

# An Approach for Keeping Static Views Synchronized when Refactoring KDM Models

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**Abstract**—Architecture-Driven Modernization (ADM) is a model-driven alternative to conventional reengineering processes that relies on the Knowledge-Discovery Metamodel (KDM) as the base for the whole process. Unlike conventional metamodels, KDM is capable of putting together different system abstractions (Code, Architecture, Business Rules, Data, Events) in an unique site and also retaining the dependencies among them. As it is known, central to modernization processes are the refactoring activities. However, most of existing model-based refactorings do not cope with propagation of the refactoring changes across other dependent abstraction levels, keeping all models desynchronized. In this paper we present a tool-supported KDM-specific approach for updating dependent models when specific elements are refactored. Our approach involve two main steps; the identification of all changed KDM model elements (dependent on the refactored ones) and the application of changes (propagation) in order to keep all the models synchronized. We have conducted two evaluation that shows our approach reached good accuracy and completeness levels.

## I. INTRODUCTION

Architecture-Driven Modernization (ADM) advocates the conduction of reengineering processes following the principles of Model Driven Architecture (MDA) [1]–[4], i.e., all software artifacts considered along the process are models. A typical ADM-based modernization starts with a reverse engineering phase to recuperate a model representation of the legacy system; proceeds by applying refactorings over the recuperated model and finalize by generating the modernized system.

Knowledge Discovery Metamodel (KDM) is the most important metamodel provided by ADM. Its main characteristics are: i) it is an ISO-IEC standard since 2010 (ISO/IEC 19506); ii) it is platform/language independent and ii) it is able to represent different views of the system and retain the dependencies among them. This third point is possible thanks to several KDM metamodels/packages that are focused on specific views or abstraction levels. Examples are: source-code (Code metamodel), behaviors (Action metamodel), architecture (Structure metamodel), business rules (Conceptual metamodel), data (Data metamodel), events (Event metamodel), GUI (UI metamodel) and deployment (platform metamodel).

Unlike existing metamodels, KDM aims at putting together all the views of the system into a unique place, so,

it can be considered as family of metamodels, since all of them share a consistent and homogeneously terminology (metamodel syntax) [5].

It is well known that refactoring activities are central to any modernization process. Refactorings are defined as the process of modifying the internal structure of software without changing its external observable behavior [6]. When a system is represented by using several different models, a common accident that arises during refactorings is to desynchronize the models, ending up with inconsistency models after the refactoring. To solve this problem, an alternative is to apply a “change propagation” technique, whose goal is to identify and update all the model elements dependent on the refactored element [7]–[10].

Change propagation can be classified in two types: dynamic (behavior) and static. Dynamic Change Propagation has been the main focus for decades, trying to preserve the system behavior when refactoring a static model. On the other hand, Static Change Propagation, which is the focus of our work, has only more recently received attention, but it is also possible to find a number of research on this line of thought [7]–[10]. The goal of this type of propagation is updating a static model after refactoring another static model.

Most of the existing research on Static Propagation concentrate on propagating changes in different and external models, for example, when changing an UML class model, changes are propagated to a data model, usually from another vendor [11]. Besides, most of the change propagation solutions are specific to proprietary models, preventing or making difficult their application in other models, like KDM [7], [10]. To the best of our knowledge, up to this moment, there is no research concentrated on investigating change propagation in KDM.

In this paper we present a tool-supported approach for propagating changes when refactoring KDM models. Employing our approach, modernization engineers can concentrate just on the development of the refactorings, without worrying about the change propagation, which is a time-consuming and error-prone task. Our approach is supported by an Eclipse plug-in that allows ATL refactorings be executed while the propagations are conducted in a transparent way.

Our approach works as: firstly the modernization engineer either creates or reuses an specific KDM refactoring, this refactoring can be defined by means of a set of model transformations languages such as, ATL, QVT, ETL, etc. Then the engineer apply such refactoring in a KDM instance. Furthermore, our approach performs a diff between the generated KDM refactored instance with the original KDM instance (the instance before one applies the KDM refactoring). The output is a list that contains all KDM elements involved in the KDM refactoring. After that, two important steps are performed : i) automatically our approach uses this list as input to our Java mining algorithm that identifies all KDM model elements that were modified by a refactoring using JPath and ii) a set of ATLs perform one or more model transformations that characterize the change propagations. We have implemented the approach in a generic way as a decoupled module, which can be coupled to existing refactorings.

We have carried out an experiment and a case study in order to evaluate our two-step approach. The former was performed to evaluate the first step of our approach, i.e., analyze the effectiveness of our Java mining algorithm for with respect to precision and recall. The latter was carried out to evaluate the effectiveness and efficiency of our ATL script (change propagation), i.e., the case study aimed to verify if all propagations were performed corrected after to apply a set of refactorings.

This paper is structured as follows: In Section II the notion related to ADM and KDM, their details and a system's description that was instantiated in KDM are showed. In Section ?? a motivation is presented. Section IV shown the proposed approach. In Section VI, an empirical evaluation is presented. In Section VII there are related works and in Section IX there are the conclusions.

## II. ADM AND KDM

ADM is the process of understanding and evolving existing software systems taking model-driven principles into account [1]. A typical ADM process involves three main phases: Reverse Engineering, Refactorings/Optimizations and Forward Engineering. In reverse engineering, a legacy system is abstracted in a KDM instance. Next, some refactorings and optimizations can be applied over the KDM instance and, in the last phase, the modernized source code is generated.

KDM is the most important meta-model of ADM (ISO/IEC 19506), providing a comprehensive view of as-is application and data architectures, into a unique meta-model. This is different from conventional model-driven development techniques we have found on literature [3], since many of them employs several meta-models, from different vendors, along the process [12]. KDM can be seen as a family of meta-models, as it contains twelve packages; each one representing a meta-model that concentrates on a different view of the system. Thus, by using its meta-models, it is possible to have a number of views of a system. For example, it is possible to have a low level system representation, describing source-code details and several others views of the system, such as

an architectural view, a data view, a business rule view, a behavioral view, etc. Moreover, as KDM groups a set of meta-models, all of them share the same terminology, i.e., all of the meta-models know the main meta-model elements, such as ClassUnit, KDMEntity and MethodUnit, etc.

