

(Non-)specificity across languages

Maria Aloni

(joint work with Marco Degano)

ILLC & Philosophy

University of Amsterdam

Slides: <https://www.marialoni.org/resources/Berlino24.pdf>

ZAS semantic circle talk

Berlin, 25 June 2024

A wealth of indefinites

Cross-linguistically, we witness a wealth of indefinite forms:

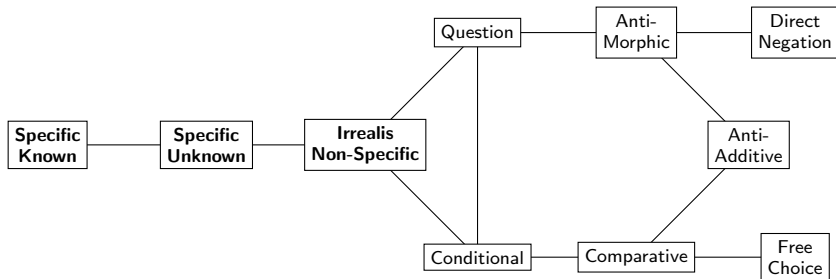
- English: *some, any, no, ...*
- Dutch: *iets, enig, wie dan ook, niets, ...*
- German: *ein, irgendein, ...*
- Italian: *qualunque, nessuno, (un) qualche, ...*
- Spanish: *algún, cualquiera, ningun, ...*
- Russian: *koe-, -to, -nibud, ...*
- Náhuatl/Mexicano: *yeka, sente, olgo, ...*
- Kannada: *-oo, -aadaruu, ...*
- ...

Why this variety? What do all these forms have in common? How to account for their differences in meaning and distribution?

Today's focus: scopal (specific vs non-specific) and epistemic (known vs unknown) uses of indefinites.

Haspelmath's Implicational Map

Haspelmath (1997)'s map: a useful typological tool to capture the functional distribution of indefinites



Haspelmath's map (extended, Aguilar et al 2011)

Haspelmath's implicational map makes predictions about

- (i) possible indefinite forms cross-linguistically (only those occupying a contiguous area on the map);
- (ii) their possible diachronic development (contiguous functions developed first).

Scopal vs epistemic specificity (Farkas, 1996)

Scopal specificity

Indefinites marked for specificity tend to presuppose the existence of their referents, and introduce discourse referents:

(1) Ali wants to visit an Italian city.

- a. **Specific:** There is a specific Italian city which Ali wants to visit [$\exists x/\square$]
- b. **Non-specific:** Ali wants to visit an Italian city, any Italian city would do [$\square/\exists x$]

[Continuation *It is in the North-East close to Venice* only possible for (1a)]

Epistemic specificity

Indefinites marked for (un)known signal that the speaker does (not) know the identity of the referent

(2) A student called.

- a. **Known:** The speaker knows which student called.
- b. **Unknown:** The speaker doesn't know which student called.

Specific Known, Specific Unknown and Non-Specific

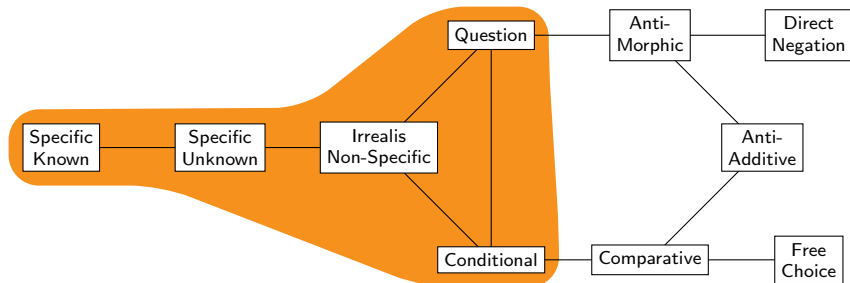
- (3) a. **Specific known (SK)**: scopal specific & epistemic specific
- b. **Specific unknown (SU)**: scopal specific & epistemic non-specific
- c. **Non-specific (NS)**: scopal non-specific

Illustration

- (4) Ali wants to visit an Italian city.
 - a. **SK**: There is a specific city which Ali wants to visit, and the speaker knows which
 - b. **SU**: There is a specific city which Ali wants to visit, but the speaker doesn't know which
 - c. **NS**: Ali wants to visit an Italian city, any Italian city would do

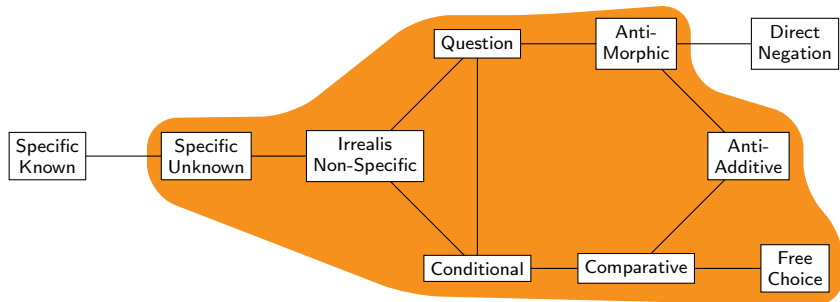
Cross-linguistically, languages developed lexicalized forms with restricted distributions with respect to these uses.

Haspelmath Map



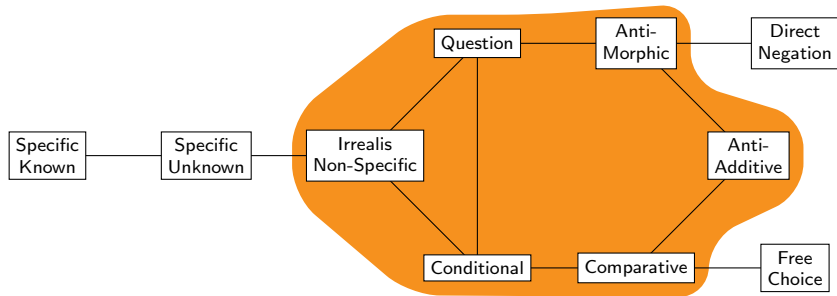
English *someone*

Haspelmath Map



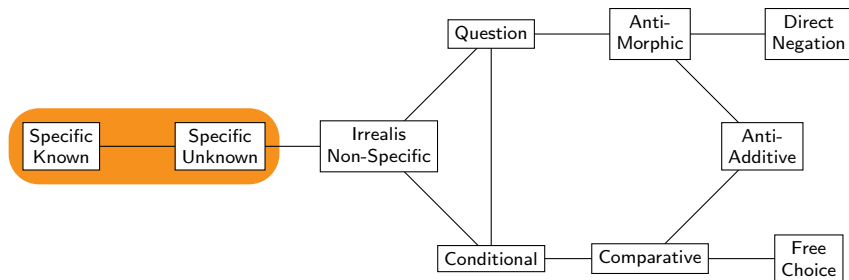
German *irgend-*

Haspelmath Map



Russian *nibud'*

Haspelmath Map



Kazakh *älde*

Our Goals

- (1) a logical characterization of the **specific known (SK)**, **specific unknown (SU)** and **non-specific (NS)** functions; and a principled explanation of their position on Haspelmath's implicational map;
- (2) a formal account of the variety of marked indefinites encoding SK, SU, and NS: **specific known**, **epistemic**, **specific and non-specific** indefinites; and their properties.
- (3) explanation of observed diachronic pathway from non-specific to epistemic.

