# CS162 Operating Systems and Systems Programming Lecture 4

Abstractions 2: Process Management, Files and I/O
A quick programmer's viewpoint

October 10<sup>th</sup>, 2024 Prof. Ion Stoica http://cs162.eecs.Berkeley.edu

Slides courtesy of David Culler, Natacha Crooks, Anthony D. Joseph, John Kubiatowicz, AJ Shankar, Alex Aiken, Eric Brewer, Ras Bodik, Doug Tygar, and David Wagner.

#### Recall: Dual Mode Operation

- Hardware provides at least two modes (at least 1 mode bit):
  - 1. Kernel Mode (or "supervisor" mode)
  - 2. User Mode
- · Certain operations are prohibited when running in user mode
  - -Changing the page table pointer, disabling interrupts, interacting directly w/ hardware, writing to kernel memory
- Carefully controlled transitions between user mode and kernel mode

-System calls, interrupts, exceptions

user process

user process executing calls system call

return from system call

kernel

return from system call

return from system call

kernel mode (mode bit = 0)

kernel mode (mode bit = 0)

execute system call

#### Implementing Safe Kernel Mode Transfers

- Important aspects:
  - -Controlled transfer into kernel (e.g., syscall table)
  - -Separate kernel stack!
- Carefully constructed kernel code packs up the user process state and sets it aside
  - -Details depend on the machine architecture
  - -More on this next time
- Should be impossible for buggy or malicious user program to cause the kernel to corrupt itself!

#### 3 types of Kernel Mode Transfer

#### Syscall

- -Process requests a system service, e.g., exit
- -Like a function call, but "outside" the process
- -Does not have the address of the system function to call
- -Like a Remote Procedure Call (RPC) for later
- -Marshall the syscall id and args in registers and exec syscall

#### • Interrupt

- -External asynchronous event triggers context switch
- -E.g., Timer, 1/0 device
- -Independent of user process

#### Trap or Exception

- -Internal synchronous event in process triggers context switch
- -e.g., Protection violation (segmentation fault), Divide by zero,

..

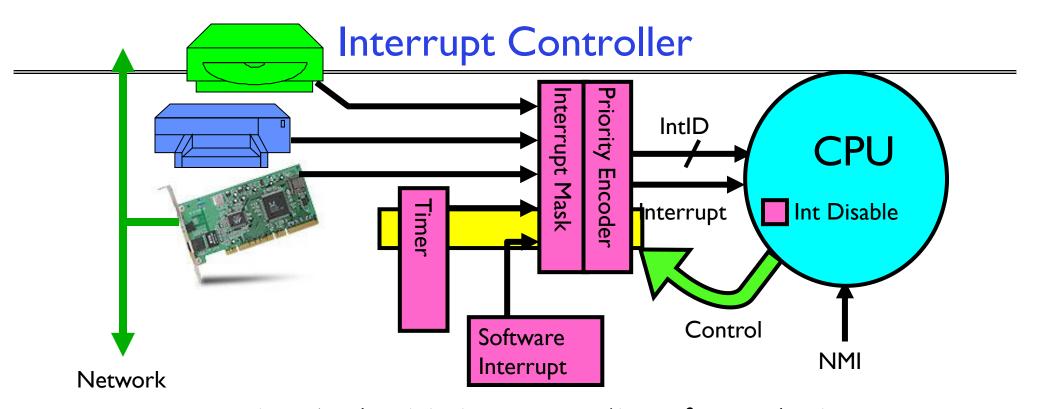
# Handling System Calls safely

- · Vector through well-defined syscall entry points!
  - -Table mapping system call number to handler
  - -Atomically set to kernel mode at same time as jump to system call code in kernel
  - -Separate Kernel Stack in kernel memory during syscall execution
- System call handler must never trust user and must validate everything!
- On entry: Copy arguments
  - -From user memory/registers/stack into kernel memory
  - -Protect kernel from malicious code evading checks
- On entry: Validate arguments
  - -Protect kernel from errors in user code
  - -Protect kernel from invalid values and addresses
- On exit: Copy results back