Considering the scope of this paper, some important KDM packages are Code, Structure, Data and Conceptual. Code package provides a lot of meta-classes for representing source code details, such as MethodUnit (methods), ClassUnit (classes) and StorableUnit (attributes). Structure package is devoted to represent the architecture of the system, employing architectural concepts commonly find in the literature. So, it offers meta-classes for representing layers (Layer meta-class), subsystems (Subsystem meta-class), components (Component meta-class) and architecture views (ArchitectureView meta-class). It also offers a special kind of relationship called Aggregated (AggregatedRelationship meta-class), whose goal is to relate architectural elements with each other. An important characteristic of this relationship is that it acts as a container of primitive relationships, i.e., it is possible to group several primitive relationships within it.

Data package aims at representing the database structure of the system, providing meta-classes for representing tables and their attributes (Columns, primary key, etc). Conceptual package offers meta-classes for representing conceptual elements of a system, such as business rules (RuleUnit meta-class), scenarios (Scenario meta-class), etc.


The main goal is to allow a complete representation of systems, ranging from low to high-level views. All of the aforementioned meta-models, although being in different abstraction levels, can be interrelated to each other. For example, consider the existence of a Java package P1 (Package meta-class) that contains a class C1 (ClassUnit meta-class). This package can be the source-code realization of a Layer L1 (Layer meta-class) and, at the same time, the realization of a Scenario S1. The class C1 can also be the realization of a business rule B1 (RuleUnit meta-class) that is inside the scenario S1.

## III. A RUNNING EXAMPLE

Figure 1 presents an example that is used along this paper. It is shown schematically how KDM can be used for representing three views/abstractions of part of an Academic Management System: Code View, Architecture View and Data View. The entire figure represents a KDM model, i.e., an instance of KDM composed by three other internal instances - each of the three big rectangles represents an instance of an internal meta-model. So, there is an instance of the Code meta-model (middle), another instance of the Structure meta-model (upper part) and another one of the Data meta-model (lower part).

Besides, each of the smaller internal elements (classes, packages, layers, relationships, etc) contains also instances of KDM meta-classes. Notice we are using the pattern **instance name: Meta-class name** in the name of every element so that the name of the meta-class can be seen. As this is an MVC-based system, Code View contains three instances of

the Package meta-class: VIEW, CONTROLLER and MODEL. Each of them involving some ClassUnit instances. The classes are related to each other by means of static (associations, inheritance, interface realizations and imports) or dynamic (calls, object creation, parameter passing, etc) relationships. Each of these relationships is also an instance of specific meta-classes.

The Architecture View represents the system architecture. In this example each smaller rectangle represents an instance of a meta-class called Layer. The system is organized in three layers: VIEW, CONTROLLER, and MODEL. Between Layers and Packages there are a set of relationship called *Implementation*, which are represented in Figure 1 by the symbol . The intention of this type of relationship is to denote that a specific higher-level abstraction is realized by one or more lower level code elements. In this example, the Layer View is realized in source-code level by the package VIEW; the Model layer is realized by the package Model and the layer Controller by the Controller package. The *Implementation* relationship is very important in this work, as it is the main link between meta-models in different abstraction levels. Observe in the figure that the unique route between the views are by means of these relationships.

KDM also possesses an important relationship type called *AggregatedRelationship* whose aim is to represent dependencies between architectural elements of the Structure Package. Using this relationship it is possible to put together several primitive relationships in a unique “channel”. For example, there are thicker relationships between the layers representing the *AggregatedRelationship*. It is also possible to see that these relationships aggregate some primitive relationships. For example, the *AggregatedRelationship* between the layer View and the layer Controller group the following relationships: two Calls, one Creates and one Extends. The number aside the *AggregatedRelationship* is called density, that represents the amount of primitive relationships exists inside it.

Finally, the Data Package depicts the system's database and its tables. Herein, it is possible to notice that the depicted system owns a set of Plain Old Java Objects (POJOs), they are: Student, Instructor, Secretary, and Researcher. All of these POJOs are also Object Relational Mapping (ORM), i.e., they are mapped to the Data package using the meta-class *RelationalTable* and its columns are mapped using the meta-classes *UniqueKey* and *ColumnSet*.

An evident problem in this example is the presence of the classes Student and Instructor in the View package. During a refactoring activity, these classes should be moved to the Model package. However, moving these classes will turn this model inconsistent, because the “density” value will turn wrong, i.e., *aggregationRelationship* between the layer VIEW and the layer CONTROLLER would change from 4 to 2 - once the primitives relationships Creates and Extends would no longer exist from the package GUI to the package CTR. On the other hand, the resulting of this refactoring would update the density between the layer Model and Controller, instead of 2 it should be 4, as Creates and Extends were also moved

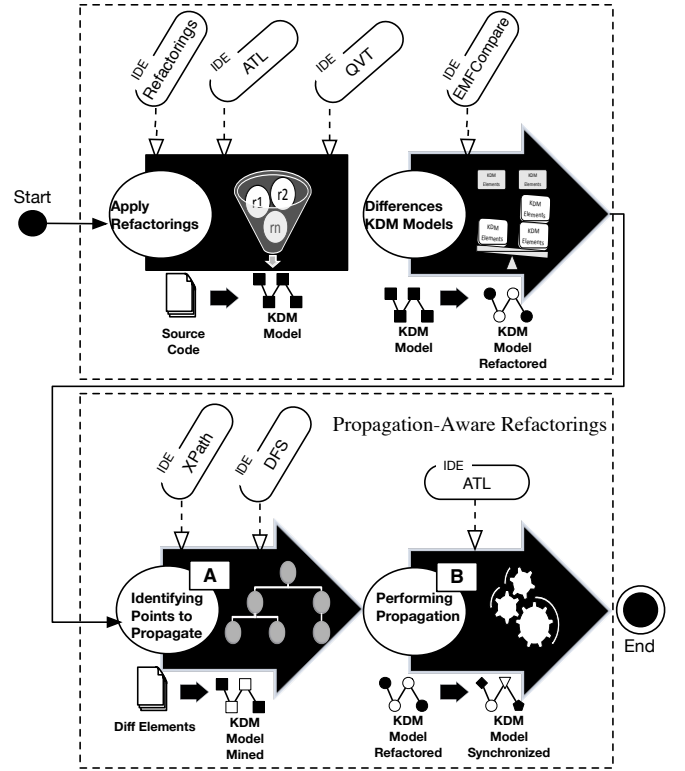


Fig. 2: Propagation-Aware Refactorings steps.

along with its classes, Student and Instructor.