Main idea: Indefinites are sensitive to *dependence* and *non-dependence* relationships in their value assignments (building on insights from Brasoveanu and Farkas 2011; Farkas and Brasoveanu 2020).

Implementation: Two-sorted team semantics with dependence atoms.

References

MA & Marco Degano, 2022. “(Non-)specificity across languages: constancy, variation, v-variation.” SALT 32

Marco Degano, 2024, “Indefinites and their values.” PhD thesis, ILLC, University of Amsterdam (to appear very soon)

Marked Indefinites

Possible **marked indefinites** based on Specific Known (SK), Specific Unknown (SU) and Non-specific (NS):

| TYPE OF INDEFINITE | FUNCTIONS | | | EXAMPLE |
|------------------------|-----------|----|----|-------------------------|
| | SK | SU | NS | |
| (i) unmarked | ✓ | ✓ | ✓ | Italian <i>qualcuno</i> |
| (ii) specific | ✓ | ✓ | ✗ | Georgian <i>-ghats</i> |
| (iii) non-specific | ✗ | ✗ | ✓ | Russian <i>-nibud</i> |
| (iv) epistemic | ✗ | ✓ | ✓ | German <i>irgend-</i> |
| (v) specific known | ✓ | ✗ | ✗ | Russian <i>koe-</i> |
| (vi) SK + NS | ✓ | ✗ | ✓ | unattested |
| (vii) specific unknown | ✗ | ✓ | ✗ | Kannada <i>-oo</i> |

Marked Indefinites

Possible **marked indefinites** based on Specific Known (SK), Specific Unknown (SU) and Non-specific (NS):

| TYPE OF INDEFINITE | FUNCTIONS | | | EXAMPLE |
|------------------------|-----------|----|----|-------------------------|
| | SK | SU | NS | |
| (i) unmarked | ✓ | ✓ | ✓ | Italian <i>qualcuno</i> |
| (ii) specific | ✓ | ✓ | ✗ | Georgian <i>-ghats</i> |
| (iii) non-specific | ✗ | ✗ | ✓ | Russian <i>-nibud</i> |
| (iv) epistemic | ✗ | ✓ | ✓ | German <i>irgend-</i> |
| (v) specific known | ✓ | ✗ | ✗ | Russian <i>koe-</i> |
| (vi) SK + NS | ✓ | ✗ | ✓ | unattested |
| (vii) specific unknown | ✗ | ✓ | ✗ | Kannada <i>-oo</i> |

How to capture this variety?

Marked Indefinites

Possible **marked indefinites** based on Specific Known (SK), Specific Unknown (SU) and Non-specific (NS):

| TYPE OF INDEFINITE | FUNCTIONS | | | EXAMPLE |
|---------------------------|-----------|----|----|-------------------------|
| | SK | SU | NS | |
| (i) unmarked | ✓ | ✓ | ✓ | Italian <i>qualcuno</i> |
| (ii) specific | ✓ | ✓ | ✗ | Georgian <i>-ghats</i> |
| (iii) non-specific | ✗ | ✗ | ✓ | Russian <i>-nibud</i> |
| (iv) epistemic | ✗ | ✓ | ✓ | German <i>irgend-</i> |
| (v) specific known | ✓ | ✗ | ✗ | Russian <i>koe-</i> |
| (vi) SK + NS | ✓ | ✗ | ✓ | unattested |
| (vii) specific unknown | ✗ | ✓ | ✗ | Kannada <i>-oo</i> |

Why (ii)-(v) common? Why (vi) unattested? Why (vii) rare?

Marked Indefinites

Possible **marked indefinites** based on Specific Known (SK), Specific Unknown (SU) and Non-specific (NS):

| TYPE OF INDEFINITE | FUNCTIONS | | | EXAMPLE |
|---------------------------|-----------|----|----|-------------------------|
| | SK | SU | NS | |
| (i) unmarked | ✓ | ✓ | ✓ | Italian <i>qualcuno</i> |
| (ii) specific | ✓ | ✓ | ✗ | Georgian <i>-ghats</i> |
| (iii) non-specific | ✗ | ✗ | ✓ | Russian <i>-nibud</i> |
| (iv) epistemic | ✗ | ✓ | ✓ | German <i>irgend-</i> |
| (v) specific known | ✓ | ✗ | ✗ | Russian <i>koe-</i> |
| (vi) SK + NS | ✓ | ✗ | ✓ | unattested |
| (vii) specific unknown | ✗ | ✓ | ✗ | Kannada <i>-oo</i> |

How to derive the restricted distribution of non-specific indefinites (ungrammatical in episodic sentences)?

Marked Indefinites

Possible **marked indefinites** based on Specific Known (SK), Specific Unknown (SU) and Non-specific (NS):

| TYPE OF INDEFINITE | FUNCTIONS | | | EXAMPLE |
|------------------------|-----------|----|----|-------------------------|
| | SK | SU | NS | |
| (i) unmarked | ✓ | ✓ | ✓ | Italian <i>qualcuno</i> |
| (ii) specific | ✓ | ✓ | ✗ | Georgian <i>-ghats</i> |
| (iii) non-specific | ✗ | ✗ | ✓ | Russian <i>-nibud</i> |
| (iv) epistemic | ✗ | ✓ | ✓ | German <i>irgend-</i> |
| (v) specific known | ✓ | ✗ | ✗ | Russian <i>koe-</i> |
| (vi) SK + NS | ✓ | ✗ | ✓ | unattested |
| (vii) specific unknown | ✗ | ✓ | ✗ | Kannada <i>-oo</i> |

How to characterize the obligatory ignorance inferences typical of epistemic indefinites? And the knowledge inference typical of specific known indefinites?

