# Topology Notes

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#### 1 Set Theory

**Definition 1.1** (Set cardinality  $\leq$ ). Let A, B be sets. A has **cardinality less than or equal to** B (write  $|A| \leq |B|$ ) if there exists an injection from A to B. In notation,

$$|A| \le |B| \iff \exists f : A \to B \text{ injective}$$
 (1.1)

**Theorem 1.1** (Cantor). For all sets X (including infinite),  $X \not\geq \mathcal{P}(X)$ . That is, there does not exist an injection from  $\mathcal{P}(X)$  to X.

*Proof.* The proof contains 2 steps:

- (i) Show that there is no surjection from X to  $\mathcal{P}(X)$ .
- (ii) Show that (i) implies that there is no injection from  $\mathcal{P}(X)$  to X.

We start by proving (ii) through the following lemma:

**Lemma 1.2.** Let C, D be sets,  $C \neq \emptyset$ . If there is an injection  $i : C \to D$ , then there exists a surjection  $j : D \to C$ .

Proof.

The contrapositive of this lemma gives that no surjection from  $D \to C$  implies no injection from  $C \to D$ .

**Theorem 1.3** (Informal statement of the axiom of choice). Given a family  $\mathscr{F}$  of nonempty sets, it is possible to pick out an element from each set in the family.

**Definition 1.2** (Partial order). A **partial order** is a pair  $\mathcal{A} = (A, \triangleright)$  where  $A \neq \emptyset$  such that for  $a, b, c \in A$ 

- (i) Antireflexivity:  $a \triangleright a$  never happens.
- (ii) Transitivity:  $a \triangleright b$ ,  $b \triangleright c \Rightarrow a \triangleright c$

**Remark.** With a partial order, you *can* have incomparable elements.

**Example 1.1** (Partial order). For any set X, a partial order is  $(\mathcal{P}(X), \subsetneq)$ . For example, if  $X = \{1, 2\}$ , then  $\{1\}$  and  $\{2\}$  are incomparable.

**Definition 1.3** (Maximal). Let  $(A, \triangleright)$  be a partial order. Then  $m \in A$  is maximal if and only if no  $a \triangleright m$ .

**Example 1.2** (Maximal elements). The following are examples of posets and their maximal elements:

- (i)  $(\mathbb{N}, <)$  has no maximal element (there is no largest natural number).
- (ii)  $(\{\{1\}, \{2\}\}, \subsetneq)$  has 2 maximal elements, since the two elements of the set are not comparable.

**Definition 1.4** (Chain). A **chain** in a partial order  $(A, \triangleright)$  is a  $C \subseteq A$  such that  $\forall a, b \in C$ , a = b or  $a \triangleright b$  or  $b \triangleright a$ . (One interpretation in words, "C is linear")

**Theorem 1.4** (Zorn's Lemma). Let  $(A, \triangleright)$  be a partial order such that the following condition is satisfied:

( $\mathcal{Z}$ ) In words, if every chain has an upper bound then there is a maximal element. More precisely, ff C is a chain, then  $\exists x$  such that  $x \triangle$ 

#### 2 Topological Spaces

**Definition 2.1** (Topology, Topological Space). A **topological space** is a pair  $(X, \mathcal{T})$  where X is a nonempty set and  $\mathcal{T}$  is a set of subsets of X (called a **topology**) having the following properties:

(i)  $\emptyset$  and X are in  $\mathcal{T}$ .

$$\emptyset \in \mathcal{T}, X \in \mathcal{T}$$
 (2.1)

(ii) The union of *arbitrarily* many sets in  $\mathcal{T}$  is in  $\mathcal{T}$ .

$$A_{\eta} \in \mathcal{T} \ \forall \eta \in H \Rightarrow \bigcup_{\eta \in H} A_{\eta} = \left\{ a \in X \text{ for some (that is, } a \in A_{\eta}) \right\} \in \mathcal{T}$$
 (2.2)

(iii) The intersection a *finite* number of sets in  $\mathcal{T}$  is in  $\mathcal{T}$ .

$$A_1, \dots, A_n \in \mathcal{T} \Rightarrow A_1 \cap \dots \cap A_n \in \mathcal{T}$$
 (2.3)

A set *X* for which a topology  $\mathcal{T}$  has been specified is called a **topological space**, that is, the pair  $(X, \mathcal{T})$ .

