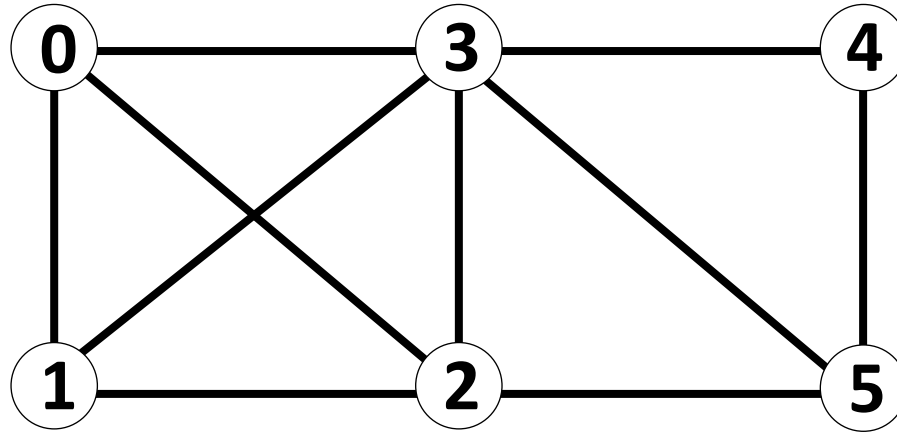


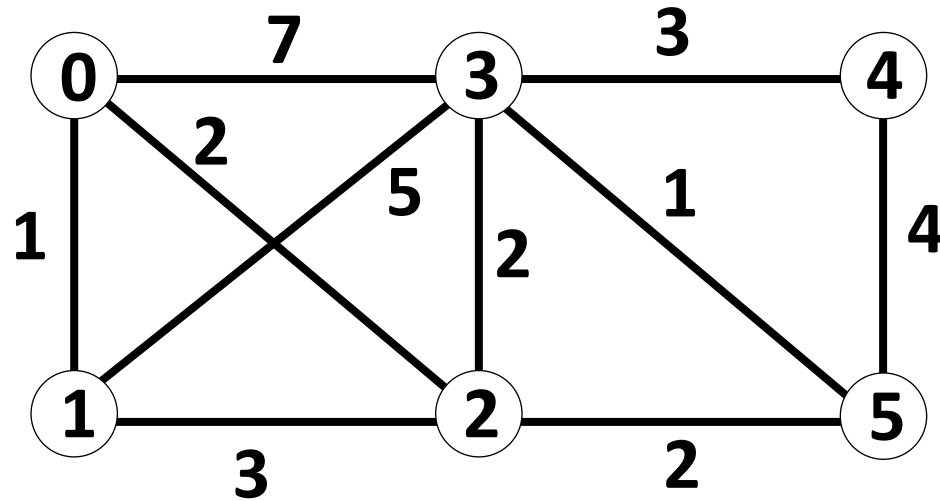
# Minimum Spanning Trees

## CSCI 232

# Minimum Spanning Tree

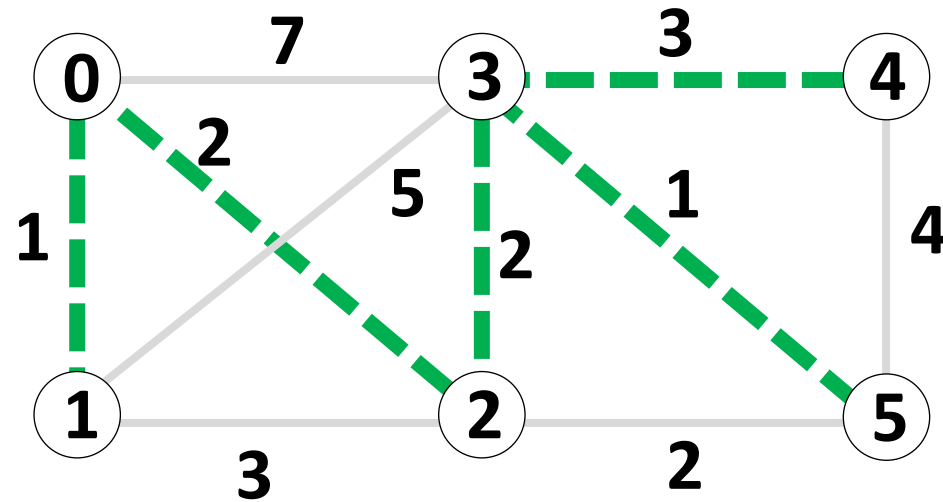


# Minimum Spanning Tree



Edge-weighted graph: A graph where each edge has a weight (cost).

# Minimum Spanning Tree

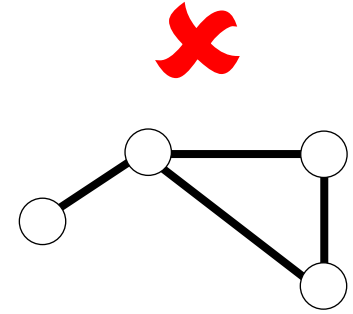
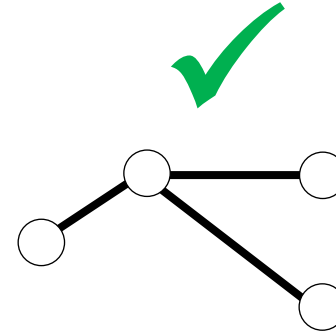


Edge-weighted graph: A graph where each edge has a weight (cost).

MST Goal: Connect all vertices to each other with a minimum weight subset of edges.

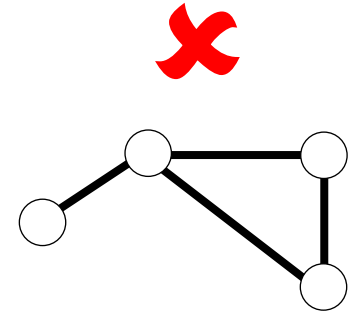
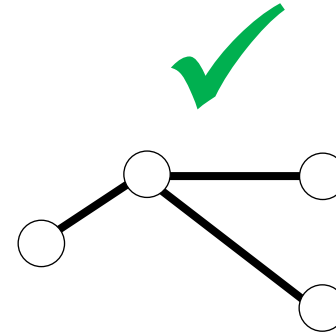
# Minimum Spanning Tree

Tree – connected graph with no loops.

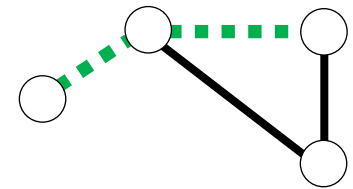
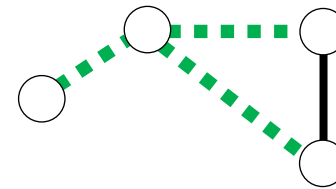


# Minimum Spanning Tree

Tree – connected graph with no loops.

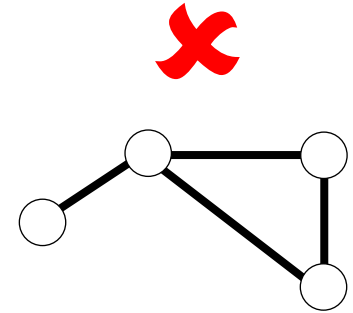
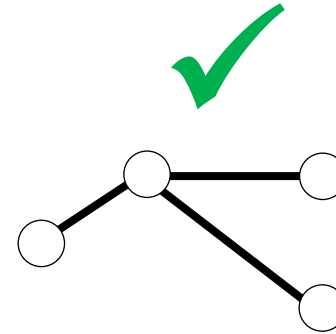


Spanning tree – tree that includes all vertices in a graph.

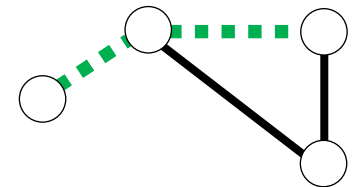
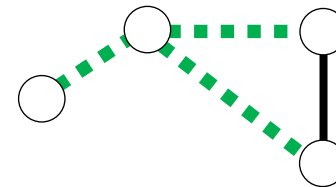


# Minimum Spanning Tree

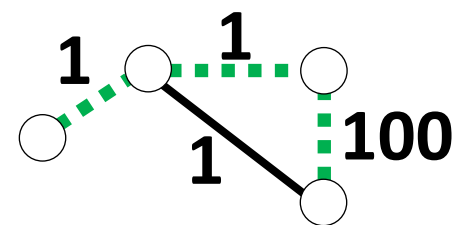
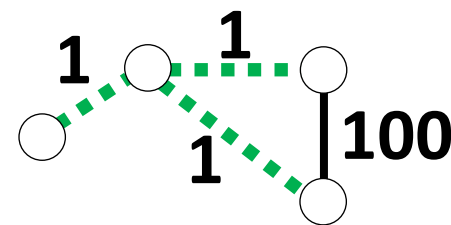
Tree – connected graph with no loops.



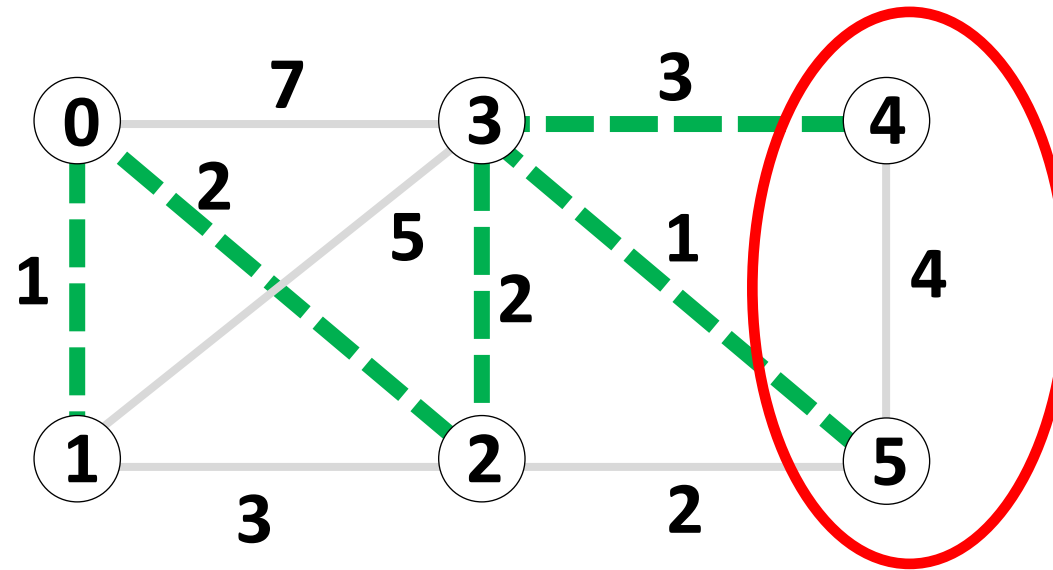
Spanning tree – tree that includes all vertices in a graph.



Minimum spanning tree – spanning tree whose sum of edge costs is the minimum possible value.



# Minimum Spanning Tree



**Does it ever  
make sense to  
have a cycle?**

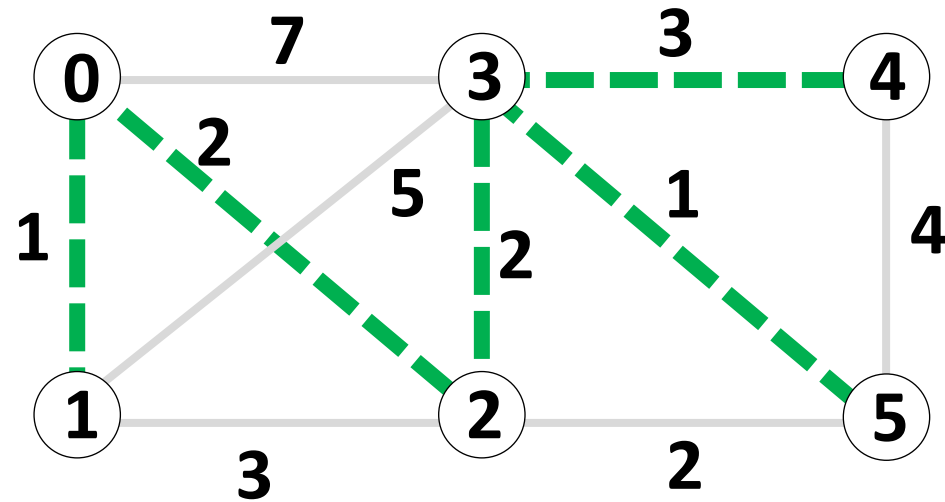
MST Goal: Connect all vertices to each other with a minimum weight subset of edges.



Must be a tree!



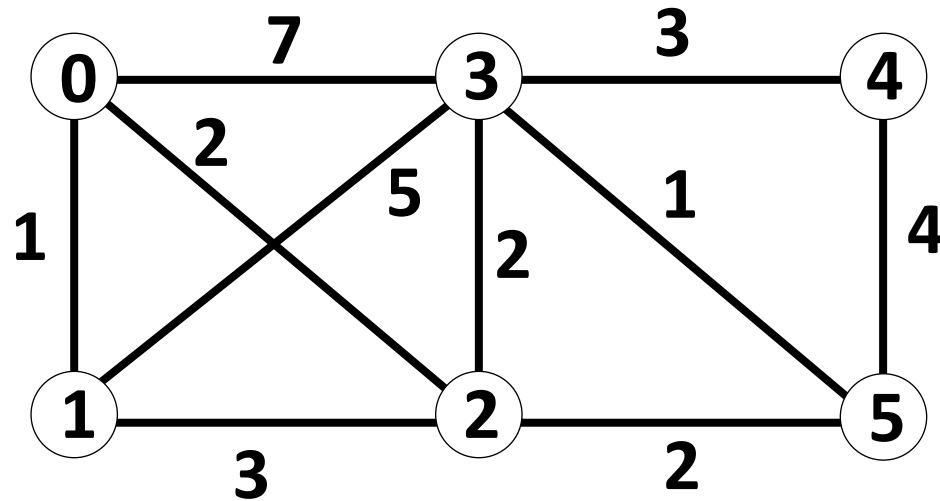
# Minimum Spanning Tree



How to find MSTs?

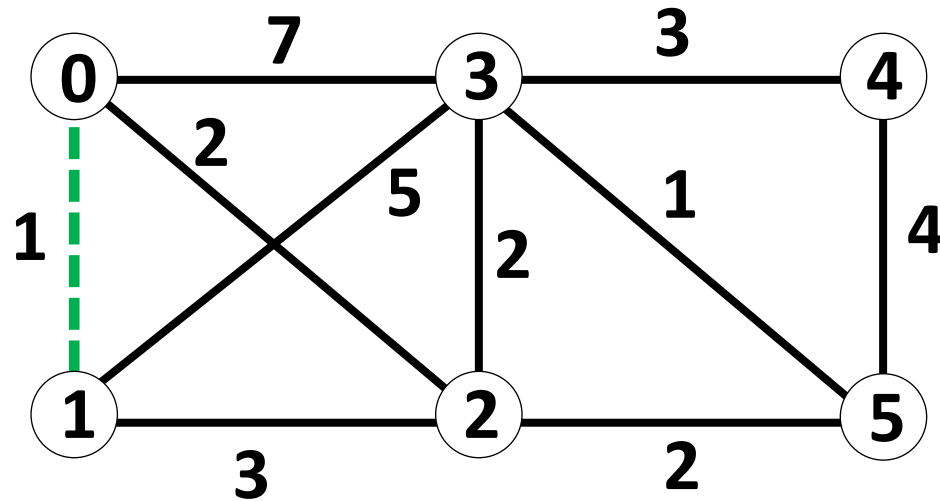
# Kruskal's MST Algorithm

At each iteration, add the edge with smallest weight, that does not create a cycle.



