

Pointer Analysis

CS 6340

Introducing Pointers

Example without pointers

```
[x == 1] → x = 1;  
          y = x;  
[y == 1] → assert(y == 1)
```

Same example with pointers

```
x = new Circle();  
x.radius = 1;  
y = x.radius;  
assert(y == 1)
```

Introducing Pointers

Example without pointers

```
[x == 1] → x = 1;  
[y == 1] → y = x;  
[y == 1] → assert(y == 1)
```

Same example with pointers

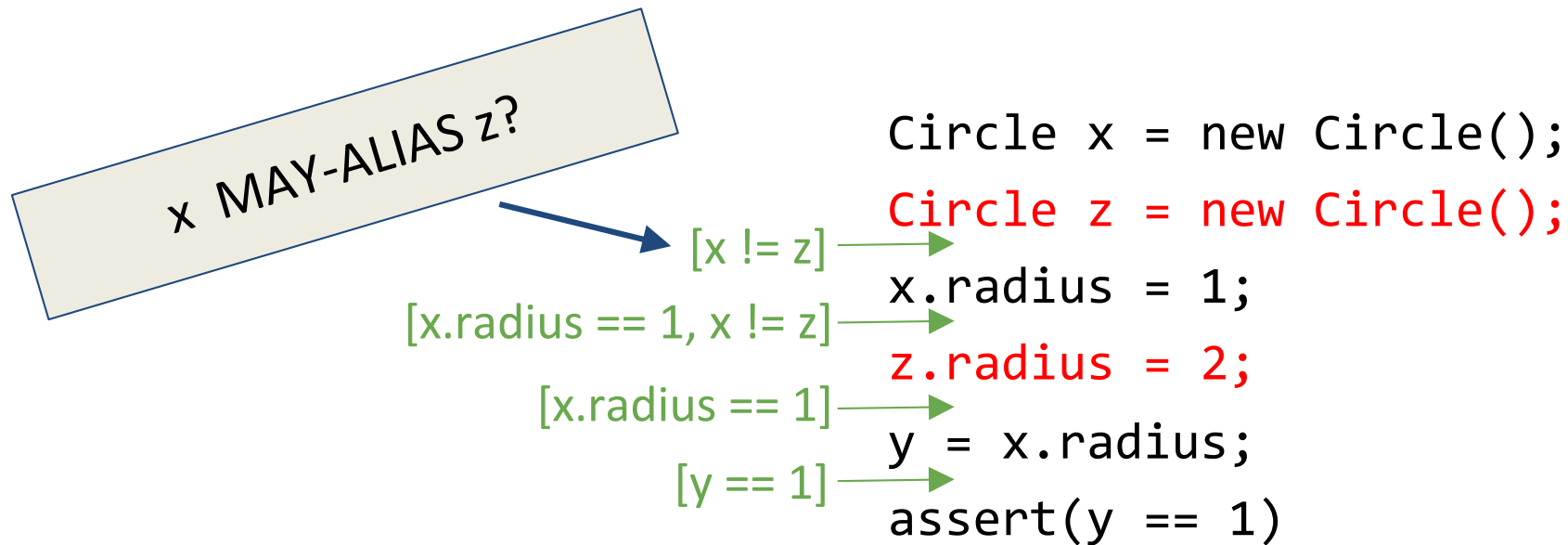
```
x = new Circle();  
x.radius = 1;  
[x.radius == 1] → y = x.radius;  
[y == 1] → assert(y == 1)
```