Marked Indefinites

Possible **marked indefinites** based on Specific Known (SK), Specific Unknown (SU) and Non-specific (NS):

| TYPE OF INDEFINITE | FUNCTIONS | | | EXAMPLE |
|------------------------|-----------|----|----|-------------------------|
| | SK | SU | NS | |
| (i) unmarked | ✓ | ✓ | ✓ | Italian <i>qualcuno</i> |
| (ii) specific | ✓ | ✓ | ✗ | Georgian <i>-ghats</i> |
| (iii) non-specific | ✗ | ✗ | ✓ | Russian <i>-nibud</i> |
| (iv) epistemic | ✗ | ✓ | ✓ | German <i>irgend-</i> |
| (v) specific known | ✓ | ✗ | ✗ | Russian <i>koe-</i> |
| (vi) SK + NS | ✓ | ✗ | ✓ | unattested |
| (vii) specific unknown | ✗ | ✓ | ✗ | Kannada <i>-oo</i> |

Indefinites in general display exceptional scope behaviour. Why? How to account for their exceptional scope? What scope configurations are possible for marked indefinites (e.g. narrow, intermediate, wide)?

Language & Teams

Team semantics: formulas are interpreted wrt **sets** of evaluation points (*teams*) rather than single points. Here a team is a set of assignment functions.

We use a **two-sorted team semantics** framework:

- (i) possible worlds introduced as second sort of entities (with special **world variables** which can be quantified over);
- (ii) v as **designated variable** over worlds, representing alternative ways things might be (epistemic possibilities).

Examples:

(5) Everyone smiles $\mapsto \forall x S(x, v)$ & Everyone must smile $\mapsto \forall w \forall x S(x, w)$

Language:

$z ::= z_d \mid z_w$

$\phi ::= P(\vec{z}) \mid \neg P(\vec{z}) \mid \phi \vee \psi \mid \phi \wedge \psi \mid \exists_{strict} z \phi \mid \exists_{lax} z \phi \mid \forall z \phi \mid dep(\vec{z}, z) \mid var(\vec{z}, z)$

A **model** is a triple $M = \langle D, W, I \rangle$, where D is a set of individuals, W a set of worlds and I an interpretation function.

A function f with finite domain $Z = Z_d \cup Z_w$ is an **assignment** (wrt model $M = \langle D, W, I \rangle$) iff there are f_1, f_2 : $f = f_1 \cup f_2$ & $f_1 \in D^{Z_d}$ & $f_2 \in W^{Z_w}$

Team:

Given a model $M = \langle D, W, I \rangle$ and a finite set of variables Z , a team T over M with domain Z is a set of assignments with domain Z

Teams as information states

Teams represent information states of speakers.

In initial teams only factual information is represented.

Initial team: A team T is *initial* iff $\text{Dom}(T) = \{v\}$.

- The *designated world variable* v captures the speaker's epistemic possibilities.
- Teams where v receives only one value are teams of *maximal information*.

Discourse information is then added by operations of [assignment extensions](#) (Galliani 2015).

| v | x | w | y | ... |
|-------|-----|-------|-------|-----|
| v_1 | a | w_1 | b_1 | ... |
| v_2 | a | w_2 | b_2 | ... |
| ... | a | ... | ... | ... |
| v_n | a | w_n | b_n | ... |

Felicitous sentence: A sentence is *felicitous/grammatical* if there is an initial team which supports it.

Teams as information states: illustrations

Team: a set of assignments in a two-sorted framework with designated variable v ranging over possible worlds $[w_{Pa} \text{ means } \langle I_M(a), w_{Pa} \rangle \in I_M(R)]$

$$(6) \quad \frac{v}{w_{Pa} \quad w_{Pb}} \Rightarrow \text{the info that } Pa \text{ is true or } Pb \quad [\Leftarrow \text{initial team}]$$

$$(7) \quad \frac{v}{w_{Pa}} \Rightarrow \text{the info that } Pa \text{ is true} \quad [\Leftarrow \text{initial team of max info}]$$

$$(8) \quad \begin{array}{c|c} v & x \\ \hline w_1 & a \\ w_2 & a \\ w_3 & a \end{array} \Rightarrow \text{value of } x \text{ is known}$$

$$(9) \quad \begin{array}{c|c} v & x \\ \hline w_1 & a \\ w_2 & b \\ w_3 & c \end{array} \Rightarrow \text{unknown but specific} \quad \begin{array}{c|c} v & x \\ \hline w_1 & a \\ w_1 & b \\ w_1 & c \end{array} \Rightarrow \text{non-specific}$$

Linguistically relevant distinctions that we can characterise using **dependence & variation atoms**

Universal Extension

$$T[z_*] = \{i[e_*/z_*] : i \in T \text{ and } e_* \in \text{Dom}_*(M)\}$$

[where $* \in \{d, w\}$ & $\text{Dom}_d(M) = D$ & $\text{Dom}_w(M) = W$]

A **universal extension** of a team T with y , denoted by $T[y]$, amounts to consider all assignments that extend or differ from the ones in T only with respect to the value of y .

| v | T |
|-------|-------|
| v_1 | i_1 |
| v_2 | i_2 |

| v | y | $T[y]$ |
|-------|-------|----------|
| v_1 | d_1 | i_{11} |
| | d_2 | i_{12} |
| v_2 | d_1 | i_{21} |
| | d_2 | i_{22} |

($D = \{d_1, d_2\}$. Universal extensions are unique. They allow *branching*.)

Strict Functional Extension

$$T[h_s/z_*] = \{i[h_s(i)/z_*] : i \in T\}, \text{ for some strict function } h_s : T \rightarrow \text{Dom}_*(M)$$

A **strict functional extension** of a team T with y , $T[h_s/y]$, assigns only one value to y for each original assignment in T .

| v | T |
|-------|-------|
| v_1 | i_1 |
| v_2 | i_2 |

With $D = \{d_1, d_2\}$ we have 4 possible strict functional extensions. No branching allowed:

| v | y | $T[h_1/y]$ |
|-----------------------|-----|------------|
| $v_1 \rightarrow d_1$ | | i_{11} |
| $v_2 \rightarrow d_1$ | | i_{21} |

| v | y | $T[h_2/y]$ |
|-----------------------|-----|------------|
| $v_1 \rightarrow d_2$ | | i_{12} |
| $v_2 \rightarrow d_2$ | | i_{21} |

| x | y | $T[h_3/y]$ |
|-----------------------|-----|------------|
| $v_1 \rightarrow d_1$ | | i_{11} |
| $v_2 \rightarrow d_2$ | | i_{21} |

| x | y | $T[h_4/y]$ |
|-----------------------|-----|------------|
| $v_1 \rightarrow d_2$ | | i_{12} |
| $v_2 \rightarrow d_1$ | | i_{21} |

Lax Functional Extension

$T[f_l/z_*] = \{i[e_*/z_*] : i \in T \ \& \ e_* \in f_l(i)\}$, for some lax function $f_l : T \rightarrow \wp(Dom_*(M)) \setminus \{\emptyset\}$

A **lax functional extension** of a team T with y , $T[f_l/y]$, amounts to assign one or more values to y for each original assignment in T .

| v | T |
|-------|-------|
| v_1 | i_1 |
| v_2 | i_2 |

| v | y | $T[f_l/y]$ |
|-------|-------------------|------------|
| v_1 | $\rightarrow d_2$ | i_{12} |
| v_2 | $\rightarrow d_1$ | i_{21} |
| | $\rightarrow d_2$ | i_{22} |

(With $D = \{d_1, d_2\}$, 9 possible lax functional extensions. Branching allowed.)