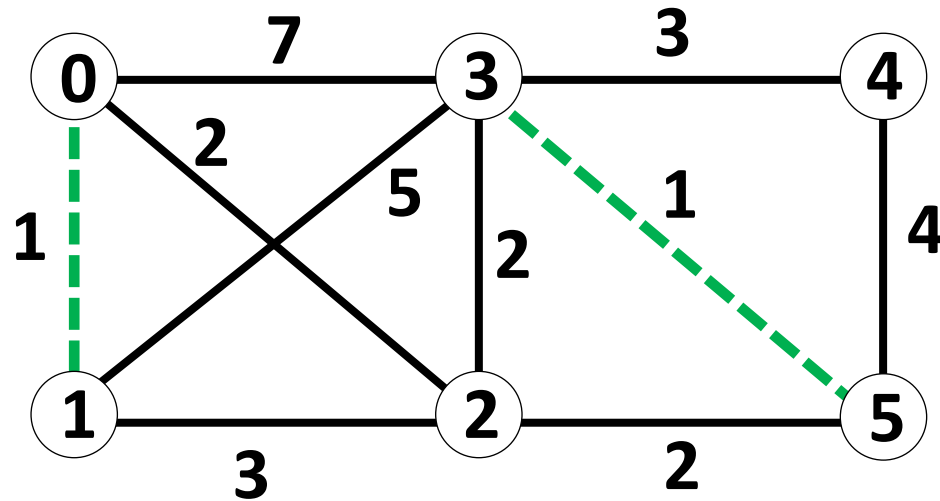
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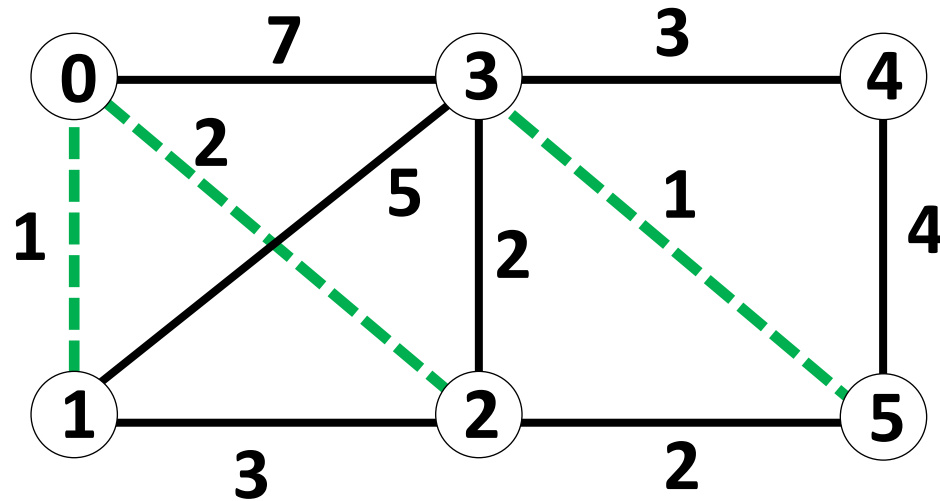
# Kruskal's MST Algorithm

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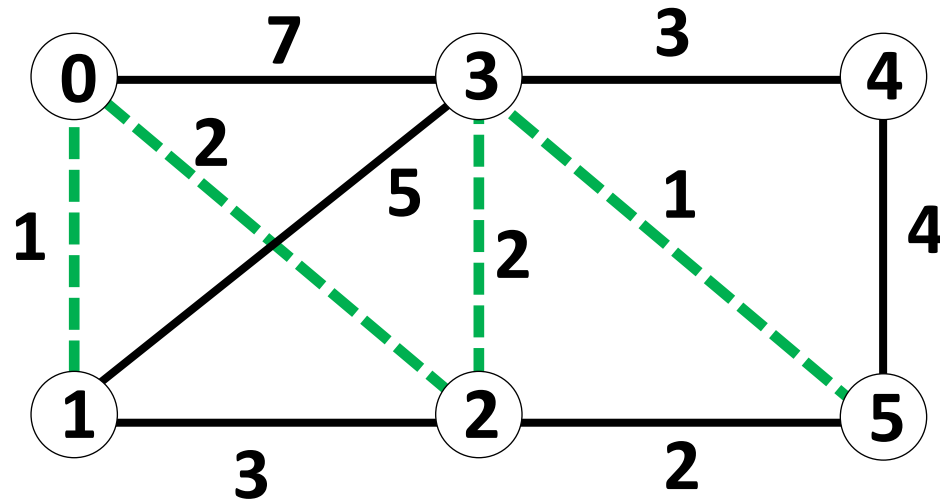
# Kruskal's MST Algorithm

At each iteration, add the edge with smallest weight, that does not create a cycle.



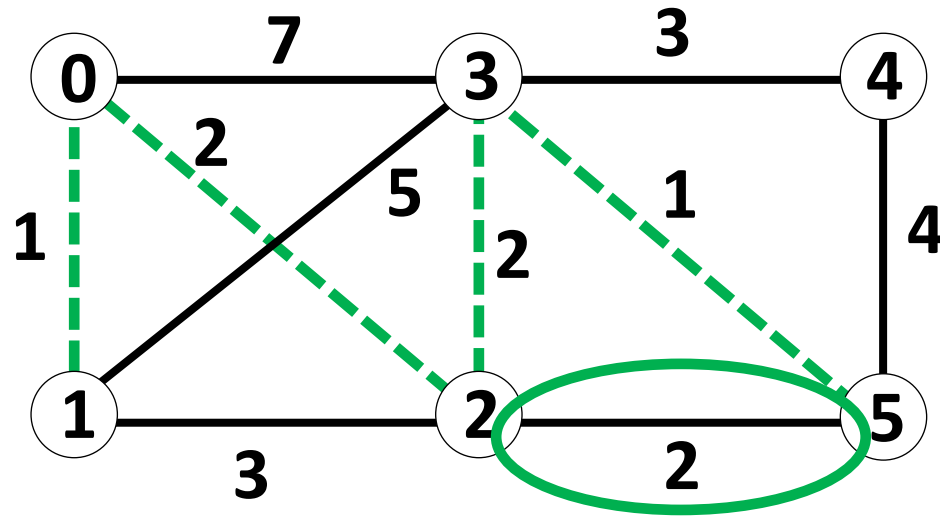
# Kruskal's MST Algorithm

At each iteration, add the edge with smallest weight, that does not create a cycle.



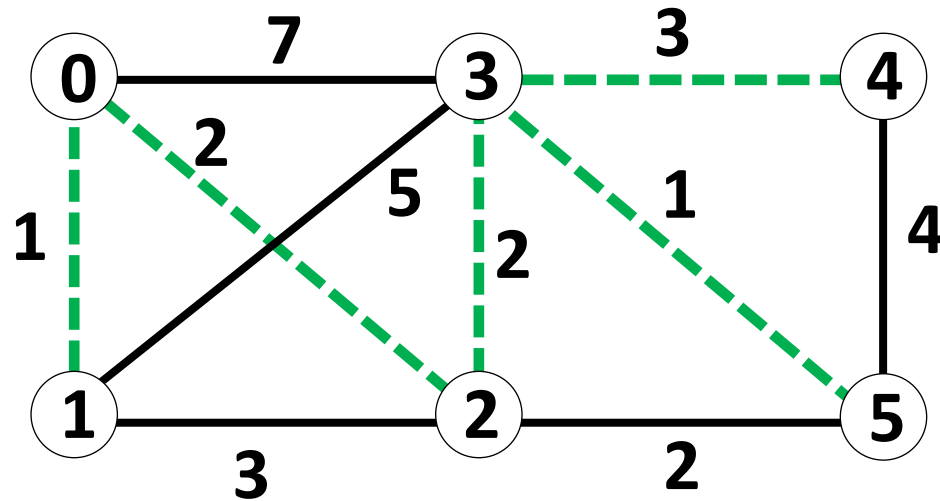
# Kruskal's MST Algorithm

At each iteration, add the edge with smallest weight, that does not create a cycle.



# Kruskal's MST Algorithm

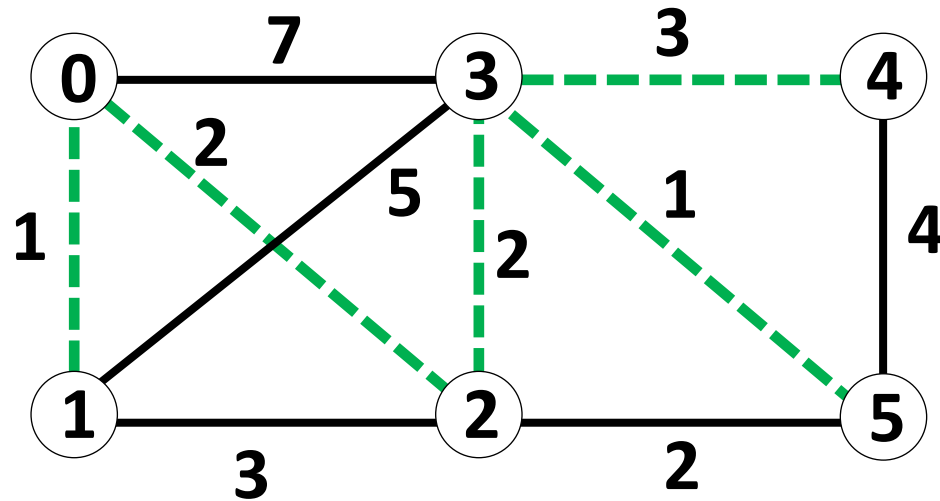
At each iteration, add the edge with smallest weight, that does not create a cycle.





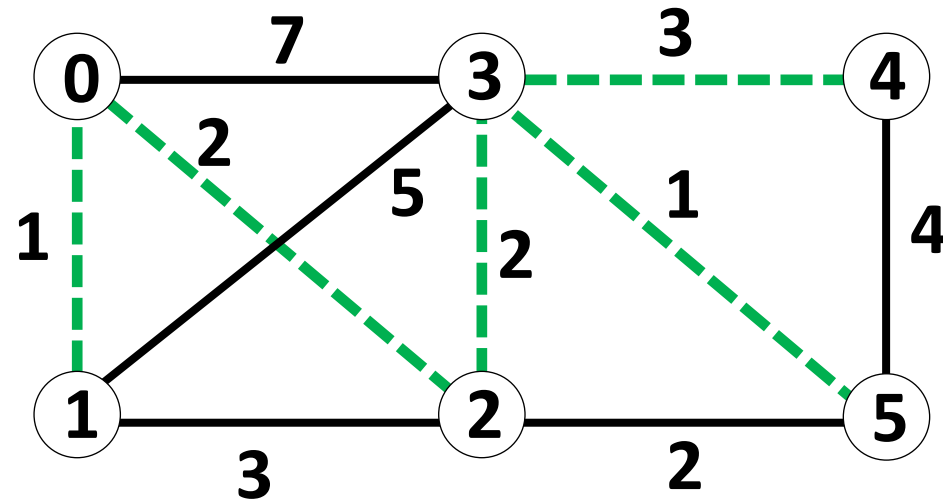
# Kruskal's MST Algorithm

At each iteration, add the edge with smallest weight, that does not create a cycle.



# Kruskal's MST Algorithm

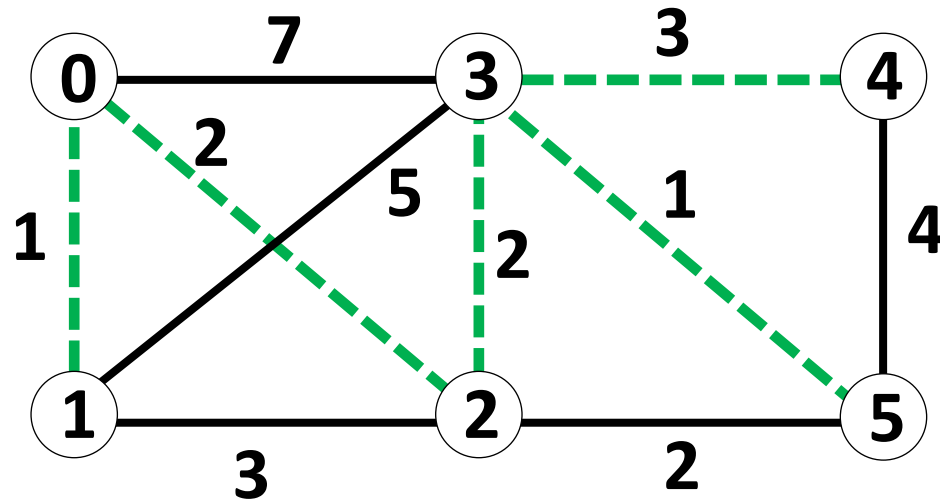
At each iteration, add the edge with smallest weight, that does not create a cycle.



**Three questions  
for any algorithm:**

# Kruskal's MST Algorithm

At each iteration, add the edge with smallest weight, that does not create a cycle.

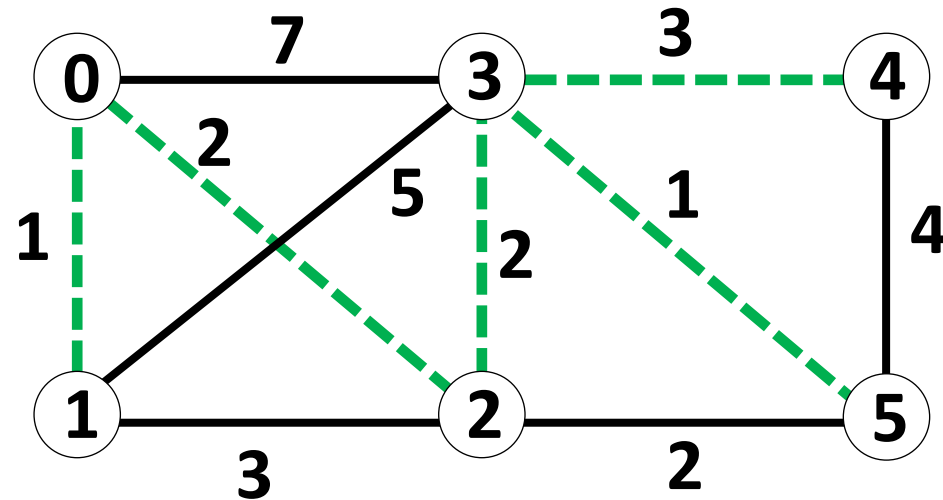


**Three questions  
for any algorithm:**

- 1. Running time?**
- 2. Validity/correctness?**
- 3. Performance/accuracy?**

# Kruskal's MST Algorithm

At each iteration, add the edge with smallest weight, that does not create a cycle.



