



# Machine learning improvements for robotic applications in industrial context

## case study of autonomous sorting

*Doctor of Philosophy thesis defense*

presented by **Joris Guérin**

on December 10 2018

### Jury

- |  |             |
|--|-------------|
| M. Olivier PIETQUIN, Professeur des universités, Google Brain Paris        | Rapporteur  |
| M. Jean-Pierre GAZEAU, Ingénieur de recherche, Université de Poitiers      | Rapporteur  |
| M. Lorenzo NATALE, Maître de conférences, Instituto Italiano di Tecnologia | Examinateur |
| M. Ivan LAPTEV, Directeur de recherche, INRIA Paris                        | Examinateur |
| M. Byron BOOTS, Maître de conférences, Georgia Institute of Technology     | Examinateur |
| M. Olivier GIBARU, Professeur des universités, Arts et Métiers ParisTech   | Examinateur |
| M. Stéphane THIERY, Maître de conférences, Arts et Métiers ParisTech       | Invité      |
| M. Éric NYIRI, Maître de conférences, Arts et Métiers ParisTech            | Invité      |

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- 2. Image clustering**
- 3. Image acquisition**
- 4. Model independent trajectory learning**
- 5. Conclusion**

# Outline

1. Introduction

2. Image clustering

3. Image acquisition

4. Model independent trajectory learning

5. Conclusion

# Robots in industry



## Current use

- ▶ Repeatable
- ▶ Precise
- ▶ Fast

## Limitations

- ▶ Not adaptive
- ▶ Confined environment
- ▶ Large production batches

## New context

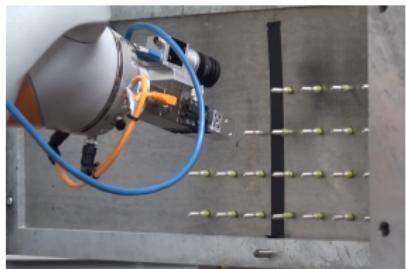
- ▶ Industry 4.0
- ▶ Mass customization
- ▶ Human-robot collaboration

(Lasi et al., 2014)

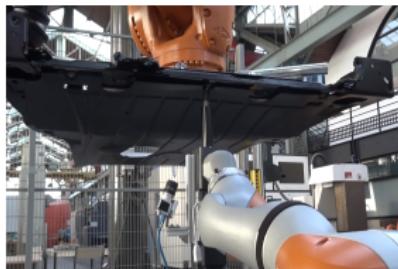
# New goals

**Robotic applications more flexible, more robust,  
easier to program**

## Tasks



Manufacturing,



assembly,



metrology,

**Sorting**,

...

## Technological bricks

Scene understanding

Object understanding

Object localization

Grasping

Trajectory generation

Path planning

Metrology

...

# Unsupervised Robotic Sorting

## Robotic sorting

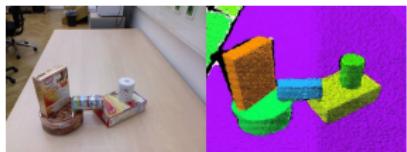


## Improve flexibility

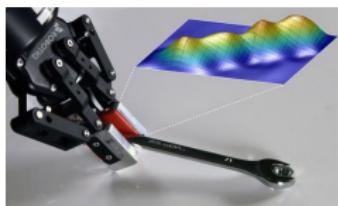


# Required technical bricks

Scene segmentation  
(*Shi et al., 2016*)



Grasping  
(*Bohg et al., 2014*)



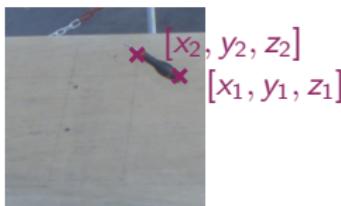
Data acquisition



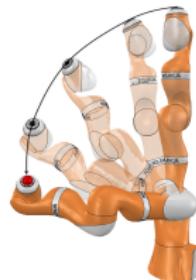
Data clustering



Object localization



Trajectory generation



# Decision making pipeline

## Gap-ratio Weighted K-means

- ▶ Color and shape features
- ▶ Robust to lighting condition

→ More expressive representation:  
**Images**

## Proposed pipeline



### Image acquisition

- ▶ Multi-view sorting
- ▶ Optimal view selection

### Image Clustering

- ▶ Feature extraction
- ▶ Deep ensemble clustering

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# What is Image Clustering (IC)?



Image  
Clustering



## Other uses:

- ▶ Searching web image databases (*Avrithis et al., 2015*),
- ▶ Medical image classification (*Wang et al., 2017*),
- ▶ Video storyline reconstruction (*Kim et al., 2014*), ...

# Current approach



## Never studied

- ▶ Cross validation impossible
- ▶ Satisfying results
- ▶ Trained on the same dataset

## Concentrate most research

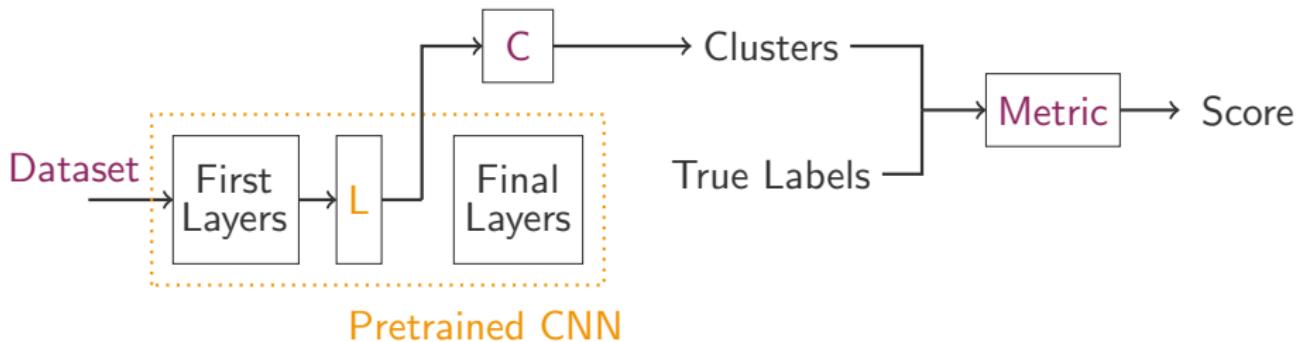
- ▶ DEC,
- ▶ IDEC,
- ▶ JULE, ...

Many pretrained CNN available

Does it have an impact?