Semantic Clauses

| | | |
|---|-------------------|--|
| $M, T \models P(z_1, \dots, z_n)$ | \Leftrightarrow | $\forall j \in T : \langle j(z_1), \dots, j(z_n) \rangle \in I(P^n)$ |
| $M, T \models \neg P(z_1, \dots, z_n)$ | \Leftrightarrow | $\forall j \in T : \langle j(z_1), \dots, j(z_n) \rangle \notin I(P^n)$ |
| $M, T \models \phi \wedge \psi$ | \Leftrightarrow | $M, T \models \phi$ and $M, T \models \psi$ |
| $M, T \models \phi \vee \psi$ | \Leftrightarrow | $T = T_1 \cup T_2$ for teams T_1 and T_2 s.t. $M, T_1 \models \phi$ and $M, T_2 \models \psi$ |
| $M, T \models \forall z \phi$ | \Leftrightarrow | $M, T[z] \models \phi$ |
| $M, T \models \exists_{\text{strict}} z \phi$ | \Leftrightarrow | there is a strict $h_s : M, T[h_s/z] \models \phi$ |
| $M, T \models \exists_{\text{lax}} z \phi$ | \Leftrightarrow | there is a lax $f_l : M, T[f_l/z] \models \phi$ |
| $M, T \models \text{dep}(\vec{z}, u)$ | \Leftrightarrow | for all $i, j \in T : i(\vec{z}) = j(\vec{z}) \Rightarrow i(u) = j(u)$ |
| $M, T \models \text{var}(\vec{z}, u)$ | \Leftrightarrow | there is $i, j \in T : i(\vec{z}) = j(\vec{z}) \ \& \ i(u) \neq j(u)$ |

Dependence and Variation Atoms

Dependence & variation atoms model (non-)dependency patterns between variables' values (Väänänen 2007; Galliani 2015):

Dependence Atom:

$$M, T \models \text{dep}(\vec{z}, u) \Leftrightarrow \text{for all } i, j \in T : i(\vec{z}) = j(\vec{z}) \Rightarrow i(u) = j(u)$$

Variation Atom:

$$M, T \models \text{var}(\vec{z}, u) \Leftrightarrow \text{there is } i, j \in T : i(\vec{z}) = j(\vec{z}) \ \& \ i(u) \neq j(u)$$

| T | x | y | z | l |
|-----|-------|-------|-------|-------|
| i | a_1 | b_1 | c_1 | d_1 |
| j | a_1 | b_1 | c_2 | d_1 |
| k | a_3 | b_2 | c_3 | d_1 |

$\text{dep}(x, y) \checkmark$

$\text{var}(x, z) \checkmark$

$\text{dep}(\emptyset, l) \checkmark$

$\text{var}(\emptyset, x) \checkmark$

$\text{dep}(y, z) \times$

$\text{var}(x, y) \times$

Indefinites as Existentials

We propose that:

- 1 Indefinites are **strict existentials** ($\exists_{s(\text{strict})}x$).
- 2 They are interpreted *in-situ*.

Dependence atoms will be used to model the **exceptional scope** behaviour of indefinites, by specifying how their value (co-)varies with other operators.

Dependence and variation atoms will be used to capture the **variety** of marked indefinite forms, by specifying how their value (co-)varies with respect to the designated v variable.

(For scope, our system parallels Brasoveanu and Farkas (2011)'s treatment, see also Schlenker 2006).

Application I: Exceptional Scope of Indefinites

Indefinites violate rules of standard quantifier behaviour, e.g. can escape syntactic islands (Reinhart 1979, Abush 1993, ...)

(10) Every kid_x ate every food_z that a doctor_y recommended.

a. WS $[\exists y/\forall x/\forall z]: \forall x\forall z\exists_s y(\phi \wedge dep(v, y))$

b. IS $[\forall x/\exists y/\forall z]: \forall x\forall z\exists_s y(\phi \wedge dep(vx, y))$

c. NS $[\forall x/\forall z/\exists y]: \forall x\forall z\exists_s y(\phi \wedge dep(vxz, y))$

| v | x | z | y |
|----------------|-----|-----|----------------|
| v ₁ | ... | ... | b ₁ |
| v ₁ | ... | ... | b ₁ |
| v ₁ | ... | ... | b ₁ |
| v ₁ | ... | ... | b ₁ |

WS: $dep(v, y)$

| v | x | z | y |
|----------------|----------------|-----|----------------|
| v ₁ | a ₁ | ... | b ₁ |
| v ₁ | a ₁ | ... | b ₁ |
| v ₁ | a ₂ | ... | b ₂ |
| v ₁ | a ₂ | ... | b ₂ |

IS: $dep(vx, y)$

| v | x | z | y |
|----------------|----------------|----------------|----------------|
| v ₁ | a ₁ | c ₁ | b ₁ |
| v ₁ | a ₁ | c ₂ | b ₂ |
| v ₁ | a ₂ | c ₃ | b ₃ |
| v ₁ | a ₂ | c ₄ | b ₄ |

NS: $dep(vxz, y)$

Indefinites interpreted *in-situ*. Exceptional scope behaviour captured using dependence atoms

Application II: Specific Known, Specific Unknown, Non-specific

| | | | |
|---------------------------------------|---------------------|---------|-------|
| constancy \mapsto known | $dep(\emptyset, x)$ | v | x |
| | | \dots | d_1 |
| | | \dots | d_1 |
| variation \mapsto unknown | $var(\emptyset, x)$ | v | x |
| | | \dots | d_1 |
| | | \dots | d_2 |
| v -constancy \mapsto specific | $dep(v, x)$ | v | x |
| | | v_1 | d_1 |
| | | v_2 | d_2 |
| v -variation \mapsto non-specific | $var(v, x)$ | v | x |
| | | v_1 | d_1 |
| | | v_1 | d_2 |

