An Approach for Keeping Views Synchronized when Refactoring KDM Models

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***Abstract*—Architecture-Driven Modernization (ADM) is a model-driven alternative to conventional reengineering processes that relies on the Knowledge-Discovery Metamodel (KDM) as the base for the whole process. Unlike conventional metamodels, KDM is capable of putting together different system abstractions (Code, Architecture, Business Rules, Data, Events) in an unique site and also retaining the dependencies among them. As it is known, central to modernization processes are the refactoring activities. However, most of existing model-based refactorings do not cope with propagation of the refactoring changes across other dependent abstraction levels, keeping all models synchronised. In this paper we present Propagation-Aware Refactorings (PARef), an approach for updating dependent models when specific elements are refactored. Our refactorings involve three main steps; the identification of all dependent elements, the refactoring of them and the propagation of changes in order to keep all the dependent models synchronised. We have conducted an evaluation that shows our refactorings reached good accuracy and completeness levels.**

I. Introduction

In 2003 the Object Management Group (OMG) created a task force called Architecture Driven Modernization Task Force (ADMTF). It aims to analyze and evolve typical reengineering processes, formalizing them and making them to be supported by models [2]. ADM advocates the conduction of reengineering processes following the principles of Model-Driven Architecture (MDA) [22][2], i.e., all software artifacts considered along with the process are models.

According to OMG the most important artifact provided by ADM is the Knowledge Discovery Metamodel (KDM). By means of it, it is possible to represent different system abstraction levels by using its models, such as source code (Source and Code models), Actions (Action model), Architecture (Structure Model) and Business Rules (Conceptual Model). The idea behind KDM is that the community starts to create parsers and tools that work exclusively over KDM instances; thus, every tool that takes KDM as input can be considered platform and language-independent, propitiating interchange among tools. For instance, a refactoring catalogue for KDM can be used for refactoring systems implemented in different languages.

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II. Architecture-Driven Modernization (ADM) and Knowledge Discovery Metamodel (KDM)

The growing interest in using MDA to manage software evolution [1]–[3] motived OMG to define the Architecture-Driven Modernization (ADM) initiative [4] which advocates carrying out the reengineering process considering models.

Figure 1 depicts the horseshoe model (i.e., horseshoe is basically a left-hand side, a right-hand side and a bridge between the sides) adapted to ADM. This horseshoe model contains three main phases. The first one is the **Reverse Engineering** that takes a legacy system to be modernized as input. Further the knowledge is extracted and a Platform-Specific Model (PSM) is generated. Next, this PSM serves as the basis for the generation of a Platform-Independent Language (PIM), which is called KDM. The second phase is the **Restructuring**, in which a set set of reengineering/refactoring can be applied into a KDM's instance by means of model transformations. The third phase is the **Forward Engineering** where a forward engineering is carried out and the source code of the modernized target system is generated.

To perform a systematic modernization as depicted in Figure 1, ADM introduces several modernization standards, among them there is the Knowledge Discovery Metamodel (KDM). KDM is an OMG specification adopted as ISO/IEC 19506 by the International Standards Organization for representing information related to existing software systems. The goal of the KDM standard is to define a metamodel to represent all the different legacy software artifacts involved in a legacy information system (e.g. Code, Architecture, Business Rules, Data, Events, etc.).

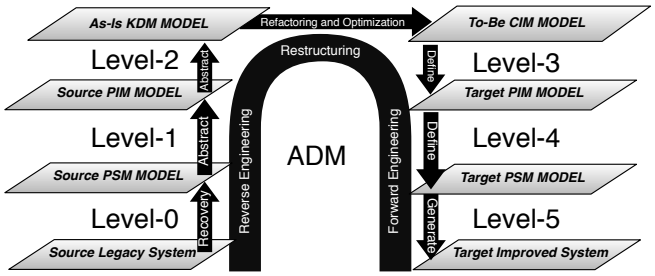

Fig. 1: Horseshoe Modernization Model [**?**].

KDM contains twelve packages and it is structured in a hierarchy of four layers: (*i*) Infrastructure Layer, (*ii*) Program Elements Layer, (*iii*) Runtime Resource Layer, and (*iv*) Abstractions Layer. These layers can be instantiated automatically, semi-automatically or manually through the application of various techniques of extraction of knowledge, analysis and transformations [4]. Figure 2 depicts the architecture of KDM and its layers. They are organized into packages that define a set of metamodel, whose purpose is to represent a specific and independent interest of knowledge related to legacy systems, e.g. source code, user interfaces, databases, business rules, etc.