(Liu et al., 2016), (Wang et al., 2017), (Gong et al., 2015), (Hu et al., 2017)

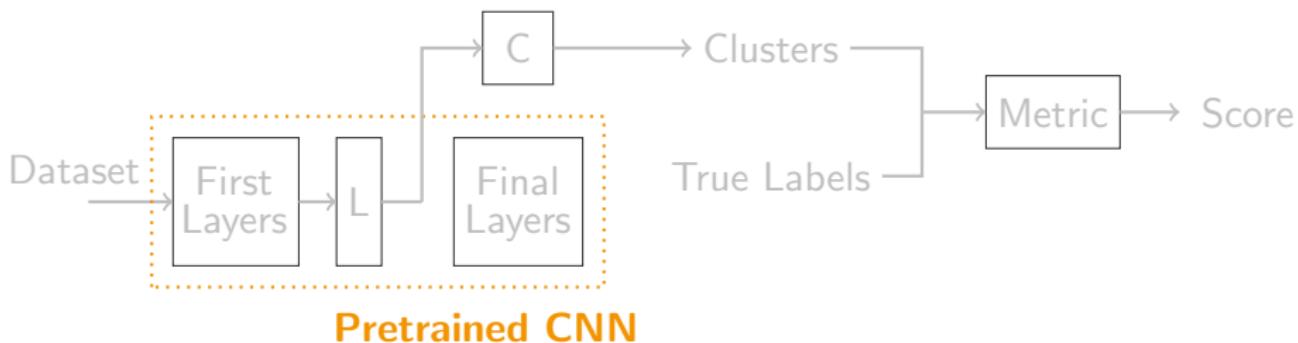
# Experiments design



## Questions

- ▶ Choice of CNN architecture?
- ▶ Choice of cutting layer?
- ▶ Relation to other design choices?

# Experiments design



## 5 architectures

VGG16

VGG19

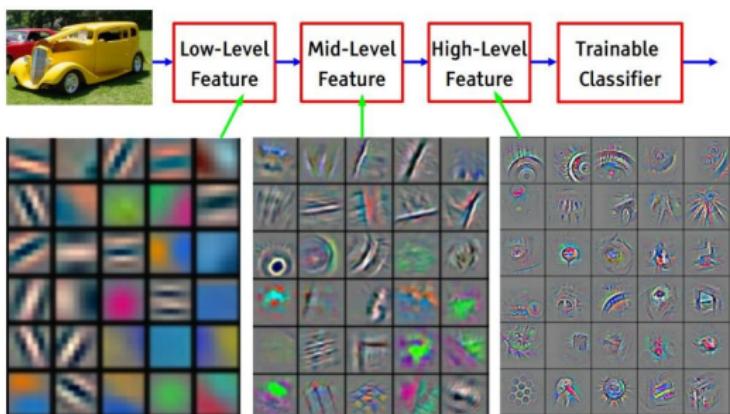
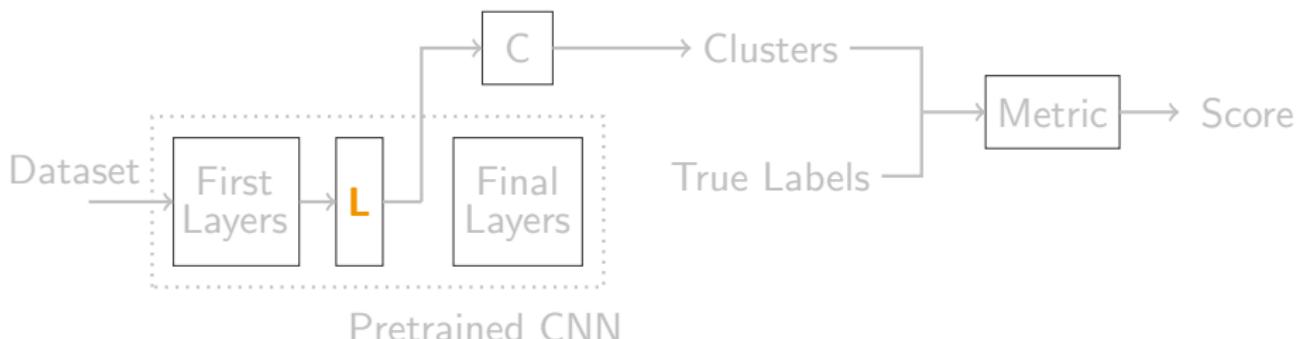
InceptionV3

Xception

ResNet50

*(Simonyan and Zisserman, 2014), (He et al., 2016), (Szegedy et al., 2016),  
(Chollet, 2016)*

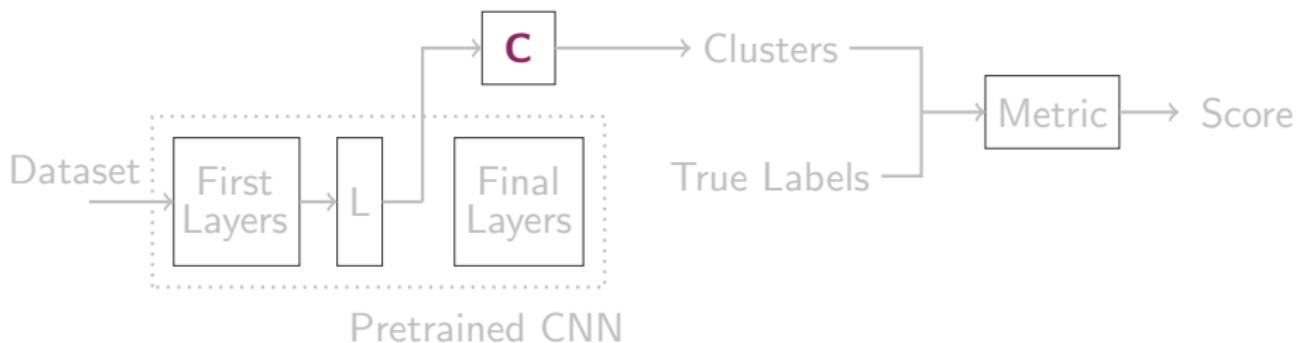
# Experiments design



L1: End of conv block  
 L2: 2<sup>nd</sup> layer before softmax  
 L3: Last layer before softmax

(Zeiler and Fergus, 2014)