Specific Known:

constancy $dep(\emptyset, x)$

| | | |
|-------|---------|-------|
| v | \dots | x |
| v_1 | \dots | d_1 |
| v_2 | \dots | d_1 |

Application II: Specific Known, Specific Unknown, Non-specific

| | | | |
|---------------------------------------|---------------------|---------|-------|
| constancy \mapsto known | $dep(\emptyset, x)$ | v | x |
| | | \dots | d_1 |
| | | \dots | d_1 |
| variation \mapsto unknown | $var(\emptyset, x)$ | v | x |
| | | \dots | d_1 |
| | | \dots | d_2 |
| v -constancy \mapsto specific | $dep(v, x)$ | v | x |
| | | v_1 | d_1 |
| | | v_2 | d_2 |
| v -variation \mapsto non-specific | $var(v, x)$ | v | x |
| | | v_1 | d_1 |
| | | v_1 | d_2 |

Specific Unknown:

v -constancy $dep(v, x)$ + variation $var(\emptyset, x)$

| | | |
|-------|---------|-------|
| v | \dots | x |
| v_1 | \dots | d_1 |
| v_2 | \dots | d_2 |

Application II: Specific Known, Specific Unknown, Non-specific

| | | | |
|---------------------------------------|---------------------|---------|-------|
| constancy \mapsto known | $dep(\emptyset, x)$ | v | x |
| | | \dots | d_1 |
| | | \dots | d_1 |
| variation \mapsto unknown | $var(\emptyset, x)$ | v | x |
| | | \dots | d_1 |
| | | \dots | d_2 |
| v -constancy \mapsto specific | $dep(v, x)$ | v | x |
| | | v_1 | d_1 |
| | | v_2 | d_2 |
| v -variation \mapsto non-specific | $var(v, x)$ | v | x |
| | | v_1 | d_1 |
| | | v_1 | d_2 |

Non-specific:

v -variation $var(v, x)$

| | | |
|-------|---------|-------|
| v | \dots | x |
| v_1 | \dots | d_1 |
| v_1 | \dots | d_2 |

Application III: Variety of Indefinites

| TYPE | FUNCTIONS | | | REQUIREMENT | EXAMPLE |
|------------------------|-----------|----|----|--------------------------------------|-------------------------|
| | SK | SU | NS | | |
| (i) unmarked | ✓ | ✓ | ✓ | none | Italian <i>qualcuno</i> |
| (ii) specific | ✓ | ✓ | ✗ | $dep(v, x)$ | Georgian <i>-ghats</i> |
| (iii) non-specific | ✗ | ✗ | ✓ | $var(v, x)$ | Russian <i>-nibud</i> |
| (iv) epistemic | ✗ | ✓ | ✓ | $var(\emptyset, x)$ | German <i>-irgend</i> |
| (v) specific known | ✓ | ✗ | ✗ | $dep(\emptyset, x)$ | Russian <i>-koe</i> |
| (vi) SK + NS | ✓ | ✗ | ✓ | $dep(\emptyset, x) \vee var(v, x)$ | unattested |
| (vii) specific unknown | ✗ | ✓ | ✗ | $dep(v, x) \wedge var(\emptyset, x)$ | Kannada <i>-oo</i> |

Why (ii)-(v) common? Why (vi) unattested? Why (vii) rare?

common

(ii)-(v): \mapsto DEPENDENCE SQUARE OF OPPOSITION

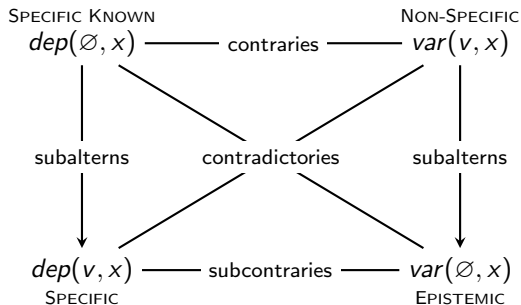
unattested

(vi) SK + NS: violation of convexity (Gardenfors 2014)

rare

(vii) specific unknown: increased complexity

Application III: Dependence Square of Opposition



DEPENDENCE SQUARE OF OPPOSITION

- Contraries: can be both false, but not both true.
- Subalternation:
A subalternates B iff
A implies B.
- Contradictories: cannot be both true and they cannot be both false.
- Subcontraries: they cannot both be false but can both be true.

Application III: Violation of convexity

- Convexity often assumed as a constraint on concept formation and lexicalization [Gardenfors 2014; Enguehard and Chemla 2021]
- **Example:** colour space
 - A space is **convex** just in case for every two points contained therein, the line connecting them lies entirely within the space.
 - Colour words (*blue, white, red, ...*) denote convex areas in colour space
 - “*Blue or white*” and “*not red*” instead do not denote convex areas \mapsto not natural concepts, not lexicalized
- Convexity without conceptual space: we need a relevant ordering
 - A meaning X is convex iff given $A < B < C$ & A in X & C in X then also B in X
- Indefinite functions $SK, SU, NS \mapsto$ sentential meanings
- In classical semantic theory, sentential meanings are sets of possible worlds. Unclear how worlds should be ordered.
- In team semantics: sentential meanings \mapsto sets of teams:

$$[\phi]_M = \{T \mid M, T \models \phi\}$$

We can use \subseteq as relevant ordering for defining convexity

Application III: Violation of convexity

- **Convex sets of teams :**

- A set of teams P is convex iff for all T, T', T'' such that $T \subseteq T' \subseteq T''$, if $T \in P$ and $T'' \in P$, then $T' \in P$.
- The Boolean union of the formulas associated with the SK and NS cells in our map does not satisfy convexity:
 - SK + NS: $dep(\emptyset, x) \vee var(v, x)$ [not convex]
- The other two combinations instead define convex sets:
 - SK + SU: $dep(\emptyset, x) \vee (var(\emptyset, x) \wedge dep(v, x)) \equiv dep(v, x)$ [convex]
 - SU + NS: $(var(\emptyset, x) \wedge dep(v, x)) \vee var(v, x) \equiv var(\emptyset, x)$ [convex]
- A reasonable constraint on implicational maps: contiguous cells must denote convex properties (no gaps allowed!)
- This gives us a principled explanation of the specific ordering among functions assumed in the original Haspelmath's map:

SK-SU-NS yes

SU-SK-NS no

SK-NS-SU no

Application IV: Licensing of non-specific indefinites

Non-specific indefinites are **ungrammatical in episodic sentences** and they need an operator (e.g. a universal quantifier, a modal or an attitude verb) which licenses them:

(11)* *Ivan včera kupil kakuju-nibud' knigu.*

Ivan yesterday bought which-INDEF. book.