**Example 2.1** (Examples of topologies). The following are examples of topological spaces:

- (i) The collection consisting of *X* and  $\emptyset$  is called the **trivial topology** or **indiscrete topology**.
  - (a)  $\mathcal{T} = \{\emptyset, X\}$
- (ii) If *X* is any set, the collection of all subsets of *X* is a topology on *X*, called the **discrete topology**.
  - (a)  $\mathcal{T} = \mathcal{P}(X)$
- (iii) Let  $X = \{1\}$ . Then  $\mathcal{T} = \{\emptyset, \{1\}\}$  is a topology.
- (iv) Sierpinski: Let  $X = \{a, b\}$  and  $\mathcal{T} = \{\emptyset, X, \{a\}\}$ . In this topology, b is glued to a. That is, we can't have a set with b and without a. However, a is *not* glued to a.

Remark. Observe that from these examples,

(v)  $X = \mathbb{R}$  and  $\mathcal{T} = \{\emptyset, X\} \cup \{[a, \infty) \mid a \in \mathbb{R}\}.$ 

**Definition 2.2** ((Strictly) Finer, (Strictly) Coarser, Comparable). Suppose  $\mathcal{T}$  and  $\mathcal{T}'$  are two topologies on a given set X. If  $\mathcal{T}' \supset \mathcal{T}$ , we say that  $\mathcal{T}'$  is **finer** than  $\mathcal{T}$ . If  $\mathcal{T}'$  properly contains  $\mathcal{T}$ , we say that  $\mathcal{T}'$  is **strictly finer** than  $\mathcal{T}$ . For these respective situations, we say that  $\mathcal{T}$  is **coarser** or **strictly coarser** than  $\mathcal{T}'$ . We say that  $\mathcal{T}$  is **comparable** with  $\mathcal{T}'$  if either  $\mathcal{T}' \supset \mathcal{T}$  or  $\mathcal{T} \supset \mathcal{T}'$ .

**Example 2.2** (Finest and coarsest topologies). For any set *X*, the finest topology is the discrete topology and the coarsest topology is the trivial topology.

#### 3 Basis for a Topology

**Definition 3.1** (Basis, Basis Elements, Topology  $\mathcal{T}$  generated by  $\mathcal{B}$ ). If X is a set, a **basis** for a topology on X is a collection  $\mathcal{B}$  of subsets of X (called **basis elements**) such that

- (i) Every element  $x \in X$  belongs to some set in  $\mathcal{B}$ .
- (ii) If x belongs to the intersection of two basis elements  $B_1$  and  $B_2$ , then there is a basis element  $B_3$  containing x such that  $B_3 \subset B_1 \cap B_2$ .

**Example 3.1** (Bases). The following are example of bases of topologies:

- (i)  $X = \mathbb{R}$  and  $B = \{(a, b) | b > a\}$ . We can cover  $\mathbb{R}$  with open intervals. Further, a real number x is contained in two intervals  $B_1$  and  $B_2$ , then there will be an open interval  $B_3$  contained in the intersection of the two intervals. In this example, we can actually set  $B_3 = B_1 \cap B_2$ .
- (ii)  $X = \mathbb{R}^2$  and  $\mathcal{B} = \{\text{interiors of circles}\}$ . We can cover  $\mathbb{R}^2$  with circles. If x is in the intersection of two circles  $B_1$  and  $B_2$ , then we can construct a circle  $B_3$  contained in the intersection  $B_1 \cap B_2$ . Note in this example, we can just take the actual intersection, as it's not a circle. This example can also be extended to other polygons.