#### How do we take interrupts safely?

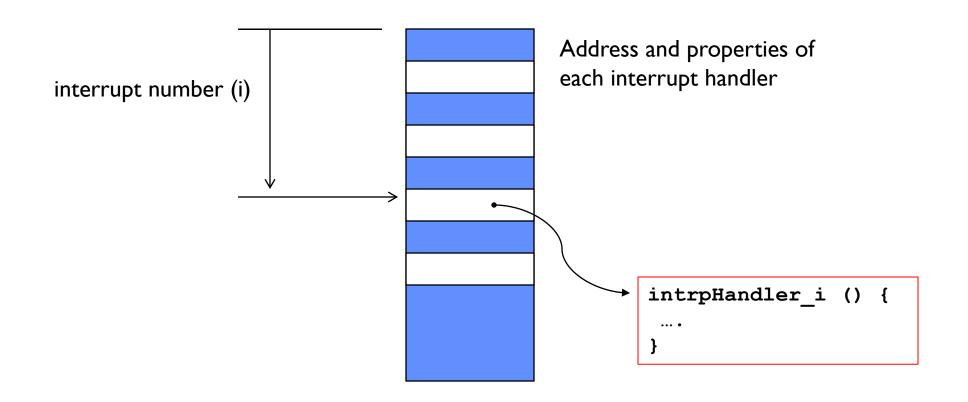
- Interrupt processing not visible to the user process:
  - -Occurs between instructions, restarted transparently
  - -No change to process state
  - -What can be observed even with perfect interrupt processing?
- Interrupt vector
  - -Limited number of entry points into kernel
- Kernel interrupt stack
  - -Handler works regardless of state of user code
- Interrupt masking
  - -Handler is non-blocking
- Atomic transfer of control
  - -"Single instruction"-like to change:
    - » Program counter
    - » Stack pointer
    - » Memory protection
    - » Kernel/user mode
- Exceptions handled similarly, except synchronously (attached to particular 9/10/2024 instruction)

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- Interrupts invoked with interrupt lines from devices
- · Interrupt controller chooses interrupt request to honor
  - -Interrupt identity specified with ID line
  - -Mask enables/disables interrupts
  - -Priority encoder picks highest enabled interrupt
  - -Software Interrupt Set/Cleared by Software
- · CPU can disable all interrupts with internal flag
- · Non-Maskable Interrupt line (NMI) can't be disabled

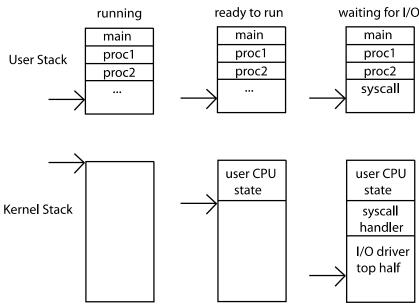
#### Interrupt Vector



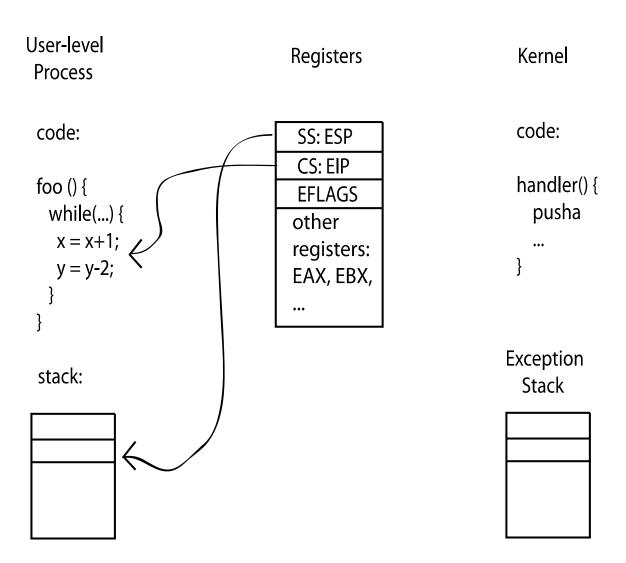
- · Where else do you see this dispatch pattern?
  - -System Call
  - -Exceptions

# Need for Separate Kernel Stacks

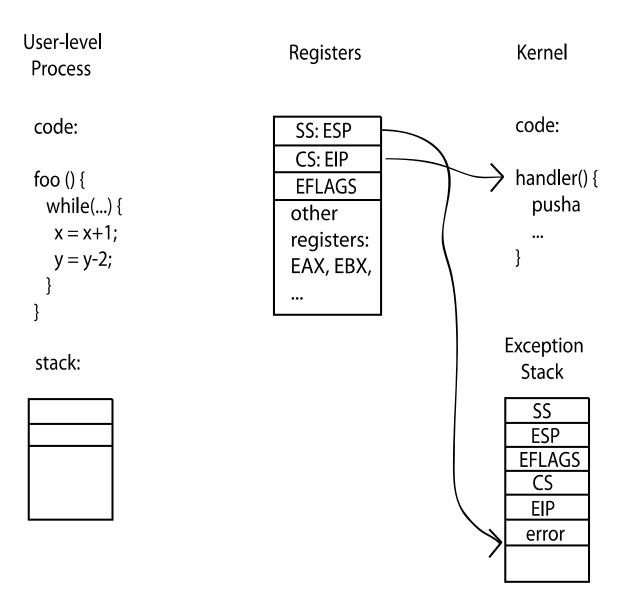
- · Kernel needs space to work
- Cannot put anything on the user stack (Why?)
- Two-stack model
  - -OS thread has interrupt stack (located in kernel memory) plus User stack (located in user memory)
  - -Syscall handler copies user args to kernel space before invoking specific function (e.g., open)
  - -Interrupts?