Pointer Aliasing

- Situation in which same address referred to in different ways

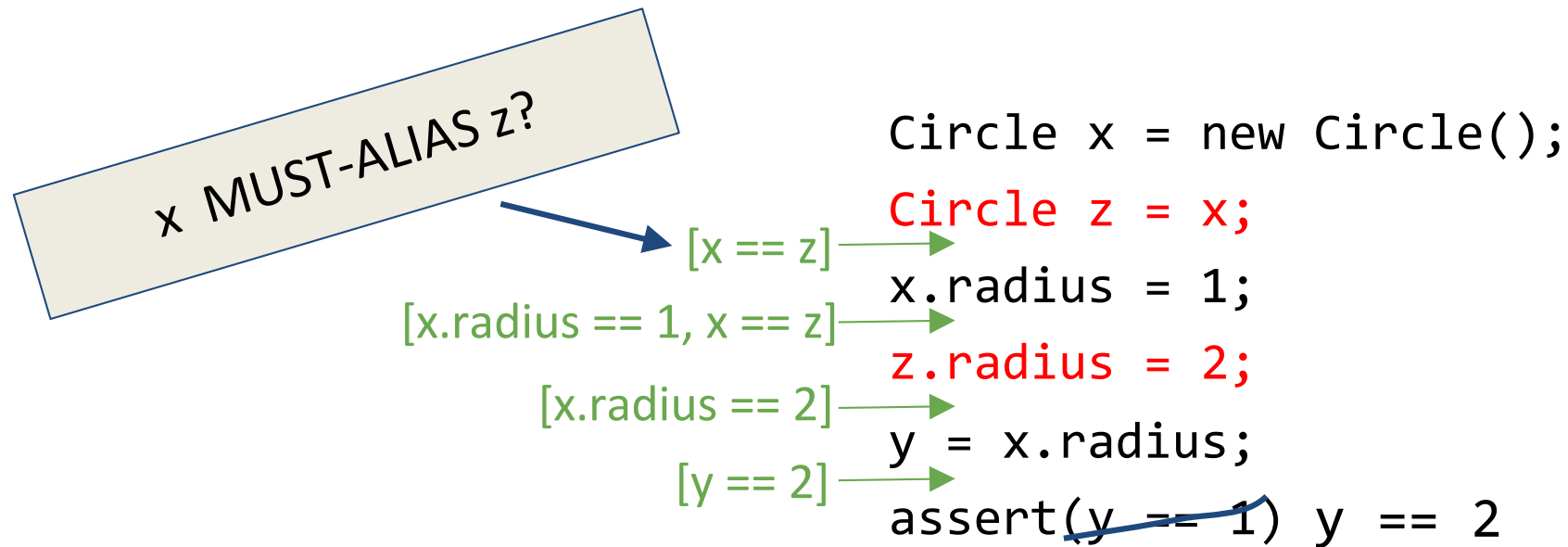
| | | | |
|-----------------|-------------------|-----------------|--------------------------|
| | | | Circle x = new Circle(); |
| | | | Circle z = ? |
| | x = new Circle(); | | x.radius = 1; |
| [x.radius == 1] | → | [x.radius == 1] | → |
| | y = x.radius; | | z.radius = 2; |
| [y == 1] | → | [x.radius == ?] | → |
| | assert(y == 1) | | y = x.radius; |
| | | | assert(y == 1) |

May-Alias Analysis



May-Alias Analysis == Pointer Analysis

Must-Alias Analysis

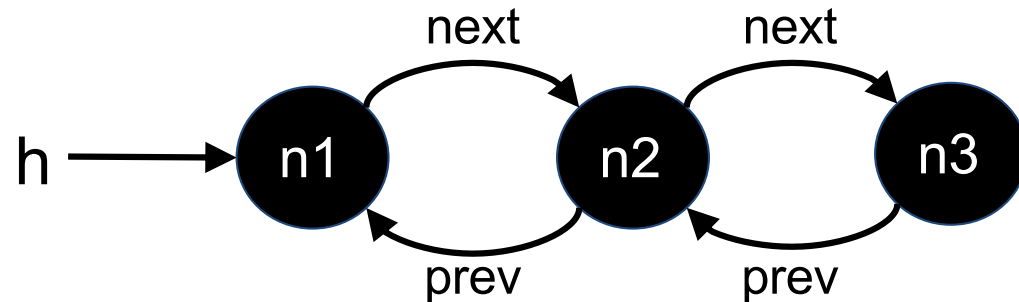


- May-Alias and Must-Alias are dual problems
- Must-Alias more advanced, less useful in practice
- Focus of this Lesson: May-Alias Analysis

Why Is Pointer Analysis Hard?

```
class Node {  
    int data;  
    Node next, prev;  
}
```

```
Node h = null;  
for (...) {  
    Node v = new Node();  
    if (h != null) {  
        v.next = h;  
        h.prev = v;  
    }  
    h = v;  
}
```



`h.data`

`h.next.prev.data`

`h.next.next.prev.prev.data`

`h.next.prev.next.prev.data`

And many more ...

Approximation to the Rescue

- Pointer analysis problem is undecidable

=> We must sacrifice some combination of:
Soundness, Completeness, Termination

- We are going to sacrifice completeness

=> False positives but no false negatives

What False Positives Mean

x MAY-ALIAS z?

| | | |
|-------------------------|--------------------------|-------------------------------------|
| | Circle x = new Circle(); | |
| | Circle z = new Circle(); | |
| No | | Yes |
| [x != z] | → x.radius = 1; | ← [x == z or x != z] |
| [x.radius == 1, x != z] | → z.radius = 2; | ← [x.radius == 1, x == z or x != z] |
| [x.radius == 1] | → y = x.radius; | ← [x.radius == 1 or x.radius == 2] |
| [y == 1] | → assert(y == 1) | ← [y == 1 or y == 2] |

False Positive!