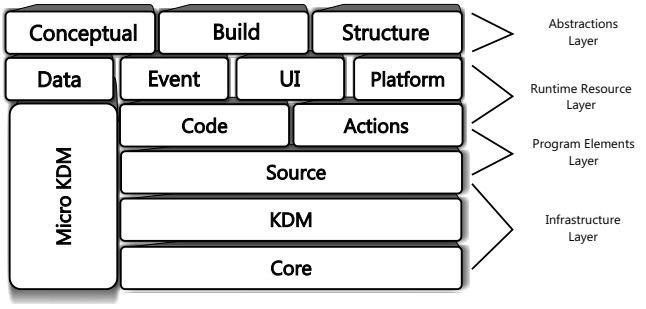

Fig. 2: KDM Architecture.

Although KDM is a metamodel to represent a whole system, its main purpose is not the representation of models related strictly to the source code nature such as Unified Modeling Language (UML). While UML can be used to generate new code in a top-down manner, an ADM-based process using KDM starts from the different legacy software artifacts and builds higher-abstraction level models in a bottom-up manner through reverse engineering techniques.

Figure 3 shows schematically the potential of KDM for representing four views of an Academic Management System: `Code View`, `Architecture View`, `Data View` and `Conceptual View`. `Code View` is the most primitive view, representing the source-code of the system. It contains three packages. In Figure 3 is is possible to see these packages: (*i*) `VIEW` ❶, (*ii*) `CONTROLLER` ❷, and (*iii*) `MODEL` ❸. Each of them contains a specific number of classes. The classes are related to each other by means of primitive relationships, such as: `Calls`, `Creates`, `Extends`, etc - represented by the symbol ★;

The `Structure Package` represents the architectural

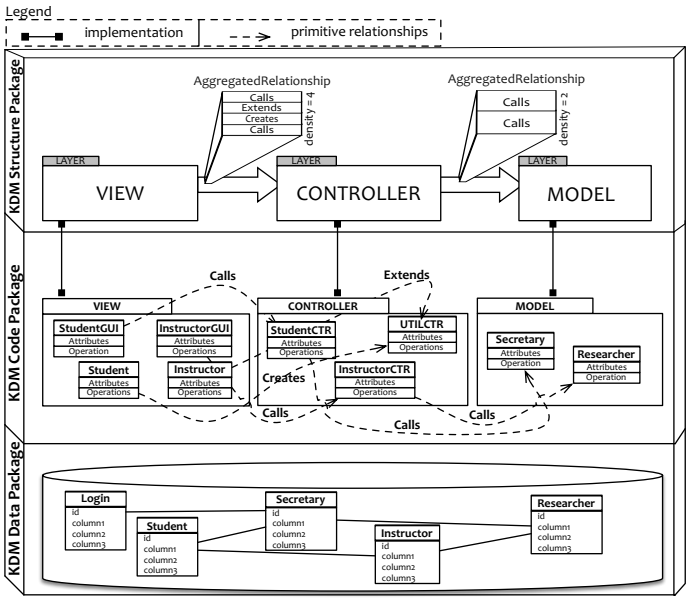

Fig. 3: System example.

view of the system. In this example the system is MVC-based and each rectangle represents a layer, i.e., `View` ❹, `Controller` ❺, and `Model` ❺.

The `View` layer is realized in source-code level by the package `VIEW`; the `Model` layer is realized by the package `Model` and the layer `Controller` by the `Controller` package. These realizations are represented in KDM by a relationship called `implementation`, represented in the figure by dashed arrows. KDM possesses a relationship type called `AggregatedRelationship` whose aim is to represent dependencies between architectural elements of from Structure Package. With this relationship is possible to put together several primitive relationships in a unique channel/pine. For example, in Figure 3, it is possible to see big pipes between the layers schematically representing the `AggregatedRelationship`. The `AggregatedRelationship` between the layer `View` and the layer `Controller` group the following relationships: two `Calls`, one `Creates` and one `Extends`. The number aside the `AggregatedRelationship` pipe is its "density", that represents the amount of primitive relationships the `AggregatedRelationship` involves. Summing up these relationships the "density" value is 4. Following the same idea the relationship between the layer `Controller` and layer `Model` is "density" value is 2;

The `Conceptual Package` represents the business rules view of the system. In this case, the system owns three scenarios named **X, Y and Z**, each of them associated with a package from Code Package by using the `implementation` relationship (dashed arrows). Further, each scenario contains a rule except the last one. Then, each rule is associated with a class from Code package, again using the association `implementation`;