'Ivan bought some book [non-specific] yesterday.'

(12) *Ivan hotel spet' kakuju-nibud' pesniu.*

Ivan want-PAST sing-INF which-INDEF. song.

'Ivan wanted to sing some song [non-specific].'

Application IV: Licensing of non-specific indefinites

Recall that non-specific indefinites are strict existentials which trigger v -variation: $var(v, x)$.

$$\exists_s x (\phi \wedge var(v, x))$$

$$\frac{\frac{\frac{}{v}}{v_1}}{v_2}}$$

Application IV: Licensing of non-specific indefinites

Recall that non-specific indefinites are strict existentials which trigger v -variation: $\text{var}(v, x)$.

$$\exists_s x (\phi \wedge \text{var}(v, x))$$

| v |
|-------|
| v_1 |
| v_2 |

| v | x |
|-------|-------|
| v_1 | a_1 |
| v_2 | a_2 |

$\text{var}(v, x)$ cannot be satisfied!

No initial team can support $\exists_s x (\phi \wedge \text{var}(v, x))$

\Rightarrow Non-specific indefinites predicted to be infelicitous in episodic sentences

Application IV: Licensing of non-specific indefinites

Recall that non-specific indefinites are strict existentials which trigger v -variation: $var(v, x)$.

$$\forall y \exists_s x (\phi \wedge var(v, x))$$

| <u>v</u> | <u>v</u> | <u>y</u> |
|-----------------------|-----------------------|-----------------------|
| v_1 | v_1 | b_1 |
| | | b_2 |
| v_2 | v_2 | b_1 |
| | | b_2 |

Application IV: Licensing of non-specific indefinites

Recall that non-specific indefinites are strict existentials which trigger v -variation: $var(v, x)$.

$$\forall y \exists_s x (\phi \wedge var(v, x))$$

| <u>v</u> | <u>v y</u> | <u>v y x</u> | |
|-----------------------|--|---|------------------------|
| v_1 | v_1 b_1 | v_1 b_1 a_1 | $var(v, x)$ satisfied! |
| v_2 | v_1 b_2 | v_1 b_2 a_2 | |
| | v_2 b_1 | v_2 b_1 a_1 | |
| | v_2 b_2 | v_2 b_2 a_2 | |

Initial teams can support $\forall y \exists_s x (\phi \wedge var(v, x))$

\Rightarrow Non-specific indefinites predicted to be felicitous in universally quantified sentences

Application IV: Licensing of non-specific indefinites

Non-specific indefinites can also be licensed by modals or attitude verbs:

- (13)* *On kupil kakoj-nibud' tort.*
He buy-PAST some-nibud cake.

'He bought a cake.'

- (14) *Ivan hotel spet' kakuju-nibud' pesniu.*
Ivan want-PAST sing-INF some-nibud song.

'Ivan wanted to sing some song [non-specific].'

- (15) *On mog kupit' kakoj-nibud' tort.*
He can-PAST buy-INF some-nibud cake

'He could buy a cake.'

Application IV: Licensing of non-specific indefinites

Basic Idea:

Modals as **lax quantifiers** over worlds: $\Box_w \sim \forall w$ and $\Diamond_w \sim \exists_{I(ax)} w$

(16) Necessity Modal

a. You must take some-*nibud* book

b. $\forall w \exists_s x (\phi(x, w) \wedge \text{var}(v, x))$

(17) Possibility Modal

a. You may take some-*nibud* book

b. $\exists_I w \exists_s x (\phi(x, w) \wedge \text{var}(v, x))$

Application IV: Licensing of non-specific indefinites

We obtain the correct licensing behaviour!

$$\exists_I w \exists_s x (\phi(x, w) \wedge \text{var}(v, x))$$

| <u>v</u> | <u>v</u> | <u>w</u> | <u>v</u> | <u>w</u> | <u>x</u> | |
|----------------|----------------|----------------|----------------|----------------|----------------|----------------------|
| v ₁ | | w ₁ | v ₁ | w ₁ | a ₁ | var(v, x) satisfied! |
| v ₂ | v ₁ | w ₂ | | w ₂ | a ₂ | |
| | v ₂ | w ₁ | v ₂ | w ₁ | a ₁ | |

Initial teams can support $\exists_I w \exists_s x (\phi(x, w) \wedge \text{var}(v, x))$

⇒ Non-specific indefinites predicted to be felicitous under (possibility) modals
(but not under other indefinites (strict existential))

Aside: Epistemic Modals via Inclusion Atoms

(18) Epistemic vs Deontic

- a. Aicha might be in Paris.
- b. Aicha is allowed to go to Paris.

Only epistemic modals give rise to **epistemic contradictions**:

- (19) $\#$ Aicha might be in Paris and she is not in Paris.

Epistemic modals quantify over epistemic possibilities of the speaker (encoded by v in our system).

Deontic modals interpreted wrt 'normative' rules, not necessarily compatible with the state of affairs in the actual world.

Aside: Epistemic Modals via Inclusion Atoms

Proposal: epistemic modals as inclusion atoms triggers

(20) a. Aicha might be in Paris.

b. $\exists w (P(a, w) \wedge w \subseteq v)$

Inclusion Atom:

$M, T \models \vec{x} \subseteq \vec{y} \Leftrightarrow$ for all $i \in T$, there is a $j \in T : i(\vec{x}) = j(\vec{y})$

| x | y | z |
|-------|-------|-------|
| d_1 | d_1 | d_2 |
| d_1 | d_2 | d_2 |
| d_2 | d_3 | d_4 |
| d_2 | d_4 | d_4 |

$x \subseteq y$ ✓

$xz \subseteq xy$ ✓

$y \subseteq x$ ✗

General picture

Indefinites: strict existentials over individual variables

differences captured via \Rightarrow Dependence and Variation Atoms

Modals: lax quantifiers over world variables

differences captured via \Rightarrow Inclusion Atoms

Aside: Epistemic Modals via Inclusion Atoms

(21) Epistemic

a. $\#$ Aicha might be in Paris and she is not in Paris.

b. $\exists_I w (P(a, w) \wedge w \subseteq v) \wedge \neg P(a, v) \models \perp$

(22) Deontic

a. Aicha is allowed to be in Paris and she is not in Paris.

b. $\exists_I w (P(a, w) \wedge R(v, w)) \wedge \neg P(a, v) \not\models \perp$

| v |
|-------|
| v_1 |
| v_2 |
| v_3 |

| v | w |
|-------|-------|
| v_1 | v_1 |
| v_1 | v_2 |
| v_2 | v_1 |
| v_2 | v_2 |
| v_3 | v_1 |
| v_3 | v_2 |

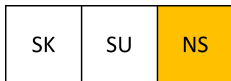
Epistemic

| v | w |
|-------|-------|
| v_1 | w_1 |
| v_1 | w_2 |
| v_2 | w_1 |
| v_2 | w_2 |
| v_3 | w_1 |
| v_3 | w_2 |

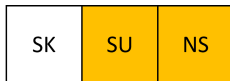
Deontic

Application V: From non-specific to epistemic

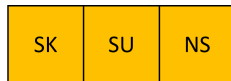
Frequent diachronic tendency: **non-specific** > **epistemic** (e.g. French *quelque* (Foulet 1919) and German *irgendein* (Port and Aloni 2015))



Non-specific



Epistemic



Unmarked

Haspelmath (1997)'s explanation: weakening of functions from the right (non-specific) of the functional map to the left (specific).