These propagation seen to be easy to apply, however, in a complex system comprising all kdm's packages/levels propagate all changes after a refactoring is a difficult and error prone task. Even identifying the affected parts of the KDM's packages/levels is not an easy and straightforward process. A typical refactoring written in ATL is shown in Figure 3.

#### IV. PROPAGATION-AWARE REFACTORINGS

In order to fulfill the limitation pointed out, we introduce a tool/approach, called Propagation-Aware Refactoring (PAREf), that aims propagating changes throughout all the KDM's levels during a refactoring in order to keep all the views synchronized. The intention is to keep the consistency among all the KDM's views during refactoring activities. Figure 2 shows where our approach fits in. The Refactoring activity, is a normal and conventional model refactoring activity, and it is out of scope of our approach. The software modernizer could develop or reuse model refactorings (in ATL, ETL, QVT) and apply them into a KDM model. In this phase, a number of KDM model elements can be modified, created or removed. Furthermore, is performed a diff between the refactored KDM instance and the original KDM instance (the instance before one applies a KDM refactoring). The output of this diff is a list that contains all KDM model elements involved during the KDM refactoring. Further our two-steps approach starts.

These two steps act as a module that can be plugged over existing refactorings in order to propagate the changes. The

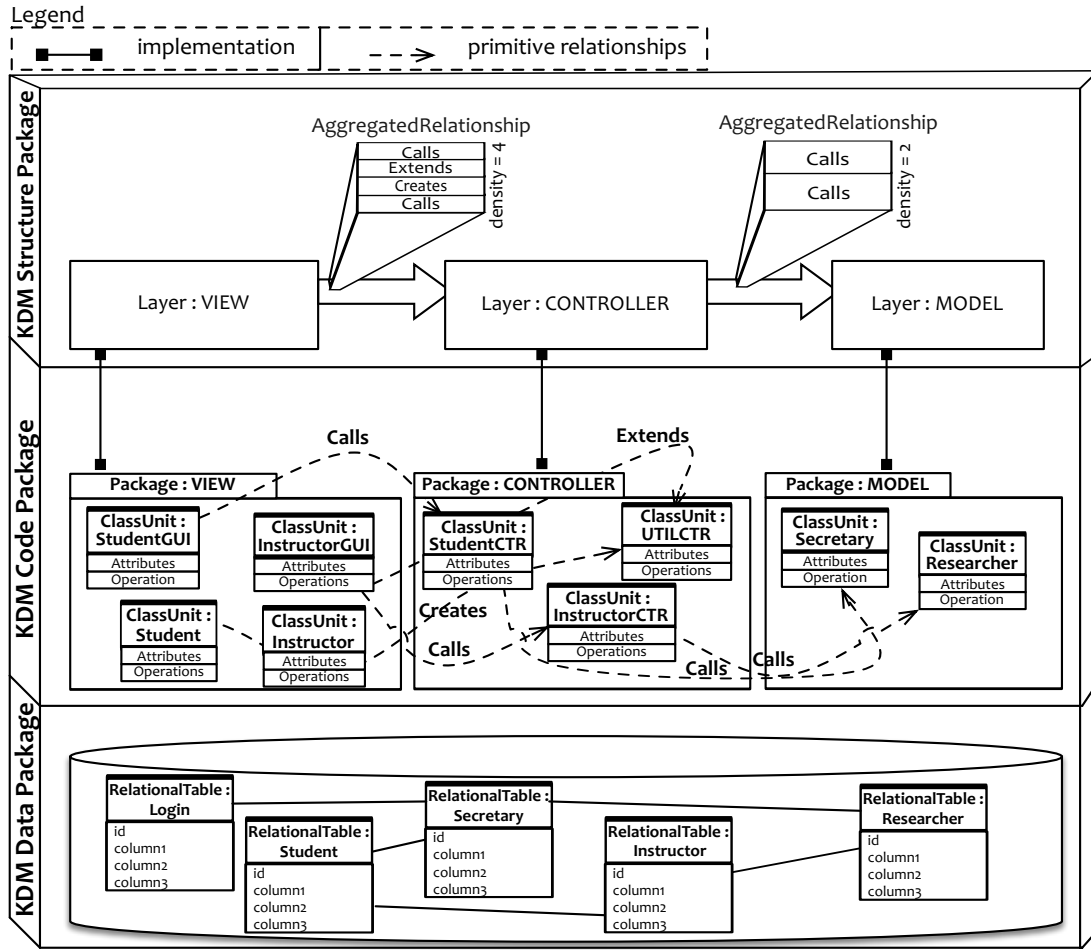


Fig. 1: System example.

first step, called *Identifying Points to Propagate*, aims to gather all the KDM elements that need to be updated as a result of a refactoring. This step uses the list that was obtained from the diff between the refactored KDM and original KDM instance. The output of this step are meta-classes that possesses dependencies with the elements to be refactored. The second step, called *Performing Propagation*, objectives to effectively perform the changes/updates. The input for this steps are the elements to be changed (provided by the first step) and the output is the KDM model updated/synchronized.

The first step, is technically supported by an Identification Engine whose the core is a depth-first search algorithm along with a set of queries that are performed over a KDM model. This step can also be conducted in two distinct moments, before or after the refactoring application. Usually, the Identification of the Points To Be Propagated is easier to be done after the refactoring, because it is possible to detect the already changed elements making a diff between the source and refactored model. When the identification process should be done before the refactoring application, all the model elements involved in the refactoring need to be extracted and passed as parameters to the Identification Engine. This will require more

implementation effort.