Pointer analysis answers questions of form: MayAlias(x, z)?

No => x and z are not aliased in any run

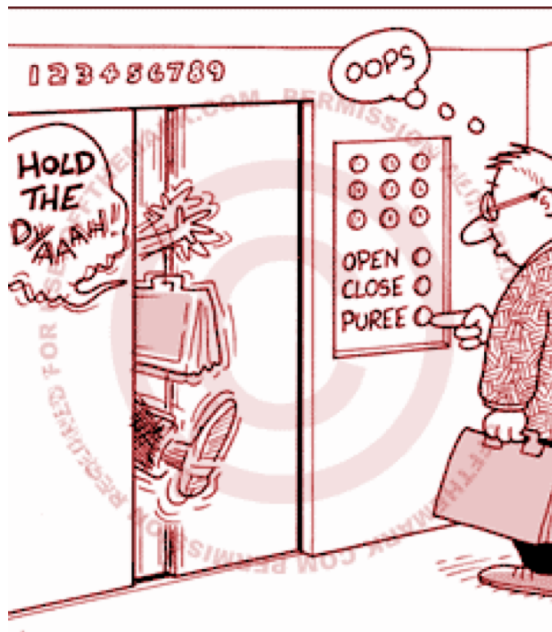
Yes => Can't tell if x and z are aliased in some run

Approximation to the Rescue

- Many sound approximate algorithms for pointer analysis
- Varying levels of precision
- Differ in two key aspects:
 - How to abstract the **heap** (i.e. dynamically allocated data)
 - How to abstract control-flow

Example Java Program

```
class Elevator {  
    Object[] floors;  
    Object[] events;  
}
```

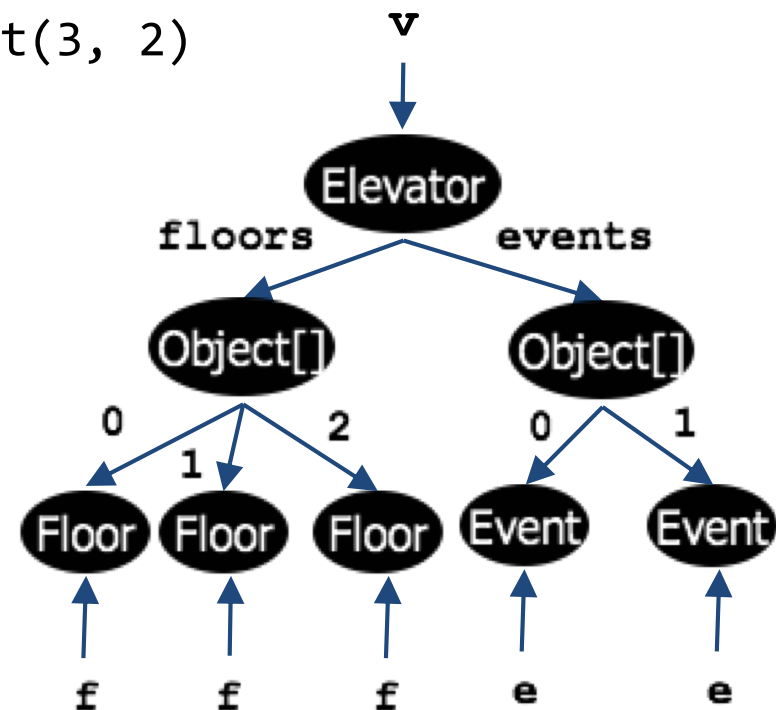


```
void doit(int M, int N) {  
    Elevator v = new Elevator();  
  
    v.floors = new Object[M];  
    v.events = new Object[N];  
  
    for (int i = 0; i < M; i++) {  
        Floor f = new Floor();  
        v.floors[i] = f;  
    }  
  
    for (int i = 0; i < N; i++) {  
        Event e = new Event();  
        v.events[i] = e;  
    }  
}
```

