

Chronic Respiratory Disease

Association of obstructive sleep apnea with all-cause and cardiovascular mortality: A population-based study

Journal:	<i>Chronic Respiratory Disease</i>
Manuscript ID	CRD-22-0131
Manuscript Type:	Original Paper
Date Submitted by the Author:	03-Aug-2022
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Keywords:	obstructive sleep apnea, cardiometabolic diseases, hypertension, diabetes, cardiovascular diseases
Abstract:	<p>Objectives: Obstructive sleep apnea (OSA) is one of the leading respiratory disorders, increasing the risk of cardiometabolic diseases. In the study, we investigated the association between OSA and the risk of cardiometabolic diseases and all-cause and cardiovascular mortality in adults.</p> <p>Methods: We made analysis based on National Health and Nutrition Examination Survey (NHANES) 2005-2008. The diagnosis of OSA was obtained from self-reported interviews. The baseline covariates were compared between participants with and without OSA status. Multivariable logistic regression was performed to explore the association between OSA and cardiometabolic diseases while multivariable Cox regression for all-cause and cardiovascular mortality.</p> <p>Results: OSA status was positively associated with higher risks of cardiometabolic diseases, including hypertension (odds ratio [OR] 1.28, 95% confidence interval [CI] 1.14-1.45; $P < 0.001$), diabetes (OR 1.46, 95%CI 1.22-1.76; $P < 0.001$) and cardiovascular diseases (OR 1.29; 95%CI 1.08-1.54; $P = 0.006$) after adjusting for numerous covariates. However, no associations of OSA with all-cause or cardiovascular mortality were observed.</p> <p>Conclusions: OSA was associated with a higher risk of hypertension, diabetes and cardiovascular diseases, while had no significant association with all-cause and cardiovascular mortality.</p>

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1 **Association of obstructive sleep apnea with all-cause and cardiovascular**
2 **mortality: A population-based study**

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3 23 **Abstract**
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8 25 the risk of cardiometabolic diseases. In the study, we investigated the association between OSA
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16 28 (NHANES) 2005-2008. The diagnosis of OSA was obtained from self-reported interviews. The
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18 29 baseline covariates were compared between participants with and without OSA status.
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22 31 cardiometabolic diseases while multivariable Cox regression for all-cause and cardiovascular
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34 35 diabetes (OR 1.46, 95%CI 1.22-1.76; $P < 0.001$) and cardiovascular diseases (OR 1.29; 95%CI
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43 38 **Conclusions:** OSA was associated with a higher risk of hypertension, diabetes and cardiovascular
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50 41 **Keywords:** obstructive sleep apnea; cardiometabolic diseases; hypertension; diabetes;
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1 2 3 4 45 **1 Introduction** 5 6

7 46 Obstructive sleep apnea (OSA) is one of the sleep-related breathing disorders and repetitive
8 47 episodes of upper airway obstruction results in reduced airflow, nocturnal hypoxemia and
9 48 hypercapnia, arousals, sympathetic stimulation, and exaggerated intrathoracic pressure
10 49 swings[1].The apnea-hypopnea index (AHI) is the most commonly used metric to determine the
11 50 diagnosis and severity classification of OSA, as well as the associations between OSA and
12 51 comorbidities.

13 52 Several studies have emphasized that OSA was an independent risk factor for coronary heart
14 53 disease[2, 3], arrhythmia[4, 5], stroke[6, 7], and hypertension[8]. Metabolic disorders, including
15 54 diabetes and impaired lipid metabolism, were also associated with OSA[9, 10]. Besides, OSA is a
16 55 condition with potential for negative feedback in which it worsens conditions that may in turn
17 56 worsen the OSA (eg, OSA → hypertension → worsened OSA). The underlying mechanisms are
18 57 thought to include increased sympathetic nervous system activity, oxidative stress, and systemic
19 58 inflammation. While OSA treatment with positive airway pressure is associated with a reduction
20 59 in cardiovascular disease risk[11]. Previous studies found that OSA was reported to be associated
21 60 with all-cause and cardiovascular mortality in patients with chronic obstructive pulmonary
22 61 disease[12], acute coronary syndrome[13], and chronic kidney disease[14]. However, data on the
23 62 link between OSA and mortality in general population was limited.