Three questions  
for any algorithm:

1. Runtime?
2. Complexity/correctness?
3. Performance/accuracy?

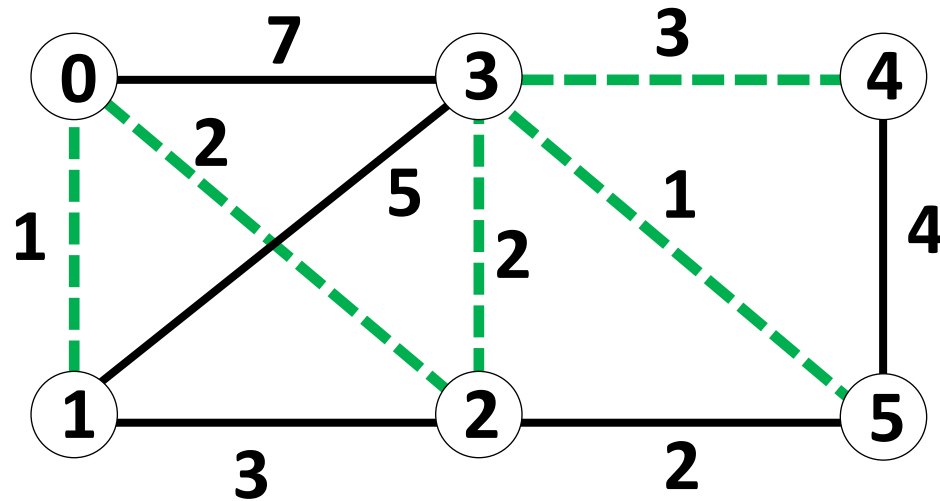
**How to implement this?**

# Minimum Spanning Trees

## CSCI 232

# Kruskal's MST Algorithm

At each iteration, add the edge with smallest weight, that does not create a cycle.



```
public MinimumSpanningTree(EdgeweightedGraph graph) {
```

```
}
```

```
public MinimumSpanningTree(EdgeweightedGraph graph) {  
    HashSet<Edge> mst = new HashSet<>();
```

```
}
```



```
public MinimumSpanningTree(EdgewiseWeightedGraph graph) {  
    HashSet<Edge> mst = new HashSet<>();
```

```
    // Get the set of edges.
```

```
}
```

```
public MinimumSpanningTree(EdgeweightedGraph graph) {  
    HashSet<Edge> mst = new HashSet<>();
```

```
    // Get the set of edges.  
    HashSet<Edge> Edges = graph.getEdges();
```

```
}
```

```
public MinimumSpanningTree(EdgeWeightedGraph graph) {  
    HashSet<Edge> mst = new HashSet<>();
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    // Get the set of edges, in order of increasing weight.  
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public MinimumSpanningTree(EdgeWeightedGraph graph) {  
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```
    // Get the set of edges, in order of increasing weight.  
    PriorityQueue<Edge> edgeQueue = new PriorityQueue<>();
```

```
}
```

```
public MinimumSpanningTree(EdgeWeightedGraph graph) {  
    HashSet<Edge> mst = new HashSet<>();  
  
    // Get the set of edges, in order of increasing weight.  
    PriorityQueue<Edge> edgeQueue = new PriorityQueue<>();  
    for (Edge edge : graph.getEdges()) {  
        edgeQueue.add(edge);  
    }  
  
}
```

```
public MinimumSpanningTree(EdgeWeightedGraph graph) {  
    HashSet<Edge> mst = new HashSet<>();  
  
    PriorityQueue<Edge> edgeQueue = new PriorityQueue<>();  
    for (Edge edge : graph.getEdges()) {  
        edgeQueue.add(edge);  
    }  
    // Run Kruskal's algorithm.  
  
}
```

```
public MinimumSpanningTree(EdgeweightedGraph graph) {
    HashSet<Edge> mst = new HashSet<>();
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```
PriorityQueue<Edge> edgeQueue = new PriorityQueue<>();
for (Edge edge : graph.getEdges()) {
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}
```

```
while (!edgeQueue.isEmpty()) {
```

} }

```
public MinimumSpanningTree(EdgeweightedGraph graph) {
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PriorityQueue<Edge> edgeQueue = new PriorityQueue<>();
for (Edge edge : graph.getEdges()) {
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while (!edgeQueue.isEmpty()) {
    Edge edge = edgeQueue.poll();
```

$$\left. \begin{array}{l} \text{ } \end{array} \right\} \quad \left. \begin{array}{l} \text{ } \end{array} \right\}$$



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```
    while (!edgeQueue.isEmpty()) {  
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        mst.add(edge);
```

**Need to check if adding  
edge adds a loop!**

```
    }  
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```

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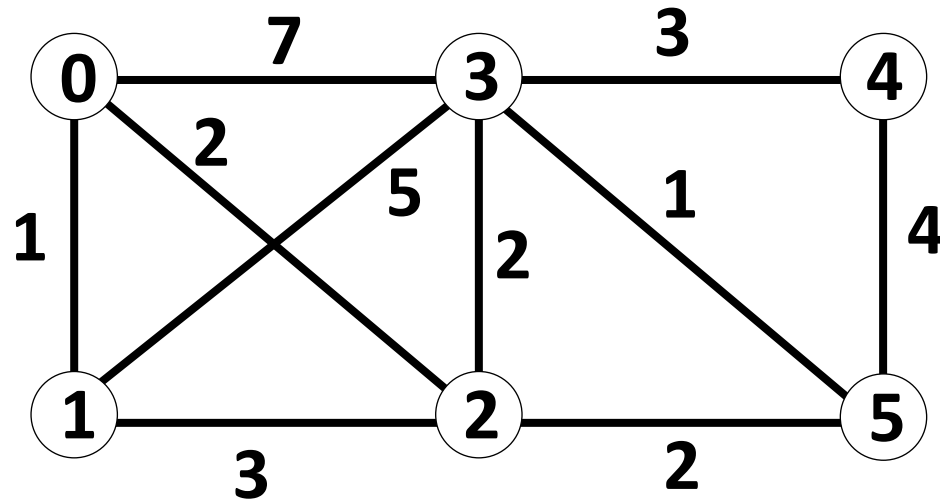
```
    while (!edgeQueue.isEmpty()) {  
        Edge edge = edgeQueue.poll();  
mst.add(edge);
```

**Need to check if adding  
edge adds a loop!**

**How?**

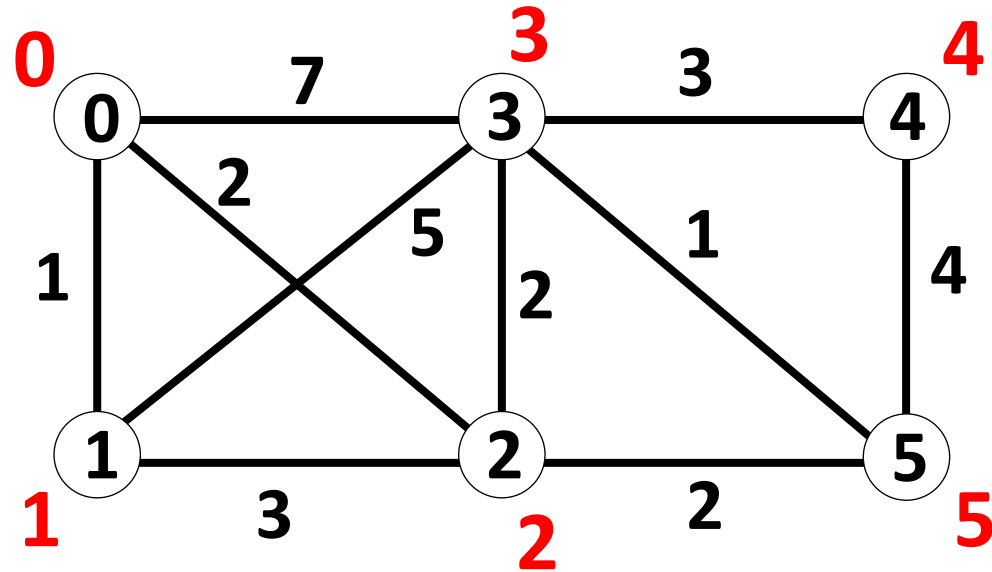
```
    }  
}
```

# Cycle Finding



**How can we  
determine if adding an  
edge puts in a cycle?**

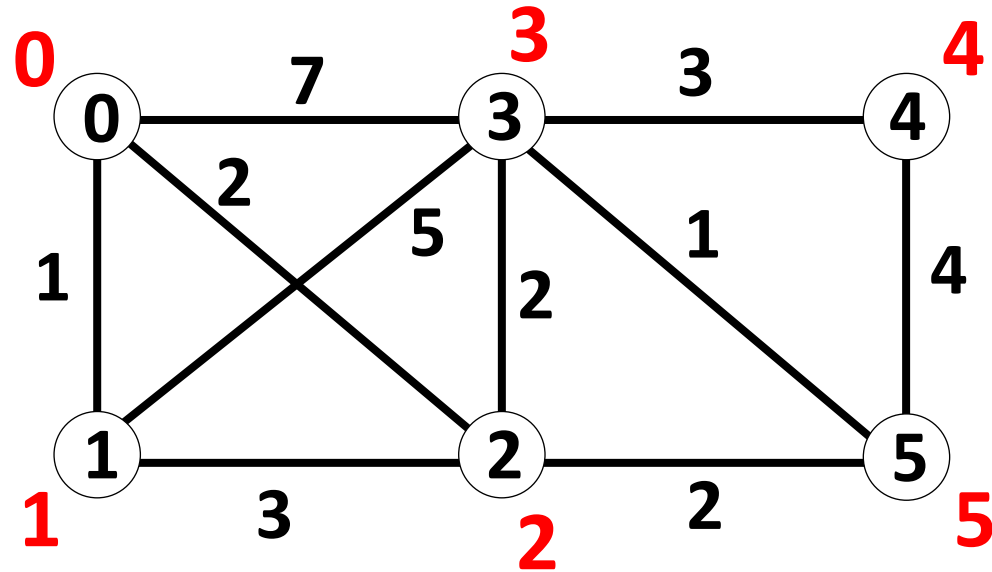
# Cycle Finding



**Rules:** Only add edge if vertices have different connected component markers.

**Connected component  
(in the tree) marker.**

# Cycle Finding

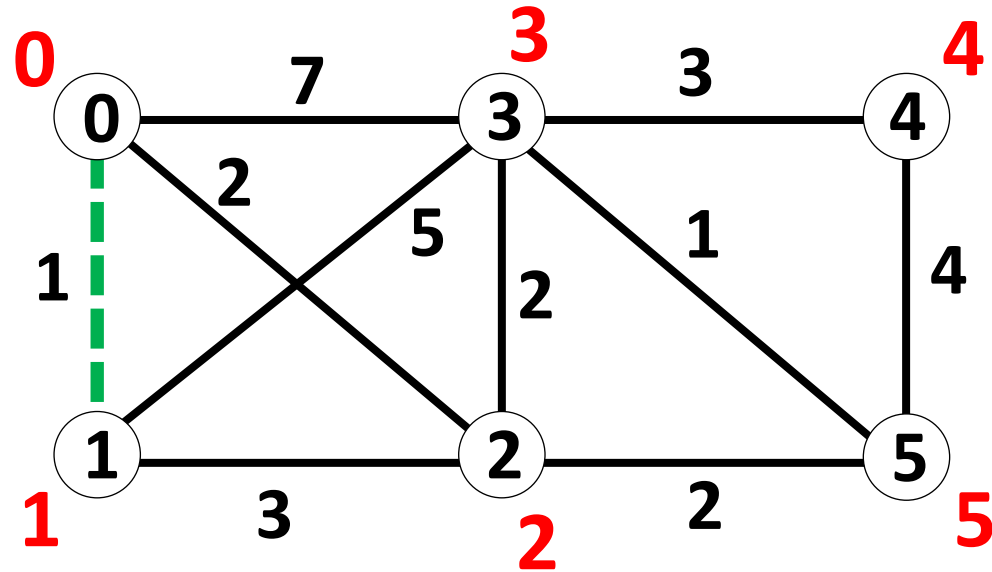


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To add edge, pick one vertex's marker and change all vertices with the other marker.

