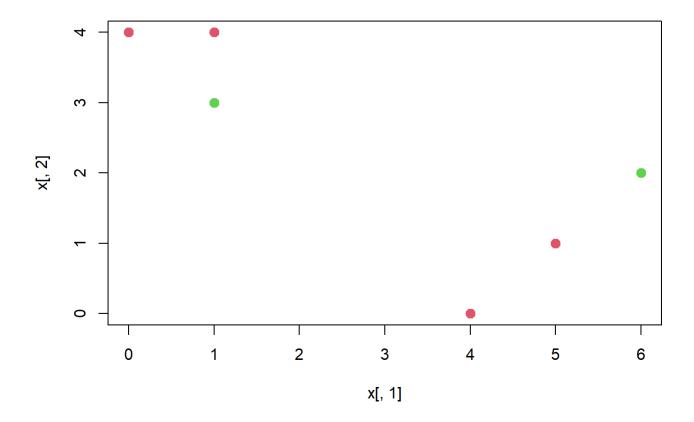


b.

```
set.seed(1)
labels <- sample(2, nrow(x), replace = T)
labels</pre>
```

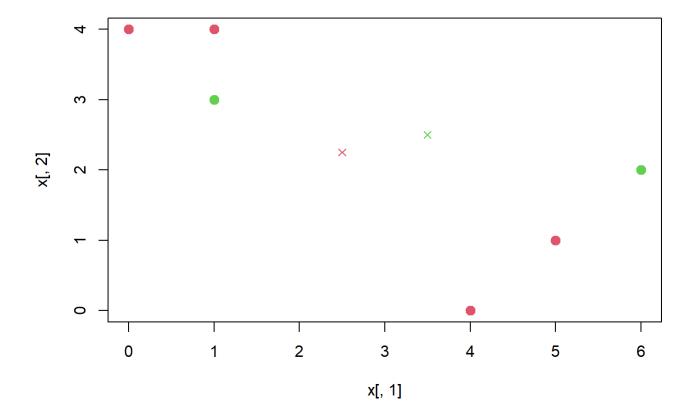
```
## [1] 1 2 1 1 2 1
```

```
plot(x[, 1], x[, 2], col = (labels + 1), pch = 20, cex = 2)
```



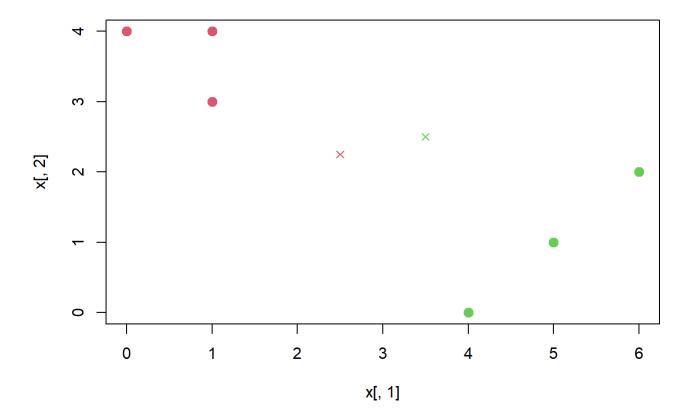
C.

```
centroid1 <- c(mean(x[labels == 1, 1]), mean(x[labels == 1, 2]))
centroid2 <- c(mean(x[labels == 2, 1]), mean(x[labels == 2, 2]))
plot(x[,1], x[,2], col=(labels + 1), pch = 20, cex = 2)
points(centroid1[1], centroid1[2], col = 2, pch = 4)
points(centroid2[1], centroid2[2], col = 3, pch = 4)</pre>
```



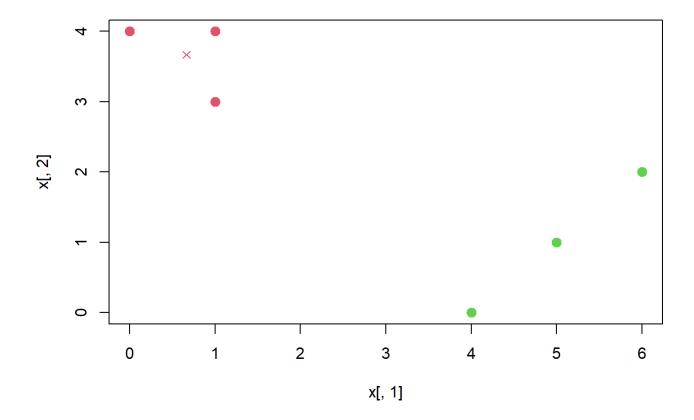
d.

```
labels <- c(1, 1, 1, 2, 2, 2)
plot(x[, 1], x[, 2], col = (labels + 1), pch = 20, cex = 2)
points(centroid1[1], centroid1[2], col = 2, pch = 4)
points(centroid2[1], centroid2[2], col = 3, pch = 4)</pre>
```



e.