**Definition 3.2** (Topology  $\mathcal{T}$  generated by  $\mathcal{B}$ ). If  $\mathcal{B}$  is a basis for a topology on X, then we define the **topology**  $\mathcal{T}$  **generated by**  $\mathcal{B}$  as follows: A subset U of X is said to be open in X (that is, to be an element of  $\mathcal{T}$ ) if for each  $x \in U$ , there is a basis element  $B_x \in \mathcal{B}$  such that  $x \in B_x$  and  $B_x \subset U$ . Note that each basis element is itself an element of  $\mathcal{T}$ . More succinctly:

$$U \subset X : U \in \mathcal{T} \iff \forall x \in U \exists B_x \in \mathcal{B} \text{ s.t. } x \in B_x \subset U$$

**Theorem 3.1** (Collection  $\mathcal{T}$  generated by a basis  $\mathcal{B}$  is a topology on X).

*Proof.* We check the three conditions:

(i) The empty set is vacuously open (since it has no elements), so  $\emptyset \in \mathcal{T}$ . Further,  $X \in \mathcal{T}$  since for each  $x \in X$ , there must be at least one basis element B containing x, which itself is contained in X.

[[Incomplete]]

**Lemma 3.2** (Every open set in X can be expressed as a union of basis elements (not unique)). Let X be a set and  $\mathcal{B}$  a basis for a topology  $\mathcal{T}$  on X. Then  $\mathcal{T}$  equals the collection of all unions of elements of  $\mathcal{B}$ .

*Proof.* We need to show two inclusions:

- (i) Collection of elements of  $\mathcal{B}$  in  $\mathcal{T}$ : In the topology  $\mathcal{T}$  generated by  $\mathcal{B}$ , each basis element is itself an element of  $\mathcal{T}$ . Since  $\mathcal{T}$  is a topology, their union is also in  $\mathcal{T}$ .
- (ii) Element of  $\mathcal{T}$  in collection of all unions of elements of  $\mathcal{B}$ : Take  $U \in \mathcal{T}$ . Then we know  $\forall x \in U$   $\exists B_x \in \mathcal{B}$  such that  $x \in B_x \in U$ . Then we claim that  $U = \bigcup_{x \in U} B_x$ , so that U equals a union of elements of  $\mathcal{B}$ . Indeed, " $\subset$ " follows since  $x \in U \implies x \in B_x$ . And, " $\supset$ " follows since  $B_x \subset U$ , so that the union of all such  $B_x$  is in U.

**Lemma 3.3.** Let  $(X, \mathcal{T})$  be a topological space. Suppose C is a collection of open sets of X (i.e.,  $C \subset \mathcal{T}$ ) such that for each open set U of X and each  $x \in U$ , there is an element  $V \in C$  such that  $x \in V \subset U$ . Then C is a basis for the topology of X. In notation;

$$\forall U \in \mathcal{T} \ \forall a \in U \ \exists V \in \mathcal{C} \ s.t. \ a \in V, V \subset U$$

**Definition 3.3** (Subbasis). A **subbasis**  $\mathscr{S}$  for a topology on X is a collection of subsets of X whose union equals X. The topology generated by the subbasis  $\mathscr{S}$  is defined to be the collection  $\mathcal{T}$  of all unions of finite intersections of elements of  $\mathscr{S}$ .

#### 4 Continuous Functions

**Definition 4.1** (Closed). A subset A of a topological space  $(X, \mathcal{T})$  is said to be **closed** if the set  $X - A \in \mathcal{T}$ . In words, a subset of a topological space is open if its complement (in the space) is open.

**Example 4.1** (Sets can be both closed and open). Let  $(X, \mathcal{T})$  be a topological space. Then  $X - X = \emptyset \in \mathcal{T}$  and  $X - \emptyset = X \in \mathcal{T}$ . Therefore  $X, \emptyset$  are both closed and open. We call this type of set **clopen**. Further

Closed 
$$\neq$$
 Not Open (4.1)

**Example 4.2** (Sets can be neither closed nor open). Consider Q in the usual topology on R.

**Definition 4.2** (Continuous). Let  $(X, \mathcal{T})$  and  $(Y, \sigma)$  be topological spaces. A function  $f: X \to Y$  is said to be **continuous** with respect to  $\mathcal{T}$  and  $\sigma$  if for each open subset V of Y, the set  $f^{-1}(V)$  is an open subset of X. In symbols,  $\forall S \in \sigma$ , we have that  $f^{-1}(S) \in \mathcal{T}$  (where  $f^{-1}(S) = \{a \in X \mid f(a) \in S\}$ ). In words, the preimage of an open set is open.

