Title: Gating mechanism

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Categories: Category:Deep learning, Category:Neural network architectures

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In neural networks, the gating mechanism is an architectural motif for controlling the flow of activation and gradient signals. They are most prominently used in recurrent neural networks (RNNs), but have also found applications in other architectures.

RNNs

Gating mechanisms are the centerpiece of long short-term memory (LSTM). [1] They were proposed to mitigate the vanishing gradient problem often encountered by regular RNNs.

An LSTM unit contains three gates:

An input gate, which controls the flow of new information into the memory cell

A forget gate, which controls how much information is retained from the previous time step

An output gate, which controls how much information is passed to the next layer.

The equations for LSTM are: [2]

$$\begin{split} & \text{I } t = \sigma \left(\text{ X } t \text{ W } x \text{ i} + \text{H } t - 1 \text{ W } h \text{ i} + b \text{ i} \right) \text{ F } t = \sigma \left(\text{ X } t \text{ W } x \text{ f} + \text{H } t - 1 \text{ W } h \text{ f} + b \text{ f} \right) \text{ O } t = \sigma \left(\text{ X } t \text{ W } x \text{ o} + \text{H } t - 1 \text{ W } h \text{ o} + b \text{ o} \right) \text{ C} \leftarrow t = t \text{ anh } \blacksquare \left(\text{ X } t \text{ W } x \text{ c} + \text{H } t - 1 \text{ W } h \text{ c} + b \text{ c} \right) \text{ C} t = \text{F } t \blacksquare \text{ C} t - 1 + \text{I } t \blacksquare \text{ C} \sim t \text{ H} t = \text{O } t \blacksquare \text{ tanh } \blacksquare \left(\text{ C } t \text{ I} \right) \text{ whisher } \text{ tanh } \blacksquare \left(\text{ C } t \text{ I} \right) \text{ whisher } \text{ tanh } \blacksquare \left(\text{ C } t \text{ I} \right) \text{ whisher } \text{ tanh } \blacksquare \left(\text{ C } t \text{ I} \right) \text{ whisher } \text{ tanh } \blacksquare \left(\text{ C } t \text{ I} \right) \text{ whisher } \text{ tanh } \blacksquare \left(\text{ C } t \text{ I} \right) \text{ whisher } \text{ tanh } \blacksquare \left(\text{ C } t \text{ I} \right) \text{ whisher } \text{ tanh } \blacksquare \left(\text{ C } \text{ I} \right) \text{ whisher } \text{ tanh }$$

Here, ■ {\displaystyle \odot } represents elementwise multiplication.

LSTM architecture, with gates

The gated recurrent unit (GRU) simplifies the LSTM. [3] Compared to the LSTM, the GRU has just two gates: a reset gate and an update gate. GRU also merges the cell state and hidden state. The reset gate roughly corresponds to the forget gate, and the update gate roughly corresponds to the input gate. The output gate is removed.

There are several variants of GRU. One particular variant has these equations: [4]

```
 R\ t = \sigma\ (X\ t\ W\ x\ r + H\ t - 1\ W\ h\ r + b\ r\ )\ Z\ t = \sigma\ (X\ t\ W\ x\ z + H\ t - 1\ W\ h\ z + b\ z\ )\ H\ \sim t\ = \tanh\ \blacksquare\ (X\ t\ W\ x\ h + (R\ t\ \blacksquare\ H\ t - 1\ )\ W\ h\ h + b\ h\ )\ H\ t = Z\ t\ \blacksquare\ H\ t - 1\ + (1-Z\ t\ )\ \blacksquare\ H\ \sim t\ \{\ displaystyle\ \{begin\{aligned\}\}\ H\ t - 1\ )\ W\ h\ h + b\ h\ )\ H\ t = Z\ t\ \blacksquare\ H\ t - 1\ + (1-Z\ t\ )\ \blacksquare\ H\ t - 1\ + (1-Z\ t\ )\ H\ t + Mathbf\ t\ t +
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Gated Recurrent Unit architecture, with gates

Gated Linear Unit

Gated Linear Units (GLUs) [5] adapt the gating mechanism for use in feedforward neural networks, often within transformer -based architectures. They are defined as:

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G L U (a, b) = a \blacksquare \sigma (b) {\displaystyle \mathrm {GLU} (a,b)=a\odot \sigma (b)}
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where a , b {\displaystyle a,b} are the first and second inputs, respectively. σ {\displaystyle \sigma } represents the sigmoid activation function .

Replacing σ {\displaystyle \sigma } with other activation functions leads to variants of GLU:

ReGLU(a,b)=a ReLU(b)GEGLU(a,b)=a GELU(b)SwiGLU(a,b, β)=a Swish β (b) {\displaystyle {\begin{aligned}\mathrm {ReGLU}(a,b)&=a\odot {\text{ReLU}}(b)\\\mathrm {GEGLU}(a,b)&=a\odot {\text{GELU}}(b)\\\mathrm {SwiGLU}(a,b,\beta)&=a\odot {\text{Swish}}_{\beta}(b)\end{aligned}}}

where ReLU, GELU, and Swish are different activation functions.

In transformer models, such gating units are often used in the feedforward modules . For a single vector input, this results in: [6]

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GLU \blacksquare ( x , W , V , b , c ) = \sigma ( x W + b ) \blacksquare ( x V + c ) Bilinear \blacksquare ( x , W , V , b , c ) = ( x W + b ) \blacksquare ( x V + c ) ReGLU \blacksquare ( x , W , V , b , c ) = max ( 0 , x W + b ) \blacksquare ( x V + c ) GEGLU \blacksquare ( x , W , V , b , c ) = GELU \blacksquare ( x W + b ) \blacksquare ( x V + c ) SwiGLU \blacksquare ( x , W , V , b , c , \beta ) = Swish \beta \blacksquare ( x W + b ) \blacksquare ( x V + c ) {\displaystyle {\begin{aligned}\operatorname {GLU} (x,W,V,b,c)&=\sigma (xW+b)\odot (xV+c)\loperatorname {ReGLU} (x,W,V,b,c)&=\max(0,xW+b)\odot (xV+c)\loperatorname {GEGLU} (x,W,V,b,c)&=\odot (xV+c)\sigma (xV+c)
```