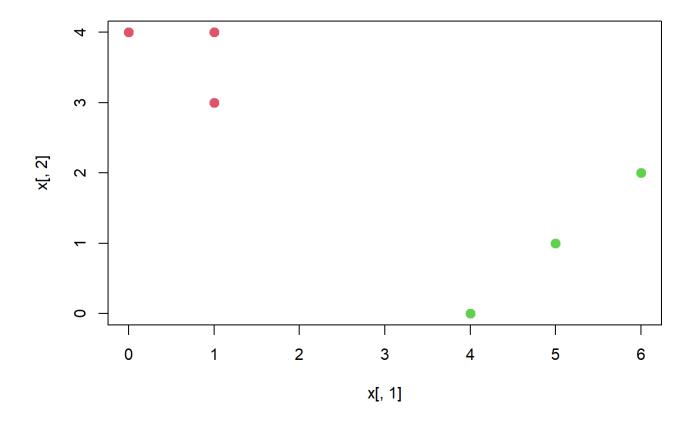
```
centroid1 <- c(mean(x[labels == 1, 1]), mean(x[labels == 1, 2]))
centroid2 <- c(mean(x[labels == 2, 1]), mean(x[labels == 2, 2]))
plot(x[,1], x[,2], col=(labels + 1), pch = 20, cex = 2)
points(centroid1[1], centroid1[2], col = 2, pch = 4)
points(centroid2[1], centroid2[2], col = 3, pch = 4)</pre>
```



If we assign each observation to the centroid to which it is closest, nothing changes, so the algorithm is terminated at this step.

f.

```
plot(x[, 1], x[, 2], col=(labels + 1), pch = 20, cex = 2)
```



Question 4

- a. There is not enough information to tell. For example, if d(1,4)=2, d(1,5)=3, d(2,4)=1, d(2,5)=3, d(3,4)=4 and d(3,5)=1, the single linkage dissimilarity between {1,2,3} and {4,5} would be equal to 1 and the complete linkage dissimilarity between {1,2,3} and {4,5} would be equal to 4. So, with single linkage, they would fuse at a height of 1, and with complete linkage, they would fuse at a height of 4. But, if all inter-observations distance are equal to 2, we would have that the single and complete linkage dissimilarities between {1,2,3} and {4,5} are equal to 2.
- b. They would fuse at the same height. For example, if d(5,6)=2, the single and complete linkage dissimilarities between {5} and {6} would be equal to 2. So, they would fuse at a height of 2 for single and complete linkage.

Practicum Problems

Problem 1

```
class alcohol malic_acid ash alcalinity magnesium total_phenols flavanoids
##
## 1
             14.23
                         1.71 2.43
                                         15.6
                                                                  2.80
                                                    127
                                                                             3.06
## 2
         1
             13.20
                         1.78 2.14
                                         11.2
                                                    100
                                                                  2.65
                                                                             2.76
             13.16
                         2.36 2.67
                                         18.6
## 3
         1
                                                    101
                                                                  2.80
                                                                             3.24
## 4
         1
             14.37
                         1.95 2.50
                                         16.8
                                                    113
                                                                 3.85
                                                                             3.49
## 5
         1
             13.24
                         2.59 2.87
                                         21.0
                                                    118
                                                                 2.80
                                                                             2.69
             14.20
## 6
         1
                         1.76 2.45
                                         15.2
                                                    112
                                                                 3.27
                                                                             3.39
##
     nonfalvanoid roanthocyanins color intensity hue OD280/OD315 proline
             0.28
                            2.29
                                            5.64 1.04
                                                             3.92
## 1
                                                                      1065
## 2
             0.26
                            1.28
                                            4.38 1.05
                                                             3.40
                                                                      1050
             0.30
                            2.81
## 3
                                            5.68 1.03
                                                             3.17
                                                                     1185
## 4
             0.24
                            2.18
                                                             3.45
                                                                     1480
                                            7.80 0.86
## 5
             0.39
                            1.82
                                            4.32 1.04
                                                             2.93
                                                                      735
## 6
             0.34
                            1.97
                                            6.75 1.05
                                                             2.85
                                                                      1450
print("Mean")
## [1] "Mean"
#check the means of predictors
apply(data[,-1],2,mean)
##
           alcohol
                        malic_acid
                                                        alcalinity
                                               ash
                                                                          magnesium
##
        13.0006180
                         2.3363483
                                         2.3665169
                                                        19.4949438
                                                                         99.7415730
##
     total_phenols
                        flavanoids
                                      nonfalvanoid roanthocyanins color_intensity
##
         2.2951124
                         2.0292697
                                         0.3618539
                                                         1.5908989
                                                                          5.0580899
##
               hue
                       OD280/OD315
                                           proline
##
         0.9574494
                         2.6116854
                                       746.8932584
print("-----
----")
print("Varaince")
```