#### Before



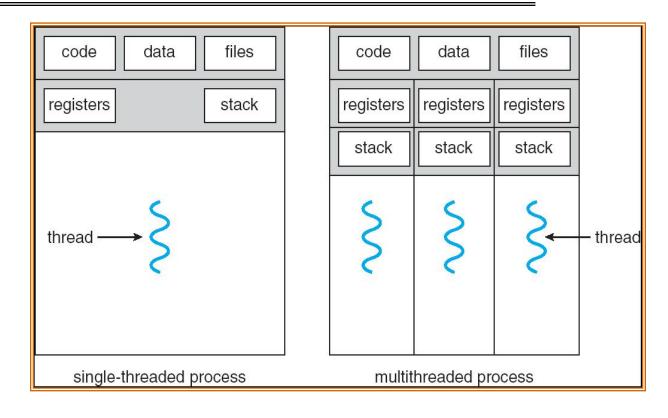
# During Interrupt/System Call



# **Managing Processes**

- How to manage process state?
  - -How to create a process?
  - -How to exit from a process?
- Remember: Everything outside of the kernel is running in a process!
  - -Including the shell! (Homework 2)

 Processes are created and managed... by processes!



#### Bootstrapping

- If processes are created by other processes, how does the first process start?
- First process is started by the kernel
  - -Often configured as an argument to the kernel before the kernel boots
  - -Often called the "init" process
- After this, all processes on the system are created by other processes

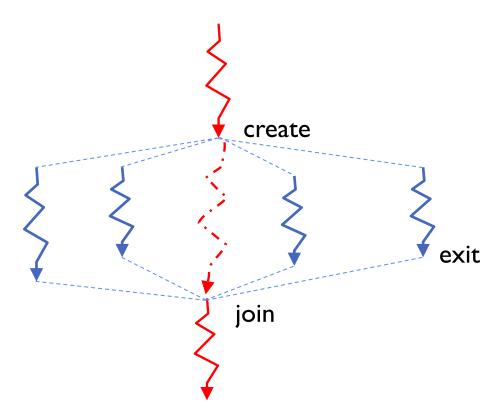
#### Process Management API

- exit terminate a process
- fork copy the current process
- exec change the program being run by the current process
- wait wait for a process to finish
- kill send a signal (interrupt-like notification) to another process

  Recall threads
- sigaction set handlers for s

int pthread\_create
void pthread\_exit
pthread\_join

#### Recall: Fork-Join Pattern



- Main thread creates (forks) collection of sub-threads passing them args to work on...
- ... and then joins with them, collecting results.

# Process Management API

- exit terminate a process
- fork copy the current process
- exec change the program being run by the current process
- wait wait for a process to finish
- kill send a signal (interrupt-like notification) to another process
- sigaction set handlers for signals

```
#include <stdlib.h>
#include <stdio.h>
#include <string.h>
#include <unistd.h>
#include <sys/types.h>
int main(int argc, char *argv[])
  /* get current processes PID */
  pid_t pid = getpid();
  printf("My pid: %d\n", pid);
  exit(0);
```

# Q: What if we let main return without ever calling exit?

- The OS Library calls exit() for us!
- The entrypoint of the executable is in the OS library
- · OS library calls main
- If main returns, OS library calls exit
- You'll see this in Project O: init.c

# Process Management API

- exit terminate a process
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#### **Creating Processes**

- pid\_t fork() copy the current process
  - -New process has different pid
  - -New process contains a single thread
- Return value from fork(): pid (like an integer)
  - -When > 0:
    - » Running in (original) Parent process
    - » return value is pid of new child
  - -When = 0:
    - » Running in new Child process
  - -When < 0:
    - » Error! Must handle somehow
    - » Running in original process
- State of original process duplicated in both Parent and Child!
  - -Address Space (Memory), File Descriptors (covered later), etc...

#### fork1.c

```
#include <stdlib.h>
#include <stdio.h>
#include <unistd.h>
#include <sys/types.h>
int main(int argc, char *argv[]) {
 pid t cpid, mypid;
 printf("Parent pid: %d\n", pid);
 cpid = fork();
                            /* Parent Process */
 if (cpid > 0) {
   mypid = getpid();
   printf("[%d] parent of [%d]\n", mypid, cpid);
 } else if (cpid == 0) {      /* Child Process */
   mypid = getpid();
   printf("[%d] child\n", mypid);
 } else {
   perror("Fork failed");
```

#### fork1.c

```
#include <stdlib.h>
#include <stdio.h>
#include <unistd.h>
#include <sys/types.h>
int main(int argc, char *argv[]) {
  pid_t cpid, mypid;
  pid_t pid = getpid();
                                /* get current processes PID */
  printf("Parent pid: %d\n", pid);
  cpid = fork();
  if (cpid > 0) {
                                /* Parent Process */
   mypid = getpid();
    printf("[%d] parent of [%d]\n", mypid, cpid);
  } else if (cpid == 0) {      /* Child Process */
   mypid = getpid();
    printf("[%d] child\n", mypid);
  } else {
    perror("Fork failed");
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```

#### fork1.c