**Definition 4.3** (Homeomorphic). The topological spaces  $(X, \mathcal{T})$  and  $(Y, \sigma)$  are **homeomorphic** if there exists a function  $f: X \to Y$  such that

- (i) *f* is bijective.
- (ii) *f* is continuous.
- (iii)  $f^{-1}$  is also continuous.

We write  $(X, \mathcal{T}) \cong (Y, \sigma)$  or  $f : (X, \mathcal{T}) \cong (Y, \sigma)$ .

**Remark.** This definition states that we can find a *single* bijection that's continuous in both directions.

**Example 4.3** (Continuous functions). Let X be a set with more than one element. Let  $\mathcal{T}_{disc} = \mathcal{P}(X)$  and  $\mathcal{T}_{ind} = \{\emptyset, X\}$  (that is, the discrete and indiscrete topologies. We require X to have more than one element, else these topologies would be the same). Let f = id. Then

- (i)  $f:(X, \mathcal{T}_{disc}) \to (X, \mathcal{T}_{ind})$  is **continuous**. Indeed, if  $S \subseteq X$ ,  $S \in \mathcal{T}_{ind}$ , then  $f^{-1}(S) \in \mathcal{T}_{disc}$ , since  $\mathcal{T}_{disc} \supset \mathcal{T}_{ind}$ .
- (ii)  $f:(X,\mathcal{T}_{ind}) \to (X,\mathcal{T}_{disc})$  is **not continuous**. For example, suppose  $X = \{1,2\}$ . Let  $S = \{1\} \in \mathcal{T}_{disc}$ . Then  $f^{-1}(\{1\}) = \{1\} \notin \mathcal{T}_{ind}$ .

Example 4.4 (Open, closed, continuous functions).

**Definition 4.4** (Open map).  $f:(X, \mathcal{T}) \to (Y, \sigma)$  is an **open map** if  $\forall S \in \mathcal{T}$  we have that  $f(S) \in \sigma$  (recall  $f(S) = \{f(s) \mid s \in S\}$ ). In words: open sets map to open sets.

**Definition 4.5** (Closed map).  $f:(X,\mathcal{T})\to (Y,\sigma)$  is a **closed map** if  $\forall S\subset X$  such that  $X-S\in\mathcal{T}$  we have that  $Y-f(S)\in\sigma$ . In words: closed sets map to closed sets.

Continuous, open, and closed maps don't have clean relationships: Let  $X = \{1, 2\}$ .

- (i) Continuous, not open, not closed map: Let  $f:(X,\mathcal{P}(X))\to (X,\{\emptyset,X\})$  be the identity map (discrete to indiscrete).
  - (a) Continuous: Previous example.
  - (b) Not open:  $\{1\} \in \mathcal{P}(X)$  maps to  $\{1\} \notin \{\emptyset, X\}$ . Thus the map is not open.
  - (c) Not closed:  $X \{1\} = \{2\} \in \mathcal{P}(X)$ , so  $\{1\}$  is closed in  $(X, \mathcal{P}(X))$ . But  $\{2\} \notin \{\emptyset, X\}$ . Thus  $\{1\}$  is closed on the left, but not on the right.
- (ii) Open, closed, not continuous map: Let  $f:(X,\{\emptyset,X\})\to (X,\mathcal{P}(X))$  be the identity map (indiscrete to discrete).
  - (a) Not continuous: Previous example.
  - (b) Open: Since  $\mathcal{T}_{ind} \subset \mathcal{T}_{disc}$
  - (c) Closed: Since  $\mathcal{T}_{ind} \subset \mathcal{T}_{disc}$ .
- (iii) Continuous, closed, not open:

## 5 Subspace Topology

**Definition 5.1** (Subspace topology). Given a topological space  $(X, \mathcal{T})$  and a non-empty set  $A \subseteq X$ , the **subspace topology on** A **induced (or given) by**  $\mathcal{T}$  is  $(A, \mathcal{T}_A)$  where  $\mathcal{T}_A = \{U \cap A \mid U \in \mathcal{T}\}$ .

