

TOWARDS A CONCURRENT IMPLEMENTATION OF KEYWORD SEARCH OVER
RELATIONAL DATABASES

by

Richard J.I. Drake

A Thesis Submitted in Partial Fulfillment
of the Requirements for the Degree of

Master of Science (M.Sc.)

in

The Faculty of Science

Computer Science

University of Ontario Institute of Technology

Supervisor: Dr. Ken Q. Pu

December 2013

© Richard J.I. Drake, 2013

Contents

1	Background (2 days)	1
2	A Tale of Two Data Models	2
2.1	Relational Model	2
2.1.1	Schema Group	4
2.1.2	Entity Group	6
2.1.3	Pros and Cons of the Relational Model	7
2.2	Document Model	10
2.2.1	Vectorization of Documents	11
2.2.2	Extending the Document Model	15
2.2.3	Approximate String matching	15
2.2.4	Pros and Cons of the Document Model	17
3	Best of Both Worlds	19
3.1	Encoding Named Tuples into Documents	19
3.2	Mapping of Entity Groups to Documents	20
3.3	Encoding an Entity Group as a Document Group	20
3.4	Encoding Attribute Values into Searchable Documents	21
3.5	Iterative Search Using Document Encodings	21
4	Along Came Clojure	22

4.1	Basic principles of functional programming (2 days)	22
4.1.1	Features of Clojure (2 days)	22
4.2	Search w/ Clojure	23
4.2.1	Thirdparty libraries (1 day, week 4)	23
4.2.2	Indexing of relational objects (5 days, week 5)	23
4.2.3	Keyword Search in document space (5 days, week 6)	23
4.2.4	Graph Search in document space (5 days, week 7)	23
5	Experimental Evaluation	24
5.1	Implementation	24
5.2	The data set	24
5.3	Runtime Evaluation	24
5.3.1	Methodology	25
5.4	Lessons learned	27
6	Conclusion (0 days)	29

List of Tables

2.1	Course relation	3
2.2	Results of the query in Fig. 2.4 on page 6.	6
2.3	Properties of the Course titled Human-Mutant Relations.	7
2.4	Properties of the Course titled Human-Mutant Relations.	15
2.5	Course document for MATH 360.	16

List of Figures

2.1	Subset of mycampus dataset schema	5
2.2	foreign key (FK) constraints on schema in Fig. 2.1 on page 5	5
2.3	Graph representation of relations (Fig. 2.1) and FK (Fig. 2.2)	5
2.4	Query to find section CRNs for a subject name.	6
2.5	Human-Mutant Relations entity group	7
2.6	Comparison between n -grams of G and G'	17
5.1	Growth of graph search times based on number of hops, plotted separately	27
5.2	Growth of graph search times based on number of hops, combined plot	28

List of Algorithms

1	N-GRAM(S, n, s)	16
---	-------------------------------	----

Acronyms

CSV comma-separated values. 27

FK foreign key. vi, 3–7

JSON JavaScript object notation. 27

RDBMS relational database management system. 8, 10

SQL structured query language. 5, 6, 18

TF-IDF term frequency and inverse document frequency. 12

List of Symbols

- schema graph** (G) graph representation of schema. 4, 6, 21
- entity group** (T) forest of **named tuples**. 6, 20–22
- document collection** (C) set of **documents**. 11–14, 16, 21
- terms** (T) set of unique **terms** in a **document collection**. 11, 13, 16
- document** (d) set of fields. 11–16, 18–20
- search query** (q) special case of **document**. 14–16, 18, 19
- field** (f) named sub-document in . 20
- term** (τ) unique term in **document collection**. 11–14, 18
- N number of documents in collection. 11
- database** (D) set of **relations**. 4, 6, 21
- relation** (r) set of named tuples. ix, 2–4, 6, 21
- named tuple** (t) ordered set of values. ix, 2–4, 6, 20–22
- attribute** (α) named column. 4, 6, 20
- key** (K) uniquely identifies a **named tuple** in a **relation**. 3

Chapter 1

Background (2 days)

Literature search on:

- DBExplore
- XRank
- BANKS
- ...

Chapter 2

A Tale of Two Data Models

The term “data model” refers to a notation for describing data and/or information. It consists of the data structure, operations that may be performed on the data, as well as constraints placed on the data [GUW09].

In this chapter we provide a formal definition of the relational data model, discuss its merits, its shortcomings, and contrast it to the document data model. Contrary to the relational model, the document model permits fast and flexible keyword search without requiring explicit domain knowledge of the data. In addition, we demonstrate the feasibility of encoding a relational model into a document model in a lossless manner.

2.1 Relational Model

In its most basic form, the relational data model is built upon sets and tuples. Each of these sets consist of a set of finite possible values. Tuples are constructed from these sets to form relations.

Definition 1 (Named Tuple). A named tuple t is an instance of a relation r , consisting of values corresponding to the attributes of r . For example,

Example 1. Given a tuple $t = \{\text{code} : \text{“CDPS 101”}, \text{title} : \text{“Human-Mutant Relations”}, \text{subject} : \text{“CDPS”}\}$, we denote the attributes of t as $\text{ATTR}[t] = \{\text{code}, \text{title}, \text{subject}\}$. The values are $t[\text{code}] =$

“CDPS 101”, $t[\text{title}] = \text{“Human-Mutant Relations”}$, and $t[\text{subject}] = \text{“CDPS”}$.

