

# Novel Optical Effects in Functionalized Graphene: Formalism and Simulations

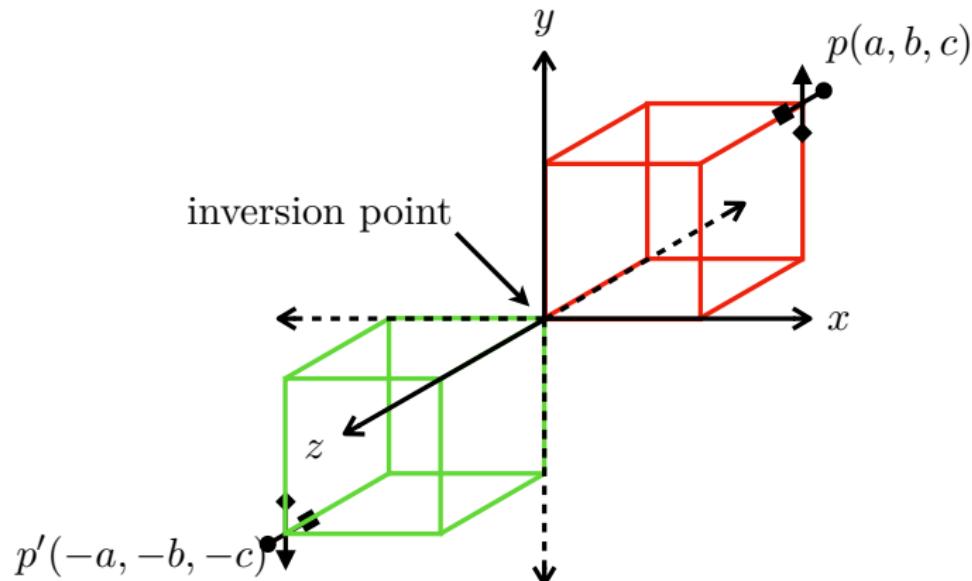
Reinaldo Arturo Zapata Peña

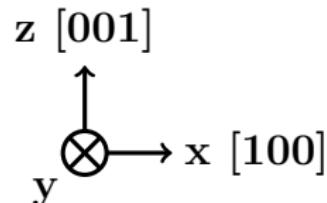
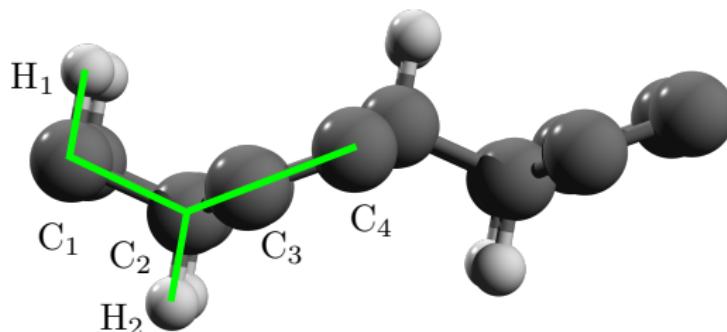
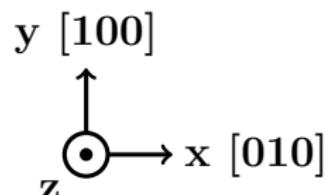
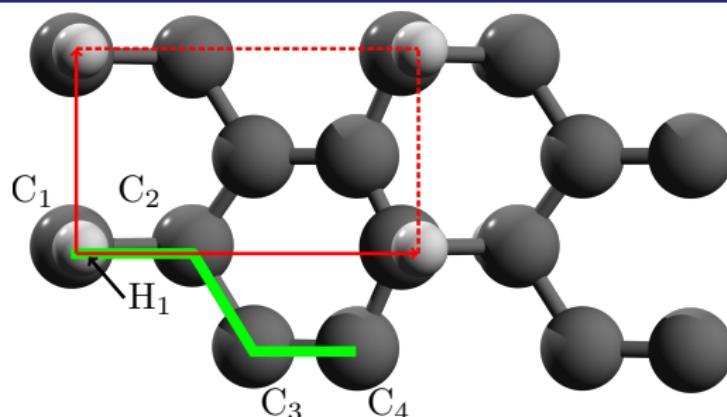
Centro de Investigaciones en Óptica, A.C.

December 8, 2017

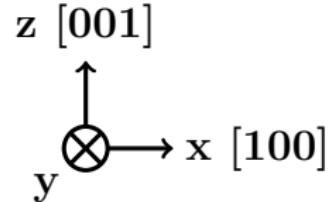
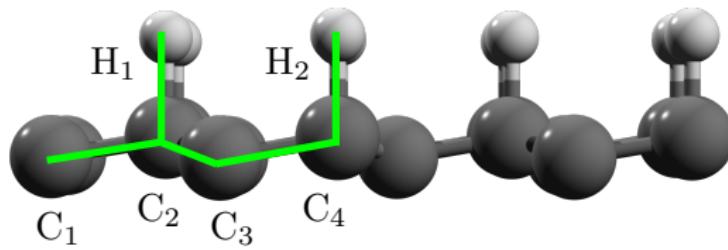
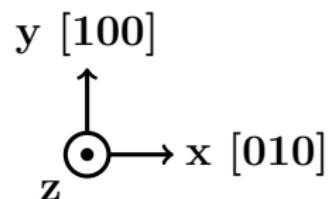
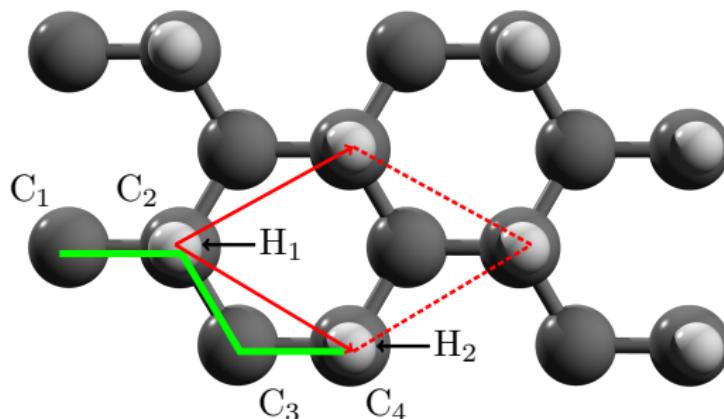
## Centrosymmetric Materials