(23) **Weakening of functions (a) > (b) > (c)**

(a) non-specific

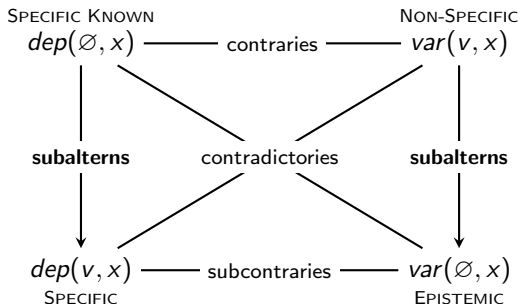
(b) non-specific + specific unknown = epistemic

(c) epistemic + specific known = unmarked

But then why diachronically we do not observe the change from (b) to (c)?

Application V: Dependence Square of Opposition

Our framework makes the notion of weakening precise in terms of **subalternation** in our square of opposition



By subalternation we predict the following possible diachronic developments:

(i) NON-SPECIFIC > EPISTEMIC (attested)

(ii) SPECIFIC KNOWN > SPECIFIC (conjectured)

But (ii) might violate another constraint on language change

Application V: concrete > abstract

- The representation of **known vs unknown** requires variables ranging over W , a domain of abstract entities
 - Without world variables:** Specific ($dep(\emptyset, x)$) vs Non-specific ($var(\emptyset, x)$)
 - With world variables:** Dependence Square of Opposition
- It is reasonable to conjecture that individual quantification precedes world quantification

concrete > abstract

- This conjecture gives rise to different predictions concerning diachronic tendencies:
 - (i) NON-SPECIFIC > EPISTEMIC (attested)
 - (ii) SPECIFIC > SPECIFIC KNOWN (conjectured)
- Possibly both factors (weakening and concreteness) play a role explaining why only (i) is frequently attested

| | weakening | concreteness |
|----------------------------|-----------|--------------|
| NON-SPECIFIC > EPISTEMIC | yes | yes |
| SPECIFIC > SPECIFIC KNOWN | no | yes |
| SPECIFIC KNOWN > SPECIFIC | yes | no |
| EPISTEMIC > SPECIFIC KNOWN | no | = |

Final Proposal

We propose that:

- ① Indefinites are **strict existentials**;
- ② They are interpreted **in-situ**;
- ③ An unmarked/plain indefinite $\exists_s x$ in **syntactic scope** of $O_{\vec{z}}$ allows all $dep(\vec{y}, x)$, with \vec{y} included in $v\vec{z}$:

$$O_{z_1} \dots O_{z_n} \exists_s x (\phi \wedge dep(\vec{y}, x))$$

- ④ **Marked indefinites** additionally trigger the obligatory activation of particular dependence or variation atoms.

Final Proposal

$$O_{z_1} \dots O_{z_n} \exists_s x (\phi \wedge \dots)$$

Unmarked: $dep(\vec{y}, x)$, where $\vec{y} \subseteq v\vec{z}$

Specific known: $dep(\vec{y}, x)$ with $\vec{y} = \emptyset$

Specific: $dep(\vec{y}, x)$ with $\vec{y} = v$

Epistemic: $dep(\vec{y}, x) \wedge var(\vec{z}, x)$ with $\vec{z} = \emptyset$

Non-specific: $dep(\vec{y}, x) \wedge var(\vec{z}, x)$ with $\vec{z} = v$

Specific unknown: $dep(\vec{y}, x) \wedge var(\vec{z}, x)$ with $\vec{y} = v$ and $\vec{z} = \emptyset$

Application VI: Interaction with Scope

$$\forall z \forall y \exists_s x \phi$$

| | WS-K $dep(\emptyset, x)$ | WS $dep(v, x)$ | IS $dep(vy, x)$ | NS $dep(vyz, x)$ |
|--|-----------------------------|-------------------|--------------------|---------------------|
| unmarked | ✓ | ✓ | ✓ | ✓ |
| specific $dep(v, x)$ | ✓ | ✓ | ✗ | ✗ |
| non-specific $var(v, x)$ | ✗ | ✗ | ✓ | ✓ |
| epistemic $var(\emptyset, x)$ | ✗ | ✓ | ✓ | ✓ |
| specific known $dep(\emptyset, x)$ | ✓ | ✗ | ✗ | ✗ |
| specific unknown $dep(v, x) \wedge var(\emptyset, x)$ | ✗ | ✓ | ✗ | ✗ |

Note that non-specific indefinites also allow intermediate readings (Partee 2004):

- (24) *Možet byt', Maša xočet kupit' kakuju-nibud' knigu.*
 may be, Maša want buy which-INDEF. book.

- Narrow Scope: It may be that Maša wants to buy some book.
- Intermediate Scope: It may be that there is some book which Maša wants to buy.
- #Wide-scope: There is some book such that it may be that Maša wants to buy it.

Conclusion

We have developed a **two-sorted team semantics** framework accounting for indefinites cross-linguistically.

In this framework, **marked indefinites** trigger the obligatoriness of dependence or variation atoms, responsible for their scopal and epistemic interpretations.

We have applied the framework to characterize the **typological variety of indefinites** in the case of (non-)specificity.

We have then showed how this system can be used to explain several **properties and phenomena** associated with (non-)specific indefinites.

THANK YOU!¹

¹Maria's part of this work was supported by NWO OC project *Nothing is Logical* (Nihil), (grant no 406.21.CTW.023).