24 63 In the present study, we aimed to examine the association between OSA with all-cause and
25 64 cardiovascular mortality in a nationally representative sample of the US population. It will
26 65 improve our insights into the prevention and management of OSA.

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4 **67 2 Methods**
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7 **68 2.1 Study population**
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9 69 We made a secondary analysis based on the National Health and Nutrition Examination Survey
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11 70 (NHANES) 2005-2008, a nationwide multistage-sampling survey. Firstly, participants with
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13 71 missing data on OSA (n = 8310) and cardiometabolic diseases (n =1715) were excluded.
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15 72 Participants with cancer and pregnancy (n = 1279) and unavailable mortality status (n =11) were
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17 73 also excluded because pregnancy and cancer could have cofounding effect the sleep status and
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19 74 mortality respectively. A total of 9076 respondents were analyzed in this study (**Figure 1**). The
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21 75 study was approved by the Review Board of National Center of Health Statistics and the written
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23 76 consent was obtained from the participants.

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25 **77 2.2 Exposure and outcomes**
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32 78 The presence of OSA was determined through questionnaire that was administered by trained
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34 interviewers. Subjects who answered yes to the question “Have you ever been told by a doctor or
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36 other health professional that you have a sleep disorder?” and reported sleep apnea to “What was
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38 the sleep disorder?” were defined as having OSA[15].
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43 82 Cardiovascular diseases consisting of congestive heart failure, coronary heart disease, angina
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45 pectoris, heart attack, and stroke were obtained from the self-reports. The questions included “Has
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47 a doctor or other health professional ever told you that you have congestive heart failure /coronary
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49 heart disease/angina pectoris/heart attack/stroke?”. Hypertension was diagnosed as the previous
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51 85 hypertension, or systolic blood pressure ≥ 140 mmHg, or diastolic blood pressure ≥ 90 mmHg, or
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53 86 taking antihypertensive drugs. Diabetes was diagnosed as previous diabetes or fasting glucose > 7
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55 87 mmol/L or HbA1c $> 6.5\%$ or use of hypoglycemic drugs.
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4 89 Mortality status was determined from the National Death Index by 31 December 2015. The ICD-
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6 90 10 codes for cardiovascular diseases included I00-I09, I11, I13, I20-I51, I60-I69 and I70-78.
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9 91 **2.3 Survey and measurement**
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12 92 A standard questionnaire was used to collect demographic information, including age, sex, race,
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14 93 educational level, poverty income ratio (PIR), body mass index (BMI), drinking and smoking
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16 94 status, history of diseases (hypertension, diabetes and cardiovascular disease) and history of
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18 95 medication (antihypertensive drug, hypoglycemic drug and lipid-lowering drug). Smoking was
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20 96 categorized into current, former and never. Race/ethnicity was divided into four groups: non-
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22 97 Hispanic white or black, Mexican American and Others. Education level included four categories:
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24 98 less than or equivalent high school, college or above. Height and weight were measured by
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26 99 physical examinations, and BMI was calculated as body weight divided height squared. Serum
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28 100 levels of cholesterol, triglycerides, high density lipoprotein cholesterol (HDL-C) and low density
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30 101 lipoprotein cholesterol (LDL-C) were measured using by an enzymatic assay. Estimated
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32 102 glomerular filtration rate (eGFR) was calculated based on CKD-EPI equation.
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40 103 **2.4 Statistical analysis:**
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43 104 Numeric parameters were expressed as the mean \pm standard deviation and categorical variables
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45 105 were presented as numbers (percentages). Differences between groups was compared using
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47 106 analysis of variance for quantitative values and Chi-squared test for qualitative parameters.
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50 107 Multivariable logistic regression analysis was used to explore the cross-sectional relationship
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52 108 between OSA with cardiometabolic diseases. Multivariable Cox regression was performed to
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54 109 explore the prognostic effect of OSA in all-cause and cardiovascular mortality. Model 1 was
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56 110 unadjusted; Model 2 was adjusted for gender, and age. Model 3 was adjusted for Model 2

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4 111 covariates plus race, education, PIR, BMI, drinking, smoking, and activity. Model 4 was adjusted
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6 112 for Model 3 covariates plus medications use and cholesterol, triglycerides, HDL, LDL, and eGFR.
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8 113 All statistical analysis was performed using IBM SPSS 25.0. P value < 0.05 was deemed as
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10 114 statistically significant.