Definition 2 (Relation). A relation r is a set of named tuples, $r = \{t_1, t_2, \dots, t_n\}$, such that all the named tuples share the same attributes.

$$\forall t, t' \in r, \text{ATTR}[t] = \text{ATTR}[t'] \quad (2.1)$$

Example 2. An example Course relation, r , would be

$$r = \left\{ \begin{array}{lll} \{\text{code} : \text{“CDPS 101”}, & \text{title} : \text{“Human-Mutant Relations”}, & \text{subject} : \text{“CDPS”}\}, \\ \{\text{code} : \text{“CDPS 201”}, & \text{title} : \text{“Humans and You”}, & \text{subject} : \text{“CDPS”}\}, \\ \{\text{code} : \text{“MATH 360”}, & \text{title} : \text{“Complex Analysis”}, & \text{subject} : \text{“MATH”}\} \end{array} \right\}$$

Relations are typically represented as tables.

code	title	subject
CDPS 101	Human-Mutant Relations	CDPS
CDPS 201	Humans and You	CDPS
MATH 360	Complex Analysis	MATH

Table 2.1: Course relation

Definition 3 (Keys). Keys are constraints imposed on relations. A key constraint K on a relation r is a subset of $\text{ATTR}[r]$ which may uniquely identify a tuple. Formally, we say r satisfies the key constraint K , denoted as $r \models K$, subject to

$$\forall t, t' \in r, t \neq t' \implies t[K] \neq t'[K]$$

For example, in Table 2.1, the relation satisfies the key constraint $\{\text{code}\}$ or $\{\text{title}\}$, but not $\{\text{subject}\}$.

Definition 4 (Foreign Keys). A FK constraint applies to two relations, r_1, r_2 . It asserts that values of certain attributes of r_1 must appear as values of some corresponding attributes of r_2 . A FK constraint is written as

$$\theta = r_1(\alpha_{11}, \alpha_{12}, \dots, \alpha_{1k}) \rightarrow r_2(\alpha_{21}, \alpha_{22}, \dots, \alpha_{2k})$$

where $\alpha_{1i} \subseteq \text{ATTR}[r_1]$ and $\alpha_{2i} \subseteq \text{ATTR}[r_2]$. We say (r_1, r_2) satisfies θ , denoted as $(r_1, r_2) \models \theta$, if for every tuple $t \in r_1$, there exists a tuple $t' \in r_2$ such that $t[\alpha_{11}, \alpha_{12}, \dots, \alpha_{1k}] = t'[\alpha_{21}, \alpha_{22}, \dots, \alpha_{2k}]$.

We say r_1 is the source, while r_2 is the target.

Example 3. Suppose we have a relation $\text{Course}(\text{code}, \text{title}, \text{subject})$. We impose a **FK** constraint of

$$\theta = \text{Course}(\text{subject}) \rightarrow \text{Subject}(\text{id}) \quad (2.2)$$

which asserts $(\text{Course}, \text{Subject}) \models \theta$. Therefore, if

$$t = \{\text{code} : \text{"CDPS 101"}, \text{title} : \text{"Human-Mutant Relations"}, \text{subject} : \text{"CDPS"}\}$$

then $\exists! t' \in \text{Subject}$ such that $t'[\text{id}] = \text{"CDPS"}$.

Definition 5 (Relational Database). A relational database, D , is a named collection of relations (as defined by Definition 2 on the previous page), keys (as defined by Definition 3 on the preceding page), and foreign key constraints (as defined by Definition 4 on the previous page).

We use $\text{NAME}[D]$ to denote the name of D , $\text{REL}[D]$ the list of relations in D , $\text{KEY}[D]$ the list of key constraints of D , and $\text{FK}[D]$ the list of foreign key constraints of D .

2.1.1 Schema Group

Definition 6 (Schema Graph). If we view relations as vertices, and foreign key constraints as edges, a database D can be viewed as a *schema graph* G , formally defined as

$$\text{vertices} : V(G) = \text{REL}[D] \quad (2.3)$$

$$\text{edges} : E(G) = \text{FK}[D] \quad (2.4)$$

Example 4. Given the schema in Fig. 2.1 on the following page

and the **FK** constraints in Fig. 2.2 on the next page

we produce the schema graph in Fig. 2.3 on the following page

The relational data model is particularly powerful for analytic queries. Given the schema graph in Fig. 2.3 on the next page, one can formulate the following analytic queries in a query language known as **structured query language (SQL)**.

Subject(id, name)
 Course(code, title, subject)
 Term(id, name)
 Section(crn, term, course)

Figure 2.1: Subset of mycampus dataset schema

Course(subject) \rightarrow Subject(id)
 Section(term) \rightarrow Term(id)
 Section(course) \rightarrow Course(code)

Figure 2.2: FK constraints on schema in Fig. 2.1

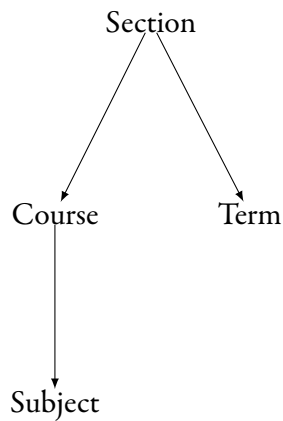


Figure 2.3: Graph representation of relations (Fig. 2.1) and FK (Fig. 2.2)

Example 5. Using **SQL**, find all section CRNs for the subject titled “Community Development & Policy Studies.”

The **SQL** query in Fig. 2.4 on the following page results in Table 2.2 on the next page.

```

1 SELECT section.crn
2 FROM   section
3         JOIN course
4         ON section.course_code = course.code
5         JOIN subject
6         ON subject.id = course.subject_id
7 WHERE  subject.name = 'Community Development & Policy Studies';

```

Figure 2.4: Query to find section CRNs for a subject name.

crn
10000
10001
10002

Table 2.2: Results of the query in Fig. 2.4.

2.1.2 Entity Group

Definition 7 (Entity Group). An entity group is a forest, T , of tuples interconnected by join conditions defined by the **FK** constraints in the schema graph G .

Given two vertices $t, t' \in V(T)$, $\exists r_1, r_2 \in \text{REL}[D]$ such that $t \in r_1$, $t' \in r_2$, and $(r_1, r_2) \in G$.

That is, t and t' belong to two relations that are connected by the schema graph.