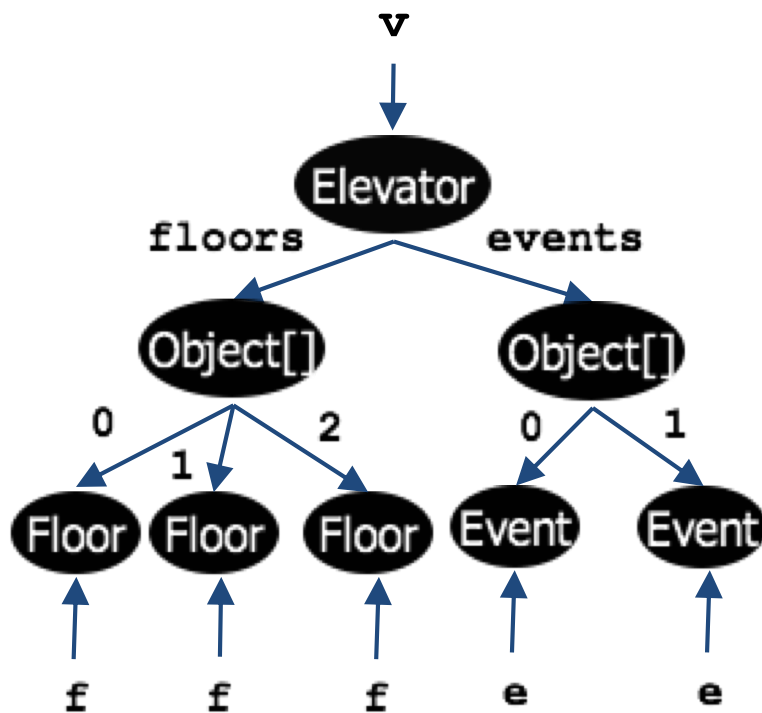
A Run of the Program

```
void doit(int M, int N) {  
    Elevator v = new Elevator();  
  
    v.floors = new Object[M];  
    v.events = new Object[N];  
  
    for (int i = 0; i < M; i++) {  
        Floor f = new Floor();  
        v.floors[i] = f;  
    }  
  
    for (int i = 0; i < N; i++) {  
        Event e = new Event();  
        v.events[i] = e;  
    }  
}
```

doit(3, 2)

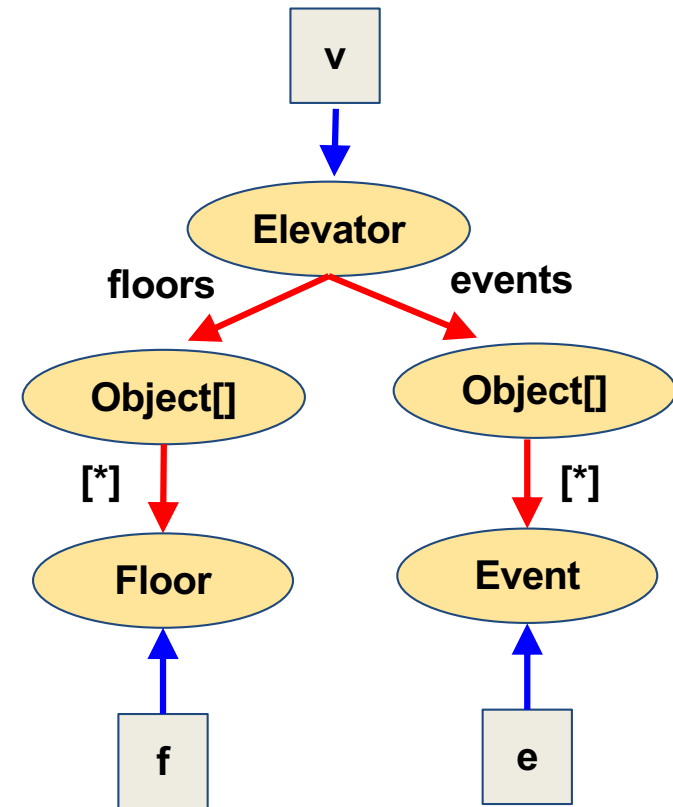
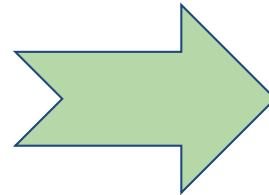
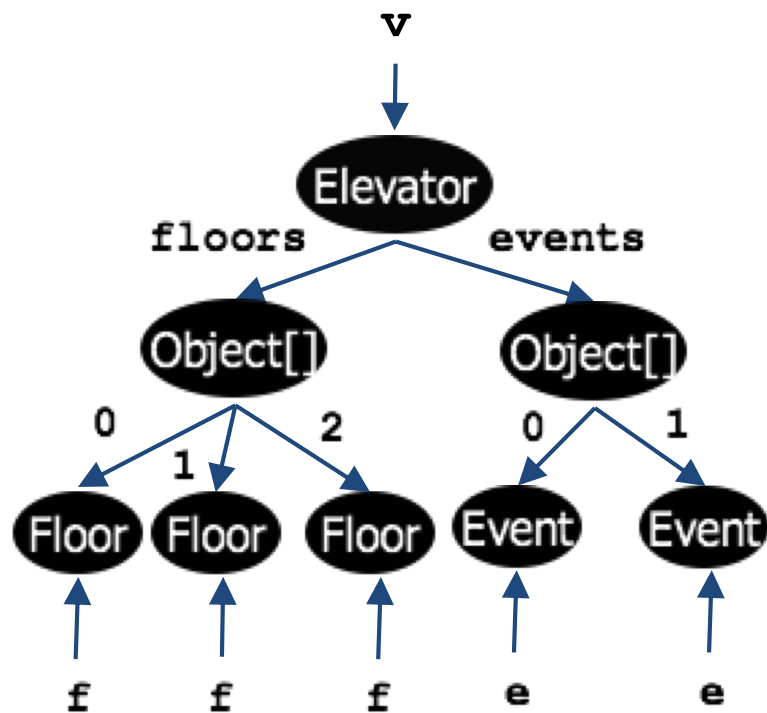