# Cycle Finding

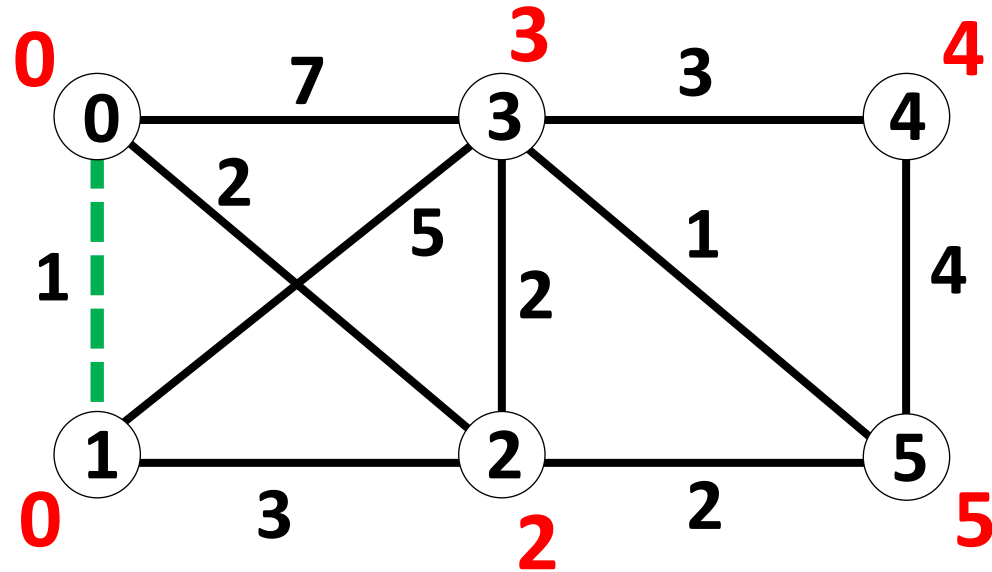


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# Cycle Finding



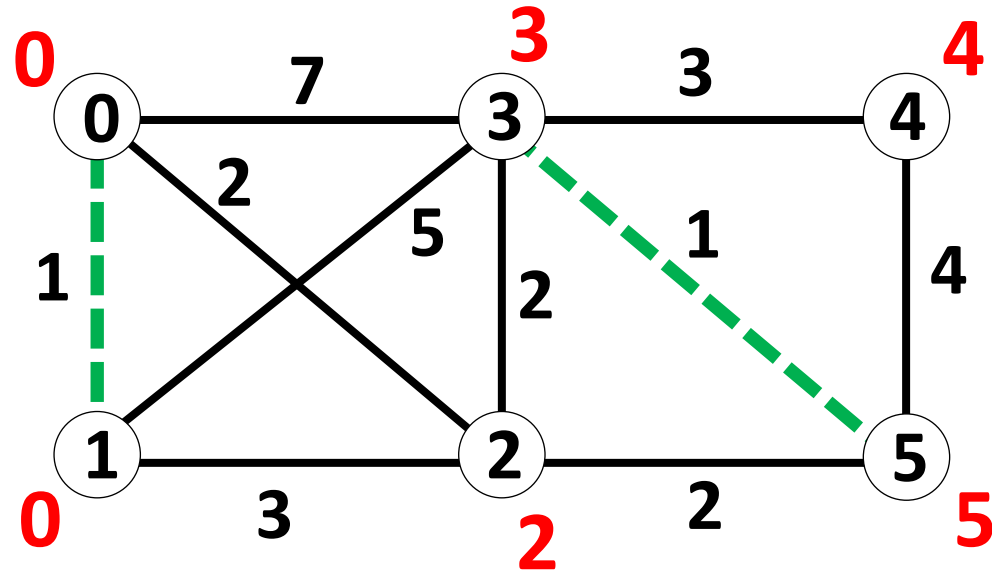
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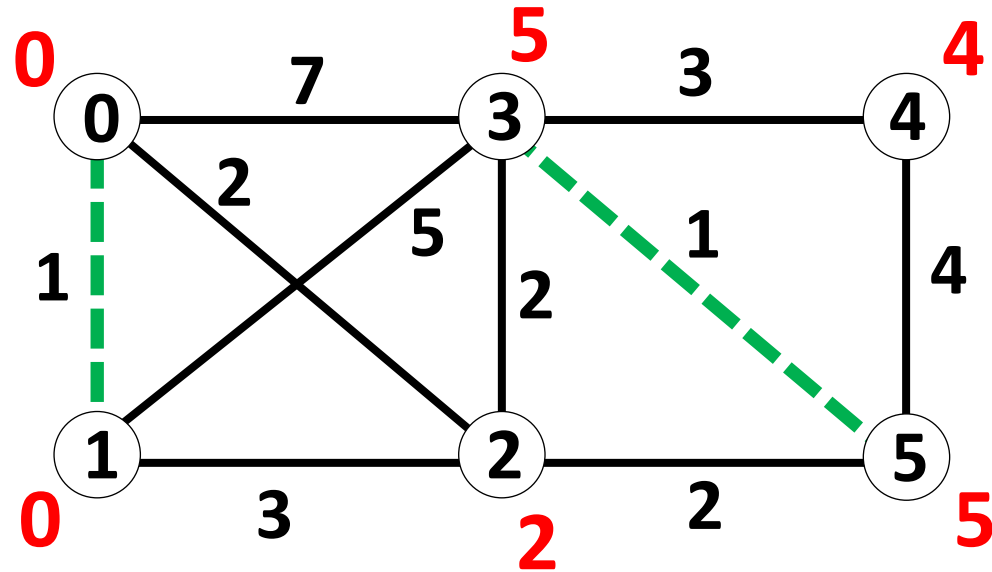


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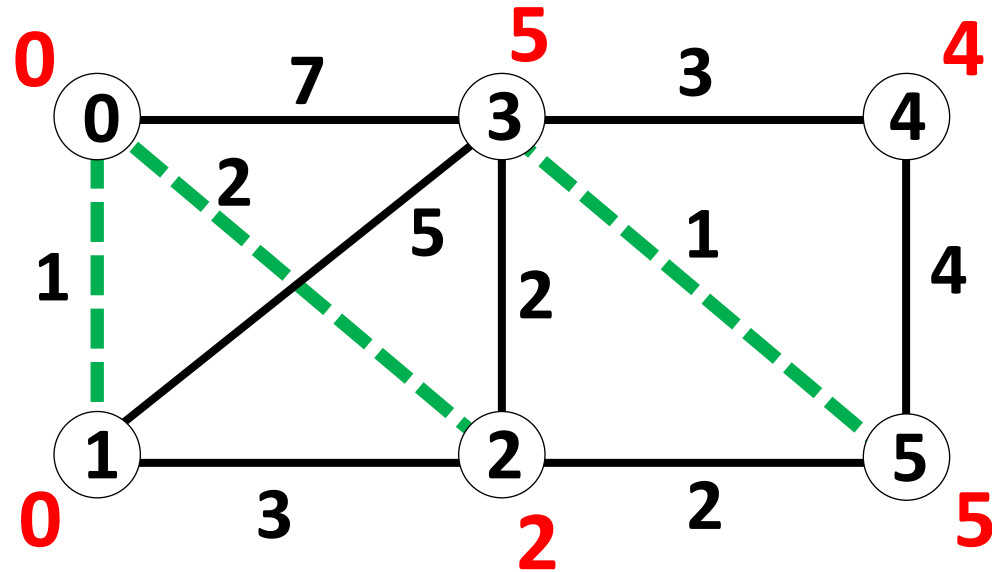


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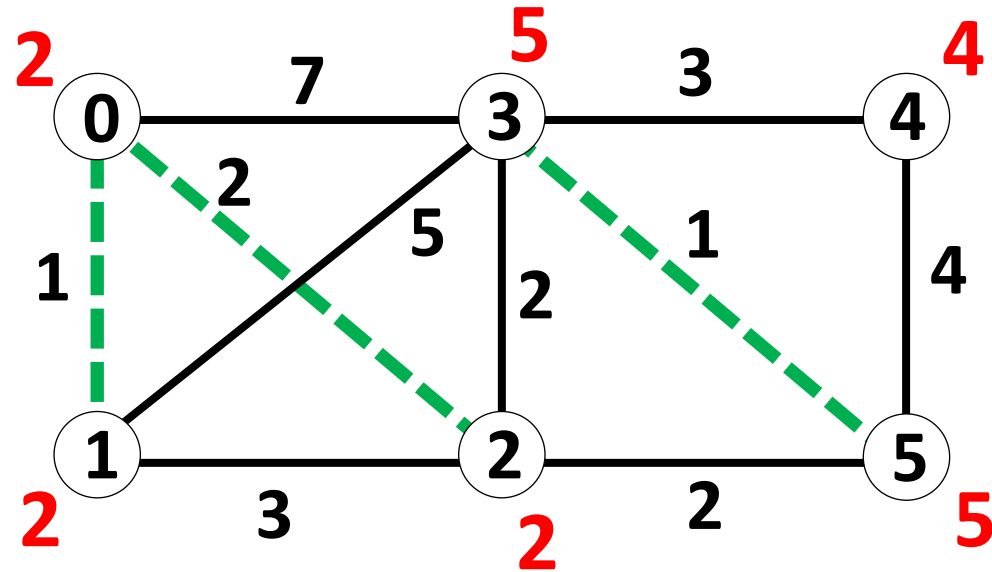


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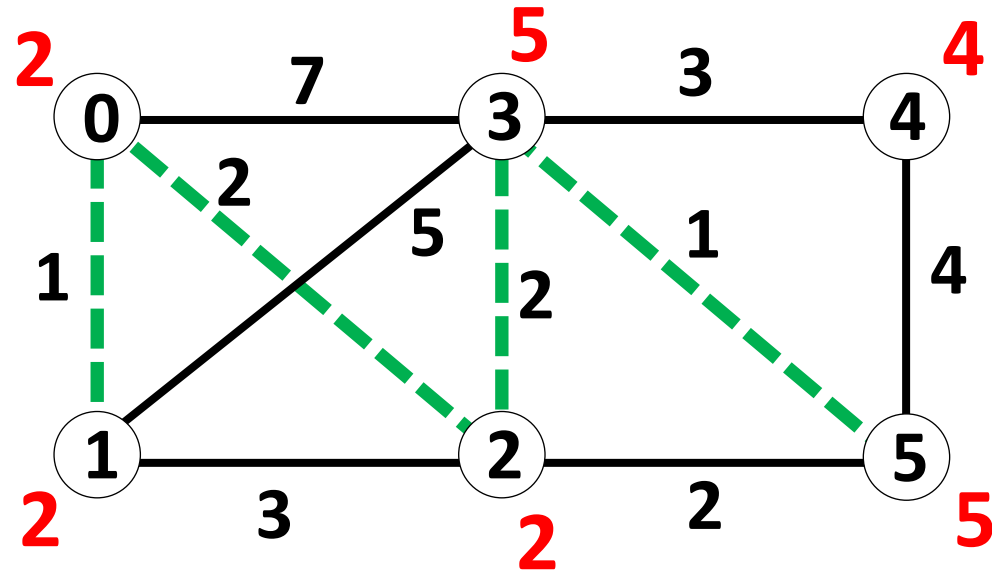


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# Cycle Finding

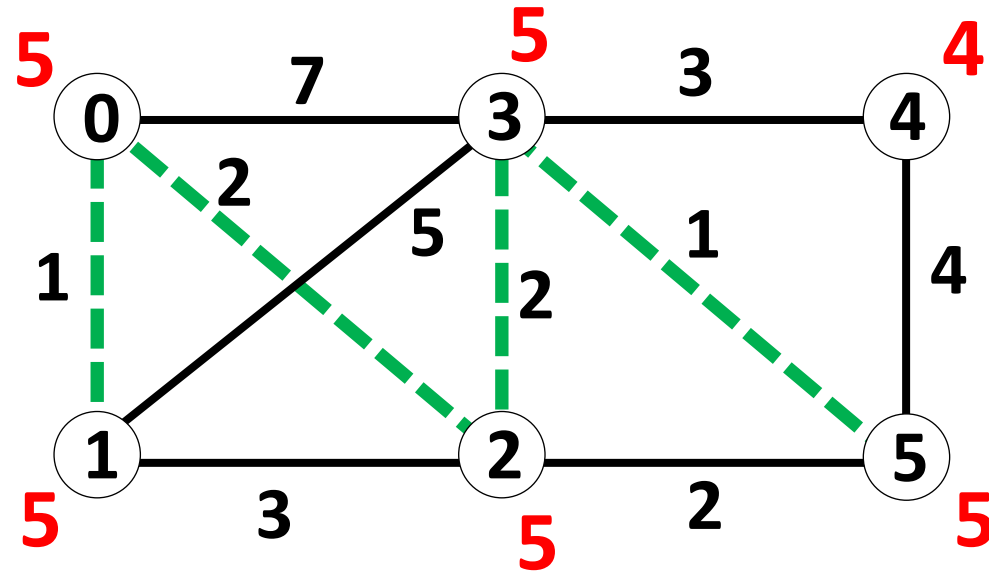


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# Cycle Finding

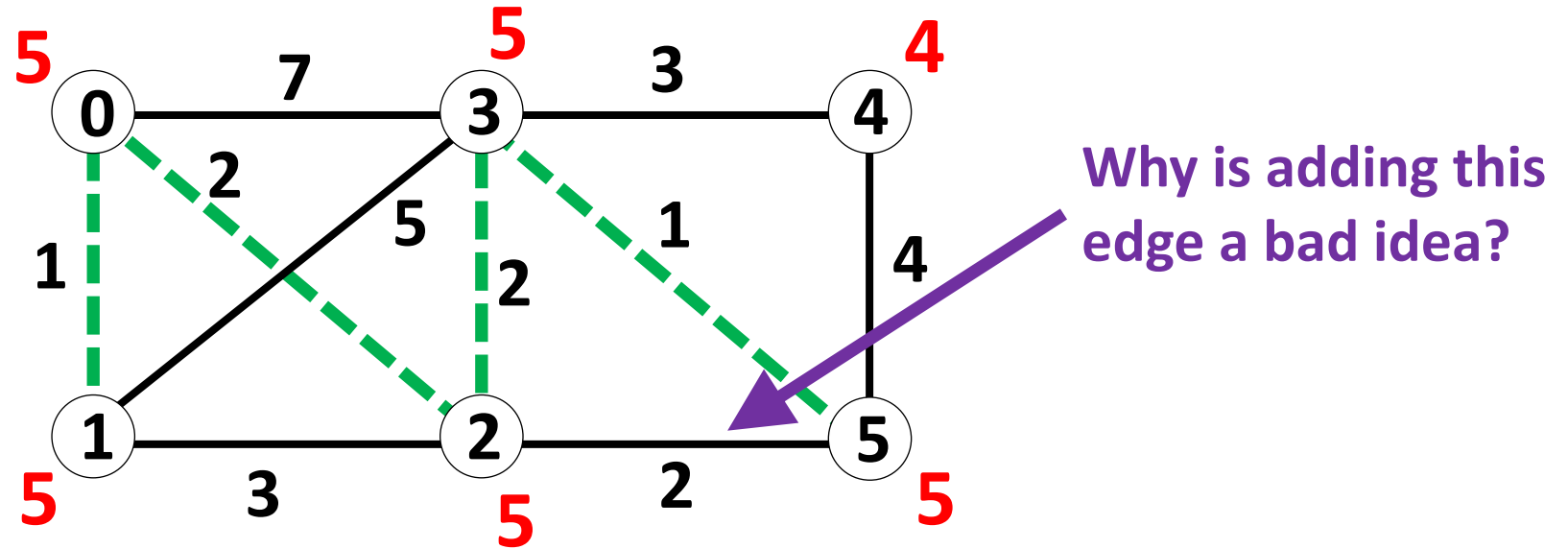


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# Cycle Finding

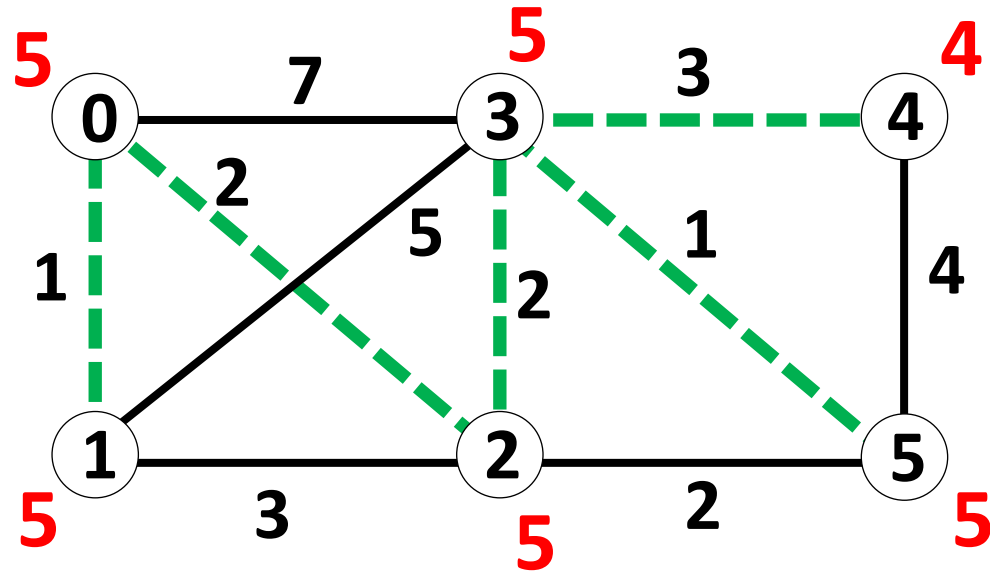


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# Cycle Finding



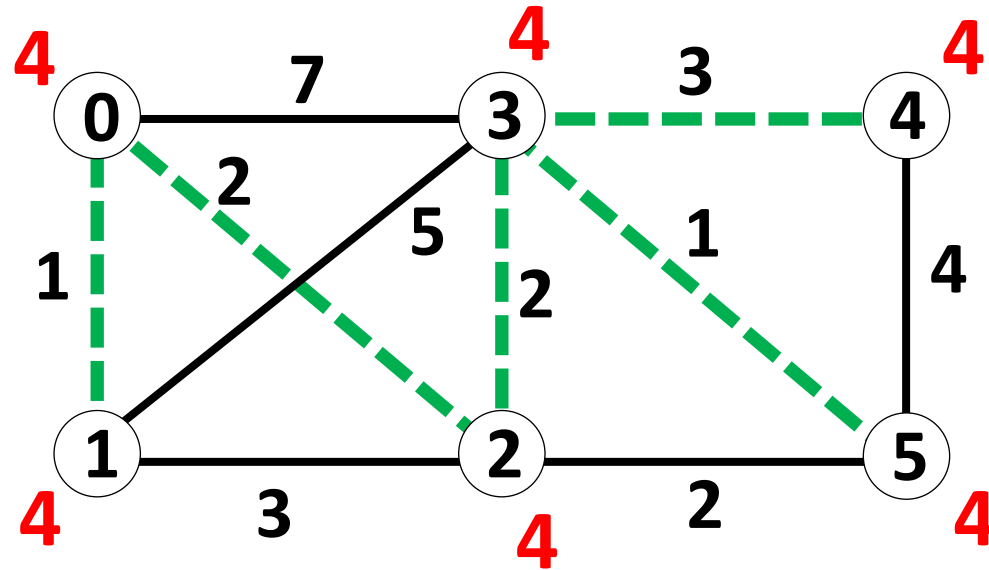
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# Cycle Finding