Let $r_1(\alpha_{11}, \alpha_{12}, \dots, \alpha_{1k}) \rightarrow r_2(\alpha_{21}, \alpha_{22}, \dots, \alpha_{2k})$ be the **FK** that connects r_1, r_2 . We further assert that $t[\alpha_{11}, \alpha_{12}, \dots, \alpha_{1k}] = t'[\alpha_{21}, \alpha_{22}, \dots, \alpha_{2k}]$.

Entity groups define complex, structured objects that include more information than individual tuples in the relations.

Example 6. The information in Table 2.4 on page 15 all relates to the Course titled Human-Mutant Relations, however no single tuple in the database has all of this information as a result of database normalization.

We require an entity group (Fig. 2.5 on the next page) to join together all pieces of information

Attribute	Value
code	CDPS 101
title	Human-Mutant Relations
subject	Community Development & Policy Studies

Table 2.3: Properties of the Course titled Human-Mutant Relations.

related to this course.

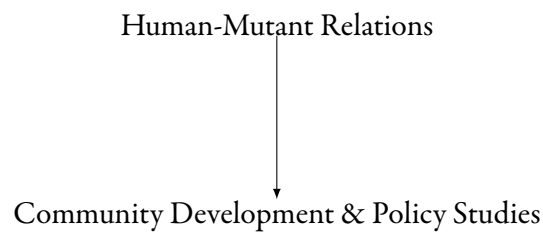


Figure 2.5: Human-Mutant Relations entity group

2.1.3 Pros and Cons of the Relational Model

In order to better understand the motivation behind this work, it is important to examine both the strong and weak points of the relational model.

Pros

The enforcement of constraints is essential to the relational model. There are several types of constraints, including uniqueness and **FKs**. The first constraint maintains uniqueness.

The Course relation (Table 2.1 on page 3) has the attribute **code** as its primary key. In order for other relations to reference a specific named tuple, the **code** attribute must be unique.

Example 7 (Unique Constraint). Attempt to insert another course with a **code** of “CDPS 101.”

```

1 INSERT INTO course
2 VALUES      ('CDPS 101',

```

```

3      'Mutant-Human Relations',
4      'CDPS');

```

The **relational database management system (RDBMS)** enforces the primary key constraint on the code attribute, rejecting the insertion.

Error: column code is not unique

With the uniqueness of named tuples guaranteed (as demonstrated in Example 7 on the previous page), we must ensure that any named tuples that are referenced actually exist. If they do not, the database must not permit the operation to continue. Doing so would lead to dangling references.

Example 8 (Referential Integrity). Attempt to insert the tuple (“CHEM 101”, “Introductory Chemistry”, “CHEM”) in the Course relation.

```

1  INSERT INTO course
2  VALUES      ('CHEM 101',
3               'Introductory Chemistry',
4               'CHEM');

```

Again we see the **RDBMS** protecting the integrity of the data.

Error: foreign key constraint failed

In addition to enforcing consistency, the relational model is capable of providing higher-level views of the data through aggregation.

Example 9 (Aggregation). Find the number of sections offered for the subject named “Community Development & Policy Studies.”

```

1  SELECT Count(*)
2  FROM    section
3         JOIN course
4         ON section.course = course.code
5         JOIN subject
6         ON subject.id = course.subject
7  WHERE   subject.name = 'Community Development & Policy Studies';

```

Information stored within a properly designed database is normalized. That is, no information is repeated.

Example 10 (Normalization). For example, suppose Emma Frost became headmistress and the subject named “Community Development & Policy Studies” was renamed to “Community Destruction & Policy Studies.” If this information were not normalized, each course in this subject would need to be updated. Since this information is normalized, the following query will suffice.

```
1 UPDATE subject
2 SET   name = 'Community Destruction & Policy Studies'
3 WHERE id = 'CDPS';
```

The above examples are some of the most important reasons for choosing the relational model over others. Unfortunately, the relational model is not without its downsides.

Cons

While the relational model excels at ensuring data consistency, aggregation, and reporting; it is not suitable for every task. In order to issue queries, a user must be familiar with the schema. This requires specific domain knowledge of the data.

An example of a complicated query involving two joins is give in Fig. 2.4 on page 6.

A casual user is unlikely to determine the correct join path, name of the tables, name of the attributes, etc. This is in contrast to the document model, where the data is semi-structured or unstructured, requiring minimal domain knowledge.

The relational model is also rigid in structure. If a relation is modified, every query referencing said relation may require a rewrite. Even a simple attribute being renamed (e.g. $\rho_{\text{name/alias}}(\text{Person})$) is capable of modifying the join paths. This rigidity places additional cognitive burden on users.

In addition to having a rigid structure, most relational database management systems lack flexible string matching options. Assuming basic SQL-92 compliance, a **RDBMS** only supports the **LIKE** predicate [ISO11].

Example 11 (LIKE Predicate). Find all courses with a title that contains “man.”

```

1 SELECT *
2 FROM   course
3 WHERE  title LIKE '%man%';

```

There are a couple of limitations to the LIKE predicate. First, it only supports basic substring matching. If a user accidentally searches for all courses with a title containing “men,” nothing would be found.

Second, unless the predicate is applied to the end of the string and the column is indexed, performance will be poor. The database must scan the entire table in order to answer the query, resulting in performance of $\mathcal{O}(n)$, where n is the number of named tuples in the relation.

2.2 Document Model

In contrast to the relational model, the document model represents semi-structured as well as unstructured data. Examples of information suitable to the document model includes emails, memos, book chapters, etc.

These pieces, or units, of information are broken into documents. Groups of related documents (for example, a library catalogue) are referred to as a document collection.

Definition 8 (Terms and Document). A term, τ , is an indivisible string (e.g. a proper noun, word, or a phrase). A document, d , is a bag of words; order is irrelevant.

Let $\text{freq}(\tau, d)$ be the frequency of term τ in d , \mathbf{T} denote all possible terms, and $\text{BAG}[\mathbf{T}]$ be all possible bag of terms.

Remark 1. We use the bag-of-words model for documents. This means that position information of terms in a document is irrelevant, but the frequency of terms are kept in the document. Documents are non-distinct sets.

