

# Topology Notes

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# 1 Set Theory

**Definition 1.1 (Set cardinality  $\leq$ ).** Let  $A, B$  be sets.  $A$  has **cardinality less than or equal to**  $B$  (write  $|A| \leq |B|$ ) if there exists an injection from  $A$  to  $B$ . In notation,

$$|A| \leq |B| \iff \exists f : A \rightarrow B \text{ injective} \quad (1.1)$$

**Theorem 1.1 (Cantor).** For all sets  $X$  (including infinite),  $X \not\geq \mathcal{P}(X)$ . That is, there does not exist an injection from  $\mathcal{P}(X)$  to  $X$ .

*Proof.* The proof contains 2 steps:

- (i) Show that there is no surjection from  $X$  to  $\mathcal{P}(X)$ .
- (ii) Show that (i) implies that there is no injection from  $\mathcal{P}(X)$  to  $X$ .

We start by proving (ii) through the following lemma:

**Lemma 1.2.** Let  $C, D$  be sets,  $C \neq \emptyset$ . If there is an injection  $i : C \rightarrow D$ , then there exists a surjection  $j : D \rightarrow C$ .

*Proof.* □

The contrapositive of this lemma gives that no surjection from  $D \rightarrow C$  implies no injection from  $C \rightarrow D$ . □

**Theorem 1.3 (Informal statement of the axiom of choice).** Given a family  $\mathcal{F}$  of nonempty sets, it is possible to pick out an element from each set in the family.

**Definition 1.2 (Partial order).** A **partial order** is a pair  $\mathcal{A} = (A, \triangleright)$  where  $A \neq \emptyset$  such that for  $a, b, c \in A$

- (i) Antireflexivity:  $a \triangleright a$  never happens.
- (ii) Transitivity:  $a \triangleright b, b \triangleright c \Rightarrow a \triangleright c$

**Remark.** With a partial order, you *can* have incomparable elements.

**Example 1.1 (Partial order).** For any set  $X$ , a partial order is  $(\mathcal{P}(X), \subsetneq)$ . For example, if  $X = \{1, 2\}$ , then  $\{1\}$  and  $\{2\}$  are incomparable.

**Definition 1.3 (Maximal).** Let  $(A, \triangleright)$  be a partial order. Then  $m \in A$  is maximal if and only if no  $a \triangleright m$ .

**Example 1.2 (Maximal elements).** The following are examples of posets and their maximal elements:

- (i)  $(\mathbb{N}, <)$  has no maximal element (there is no largest natural number).
- (ii)  $(\{\{1\}, \{2\}\}, \subsetneq)$  has 2 maximal elements, since the two elements of the set are not comparable.

**Definition 1.4 (Chain).** A **chain** in a partial order  $(A, \triangleright)$  is a  $C \subseteq A$  such that  $\forall a, b \in C, a = b$  or  $a \triangleright b$  or  $b \triangleright a$ . (One interpretation in words, “ $C$  is linear”)

**Theorem 1.4 (Zorn’s Lemma).** Let  $(A, \triangleright)$  be a partial order such that the following condition is satisfied:  
*(Z)* In words, if every chain has an upper bound then there is a maximal element. More precisely, *ff*  $C$  is a chain, then  $\exists x$  such that  $x \triangleleft$

## 2 Topological Spaces

**Definition 2.1** (Topology, Topological Space). A **topological space** is a pair  $(X, \mathcal{T})$  where  $X$  is a nonempty set and  $\mathcal{T}$  is a set of subsets of  $X$  (called a **topology**) having the following properties:

- (i)  $\emptyset$  and  $X$  are in  $\mathcal{T}$ .

$$\emptyset \in \mathcal{T}, X \in \mathcal{T} \quad (2.1)$$

- (ii) The union of *arbitrarily* many sets in  $\mathcal{T}$  is in  $\mathcal{T}$ .

