

# Introduction to Artificial Neural Networks

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# Goal

“Review the history of artificial neural networks and understand how a multilayer perceptron works.”

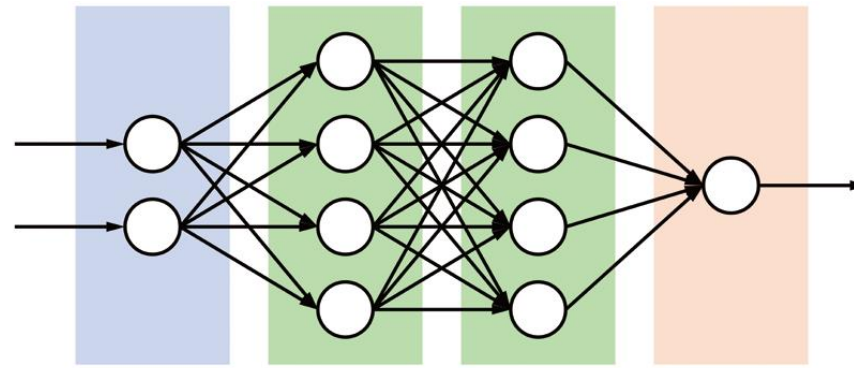
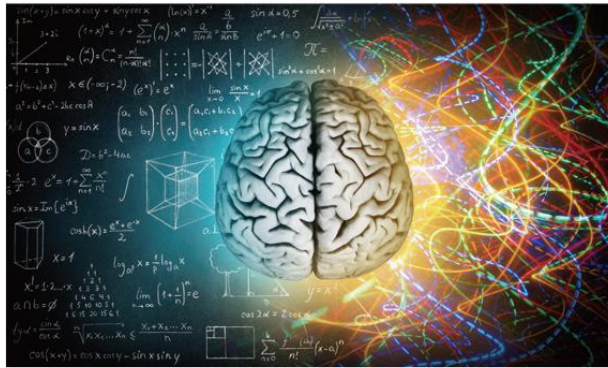
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- History of artificial neural networks
- Multilayer perceptron

# History of Artificial Neural Networks

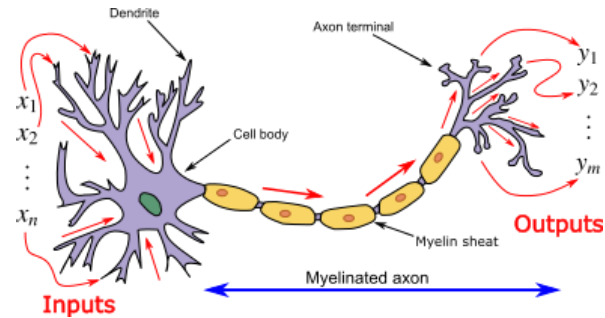
# What is an Artificial Neural Network (ANN)?

“An ANN is a collection of connected artificial neurons, which model the neurons in a biological brain.”



A biological brain and an artificial neural network

# “How do human brains work?”



A biological neuron of a human brain

Neurons in the brain **aggregate** and **activate** signals.

- Aggregation: perceive the inputs from the previous neurons
- Activation: propagate the output to the next neurons if activated.