A centrosymmetric system presents inversion of symmetry, such that a point  $p(a, b, c)$  corresponds a point  $p'(-a, -b, -c)$ .





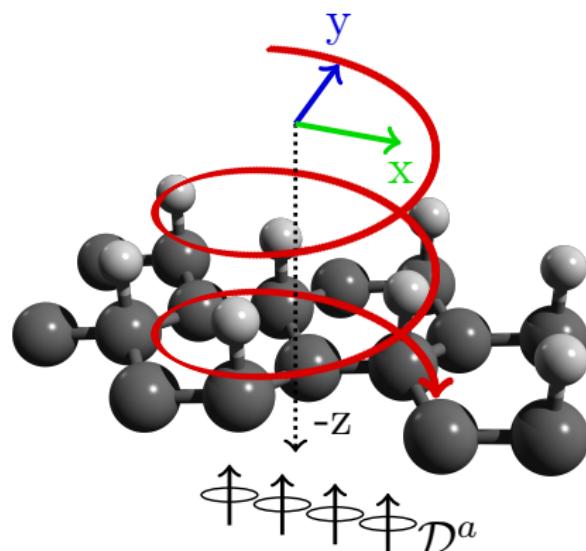
Alt structure: 50% hydrogenation; hydrogen at bot sides.



Up structure: 50% hydrogenation; hydrogen on the upper side.

## Optical spin injection and degree of spin polarization (DSP)

- Spintronics is based in the injection, detection and transport of spin polarized electrons in nonmagnetic materials.<sup>1</sup>
- Spin polarized electrons in a given  $a$  direction can be injected through circularly polarized light.<sup>2</sup>



<sup>1</sup>A. Fert et. al. Rev. Mod. Phys., 80(4):1517, 2008.

<sup>2</sup>N. Arzate et al. Phys. Rev. B, 90(20):205310, 2014.

- The Spin generation rate and the carrier generation rate can be written as

$$\dot{S}^a(\omega) = \zeta^{abc}(\omega)E^b(-\omega)E^c(\omega),$$

$$\dot{n}(\omega) = \xi^{ab}(\omega)E^a(-\omega)E^b(\omega),$$

where  $\zeta^{abc}(\omega)$  is the spin injection rate tensor and  $\xi^{ab}(\omega)$  is the carrier generation rate tensor.

- The degree of spin polarization quantifies the fraction of injected electrons in the conduction bands that are spin polarized along direction  $a$  and is given by

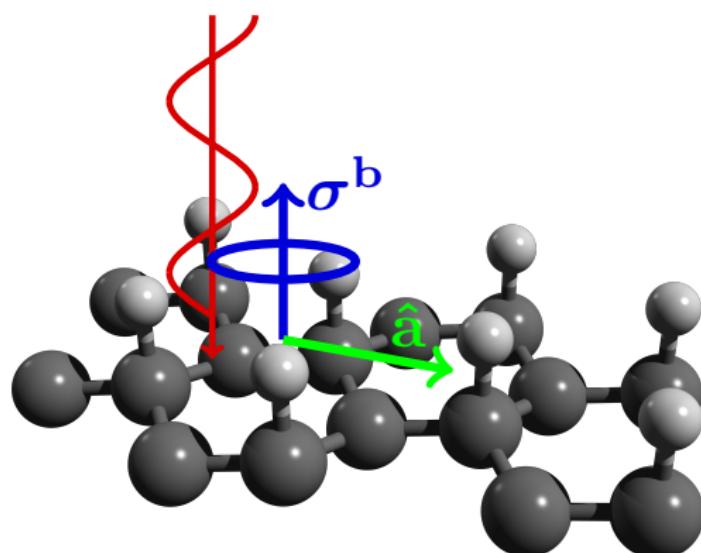
$$\mathcal{D}^a(\omega) = \frac{\dot{S}^a(\omega)}{(\hbar/2)\dot{n}(\omega)} \quad (1)$$

- Is a second order optical effect and it is possible to generate spin polarized electron along three Cartesian directions with an incident circularly polarized beam.

## Pure spin current and spin velocity injection (SVI)

### Pure spin current injection

- The spin current moves along direction  $\hat{a}$  with the spin polarized along direction  $\hat{b}$ .<sup>1</sup>
- There is no net motion of charge but a spin current is produced.<sup>1</sup>



<sup>1</sup>A. Najmaie et. al. Phys. Rev. B, 68(16):165348, 2003.