*Proof that*  $(A, \mathcal{T}_A)$  *is a topological space.* We check the axioms:

- (i)  $\emptyset \in \mathcal{T}_A$ :  $\emptyset \in \mathcal{T}$ , and  $\emptyset \cap A = \emptyset$ , so  $\emptyset \in \mathcal{T}_A$ .
- (ii)  $A \in \mathcal{T}_A$ :  $X \in \mathcal{T}$ , and  $X \cap A = A$ , so  $A \in \mathcal{T}_A$ .
- (iii) Closure under finite intersections:

# 6 Metric Spaces

**Definition 6.1** (Metric space). A **metric space** is a nonempty set X together with a binary function  $d: X \times X \to \mathbb{R}$  which satisfies the following properties: For all  $x, y, z \in X$  we have that

- (i)  $d(x, y) \ge 0$
- (ii) d(x, y) = 0 if and only if x = y
- (iii) d(x, y) = d(y, x)
- (iv) Triangle inequality:  $d(x,y) + d(y,z) \ge d(x,z)$

**Definition 6.2** (Metric topology). Given a metric space (X, d), the set  $\mathcal{B} = \{B_{\varepsilon}(x) \mid \varepsilon > 0, x \in X\}$  is a basis for a topology on X (where  $B_{\varepsilon}(x) = \{y \in X \mid d(x, y) < \varepsilon\}$ ) called the **metric topology**.

#### 7 Sequences

**Definition 7.1** (Converges). Fix a topological space  $(X, \mathcal{T})$ . A sequence of points  $(a_i)_{i \in \mathbb{N}} \subset X$  **converges** to  $b \in X$  if for every open set W containing b, all but finitely many of the terms of the sequence are in W. In symbols

$$(a_i)_{i\in\mathbb{N}}\to b\iff \forall W\in\mathcal{T} \text{ s.t. } b\in W, \exists N\in\mathbb{N} \text{ s.t. } \forall m>n, a_m\in W$$

**Definition 7.2** (Sequentially closed). A set  $S \subset X$  is **sequentially closed** if for every sequence  $(a_i)_{i \in \mathbb{N}}$  of points in S converging to some  $b \in X$ , we have  $b \in S$ .

#### How do sequentially closed and closed sets relate?

- In a metric space, a set is closed if and only if it is sequentially closed.
- In general topological spaces, this need not be true.

How bad can convergence be in an arbitrary topological space? Pretty bad.

**Example 7.1** (Every sequence converges to every point). Let X be a set with at least 2 points and  $\mathcal{T}$  be the indiscrete (or trivial) topology (note: |X| = 1 isn't that interesting since every sequence in X would then be constant and hence convergent). Let  $(a_i)_{i \in \mathbb{N}}$  be a sequence of points in X and fix a point  $b \in X$ .

Claim 7.1. 
$$(a_i)_{i\in\mathbb{N}}\to b$$

*Proof.* Let  $U \subset X$  such that  $b \in U$  and  $U \in \mathcal{T}$ . Since  $b \in U$ , we have that  $U \neq \emptyset$ , so that the only possibility is that U = X (since  $\mathcal{T} = \{\emptyset, X\}$ ). But then U contains all elements of the sequence  $(a_i)_{i \in \mathbb{N}}$ . Thus  $(a_i)_{i \in \mathbb{N}}$  converges to b. Since b was arbitrary,  $(a_i)_{i \in \mathbb{N}}$  converges to every point of X.

**Example 7.2** (Every sequence converges to exactly one point or doesn't converge, or converges to everything). Let  $(X, \mathcal{T})$  be the cofinite topology (on an infinite set X). For simplicity, let  $X = \mathbb{N}$ . Let  $(a_i)_{i \in \mathbb{N}}$  be a sequence of points in X. We can divide the possible forms of  $(a_i)_{i \in \mathbb{N}}$  into 3 cases:

- (i) No infinite repetition of any terms (ex. (1,2,3,4,...)).
- (ii) Exactly one value gets repeated infinitely often (ex.  $(1,2,1,3,1,4,1,\ldots)$ ).
- (iii) At least 2 values get repeated infinitely often.

We will show that the respective outcomes for these cases are

- (i) Converges to every point.
- (ii) Converges to point repeated infinitely often.
- (iii) Doesn't converge.