```
#include <stdlib.h>
#include <stdio.h>
#include <unistd.h>
#include <sys/types.h>
int main(int argc, char *argv[]) {
 pid t cpid, mypid;
 printf("Parent pid: %d\n", pid);
 cpid = fork();
 if (cpid > 0) {
                             /* Parent Process */
   mypid = getpid();
   printf("[%d] parent of [%d]\n", mypid, cpid);
 } else if (cpid == 0) {      /* Child Process */
   mypid = getpid();
   printf("[%d] child\n", mypid);
 } else {
   perror("Fork failed");
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```

#### Mystery: fork\_race.c

```
int i;
pid_t cpid = fork();
if (cpid > 0) {
  for (i = 0; i < 10; i++) {
    printf("Parent: %d\n", i);
   // sleep(1);
} else if (cpid == 0) {
  for (i = 0; i > -10; i--) {
   printf("Child: %d\n", i);
   // sleep(1);
```

Recall: a process consists of one or more threads executing in an address space

- · Here, each process has a single thread
- · These threads execute concurrently

- What does this print?
- Would adding the calls to sleep() matter?

# Process Management API

- exit terminate a process
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- sigaction set handlers for signals

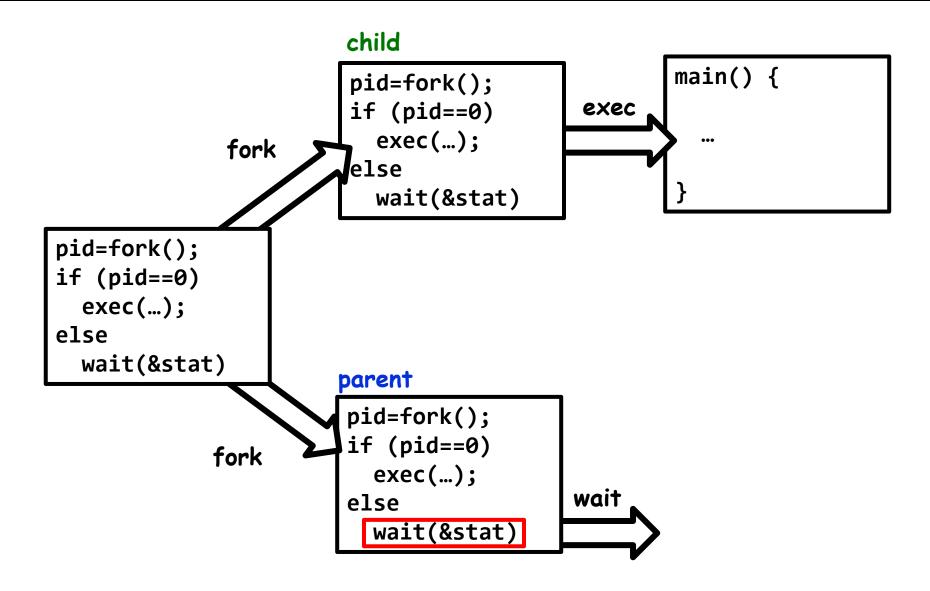
#### Starting new Program: variants of exec

```
cpid = fork();
if (cpid > 0) {
                 /* Parent Process */
 tcpid = wait(&status);
} else if (cpid == 0) {     /* Child Process */
 char *args[] = {"ls", "-1", NULL};
 execv("/bin/ls", args);
 /* execv doesn't return when it works.
    So, if we got here, it failed! */
 perror("execv");
 exit(1);
```

#### fork2.c - parent waits for child to finish

```
int status;
pid_t tcpid;
cpid = fork();
if (cpid > 0) {
                              /* Parent Process */
  mypid = getpid();
  printf("[%d] parent of [%d]\n", mypid, cpid);
  tcpid = wait(&status);
  printf("[%d] bye %d(%d)\n", mypid, tcpid, status);
} else if (cpid == 0) {     /* Child Process */
  mypid = getpid();
  printf("[%d] child\n", mypid);
  exit(42);
```

#### Process Management: The Shell pattern



# Process Management API

- exit terminate a process
- fork copy the current process
- exec change the program being run by the current process
- wait wait for a process to finish
- kill send a signal (interrupt-like notification) to another process
- sigaction set handlers for signals

#### inf\_loop.c

```
#include <stdlib.h>
#include <stdio.h>
#include <sys/types.h>
#include <unistd.h>
#include <signal.h>
void signal_callback_handler(int signum) {
  printf("Caught signal!\n");
  exit(1);
int main() {
  struct sigaction sa;
  sa.sa_flags = 0;
  sigemptyset(&sa.sa_mask);
  sa.sa_handler = signal_callback_handler;
  sigaction(SIGINT, &sa, NULL);
  while (1) {}
```

Q: What would happen if the process receives a SIGINT signal, but does not register a signal handler?

A: The process dies!