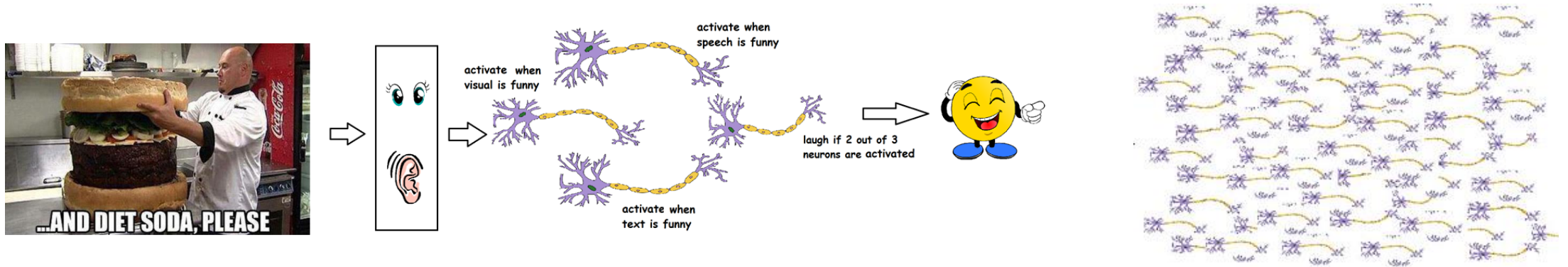
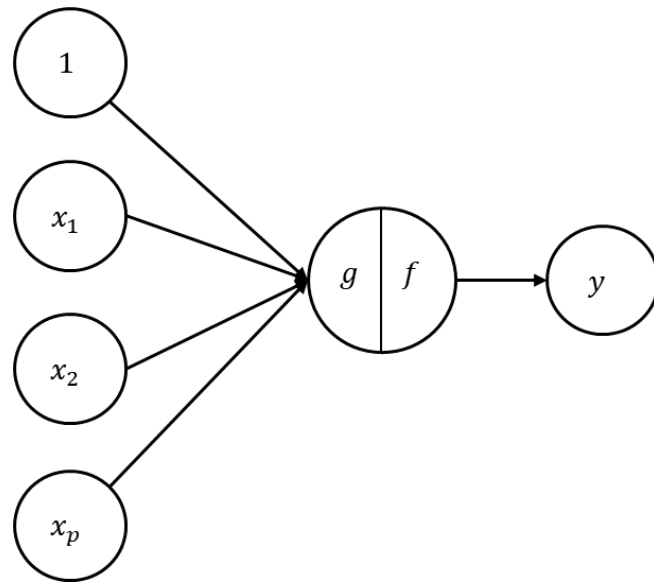


Illustration of the human brain's division of work

(Source | towardsdatascience)

# McCulloch-Pitts Neuron

“The first computational model of a neuron was proposed by Warren McCulloch (neuroscientist) and Walter Pitts (logician) in 1943.”



McCulloch-Pitts neuron

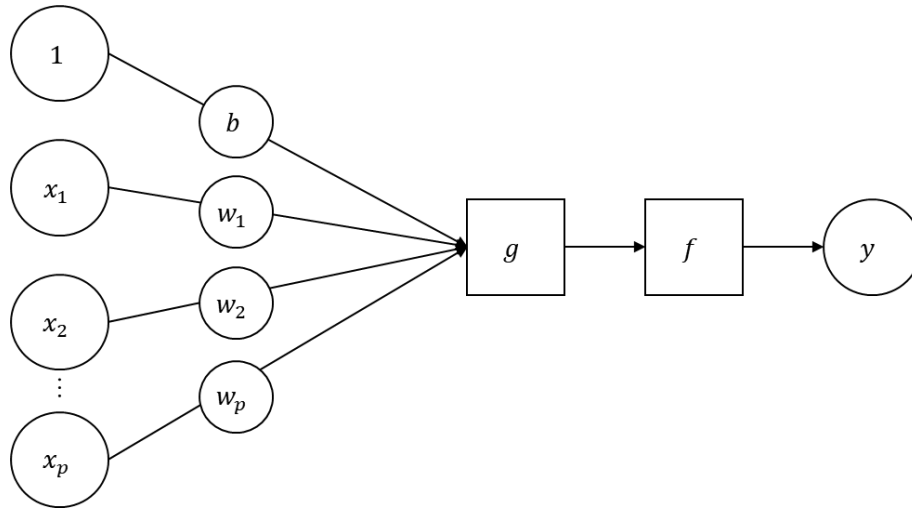
- $g(\mathbf{x}) = g(x_1, x_2, \dots, x_p) = \sum_{j=1}^p x_j$  where  $x_j \in \{0, 1\} \forall j$

- $f(x) = \begin{cases} 1 & \text{if } x \geq \theta \\ 0 & \text{if } x < \theta \end{cases}$

$$\Rightarrow y = f(g(x))$$

# Perceptron

“In 1958, Frank Rosenblatt proposed the **perceptron** model for binary classification.”