Definition 9 (Document Collection). A document collection \mathcal{C} is a set of documents, written $\mathcal{C} = \{d_1, d_2, \dots, d_k\}$. The cardinality of \mathcal{C} is denoted by N .

Example 12. Consider the following short phrases

1. math 360 is a math class
2. cdps 101 is a boring lecture
3. mathematics lecture was great

Each sentence phrase produces a document, giving us the following

$$d_1 = \{\text{"math"} : 2, \text{"a"} : 1, \text{"is"} : 1, \text{"360"} : 1, \text{"class"} : 1\} \quad (2.5)$$

$$d_2 = \{\text{"a"} : 1, \text{"boring"} : 1, \text{"is"} : 1, \text{"cdps"} : 1, \text{"lecture"} : 1, \text{"101"} : 1\} \quad (2.6)$$

$$d_3 = \{\text{"mathematics"} : 1, \text{"great"} : 1, \text{"was"} : 1, \text{"lecture"} : 1\} \quad (2.7)$$

2.2.1 Vectorization of Documents

One of the most fundamental approaches for searching documents is to treat documents as high-dimensional vectors, and the document collection as a subset in a vector space. Search queries become a nearest neighbour search in a vector space using a distance metric.

The first step is to convert a bag of terms into vectors. The standard technique [MRS08] uses a scoring function that measures the relative importance of terms in documents.

Definition 10 (Term frequency and inverse document frequency (TF-IDF) Score). The term frequency is the number of times a term τ appears in a document d , as given by $\text{freq}(\tau, d)$. The document frequency of a term τ , denoted by $\text{df}(\tau)$, is the number of documents in \mathcal{C} that contains τ . It is defined as

$$\text{df}(\tau) = |\{d \in \mathcal{C} : \tau \in d\}|$$

The combined TF-IDF score of τ in a document d is given by

$$\text{tf-idf}(\mathcal{C}, \tau, d) = \frac{\text{freq}(\tau, d)}{|d|} \cdot \log \frac{N}{\text{df}(\tau)}$$

The first component, $\frac{\text{freq}(\tau, d)}{|d|}$, measures the importance of a term within a document. It is normalized to account for document length. The second component, $\log \frac{N}{\text{df}(\tau)}$, is a measure of the rarity of the term within the document collection \mathcal{C} .

Example 13. Using the documents from Example 12 on the previous page, the **TF-IDF** scores are as follows.

	d_1	d_2	d_3
τ_1 : “101”	0.0000	0.2642	0.0000
τ_2 : “360”	0.3170	0.0000	0.0000
τ_3 : “a”	0.1170	0.0975	0.0000
τ_4 : “boring”	0.0000	0.2642	0.0000
τ_5 : “cdps”	0.0000	0.2642	0.0000
τ_6 : “class”	0.3170	0.0000	0.0000
τ_7 : “great”	0.0000	0.0000	0.3962
τ_8 : “is”	0.1170	0.0975	0.0000
τ_9 : “lecture”	0.0000	0.0975	0.1462
τ_{10} : “math”	0.6340	0.0000	0.0000
τ_{11} : “mathematics”	0.0000	0.0000	0.3962
τ_{12} : “was”	0.0000	0.0000	0.3962

Definition 11 (Document Vector). Given a document collection \mathcal{C} with M unique terms $\mathbf{T} = [\tau_1, \tau_2, \dots, \tau_n]$, each document d can be represented by an M -dimensional vector.

$$\vec{d} = \begin{bmatrix} \text{tf-idf}(\tau_1, d) \\ \text{tf-idf}(\tau_2, d) \\ \vdots \\ \text{tf-idf}(\tau_n, d) \end{bmatrix}$$

Example 14. The documents in Example 12 on page 11 would produce the following vectors.

$$\vec{d}_n = \begin{bmatrix} \text{tf-idf}(\tau_1, d_n) \\ \text{tf-idf}(\tau_2, d_n) \\ \text{tf-idf}(\tau_3, d_n) \\ \text{tf-idf}(\tau_4, d_n) \\ \text{tf-idf}(\tau_5, d_n) \\ \text{tf-idf}(\tau_6, d_n) \\ \text{tf-idf}(\tau_7, d_n) \\ \text{tf-idf}(\tau_8, d_n) \\ \text{tf-idf}(\tau_9, d_n) \\ \text{tf-idf}(\tau_{10}, d_n) \\ \text{tf-idf}(\tau_{11}, d_n) \\ \text{tf-idf}(\tau_{12}, d_n) \end{bmatrix}, \vec{d}_1 = \begin{bmatrix} 0.0000 \\ 0.3170 \\ 0.1170 \\ 0.0000 \\ 0.0000 \\ 0.3170 \\ 0.0000 \\ 0.1170 \\ 0.0000 \\ 0.6340 \\ 0.0000 \\ 0.0000 \end{bmatrix}, \vec{d}_2 = \begin{bmatrix} 0.2642 \\ 0.0000 \\ 0.0975 \\ 0.2642 \\ 0.2642 \\ 0.0000 \\ 0.0000 \\ 0.0975 \\ 0.0975 \\ 0.0000 \\ 0.0000 \\ 0.0000 \end{bmatrix}, \vec{d}_3 = \begin{bmatrix} 0.0000 \\ 0.0000 \\ 0.0000 \\ 0.0000 \\ 0.0000 \\ 0.0000 \\ 0.3962 \\ 0.0000 \\ 0.1462 \\ 0.0000 \\ 0.3962 \\ 0.3962 \end{bmatrix}$$

Definition 12 (Search Query). A search query q is simply a document (as defined by Definition 8 on page 10). The top- k answers to q with respect to a collection C is defined as the k documents, $\{d_1, d_2, \dots, d_k\}$ in C , such that $\{\vec{d}_1, \vec{d}_2, \dots, \vec{d}_k\}$ are the closest vectors to \vec{q} using a Euclidean distance measure in \mathbb{R}^N .