For each signal, there is a default handler defined by the system

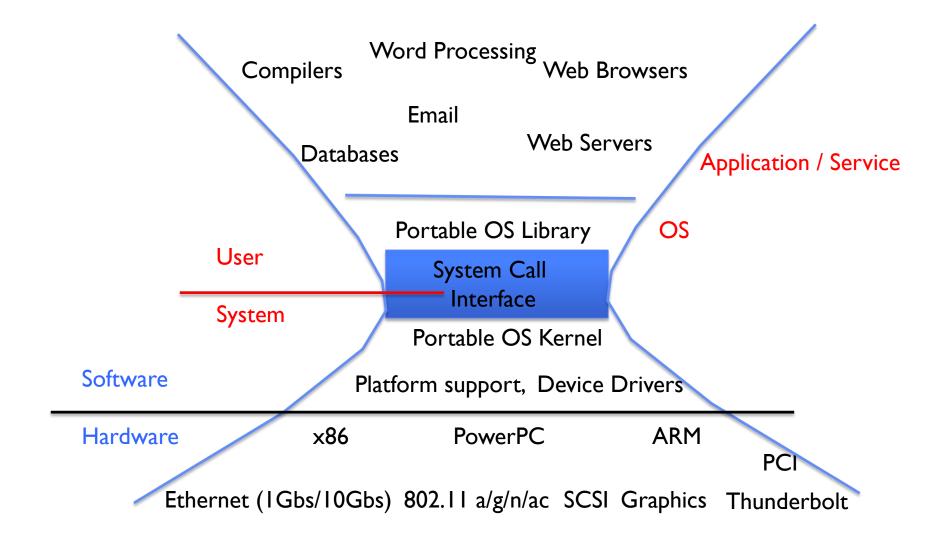
#### Common POSIX Signals

- SIGINT control-C
- SIGTERM default for kill shell command
- SIGSTOP control-Z (default action: stop process)
- SIGKILL, SIGSTOP terminate/stop process
  - -Can't be changed with sigaction
  - -Why?

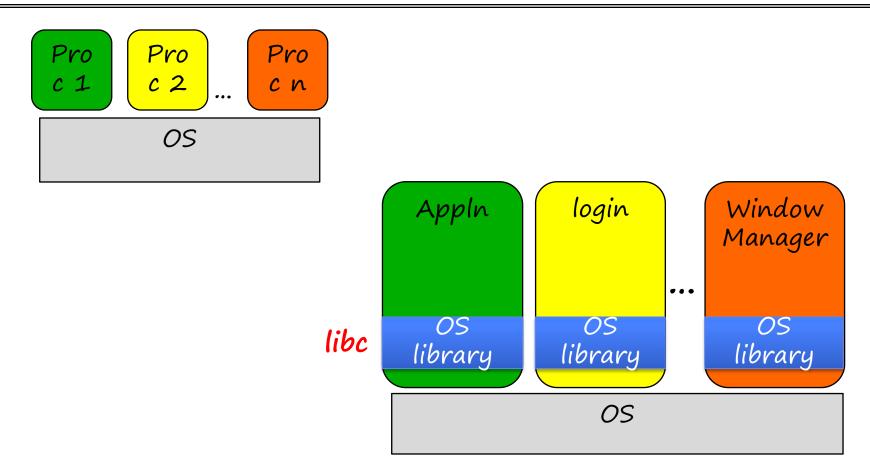
# Recall: UNIX System Structure

User Mode		Applications	(the users)		
		Standard Libe	shells and commands mpilers and interpreters system libraries		
	Kernel	system-call interface to the kernel			
Kernel Mode		signals terminal handling character I/O system terminal drivers	file system swapping block I/O system disk and tape drivers	CPU scheduling page replacement demand paging virtual memory	
		kernel interface to the hardware			
Hardware		terminal controllers terminals	device controllers disks and tapes	memory controllers physical memory	

#### A Kind of Narrow Waist



# Recall: OS Library (libc) Issues Syscalls



- OS Library: Code linked into the user-level application that provides a clean or more functional API to the user than just the raw syscalls -Most of this code runs at user level, but makes syscalls (which run at kernel level)

#### Administrivia

- Ion's Office Hours
   -11-12pm, Tuesdays
- Ion away this Thursday (NYC conference)
   The lecture will be taught by Yi Xu, postdoc in the Sky



- · Recommendation: Read assigned readings before lecture
- You should be going to sections Important information covered in section
  - -Any section will do until groups assigned
- · Get finding groups of 4 people ASAP
  - -Priority for same section; if cannot make this work, keep same TA
  - -Remember: Your TA needs to see you in section!