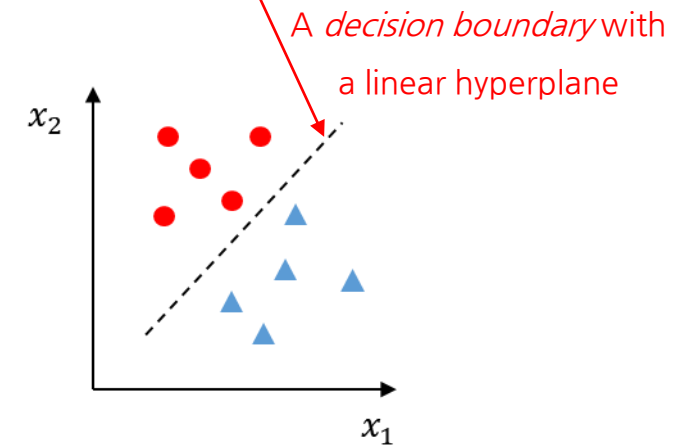
Rosenblatt's perceptron

- $g(\mathbf{x}) = g(x_1, x_2, \dots, x_p) = \sum_{j=1}^p w_j x_j + b, \mathbf{x} \in \mathbb{R}^p$

- $f(x) = \begin{cases} 1 & \text{if } x \geq \theta \\ 0 & \text{if } x < \theta \end{cases}$

$$\Rightarrow y = f(g(x))$$

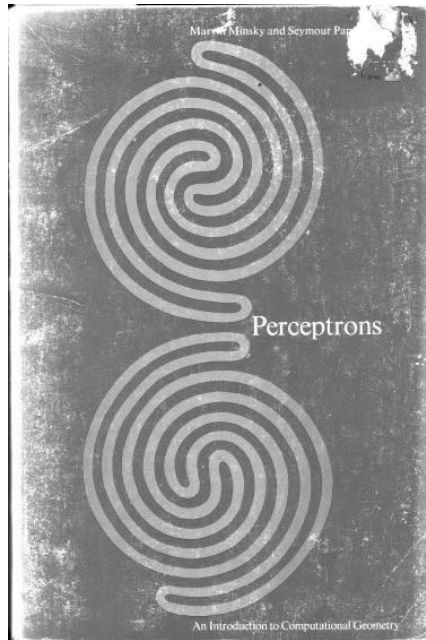
Affine transformation





# Limitation of the Perceptron Model

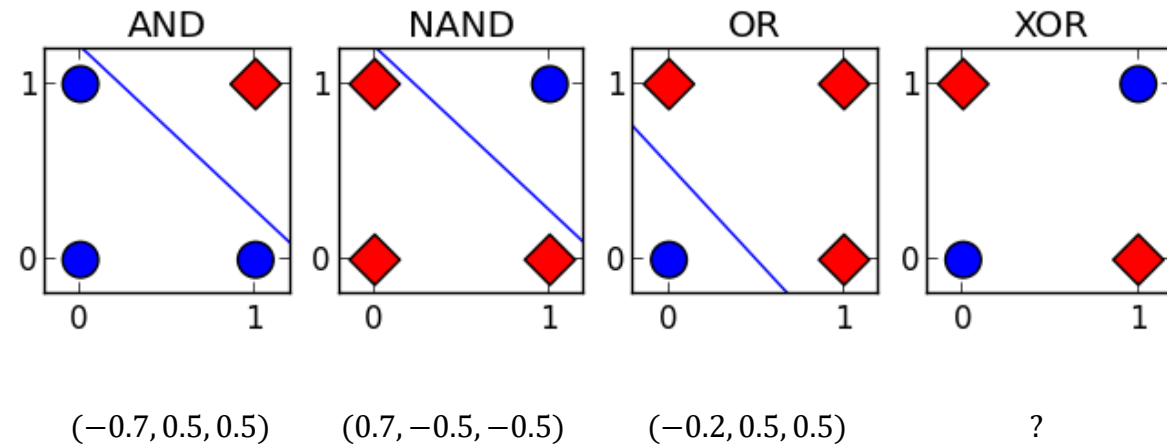
“Minsky and Papert criticized that the perceptron model can’t solve the XOR problem.”