# Experiments design



## Standard algorithms

Centroid based

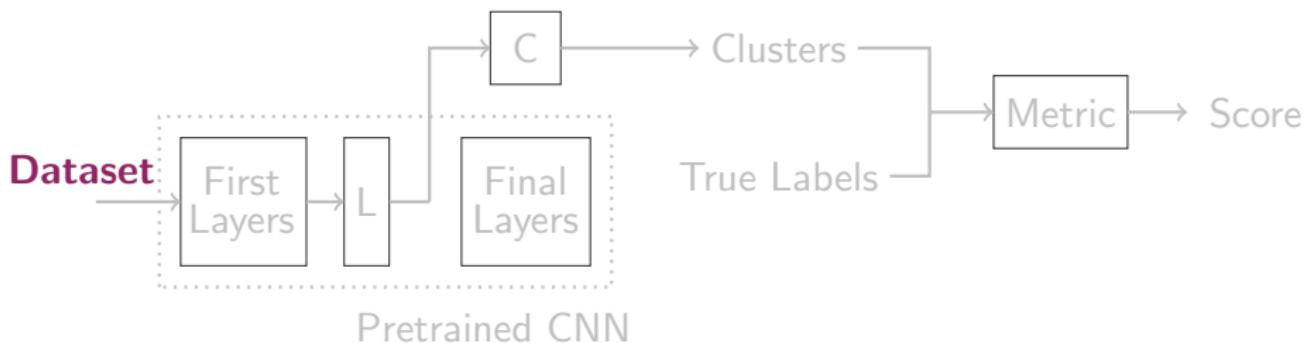
K-means

Connectivity based

Agglomerative

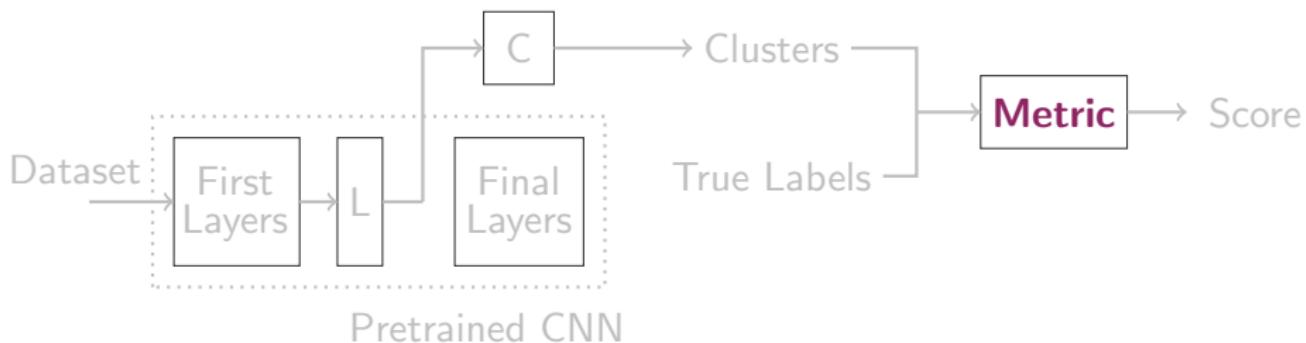
(Xu and Wunsch, 2005), (Arthur and Vassilvitskii, 2007), (Murtagh, 1983)

# Experiments design



Task	Dataset	# images	# classes	Balanced
Natural object	VOC2007	2841	20	No
	COIL100	7200	100	Yes
Scene	Archi	4794	25	No
	MIT	15620	67	No
Fine-grained	Flowers	400	17	Yes
	Birds	2800	200	No
Face	UMist	564	20	Yes
	FEI	6033	200	Yes

# Experiments design



**Supervised datasets → External validation metrics**

**Normalized Mutual Information**

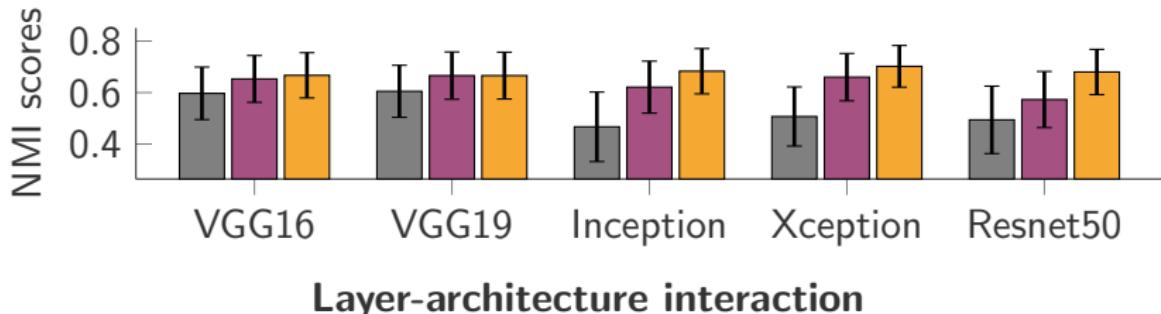
$$NMI(Y, C) = \frac{2 \times I(Y, C)}{H(Y) + H(C)}$$

**Cluster purity**

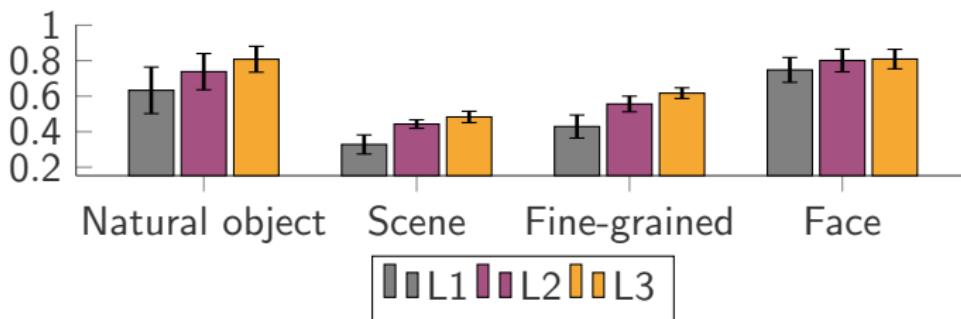
$$PUR(Y, C) = \frac{1}{N} \sum_{c \in C} \max_{y \in Y} |c \cap y|$$

*Between 0 and 1 - Higher is better*

# Cutting layer's influence



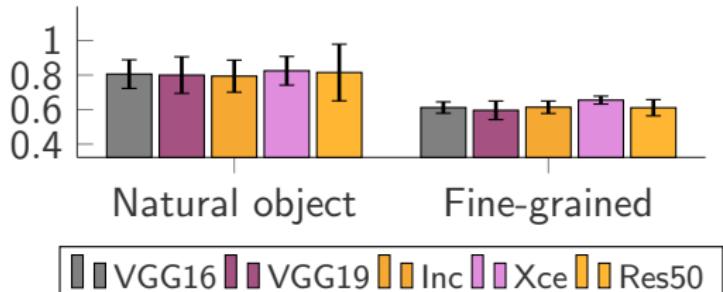
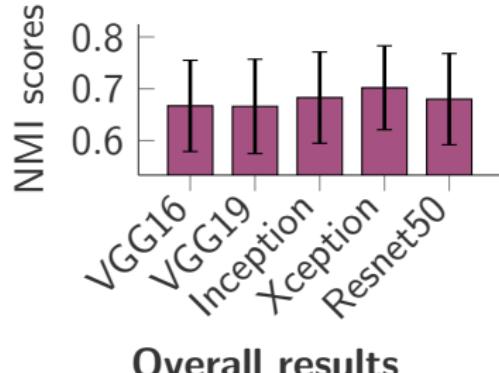
Layer-architecture interaction