$$A_\eta \in \mathcal{T} \forall \eta \in H \Rightarrow \bigcup_{\eta \in H} A_\eta = \{a \in X \text{ for some (that is, } a \in A_\eta)\} \in \mathcal{T} \quad (2.2)$$

- (iii) The intersection a *finite* number of sets in  $\mathcal{T}$  is in  $\mathcal{T}$ .

$$A_1, \dots, A_n \in \mathcal{T} \Rightarrow A_1 \cap \dots \cap A_n \in \mathcal{T} \quad (2.3)$$

A set  $X$  for which a topology  $\mathcal{T}$  has been specified is called a **topological space**, that is, the pair  $(X, \mathcal{T})$ .

**Example 2.1** (Examples of topologies). The following are examples of topologies/topological spaces:

- (i) The collection consisting of  $X$  and  $\emptyset$  is called the **trivial topology** or **indiscrete topology**.

$$(a) \mathcal{T} = \{\emptyset, X\}$$

- (ii) If  $X$  is any set, the collection of all subsets of  $X$  is a topology on  $X$ , called the **discrete topology**.

$$(a) \mathcal{T} = \mathcal{P}(X)$$

- (iii) Let  $X = \{1\}$ . Then  $\mathcal{T} = \{\emptyset, \{1\}\}$  is a topology.

- (iv) Sierpinski: Let  $X = \{a, b\}$  and  $\mathcal{T} = \{\emptyset, X, \{a\}\}$ . In this topology,  $b$  is glued to  $a$ . That is, we can't have a set with  $b$  and without  $a$ . However,  $a$  is *not* glued to  $a$ .

**Remark.** Observe that from these examples,

$$\text{indiscrete} \subsetneq \text{Sierpinski} \subsetneq \text{discrete}$$

- (v)  $X = \mathbb{R}$  and  $\mathcal{T} = \{\emptyset, X\} \cup \{[a, \infty) \mid a \in \mathbb{R}\}$ .

**Definition 2.2** ((Strictly) Finer, (Strictly) Coarser, Comparable). Suppose  $\mathcal{T}$  and  $\mathcal{T}'$  are two topologies on a given set  $X$ . If  $\mathcal{T}' \supset \mathcal{T}$ , we say that  $\mathcal{T}'$  is **finer** than  $\mathcal{T}$ . If  $\mathcal{T}'$  properly contains  $\mathcal{T}$ , we say that  $\mathcal{T}'$  is **strictly finer** than  $\mathcal{T}$ . For these respective situations, we say that  $\mathcal{T}$  is **coarser** or **strictly coarser** than  $\mathcal{T}'$ . We say that  $\mathcal{T}$  is **comparable** with  $\mathcal{T}'$  if either  $\mathcal{T}' \supset \mathcal{T}$  or  $\mathcal{T} \supset \mathcal{T}'$ .

**Example 2.2** (Finest and coarsest topologies). For any set  $X$ , the finest topology is the discrete topology and the coarsest topology is the trivial topology.

### 3 Basis for a Topology

**Definition 3.1** (Basis, Basis Elements, Topology  $\mathcal{T}$  generated by  $\mathcal{B}$ ). If  $X$  is a set, a **basis** for a topology on  $X$  is a collection  $\mathcal{B}$  of subsets of  $X$  (called **basis elements**) such that

- (i) Every element  $x \in X$  belongs to some set in  $\mathcal{B}$ .
- (ii) If  $x$  belongs to the intersection of two basis elements  $B_1$  and  $B_2$ , then there is a basis element  $B_3$  containing  $x$  such that  $B_3 \subset B_1 \cap B_2$ .