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```
public MinimumSpanningTree(EdgeWeightedGraph graph) {  
    HashSet<Edge> mst = new HashSet<>();
```

```
    PriorityQueue<Edge> edgeQueue = new PriorityQueue<>();  
    for (Edge edge : graph.getEdges()) {  
        edgeQueue.add(edge);  
    }
```

```
    while (!edgeQueue.isEmpty()) {  
        Edge edge = edgeQueue.poll();  
        mst.add(edge);
```

**Need to check if adding  
edge adds a loop!**

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```

```
public MinimumSpanningTree(EdgeWeightedGraph graph) {  
    HashSet<Edge> mst = new HashSet<>();  
    int[] connectedComponentMarker = new int[graph.getNumVertices()];  
  
    PriorityQueue<Edge> edgeQueue = new PriorityQueue<>();  
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    int[] connectedComponentMarker = new int[graph.getNumVertices()];  
    for (int i = 0; i < connectedComponentMarker.length; i++) {  
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    }  
  
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    }

    while (!edgeQueue.isEmpty()) {
        Edge edge = edgeQueue.poll();
        if (connectedComponentMarker[edge.getVertices()[0]]
            != connectedComponentMarker[edge.getVertices()[1]]) {

        }
    }
}

```

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public MinimumSpanningTree(EdgeWeightedGraph graph) {
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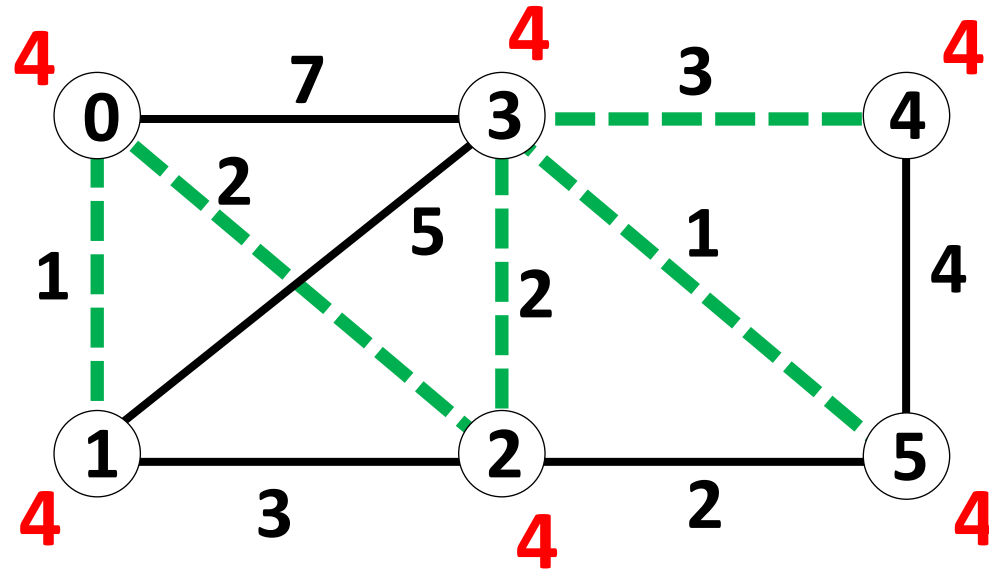
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}

```

# Cycle Finding



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    PriorityQueue<Edge> edgeQueue = new PriorityQueue<>();
    for (Edge edge : graph.getEdges()) {
        edgeQueue.add(edge);
    }

    while (!edgeQueue.isEmpty()) {
        Edge edge = edgeQueue.poll();
        if (connectedComponentMarker[edge.getVertices()[0]]
            != connectedComponentMarker[edge.getVertices()[1]]) {
            mst.add(edge);
            int newMarker = connectedComponentMarker[edge.getVertices()[0]];
            int oldMarker = connectedComponentMarker[edge.getVertices()[1]];

            }
        }
    }
}

```

```

public MinimumSpanningTree(EdgeWeightedGraph graph) {
    HashSet<Edge> mst = new HashSet<>();

    int[] connectedComponentMarker = new int[graph.getNumVertices()];
    for (int i = 0; i < connectedComponentMarker.length; i++) {
        connectedComponentMarker[i] = i;
    }

    PriorityQueue<Edge> edgeQueue = new PriorityQueue<>();
    for (Edge edge : graph.getEdges()) {
        edgeQueue.add(edge);
    }

    while (!edgeQueue.isEmpty()) {
        Edge edge = edgeQueue.poll();
        if (connectedComponentMarker[edge.getVertices()[0]]
            != connectedComponentMarker[edge.getVertices()[1]]) {
            mst.add(edge);
            int newMarker = connectedComponentMarker[edge.getVertices()[0]];
            int oldMarker = connectedComponentMarker[edge.getVertices()[1]];
            for (int i = 0; i < connectedComponentMarker.length; i++) {
                if (connectedComponentMarker[i] == oldMarker)
                    connectedComponentMarker[i] = newMarker;
            }
        }
    }
}

```

```

public MinimumSpanningTree(EdgeWeightedGraph graph) {
    HashSet<Edge> mst = new HashSet<>();

    int[] connectedComponentMarker = new int[graph.getNumVertices()];
    for (int i = 0; i < connectedComponentMarker.length; i++) {
        connectedComponentMarker[i] = i;
    }