The second step is technically supported by a Propagation Engine, whose core is a set of pre-defined transformation rules devised with ATL. Herein all the transformation rules act as a chain of transformations that are executed together in order to update/propagate all the changes throughout KDM's views. More details on each step are provided in the next sections.

#### A. A KDM Refactoring Example

Herein the software modernizer must apply a refactoring, a natural way of implementing refactoring in models is by means of *in-place*/model-to-model transformations. Applying these transformations/refactoring into a KDM's instance can introduce incompatibilities and inconsistencies which can not be easily resolved.

These transformations can be performed by means of rule-based languages. It is important to notice that the software modernizer could develop or reuse model any KDM refactorings and apply these refactorings into a KDM instance. Considering the the running example presented in Section III where the engineer aims to apply the KDM refactoring *Move Class* - both ClassUnits *Student* and *Instructor* should not longer be contained in the package *VIEW*. These

ClassUnits should be moved into the package `MODEL`. A possible solution, a KDM refactoring *Move Class*, is depicted in Figure 3 and was written in ATL.

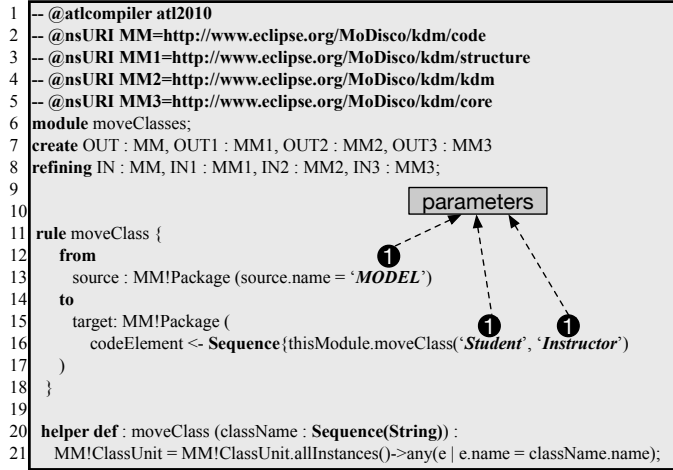


Fig. 3: Chunk of code in ATL to perform the refactoring *Move Class*.

By inspecting this KDM refactoring we can see important informations. For instance, lines 1 to 5 illustrate which KDM's level/packages are be affected by this transformation. After, in line 6 the name of the KDM refactoring is defined, *moveClasses*. Lines 7 and 8 represent the output and input models that conform to the KDM, e.g., the KDM model used during the refactoring. With the *refining* mode (see line 8), the ATL engine can focus on an ATL code dedicated to generate in-place transformations.

Line 11 a matched rule is defined. Occurrences of the input pattern may be filtered by introducing a *guard*, a boolean condition that KDM model must satisfy (e.g., line 13). Lines 14 though 19 the refactoring *Move Class* is actually defined. Further there is also a helper defined in lines 21 and 22, i.e., helpers in ATL are like methods/procedures in programing languages. This helper is used to verify if the ClassUnit is the correct class to be moved. Almost all refactorings need some input parameters that should be properly instantiated by the user. For instance, consider the chunk of code written in ATL depicted in Figure 3, lines 13, 16, and 17 (see ❶). Therefore, before to apply the refactoring, the engineer should specify all the parameters. For instance, he(he) should specify the source Package and two ClassUnits that he(he) would like to move. Afterward, this ATL is ready to be applied into a KDM instance. It is important to highlight that our approach was developed to not be couple with the refactoring activity. In this way the modernizer could create his/her set of KDM refactoring in any transformation language without worrying about the change propagation. The only task is to provide for our approach all necessary inputs to identify all affected meta-classes and to conduct the propagation of changes in others KDM levels.

## B. Differences between the Refactored KDM and the Original KDM

The problem of model diff is intrinsically complex. For instance, if a ClassUnit C is deleted, its transitive parts and attached associations are typically also deleted. So, computing the difference results in a large number of detail changes that might be complex to implement. Therefore, in this step we have used the EMF Compare<sup>1</sup>, which is a framework that can easily reuse and extend to compare instances of any models, in our case KDM models. EMF Compare

EMF Compare phase will browse through these mappings and determine whether the two (or three) elements are equal or if they present differences (for example, the name of the class changed from Class1 to Class1').

## C. Mine Affected Metaclasses

The step [B] starts with our DI Algorithm that aims to identify all metaclasses and its relationships that use somehow the metaclass(es) that were refactored in step [A]. As input all the metaclasses that were used to apply an specific refactoring is needed. For example, in the case of the refactoring *Move Class* it is necessary as input a package and a set of classes that were moved. Further, our DI Algorithm uses a set of queries that are performed on the KDM's instance to mine all the affected/linked metaclasses. All the queries were created using XPath.

Firstly a query must be executed to get the root element in KDM. This query is represented as the first statement in Figure 4, see line 1 - it is used to return an instance of the metaclass *Segment*. The returned *Segment*, as well as all KDM's levels are gathered by the other queries presented in Figure 4 lines 2 to 5. The returned elements of these queries are used as input in our DI Algorithm as all the metaclasses that were used to apply the refactoring in Step [A].

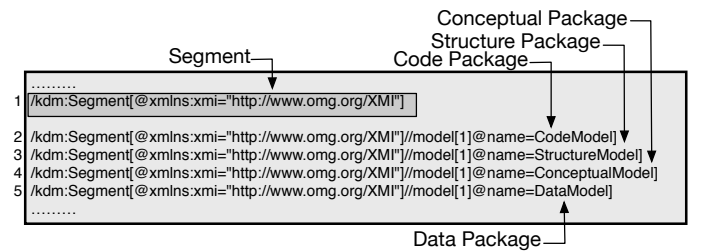


Fig. 4: Xpath used to return the KDM's root element, *Segment*.