**Claim 7.2.** A sequence with no infinite repetition converges to every point.

*Proof.* Let  $a=(a_i)_{i\in\mathbb{N}}$  be a sequence in X with no infinite repetition and let  $b\in X$ . Let  $b\in U$  where  $U\in \mathcal{T}$  (U open). Note that  $U\neq \emptyset$ . Thus U is cofinite (that is, X-U is finite, so that finitely many points of X are not in U). Therefore each point not in U only appears finitely many times in the sequence (since there is no infinite repetition in a). Therefore only finitely many of the terms of a are not in U (finite  $\times$  finite = finite). Therefore the sequence converges to b.

**Claim 7.3** (Metric space: closed  $\iff$  sequentially closed). In a metric space, a set is closed if and only if it is sequentially closed. More formally: If (X,d) is a metric space and  $\mathcal{T}_d$  is the induced topology on X, then  $S \subset X$  is sequentially closed if and only if S is closed (with respect to T, that is  $X - S \in T$ ).

Closed  $\Rightarrow$  sequentially closed. Suppose (for contradiction) that  $A \subset X$ , A closed, but A not sequentially closed. Since A is not sequentially closed, there is a sequence of points  $(a_i)_{i\in\mathbb{N}}$  from A and a point  $b \in X - A$  such that  $(a_i)_{i \in \mathbb{N}} \to b$ .

A closed means X - A is open. So since  $b \in X - A$ , there is some  $U \in \mathcal{T}_d$  with  $b \in U$  such that  $U \cap A = \emptyset$ . Of course, U = X - A works. But, we can find a basic open (i.e., a set in the basis we're using, here the set of open balls). Since  $b \in X - A$  and X - A open, there exists  $\varepsilon > 0$  such that  $B_{\varepsilon}(b) \subset X - A$ . Thus we have that

- (i)  $B_{\varepsilon}(b)$  is open.
- (ii)  $b \in B_{\varepsilon}(b)$ .
- (iii)  $B_{\varepsilon}(b)$  contains none of the terms of  $(a_i)_{i \in \mathbb{N}}$  (since  $a_i \in A$  for all i). But then  $(a_i)_{i\in\mathbb{N}} \not\to b$ , a contradiction.

**Product Topology** 

**Definition 8.1** (Product topology, two sets). Let  $(X, \tau)$  and  $(Y, \sigma)$  be topological spaces. The prod**uct topology** on  $X \times Y$  is the topology having as *basis* the collection  $\mathcal{B}$  of all set of the form  $U \times V$ where *U* is an open subset of *X* and *V* is an open subset of *Y*. In symbols,

$$\mathcal{B}_{\tau \times \sigma} = \{ U \times V \mid U \in \tau, V \in \sigma \}$$
(8.1)

**Remark.** Note, open sets of  $X \times Y$  need not be of the form open set in  $X \times$  open set in Y.

*Proof that*  $\mathcal{B}_{\tau \times \sigma}$  *is indeed a basis for a topology on*  $X \times Y$ . We check the two conditions required to be a basis:

- (i)  $\mathcal{B}$  "covers" X: Note that  $X \in \tau$  and  $Y \in \sigma$  (since they are each topologies). Therefore  $X \times Y \in \mathcal{B}$ . Thus for any  $(x,y) \in X \times Y$ , we have that  $(x,y) \in X \times Y \in \mathcal{B}$ .
- (ii) Intersection Property: Take two basis elements  $U_1 \times V_1$  and  $U_2 \times V_2$ . Notice that

$$(U_1 \times V_1) \cap (U_2 \times V_2) = (U_1 \cap U_2) \times (V_1 \cap V_2)$$
 (8.2)

But then  $U_1 \cap U_2 \in \tau$  and  $V_1 \times V_2 \in \sigma$ , so that  $(U_1 \times V_1) \cap (U_2 \times V_2) \in \mathcal{B}$ , so the intersection of two basis elements is again a basis element. This is stronger than the property required to be a basis, yet of course sufficient.