Finally, the `Data Package` depicts the system's database

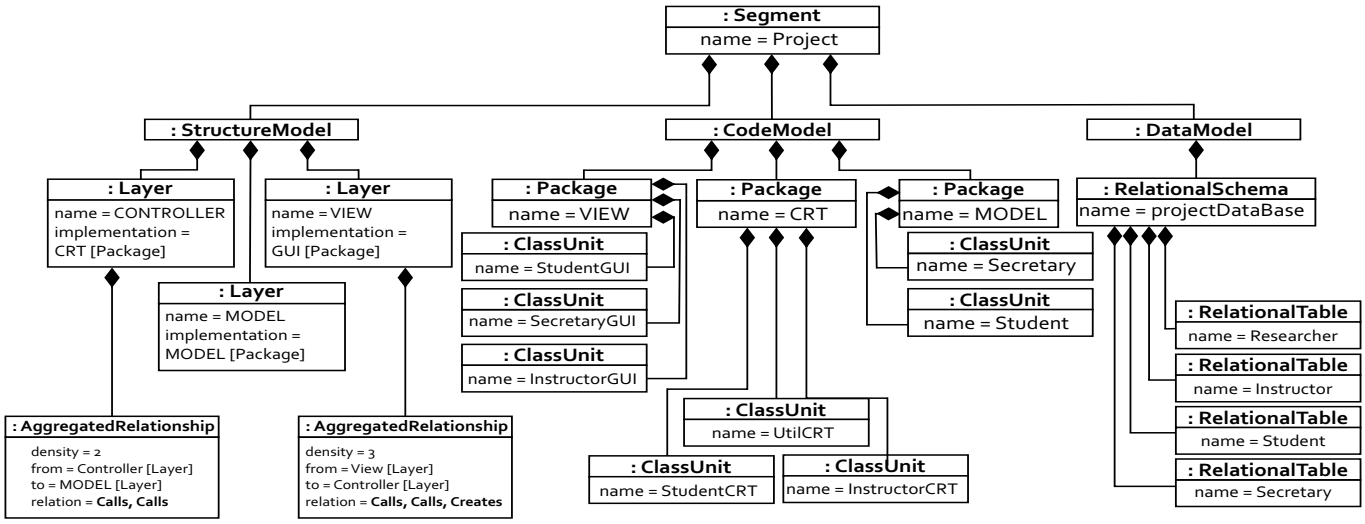

Fig. 4: A bird's eye view of a KDM's instance.

and its tables. Herein, it is possible to notice that the depicted system owns a set of Plain Old Java Objects (POJOS), they are: Student, Instructor, Secretary, and Researcher. All of these POJOS are also Object Relational Mapping (ORM), i.e., they are mapped to the Data package using the metaclasse RelationalTable.

A simplified and schematic representation of the system shown in Figure 3 can be realized in KDM as it is shown in Figure 4. As noted, a KDM's instance can be understood as a tree where we have a specially node called the root of the tree. Each nodes represent a metaclass that make up the system depicted in Figure 3. The edges represent the relationship between the metaclasses. The root is the metaclass `Segment`, then, there are four subtrees rooted at `StructureModel`, `CodeModel`, `ConceptualModel`, and `DataModel`, respectively. The tree rooted at `StructureModel` has three instance of the metaclass `Layer`, `CONTROLLER`, `VIEW`, and `MODEL` - they are connected by the metaclasses `AggregatedRelationship` (see Figure 3 and Figure 4). The tree rooted at `CodeModel` represents all elements of source-code. It contains three instance of the metaclass `Package` - `CONTROLLER`, `VIEW`, and `MODEL`, respectively. Each package contains a set of classes, for instance, the package `MODEL` has two instance of the metaclass `ClassUnit`, `Researcher`, and `Secretary`, respectively.

The tree rooted at `ConceptualModel` also has three subtree - herein represented by the metaclass `ScenarioUnit`. Further, each node of a tree is the root of a `RuleUnit`. Finally, the `DataModel` has one subtree - `RelationalSchema`, which represent the system's data base schema. It contains four subtree - `Secretary`, `Researcher`, `Instructor`, and `Student`, where each node is an instance of the metaclass `RelationalTable`.

III. Motivation

Considering the system described earlier it is possible to identify two refactoring opportunities. The first one is related to the `Student` and `Instructor` classes, which are erroneously in the `VIEW` package and should be moved to the `MODEL` package using the *Move Classes* refactoring. The second one is regarding the attributes of the `Student` class that represent an address. A possible structural improvement could be turned these attributes into a new class Address - so the *Extract Class* can be applied here.