[1] "Varaince"

apply(data[,-1],2,var)

#check the variance of the predictors

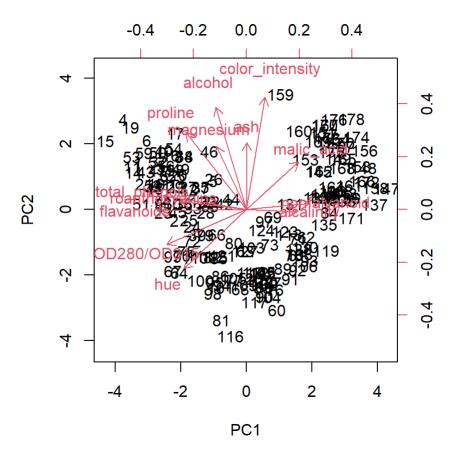
##	alcohol	malic_acid	ash	alcalinity	magnesium
##	6.590623e-01	1.248015e+00	7.526464e-02	1.115269e+01	2.039893e+02
##	total_phenols	flavanoids	nonfalvanoid	roanthocyanins	color_intensity
##	3.916895e-01	9.977187e-01	1.548863e-02	3.275947e-01	5.374449e+00
##	hue	OD280/OD315	proline		
##	5.224496e-02	5.040864e-01	9.916672e+04		

From the above mean and variance values it is clear that values are on different scale. So, we need to perform scaling before applying PCA to our dataset.

```
#using prcomp to perform PCA
output <- prcomp(data[,-1],scale=TRUE)
output$rotation</pre>
```

```
##
                      PC1
                                PC2
                                          PC3
                                                    PC4
                                                              PC5
## alcohol
               ## malic acid
                0.245187580 0.224930935
                                    0.08901289 -0.53689028 0.03521363
## ash
                0.002051061
                          ## alcalinity
                0.239320405 -0.010590502 0.61208035 -0.06085941 0.06610294
## magnesium
               -0.141992042 0.299634003 0.13075693 0.35179658 0.72704851
## total_phenols
               ## flavanoids
               -0.422934297 -0.003359812 0.15068190 -0.15229479 -0.10902584
## nonfalvanoid
                ## roanthocyanins
               ## color intensity
               0.088616705
                          0.529995672 -0.13730621 -0.06592568 -0.07643678
               ## hue
## OD280/OD315
               -0.376167411 -0.164496193   0.16600459 -0.18412074 -0.10116099
## proline
               ##
                     PC6
                               PC7
                                         PC8
                                                  PC9
                                                           PC10
## alcohol
                0.21353865 -0.05639636  0.39613926 -0.50861912  0.21160473
## malic acid
                         0.42052391 0.06582674 0.07528304 -0.30907994
                0.53681385
## ash
                0.15447466 -0.14917061 -0.17026002 0.30769445 -0.02712539
## alcalinity
               -0.10082451 -0.28696914 0.42797018 -0.20044931 0.05279942
## magnesium
                0.06787022
## total phenols
               -0.08412230 -0.02792498 -0.40593409 -0.28603452 -0.32013135
## flavanoids
               -0.01892002 -0.06068521 -0.18724536 -0.04957849 -0.16315051
## nonfalvanoid
               -0.25859401   0.59544729   -0.23328465   -0.19550132   0.21553507
## roanthocyanins
               -0.53379539   0.37213935   0.36822675   0.20914487
                                                      0.13418390
## color_intensity -0.41864414 -0.22771214 -0.03379692 -0.05621752 -0.29077518
                ## hue
## OD280/OD315
                0.26585107 -0.04476370 -0.07810789 -0.13722690 0.52370587
## proline
                0.11972557
                         0.07680450 0.12002267 0.57578611 0.16211600
##
                    PC11
                              PC12
                                        PC13
## alcohol
                0.22591696 -0.26628645 0.01496997
## malic acid
               -0.07648554 0.12169604
                                   0.02596375
## ash
               0.49869142 -0.04962237 -0.14121803
## alcalinity
               -0.47931378 -0.05574287 0.09168285
## magnesium
               -0.07128891 0.06222011 0.05677422
## total phenols
               -0.30434119 -0.30388245 -0.46390791
## flavanoids
                0.02569409 -0.04289883 0.83225706
## nonfalvanoid
               -0.11689586 0.04235219 0.11403985
## roanthocyanins
                0.23736257 -0.09555303 -0.11691707
## color intensity -0.03183880 0.60422163 -0.01199280
## hue
                0.04821201 0.25921400 -0.08988884
## OD280/OD315
               -0.04642330 0.60095872 -0.15671813
## proline
               -0.53926983 -0.07940162 0.01444734
```