# Administrivia (Con't)

- You get 6 slip days for homework and 7 slip days for group projects
  - -No project extensions on design documents, since we need to keep design reviews on track
  - -Conserve your slip days!
- Midterm 1 will be on 10/3 from 7-9pm (tentative)
  - -No class on day of midterm
  - -Closed book
  - -One page of handwritten notes both sides

# Unix/POSIX Idea: Everything is a "File"

- Identical interface for:
  - -Files on disk
  - -Devices (terminals, printers, etc.)
  - -Regular files on disk
  - -Networking (sockets)
  - -Local interprocess communication (pipes, sockets)
- Based on the system calls open(), read(), write(), and close()
- Additional: ioctl() for custom configuration that doesn't quite fit
- Note that the "Everything is a File" idea was a radical idea when proposed
  - -Dennis Ritchie and Ken Thompson described this idea in their seminal paper on UNIX called "The UNIX Time-Sharing System" from 1974
  - -Seethe resources page if you are curious

### Aside: POSIX interfaces

- POSIX: Portable Operating System Interface (for uniX?)
  - -Interface for application programmers (mostly)
  - -Defines the term "Unix," derived from AT&T Unix
  - -Created to bring order to many Unix-derived OSes, so applications are portable
    - » Partially available on non-Unix OSes, like Windows
  - -Requires standard system call interface

# The File System Abstraction

#### • File

- -Named collection of data in a file system
- -POSIX File data: sequence of bytes
  - » Could be text, binary, serialized objects, ...
- -File Metadata: information about the file
  - » Size, Modification Time, Owner, Security info, Access control

#### Directory

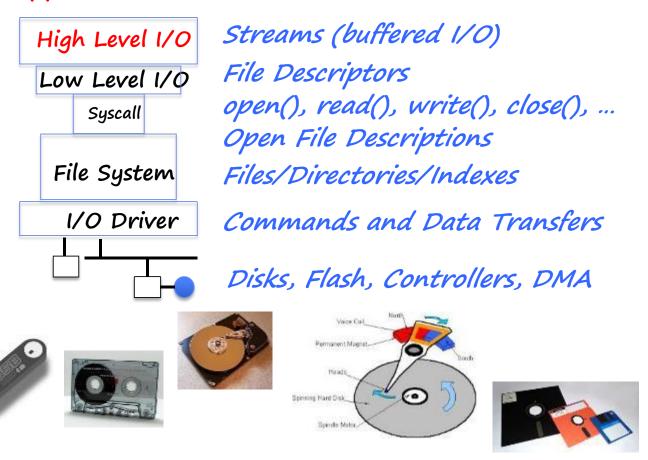
- -"Folder" containing files & directories
- -Hierachical (graphical) naming
  - » Path through the directory graph
  - » Uniquely identifies a file or directory
    - /home/ff/cs162/public\_html/fa14/index.html
- -Links and Volumes (later)

# Connecting Processes, File Systems, and Users

- Every process has a current working directory (CWD)
  - -Can be set with system call:
     int chdir(const char \*path); //change CWD
- Absolute paths ignore CWD
  - -/home/oski/cs162
- Relative paths are relative to CWD
  - -index.html, ./index.html
    - » Refers to index. html in current working directory
  - -../index.html
    - » Refers to index. html in parent of current working directory
  - -~/index.html, ~cs162/index.html
    - » Refers to index. html in the home directory

# I/O and Storage Layers

#### Application / Service



# C High-Level File API – Streams

• Operates on "streams" – unformatted sequences of bytes (wither text or binary data)

```
#include <stdio.h>
FILE *fopen( const char *filename, const char *mode );
int fclose( FILE *fp );
```

Mode Text	Binary	Descriptions
r	rb	Open existing file for reading
W	wb	Open for writing; created if does not exist
а	ab	Open for appending; created if does not exist
<b>r</b> +	rb+	Open existing file for reading & writing.
W+	wb+	Open for reading & writing; truncated to zero if exists, create otherwise
a+	ab+	Open for reading & writing. Created if does not exist. Read from beginning, write as append

Open stream represented by pointer to a FILE data structure
 Error reported by returning a NULL pointer

#### C API Standard Streams - stdio.h

- Three predefined streams are opened implicitly when the program is executed.
  - FILE \*stdin normal source of input, can be redirected
  - FILE \*stdout normal source of output, can too
  - FILE \*stderr diagnostics and errors
- STDIN / STDOUT enable composition in Unix
- All can be redirected
  - cat hello.txt | grep "World!"
  - cat's stdout goes to grep's stdin

## C High-Level File API

```
// character oriented
int fputc( int c, FILE *fp );
                              // rtn c or EOF on err
int fputs( const char *s, FILE *fp );  // rtn > 0 or EOF
int fgetc( FILE * fp );
char *fgets( char *buf, int n, FILE *fp );
// block oriented
size t fread(void *ptr, size t size of elements,
            size t number of elements, FILE *a file);
size t fwrite(const void *ptr, size t size of elements,
            size t number_of_elements, FILE *a_file);
// formatted
int fprintf(FILE *restrict stream, const char *restrict format, ...);
int fscanf(FILE *restrict stream, const char *restrict format, ...);
```

# C Streams: Char-by-Char I/O

```
int main(void) {
  FILE* input = fopen("input.txt", "r");
  FILE* output = fopen("output.txt", "w");
  int c;
 c = fgetc(input);
 while (c != EOF) {
   fputc(output, c);
    c = fgetc(input);
  fclose(input);
  fclose(output);
```