**Example 3.1** (Bases). The following are example of bases of topologies:

- (i)  $X = \mathbb{R}$  and  $\mathcal{B} = \{(a, b) \mid b > a\}$ . We can cover  $\mathbb{R}$  with open intervals. Further, a real number  $x$  is contained in two intervals  $B_1$  and  $B_2$ , then there will be an open interval  $B_3$  contained in the intersection of the two intervals. In this example, we can actually set  $B_3 = B_1 \cap B_2$ .
- (ii)  $X = \mathbb{R}^2$  and  $\mathcal{B} = \{\text{interiors of circles}\}$ . We can cover  $\mathbb{R}^2$  with circles. If  $x$  is in the intersection of two circles  $B_1$  and  $B_2$ , then we can construct a circle  $B_3$  contained in the intersection  $B_1 \cap B_2$ . Note in this example, we can just take the actual intersection, as it's not a circle. This example can also be extended to other polygons.

**Definition 3.2** (Topology  $\mathcal{T}$  generated by  $\mathcal{B}$ ). If  $\mathcal{B}$  is a basis for a topology on  $X$ , then we define the **topology  $\mathcal{T}$  generated by  $\mathcal{B}$**  as follows: A subset  $U$  of  $X$  is said to be open in  $X$  (that is, to be an element of  $\mathcal{T}$ ) if for each  $x \in U$ , there is a basis element  $B_x \in \mathcal{B}$  such that  $x \in B_x$  and  $B_x \subset U$ . Note that each basis element is itself an element of  $\mathcal{T}$ . More succinctly:

$$U \subset X : U \in \mathcal{T} \iff \forall x \in U \exists B_x \in \mathcal{B} \text{ s.t. } x \in B_x \subset U$$

**Theorem 3.1** (Collection  $\mathcal{T}$  generated by a basis  $\mathcal{B}$  is a topology on  $X$ ).

*Proof.* We check the three conditions:

- (i) The empty set is vacuously open (since it has no elements), so  $\emptyset \in \mathcal{T}$ . Further,  $X \in \mathcal{T}$  since for each  $x \in X$ , there must be at least one basis element  $B$  containing  $x$ , which itself is contained in  $X$ .

[[Incomplete]] □

**Lemma 3.2** (Every open set in  $X$  can be expressed as a union of basis elements (not unique)). Let  $X$  be a set and  $\mathcal{B}$  a basis for a topology  $\mathcal{T}$  on  $X$ . Then  $\mathcal{T}$  equals the collection of all unions of elements of  $\mathcal{B}$ .

*Proof.* We need to show two inclusions:

- (i) Collection of elements of  $\mathcal{B}$  in  $\mathcal{T}$ : In the topology  $\mathcal{T}$  generated by  $\mathcal{B}$ , each basis element is itself an element of  $\mathcal{T}$ . Since  $\mathcal{T}$  is a topology, their union is also in  $\mathcal{T}$ .
- (ii) Element of  $\mathcal{T}$  in collection of all unions of elements of  $\mathcal{B}$ : Take  $U \in \mathcal{T}$ . Then we know  $\forall x \in U \exists B_x \in \mathcal{B}$  such that  $x \in B_x \subset U$ . Then we claim that  $U = \bigcup_{x \in U} B_x$ , so that  $U$  equals a union of elements of  $\mathcal{B}$ . Indeed, " $\subset$ " follows since  $x \in U \implies x \in B_x$ . And, " $\supset$ " follows since  $B_x \subset U$ , so that the union of all such  $B_x$  is in  $U$ .

□

**Lemma 3.3.** Let  $(X, \mathcal{T})$  be a topological space. Suppose  $\mathcal{C}$  is a collection of open sets of  $X$  (i.e.,  $\mathcal{C} \subset \mathcal{T}$ ) such that for each open set  $U$  of  $X$  and each  $x \in U$ , there is an element  $V \in \mathcal{C}$  such that  $x \in V \subset U$ . Then  $\mathcal{C}$  is a basis for the topology of  $X$ . In notation;

$$\forall U \in \mathcal{T} \forall a \in U \exists V \in \mathcal{C} \text{ s.t. } a \in V, V \subset U$$

**Definition 3.3 (Subbasis).** A **subbasis**  $\mathcal{S}$  for a topology on  $X$  is a collection of subsets of  $X$  whose union equals  $X$ . The topology generated by the subbasis  $\mathcal{S}$  is defined to be the collection  $\mathcal{T}$  of all unions of finite intersections of elements of  $\mathcal{S}$ .