#biplot
biplot(output,scale=0)

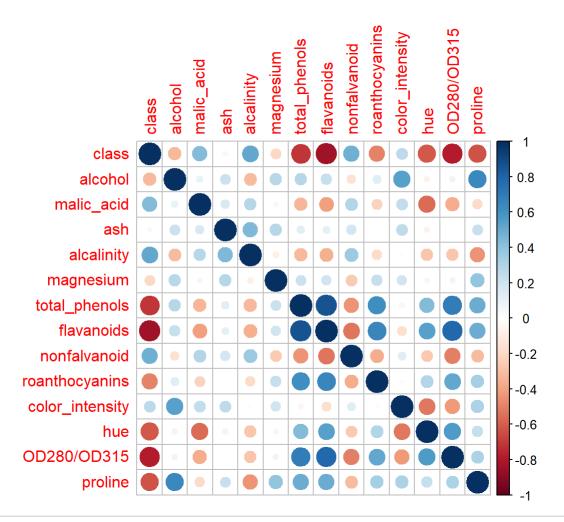


From the above plot we can see that feature malic_acid is pointed in opposite direction to the feature hue.

```
library(corrplot)

## corrplot 0.90 loaded

M <- cor(data)
corrplot(M)</pre>
```



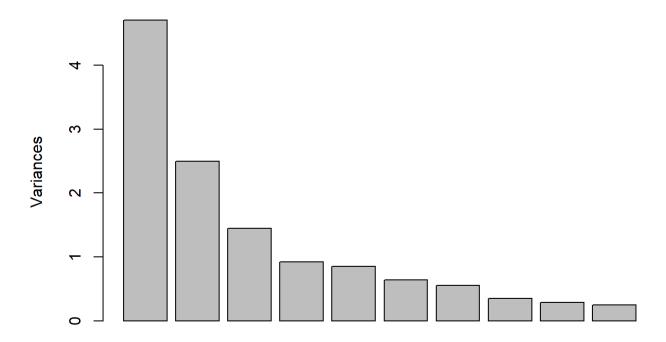
cor(data\$malic_acid,data\$hue)

[1] -0.5612957

From the correlation value between feature hue and malic acid it is clear that as the one variable increases the other variable decreases with the almost same extent.

screeplot(output)

output



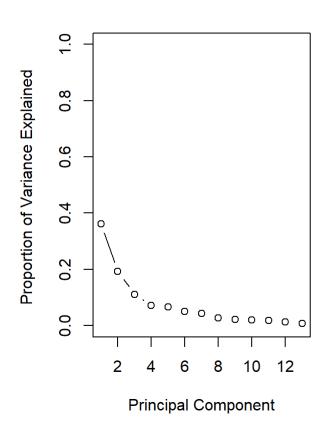
summary(output)