Cover of “Perceptrons”  
by Minsky and Papert (1969)

$$y = \begin{cases} 0 & \text{if } b + w_1x_1 + w_2x_2 \leq 0 \\ 1 & \text{if } b + w_1x_1 + w_2x_2 > 0 \end{cases}$$

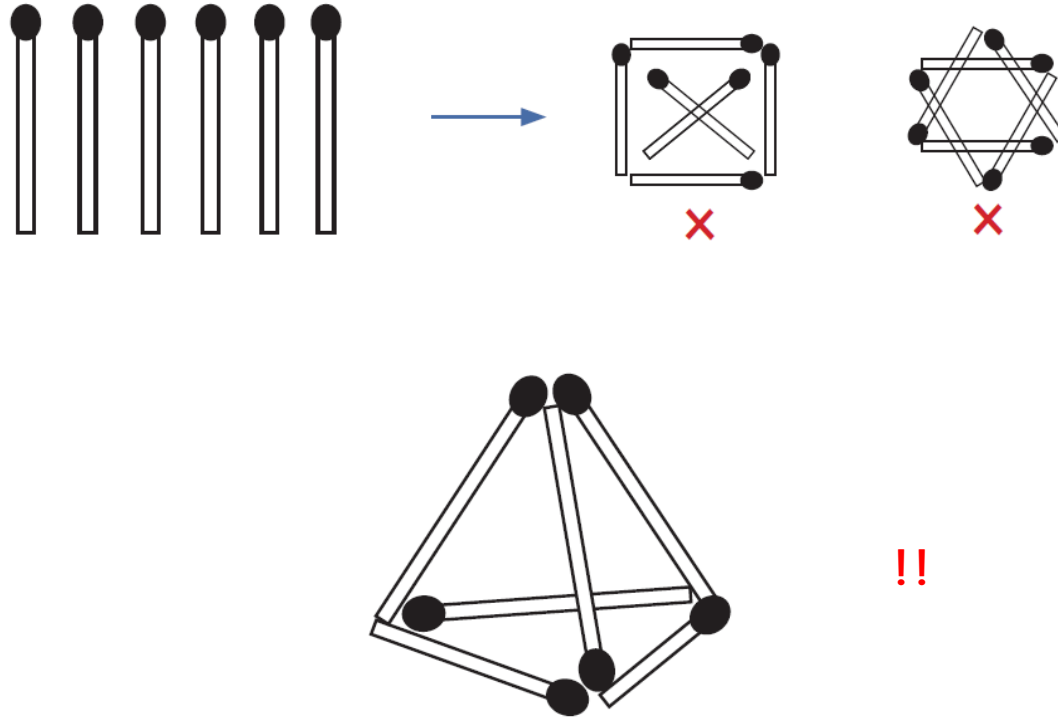
$$(b, w_1, w_2) = ?$$



NONLINEARITY !!

# Application of Hidden Layers

“Make 4 equilateral triangles with 6 matchsticks.”