- Is a second-order optical nonlinear effect.
- A pure spin current can be produced by a single linearly polarized beam in noncentrosymmetric materials<sup>1</sup> and is given by<sup>2</sup>

$$\dot{K}^{ab}(\omega) = \mu^{abcd}(\omega) E^c(\omega) E^{d*}(\omega), \quad (2)$$

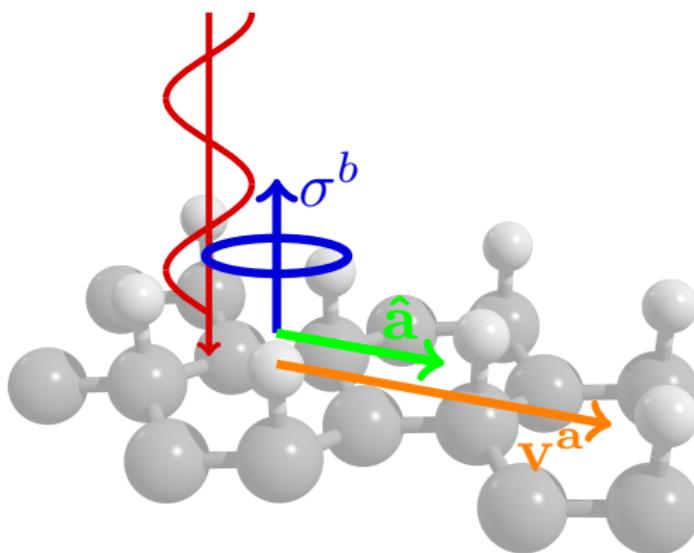
where  $\mu^{abcd}(\omega)$  is the pseudotensor that describes the rate of change of the PSC.

---

<sup>1</sup>A. Najmaie et. al. Phys. Rev. B, 68(16):165348, 2003.

<sup>2</sup>Reinaldo Zapata-Peña et. al. Phys. Rev. B 96, 195415. 2017

## Spin velocity injection



- The spin velocity injection is defined as<sup>1</sup>

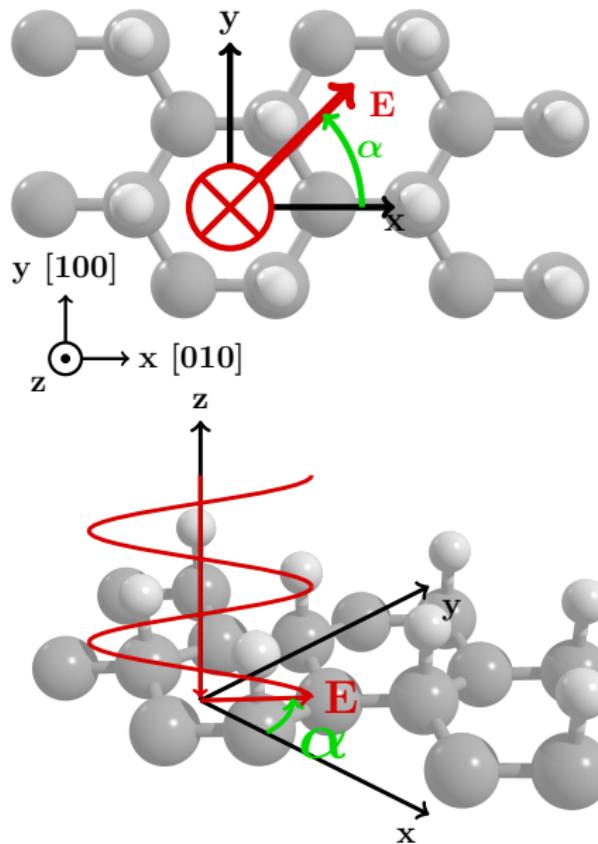
$$\mathcal{V}^{ab}(\omega) \equiv \frac{\dot{K}^{ab}(\omega)}{(\hbar/2)\dot{n}(\omega)}, \quad (3)$$

which gives the velocity, along direction  $\hat{a}$ , at which the spin moves in a polarized state along direction  $\hat{b}$ .

<sup>1</sup>Reinaldo Zapata-Peña et. al. Phys. Rev. B 96, 195415. 2017

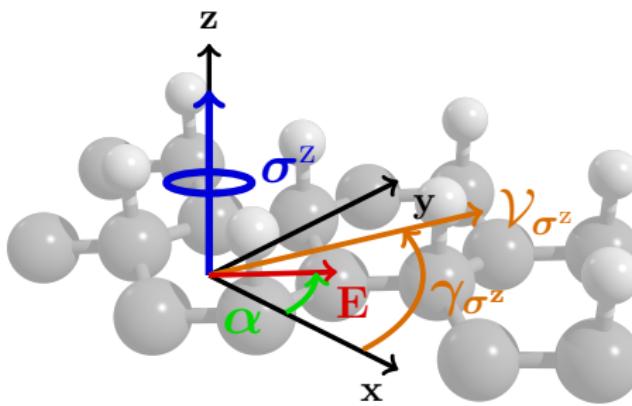
- With 2D structures we can use the direction of the polarized electric field to control  $\mathcal{V}^{ab}(\omega)$ .
- Writing  $\mathbf{E}(\omega) = E_0(\omega)(\cos \alpha \hat{\mathbf{x}} + \sin \alpha \hat{\mathbf{y}})$ , where  $\alpha$  is the polarization angle, we obtain that

$$\mathcal{V}^{ab}(\omega, \alpha) = \frac{2}{\hbar \xi(\omega)} (\mu^{abxx}(\omega) \cos^2 \alpha + \mu^{abyy}(\omega) \sin^2 \alpha + \mu^{abxy}(\omega) \sin 2\alpha). \quad (4)$$



# Fixing the spin polarization<sup>1</sup>

Spin fixed along  $z$  direction



- Magnitude of the velocity when the spin is fixed in the  $b$  direction

$$\begin{aligned} \mathcal{V}_{\sigma^b}(\omega, \alpha) \equiv \\ \sqrt{(\mathcal{V}_{xb}(\omega, \alpha))^2 + (\mathcal{V}_{yb}(\omega, \alpha))^2} \end{aligned} \quad (5)$$