Algorithm 1 depicts the DI Algorithm that is used to mine all the affected metaclasses. More specifically, the algorithm works as follows: first it is necessary to pick a starting point, i.e., the metaclass *Segment*. Visit the *Segment*, push it onto a stack, and mark it as visited. Then it is necessary to go to the next metaclass that is unvisited, verify if it has an association named *implementation*. If yes, it verifies if this association contains references to any element's used in

<sup>1</sup><https://www.eclipse.org/emf/compare/>

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**Algorithm 1:** DFS(G,u) - Depth-First Search Algorithm.

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**Input:** DFS (G, u, eL) where G is a KDM's instance, u is the initial metaclass, i.e., Segment, and eL is a set of elements to verify

**Output:** A collection of affected metaclasses

```
1 begin
2   foreach outgoing edge e = (u, v) of u do
3     if vertex v as has not been visited then
4       if vertex v contain implementation = true
5         then
6           foreach implementations element do
7             | verify all elements in implementation
8           end
9           Mark vertex v as visited (via edge e).
10          Recursively call DFS (G, v).
11        end
12      end
13    end
14  end
```

---

the refactoring, if yes - push it on the stack, and mark it. This continues until the algorithm reaches the last metaclass. Then the algorithm checks to see if the Segment has any unvisited adjacent metaclass. If it does not, then it is necessary to pop it off the stack and check the next metaclass. If the algorithm finds one (unvisited metaclass), it starts visiting adjacent metaclasses until there are no more, check for more unvisited adjacent metaclasses, and continue the process always verifying the association named *implementation*. When the algorithm finally reach the last metaclass on the stack and there are no more adjacent, unvisited metaclasses that contains the association *implementation* without check, our algorithm should show a list of all affected metaclasses that is further used to propagated all changes throughout the KDM packages.

#### D. Performing Propagation

This step is a decoupled module that can be coupled to existing refactorings. In this way, existing users can write KDM refactorings in ATL without worrying about the change propagation, which is a time-consuming and error-prone task. The only task is to provide for our component all the parameters it needs to conduct the propagation. In our approach these parameters are identified automatically in Step [B] and are used in Step [C]. Similarly to the step [A], where the modernizer has to define a set of model transformations rules to perform the model refactoring, here a set of generic and pre-established model transformations (written in ATL) are used. The difference is that in Step [A], the modernizer can either create or reuse a KDM refactoring, otherwise in Step [C] all rules were beforehand defined to perform the propagation of changes after the application of a KDM refactoring. In addition, these ATL rules (the propagations) require a set of *minimum* parameters that should be informed before realize all the propagations. As already mentioned these parameters are

the output from Step [B], which is a list containing all KDM affected elements.

In order to bound these parameters along with the output of Step [B] our approach performs a static analysis (parsing) of all generic ATL rules and identifies places that must be replaced in with the Step [B]'s output (KDM affected elements), i.e., all the places where parameters are needed. This is particularly necessary in our approach because ATL does not enforce type correctness, hence rules written in ATL may be ill-typed. Moreover, the creation of a suitable propagation requires precise parameters (metaclasses) informations. It is important to highlight that this static analysis is done totally automatically and transparently by means of our Eclipse plugin. The aim is having a decouple module of KDM propagation as simple as possible to facilitate the integration with any refactoring defined in ATL in the context of KDM model and also to promote the reuse. Therefore, the software modernizer does not have to worry about devising the propagation of changes, which usually is harder than just the creating of a KDM refactoring. In addition, if the static analysis detect errors, the software modernizer is required to fix and inform the correct parameters, otherwise, all changes are propagated in all KDM levels automatically/transparently

Figure 5 shows a code snippet written in ATL that is used to propagate the changes. Due space limitation the whole ATL it is not presented. Note that all strings, '*#parameter*', are changed during the static analysis along with the step [B]'s output. As can be seen, there are three rules - each of them is used to propagated the change in a specific KDM package, respectively. The first rule is responsible to propagate the changes throughout the *Structure Package*, see lines 24 to 32. In line 26 the source pattern of the rules is defined by using OCL guard stating the layers to be matched. After, is defined a target pattern (lines 29-31) which is used to compute the density of an *AggregationRelationship* after the application of a refactoring, i.e., *Move Class*.

If the *Move Classes* refactoring is applied to transfer the class C1 to package P2, a natural propagation is to transfer the business rule B1 to another scenario. As defined in the second rule (lines 33 to 43) - this rule is used to propagate the changes throughout the *Conceptual Package*. Finally, the rule defined in lines 44 - 65 aims to propagate the change to the *Data Package*. For each *ClassUnit*, a *RelationalTable* instance has to be created - their names have to correspond. The *itemUnit* reference set has to contain all *ColumnSet* that have been created for each *StorableUnit* (metaclass that represent all the attributes that a class holds) as well as its types.

Although we have used a simple refactoring as example, by observing both Figure 3 and Figure 5 one can notice that the refactoring itself usually is less complex/verbose to devise than the Propagation Engine, i.e., a set of rules defined to propagate the changes in KDM levels tend to be more complex/verbose than KDM refactorings. Therefore, providing a module that can be plugged over existing KDM refactorings in order to propagate the changes can assist the software modernizer.

```

24 rule propagationStructurePackage {
25   from
26     source : MM1!Layer (source.allInstance
27       -> select(e | e.refImmediateComposite = '#parameter'))
28   to
29     target : MM1!Layer (
30       aggregated <- thisModule.getDensityAggregation(target.aggregated)
31     )
32 }
33 rule propagationConceptualPackage {
34   from
35     source : MM5!RuleUnit (source.name = '#parameter')
36   to
37     target : MM5!ScenarioUnit (
38       conceptualElement <-
39         Sequence{thisModule.getRuleUnit('#parameter')...}
40     )
41 }
42 }
43 }
44 rule propagationDataPackage {
45   from
46     source : MM!ClassUnit (source.allInstances()
47       -> select(e | e.refImmediateComposite = '#parameter')
48       -> collect(e | e.name = '#parameter' or e.name = '#parameter'))
49   to
50     target : MM4!RelationalTable
51     (
52       name <- source.name,
53       itemUnit <- Sequence {columns} ->
54         union(source.codeElement->
55           select(e | e.ocIsTypeOf(MM!StorableUnit)))
56     ),
57     columns : MM4!ColumnSet (
58       name <- '#parameter',
59       type <- if (source.type.ocIsUndefined()) then
60         OclUndefined
61       else
62         source.type->getType()
63     )
64   )
65 }
66 }
67 helper def : getDensityAggregation(agg : MM3!AggregatedRelationship) :
  MM3!AggregatedRelationship = (agg.density = (agg.relation->size()));
.....