Other architectures

Gating mechanism is used in highway networks, which were designed by unrolling an LSTM.

Channel gating [7] uses a gate to control the flow of information through different channels inside a convolutional neural network (CNN).

See also

Recurrent neural network

Long short-term memory

Gated recurrent unit

Transformer

Activation function

References

Further reading

Zhang, Aston; Lipton, Zachary; Li, Mu; Smola, Alexander J. (2024). "10.1. Long Short-Term Memory (LSTM)". Dive into deep learning. Cambridge New York Port Melbourne New Delhi Singapore: Cambridge University Press. ISBN 978-1-009-38943-3.

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History timeline

timeline

Companies

Projects

Parameter Hyperparameter Hyperparameter Loss functions Regression Bias-variance tradeoff Double descent Overfitting Bias-variance tradeoff Double descent Overfitting Clustering Gradient descent SGD Quasi-Newton method Conjugate gradient method SGD Quasi-Newton method Conjugate gradient method Backpropagation Attention Convolution Normalization Batchnorm Batchnorm Activation Softmax Sigmoid Rectifier Softmax Sigmoid Rectifier Gating Weight initialization Regularization **Datasets Augmentation** Augmentation Prompt engineering Reinforcement learning Q-learning SARSA Imitation Policy gradient Q-learning SARSA **Imitation** Policy gradient Diffusion Latent diffusion model Autoregression Adversary **RAG** Uncanny valley

RLHF Self-supervised learning Reflection Recursive self-improvement Hallucination Word embedding Vibe coding Machine learning In-context learning In-context learning Artificial neural network Deep learning Deep learning Language model Large language model NMT Large language model **NMT** Reasoning language model Model Context Protocol Intelligent agent Artificial human companion Humanity's Last Exam Artificial general intelligence (AGI) AlexNet WaveNet Human image synthesis **HWR OCR** Computer vision Speech synthesis 15.ai ElevenLabs 15.ai ElevenLabs Speech recognition Whisper Whisper Facial recognition AlphaFold Text-to-image models Aurora DALL-E Firefly Flux Ideogram Imagen Midjourney Recraft Stable Diffusion Aurora DALL-E Firefly

Flux
Ideogram
Imagen
Midjourney
Recraft
Stable Diffusion
Text-to-video models Dream Machine Runway Gen Hailuo Al Kling Sora Veo
Dream Machine
Runway Gen
Hailuo Al
Kling
Sora
Veo
Music generation Riffusion Suno Al Udio
Riffusion
Suno Al
Udio
Word2vec
Seq2seq
GloVe
BERT
T5
Llama
Chinchilla Al
PaLM
GPT 1 2 3 J ChatGPT 4 4o o1 o3 4.5 4.1 o4-mini 5
1
2
3
J
ChatGPT
4
40
01
03
4.5
4.1
o4-mini

Claude

Gemini (language model) Gemma

Gemini (language model)

Gemma

Grok

LaMDA

BLOOM

DBRX

Project Debater

IBM Watson

IBM Watsonx

Granite

PanGu- Σ

DeepSeek

Qwen

AlphaGo

AlphaZero

OpenAl Five

Self-driving car

MuZero

Action selection AutoGPT

AutoGPT

Robot control

Alan Turing

Warren Sturgis McCulloch

Walter Pitts

John von Neumann

Claude Shannon

Shun'ichi Amari

Kunihiko Fukushima

Takeo Kanade

Marvin Minsky

John McCarthy

Nathaniel Rochester

Allen Newell

Cliff Shaw

Herbert A. Simon

Oliver Selfridge

Frank Rosenblatt

Bernard Widrow

Joseph Weizenbaum

Seymour Papert

Seppo Linnainmaa

Paul Werbos

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Quoc V. Le

Ian Goodfellow

Demis Hassabis

David Silver

Andrej Karpathy

Ashish Vaswani

Noam Shazeer

Aidan Gomez

John Schulman

Mustafa Suleyman

Jan Leike

Daniel Kokotajlo

François Chollet

Neural Turing machine

Differentiable neural computer

Transformer Vision transformer (ViT)

Vision transformer (ViT)

Recurrent neural network (RNN)

Long short-term memory (LSTM)

Gated recurrent unit (GRU)

Echo state network

Multilayer perceptron (MLP)

Convolutional neural network (CNN)

Residual neural network (RNN)

Highway network

Mamba

Autoencoder

Variational autoencoder (VAE)

Generative adversarial network (GAN)

Graph neural network (GNN)

Category