Abstracting the Heap



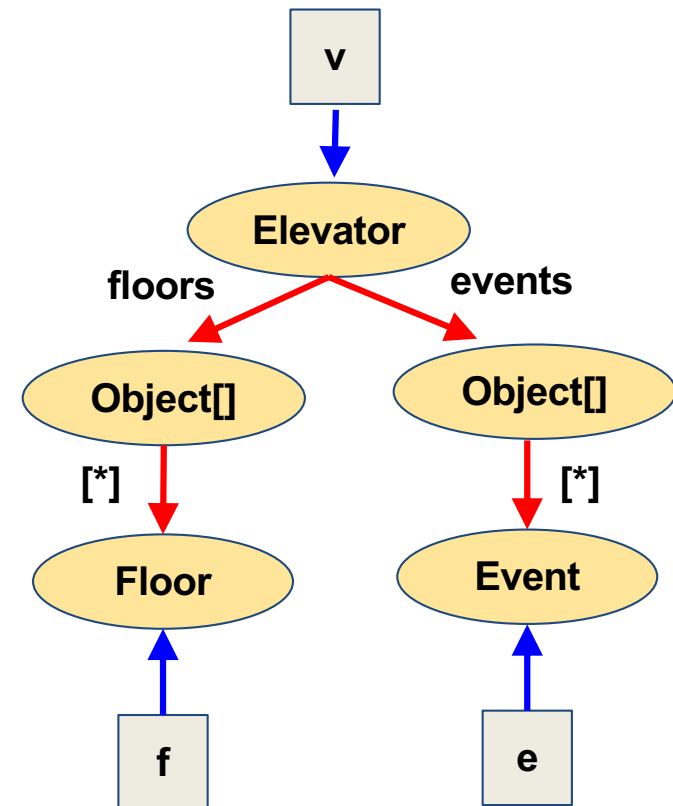
```
void doit(int M, int N) {  
    Elevator v = new Elevator();  
  
    v.floors = new Object[M];  
    v.events = new Object[N];  
  
    for (int i = 0; i < M; i++) {  
        Floor f = new Floor();  
        v.floors[i] = f;  
    }  
  
    for (int i = 0; i < N; i++) {  
        Event e = new Event();  
        v.events[i] = e;  
    }  
}
```

Result of Heap Abstraction: Points-to Graph



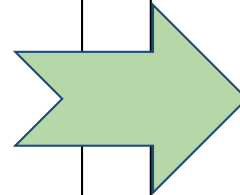
Abstracting Control-Flow

```
void doit(int M, int N) {  
    Elevator v = new Elevator();  
  
    v.floors = new Object[M];  
    v.events = new Object[N];  
  
    for (int i = 0; i < M; i++) {  
        Floor f = new Floor();  
        v.floors[i] = f;  
    }  
  
    for (int i = 0; i < N; i++) {  
        Event e = new Event();  
        v.events[i] = e;  
    }  
}
```