Example 15. Given the search query $q = \{\text{math, lecture, was, great}\}$, compute the vector \vec{q} within

the document collection \mathcal{C} (as defined in Example 12 on page 11).

$$\vec{q} = \begin{bmatrix} 0.0000 \\ 0.0000 \\ 0.0000 \\ 0.0000 \\ 0.0000 \\ 0.0000 \\ 0.2500 \\ 0.1038 \\ 0.1038 \\ 0.2500 \\ 0.0000 \\ 0.0000 \end{bmatrix}$$

In order to determine the top- k documents for search query q , we need a way of measuring the similarity between documents.

Definition 13 (Cosine Similarity). Given two document vectors, \vec{d}_1 and \vec{d}_2 , the cosine similarity is the dot product $\vec{d}_1 \cdot \vec{d}_2$, normalized by the product of the Euclidean distance of \vec{d}_1 and \vec{d}_2 in \mathbb{R}^N . It is denoted as $\text{similarity}(\vec{d}_1, \vec{d}_2)$.

$$\text{similarity}(\vec{d}_1, \vec{d}_2) = \frac{\vec{d}_1 \cdot \vec{d}_2}{\|\vec{d}_1\| \cdot \|\vec{d}_2\|} \quad (2.8)$$

$$= \frac{\sum_{i=1}^N \vec{d}_{1,i} \times \vec{d}_{2,i}}{\sqrt{\sum_{i=1}^N (\vec{d}_{1,i})^2} \times \sqrt{\sum_{i=1}^N (\vec{d}_{2,i})^2}} \quad (2.9)$$

Recall we may represent search queries as documents and thus document vectors. Therefore we may compute the score of a document d for a search query q as

$$\text{similarity}(\vec{d}, \vec{q})$$

Example 16. Given the document collection \mathcal{C} (from Example 12 on page 11) and search query q , compute the similarity between q and every document $d \in \mathcal{C}$.

$$\text{similarity}(\vec{d}_1, \vec{q}) = 0.390890 \quad (2.10)$$

$$\text{similarity}(\vec{d}_2, \vec{q}) = 0.061592 \quad (2.11)$$

$$\text{similarity}(\vec{d}_3, \vec{q}) = 0.252789 \quad (2.12)$$

2.2.2 Extending the Document Model

In the extended document model, documents have fields, denoted as $\text{FIELD}[d]$, and each field has a value. Thus

$$d : \text{FIELD}[d] \rightarrow \text{BAG}[\mathbf{T}]$$

Example 17 (Semi-Structured Document). We see that d_1 is about MATH 360. The document contents are semi-structured, containing both a course code and the subject ID. By adding fields to the document, we are left with Table 2.5 on the following page.

Attribute	Value
code	CDPS 101
title	Human-Mutant Relations
subject	Community Development & Policy Studies

Table 2.4: Properties of the Course titled Human-Mutant Relations.

which is similar in structure to Table 2.4.

2.2.3 Approximate String matching

Definition 14 (N-Gram). An n -gram is a contiguous sequence of substrings of string S of length n . An algorithm for computing the n -gram of S is given in Algorithm 1 on the following page.

Field	Value
code	MATH 360
subject	MATH
body	math 360 is a math class

Table 2.5: Course document for MATH 360.

Algorithm 1 N-GRAM(S, n, s)**Require:** S is a string, $n \geq 1$, and s is a character**Ensure:** the list of n -grams of S

```

1:  $G \leftarrow []$ 
2:  $p \leftarrow \text{REPEAT}(s, n - 1)$ 
3:  $S \leftarrow \text{PAD}(S, p)$ 
4:  $S \leftarrow \text{REPLACE}(S, ' ', p)$ 
5: for  $i = 0$  to  $l - n + 1$  do
6:   append  $S[i, i + n]$  to  $G$ 
7: end for
8: return  $G$ 

```

Where l is the length of S , $\text{REPEAT}(S, n)$ repeats s character n times, $\text{PAD}(S, p)$ prefixes and postfixes S with p , and $\text{REPLACE}(S, s, p)$ replaces character s with p in string S .

Example 18. Given a string $S = \text{"human"}$, compute the trigram of S using Algorithm 1.

$$G = \{ \text{"$$h"}, \text{"$hu"}, \text{"hum"}, \text{"uma"}, \text{"man"}, \text{"an$"}, \text{"n$$"} \}$$

We use n -grams in order to permit approximate string matching.

Example 19. Given a string S (Example 18), let $S' = \text{"humans"}$. Compute the trigram of S' and compare it to S .

$$G' = \{ \text{"$$h"}, \text{"$hu"}, \text{"hum"}, \text{"uma"}, \text{"man"}, \text{"ans"}, \text{"ns$"}, \text{"s$$"} \}$$

Comparing G to G' results in the following matrix

As Fig. 2.6 on the next page shows, using n -grams yield a similarity of $\frac{5}{10}$.

	G	G'
$\tau_1 : \text{"ns\$"}$	0	1
$\tau_2 : \text{"n\$\$"}$	1	0
$\tau_3 : \text{"s\$\$"}$	0	1
$\tau_4 : \text{"ans"}$	0	1
$\tau_5 : \text{"man"}$	1	1
$\tau_6 : \text{"uma"}$	1	1
$\tau_7 : \text{"\$\$h"}$	1	1
$\tau_8 : \text{"hum"}$	1	1
$\tau_9 : \text{"\$hu"}$	1	1
$\tau_{10} : \text{"an\$"}$	1	0

Figure 2.6: Comparison between n -grams of G and G' .

2.2.4 Pros and Cons of the Document Model

There are numerous reasons to use the document model. The most significant reason is that it allows users without domain knowledge and working knowledge of a complex query language such as **SQL** to find information.

Example 20 (Simple Queries). Find all documents related to “mathematics” or “lecture”. The result of the query q would be

$$\text{query}(\text{"mathematics"}) \cup \text{query}(\text{"lecture"}) \rightarrow \{d_2, d_3\}$$

Users can also modify queries to require certain terms be present or not present.