- Angle of the velocity when the spin is fixed in the  $b$

$$\gamma_{\sigma^b}(\omega, \alpha) = \tan^{-1} \left( \frac{\mathcal{V}_{yb}(\omega, \alpha)}{\mathcal{V}_{xb}(\omega, \alpha)} \right) \quad (6)$$

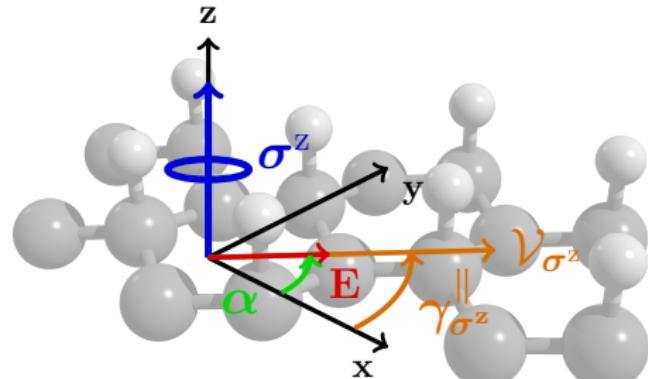
---

<sup>1</sup>Reinaldo Zapata-Peña et. al. Phys. Rev. B 96, 195415. 2017

We have two special cases

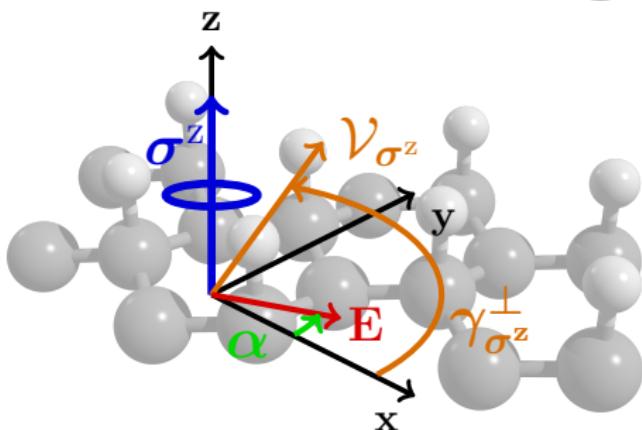
- When the velocity vector is parallel to the electric incident field

$$\gamma_{\sigma^b}^{\parallel}(\omega, \alpha) = \alpha, \quad (7)$$



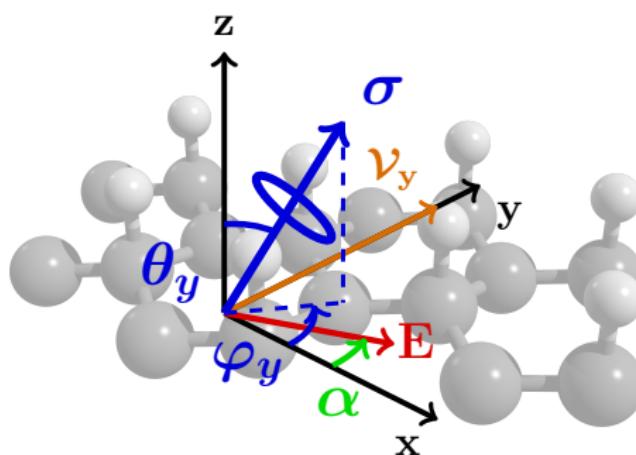
- When the velocity vector is perpendicular to the electric incident field

$$\gamma_{\sigma^b}^{\perp}(\omega, \alpha) = \alpha \pm 90^\circ, \quad (8)$$



# Fixing the spin velocity<sup>1</sup>

Velocity fixed along  $y$  direction



- Magnitude of the velocity when it is fixed along  $\hat{a}$  direction

$$\mathcal{V}_a(\omega, \alpha) \equiv \left[ (\mathcal{V}^{ax}(\omega, \alpha))^2 + (\mathcal{V}^{ay}(\omega, \alpha))^2 + (\mathcal{V}^{az}(\omega, \alpha))^2 \right]. \quad (9)$$

- Angles of the spin

Polar angle

$$\theta_a(\omega, \alpha) = \cos^{-1} \left( \frac{\mathcal{V}^{az}(\omega, \alpha)}{\mathcal{V}_a(\omega, \alpha)} \right). \quad (10)$$

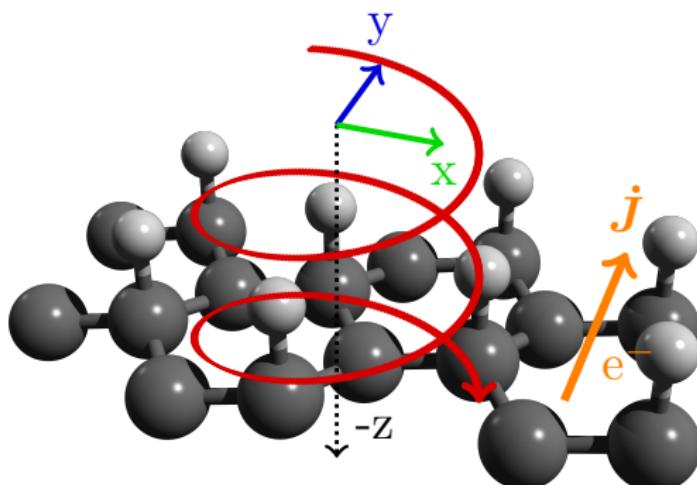
Azimuthal angle

$$\varphi_a(\omega, \alpha) = \tan^{-1} \left( \frac{\mathcal{V}^{ay}(\omega, \alpha)}{\mathcal{V}^{ax}(\omega, \alpha)} \right). \quad (11)$$

---

<sup>1</sup>Reinaldo Zapata-Peña et. al. Phys. Rev. B 96, 195415. 2017

## Optical current injection



- It is possible to control a photocurrent in a bulk structure<sup>1</sup> or in the surface of a 2D system.<sup>2, 3</sup>
- Is a second-order optical nonlinear effect that can be produced with a single optical circularly-polarized beam.<sup>2</sup>

<sup>1</sup>A. Hacheét. al. Phys. Rev. Lett., 78:306-309, Jan 1997.