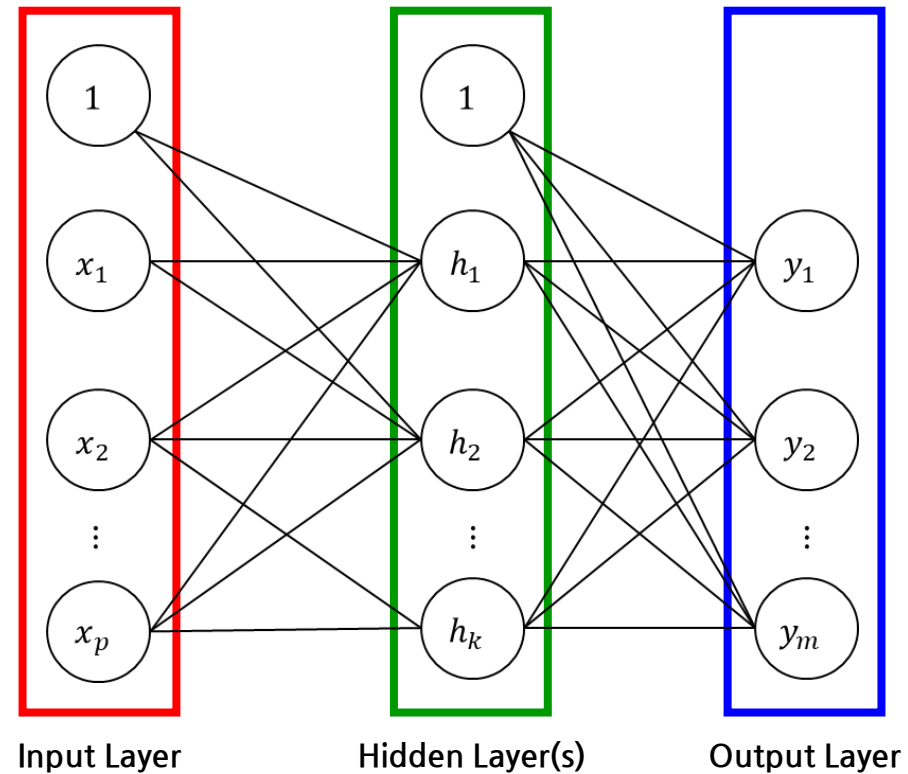


# Multilayer Perceptron

# Multilayer Perceptron (MLP)

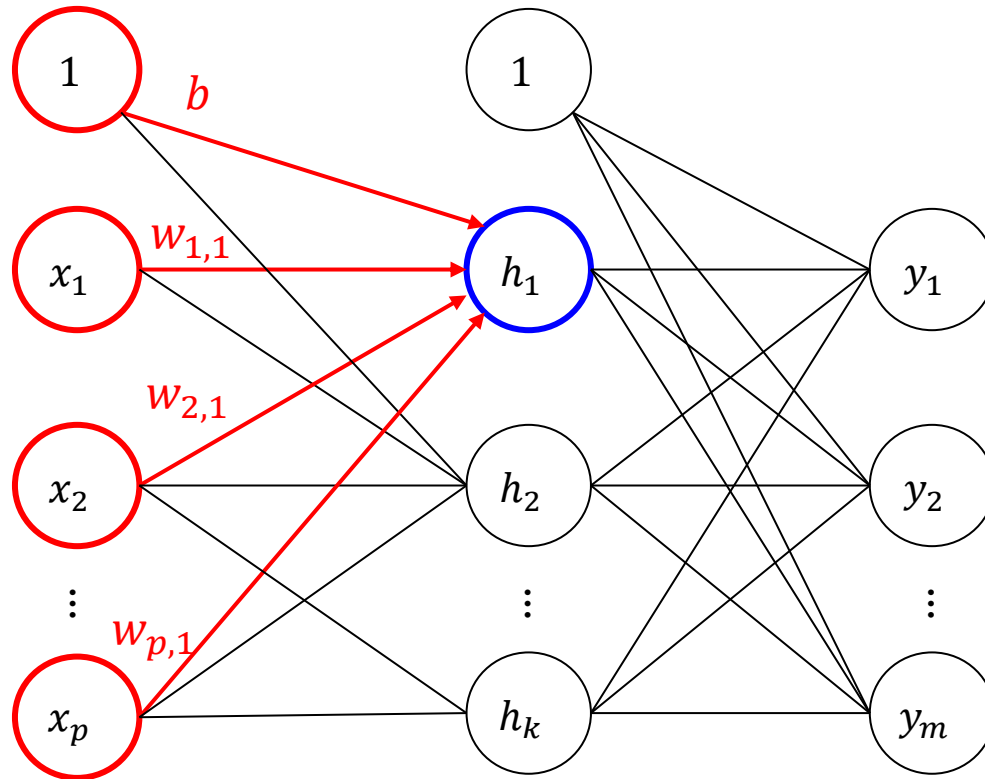
“An MLP is a feedforward network with **at least three layers of neurons**: an input, hidden and output layer.”