## 4 Continuous Functions

**Definition 4.1 (Closed).** A subset  $A$  of a topological space  $(X, \mathcal{T})$  is said to be **closed** if the set  $X - A \in \mathcal{T}$ . In words, a subset of a topological space is open if its complement (in the space) is open.

**Example 4.1 (Sets can be both closed and open).** Let  $(X, \mathcal{T})$  be a topological space. Then  $X - X = \emptyset \in \mathcal{T}$  and  $X - \emptyset = X \in \mathcal{T}$ . Therefore  $X, \emptyset$  are both closed and open. We call this type of set **clopen**. Further

$$\text{Closed} \neq \text{Not Open} \quad (4.1)$$

**Example 4.2 (Sets can be neither closed nor open).** Consider  $\mathbb{Q}$  in the usual topology on  $\mathbb{R}$ .

**Definition 4.2 (Continuous).** Let  $(X, \mathcal{T})$  and  $(Y, \sigma)$  be topological spaces. A function  $f : X \rightarrow Y$  is said to be **continuous** with respect to  $\mathcal{T}$  and  $\sigma$  if for each open subset  $V$  of  $Y$ , the set  $f^{-1}(V)$  is an open subset of  $X$ . In symbols,  $\forall S \in \sigma$ , we have that  $f^{-1}(S) \in \mathcal{T}$  (where  $f^{-1}(S) = \{a \in X \mid f(a) \in S\}$ ). In words, the preimage of an open set is open.

**Definition 4.3 (Homeomorphic).** The topological spaces  $(X, \mathcal{T})$  and  $(Y, \sigma)$  are **homeomorphic** if there exists a function  $f : X \rightarrow Y$  such that

- (i)  $f$  is bijective.
- (ii)  $f$  is continuous.
- (iii)  $f^{-1}$  is also continuous.

We write  $(X, \mathcal{T}) \cong (Y, \sigma)$  or  $f : (X, \mathcal{T}) \cong (Y, \sigma)$ .

**Remark.** This definition states that we can find a *single* bijection that's continuous in both directions.

**Example 4.3 (Continuous functions).** Let  $X$  be a set with more than one element. Let  $\mathcal{T}_{disc} = \mathcal{P}(X)$  and  $\mathcal{T}_{ind} = \{\emptyset, X\}$  (that is, the discrete and indiscrete topologies. We require  $X$  to have more than one element, else these topologies would be the same). Let  $f = id$ . Then

- (i)  $f : (X, \mathcal{T}_{disc}) \rightarrow (X, \mathcal{T}_{ind})$  is **continuous**. Indeed, if  $S \subseteq X, S \in \mathcal{T}_{ind}$ , then  $f^{-1}(S) \in \mathcal{T}_{disc}$ , since  $\mathcal{T}_{disc} \supset \mathcal{T}_{ind}$ .
- (ii)  $f : (X, \mathcal{T}_{ind}) \rightarrow (X, \mathcal{T}_{disc})$  is **not continuous**. For example, suppose  $X = \{1, 2\}$ . Let  $S = \{1\} \in \mathcal{T}_{disc}$ . Then  $f^{-1}(\{1\}) = \{1\} \notin \mathcal{T}_{ind}$ .

**Example 4.4 (Open, closed, continuous functions).**

**Definition 4.4 (Open map).**  $f : (X, \mathcal{T}) \rightarrow (Y, \sigma)$  is an **open map** if  $\forall S \in \mathcal{T}$  we have that  $f(S) \in \sigma$  (recall  $f(S) = \{f(s) \mid s \in S\}$ ). In words: open sets map to open sets.