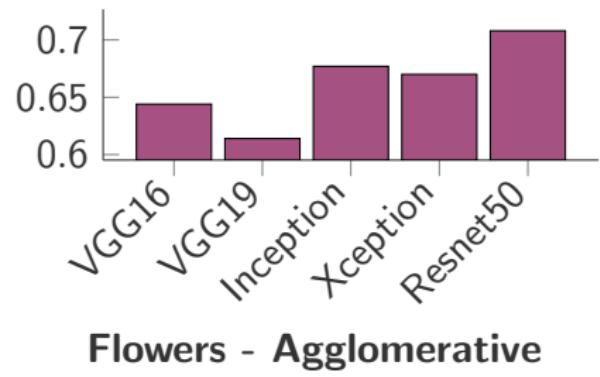
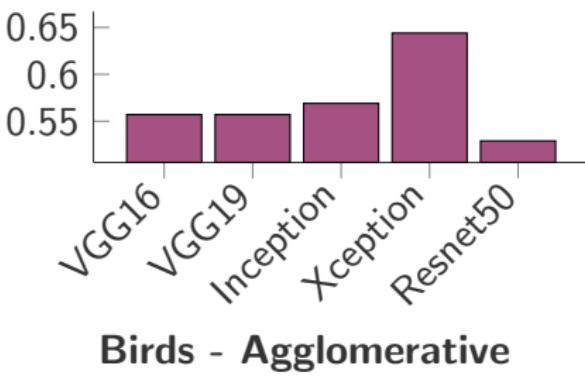
Layer-task interaction

(mean and std across other parameters)

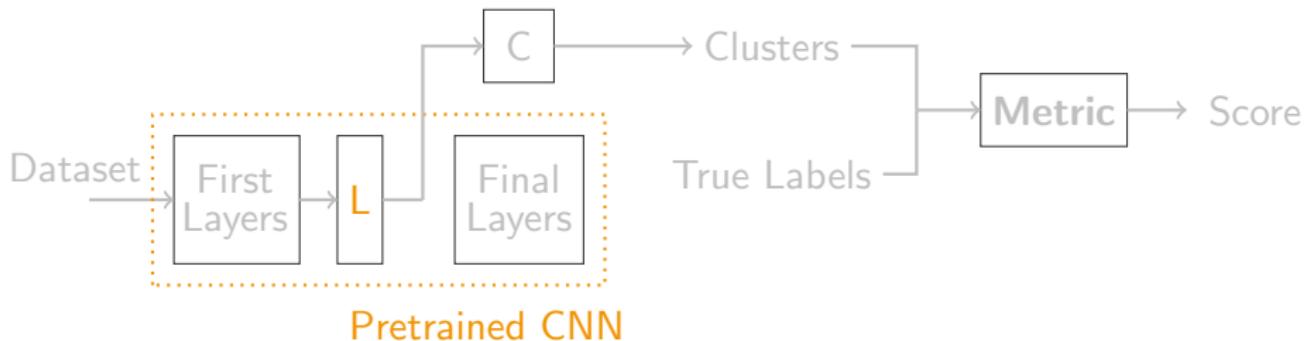
# Architecture's influence



## Architecture-task interaction



## Intermediate conclusion



### Cutting layer choice

- ▶ Last layer before softmax
- ▶ For all datasets

### CNN architecture choice

- ▶ No simple rules
- ▶ No cross validation

Could it be useful to combine them?

# Complementarity of architectures? - Intuition

Pretrained on the same dataset  
But

**Different ways to solve a task**



UMist face dataset



	NMI	PUR	FM	FM <sub>C4</sub>
InceptionResnet	<b>0.775</b>	<b>0.642</b>	<b>0.537</b>	0.442
VGG16	0.689	0.550	0.372	<b>0.653</b>
Densenet121	0.684	0.553	0.384	<b>0.700</b>

2d t-SNE visualization (*Maaten and Hinton, 2008*)