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3 133 **3 Results**

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6 134 9076 individuals were included in our study. Compared to participants without OSA, population
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8 135 with OSA tend to be young, female and non-Hispanic white, and had more percentage of diabetes,
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10 136 hypertension, and cardiovascular disease. Cholesterol, LDL-C and eGFR were lower among
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12 137 participants with OSA (**Table 1**).
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16 138 To investigate the association between OSA and cardiometabolic diseases (hypertension, diabetes
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18 139 and cardiovascular diseases), we established multiple logistic regression models shown in **Table**
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20 140 **2**. OSA was positively associated with higher odds of hypertension (OR = 1.44; 95%CI, 1.29,
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22 141 1.60; P < 0.001), diabetes (OR = 1.86; 95%CI, 1.65, 2.09; P < 0.001) and cardiovascular diseases
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24 142 (OR = 1.54; 95%CI, 1.32, 1.80; P < 0.001) in Model 1. This association was not altered after
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26 143 adjusting for gender, age, race, education, PIR, BMI, drinking, smoking, activity, hypertension,
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28 144 diabetes, CVD, antihypertensive drugs, hypoglycemic drugs, lipid-lowering drugs, cholesterol,
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30 145 triglycerides, HDL, LDL, and eGFR in Model 4. Furthermore, we investigated the association
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32 146 between OSA with all-cause and cardiovascular mortality. Multivariable Cox regression analysis
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34 147 found that OSA had no significant association with all-cause and cardiovascular mortality in all
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36 148 models (**Table 3**).
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7 156 **4 Discussion**
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9 157 Our study concluded that OSA was associated with the presence of cardiometabolic diseases,
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11 158 including diabetes, hypertension and cardiovascular diseases, while had no significant relationship
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13 159 with all-cause and cardiovascular mortality.
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16 160 In according to our results that OSA increased the risk of cardiovascular diseases. Punjabi et al.
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18 161 showed that severe hypopneas were independently associated with cardiovascular diseases[16].
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21 162 Sarah et al conducted a longitudinal study showing that hypertension was related to OSA during
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23 163 rapid eye movement sleep during community-dwelling men[17]. OSA and diabetes also has been
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26 164 known to be closely related to each other[10].The prevalence of OSA in people with type 2
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28 165 diabetes mellitus was higher than the general population[18]. The underlying mechanism might be
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31 166 that OSA induced intermittent hypoxia and arousals, which may result in decreased insulin
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33 167 sensitivity, sympathetic excitation, and systemic inflammation that eventually leaded to
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36 168 diabetes[19-21]. Our study was in consistent with previous results and found OSA was associated
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38 169 with the presence of cardiometabolic diseases. OSA and cardiovascular disease may share
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41 170 multiple common risk factors, including obesity, male sex, and older age. Besides, the
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43 171 mechanisms underlying this association are thought to include increased sympathetic nervous
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46 172 system activity, oxidative stress, and systemic inflammation, with these effects mediated in part by
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48 173 elevated blood pressure and impaired glucose metabolism.
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51 174 Recent study showed that hypoxic burden due to sleep apnea was strongly predictive of
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53 175 cardiovascular diseases related mortality[22]. Lavie et al. conducted a large prospective cohort
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56 176 study and concluded that severe OSA increased the risk of mortality in middle-aged male
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patients[23]. Marin et al. also concluded that those with severe OSA were at higher risk for both fatal and non-fatal cardiovascular events[24]. However, we found OSA had no significant relationship with all-cause and cardiovascular mortality. The difference could be due to the fact that OSA diagnosis was based on patient self-reports rather than objective tests. The underlying reasons need further investigations.

182 Our study has some strengths. Firstly, our association was robust after adjusting so many
183 covariates, which was not included in previous studies. Besides, our sample was relatively large.
184 Some limitations also existed in our study. First, some variables were based on questionnaires.
185 Secondly, OSA was not diagnosed by polysomnography and therefore the prevalence of OSA
186 could be overestimated.

187 **5 Conclusion**

188 In conclusion, we found that OSA was associated with the presence of cardiometabolic diseases,
189 including diabetes, hypertension and cardiovascular diseases. However, it could not predict the
190 mortality in general population.