○ : a neuron (unit)



# Multilayer Perceptron (MLP)

“An MLP consists of **fully connected neurons** with **activation functions**.”

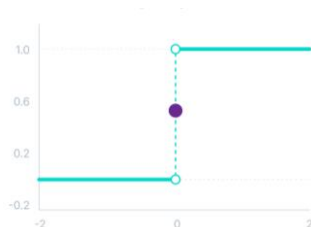


$$h = f(g(\mathbf{x}))$$

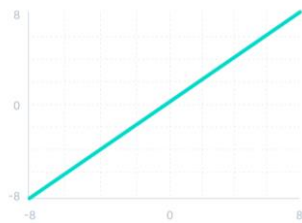
- $g(\mathbf{x}) = g(x_1, x_2, \dots, x_p) = \sum_{j=1}^p w_j x_j + b$ ,  $\mathbf{x} \in \mathbb{R}^p$
- $f(x)$ : an activation function

# Different Types of Activation Functions

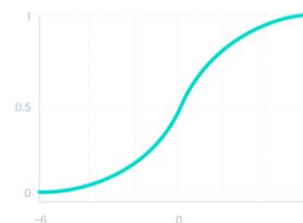
Binary Step Function



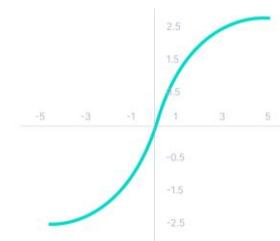
Linear



Sigmoid



Hyperbolic Tangent (Tanh)



Formula

$$f(x) = \begin{cases} 1 & \text{if } x \geq \theta \\ 0 & \text{if } x < \theta \end{cases}$$

$$f(x) = x$$

$$f(x) = \frac{1}{1 + e^{-x}}$$

$$f(x) = \tanh(x) = \frac{e^x - e^{-x}}{e^x + e^{-x}}$$

Property

- Binary activation with a threshold
- No multi-value outputs
- The gradient is zero.

- All layers will collapse into one layer.  
(i.e., the linear of linear is linear.)
- The gradient is constant.