InceptionResnet



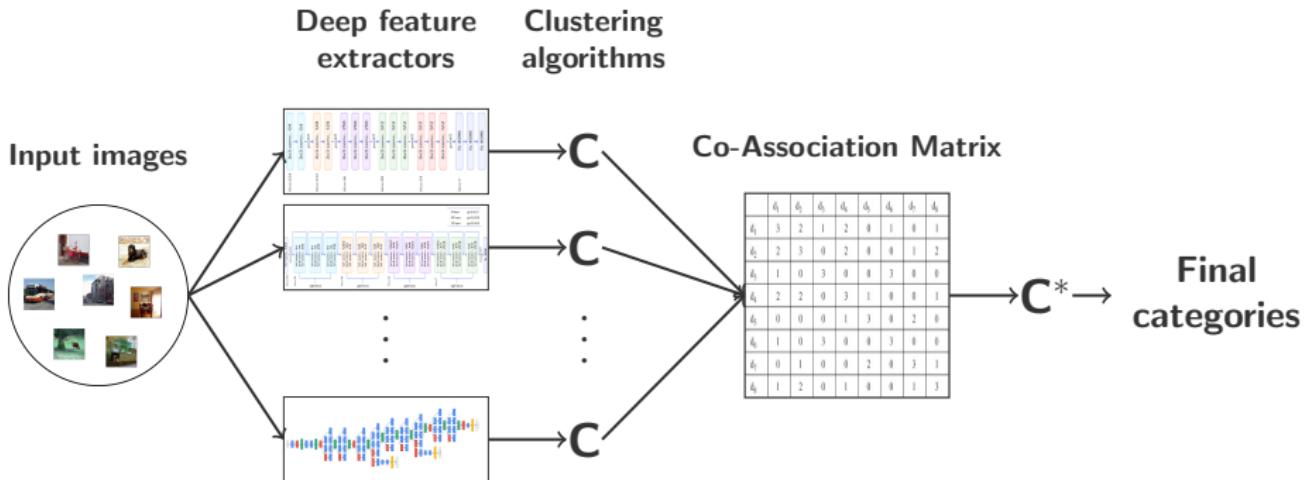
VGG16



Densenet121

# First experiments

**Ensemble method** (*Vega-Pons and Ruiz-Shulcloper, 2011*)



## Experiments

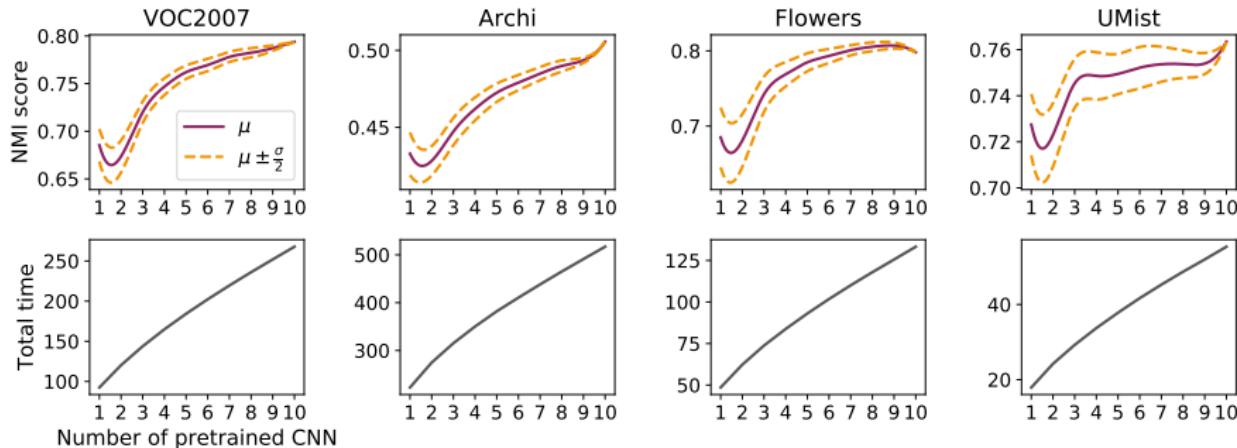
- ▶ 1 to 10 pretrained CNNs

*Densenet, Inception-resnet, NasNet*

- ▶ 4 datasets from 4 tasks

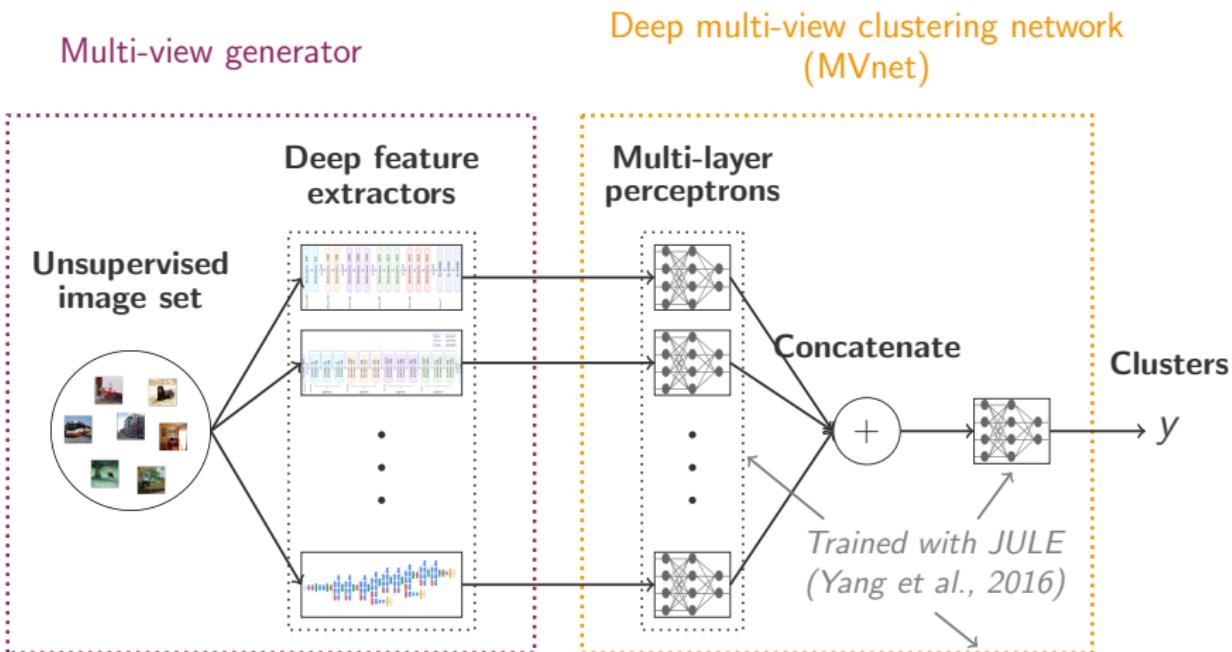
*VOC2007, Archi, Flowers, UMist*

# First results



Evolution of the NMI score and total time (in sec) for different numbers of pretrained CNN feature extractors.

# Deep Multi-View Clustering



## JULE

- ▶ Jointly learns **feature representation** and **cluster assignments**
- ▶ Adapted initialization for Multi-View data

# Results

**Evaluation:**  $\text{MIX}_\alpha = \alpha \text{ NMI} + (1 - \alpha) \text{ PUR}$

Average results across all 8 datasets

Method	$\text{MIX}_{0.5}$ score
Ours	<b>0.749</b>
Best Net + JULE	0.740
Worst Net + JULE	<b>0.611</b>
Leader Net + JULE	0.706
Best Net + Agg	0.712
MVEC + JULE	0.724
CC + JULE	0.703
MVEC + Agg	0.711

→ State of the art results on most studied datasets

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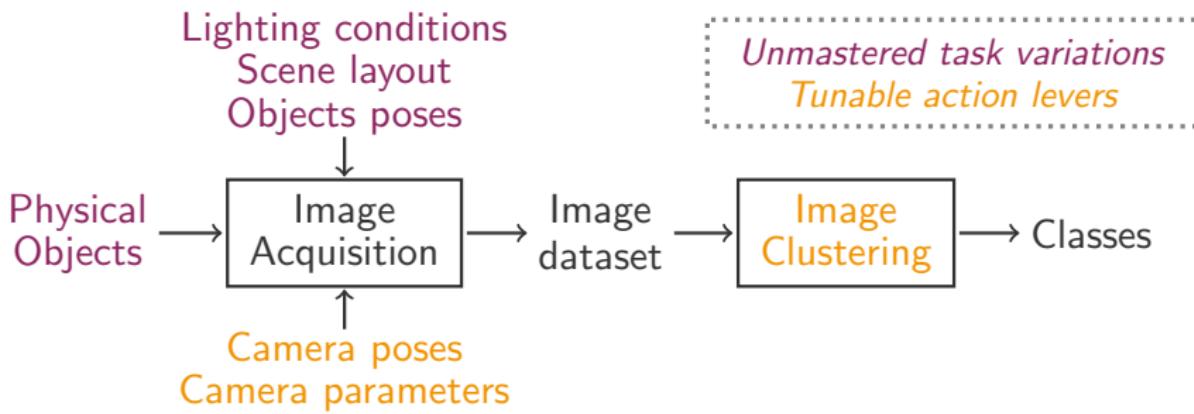
# Problem statement