However, these changes would rise a synchronization problem among all KDM's levels/packages. For instance, in both described refactoring it is necessary a skilled domain expert into KDM to identify all the metaclasses in the system which involve/reference the classes aforementioned and correct them respectively in all KDM packages.

In the matter of the refactoring *Move Class* (move `Student` and `Instructor` from `VIEW` package to `MODEL` package) changes should be propagated to the `Structure Package` and to the `Conceptual Package` to maintain the model synchronized. Regarding the `Structure Package`, the `density`, i.e., aggregation relation ship between the layer `View` and the layer `Controller` would change from 4 to 2 - once the primitives relationships `Create` and `Extends` would no longer exist from the package `VIEW` to the package `CONTROLLER`. On the other hand, the resulting of this refactoring would update the density between the layer `Model` and `Controller`, instead of 2 it should be 4, as `Creates` and `Extends` were also moved along with its classes, `Student` and `Instructor`. Concerning to the `Conceptual Package`, the RuleUnit_1.1 that is associated with `Instructor` should also be moved to corresponding scenario, i.e, the scenario that is associated with the package that contains now the class `Instructor` - ScenarioUnit_3.

About the refactoring *Extract Class*, the extracted class `Address` would be a POJO (it would be contained in `Model package` and it would also be an ORM - therefore, the action of this refactoring should be propagated throughout the `Data package`, i.e., the instance of `Address` should

be associated with a metaclass `RelationalTable`, and its attributes should be associated with of `ColumnSet`.

In the context of model-driven refactoring, if any change occurs at any KDM's subtree the change should be propagated to other elements. For instance, when the elements of `CodeModel` suffer any kind of changes, its instances, i.e., `ClassUnits`, `MethodUnits`, `StorableUnits`, etc, and related elements must be adapted accordingly so that their validity and correctness is preserved respectively. In addition, if we want to preserve others parts of KDM, like the system's structure and the business rules the `StructureModel` and `ConceptualModel` also need to adapt, respectively. In general, a change at one KDM's package/level should trigger a cascade of changes at other models. We call such sequences of adaptations change propagation.

Considering these KDM's models as individual artifacts lead up to refactoring of each affected model separately, causing synchronization problem among them. However, this is an error-prone solution since we need to apply a refactoring at any KDM's models and then propagate all change in order to keep a KDM instance synchronized.

IV. Propagation-Aware Refactorings

In order to fulfill the limitation pointed out, we introduce an approach that aims to propagate all the changes throughout the KDM's levels. This approach ensures that when a change/refactoring is performed in any KDM's level, it is correctly propagated to the affected KDM's levels and vice versa. So it make certain that the consistency between the KDM's levels when they are refactored. The described problem presented in Section III can, in our view, be split into three steps, which are depicted by its corresponding letters and tittle in Figure 5.

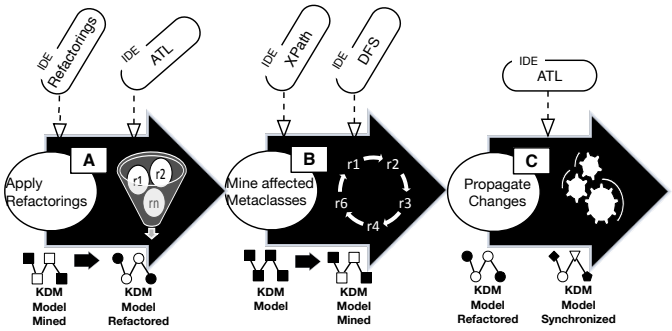

Fig. 5: Propagation-Aware Refactorings steps.

In step [A], *Apply Refactoring*, here the software modernizer has to choose an appropriate refactoring to be applied into the KDM. In this step, new metaclasses can be created, updated, and removed. Also it is necessary to gather all the needed parameters for applying the refactoring. This step uses M2M transformation language to perform the refactorings.

In the step [B], *Mine Affected Metaclasses*, we developed a mechanism which shows all metaclasses that need to be updated after applying any changes/refactoring. These metaclasses are those that have some dependence on the metaclass to be modified by the refactoring. This step is totally based on a set of queries that works on a KDM instance. In fact, this step uses depth-first search algorithm[1] to identify all affected metaclasses along with a set of queries.

In step [C], *Propagate Changes*, involves updating the elements identified in the step [B]. As in step [A], in this step we also have used M2M to update all KDM's instances. More details on each step are provided in the next sections.