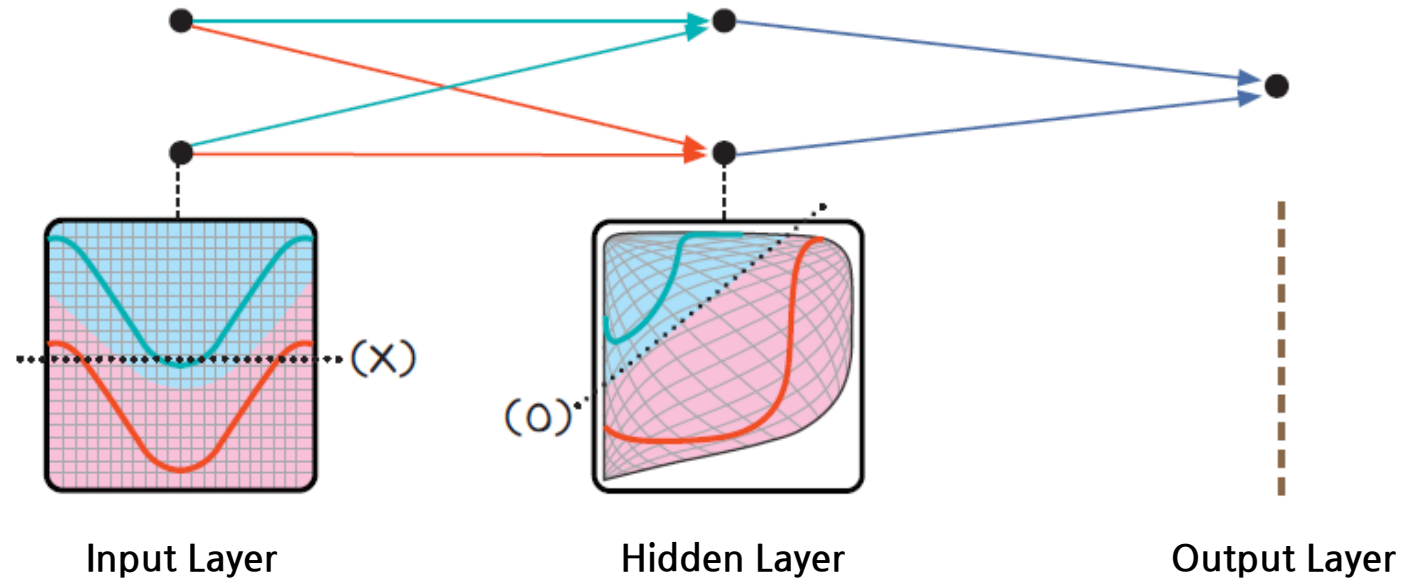
- S-shape
- The output range of 0 and 1
- The gradient is smooth.

- S-shape.
- Zero-centered output
- The gradient is smooth.



# Nonlinear Decision Boundary by MLP

“An MLP can represent a nonlinear decision boundary using a hidden layer(s) with a nonlinear activation function(s).”



# Universal Approximation Theorem

- **Theorem. Universal Approximation Theorem** (Hornik, Stinchcombe, & White, 1989)

*A feedforward neural network with a single hidden layer containing a finite number of neurons can approximate any continuous function on compact subsets of  $\mathbb{R}^n$ , given appropriate non-linear activation functions.*

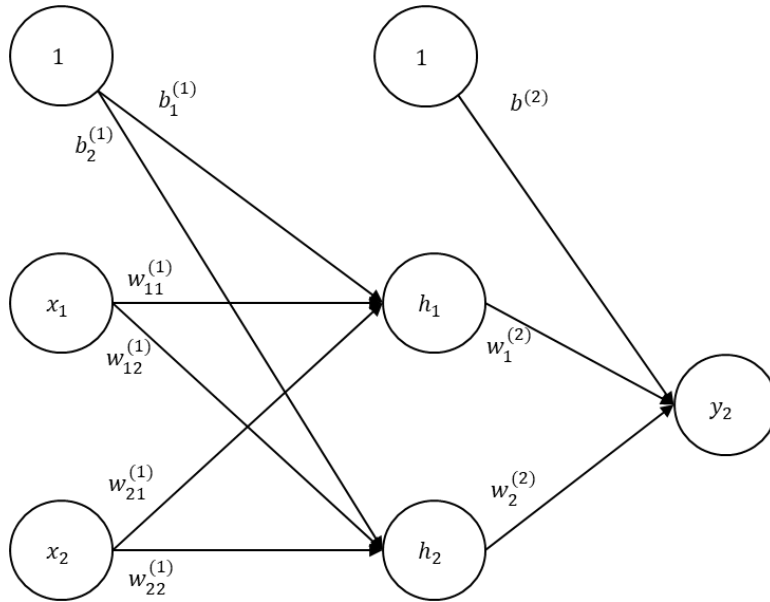
⇒ An MLP can represent an arbitrary nonlinear decision boundary!

- **“However, there are some limitations.”**

- Existence theorem
  - They state that such a neural network exists and do not provide any way to find it.
  - They also do not guarantee that any method, such as backpropagation, might find such a neural network.
- Limit theorem
  - There is no guarantee that any finite size, say, 10,000 neurons, is enough.