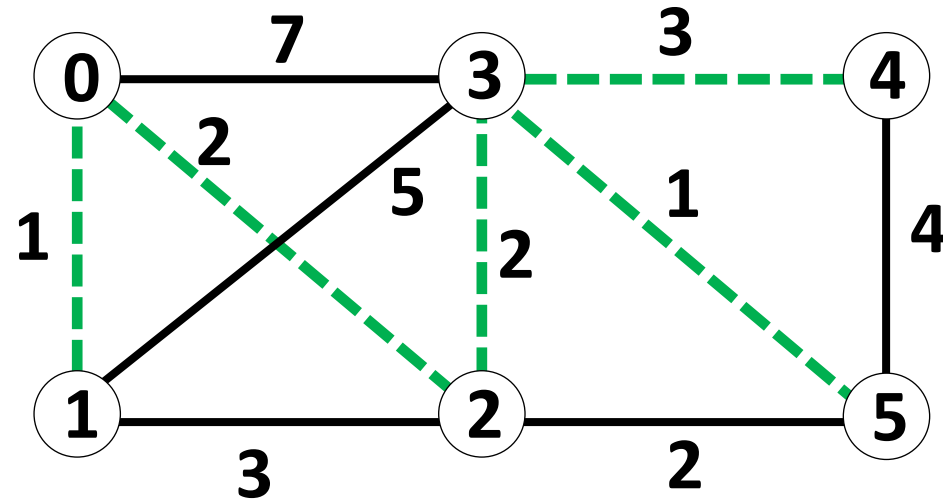
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            }
        }
    }
}

```

# Kruskal's MST Algorithm

At each iteration, add smallest weight edge that doesn't create a cycle.



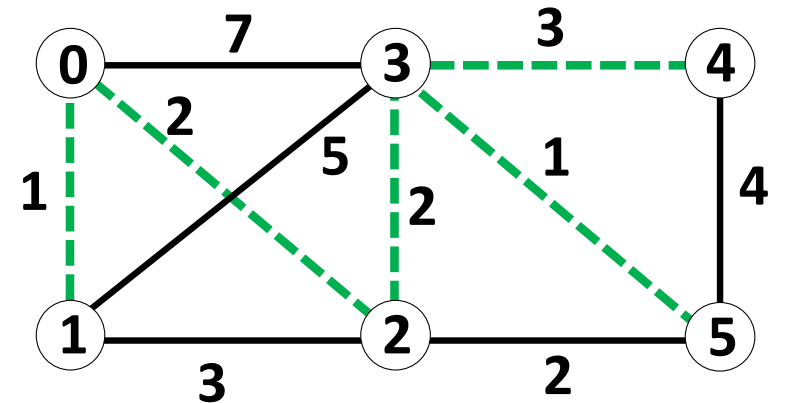
Is the solution valid (i.e., is the output a spanning tree)?

Is the solution optimal (i.e., is the output a minimum spanning tree)?

# Kruskal's MST Algorithm

At each iteration, add smallest weight edge that doesn't create a cycle.

Proof of validity: Let  $G = (V, E)$  be connected, and  $T \subseteq E$  be the set of edges resulting from Kruskal's algorithm.

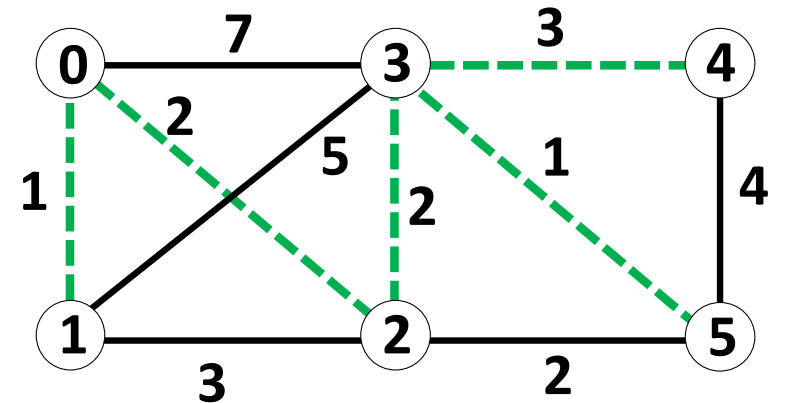


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$T$  is a tree because...?

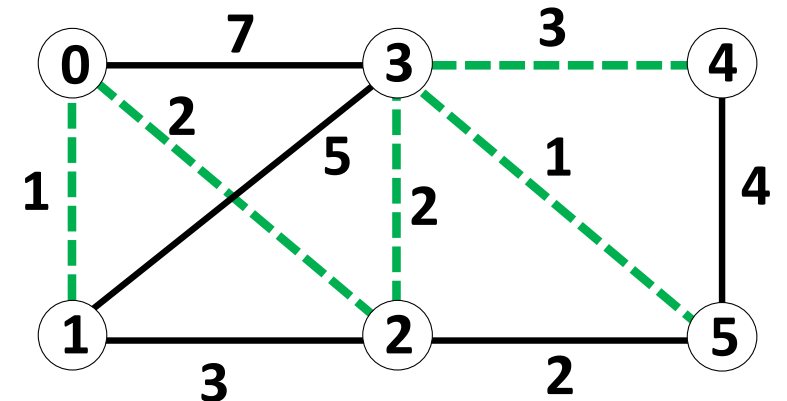


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Proof of validity: Let  $G = (V, E)$  be connected, and  $T \subseteq E$  be the set of edges resulting from Kruskal's algorithm.

$T$  is a tree because it is connected (otherwise we could have added more edges without creating cycles) and there are no cycles.





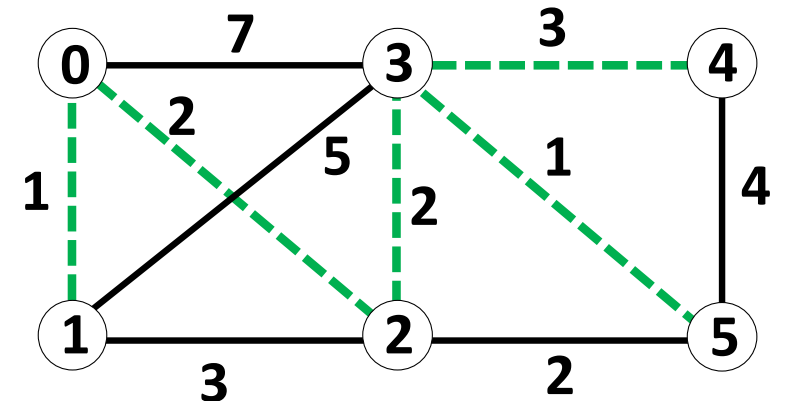
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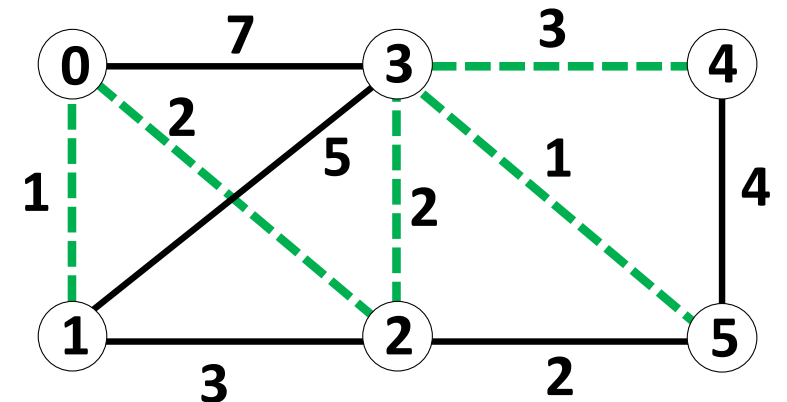
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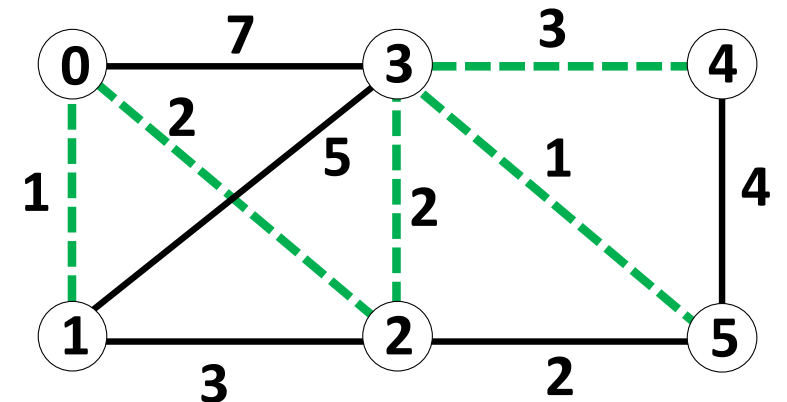
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$\therefore T$  is a spanning tree of  $G$



# Kruskal's MST Algorithm

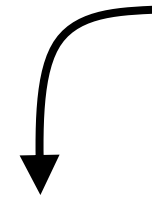
At each iteration, add smallest weight edge that doesn't create a cycle.

Proof of optimality: Let  $G = (V, E)$  be connected, and  $T \subseteq E$  be the set of edges resulting from Kruskal's algorithm.

$T$  is an MST because???

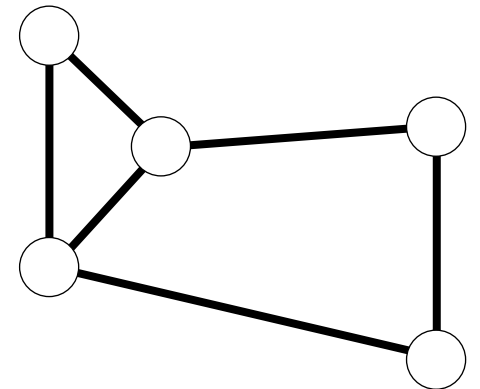
# MST Cut Property

Assume unique  
edge costs.



Lemma: Suppose that  $S$  is a subset of nodes from  $G = (V, E)$ . Then, the cheapest edge  $e$  between  $S$  and  $V \setminus S$  is part of every MST.

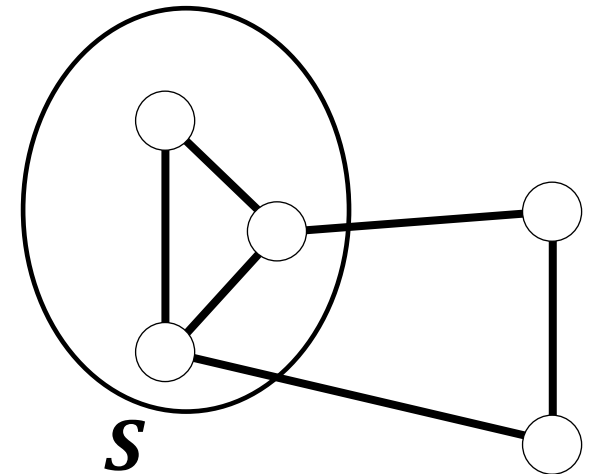
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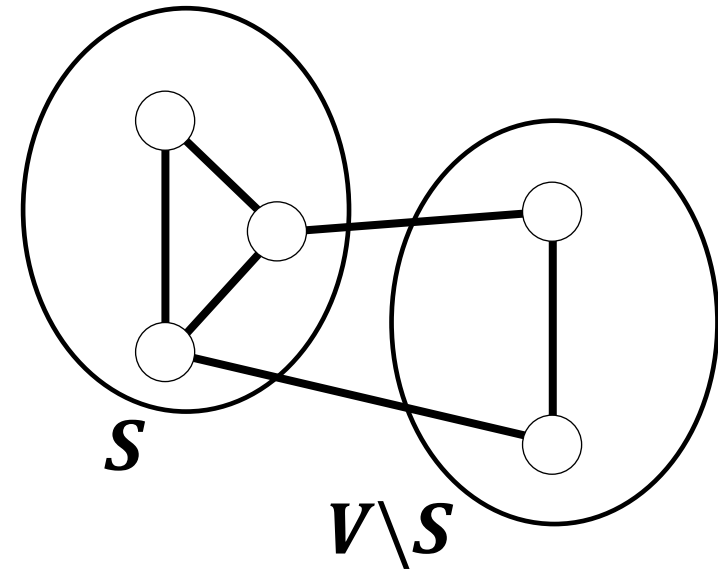