A. Apply Refactoring

In the step [A] the engineer must apply a refactoring. A natural way of implementing refactoring in models is by means of *in-place transformations*[2] as describe in Section II. Going into more details, applying these transformations/refactoring into a KDM's instance can introduce incompatibilities and inconsistencies which can not be easily resolved. In fact, we can classified these transformations by their corrupting or non-corrupting effects:

- *non-breaking changes*: changes which do not break the KDM's instance - for instance, the refactoring rename;
- *breaking and resolvable changes automatically*: changes which do break the KDM instance, but can be resolved by automatic means - for instance, apply the refactoring *move class, extract class, push meta-attributes*, etc;
- *breaking and unresolvable changes automatically*: changes which do break the KDM instance and can not be resolved automatically - for instance, when manual interventions are needed.

These transformations can be performed by means of rule-based languages. Our approach uses ATL Transformation Language (ATL). The main advantage of using one ATL is that the transformation logic can be expressed at a high level of abstraction thus enhancing maintainability and understandability.

To express a transformation in our approach, the user must specify mapping rules that describe how KDM's elements model can be refactored. Further, the users should inform some input parameters that should be properly instantiated. For example, considering the refactoring *Move Class*, an ATL transformation that could perform this task is depicted in Figure 6.

By inspecting this transformation/refactoring we can see important informations. For instance, lines 1 to 5 illustrate which KDM's level/packages are be affected by this transformation. After, in line 6 the refactoring's name is defined, *Move Classes*. Lines 7 and 8 represent the output and input models that conform to the KDM, e.g., the model used during the transformation/refactoring. With the `refining` mode (see line 8), the ATL engine can focus on the ATL code dedicated to the generation of modified target elements. Other KDM

[1]From here on in Dependents Identification Algorithm (DI Algorithm)

[2]We have devised a repository where a set of *in-place transformations* (i.e., refactoring) is available. The repository can be accessed in www.site.com.br. It aims is to share refactoring to be applied into KDM's instances.

```
1  -- @atlcompiler atl2010
2  -- @nsURI MM=http://www.eclipse.org/MoDisco/kdm/code
3  -- @nsURI MM1=http://www.eclipse.org/MoDisco/kdm/structure
4  -- @nsURI MM2=http://www.eclipse.org/MoDisco/kdm/kdm
5  -- @nsURI MM3=http://www.eclipse.org/MoDisco/kdm/core
6  module moveClasses;
7  create OUT : MM, OUT1 : MM1, OUT2 : MM2, OUT3 : MM3
8  refining IN : MM, IN1 : MM1, IN2 : MM2, IN3 : MM3;
9
10
11 rule moveClass {
12  from
13       source : MM!Package (source.name = '#parameter')
14  to
15       target: MM!Package (
16            codeElement <- Sequence{thisModule.moveClassUnit('#parameter'),
17             thisModule.moveClassUnit('#parameter')... }
18       )
19  }
20
21  helper def : moveClassUnit (className : String) :
22     MM!ClassUnit = MM!ClassUnit.allInstances()->any(e | e.name = className);
```

Fig. 6: Chunk of code in ATL to perform the refactoring *Move Class*.

elements (e.g. those that remain unchanged) are implicitly processed by the ATL engine.

Line 11 a matched rule is defined. Occurrences of the input pattern may be filtered by introducing a *guard*, a boolean condition that KDM model must satisfy (e.g., line 13). Lines 14 though 19 the refactoring *Move Class* is actually defined. As can be seen, we are moving a set of `ClassUnit` by means of the helper (defined in lines 21 and 22), i.e., helpers in ATL are like methods/procedures in programing languages. Almost all refactorings need some input parameters that should be properly instantiated by the user. For instance, consider the chuck of code written in ATL depicted in Figure 6, lines 13, 16, and 17 (`#parameter`). Therefore, before to apply the refactoring, the engineer should specify all the parameters. Considering our running example (depicted in Figure 3) the parameters would be: `Model`, `Student`, and `Instructor`, respectively. Afterward, this ATL is ready to be applied into a KDM instance. We have devised an Eclipse plugin to help the engineer to specify these parameters.

B. Mine Affected Metaclasses

The step [B] starts with our DI Algorithm that aims to identify all metaclasses and its relationships that use somehow the metaclass(es) that were refactored in step [A]. As input all the metaclasses that were used to apply an specific refactoring is needed. In addition, our DI Algorithm uses a set of queries that are performed on the KDM's instance to mine all the affected/linked metaclasses. All the queries were created using XPath. We have decided to use XPath because it is a well-know and well-documented language.

Concerning the running example depicted in Figure 3 the engineer aimed to apply the refactoring *Move Class* - both classes `Student` and `Instructor` should not longer be contained in the package `View`. These classes should be allocated into the package `Model`. Considering the refactoring *Move Class*, three elements (`Student`, `Instructor`, and their package) need to be investigated throughout the KDM's instance in order to identify which other metaclasses can be affected.