## Early implementation of URS

# Problem statement

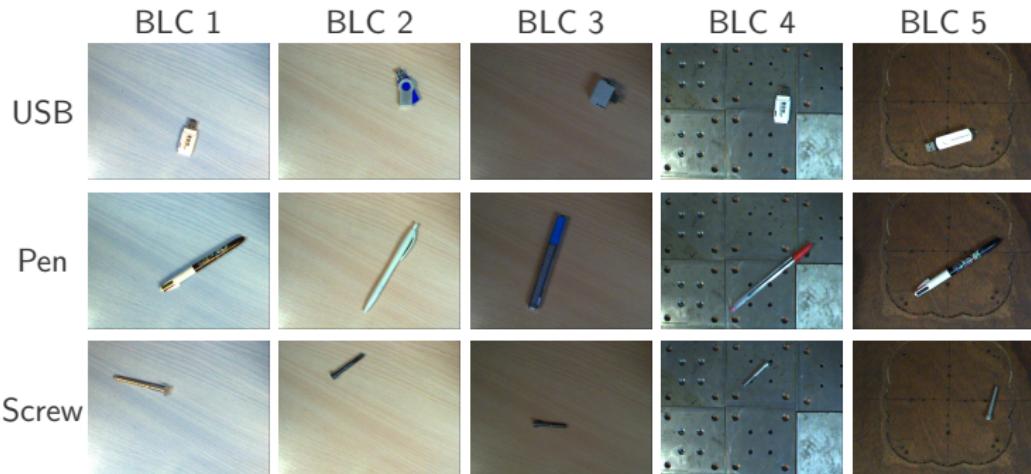


- ▶ Top-down perpendicular views
- ▶ Xception + Agglomerative



# Robustness testing

## Robustness testing dataset

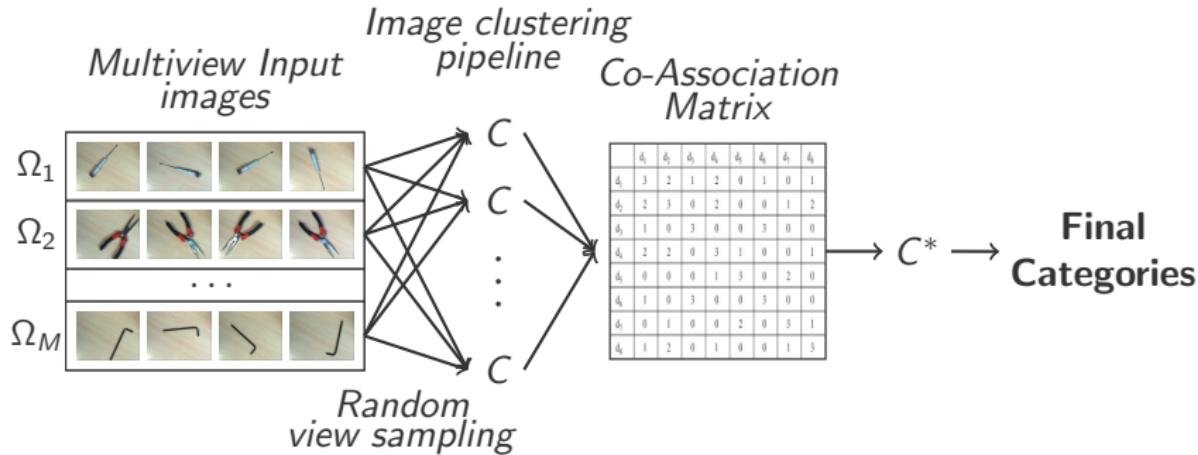


## Artificially modified brightness



# Multiple poses approach

## Ensemble clustering pipeline



## Results

		BLC1	BLC2					BLC3	BLC4	BLC5
			Dark+	Dark	Normal	Bright	Bright+			
NMI	MV	0.95	0.91	1.00	1.00	0.96	0.84	0.95	0.84	0.95
	SV	0.86	0.77	0.88	0.90	0.84	0.73	0.84	0.69	0.83

# View selection problem

Importance of view selection:



Top view

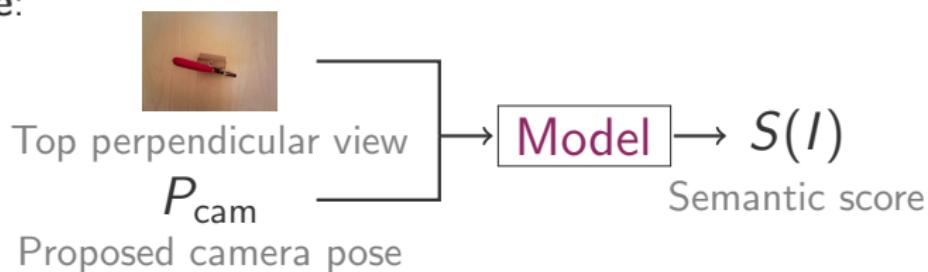


Good view



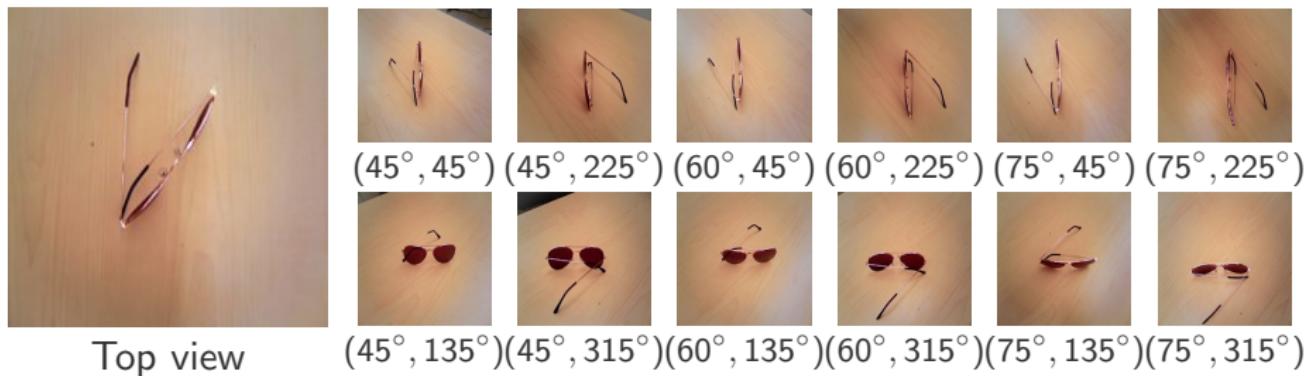
Bad view

Objective:



# Building a large multi-view dataset

Example: 1 object in 1 pose



*Views are parameterized by two angles  $\theta$  and  $\varphi$*

## Dataset statistics

# Classes	# Object/class <i>(total)</i>	# Poses/object <i>(total)</i>	# Views/pose <i>(total)</i>
29	4-6 ( <b>144</b> )	3 ( <b>432</b> )	17-22 ( <b>9112</b> )

# Fitting a “Clusterability score” to the images

## Estimating the quality of an image for clustering

- ▶ Sample  $N$  clustering problem ( $3 \times 10^7$ )
- ▶ For each clustering problem  $cp$ :
  - ▶ Compute the individual Fowlkes-Mallows index of each image:

(Fowlkes and Mallows, 1983)

$$FMI_{cp}^i = \frac{TP_i}{\sqrt{(TP_i+FP_i)(TP_i+FN_i)}}$$

- ▶ Compute the Monte Carlo estimate of the clusterability index:

$$S(I) = \sum_{cp} FMI_{cp}^I / N_{cp}^I$$

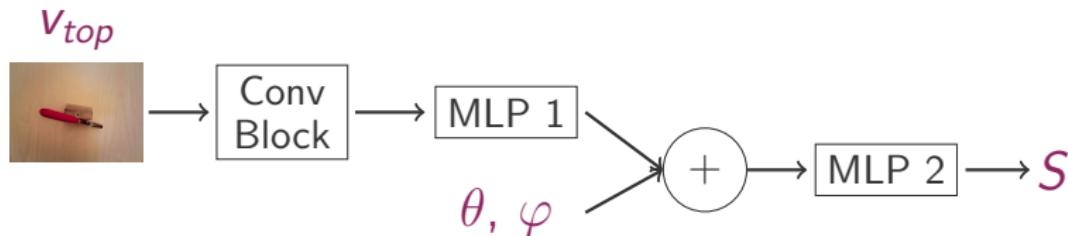
$N_{cp}^I$ , number of  $cp$  in which  $I$  is present