Example 21 (AND Query). Find all documents containing both “mathematics” and “lecture”. This query would return the following set of documents

$$\text{query}(\text{"mathematics"}) \cap \text{query}(\text{"lecture"}) \rightarrow \{d_3\}$$

as only d_3 contains both terms.

Example 22 (NOT Query). Find all documents containing “mathematics” but not “lecture”. This query would return different results than Example 21 on the preceding page.

$$\text{query}(\text{“mathematics”}) \neg \text{query}(\text{“lecture”}) \rightarrow \emptyset$$

While none of the above queries required domain knowledge, it is possible to use the extended document model (Section 2.2.2 on page 15) to search specific fields. Doing so permits users to leverage their existing domain knowledge in order to achieve finer control over what documents are retrieved.

Example 23 (Extended Query). Find all documents with a subject of “MATH” that contain the term “class”.

$$\text{query}(\text{“subject”, “MATH”}) \cap \text{query}(\text{“class”}) \rightarrow \{d_1\}$$

Not only does the document model provide a familiar interface to search for information with, it also ranks the results. In the relational model a search for “mathematics” would return all named tuples that contained that term. In the document model, documents are ranked against the query q and the top- k documents are returned.

The advantage is that users have the result of q already ranked so only the most relevant documents may be explored. As the number of documents matching q for a large corpus can be high, showing only the top- k relevant documents may save the user a substantial amount of time.

The relational model does not permit approximate string matching. By utilizing the document model with n -grams (Section 2.2.3 on page 15), users who substitute, delete, or insert characters from the desired term may still receive results for their intended term (see Example 19 on page 16 for a demonstration of how n -grams overcome character insertion).

Unfortunately the document model does not support the concept of foreign keys (Definition 4 on page 3). While information is easily accessible due to flexible search, each document is a discrete unit of information. Aggregate queries are unsupported, as these units are not linked amongst one another.

Chapter 3

Best of Both Worlds

3.1 Encoding Named Tuples into Documents

Recall in the extended document model (Section 2.2.2 on page 15), a document d consists of fields f_1, f_2, \dots, f_n . Using the extended document model, we are left with a straight forward mapping of a tuple t to document d .

For tuple t , every attribute $\alpha \in \text{ATTR}[t]$ maps to a field f in document d . Every attribute value must be analyzed into an indexable form in order to store it in a field.

$$\text{ATTR}[t] \xrightarrow{\text{analyzed}} \text{FIELD}[d] \quad (3.1)$$

$$\alpha_1, \alpha_2, \dots, \alpha_n \xrightarrow{\text{analyzed}} f_1, f_2, \dots, f_n \quad (3.2)$$

We denote the document encoding of t as $\text{DOC}[t]$.

Example 24. Given the tuple

$$t = \{\text{code} : \text{"CDPS 101"}, \text{title} : \text{"Human-Mutant Relations"}, \text{subject} : \text{"CDPS"}\}$$

produce the document encoding $\text{DOC}[t]$.

$$\text{DOC}[t] = \left[\begin{array}{l} \text{code} : \{ \text{cdps}, 101 \} \\ \text{title} : \{ \text{human}, \text{mutant}, \text{relations} \} \\ \text{subject} : \{ \text{cdps} \} \end{array} \right]$$

3.2 Mapping of Entity Groups to Documents

Recall that an entity group (Definition 7 on page 6) is a forest T of tuples t such that for every $(t, t') \in T$, where $t \neq t'$, implies $\text{REL}[t] \neq \text{REL}[t']$. That is, every distinct tuple is from a distinct relation.

Given the restriction

$$\forall (r, r') \in G, \exists! (r, r') \models \theta$$

we assert that if t and t' are in the entity group T , then there is a foreign key constraint between t and t' . We denote the vertices of T as $V(T)$, and the edges of T as $E(T)$.

Example 25.

Claim 1. Given $V(T)$, we are always able to reconstruct T .

Proof. Given $V(T)$, we must reconstruct $E(T)$ in order to complete T .

Choose any $(t, t') \in V(T)$. If $(\text{REL}[t], \text{REL}[t']) \in GD$, then (t, t') is an edge in T .

Recall our earlier assertion that GD is cycle-free and foreign keys must be unique. □

3.3 Encoding an Entity Group as a Document Group

Given an entity group T , we construct two or more documents in order to represent the entity group in the document model.

For every $t \in V(T)$, we construct a document $\text{Doc}[t]$ (Section 3.1 on the previous page). With each tuple t stored in the document collection C , we construct an additional document which stores the association information.

Let x be the indexing document of T .

$$x[\text{“entities”}] = \bigcup_{t \in V(T)} \text{UID}[t]$$

Thus, the encoding of T is defined as

$$T \xrightarrow{\text{encode}} \{\text{Doc}[t] : t \in V(T)\} \cup \{x\}$$

It's easy to see that from $\text{encode}(T)$ we can recover $V(T)$, the tuples in T .

By Claim 1 on the preceding page, this is sufficient to recover T entirely.

3.4 Encoding Attribute Values into Searchable Documents

Each value for user selected attributes are converted into n -grams, and stored in special documents.

3.5 Iterative Search Using Document Encodings

A document database supports fast and flexible keyword search queries. A search query is characterized by $q = (f, w)$, where f is a field name, and w is a search phrase.

$\text{Search}[q]$ is the set of documents returned by the text index. The search function allows us to do many things:

1. Suggest values, correcting spelling errors
2. Given attribute values, search all relevant entities
3. Search for all relevant entity groups of one or more entities, using the indexing document
4. We can connect entities via entity groups using (hyper)graph search algorithms.

Chapter 4

Along Came Clojure

Talk about how great Clojure is.