102 List of abbreviations

193 OSA, Obstructive sleep apnea;
194 NHANES, National Health and Nutrition Examination Survey;
195 OR, odds ratio;
196 CI, confidence interval;
197 AHI, apnea-hypopnea index;
198 NCHS, National Center of Health Statistics;

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4 199 PIR, poverty income ratio;
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11 202 LDL-C, low density lipoprotein cholesterol;
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14 203 eGFR, estimated glomerular filtration rate;
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19 205 **Declarations**
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22 206 **Ethics approval and consent to participate**
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25 207 The study was approved by the Review Board of National Center of Health Statistics and the
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27 208 written consent was obtained from the participants.
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30 209 **Consent for publication**
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32 210 Not applicable.
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35 211 **Availability of data and materials**
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38 212 All data could be obtained upon request from Xuanfeng Zhu.
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40 213 **Competing interests**
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43 214 The authors have nothing to disclose.
44
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46 215 **Funding**
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49 216 None.
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51 217 **Acknowledgements**
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54 218 None.
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57 219 **Author contribution**
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60 220 ZXF and LJN designed this study; GJ, SLC and SM performed the statistical analysis; GHM and

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4 221 GJH wrote the manuscript; ZDD and WWJ prepared the tables and figures.
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303 **Table 1. Baseline variables of the study participants according to OSA status.**

Variables	OSA (n=4648)	No OSA (n=4428)	P
Male	1915 (43.2)	2725 (58.6)	<0.001
Age, years	56.84 (9.09)	59.99 (6.26)	<0.001
Race (%)			
Non-Hispanic white	2042 (46.1)	2130 (45.8)	0.416
Non-Hispanic black	1009 (22.8)	1028 (22.1)	
Mexican American	857 (19.4)	892 (19.2)	
Others	520 (11.7)	598 (12.9)	
Education (%)			
Less than high school	1293 (29.2)	1398 (30.1)	0.002
High school or equivalent	1018 (23.0)	1188 (25.6)	
College or above	2111 (47.7)	2057 (44.3)	
PIR (%)			
<1	849 (20.8)	780 (17.9)	0.003
1~3	1714 (42.0)	1850 (42.6)	
>3	1521 (37.2)	1716 (39.5)	
BMI, kg/m ²	27.14 (5.73)	30.55 (7.25)	<0.001
Drinking (%)	578 (48.4)	632 (57.0)	<0.001
Smoking (%)			
Current	773 (22.2)	987 (28.8)	<0.001
Past	168 (4.8)	182 (5.3)	
Never	2543 (73.0)	2257 (65.9)	
Activity (%)			
Vigorous	1166 (44.2)	1182 (43.3)	0.52
Moderate	1089 (41.3)	1168 (42.8)	
Inactive	381 (14.5)	378 (13.9)	
Past history (%)			
HBP	658 (16.6)	955 (22.3)	<0.001
DM	497 (11.2)	884 (19.0)	<0.001
CVD	288 (6.5)	450 (9.7)	<0.001
Prior medication (%)			
Antihypertensive drug	986 (85.2)	1536 (86.5)	0.343
Hypoglycemic drug	292 (53.1)	507 (56.1)	0.29
Lipid-lowering drug	541 (80.6)	849 (81.1)	0.861
Cholesterol, mg/dL	196.95 (40.72)	199.09 (42.78)	0.021
Triglycerides, mg/dL	144.45 (122.20)	173.82 (148.55)	<0.001
HDL, mg/dL	55.11 (16.11)	50.33 (15.56)	<0.001
LDL, mg/dL	113.00 (34.81)	116.14 (35.90)	0.006
eGFR, ml/min per 1.73 m ²	91.05 (25.24)	89.49 (24.42)	0.005

304 Data are presented as mean (SD) or n (%). PIR, poverty income ratio; BMI, body mass index; HBP, high blood
 305 pressure; DM, diabetes mellitus; CVD, cardiovascular diseases; HDL, high density lipoprotein; LDL, low density
 306 lipoprotein; eGFR, estimated glomerular filtration rate.