Therefore, firstly a query must be executed to get the root element in KDM. This query is represented as the first statement in Figure 7, see line 1 - it is used to return an instance of the metaclass `Segment`. The returned Segment, as well as all KDM's levels are gathered by the other queries presented in Figure 7 lines 2 to 5. The returned elements of these queries are used as input in our depth-first search algorithm.

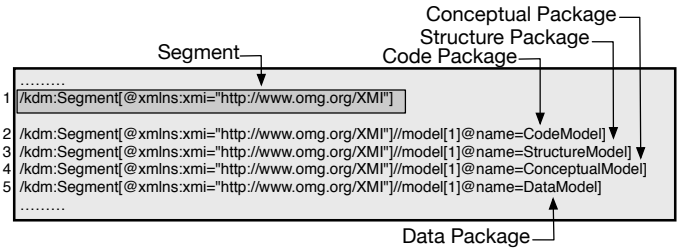

Fig. 7: Xpath used to return the KDM's root element, Segment.

Algorithm 1: DFS(G,u) - Depth-First Search Algorithm.

Input: DFS (G, u, eL) where G is a KDM's instance, u is the initial metaclass, i.e., Segment, and eL is a set of elements to verify

Output: A collection of affected metaclasses

```
1  begin
2      foreach outgoing edge e = (u, v) of u do
3          if vertex v as has not been visited then
4              if vertex v contain implementation = true
               then
5                  foreach implementations element do
6                      verify all elements in implementation
7                  end
8                  Mark vertex v as visited (via edge e).
                   Recursively call DFS (G, v).
9              end
10         end
11     end
12 end
```

Algorithm 1 depicts the DI Algorithm that is used to mine all the affected metaclasses. It takes as input a KDM's instance, a `Segment`, and a set of elements that were refactored in Step [A] (e.g., for the refactoring *Move Class* three affected elements - `Student`, `Instructor`, and their package).

More specifically, the algorithm works as follows: first it is necessary to pick a starting point, i.e., the metaclass `Segment`. Visit the `Segment`, push it onto a stack, and mark it as visited. Then it is necessary to go to the next metaclass that is unvisited, verify if it has an association named `implementation`. If yes, it verifies if this association contains references to any element's used in the refactoring, if yes - push it on the stack, and mark it. This continues until

the algorithm reachs the last metaclass. Then the algorithm checks to see if the `Segment` has any unvisited adjacent metaclass. If it does not, then it is necessary to pop it off the stack and check the next metaclass. If the algorithm finds one (unvisited metaclass), it starts visiting adjacent metaclasses until there are no more, check for more unvisited adjacent metaclasses, and continue the process always verifying the association named implementation. When the algorithm finally reach the last metaclass on the stack and there are no more adjacent, unvisited metaclasses that contains the association `implementation` without check , our algorithm should show a list of all affected metaclasses.

C. Propagate Changes

In this step, all propagations regarding an specific refactoring, e.g., *Move Class*, are implemented. Similarly to the step [A], this step is also defined by means of a set of ATL rules. Figure 8 shows an ATL used to propagate the changes regarding the refactoring *Move Class*. As can be seen, there are three rules - each of them is used to propagated the change in a specific KDM package, respectively. The first rule is responsible to propagate the changes throughout the `Structure Package`, see lines 24 to 32. In line 26 the source pattern of the rules is defined by using OCL guard stating the layers to be matched. After, is defined a target pattern (lines 29 -31) which is used to compute the `density` of an `AggregationRelationship` after the application of the refactoring *Move Class*.

The rule defined in lines 33 to 43 propagates the changes throughout the `Conceptual Package`. For instance, the `RuleUnit 1.1` that is associated with `Instructor` should also be moved to corresponding scenario, i.e, the scenario that is associated with the package that contains now the class `Instructor - ScenarioUnit 3`.