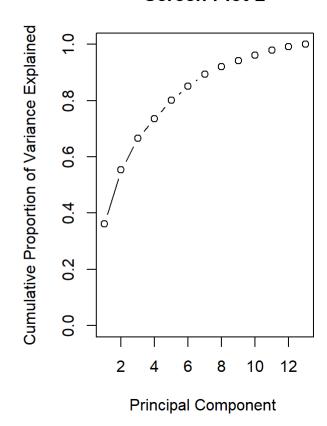
```
## Importance of components:
##
                            PC1
                                   PC2
                                          PC3
                                                  PC4
                                                           PC5
                                                                   PC6
                                                                           PC7
                          2.169 1.5802 1.2025 0.95863 0.92370 0.80103 0.74231
## Standard deviation
## Proportion of Variance 0.362 0.1921 0.1112 0.07069 0.06563 0.04936 0.04239
## Cumulative Proportion 0.362 0.5541 0.6653 0.73599 0.80162 0.85098 0.89337
##
                                            PC10
                              PC8
                                      PC9
                                                    PC11
                                                             PC12
                                                                     PC13
## Standard deviation
                          0.59034 0.53748 0.5009 0.47517 0.41082 0.32152
## Proportion of Variance 0.02681 0.02222 0.0193 0.01737 0.01298 0.00795
## Cumulative Proportion 0.92018 0.94240 0.9617 0.97907 0.99205 1.00000
```

#calculating proportion of variance for each principle component
variance <- output\$sdev^2
pve <- variance/sum(variance)</pre>

```
#screenplot
par(mfrow=c(1,2))
plot(pve, xlab="Principal Component", ylab="Proportion of Variance Explained ",ylim=c(0,1),type=
'b')
plot(cumsum(pve), xlab="Principal Component ", ylab=" Cumulative Proportion of Variance Explaine
d ",main="Screen Plot-2", ylim=c(0,1), type='b')
```

Screen Plot-2





#Proportion of variance expalined by PC1 and PC2
temp<-pve[1:2]*100
temp</pre>

[1] 36.19885 19.20749

sum(temp)

[1] 55.40634

Thus, from the above results it is clear that PC1 and PC2 has explained total of 55.40% of variance.

Problem 2

library("factoextra")

Loading required package: ggplot2

Welcome! Want to learn more? See two factoextra-related books at https://goo.gl/ve3WBa

library(tidyverse)

```
## -- Attaching packages ----- tidyverse 1.3.1 --
## v tibble 3.1.4 v dplyr 1.0.7
## v tidyr 1.1.3 v stringr 1.4.0
## v readr 2.0.1 v forcats 0.5.1
           0.3.4
## v purrr
## -- Conflicts ----- tidyverse_conflicts() --
## x dplyr::filter() masks stats::filter()
## x dplyr::lag() masks stats::lag()
#Load the dataset
data("USArrests")
#convert the dataset to a dataframe
data <- data.frame(USArrests)</pre>
print("Mean")
## [1] "Mean"
#checking the mean of the predictors
apply(data,2,mean)
    Murder Assault UrbanPop
##
                              Rape
##
     7.788 170.760 65.540
                             21.232
print("-----
----")
## [1] "-----
-----"
print("Varaince")
## [1] "Varaince"
#checking the variance of the predictors
apply(data,2,var)
##
      Murder
             Assault UrbanPop
                                      Rape
    18.97047 6945.16571 209.51878
##
                                  87.72916
```

In the above mean and variance values it is clear that values are on different scale. So, we need to perform scaling before applying k-means to our dataset.

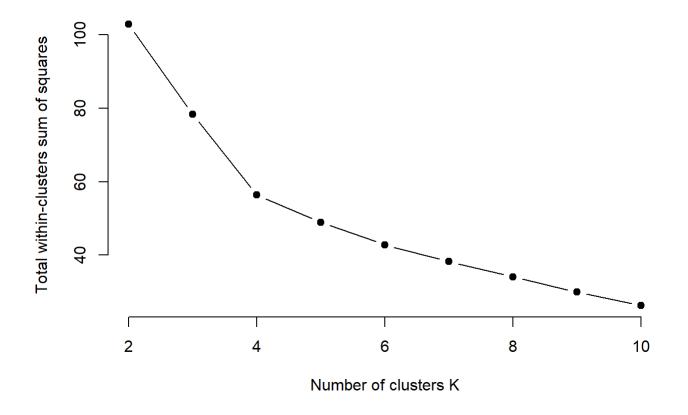
```
#scaling the dataset
n_data <- scale(data, center = TRUE, scale = TRUE)</pre>
```

```
#Applying K-Means
result <- function(k)
{
   kmeans(n_data,centers=k,nstart=20)$tot.withinss
}
# values of k form 2 to 10
k <- 2:10</pre>
```