**Definition 4.5 (Closed map).**  $f : (X, \mathcal{T}) \rightarrow (Y, \sigma)$  is a **closed map** if  $\forall S \subset X$  such that  $X - S \in \mathcal{T}$  we have that  $Y - f(S) \in \sigma$ . In words: closed sets map to closed sets.

Continuous, open, and closed maps don't have clean relationships: Let  $X = \{1, 2\}$ .

- (i) Continuous, not open, not closed map: Let  $f : (X, \mathcal{P}(X)) \rightarrow (X, \{\emptyset, X\})$  be the identity map (discrete to indiscrete).
  - (a) Continuous: Previous example.
  - (b) Not open:  $\{1\} \in \mathcal{P}(X)$  maps to  $\{1\} \notin \{\emptyset, X\}$ . Thus the map is not open.
  - (c) Not closed:  $X - \{1\} = \{2\} \in \mathcal{P}(X)$ , so  $\{1\}$  is closed in  $(X, \mathcal{P}(X))$ . But  $\{2\} \notin \{\emptyset, X\}$ . Thus  $\{1\}$  is closed on the left, but not on the right.
- (ii) Open, closed, not continuous map: Let  $f : (X, \{\emptyset, X\}) \rightarrow (X, \mathcal{P}(X))$  be the identity map (indiscrete to discrete).
  - (a) Not continuous: Previous example.
  - (b) Open: Since  $\mathcal{T}_{ind} \subset \mathcal{T}_{disc}$
  - (c) Closed: Since  $\mathcal{T}_{ind} \subset \mathcal{T}_{disc}$ .
- (iii) Continuous, closed, not open:

## 5 Subspace Topology

**Definition 5.1 (Subspace topology).** Given a topological space  $(X, \mathcal{T})$  and a non-empty set  $A \subseteq X$ , the **subspace topology on  $A$  induced (or given) by  $\mathcal{T}$**  is  $(A, \mathcal{T}_A)$  where  $\mathcal{T}_A = \{U \cap A \mid U \in \mathcal{T}\}$ .

*Proof that  $(A, \mathcal{T}_A)$  is a topological space.* We check the axioms:

- (i)  $\emptyset \in \mathcal{T}_A$ :  $\emptyset \in \mathcal{T}$ , and  $\emptyset \cap A = \emptyset$ , so  $\emptyset \in \mathcal{T}_A$ .
- (ii)  $A \in \mathcal{T}_A$ :  $X \in \mathcal{T}$ , and  $X \cap A = A$ , so  $A \in \mathcal{T}_A$ .
- (iii) Closure under finite intersections:

□

## 6 Metric Spaces

**Definition 6.1 (Metric space).** A **metric space** is a nonempty set  $X$  together with a binary function  $d : X \times X \rightarrow \mathbb{R}$  which satisfies the following properties: For all  $x, y, z \in X$  we have that

- (i)  $d(x, y) \geq 0$
- (ii)  $d(x, y) = 0$  if and only if  $x = y$
- (iii)  $d(x, y) = d(y, x)$
- (iv) Triangle inequality:  $d(x, y) + d(y, z) \geq d(x, z)$

**Definition 6.2 (Metric topology).** Given a metric space  $(X, d)$ , the set  $\mathcal{B} = \{B_\epsilon(x) \mid \epsilon > 0, x \in X\}$  is a basis for a topology on  $X$  (where  $B_\epsilon(x) = \{y \in X \mid d(x, y) < \epsilon\}$ ) called the **metric topology**.