<sup>2</sup>N. Arzate et al. Phys. Rev. B, 90(20):205310, 2014.

<sup>3</sup>R. Zapata-Peña et. al. Phys. Stat. Sol. (b), 253 (2):226-233, 2016.

- A photocurrent can be injected into noncentrosymmetric materials or at the surface of bulk centrosymmetric materials and is given by<sup>1</sup>

$$\mathbf{j}_{\text{inj}}^a(\omega) = \eta^{abc}(\omega) E_b(\omega) E_c(\omega),$$

where  $\eta^{abc}(\omega)$  is the current injection tensor which quantifies the current injection along the  $a$  direction  $a$ .

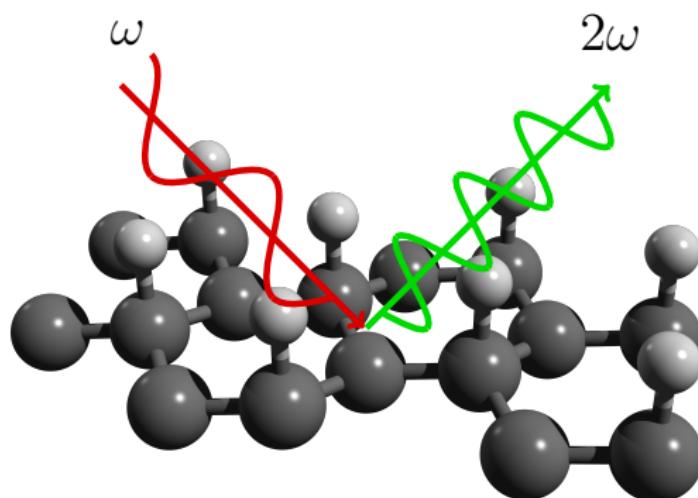
- The response was calculated layer by layer.

---

<sup>1</sup>N. Arzate et al. Phys. Rev. B, 90(20):205310, 2014.

## Second harmonic generation (SHG)

- Is a second-order optical nonlinear effect and a particular case of sum frequency generation.
- The nonlinear polarization in the media acts as a source for the electromagnetic waves of frequency  $2\omega$ .
- SHG spectroscopy offer a non-invasive technique to study material properties.



- The second-order nonlinear polarization is given by <sup>1</sup>

$$\mathcal{P}(2\omega) = \chi^{abc}(-2\omega; \omega, \omega) E^b(\omega) E^c(\omega),$$

where  $\chi^{abc}(-2\omega; \omega, \omega)$  is the nonlinear susceptibility tensor responsible for the SHG.

- The formalism includes <sup>1</sup>
  - the scissors correction,
  - the contribution of the nonlocal part of the pseudopotentials
  - the cut function used to select the individual contribution for a given layer.

---

<sup>1</sup>S. M. Anderson et al. Phys. Rev. B, 91(7):075302, 2015.

Structure	Energy [eV]	$\chi^{abc}$		Ref.
		<i>abc</i>	value	
C <sub>16</sub> H <sub>8</sub> -up	0.04	yxx	$1.7 \times 10^6$ pm/V	*
C <sub>16</sub> H <sub>8</sub> -alt	0.44	xyy	$3 \times 10^3$ pm/V	*
Si(100)2×1	1.82	xxx	660 pm/V	??
BNNT(6,0) pristine	5.00	zzz	35 pm/V	1
BNNT(6,0)+4(H <sub>2</sub> )	5.00	zzz	33 pm/V	1
BNNT(6,0)+12(H <sub>2</sub> )	4.80	zzz	15 pm/V	1
GaAs(001)	3.00	xyz	750 pm/V	2

**Table:** Comparison of the highest reported absolute values of SHG for different structures and components. (\*This work.)

<sup>1</sup>R. V. Salazar-Aparicio et. al Phys. Rev. B, 90 (15):155403, 2014.

<sup>2</sup>S. Bergfeld et. al. Phys. Rev. Lett., 90(3):036801, 2003.

## Pure Spin Current Injection in Hydrogenated Graphene Structures

---

- The spin velocity injection (SVI) resulting from the pure spin current (PSC).
- To calculate the velocity of the spin injection  $\mathcal{V}^{ab}(\omega)$  along direction  $\hat{a}$  at which the spin moves in a polarized state along direction  $\hat{b}$ , we start with the operator that describes the electronic SVI, written as

$$\dot{K}^{ab}(\omega) = \mu^{abcd}(\omega) E^c(\omega) E^{d*}(\omega), \quad (12)$$

- The pseudotensor of the response is given by

$$\mu^{abcd}(\omega) = \frac{\pi e^2}{\hbar^2} \int \frac{d^3 k}{8\pi^3} \sum'_{vcv'} \delta(\omega - \omega_{cv}(\mathbf{k}) - \omega_{cv'}(\mathbf{k})) \operatorname{Re} [K_{cc'}^{ab}(\mathbf{k}) (r_{vc'}^c(\mathbf{k}) r_{cv}^d(\mathbf{k}) + (c \leftrightarrow d))] \quad (13)$$

and since  $\mu^{abcd}(\omega)$  is real, we have that  $\mu^{abcd}(\omega) = \mu^{abdc}(\omega)$ .