# MLP for XOR Problem



$x_1$	$x_2$	XOR
0	0	0
0	1	1
1	0	1
1	1	0

Input layer  $\rightarrow$  Hidden layer

$$h_1 = \sigma(w_{11}^{(1)}x_1 + w_{21}^{(1)}x_2 + b_1^{(1)})$$

$$h_2 = \sigma(w_{12}^{(1)}x_1 + w_{22}^{(1)}x_2 + b_2^{(1)})$$

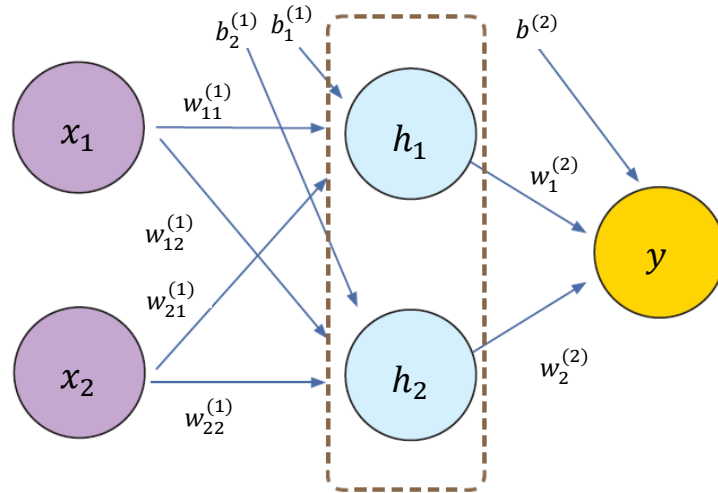
$$\sigma(x) = \frac{1}{1+e^{-x}}$$

Hidden layer  $\rightarrow$  Output layer

$$y = \sigma(w_1^{(2)}h_1 + w_2^{(2)}h_2 + b^{(2)})$$

$$\sigma(x) = \frac{1}{1+e^{-x}}$$

# MLP for XOR Problem



$$\mathbf{X}^T = \begin{bmatrix} x_{1,1} & x_{1,2} \\ x_{2,1} & x_{2,2} \\ x_{3,1} & x_{3,2} \\ x_{4,1} & x_{4,2} \end{bmatrix} = \begin{bmatrix} 0 & 0 \\ 0 & 1 \\ 1 & 0 \\ 1 & 1 \end{bmatrix}$$

$$\mathbf{W}^{(1)} = \begin{bmatrix} w_{11}^{(1)} & w_{12}^{(1)} \\ w_{21}^{(1)} & w_{22}^{(1)} \end{bmatrix} = \begin{bmatrix} 3 & 5 \\ 3 & 5 \end{bmatrix}, \mathbf{W}^{(2)} = \begin{bmatrix} w_1^{(2)} \\ w_2^{(2)} \end{bmatrix} = \begin{bmatrix} -7 \\ 5 \end{bmatrix}$$

$$\mathbf{B}^{(1)} = \begin{bmatrix} b_1^{(1)} \\ b_2^{(1)} \end{bmatrix} = \begin{bmatrix} -5 \\ -2 \end{bmatrix}, \mathbf{B}^{(2)} = [b^{(2)}] = [-3]$$

$x_1$	$x_2$	$h_1$	$h_2$	$y$	$XOR$
0	0	0.0067	0.1192	0.0794	0
0	1	0.1192	0.9526	0.7168	1
1	0	0.1192	0.9526	0.7168	1
1	1	0.7311	0.9997	0.0423	0

# Takeaways

# Takeaways

## 1. The history of artificial neural networks

- McCulloch-Pitts Neuron: binary inputs, summation, binary output
- Perceptron: linear decision boundary using affine transformation
- MLP: nonlinear decision boundary using a hidden layer(s) and a nonlinear activation function(s).

## 2. How an MLP works

- Hidden layers with nonlinear activation functions.
- Universal approximation theorem.

Thank you! 😊