```

Fig. 5: Chunk of code in ATL to perform the propagation after the application of refactoring *Move Class*.

## V. CASE STUDY

In this section we present a case study showing that our approach can be used to support the change propagation in KDM models. We have used a real-life legacy information system. Notice that the case study was carried out following the protocol for planning, conducting and reporting case studies proposed by Brereton et al. in [17] improving the rigor and validity of the study. The next subsections show more details about the main phase defined in this protocol.

### A. Background

According to the protocol proposed by Brereton et al. in [13] firstly it is needed to identify previous research on the topic. Hence, in Section VII we stated some researches related to refactoring in models. To the best of our knowledge, up to this moment, there is no research concentrated on investigating change propagation in KDM. We are particularly focus on the propagation of changing in different views of a KDM model. Therefore, the object of this study is the proposed

propagation changes approach, and the purpose of this study is the evaluation it related to its effectiveness and efficiency.

Therefore, taking into account the object and purpose of the study, it was defined one research question, as follows:

- **RQ<sub>1</sub>**: Given a set of refactoring, can the proposed approach propagate all the changes effectively throughout all KDM levels?

### B. Design

The described case study consist of a single case [13]. It was focused on a single legacy system. To assess the effectiveness of the proposed approach through the **RQ<sub>1</sub>**, we use some oracles. As each refactoring has its own characteristics and modifies specific model elements, it is possible to predict all the expected changes in other KDM levels. So, considering our set of developed refactorings, we had to develop some oracles for each refactoring. The complete oracle can be seen at [www.mudar.com.br](http://www.mudar.com.br).

### C. Case Selection

Some criteria were applied to select the suitable case, as follows: (i) it must be an enterprise system, (ii) it must be a Java-based system, (iii) it must be a legacy system and (iv) it must be of a size not less than 10 KLOC. After applying these criteria we have chosen LabSys (Laboratory System) that is currently used by Federal University of Tocantins (UFT). It is used to control the use of laboratories in the entire university.

### D. Case Study Procedure

In this section is shown how the execution of the study was planned. Notice that the execution was aided by an Eclipse plug-in that we developed to support the proposed approach. The case study was carried out in a machine with an Intel Core I5 CPU 2.5GHz, 8GB of physical memory running Mac OS X 10.8.4.

The proposed approach uses as initial artifact a KDM instance. Therefore, firstly we adopted a reverse engineering to transform the LabSys source-code into a KDM instance to apply our approach. In this step we have used MoDisco [14], which is a parser that get as input java source-code and then return as output a KDM instance. Currently, MoDisco only generates the KDM Code package, other KDM packages are extremely important to evaluate our approach. Therefore, we have manually instantiated the followings KDM packages: Structure Package, Data Package, and Conceptual Package. After applying LabSys to MoDisco we gathered a KDM instance that contains 29,444 number of model elements (in this context KDM objects in the model) and the memory used on hard drive disk after XMI serialization is 7.639 MB.

Furthermore, to perform the case study we selected four refactorings: *Extract Class*, *Move Class*, *Extract Layer* and *Remove Class*. All refactorings were applied completely automatically by means of our devised proof-of-concept tool. To deal with refactorings that go into infinite loops, we set three minutes timeout interval. More specifically, we applied the

*Extract Class* to one class that had more than 300 LOC (Line of Code); we applied the *Move Class* to a set of class from a package to another package; we applied the *Extract Layer* to a layer that contains at least 20 classes; finally we applied the *Remove Class* to a class that contained at least 15 primitive relationships. After applied all refactorings we verify whether they were successful propagate throughout all KDM levels, i.e., if the intended refactoring could be performed, and if all the expected propagations were generated on the model.

#### E. Data Collection and Interpretation

We verify, based on a set of oracle, whether all refactoring were successfully propagated throughout all KDM models. By using these information gathered we can draw conclusion and answer the **RQ<sub>1</sub>**.

Table I summaries the results related to each refactoring applied and its respective propagations. In such tables there are two abbreviations: (i) “P.C?”, and (ii) “N.A”. The first one stands for “Propagation Corrected?” and the second acronym stands for “Not Applied”. These tables show the propagation regarding to the followings KDM packages: Structure Package, Data Package, and Conceptual Package. As can be seen all the changes were effectively propagated throughout all KDM levels. Which means that in this case our approach could automatically execute truly relevant propagation throughout all KDM levels when dealing with the refactorings: *Extract Class*, *Move Class*, *Extract Layer* and *Remove Class*.

Globally, the use of our approach in the context of this refactoring case study has been a success. As a result of the process, approximately 60 K SLOC from the whole application were concerned and so automatically refactored. An effective performance gain and overall readability improvement of the modified KDM model parts have then been confirmed. This shows that our approach to propagate changes in KDM levels is effective, and yields concise propagation of changes. Thereby, the **RQ<sub>1</sub>** can be answered as true, that is, the proposed approach can propagate changes effectively throughout all KDM levels.

### VI. EVALUATION

This section describes the experiment used to evaluate the effectiveness of our mining algorithm, step [B] of our approach. Therefore, we have compared its result with an oracle in order to verify its correctness. In addition, we have worked out one research question, as follows:

**RQ<sub>1</sub>**: Given some specific elements to be refactored, is the mining algorithm able to identify correctly all the dependent KDM elements?