Selected References

- Abramsky, Samson and Jouko Väänänen (2009). "From if to bi". In: *Synthese* 167.2, pp. 207–230.
- Aloni, Maria (2001). "Quantification under Conceptual Covers". PhD thesis. ILLC, University of Amsterdam.
- Brasoveanu, Adrian and Donka F Farkas (2011). "How indefinites choose their scope". In: *Linguistics and philosophy* 34.1, pp. 1–55.
- Farkas, Donka (2002). "Varieties of indefinites". In: *Semantics and Linguistic Theory*. Vol. 12, pp. 59–83.
- Farkas, Donka F and Adrian Brasoveanu (2020). "Kinds of (Non) Specificity". In: *The Wiley Blackwell Companion to Semantics*, pp. 1–26.
- Foulet, Lucien (1919). "Étude de syntaxe française: Quelque". In: *Romania* 45.178. DOI: 10.3406/roma.1919.5158.
- Galliani, Pietro (2015). "Upwards closed dependencies in team semantics". In: *Information and Computation* 245, pp. 124–135.
- (2021). "Dependence Logic". In: *The Stanford Encyclopedia of Philosophy*. Ed. by Edward N. Zalta. Summer 2021. Metaphysics Research Lab, Stanford University.
- Haspelmath, Martin (1997). *Indefinite Pronouns*. Published to Oxford Scholarship Online: November 2017. Oxford University Press. DOI: 10.1093/oso/9780198235606.001.0001.
- Hodges, Wilfrid (1997). "Compositional semantics for a language of imperfect information". In: *Logic Journal of the IGPL* 5.4, pp. 539–563.
- Kratzer, Angelika (1998). "Scope or pseudoscope? Are there wide-scope indefinites?" In: *Events and grammar*. Springer, pp. 163–196.
- Partee, Barbara Hall (2004). *Semantic Typology of Indefinites II*. Lecture Notes RGGU 2004. URL: https://people.umass.edu/partee/RGGU_2004/RGGU0411annotated.pdf.
- Port, Angelika and Maria Aloni (2015). *The diachronic development of German Irgend-indefinites*. Ms, University of Amsterdam.
- Reinhart, Tanya (1997). "Quantifier scope: How labor is divided between QR and choice functions". In: *Linguistics and philosophy*, pp. 335–397.

Selected References

- Tuggy, David H (1979). "Tetelcingo Nahuatl". In: *Modern Aztec Grammatical Sketches*. Ed. by Ronald W. Langacker. Vol. 2. Studies in Uto-Aztec Grammar. Arlington: Summer Institute of Linguistics, pp. 1–140.
- Väänänen, Jouko (2007). *Dependence logic: A new approach to independence friendly logic*. Vol. 70. Cambridge University Press.
- Yang, Fan (2014). *On extensions and variants of dependence logic*.

Negation and Implication

Negation so far can only be defined for the classical fragment of the language (including identity²).

To express natural language negation we can adopt an intensional notion, along the lines of Brasoveanu and Farkas (2011).

(25) Intensional Negation

$$\neg\phi \Leftrightarrow \forall w(\phi[v/w] \rightarrow v \neq w)$$

(26) Clause for Implication

$M, X \models \phi \rightarrow \psi \Leftrightarrow$ for **some** $X' \subseteq X$ s.t. $M, X' \models \phi$ and X' is maximal (i.e. for all X'' s.t. $X' \subset X'' \subseteq X$, $M, X'' \not\models \phi$), we have $M, X' \models \psi$

$$M, T \models x \neq y \Leftrightarrow \forall i \in T : i(x) \neq i(y)$$

Negation and Epistemic Indefinites

Desideratum: EIs under negation display an NPI behaviour (e.g., *any*).

EIs under negation as in (27) are supported when the initial team contains just $\{w_\emptyset\}$. (In w_\emptyset John read no book, in w_a John read only book a , and so on.)

(27) a. John does not have *irgend*-book.

b. $\forall w(\exists_s x(\phi(x, w) \wedge \text{dep}(vw, x) \wedge \text{var}(\emptyset, x)) \rightarrow \mathbf{v} \neq \mathbf{w})$

| v | w | x |
|---------------|---------------|-----|
| w_\emptyset | w_\emptyset | — |
| w_\emptyset | w_a | a |
| w_\emptyset | w_b | b |
| w_\emptyset | w_{ab} | b |

| v | w | x |
|----------------|----------------|--------------|
| w_a | w_\emptyset | — |
| \mathbf{w}_a | \mathbf{w}_a | \mathbf{a} |
| w_a | w_b | b |
| w_a | w_{ab} | a |

[maximal teams supporting antecedent in blue; in red assignments violating consequent]

Negation and Specific Indefinites

Does the *some/all* distinction matters in the semantic clause for maximal implication?

For union-closed formulas, it does not. The difference is trivialized.

But not all formulas in our language are union-closed!

Let's consider what happens in the case of specific (known) indefinites.

(28) a. John does not have some-SK book.

$$b. \forall w(\exists_s x(\phi(x, w) \wedge dep(\emptyset, x)) \rightarrow v \neq w)$$

As in (28), specific indefinites under negation are supported by $\{w_\emptyset\}$ (John has no book), and also by $\{w_a\}$ (John has book *a* and not *b*) or $\{w_b\}$. But not by $\{w_{ab}\}$ (John has all books).

Supporting and Non-Supporting Teams

(29) a. John does not have some-SK book.

b. $\forall w(\exists x(\phi(x, w) \wedge \text{dep}(\emptyset, x)) \rightarrow v \neq w)$

| v | w | x |
|-----------------|-----------------|---|
| w_{\emptyset} | w_{\emptyset} | a |
| w_{\emptyset} | w_a | a |
| w_{\emptyset} | w_b | a |
| w_{\emptyset} | w_{ab} | a |
| v | w | x |
| w_{\emptyset} | w_{\emptyset} | b |
| w_{\emptyset} | w_a | b |
| w_{\emptyset} | w_b | b |
| w_{\emptyset} | w_{ab} | b |

| v | w | x |
|-------|-----------------|---|
| w_a | w_{\emptyset} | a |
| w_a | w_a | a |
| w_a | w_b | a |
| w_a | w_{ab} | a |
| v | w | x |
| w_a | w_{\emptyset} | b |
| w_a | w_a | b |
| w_a | w_b | b |
| w_a | w_{ab} | b |

| v | w | x |
|----------|-----------------|---|
| w_{ab} | w_{\emptyset} | a |
| w_{ab} | w_a | a |
| w_{ab} | w_b | a |
| w_{ab} | w_{ab} | a |
| v | w | x |
| w_{ab} | w_{\emptyset} | b |
| w_{ab} | w_a | b |
| w_{ab} | w_b | b |
| w_{ab} | w_{ab} | b |

[only for $\{w_{ab}\}$ no maximal team supporting the antecedent also supports the consequent, therefore $\{w_{\emptyset}\}$, $\{w_a\}$ support (29b) but $\{w_{ab}\}$ doesn't.]