## Qualitative validation



# Training a clusterability score predictor

## Network architecture



## Data splitting

Clusterability index fitting	24 classes	
Neural network parameter selection	Training: 19	Testing: 5
Semantic View Predictor validation	5 classes	

# Results

## Quantitative results

		FM	NMI	PUR
XCE_AGG	TOP	0.44	0.51	0.70
	RAND	0.48	0.56	0.74
	SV-net	<b>0.55</b>	<b>0.63</b>	<b>0.78</b>

## Qualitative evaluation



Example top views



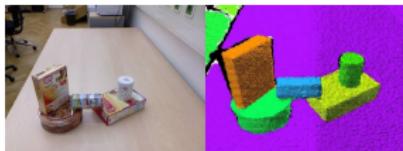
Associated SV-net selections

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# Towards fully functional URS

## Scene segmentation (*Shi et al., 2016*)



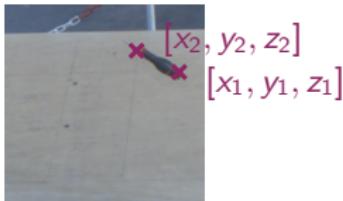
## Image acquisition



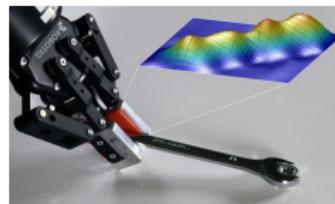
## Image clustering



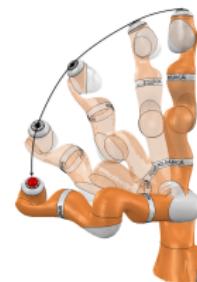
## Object localization



## Grasping (*Bohg et al., 2014*)



## Trajectory generation



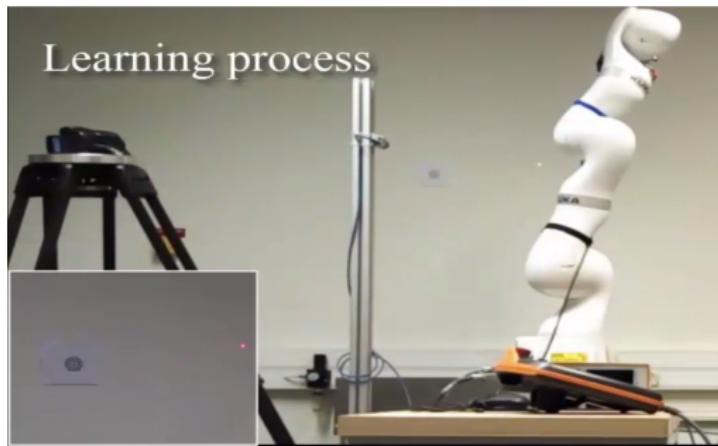
# Model independent trajectory learning

## Objectives

Build a trajectory learning framework which is

- ▶ Independent of the studied system
- ▶ Sample efficient

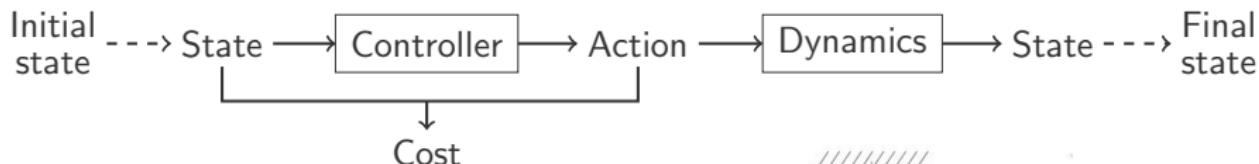
## Practical example



- ▶ Angular position control
- ▶ Cartesian cost
- ▶ Independence of:
  - ▶ Robot geometry
  - ▶ Tool orientation
  - ▶ Robot location

# Overview of the iLQR method

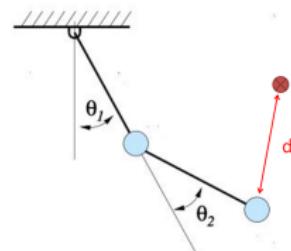
## Trajectory definition



$x_t$ : State vector of the system

$u_t$ : Control vector

$l_t$ : Cost



## Optimization process

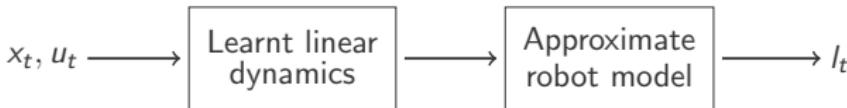
$$x_{t+1} = F_t(x_t, u_t) \quad \leftarrow \quad 1^{st} \text{ order Taylor expansion}$$

$$l_t = L_t(x_t, u_t) \quad \leftarrow \quad 2^{nd} \text{ order Taylor expansion}$$

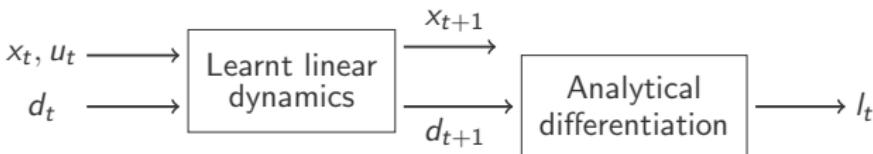
Use **dynamic programming** to optimize the controller to take actions that minimize the cost.

(Li et al., 2004)

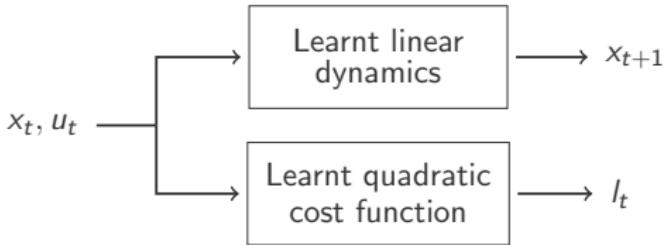
# Compute Taylor expansion of the cost



(a) Using a model of the robot. (Levine et al., 2014)



(b) Including the distance  $d_t$  in the state representation. (Levine et al., 2015)



(c) Learning the quadratic approximation of the cost.

## Practical example

### Model independent trajectory learning - target reaching task

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# Conclusion and open problems

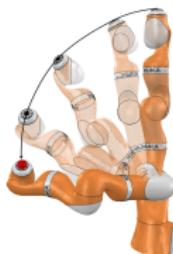


## Image Clustering

- ▶ Multiple pretrained CNNs improve results
- ▶ DMVC is state-of-the-art
  - Transfer to other tasks?
  - Study properties of training parameters?