#### A. Goal Definition

We use the organization proposed by the Goal/Question/-Metric (GQM) paradigm, it describes experimental goals in five parts, as follows:

- **object of study**: the object of study is our approach;

- **purpose**: the purpose of this experiment is to evaluate the effectiveness of our mining approach;
- **perspective**: this experiment is run from the standpoint of a researcher;
- **quality focus**: the primary effect under investigation is the precision and recall after applying the mining algorithm;
- **context**: this experiment was carried out using Eclipse 4.3.2 on a 2.5 GHz Intel Core i5 with 8GB of physical memory running Mac OS X 10.9.2.

Our experiment can be summarized using Wohlin et al.’s template [15] as follows:

**Analyze** the effectiveness of our mining algorithm  
**for the purpose of** evaluation  
**with respect to** precision and recall  
**from the point of view of** the researcher  
**in the context of** a subject program.

#### B. Effectiveness Analysis

Herein we present an effectiveness analysis aiming to determine the recall and precision of our approach. To do that, we have applied our mining approach, step [B], in one system and compared the results with oracles and an own manual analysis.

The system we have used as case studies was LabSys, the same system used in the case study. This analysis employs the metrics Recall and Precision, which are described below:

- Precision is the ratio of the number of true positives retrieved to the total number of irrelevant and relevant KDM elements retrieved/propagated. It is usually expressed as a percentage, see equation 1.

$$Precision = \frac{TruePositives}{TruePositives + FalsePositives} \quad (1)$$

- Recall is the ratio of the number of true positives retrieved to the total number of relevant KDM elements in the KDM instance. It is usually expressed as a percentage, see equation 2.

$$Recall = \frac{TruePositives}{TruePositives + FalseNegatives} \quad (2)$$

#### C. Experiment Desing

For our evaluation, we also used the same system described in Section V, LabSys. As stated before, firstly we transformed it into a KDM instance to apply our approach by means of MoDisco. Furthermore, to evaluate our mining approach it was necessary to choose some refactoring. As a matter of fact, it is important to know its parameters once our mining algorithm uses them to identify all affected metaclasses. Therefore, we selected four refactorings and use its parameters as starting point of our mining approach. The chosen refactorings were: *Extract Class*, *Extract Layer*, *Move Class*, and *Remove Class*. Then after applied our mining approach we counted whether all the affected metaclasses were successful identified. We also measured both software metrics precision and recall after applying the mining algorithm on the KDM models.



TABLE I: Propagations for the refactorings: Extract Class, Extract Layer, Move Class, and Remove Class

Refactoring Extract Class			P.C?	Refactoring Extract Layer			P.C?
Code	Create an instance of ClassUnit that represent the new Class	Yes	Yes	Code	Create an instance of Package	Yes	Yes
	Move all StorableUnits to the new ClassUnit	Yes			Move a the selected ClassUnit from a Package to the new Package	Yes	
Structure	Move all MethodUnit to the new ClassUnit	Yes	Yes	Structure	Create an instance of Layer	Yes	Yes
	Create an instance of HasType, which represent an association between the new ClassUnit and the old ClassUnit	Yes			Create an instance of AggregationRelationship between the new Layer and the old one	Yes	
Data	N. A	N.A	Yes	Data	Associate the new Layer by means of the association implementation with the new Package	Yes	Yes
	Create an instance of RelationalTable owning the name of the new ClassUnit	Yes			Summing up all primitive relationship to compute the meta-attribute density	Yes	
Conceptual	For each StorableUnit it is necessary to create a ItemUnit, which represent the RelationalTable columns.	Yes	Yes	Conceptual	N. A	N. A	Yes
	Create an instance of UniqueKey that represent the primary key of the RelationalTable.	Yes			If the moved classes are associated to any conceptual elements by means of the association implementation these conceptual elements should be moved to a correspondent associated element of the target Package.	Yes	
Refactoring Move Class			P.C?	Refactoring Remove Class			P.C?
Code	Move an specific ClassUnit from a source Package to a target Package	Yes	Yes	Code	Delete the selected instance of a ClassUnit	Yes	Yes
Structure	If the target Package is associated to an architectural elements by means of the association implementation the value of meta-attribute named density should be propagated	Yes	Yes	Structure	If the removed ClassUnit was contained into a specific Structure element then summing up all primitive relationship and overwrite the meta-attribute density	Yes	Yes
Data	N. A	N. A	N. A	Data	If the removed ClassUnit was associated with an instance of RelationalTable, then it should also be removed	Yes	Yes
Conceptual	If the moved class is associated to any conceptual elements by means of the association implementation this conceptual elements should be moved to a correspondent associated element of the target Package.	Yes	Yes	Conceptual	If the removed ClassUnit is associated to any conceptual elements by means of the association implementation these conceptual elements should be removed	Yes	Yes

#### D. Analysis of Data and Interpretation

Table II presents both metrics: precision and recall. The each column represents the effectiveness analysis, whose goal is to analyze the recall and precision of our mining approach. In order to calculate the precision and recall values we used an oracle as the base for the comparison. This oracle has been build based on our experience in KDM models. The process of checking and calculating these metrics were very time consuming because we needed to compare the log produced by our mining approach with the xml files (KDM instance). In order to help us in the identification of the most significant precision and recall we have built a bar-plot that can be seen in Figure 6

TABLE II: Values of precision and recall.

		Effectiveness Analysis							
		Extract Class		Extract Layer		Move Class		Remove Class	
System		Precision	Recall	Precision	Recall	Precision	Recall	Precision	Recall
LabSys		100%	100%	80%	100%	100%	95.11%	100%	90.3%

Observing both Table II and Figure 6, it is possible to see that for the refactoring *Extract Class*'s parameters we got 100% of precision and recall; that is there are no false negatives or positives. However, notice we got 80% of precision for the refactoring *Extract Layer*'s parameters. This happened because our mining algorithm has recognized more similar metaclasses in *Extract Layer* than in *Extract Class* increasing the number of false positives, as most of these metaclasses had not relation with the parameters.