Finally, the rule defined in lines 44 - 65 aims to propagate the change to the `Data Package`. For each moved `ClassUnit`, a `RelationalTable` instance has to be created. Their names have to correspond. The `itemUnit` reference set has to contain all `ColumnSet` that have been created for each `StorableUnit` (metaclass that represent all the attributes that a class holds) as well as its types.

```
.....
24 rule propagationStructure {
25  from
26       source : MM1!Layer (source.allInstance
27            -> select(e | e.refImmediateComposite = '#parameter'))
28  to
29       target : MM1!Layer (
30        aggregated <- thisModule.getDensityAggregation(target.aggregated)
31       )
32 }
33 rule propagationScenario {
34
35  from
36       source : MM5!RuleUnit (source.name = '#parameter')
37  to
38       target: MM5!ScenarioUnit (
39        conceptualElement <-
40             Sequence{thisModule.getRuleUnit('#parameter')...}
41       )
42
43 }
44 rule propagationDataPackage {
45  from
46       source : MM!ClassUnit (source.allInstances()
47            -> select(e | e.refImmediateComposite = '#parameter')
48            ->collect(e | e.name = '#parameter' or e.name = '#parameter'))
49  to
50       target: MM4!RelationalTable
51       (
52            name <- source.name,
53            itemUnit <- Sequence {columns} ->
54                     union(source.codeElement->
55                          select(e | e.oclIsTypeOf(MM!StorableUnit)))
56       ),
57       columns : MM4!ColumnSet (
58            name <- '#parameter',
59            type <- if (source.type.oclIsUndefined()) then
60                          OclUndefined
61            else
62                          source.type->getType()
63            endif
64       )
65 }
....
```

Fig. 8: Chunk of code in ATL to perform the propagation after the application of refactoring *Move Class*.

V. Evaluation

This section describes the experiment used to evaluate the change propagation effectiveness of our approach. In fact, two experiment were conducted. The first experiment is called ”Mining Study“ and was planned to identify the effectiveness of our mining algorithm. Therefore, we have compared its result with an oracle in order to verify its correctness. The second experiment is referred as “Propagation Study” and was planned to evaluated the correctness of the propagation given a set of refactorings. In addition, we have worked out two research questions, as follows:

RQ$_1$: Given some specific elements to be refactored, is the mining algorithm able to identify correctly all the dependent KDM elements?

RQ$_2$: Given a specific refactoring R, are all dependent elements identified in the oracle correctly refactored?

A. Goal Definiton

We use the organization proposed by the Goal/Question/-Metric (GQM) paradigm, it describes experimental goals in five parts, as follows: (*i*) **object of study:** the object of study is our approach; (*ii*) **purpose:** the purpose of this experiment is to evaluate the effectiveness of our approach, i.e, our mining affected metaclasses and the propagation of changes; (*iii*) **perspective:** this experiment is run from the standpoint of a researcher; (*iv*) **quality focus:** the primary effect under investigation is the precision and recall after applying the mining algorithm and a set of refactorings; (*v*) **context:** this experiment was carried out using Eclipse 4.3.2 on a 2.5 GHz Intel Core i5 with 8GB of physical memory running Mac OS X 10.9.2. The experiment can be defined as: **Analyze** the effectiveness of both the change propagating of our approach and the mining affected metaclasses, **for the purpose of** evaluation, **with respect to** precision and recall, **from the point of view of** the researcher, **in the context of** a subject program.

B. Hypothesis Formulation

In order to accomplish our goal, we explored the formalization of our research questions into the following hypotheses:

TABLE I: Hypotheses for the Mining Study

H_0	There is no difference in pattern recognition before and after to apply our mining affected metaclasses algorithm into the KDM model (measured in terms of the metric precision (P) and recall (R)) which can be formalized as: **H_0:** $\mu_{P_{Bf}} = \mu_{P_{Af}}$ **and** $\mu_{R_{Bf}} = \mu_{R_{Af}}$
H_1	There is a significant difference in pattern recognition before and after to apply our mining affected metaclasses algorithm into the KDM model (measured in terms of the metric precision (P) and recall (R)) which can be formalized as: **H_1:** $\mu_{P_{Bf}} \neq \mu_{P_{Af}}$ **and** $\mu_{R_{Bf}} \neq \mu_{R_{Af}}$

TABLE II: Hypotheses for the Propagation Study

H_0	There is no difference in propagation of changes before and after to apply a refactoring into the KDM model (measured in terms of the metric precision (P) and recall (R)) which can be formalized as: **H_0:** $\mu_{P_{Bf}} = \mu_{P_{Af}}$ **and** $\mu_{R_{Bf}} = \mu_{R_{Af}}$
H_1	There is a significant difference in propagation of changes before and after to apply a refactoring into the KDM model (measured in terms of the metric precision (P) and recall (R)) which can be formalized as: **H_1:** $\mu_{P_{Bf}} \neq \mu_{P_{Af}}$ **and** $\mu_{R_{Bf}} \neq \mu_{R_{Af}}$

There are two variables shown on each table: 'P' and 'R'. 'P' stands for Precision which is the ratio of the number of true positives retrieved/identified to the total number of irrelevant and relevant code elements retrieved/propagated. It is usually expressed as a percentage, see equation 1. R denotes Recall which is the ratio of the number of true positives retrieved/propagated to the total number of relevant code elements in the source code. It is usually expressed as a percentage, see equation 2.