**Definition 8.2** (Product space/topology for finitely many spaces). Let  $(X_1, \tau_1), \ldots, (X_n, \tau_n)$  be topological spaces. The set of points of the **product space** is  $X_1 \times \cdots \times X_n$ . The basis for the product topology is

$$\mathcal{B}_{\tau_1 \times \dots \times \tau_n} = \{ W_1 \times \dots \times W_n \mid W_i \in \tau_i \}$$
(8.3)

**Remark.** Again,  $\mathcal{B}_{\tau_1 \times \cdots \times \tau_n}$  is indeed a basis since the first condition is trivially satisfied ( $X_1 \times \cdots \times X_n$  is a basis element) and the intersection of products is a product of intersections, and hence again a basis element.

**Definition 8.3** (Projection Maps). Let  $(X_1, \tau_1)$  and  $(X_2, \tau_2)$  be topological spaces. Let

$$\pi_1: X_1 \times X_2 \to X_1: (x_1, x_2) \mapsto x_1$$
  
 $\pi_2: X_1 \times X_2 \to X_2: (x_1, x_2) \mapsto x_2$ 

then  $\pi_1$  and  $\pi_2$  are projection maps.

**Claim 8.1.** Let  $\pi_1$  be  $\pi_2$  projection maps (as above). Then

- (i)  $\pi_1$  is continuous with respect to  $\tau_1 \otimes \tau_2$  and  $\tau_1$ .
- (ii)  $\pi_2$  is continuous with respect to  $\tau_1 \otimes \tau_2$  and  $\tau_2$ .

*Proof.* We show  $\pi_1$  is continuous. Suppose  $S \subset X_1$  is open (i.e.,  $\in \tau_1$ ). We want to show that  $\pi^{-1}(S) \in \tau_1 \otimes \tau_2$ . We have that

$$\pi_1^{-1}(S) = \{ p \in X_1 \times X_2 \mid \pi_1(p) \in S \}$$

$$= \{ (x_1, x_2) \in X_1 \times X_2 \mid \pi_1((x_1, x_2)) \in S \}$$

$$= \{ (x_1, x_2) \in X_1 \times X_2 \mid x_1 \in S \}$$

$$= S \times X_2$$

Thus we have that

- S is  $\tau_1$ -open.
- $X_2$  is  $\tau_2$ -open.

so that  $S \times X_2$  is in our basis for  $\tau_1 \otimes \tau_2$ . Thus,  $S \times X_2 \in \tau_1 \otimes \tau_2$ .

9 Compactness

**Definition 9.1** (Open cover). An **open cover** of (*X*, *τ*) is a family of *τ*-open sets  $\mathcal{C} \subset \tau$  such that  $\bigcup \mathcal{C} = X$ .

**Remark.** The notation  $\bigcup \mathcal{C} = X$  means X is the union of "stuff" in  $\mathcal{C}$ .

**Example 9.1** (Open covers). The following are examples of open covers of  $(X, \tau)$ :

- (i) Any basis for  $\tau$  is an open cover of  $(X, \tau)$ .
- (ii) Any subbasis for  $\tau$  is an open cover of  $(X, \tau)$ .
- (iii)  $\{X\}$ .
- (iv)  $\tau$ .
- (v) Let  $X = \mathbb{R}$  and  $\tau = \tau_e$ . Then  $\{(-n, n) \mid n \in \mathbb{N}\}$  is an open cover of X.

**Definition 9.2** (Subcover).  $\mathcal{D}$  is a **subcover** of  $\mathcal{C}$  if

- (i)  $\mathcal{D} \subset \mathcal{C}$ .
- (ii)  $\mathcal{D}$  is an open cover.

A finite subcover is a subcover which is finite.

**Definition 9.3** (Compact). A topological space  $(X, \tau)$  is **compact** if every open cover has a finite subcover.

**Example 9.2** (Non-compact Set). Consider the topological space  $(\mathbb{R}, \tau_e)$ . This space has a finite subcover:  $\{\mathbb{R}\}$ . But does this imply that  $(\mathbb{R}, \tau_e)$  is compact? No! We need to see if *all* open covers have finite subcovers. Consider the open cover

$$C = \{(-n, n) \mid n \in \mathbb{N}\} \tag{9.1}$$

 $\mathcal{C}$  has no finite subcover. Thus  $(\mathbb{R}, \tau_e)$  is not compact.