Obviously, our mining algorithm failed in some cases because although some metaclasses are similar, the semantic is completely different. For example, we could have two similar

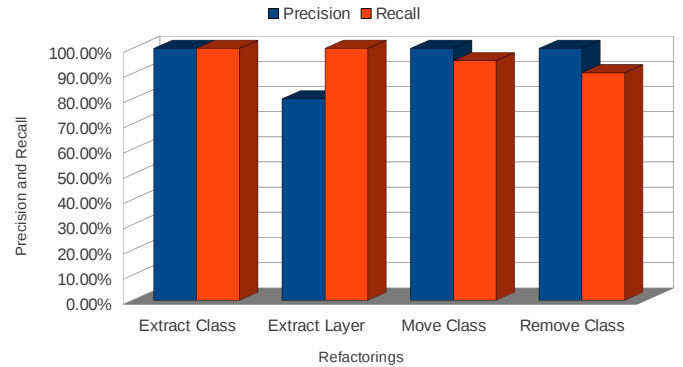


Fig. 6: Bar-plot for precision and recall of each refactoring.

instance of an specific metaclass, therefore the algorithm would identify just one. As can be seen in Table II it is clear that our mining affected metaclasses algorithm helps to find metaclasses which are related with a particular refactoring but it is not foolproof. Nevertheless, empirically we can say that the algorithm add value to the whole solution.

As can be seen in our analyses, good recall and precision values were obtained using our mining affected metaclasses algorithm. Therefore, this can enable other groups to proceed researching on data mining techniques. Clearly, we cannot guarantee the same level of recall and precision but maybe it is possible to keep improving these metrics by using other data mining techniques.

#### E. Threats to Validity

The lack of representativeness of the subject programs may pose a threat to external validity. We argue that this is a

problem that all software engineering research, since we have theory to tell us how to form a representative sample of software. Also, this experiment is intended to give some evidence of the efficiency and applicability of our implementation solely in academic settings. A threat to construct validity stems from possible faults in the implementations of the techniques. With regard to our mining techniques, we mitigated this threat by running a carefully designed test set against a complex system.

## VII. RELATED WORK

Westfechtel *et al.* [16] presented an approach for refactoring static models (UML class diagrams) and propagate the changes to behavioral models (UML Sequence diagrams), aiming to maintain the consistency between these models. Unlikely these authors, in this project our goal is to propagate the changes to other static views, all of them belonging to the same family of metamodels. Considering that our approach does take into consideration behavior aspects, only static ones, we believe that both approaches are complementary to each other.

Egyed [17] proposed an UML-based approach similar to our first step, which is the mining and identification of model elements to be changed. In order to find those model elements, the author employs "consistency rules" between models. These rules always must keep satisfied when the models are synchronised/aligned. So, whenever an element is refactored, a broken rule is an indication that a desynchronisation problem occurred, allowing the identification of model elements that must be updated to synchronise the model again. The author argues that his approach scales up to large, industrial UML models. The author employs a strategy different from ours for the identification of the points to be updated; while we rely on the comparison between the original and refactored models, he relies on the consistency rules. We believe that the problem with his approach is the insertion of another task to be performed (the specification of the consistency rules), in which new problems and errors can be inserted. Our approach is more time-consuming in terms of processing, but we believe that the recall and precision of the identification is higher.

Therefore, to the best of our knowledge our work is the first one in presenting an approach for propagating changes in KDM models in a consistent and transparent way. The most fundamental differences of other related works are: i) we consider only static models, i.e., other views of the system; ii) we work with a family of metamodels that share a consistent and homogeneously terminology (syntax) and iii) our solution is KDM-specific and iv) our approach is tool supported by means of an Eclipse Plug-in which can be coupled to any refactoring scripts written in any transformation language.

## VIII. DISCUSSION

The focus of our paper is the demonstration of propagation that must be performed in different static representations (views) of a given system. This means that we are not concerned with dynamic parts. As stated earlier, previous research has demonstrated concerns about the propagation of changes when modifications are made in models. However, the

largest of them are concentrated in the propagation of changes between different metamodels. As KDM is a integrated model that can be seen as a set of metamodels, where all of them are somehow connected by means of associations. This is because a certain model element is used in several places in general is referenced by its *id* without having to be duplicated in multiple locations. However, as stated before, to the best of our knowledge, up to this moment, there is no research concentrated on investigating change propagation in KDM. We claim that by using our approach the modernization engineers can concentrate just on the development of the refactorings, without worrying about the change propagation, which is a time-consuming and error-prone task.

During the elaboration of this research we realized that some propagations can also be considered as refactoring and vice versa. What characterizes them is how they are used in a specific moment and not the implementation by itself. This is like having a set of refactoring that anyone can trigger anyone. When this is the case, the modernization engineer can directly apply both, unlikely propagations which clearly cannot be directly applied from the user, as it is shown in [16]. This is generally the case for moving refactorings, as the moving of an element from a container to another is independent of both the container and their abstraction level. For example, suppose the existence of a class C1 belonging to a package P1. Consider also that C1 is the implementation of a business rule B1 which is inside a scenario S1 and that the package P1 is the implementation of the Scenario S1. So,  $C1 = B1$  and  $P1 = S1$ . If the *Move Class* refactoring is applied to transfer the class C1 to package P2, a natural propagation is to transfer the business rule B1 to another scenario. However, if the modernization engineer is using a modeling environment which provides a business rule view, (s)he could also have available for him(her) a moving business rule refactoring. In this case, the natural propagation would be to transfer the corresponding classes from one package to another. Therefore, we can see that in some cases there is bidirectional flow, which can be started from any point. The most important thing about this discussion is that this categorization leads us to make good designs in terms of refactorings and propagations. That is, for refactorings that fall in this category, it is very important to implement them as separated and decoupled modules which can be called directly from the user. So, all of our refactorings were implemented like that.

## IX. CONCLUSIONS AND FUTURE WORK

The main contributions are: i) a Java mining algorithm to identify all KDM model elements that need to be updated when a specific refactoring is performed, ii) a propagation technique approach, and (iii) a support and preliminary infrastructure for allowing the creation of refactorings for kdm without worrying about the propagation of changes.

A possible future work is to integrate the proposed of Westfechtel *et al.* [16] with our presented approach.

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