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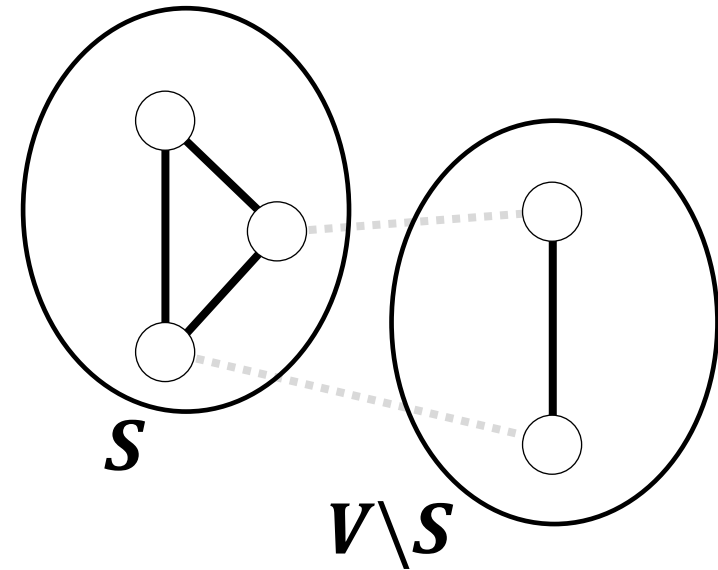
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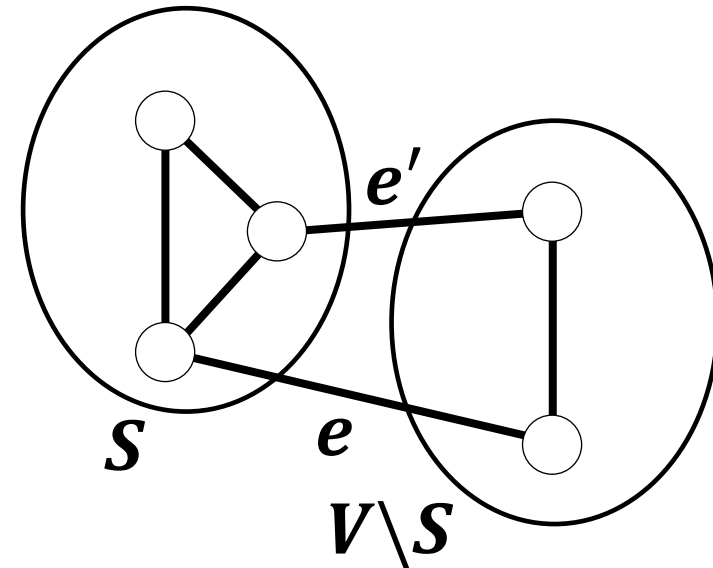


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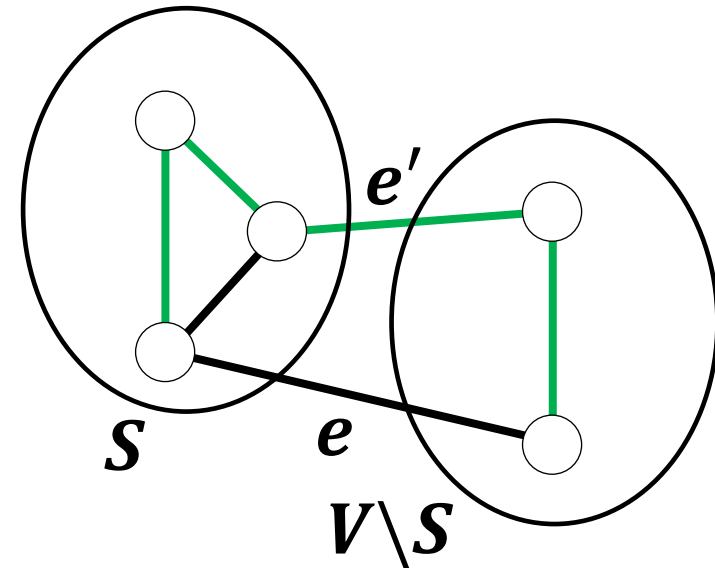
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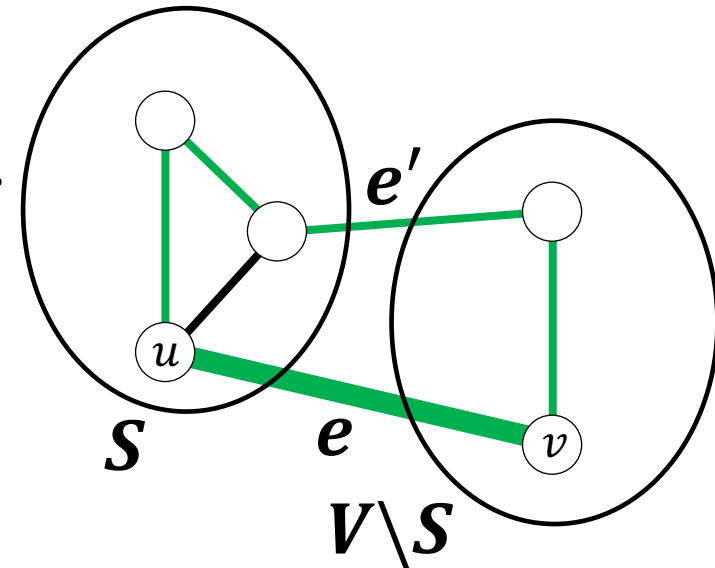
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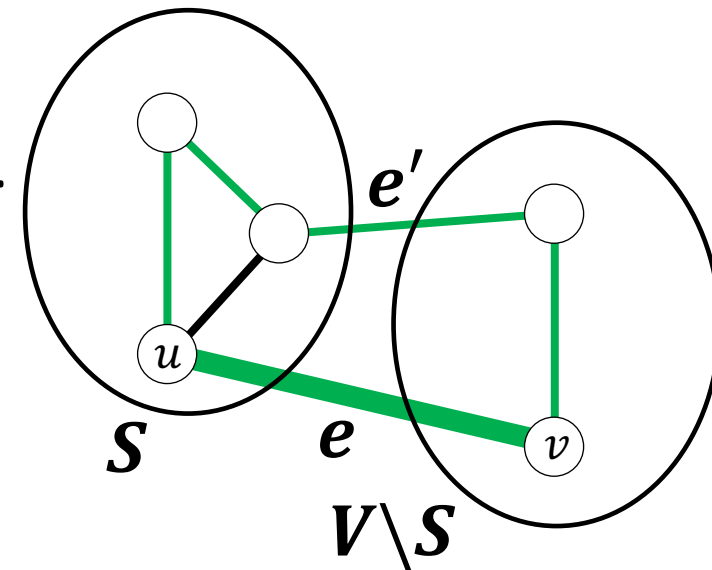
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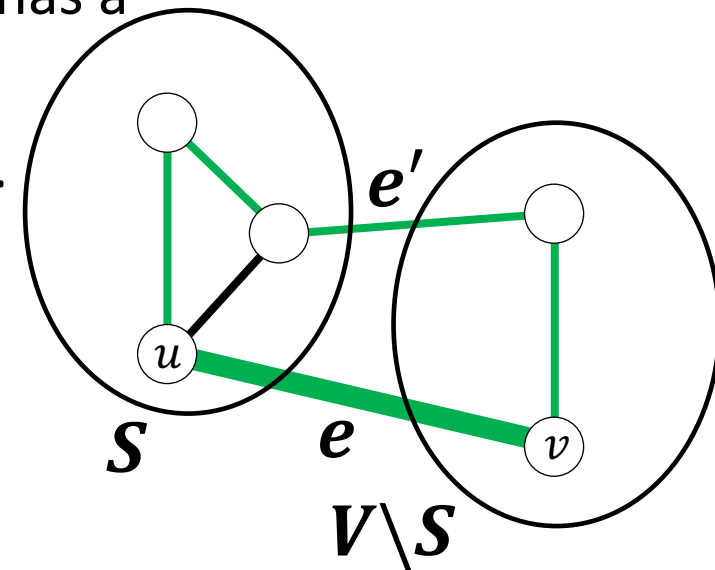
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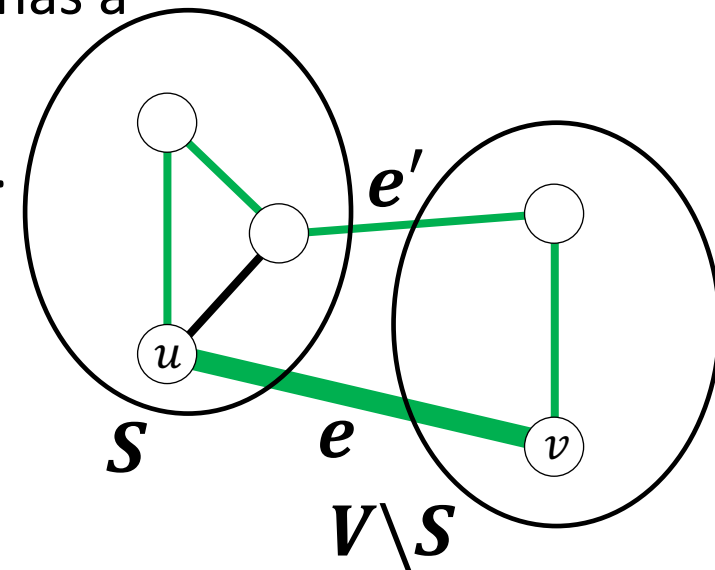
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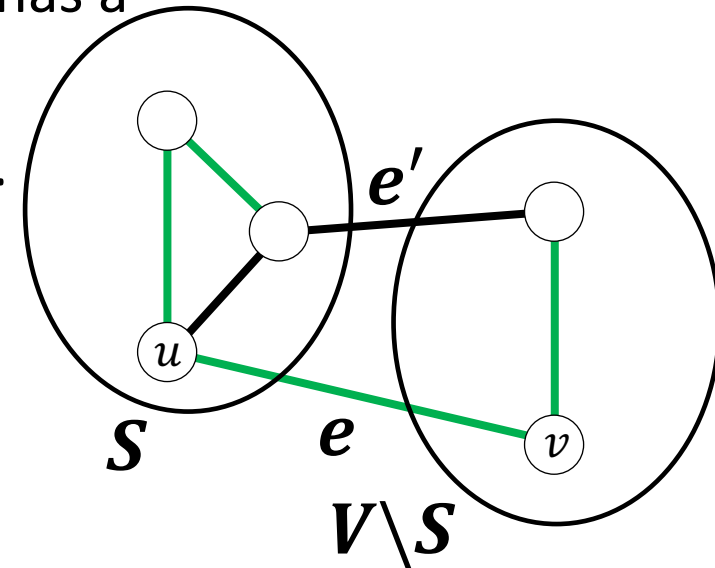
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**Thus, removing  $e'$  and including  $e$  results in a cheaper spanning tree!**



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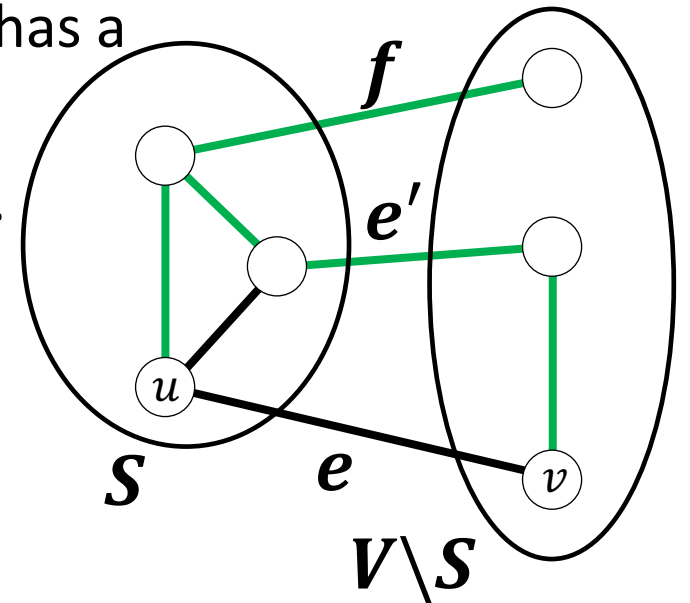
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**Need to make sure we pick the edge  
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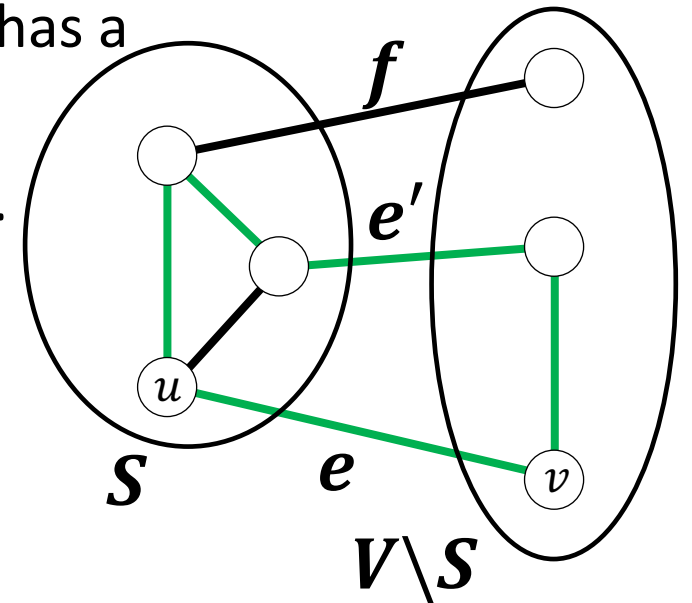
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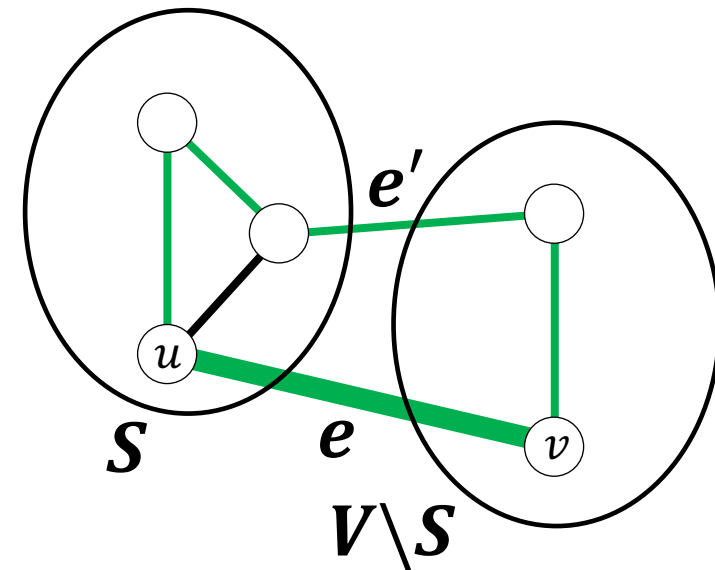
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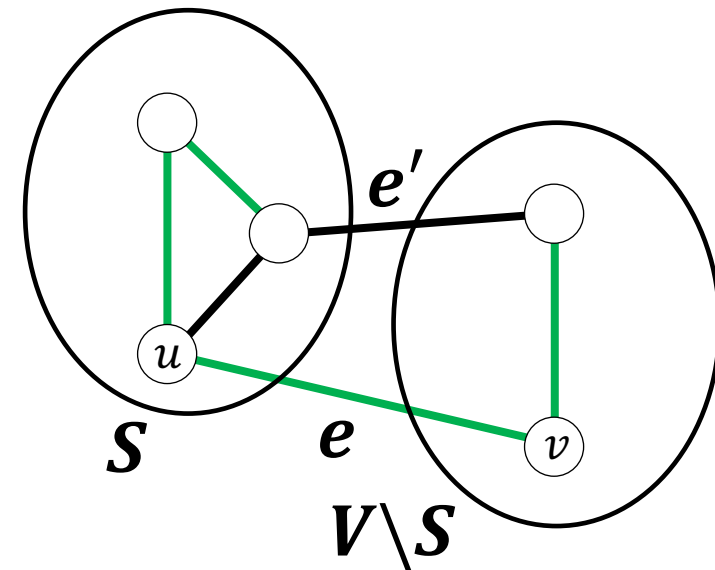
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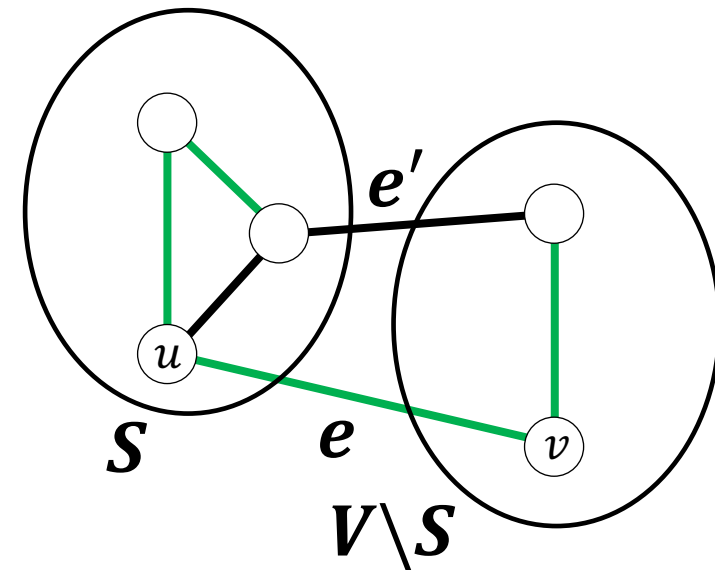
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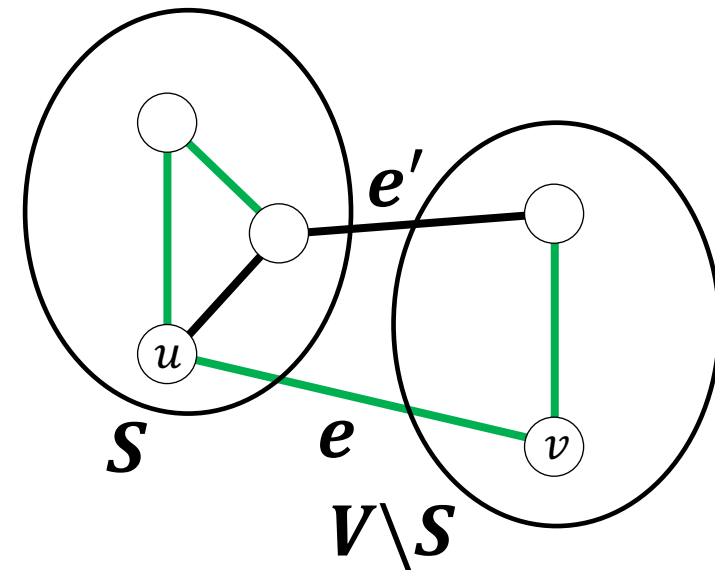
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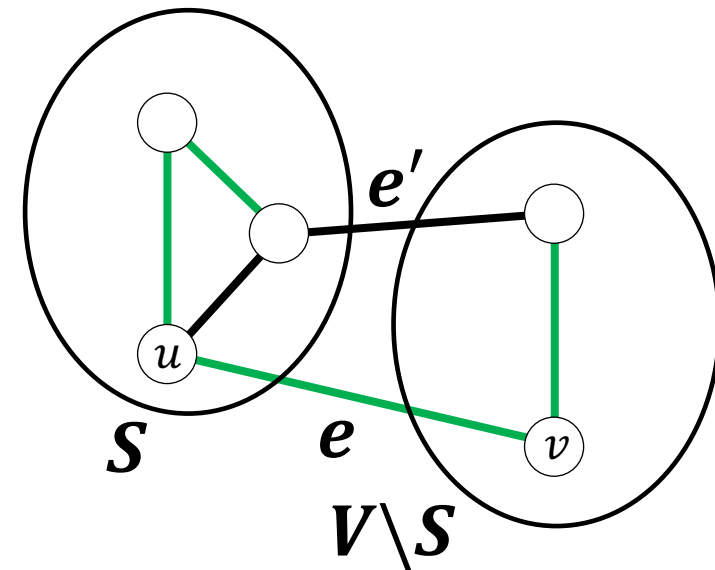
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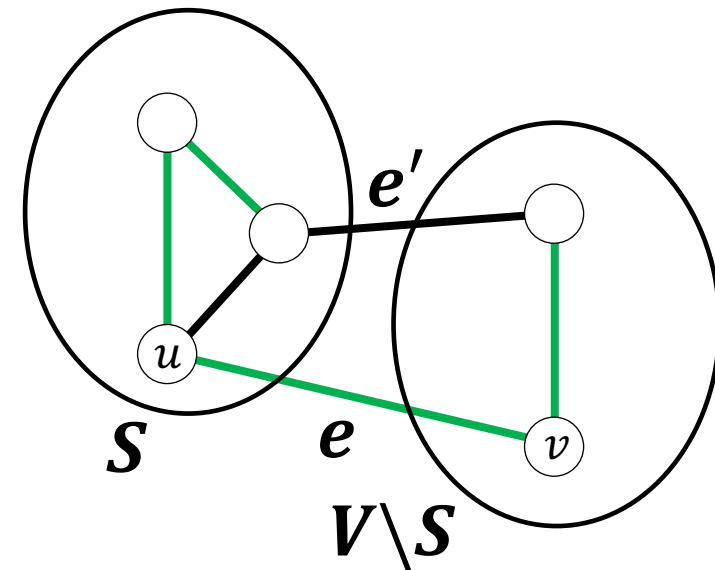
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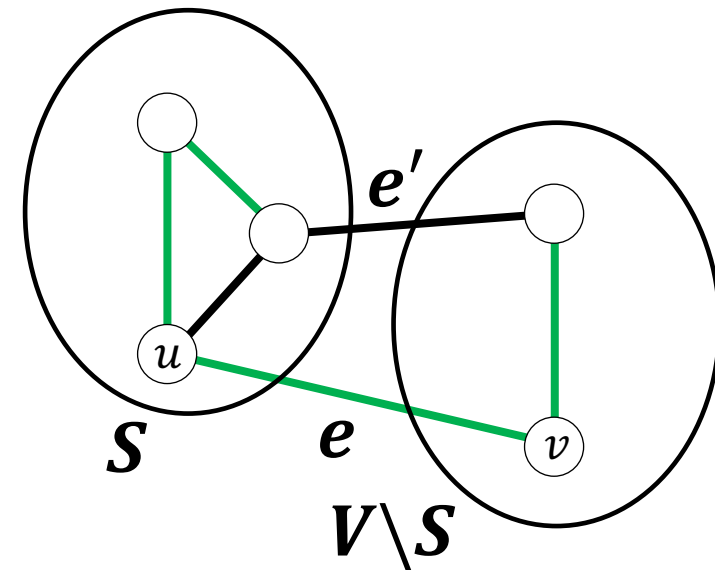
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$\Rightarrow T'$  is a cheaper ST.





# MST Cut Property

Lemma: Suppose that  $S$  is a subset of nodes from  $G = (V, E)$ . Then, the cheapest edge  $e$  between  $S$  and  $V \setminus S$  is part of every MST.

Proof: Any MST of  $G$  must include some edge between  $S$  and  $V \setminus S$  (otherwise it would not be a tree).