- Using the closure relation,

$$K_{cc'}^{ab}(\mathbf{k}) = \frac{1}{2} \sum_{l=v,c} (v_{cl}^a(\mathbf{k}) S_{lc'}^b(\mathbf{k}) + S_{cl}^b(\mathbf{k}) v_{lc'}^a(\mathbf{k})). \quad (14)$$

- We define the spin velocity injection (SVI) as

$$\mathcal{V}^{ab}(\omega) \equiv \frac{\dot{K}^{ab}(\omega)}{(\hbar/2)\dot{n}(\omega)}, \quad (15)$$

which gives the velocity, along direction  $\hat{a}$ , at which the spin moves in a polarized state along direction  $\hat{b}$ .

- The carrier injection rate  $\dot{n}(\omega)$  is written as

$$\dot{n}(\omega) = \xi^{ab}(\omega) E^c(\omega) E^{d*}(\omega), \quad (16)$$

where the tensor

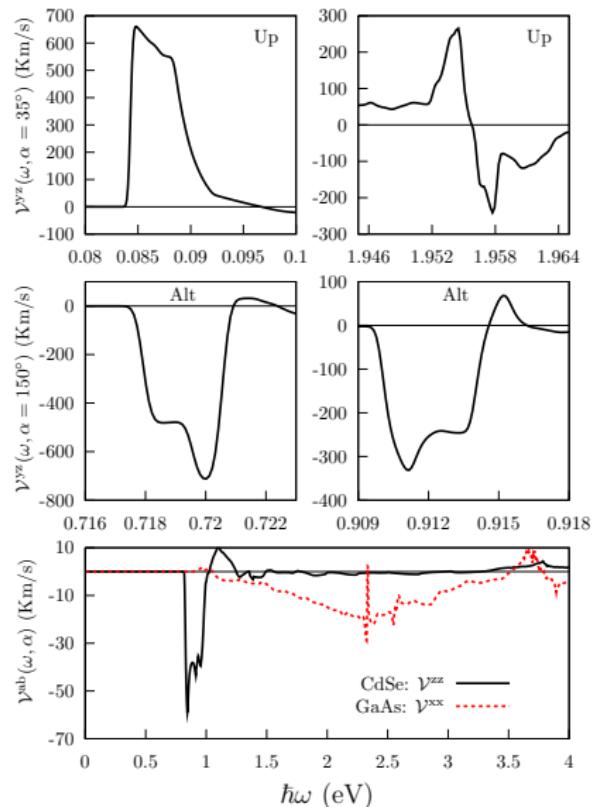
$$\xi^{ab}(\omega) = \frac{2\pi e^2}{\hbar^2} \int \frac{d^3 k}{8\pi^3} \sum_{vc} r_{vc}^a(\mathbf{k}) r_{cv}^b(\mathbf{k}) \delta(\omega - \omega_{cv}(\mathbf{k})) \quad (17)$$

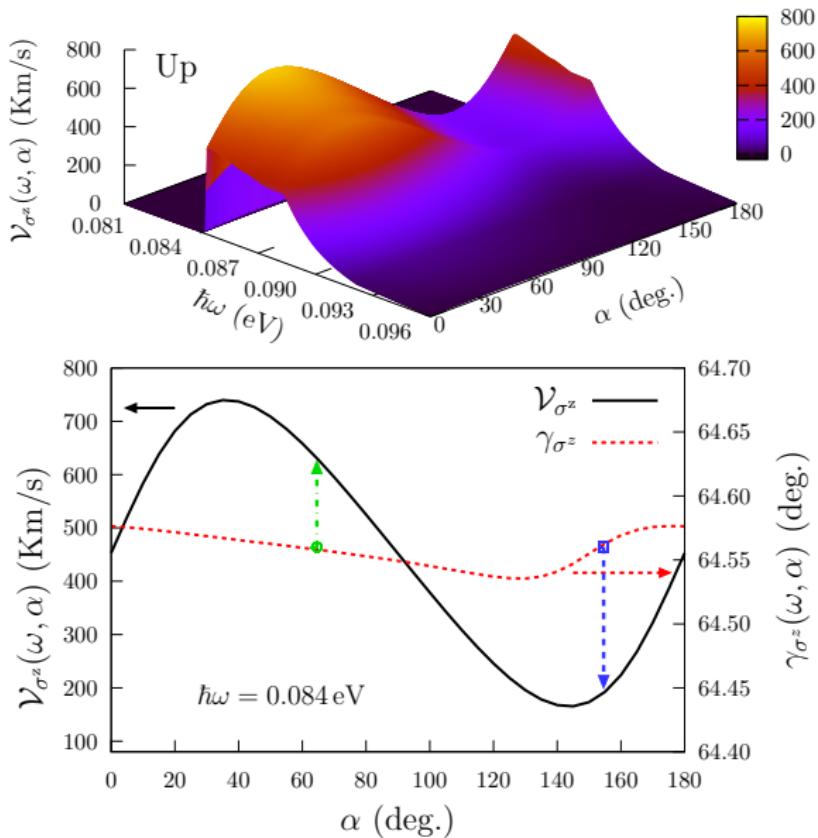
is related to the imaginary part of the linear optical response tensor by  $\text{Im}[\epsilon^{ab}(\omega)] = 2\pi\epsilon_0\hbar\xi^{ab}(\omega)$ .