#### A Set Theory Review

**Definition A.1** (Difference). The **difference** of two sets, denoted A - B, is the set consisting of those elements of A that are not in B. In notation

$$A - B = \{x | x \in A \text{ and } x \notin B\}$$

**Theorem A.1** (Set-Theoretic Rules). We have that, for any sets A, B, C,

(i) First distributive law for the operations  $\cap$  and  $\cup$ :

$$A \cap (B \cup C) = (A \cap B) \cup (A \cap C) \tag{A.1}$$

(ii) Second distributive law for the operations  $\cap$  and  $\cup$ :

$$A \cup (B \cap C) = (A \cup B) \cap (A \cup C) \tag{A.2}$$

(iii) DeMorgan's laws:

$$A - (B \cup C) = (A - B) \cap (A - C) \tag{A.3}$$

"The complement of the union equals the intersection of the complements."

$$A - (B \cap C) = (A - B) \cup (A - C) \tag{A.4}$$

"The complement of the intersection equals the union of the complements."

#### A.1 Functions

**Exercise A.1.** Let  $f: A \to B$ . Let  $A_0 \subset A$  and  $B_0 \subset B$ . Then

- (i)  $A_0 \subset f^{-1}(f(A_0))$  and equality holds if f is injective.
- (ii)  $f(f^{-1}(B_0)) \subset B_0$  and equality holds if f is surjective.

Solution. We prove each item in turn:

- (i) Let  $a \in A_0$ . Then  $f(a) \in f(A_0)$ . We have that  $f^{-1}(f(A_0)) = \{a \mid f(a) \in f(A_0)\}$ . Then  $f(a) \in f^{-1}(f(A_0))$ , so that  $A_0 \subset f^{-1}(f(A_0))$ . We can actually show equality holds if and only if f is injective.
  - (a)  $\Leftarrow$  Suppose f is injective. Let  $a \in f^{-1}(f(A_0))$ . Then  $f(a) \in f(A_0)$ . Therefore there exists some  $b \in f(A_0)$  such that f(a) = f(b). Injectivity implies  $a = b \in A_0$ .
  - (b)  $\Rightarrow$  We will prove the contrapositive. Suppose f is *not* injective. Then f(a) = f(b) for some  $a \neq b$ . Therefore  $\{a,b\} \subset f^{-1}(f(\{a\}))$ . Thus  $f^{-1}(f(\{a\})) \not\subset \{a\}$ .
- (ii) Let  $x \in f(f^{-1}(B_0))$ . Then there is some  $b \in f^{-1}(B_0)$  such that f(b) = x. But  $f(b) \in B_0$ , so  $x \in B_0$ .
  - (a)  $\Leftarrow$  Suppose f is surjective. Take  $b \in B_0$ , then there exists some  $a \in A_0$  such that f(a) = b, so that  $a \in f^{-1}(B_0)$ , and  $b = f(a) \in f(f^{-1}(B_0))$ .

#### Exercise A.2.

- Solution. (i) Let  $B_0 \subset B_1$ . Fix  $x \in f^{-1}(B_0)$ . Then  $f(x) \in B_0$ , which implies  $f(x) \in B_1$ . Thus  $f^{-1}(B_0) \subset f^{-1}(B_1)$ .
  - (ii) We show two inclusions:
    - (a)  $\supset$ : We can use (*i*), since  $B_i \subset B_0 \cup B_1$ , so  $f^{-1}(B_0) \subset f^{-1}(B_0 \cup B_1)$  and  $f^{-1}(B_1) \subset f^{-1}(B_0 \cup B_1)$ , so  $f^{-1}(B_0) \cup f^{-1}(B_1) \subset f^{-1}(B_0 \cup B_1)$ .
    - (b)  $\subset$ : Let  $x \in f^{-1}(B_0 \cup B_1)$ . Thus there exists some  $b \in B_0 \cup B_1$  such that f(x) = b. Therefore  $x \in f^{-1}(B_0)$  or  $x \in f^{-1}(B_1)$ , so that  $f^{-1}(B_0 \cup B_1) \subset f^{-1}(B_1)$ .

(iii)