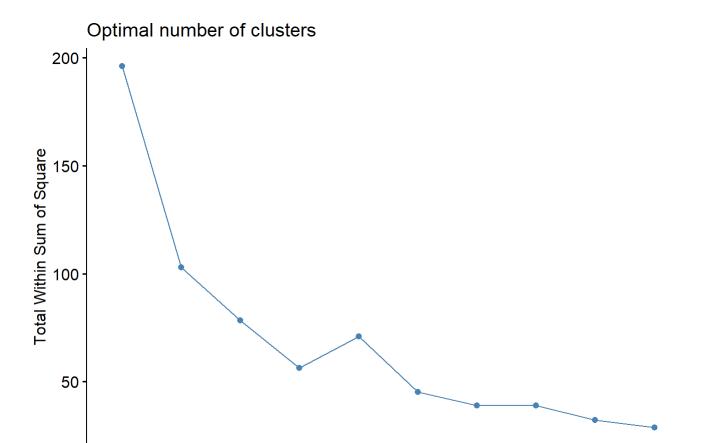
```
#compute total within-cluster sum of square values of k from 2 to 10
wss_val <- map_dbl(k, result)
wss_val</pre>
```

```
## [1] 102.86240 78.32327 56.40317 48.94420 42.83303 38.25764 34.10865
## [8] 29.94611 26.26171
```

```
#Using elbow method to find optimal K value
plot(k, wss_val,
    type="b", pch = 19, frame = FALSE,
    xlab="Number of clusters K",
    ylab="Total within-clusters sum of squares")
```



#another Method
fviz_nbclust(n_data, kmeans, method = "wss")

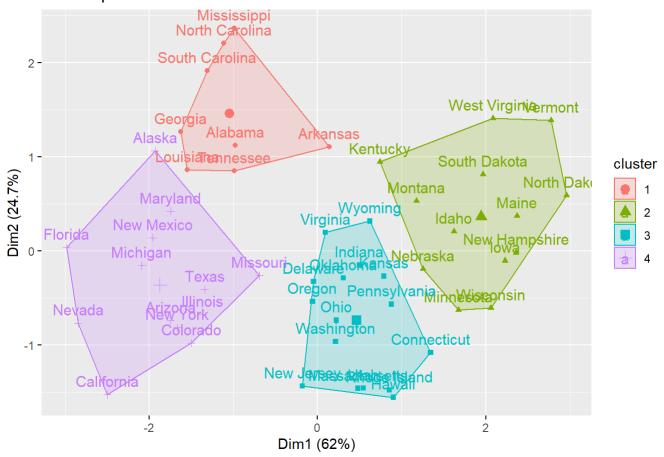


From the above two graph it is clear that if we consider major drop in total within-clusters sum of square values then the optimal value of k in this case will be 4.

Number of clusters k

```
#plots
optimal <- kmeans(n_data, centers = 4, nstart = 20)
fviz_cluster(optimal, data = n_data)</pre>
```