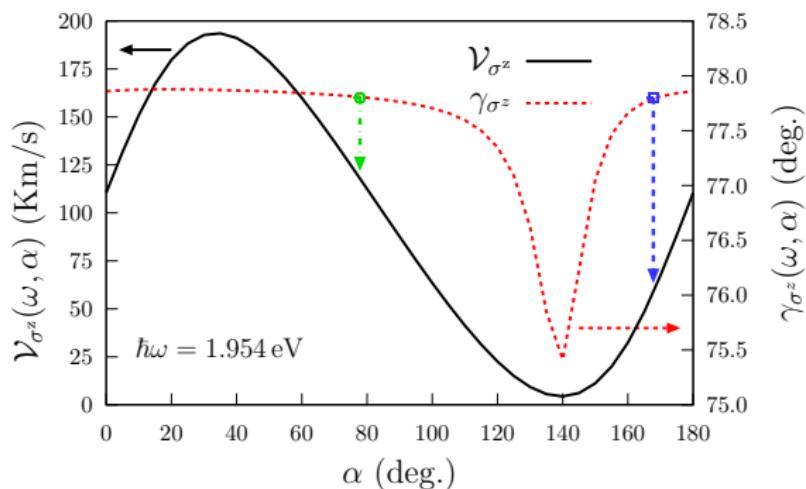
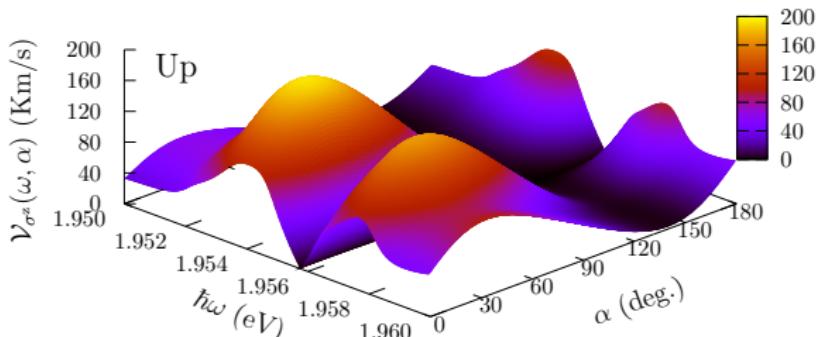
## └ Pure Spin Current Injection in Hydrogenated Graphene Structures

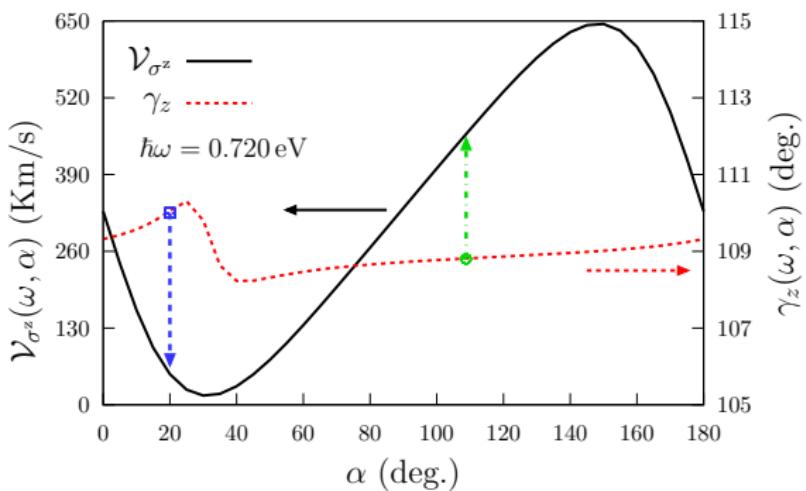
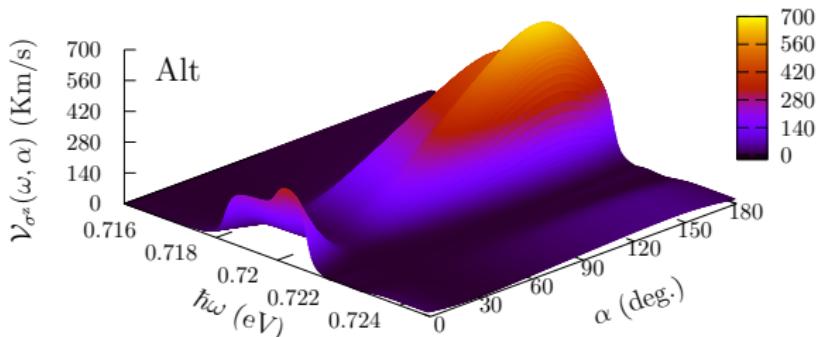
## └ Spin velocity injection

- The function  $\mathcal{V}^{ab}(\omega)$  allows us to quantify two aspects of PSC.
  - Fix the spin along  $\hat{\mathbf{b}}$  and calculate the resulting electron velocity.
  - Fix the velocity of the electron along  $\hat{\mathbf{a}}$  and study the direction along which the spin is polarized.