4.1 Basic principles of functional programming (2 days)

- immutable data structures
- persistent data structures using multi-versioning
- functions (and higher order functions) as values

4.1.1 Features of Clojure (2 days)

- Data structures supporting the universal design pattern
- Concurrency + STM
- Interoperability with JVM (including Lucene)

4.2 Search w/ Clojure

4.2.1 Thirdparty libraries (1 day, week 4)

- Lucene

4.2.2 Indexing of relational objects (5 days, week 5)

- Schema definition
- Crawling using SQL
- Indexing using relational objects
- Fuzzy indexing of values (typed by classes)

4.2.3 Keyword Search in document space (5 days, week 6)

- Disambiguate keywords using fuzzy search (suggestion, overloaded terms)
- Flexibility keyword search for documents
- Translate search result back to relational space

4.2.4 Graph Search in document space (5 days, week 7)

- Why we need graph search
- Search in document graph using graph search algorithms with functional implementations:
(Ford Fulkerson, BFS)
- Speed up using concurrency
- Clojure specific optimization: ref + atom

Chapter 5

Experimental Evaluation

5.1 Implementation

- Choice of language
- Statistics about the code base: LOC, classes, ?
- Github hosted

5.2 The data set

- Description of the data set
- Statistics of the data set

5.3 Runtime Evaluation

Scripts were written to coordinate the execution, collection, and transformation of the performance data of our implementation.

5.3.1 Methodology

We used Criterium¹ to handle the execution of the benchmarks as it handles unique concerns stemming from benchmarking on the JVM. These include:

- Statistical processing of multiple evaluations
- Inclusion of a warm-up period, designed to allow the JIT compiler to optimize its code
- Purging of the garbage collector before testing, to isolate timings from GC state prior to testing
- A final forced GC after testing to estimate impact of cleanup on the timing results

Unfortunately this requires a much longer runtime as each function must be invoked numerous times. In extreme cases (Ford-Fulkerson, 8 hops) this can take upwards of 4 hours in our test environment.

Data Collection

Criterium provides us with a Clojure map with performance data. It performs analysis, presenting us with outliers, samples, etc. As this data collection process can take several hours or more, this data is collected and stored for offline analysis.

In order to utilize the Clojure output in Python, a data interchange format (JSON) is used. The benchmark function writes the Criterium performance analysis out as a JSON string to stdout and Python captures the output, JSONifies it, and stores it in an array. This array is written to disk in JSON as well so it can be loaded into the data transformation script.

For example:

```
[{"results": {...}, "method": "bfs", "max-hops": 1}, ...]
```

¹<http://hugoduncan.org/criterium/>

Data Processing

Several scientific computing libraries are used in the processing and visualization.

There are two forms the data takes:

- comma-separated values (CSV)
- JavaScript object notation (JSON)

The CSV data is generated from the JSON data which is generated as described in Section 5.3.1 on the previous page.

With the data loaded, we're interested in a handful of pieces of data per each entry.

- Max hops
- Method
- Mean execution time

We can easily load and parse the JSON data.

- Index speed
- Keyword search speed
- Graph search speed:
 - Ford Fulkerson
 - BFS
 - Concurrent BFS using refs
 - Concurrent BFS using atoms

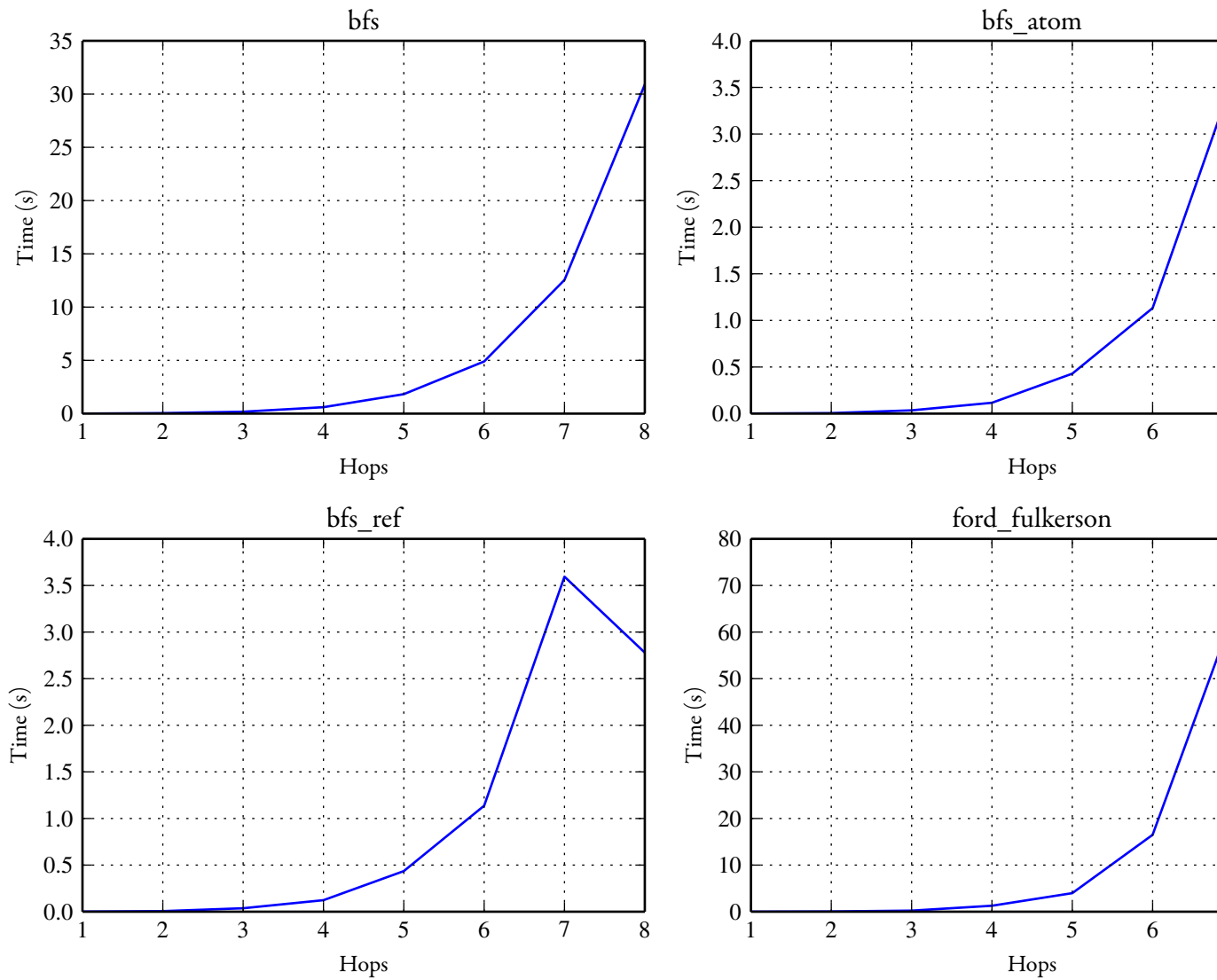


Figure 5.1: Growth of graph search times based on number of hops, plotted separately