Cluster plot



Problem 3

```
URL <- "https://archive.ics.uci.edu/ml/machine-learning-databases/wine-quality/winequality-whit
e.csv"
wine <- read.csv(URL,sep=";")

#display dataset
head(wine)</pre>
```

```
fixed.acidity volatile.acidity citric.acid residual.sugar chlorides
##
                               0.27
## 1
               7.0
                                           0.36
                                                          20.7
                                                                   0.045
## 2
               6.3
                               0.30
                                           0.34
                                                           1.6
                                                                   0.049
## 3
               8.1
                               0.28
                                           0.40
                                                           6.9
                                                                   0.050
## 4
              7.2
                               0.23
                                           0.32
                                                           8.5
                                                                   0.058
## 5
              7.2
                               0.23
                                           0.32
                                                           8.5
                                                                   0.058
## 6
               8.1
                               0.28
                                           0.40
                                                           6.9
                                                                   0.050
     free.sulfur.dioxide total.sulfur.dioxide density pH sulphates alcohol
##
## 1
                      45
                                          170 1.0010 3.00
                                                                0.45
                                                                         8.8
## 2
                      14
                                          132 0.9940 3.30
                                                                0.49
                                                                         9.5
                                           97 0.9951 3.26
## 3
                      30
                                                                0.44
                                                                        10.1
## 4
                      47
                                          186 0.9956 3.19
                                                                0.40
                                                                         9.9
## 5
                      47
                                          186 0.9956 3.19
                                                                0.40
                                                                       9.9
## 6
                      30
                                           97 0.9951 3.26
                                                                0.44
                                                                        10.1
##
    quality
## 1
## 2
           6
## 3
           6
## 4
           6
## 5
           6
## 6
           6
#exclude quality variable
dataset <- wine[,-12]</pre>
print("Mean")
## [1] "Mean"
#check the mean
apply(dataset,2,mean)
##
          fixed.acidity
                            volatile.acidity
                                                      citric.acid
##
             6.85478767
                                  0.27824112
                                                       0.33419151
         residual.sugar
                                   chlorides free.sulfur.dioxide
##
##
             6.39141486
                                  0.04577236
                                                      35.30808493
## total.sulfur.dioxide
                                     density
                                                               рΗ
##
           138.36065741
                                  0.99402738
                                                       3.18826664
##
              sulphates
                                     alcohol
##
             0.48984688
                                 10.51426705
print("-----
----")
## [1] "-----
```

-----"

```
print("Varaince")
```

```
## [1] "Varaince"
```

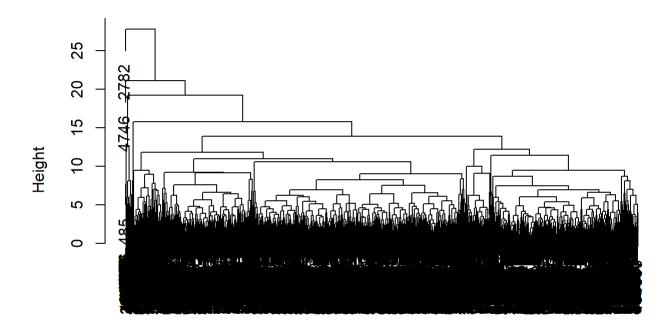
```
#check the variance
apply(dataset,2,var)
```

```
##
          fixed.acidity
                            volatile.acidity
                                                       citric.acid
           7.121136e-01
                                1.015954e-02
                                                      1.464579e-02
##
                                   chlorides free.sulfur.dioxide
##
         residual.sugar
##
           2.572577e+01
                                4.773337e-04
                                                      2.892427e+02
## total.sulfur.dioxide
                                     density
                                                                рН
           1.806085e+03
##
                                8.945524e-06
                                                      2.280118e-02
##
              sulphates
                                     alcohol
##
           1.302471e-02
                                1.514427e+00
```

In the above mean and variance values it is clear that values are on different scale. So, we need to perform scaling before applying holust to our dataset.

```
#apply scaling
n_dataset <- scale(dataset,center = TRUE,scale=TRUE)</pre>
```

```
#Performing hierarchical clustering using complete linkage
hc.complete <- hclust(dist(n_dataset),method="complete")
#dendogram of complete linkage
plot(hc.complete)</pre>
```



dist(n_dataset) hclust (*, "complete")

#Performing hierarchical clustering using single linkage
hc.single <- hclust(dist(n_dataset),method="single")
#dendogram of single linkage
plot(hc.single)</pre>



dist(n_dataset)
hclust (*, "single")

```
#for complete linkage
tail(hc.complete$height,1)
```

```
## [1] 27.73476
```

For complete linkage two penultimate clusters will merge at 27.73476

```
#for single linkage
tail(hc.single$height,1)
```