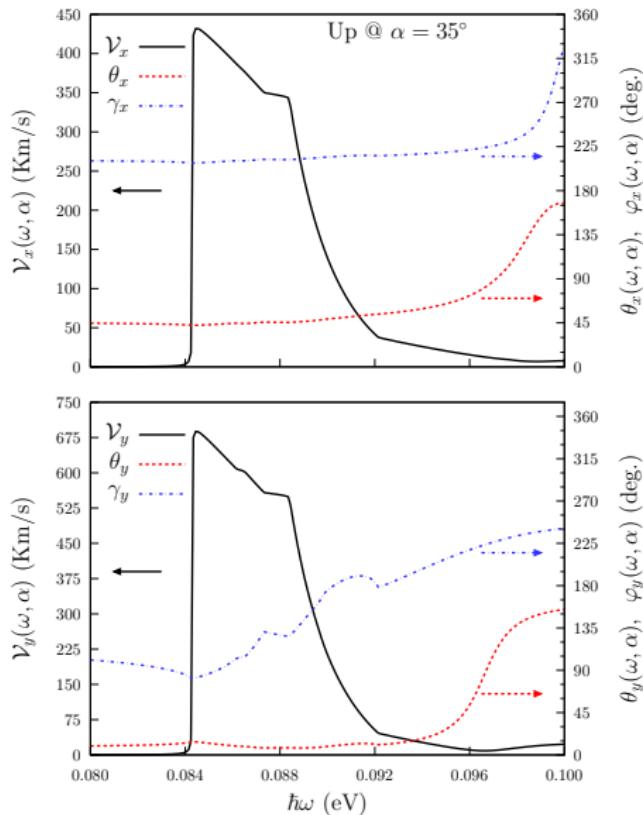




Fixing the electron velocity

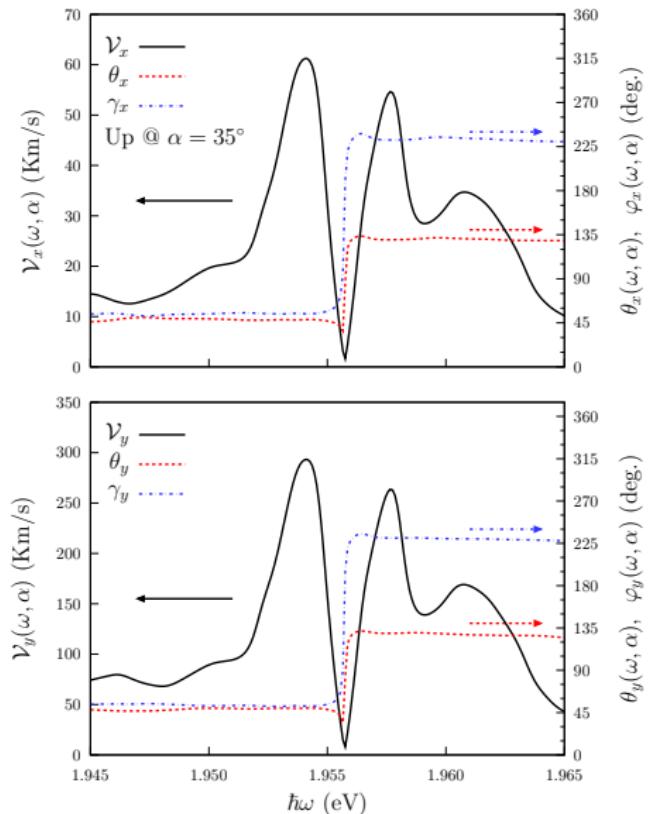
## └ Pure Spin Current Injection in Hydrogenated Graphene Structures

## └ Fixing the electron velocity



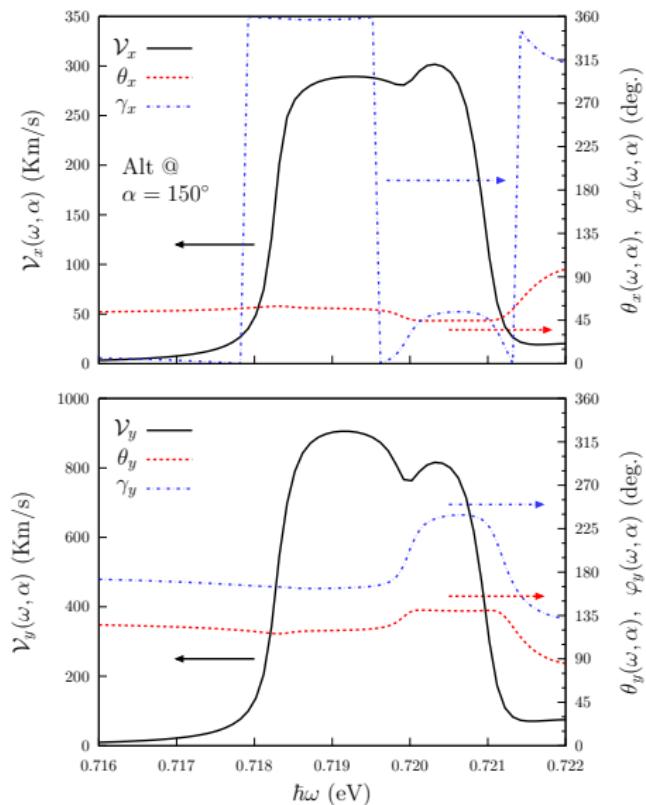
## └ Pure Spin Current Injection in Hydrogenated Graphene Structures

## └ Fixing the electron velocity



## └ Pure Spin Current Injection in Hydrogenated Graphene Structures

## └ Fixing the electron velocity



## Conclusions and perspectives

---

### Conclusions

- We found that the *up* is more spin-polarizable than the *alt*.
- The *up* structure can achieve a larger injection current being the response bigger than other structures but being overcome by the CdSe.
- Both structures are excellent candidates to generate second harmonic, particularly the *up* one.
- It is possible to generate pure-spin currents in our structures; also it is possible to control the spin orientation or the current direction making variations in the angle of the polarization of the incoming beam.

## Perspectives

- Continue the study of this phenomenon in other structures and make new publication:
  - Functionalized hydrogen-boron-nitride-graphene
  - Boron-nitride nanotubes
-