5.4 Lessons learned

- Simple algorithms are easier to parallelize
- STM is effective: transactions do not rollback (that much), so we observe impressive speed-up in concurrent versions.
- Fine tuning is beneficial: atom is better than ref.

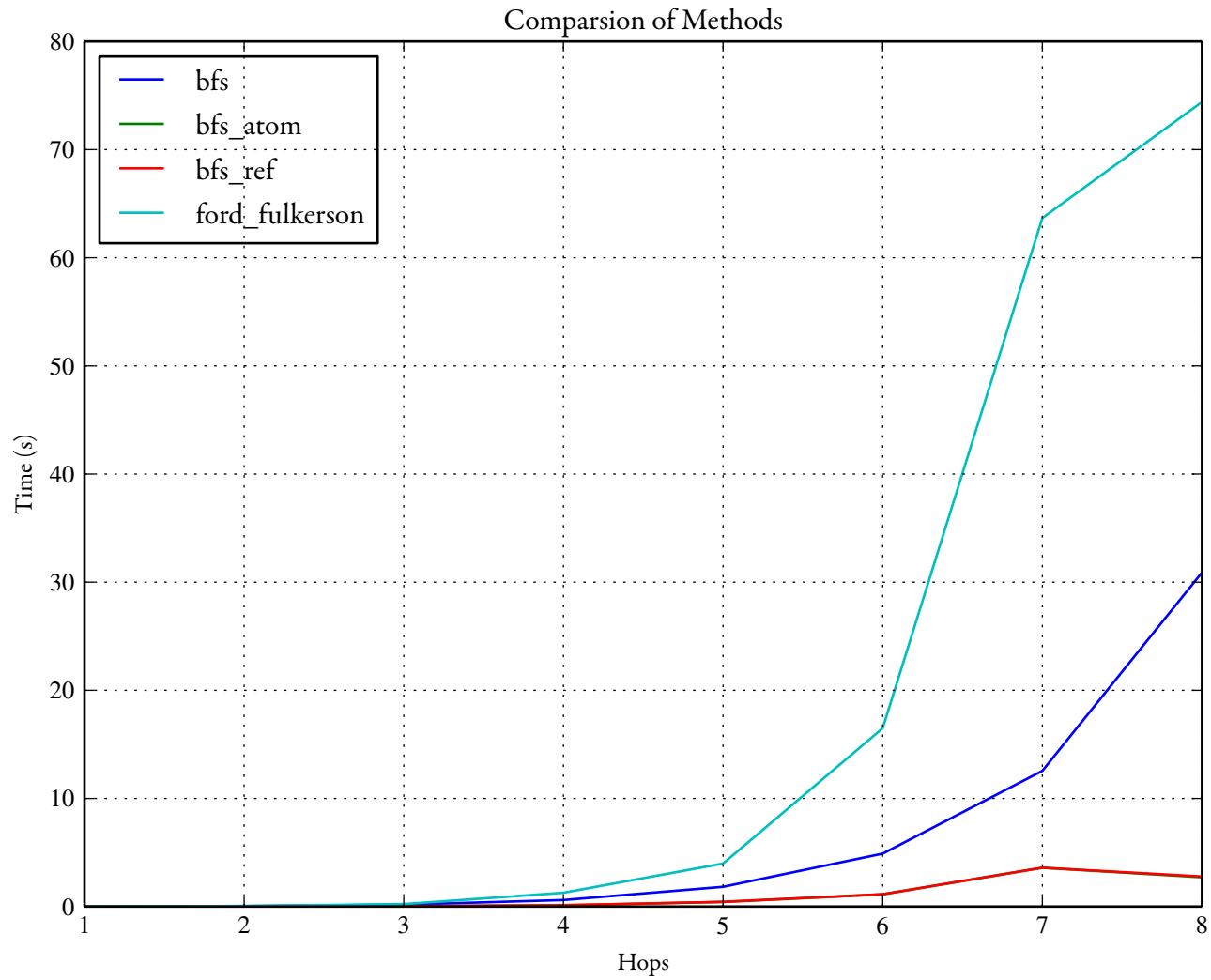


Figure 5.2: Growth of graph search times based on number of hops, combined plot

- The clojure way: correctness first, runtime optimization latter (ref to atom is natural).

Chapter 6

Conclusion (0 days)

Survived Clojure.

Bibliography

- [Cod69] E. F. Codd. Derivability, redundancy and consistency of relations stored in large data banks. *Ibm research report, san jose, california*, RJ599, 1969.
- [GUW09] Hector Garcia-Molina, Jeffrey D. Ullman, and Jennifer Widom. *Database systems - the complete book (2. ed.)*. Pearson Education, 2009.
- [ISO11] ISO. Information technology – database languages – sql – part 2: foundation (sql/foundation). ISO (ISO/IEC 9075-2:2011). Geneva, Switzerland: International Organization for Standardization, 2011.
- [MRS08] Christopher D. Manning, Prabhakar Raghavan, and Hinrich Schütze. *Introduction to information retrieval*. Cambridge University Press, New York, NY, USA, 2008. ISBN: 0521865719, 9780521865715.