313 **Table 2. Association of OSA with cardiometabolic diseases.**

Cardiometabolic diseases	OR	95% CI	P
Hypertension			
Model 1	1.44	[1.29, 1.60]	<0.001
Model 2	1.37	[1.22, 1.54]	<0.001
Model 3	1.30	[1.15, 1.46]	<0.001
Model 4	1.28	[1.14, 1.45]	<0.001
Diabetes			
Model 1	1.86	[1.65, 2.09]	<0.001
Model 2	1.85	[1.63, 2.09]	<0.001
Model 3	1.37	[1.20, 1.57]	<0.001
Model 4	1.46	[1.22, 1.76]	<0.001
Cardiovascular diseases			
Model 1	1.54	[1.32, 1.80]	<0.001
Model 2	1.51	[1.28, 1.79]	<0.001
Model 3	1.36	[1.14, 1.62]	0.001
Model 4	1.29	[1.08, 1.54]	0.006

314 Model 1: unadjusted.

315 Model 2: adjusted for gender, and age.

316 Model 3: adjusted for gender, age, race, education, PIR, BMI, drinking, smoking, and activity.

317 Model 4: adjusted for gender, age, race, education, PIR, BMI, drinking, smoking, activity, antihypertensive drugs, hypoglycemic drugs, lipid-lowering drugs, cholesterol, triglycerides, HDL, LDL, and eGFR.

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332 **Table 3. Association of OSA with all-cause and cardiovascular mortality.**

	HR	95% CI	P
All-cause mortality			
Model 1	0.93	[0.82, 1.04]	0.206
Model 2	0.97	[0.86, 1.10]	0.634
Model 3	0.99	[0.87, 1.12]	0.886
Model 4	0.96	[0.84, 1.09]	0.507
Cardiovascular mortality			
Model 1	0.96	[0.72, 1.28]	0.787
Model 2	0.94	[0.70, 1.25]	0.658
Model 3	0.94	[0.70, 1.27]	0.685
Model 4	0.91	[0.67, 1.23]	0.532

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4 351 **Figure 1. The flow chart of participant selection.**
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For Peer Review

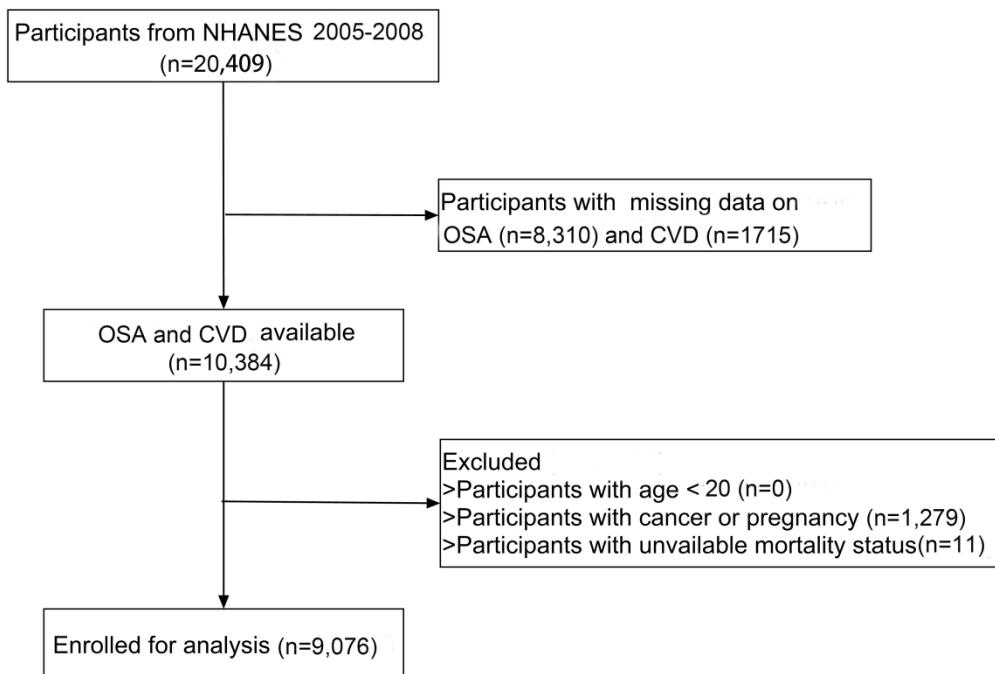


Figure 1. The flow chart of participant selection.