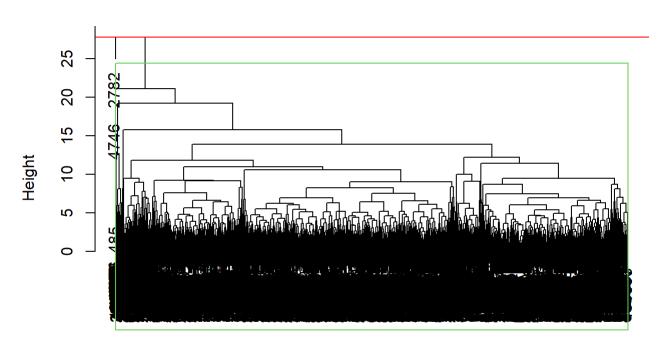
```
## [1] 14.25323
```

For single linkage two penultimate clusters will merge at 14.25325

```
#applying cutree method on complete linkage
cut.complete <- cutree(hc.complete,h=27.73476)
#Number of clusters formed
table(cut.complete)</pre>
```

```
## cut.complete
## 1 2
## 4897 1
```

```
plot(hc.complete)
rect.hclust(hc.complete ,h=27.73476, border = 2:6)
abline(h =27.73476, col = 'red')
```

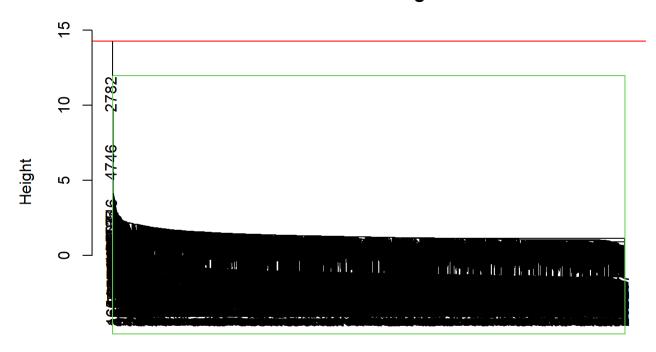


dist(n_dataset)
hclust (*, "complete")

```
#applying cutree method on single linkage
cut.single <- cutree(hc.single,h=14.25323)
#Number of clusters formed
table(cut.single)</pre>
```

```
## cut.single
## 1 2
## 4897 1
```

```
plot(hc.single)
rect.hclust(hc.single ,h=14.25323, border = 2:6)
abline(h =14.25323, col = 'red')
```



dist(n_dataset)
hclust (*, "single")

```
#summary Statistics for complete linkage
dataset$Clusters <- cut.complete
unique(dataset$Clusters)</pre>
```

```
## [1] 1 2
```

```
dataset <- dplyr::group_by(dataset,Clusters)
a <- dplyr::summarise_each(dataset, funs(mean))</pre>
```

```
## Warning: `summarise_each_()` was deprecated in dplyr 0.7.0.
## Please use `across()` instead.
```

```
## Warning: `funs()` was deprecated in dplyr 0.8.0.
## Please use a list of either functions or lambdas:
##
##
     # Simple named list:
     list(mean = mean, median = median)
##
##
     # Auto named with `tibble::lst()`:
##
     tibble::lst(mean, median)
##
##
     # Using lambdas
##
##
     list(~ mean(., trim = .2), ~ median(., na.rm = TRUE))
```

```
#Difference in feature means for complete linkage
abs(a[2,-1]-a[1,-1])
```

```
##
     fixed.acidity volatile.acidity citric.acid residual.sugar chlorides
         0.9454054
                         0.6868991
                                                      59.42072 0.02823341
## 1
                                      0.2658628
     free.sulfur.dioxide total.sulfur.dioxide
                                                              pH sulphates
##
                                               density
## 1
                27.31366
                                     21.64376 0.0449618 0.2017746 0.200194
##
      alcohol
## 1 1.185975
```

```
#summary Statistics for single linkage
dataset$Clusters <- cut.single
unique(dataset$Clusters)</pre>
```

```
## [1] 1 2
```

```
dataset <- dplyr::group_by(dataset,Clusters)
b <- dplyr::summarise_each(dataset, funs(mean))</pre>
```

```
abs(b[2,-1]-b[1,-1])
```

```
##
     fixed.acidity volatile.acidity citric.acid residual.sugar chlorides
         0.9454054
                         0.6868991
                                                     59.42072 0.02823341
## 1
                                     0.2658628
##
     free.sulfur.dioxide total.sulfur.dioxide
                                                              pH sulphates
                                               density
## 1
                27.31366
                                    21.64376 0.0449618 0.2017746 0.200194
##
     alcohol
## 1 1.185975
```

From the above results we can see that feature residual.sugar has maximum means difference. Also, from the above two plots of Complete and Single linkage we can conclude that Complete linkage produces more balanced clustering.