## C High-Level File API

```
// character oriented
int fputc( int c, FILE *fp );  // rtn c or EOF on err
int fputs( const char *s, FILE *fp ); // rtn > 0 or EOF
int fgetc( FILE * fp );
char *fgets( char *buf, int n, FILE *fp );
// block oriented
size t fread(void *ptr, size t size of elements,
            size t number of elements, FILE *a file);
size t fwrite(const void *ptr, size t size of elements,
            size t number of elements, FILE *a file);
// formatted
int fprintf(FILE *restrict stream, const char *restrict format, ...);
int fscanf(FILE *restrict stream, const char *restrict format, ...);
```

## C Streams: Block-by-Block I/O

```
#define BUFFER_SIZE 1024
int main(void) {
  FILE* input = fopen("input.txt", "r");
  FILE* output = fopen("output.txt", "w");
  char buffer[BUFFER SIZE];
  size t length;
  length = fread(buffer, sizeof(char), BUFFER_SIZE, input);
  while (length > 0) {
    fwrite(buffer, sizeof(char), length, output);
    length = fread(buffer, sizeof(char), BUFFER SIZE, input);
  fclose(input);
  fclose(output);
```

## Aside: Check your Errors!

- Systems programmers should always be paranoid!
  - -Otherwise, you get intermittently buggy code
- · We should really be writing things like:

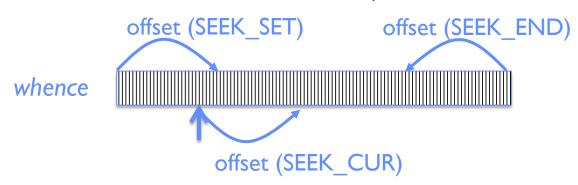
```
FILE* input = fopen("input.txt", "r");
if (input == NULL) {
    // Prints our string and error msg.
    perror("Failed to open input file")
}
```

- · Be thorough about checking return values!
  - -Want failures to be systematically caught and dealt with
- I may be a bit loose with error checking for examples in class (to keep short)
  - -Do as I say, not as I show in class!

# C High-Level File API: Positioning The Pointer

```
int fseek(FILE *stream, long int offset, int whence);
long int ftell (FILE *stream)
void rewind (FILE *stream)
```

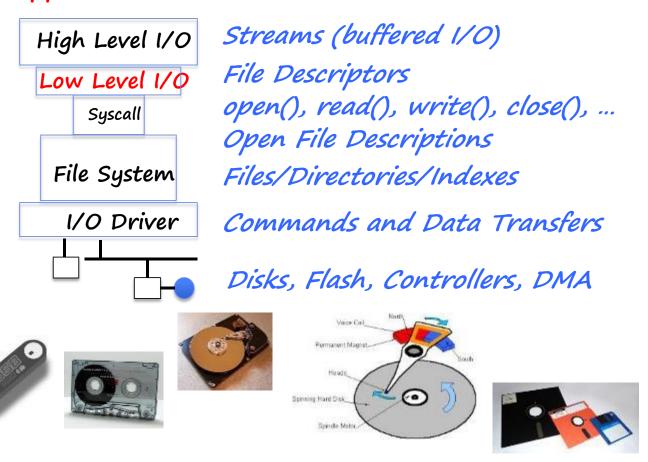
- For fseek(), the offset is interpreted based on the whence argument (constants in stdio.h):
  - SEEK\_SET: Then offset interpreted from beginning (position 0)
  - SEEK\_END: Then offset interpreted backwards from end of file
  - SEEK\_CUR: Then offset interpreted from current position



 Overall preserves high-level abstraction of a uniform stream of objects

# I/O and Storage Layers

#### Application / Service



# Low-Level File I/O: The RAW system-call interface

```
#include <fcntl.h>
#include <unistd.h>
#include <sys/types.h>

int open (const char *filename int flags [, mode_t mode])
int creat (const char *filename, mode_t mode)
int close (int filedes)
```

#### Bit vector of:

- Access modes (Rd, Wr, ...)
- Open Flags (Create, ...)
- Operating modes (Appends,

Bit vector of Permission Bits:

User|Group|Other X R|W|X

- Integer return from open() is a file descriptor
  - Error indicated by return < 0: the global errno variable set with error (see man pages)
- Operations on file descriptors:
  - Open system call created an open file description entry in system-wide table of open files
- Open file description object in the kernel represents an instance of an open file

  9/10/2024 Why give user an integer instead of a pointer to the file description in kernel? Lec 4.50

# C Low-Level (pre-opened) Standard Descriptors

```
#include <unistd.h>
STDIN_FILENO - macro has value 0
STDOUT FILENO - macro has value 1
STDERR_FILENO - macro has value 2
// Get file descriptor inside FILE *
int fileno (FILE *stream)
// Make FILE * from descriptor
FILE * fdopen (int filedes, const char *opentype)
```