## A Set Theory Review

**Definition A.1 (Difference).** The **difference** of two sets, denoted  $A - B$ , is the set consisting of those elements of  $A$  that are not in  $B$ . In notation

$$A - B = \{x | x \in A \text{ and } x \notin B\}$$

**Theorem A.1 (Set-Theoretic Rules).** We have that, for any sets  $A, B, C$ ,

(i) First distributive law for the operations  $\cap$  and  $\cup$ :

$$A \cap (B \cup C) = (A \cap B) \cup (A \cap C) \quad (\text{A.1})$$

(ii) Second distributive law for the operations  $\cap$  and  $\cup$ :

$$A \cup (B \cap C) = (A \cup B) \cap (A \cup C) \quad (\text{A.2})$$

(iii) DeMorgan's laws:

$$A - (B \cup C) = (A - B) \cap (A - C) \quad (\text{A.3})$$

*"The complement of the union equals the intersection of the complements."*

$$A - (B \cap C) = (A - B) \cup (A - C) \quad (\text{A.4})$$

*"The complement of the intersection equals the union of the complements."*

### A.1 Functions

**Exercise A.1.** Let  $f : A \rightarrow B$ . Let  $A_0 \subset A$  and  $B_0 \subset B$ . Then

- (i)  $A_0 \subset f^{-1}(f(A_0))$  and equality holds if  $f$  is injective.
- (ii)  $f(f^{-1}(B_0)) \subset B_0$  and equality holds if  $f$  is surjective.

**Solution.** We prove each item in turn:

- (i) Let  $a \in A_0$ . Then  $f(a) \in f(A_0)$ . We have that  $f^{-1}(f(A_0)) = \{a | f(a) \in f(A_0)\}$ . Then  $f(a) \in f(A_0)$ , so that  $A_0 \subset f^{-1}(f(A_0))$ . We can actually show equality holds if and only if  $f$  is injective.
  - (a)  $\Leftarrow$  Suppose  $f$  is injective. Let  $a \in f^{-1}(f(A_0))$ . Then  $f(a) \in f(A_0)$ . Therefore there exists some  $b \in f(A_0)$  such that  $f(a) = f(b)$ . Injectivity implies  $a = b \in A_0$ .
  - (b)  $\Rightarrow$  We will prove the contrapositive. Suppose  $f$  is *not* injective. Then  $f(a) = f(b)$  for some  $a \neq b$ . Therefore  $\{a, b\} \subset f^{-1}(f(\{a\}))$ . Thus  $f^{-1}(f(\{a\})) \not\subset \{a\}$ .
- (ii) Let  $x \in f(f^{-1}(B_0))$ . Then there is some  $b \in f^{-1}(B_0)$  such that  $f(b) = x$ . But  $f(b) \in B_0$ , so  $x \in B_0$ .
  - (a)  $\Leftarrow$  Suppose  $f$  is surjective. Take  $b \in B_0$ , then there exists some  $a \in A_0$  such that  $f(a) = b$ , so that  $a \in f^{-1}(B_0)$ , and  $b = f(a) \in f(f^{-1}(B_0))$ .

**Exercise A.2.**

**Solution.** (i) Let  $B_0 \subset B_1$ . Fix  $x \in f^{-1}(B_0)$ . Then  $f(x) \in B_0$ , which implies  $f(x) \in B_1$ . Thus  $f^{-1}(B_0) \subset f^{-1}(B_1)$ .

(ii) We show two inclusions:

- (a)  $\supset$ : We can use (i), since  $B_i \subset B_0 \cup B_1$ , so  $f^{-1}(B_0) \subset f^{-1}(B_0 \cup B_1)$  and  $f^{-1}(B_1) \subset f^{-1}(B_0 \cup B_1)$ , so  $f^{-1}(B_0) \cup f^{-1}(B_1) \subset f^{-1}(B_0 \cup B_1)$ .
- (b)  $\subset$ : Let  $x \in f^{-1}(B_0 \cup B_1)$ . Thus there exists some  $b \in B_0 \cup B_1$  such that  $f(x) = b$ . Therefore  $x \in f^{-1}(B_0)$  or  $x \in f^{-1}(B_1)$ , so that  $f^{-1}(B_0 \cup B_1) \subset f^{-1}(B_1)$ .

(iii)