$$Precision = \frac{TruePositives}{TruePositives + FalsePositives} \quad (1)$$

$$Recall = \frac{TruePositives}{TruePositives + FalseNegatives} \quad (2)$$

C. Experiment Desing

For our evaluation, we need firstly transform a system into a KDM instance to apply our approach. However, due to the scarcity of complete KDM instances in the public domain, we adopted a reverse engineering approach and generated KDM instance from one system developed in Java by using MoDisco. This system is called LabSys (Laboratory System) and it is currently used by Federal University of Tocantins (UFT) for. It is used to control the use of laboratories in the entire university. LabSys was defined using the MVC architectural pattern. It contains a total of 15 packages, 113 classes, and 1307 methods. It is composed by three layers: `model`, `view`, and `controller`. Layer `model` owns the DTO (Data Transfer Objects) and DAOs (Data Access Objects), which is represented by `Data Package`. DTO represents domain entities such as laboratories, equipments, reservations, etc. DAO is the classes that performs the database access. Layer controller is responsible for the business rules that communicates directly with model layer. Finally, view layer is the part of the software system that performs direct interaction with the user and uses the resources of controller layer. In fact, we selected this system for our validation because its code have been devised by one of the authors of this paperdetected and analyzed manually

Currently, MoDisco only generates the KDM code package, other KDM packages are extremely important to evaluate our approach. Therefore, we have manually instantiated the followings KDM packages: Structure Package, Data Package, and Conceptual Package.

We selected three refactorings for our evaluation: *Extract Class*, *Move Class*, and *Pull up Method*. We applied each of the three refactorings to every possible location in KDM instance. It is worth to notice that all refactorings were applied completely automatically by means of our devised proof-of-concept tool. To deal with refactorings that go into infinite loops, we set three minutes timeout interval. More specifically, we applied the *Extract Class* to every class that had more than 300 LOC (Line of Code); we applied the *Move Class* to every class from a package to another package; we applied the *Pull up Method* to every method of a class that had a superclass that was not from a library, using every such superclass as the target of the pull-up. Then after applied all refactorings we counted whether them were successful, i.e., if the intended refactoring could be performed, and how many propagations were generated on the model. We also counted if our DI Algorithm was effectiveness to identify all affected metaclasses after applying a refactoring. We also measured both software metrics precision and recall after applying the refactoring on the KDM models.

D. Analysis of Data and Interpretation

The data of the first study is found on Table X

VI. Related Work

In [5], Enrico Biermann et al. propose to use the Eclipse Modeling Framework (EMF), a modeling and code generation framework for Eclipse applications based on structured data models. They introduce the EMF model refactoring by defining a transformation rules applied on EMF models. EMF transformation rules can be translated to corresponding graph transformation rules. If the resulting EMF model is consistent, the corresponding result graph is equivalent and can be used for validating EMF model refactoring. Authors offer a help for developer to decide which refactoring is most suitable for a given model and why, by analyzing the conflicts and dependencies of refactorings. This initiative is closed to the model driven architecture (MDA) paradigm [6] since it starts from the EMF metamodel applying a transformation rules.

In [7] Rui, K. and Butler, apply refactoring on use case models, they propose a generic refactoring based on use case metamodel. This metamodel allows creating several categories of use case refactorings, they extend the code refactoring to define a set of use case refactorings primitive. This refactoring is very specific since it is focused only on use case model, the

issue of generic refactoring is not addressed, and these works do not follow the MDA approach.

Another work on model refactoring is proposed in [8], based on the Constraint-Specification Aspect Weaver (C-SAW), a model transformation engine which describes the binding and parameterization of strategies to specific entities in a model. Authors propose a model refactoring browser within the model transformation engine to enable the automation and customization of various refactoring methods for either generic models or domain-specific models. The transformation proposed in this work is not based on any metamodel, it is not an MDA approach.

In the line of language independent refactoring and metamodelling, Sander et al. [9], study the similarities between refactorings for Smalltalk and Java, and build the FAMIX model. It provides a language-independent representation of object- oriented source code. It is an entity-relationship model that models object-oriented source code at the program entity level, with a tool to assist refactoring named MOOSE. FAMIX does not take account neither complex features in strongly typed languages, nor aspects of advanced inheritance and genericity. This approach is not really independent from language since the refactoring transformation is achieved directly on the original code. This alternative forces to implement transformers of specific code for each language. These code transformers use an approach based on text using regular expressions.

VII. Conclusions

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