#### Low-Level File API

· Read data from open file using file descriptor:

```
ssize_t read (int filedes, void *buffer, size_t maxsize)
```

- -Reads up to maxsize bytes might actually read less!
- -returns bytes read, 0 => EOF, -1 => error
- · Write data to open file using file descriptor

```
ssize_t write (int filedes, const void *buffer, size_t size)
```

- -returns number of bytes written
- Reposition file offset within kernel (this is independent of any position held by high-level FILE descriptor for this file!

```
off_t lseek (int filedes, off_t offset, int whence)
```

### Example: lowio.c

```
int main() {
  char buf[1000];
  int     fd = open("lowio.c", O_RDONLY, S_IRUSR | S_IWUSR);
  ssize_t rd = read(fd, buf, sizeof(buf));
  int     err = close(fd);
  ssize_t wr = write(STDOUT_FILENO, buf, rd);
}
```

· How many bytes does this program read?

# POSIX I/O: Design Patterns

- Open before use
  - -Access control check, setup happens here
- Byte-oriented
  - -Least common denominator
  - -OS responsible for hiding the fact that real devices may not work this way (e.g. hard drive stores data in blocks)
- Explicit close

# POSIX I/O: Kernel Buffering

- Reads are buffered inside kernel
  - -Part of making everything byte-oriented
  - -Process is blocked while waiting for device
  - -Let other processes run while gathering result
- · Writes are buffered inside kernel
  - -Complete in background (more later on)
  - -Return to user when data is "handed off" to kernel
- This buffering is part of global buffer management and caching for block devices (such as disks)
  - -Items typically cached in quanta of disk block sizes
  - -We will have many interesting things to say about this buffering when we dive into the kernel

# Low-Level I/O: Other Operations

- Operations specific to terminals, devices, networking, ...
   -e.g., ioctl
   Duplicating descriptors
   int dup?(int old int now);
  - int dup2(int old, int new);
  - int dup(int old);
- Pipes channel
  - int pipe(int pipefd[2]);
  - Writes to pipefd[1] can be read from pipefd[0]
- File Locking
- Memory-Mapping Files
- · Asynchronous I/O

## Low-Level vs High-Level file API

- Low-level direct use of syscall interface: open(), read(), write(), close()
- Opening of file returns file
- descriptor:

```
int myfile = open(...);
```

- File descriptor only meaningful to kernel
  - Index into process (PDB) which holds pointers to kernel-level structure ("file description") describing file.
- Every read() or write() causes syscall no matter how small (could read a single byte)
- Consider loop to get 4 bytes at a time using read():
  - Each iteration enters kernel for 4 bytes.

- High-level buffered access: fopen(), fread(), fwrite(), fclose()
- Opening of file returns ptr to FILE:
   FILE \*myfile = fopen(...);
- FILE structure is user space contains:
  - a chunk of memory for a buffer
  - the file descriptor for the file (fopen() will call open() automatically)
- Every fread() or fwrite() filters through buffer and may not call read() or write() on every call.
- Consider loop to get 4 bytes at a time using fread():
  - -First call to fread() calls read() for block of bytes (say 1024). Puts in buffer and returns first 4 to user.
  - -Subsequent fread() grab bytes from buffer

# Low-Level vs. High-Level File API

```
Low-Level Operation: ssize_t read(...) {
```

asm code ... syscall # into %eax put args into registers %ebx, ... special trap instruction

#### Kernel:

get args from regs dispatch to system func do the work to read from the file store return value in %eax

get return values from regs

Return data to caller

**}**;

```
High-Level Operation:
ssize_t fread(...) {
Check buffer for contents
Return data to caller if available
```

asm code ... syscall # into %eax put args into registers %ebx, ... special trap instruction

#### Kernel:

get args from regs dispatch to system func Do the work to read from the file Store return value in %eax

get return values from regs

Update buffer with excess data Return data to caller

**}**;

# High-Level vs. Low-Level File API

• Streams are buffered in user memory:

```
printf("Beginning of line ");
sleep(10); // sleep for 10 seconds
printf("and end of line\n");
```

Prints out everything at once

· Operations on file descriptors are visible immediately

```
write(STDOUT_FILENO, "Beginning of line ", 18);
sleep(10);
write("and end of line \n", 16);
```

Outputs "Beginning of line" 10 seconds earlier than "and end of line"

#### Conclusion

- System Call Interface is "narrow waist" between user programs and kernel
  - -Must enter kernel atomically by setting PC to kernel routine at same time that CPU enters kernel mode
- Processes consist of one or more threads in an address space
  - -Abstraction of the machine: execution environment for a program
  - -Can use fork, exec, etc. to manage threads within a process
- · We saw the role of the OS library
  - -Provide API to programs
  - -Interface with the OS to request services
- Streaming 10: modeled as a stream of bytes
  - -Most streaming I/O functions start with "f" (like "fread")
  - -Data buffered automatically by C-library function
- · Low-level 1/0:
  - -File descriptors are integers
  - -Low-level 1/0 supported directly at system call level