Let  $e$  be the cheapest edge between  $S$  and  $V \setminus S$ .

Suppose  $T$  is a ST that does not include  $e$ .  $T \cup \{e\}$  must have a cycle and that cycle must have another edge  $e'$  between  $S$  and  $V \setminus S$ .

Remove  $e'$  to form  $T' = T \cup \{e\} \setminus \{e'\}$ .

$T'$  is a tree (removing edge from cycle cannot disconnect graph)

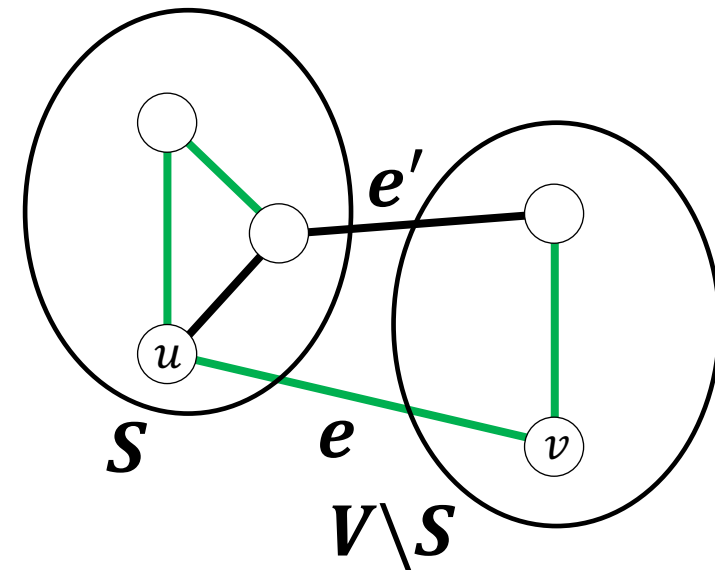
$T'$  spans  $V$  (same number of edges as ST  $T$ )

$\text{weight}(T') = \text{weight}(T) + \text{weight}(e) - \text{weight}(e')$ .

$\Rightarrow \text{weight}(T') < \text{weight}(T)$ , since  $\text{weight}(e) < \text{weight}(e')$ .

$\Rightarrow T'$  is a cheaper ST.

So,  $e$  is part of every MST.

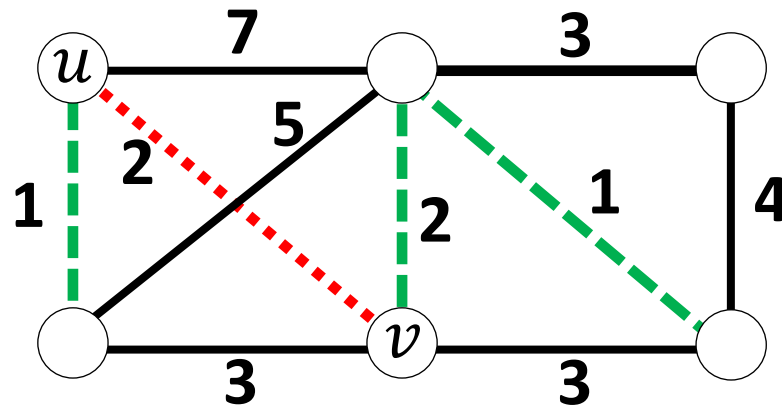


# Kruskal's MST Algorithm

At each iteration, add smallest weight edge that doesn't create a cycle.

Proof of optimality: Let  $G = (V, E)$  be connected, and  $T \subseteq E$  be the set of edges resulting from Kruskal's algorithm.

Consider the iteration that edge  $e = (u, v)$  is added by Kruskal's algorithm.



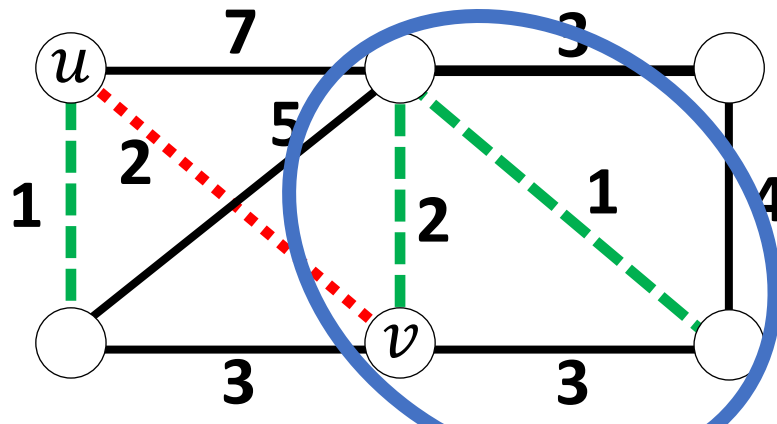
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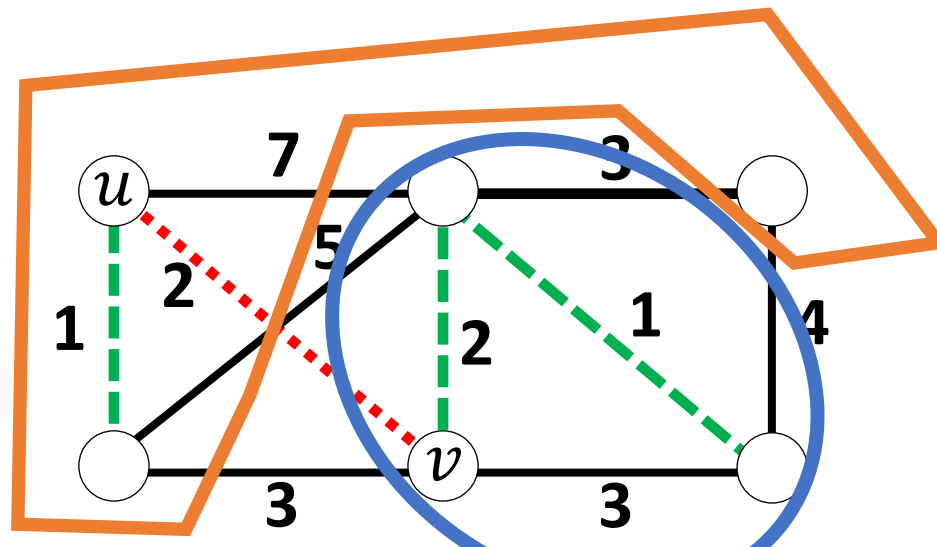
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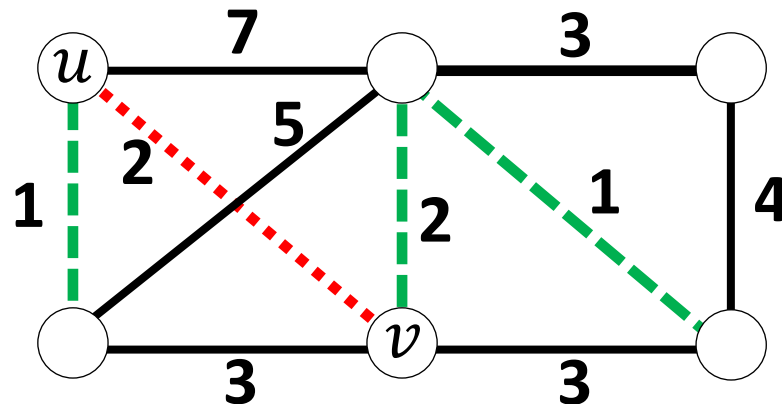
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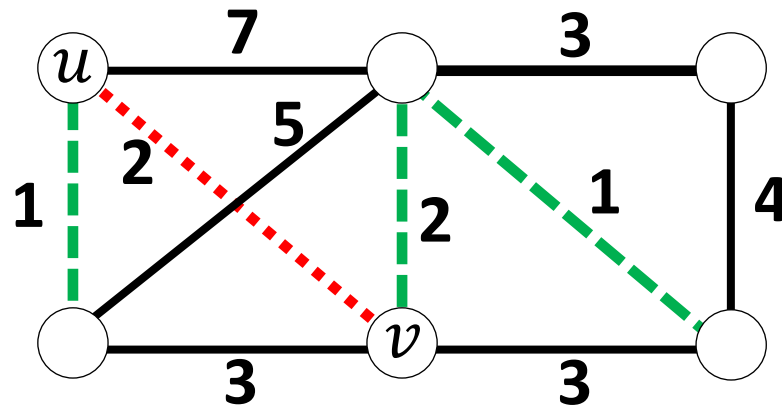
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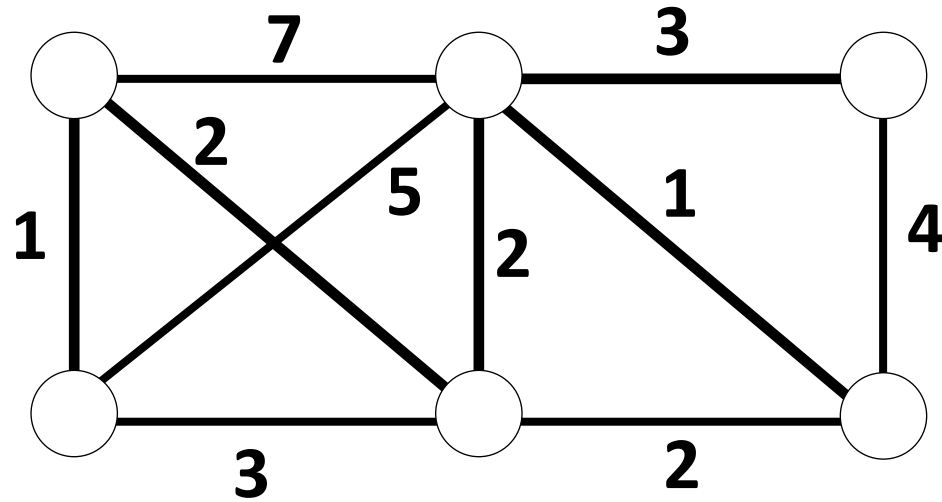
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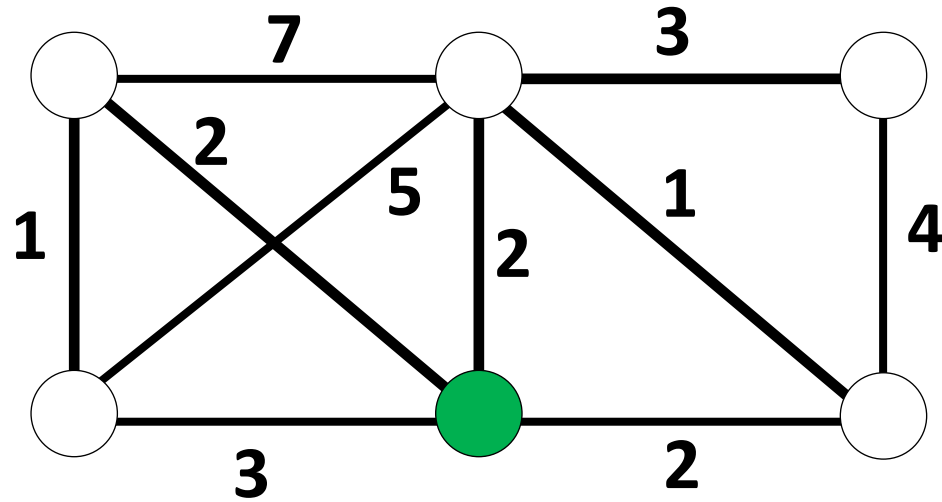
# Prim's MST Algorithm

Beginning at any node, add the node that can be connected as cheaply as possible to the tree we are building.



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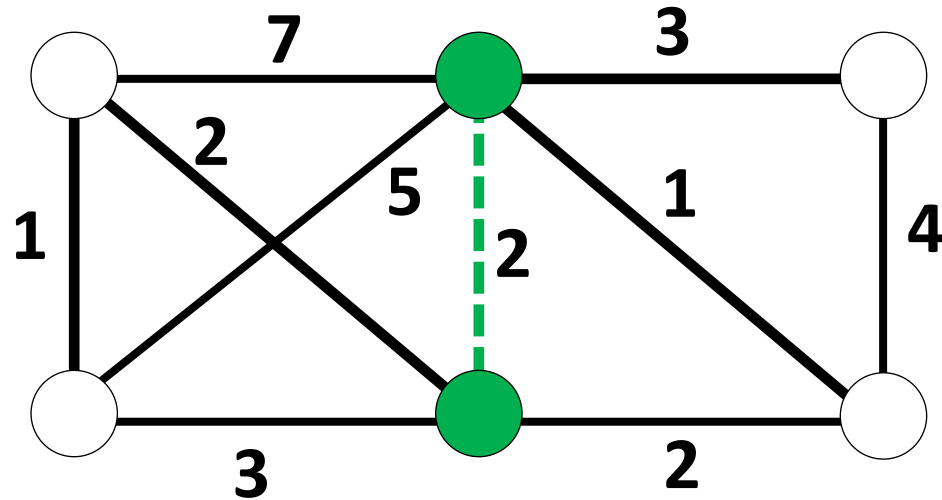
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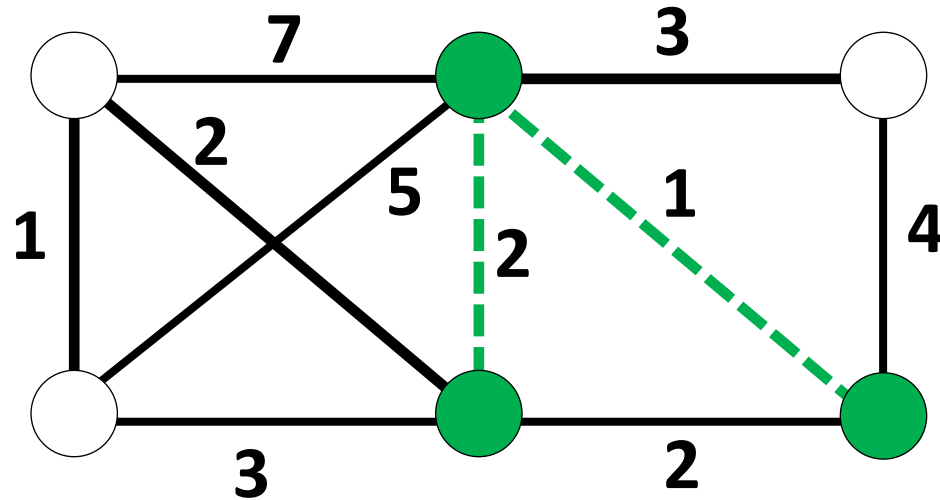
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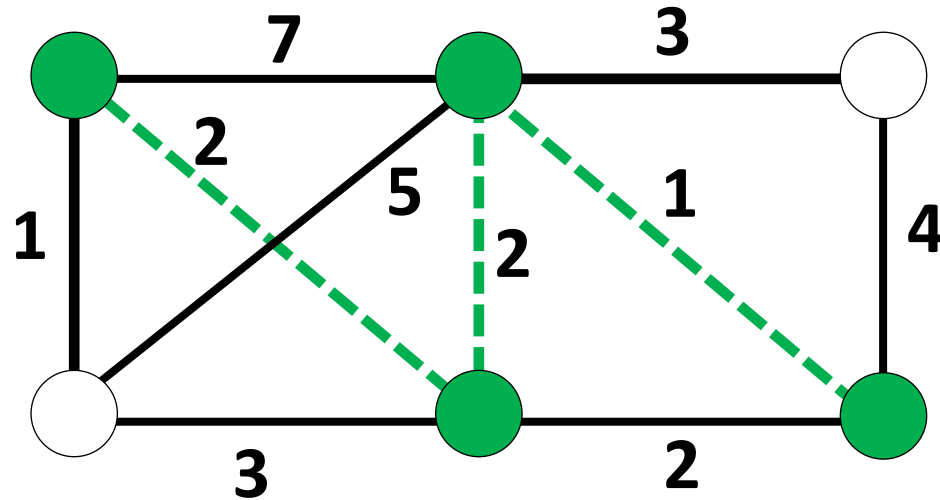
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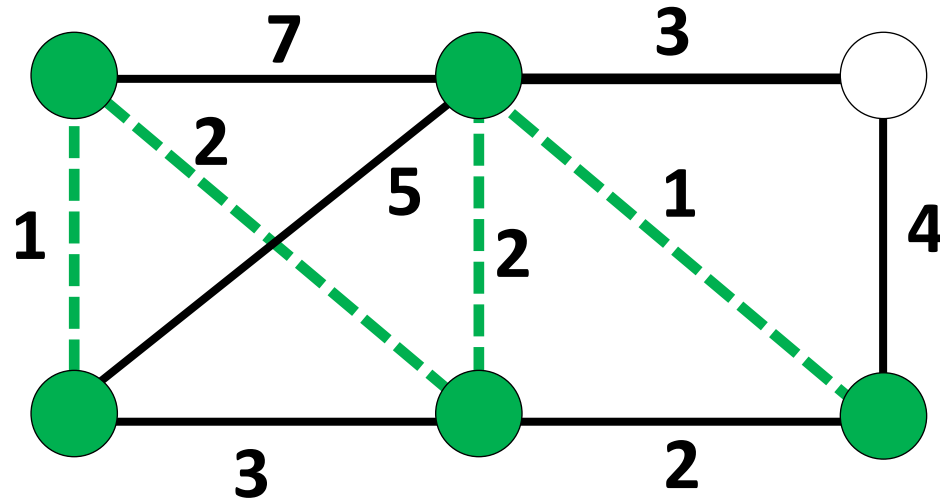
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