## Image Acquisition

- ▶ Multiple views increase robustness
- ▶ Semantic view selection
  - Multiple view selection?



## Trajectory learning

- ▶ Model independent method
  - Integrate in a global framework

# Publications

## Journal

- ▶ Guérin et al., "Unsupervised robotic sorting: Towards autonomous decision making robots", International Journal of Artificial Intelligence & Applications (IJAIA), March 2018

## Conferences

- ▶ Guérin and Boots, "Improving Image Clustering with Multiple Pretrained CNN Feature Extractors", proceedings of BMVC 2018, Newcastle, UK. (29.9% acceptance)
- ▶ Guérin et al., "Semantically Meaningful View Selection", proceedings of IROS 2018, Madrid, Spain. (46.7% acceptance)
- ▶ Guérin et al., "CNN features are also great at unsupervised classification", proceedings of AIFU 2018, Melbourne, Australia.
- ▶ Guérin et al., "Automatic Construction of Real-World Datasets for 3D Object Localization using Two Cameras", proceedings of IECON 2018, Washington D.C., USA.
- ▶ Guérin et al., "Learning local trajectories for high precision robotic tasks: application to KUKA LBR iiwa Cartesian positioning", proceedings of IECON 2016, Florence, Italy
- ▶ Guérin et al., "Locally optimal control under unknown dynamics with learnt cost function: application to industrial robot positioning", Journal of Physics: Conference Series.
- ▶ Guérin et al., "Clustering for different scales of measurement: the gap-ratio weighted K-means algorithm", proceedings of AIAP 2017, Vienna, Austria



# Machine learning improvements for robotic applications in industrial context

## case study of autonomous sorting

*Doctor of Philosophy thesis defense*

presented by **Joris Guérin**

on December 10 2018

### Jury

- |  |             |
|--|-------------|
| M. Olivier PIETQUIN, Professeur des universités, Google Brain Paris        | Rapporteur  |
| M. Jean-Pierre GAZEAU, Ingénieur de recherche, Université de Poitiers      | Rapporteur  |
| M. Lorenzo NATALE, Maître de conférences, Instituto Italiano di Tecnologia | Examinateur |
| M. Ivan LAPTEV, Directeur de recherche, INRIA Paris                        | Examinateur |
| M. Byron BOOTS, Maître de conférences, Georgia Institute of Technology     | Examinateur |
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| M. Éric NYIRI, Maître de conférences, Arts et Métiers ParisTech            | Invité      |

# t-Distributed Stochastic Neighbor Embedding

High dimensional space

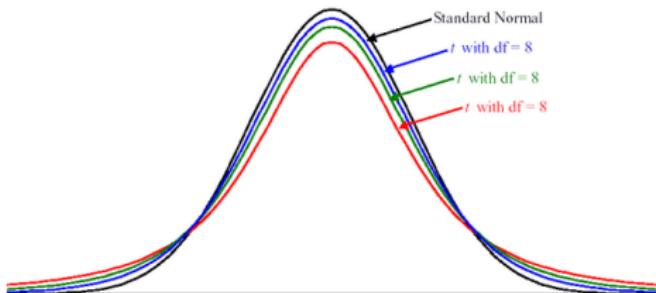
$$p_{ij} = \frac{\exp(-||x_i - x_j||^2 / 2\sigma_i^2)}{\sum_k \sum_{l \neq k} \exp(-||x_k - x_l||^2 / 2\sigma_i^2)}$$

Low dimensional space

$$q_{ij} = \frac{(1 + ||y_i - y_j||^2)^{-1}}{\sum_k \sum_{l \neq k} (1 + ||y_k - y_l||^2)^{-1}}$$

Minimize     $KL(P||Q) = \sum_i \sum_{j \neq i} p_{ij} \log \frac{p_{ij}}{q_{ij}}$

Student's  $t$ -distribution

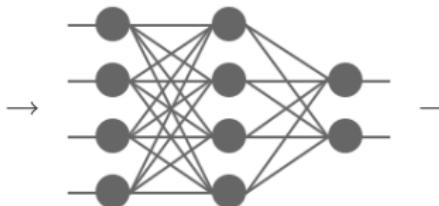


- ▶ Random initialization
- ▶ Gradient descent
- ▶ Preserves local structures
- ▶ Little dependant on tunable parameters

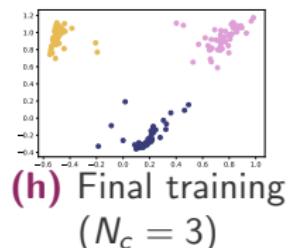
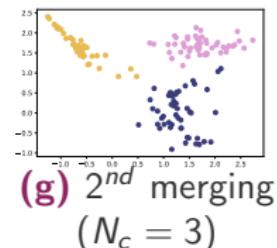
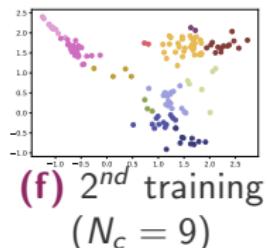
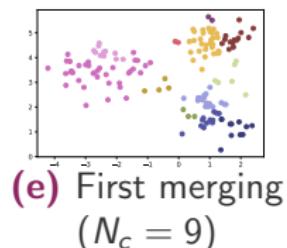
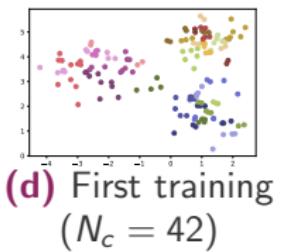
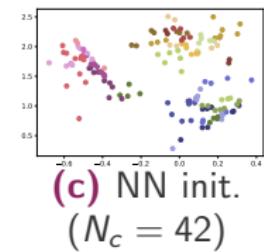
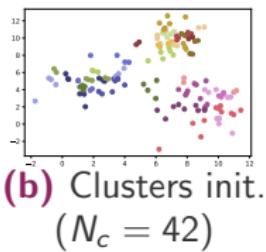
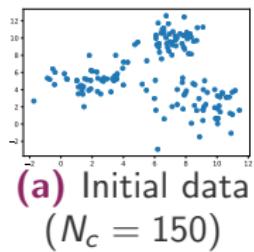
(Maaten and Hinton, 2008)

# Joint Unsupervised Learning of Deep Representations and Image Clusters (Yang et al., 2016)

Unsupervised data  
in input space



Unsupervised data  
in output space

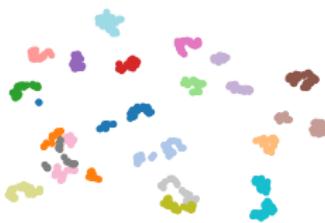


## New representation

**2d t-SNE visualization** of the features extracted from the **UMist dataset** at different stages of the **DMVC framework**.



(a) Densenet169 features



(b) D169 + JULE



(c) Concat



(d) MVnet<sub>fix</sub>



(e) MVnet

# View parameterization



## Procedure:

- ▶ 3D camera
- ▶ Bounding box
- ▶ 75% of the image
- ▶ Parameterization