Flow Insensitivity

```
void doit(int M, int N) {  
    Elevator v = new Elevator();  
  
    v.floors = new Object[M];  
    v.events = new Object[N];  
  
    for (int i = 0; i < M; i++) {  
        Floor f = new Floor();  
        v.floors[i] = f;  
    }  
  
    for (int i = 0; i < N; i++) {  
        Event e = new Event();  
        v.events[i] = e;  
    }  
}
```



```
void doit(int M, int N) {  
    v = new Elevator  
  
    v.floors = new Object[]  
    v.events = new Object[]  
  
    f = new Floor  
    v.floors[*] = f  
  
    e = new Event  
    v.events[*] = e  
}
```


Chaotic Iteration Algorithm

graph = empty

repeat:

 for (each statement *s* in set)

 apply rule corresponding to *s* on graph

until graph stops changing

Kinds of Statements

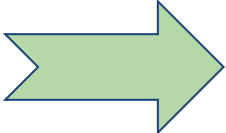
(statement) $s ::= v = \text{new } \dots \mid v = v2 \mid v2 = v.f \mid$
 $v.f = v2 \mid v2 = v[*] \mid v[*] = v2$

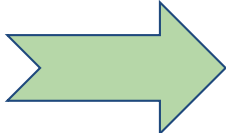
(pointer-type variable) v

(pointer-type field) f

Is This Grammar Enough?

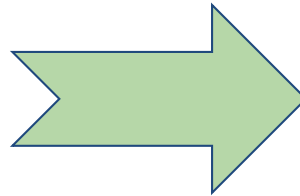
| | | | | | |
|--------------------------|--|------------------------|--|------------------------|--|
| <code>v = new ...</code> | | <code>v = v2</code> | | <code>v2 = v.f</code> | |
| <code>v.f = v2</code> | | <code>v2 = v[*]</code> | | <code>v[*] = v2</code> | |

| | | |
|--------------------------------------|---|--|
| <code>v.events = new Object[]</code> |  | <code>tmp = new Object[]</code> <code>v.events = tmp</code> |
|--------------------------------------|---|--|

| | | |
|------------------------------|---|--|
| <code>v.events[*] = e</code> |  | <code>tmp = v.events</code> <code>tmp[*] = e</code> |
|------------------------------|---|--|

Example Program in Normal Form

```
void doit(int M, int N) {  
    v = new Elevator  
  
    v.floors = new Object[]  
    v.events = new Object[]  
  
    f = new Floor  
    v.floors[*] = f  
  
    e = new Event  
    v.events[*] = e  
}
```



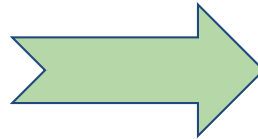
```
void doit(int M, int N) {  
    v = new Elevator  
  
    tmp1 = new Object[]  
    v.floors = tmp1  
    tmp2 = new Object[]  
    v.events = tmp2  
  
    f = new Floor  
    tmp3 = v.floors  
    tmp3[*] = f  
  
    e = new Event  
    tmp4 = v.events  
    tmp4[*] = e  
}
```

QUIZ: Normal Form of Programs

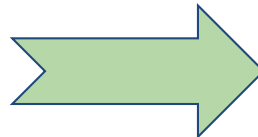
| | | | | | |
|-------------------------|--|-------------|--|-------------|--|
| $v = \text{new } \dots$ | | $v = v2$ | | $v2 = v.f$ | |
| $v.f = v2$ | | $v2 = v[*]$ | | $v[*] = v2$ | |

Convert each of these two expressions to normal form:

$v1.f = v2.f$



$v1.f.g = v2.h$

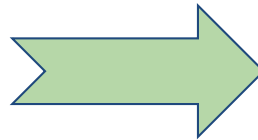


QUIZ: Normal Form of Programs

| | | | | | |
|-------------------------|--|-------------|--|-------------|--|
| $v = \text{new } \dots$ | | $v = v2$ | | $v2 = v.f$ | |
| $v.f = v2$ | | $v2 = v[*]$ | | $v[*] = v2$ | |

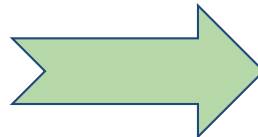
Convert each of these two expressions to normal form:

$v1.f = v2.f$



$\text{tmp} = v2.f$
 $v1.f = \text{tmp}$

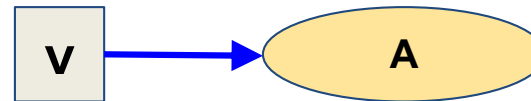
$v1.f.g = v2.h$



$\text{tmp1} = v1.f$
 $\text{tmp2} = v2.h$
 $\text{tmp1.g} = \text{tmp2}$

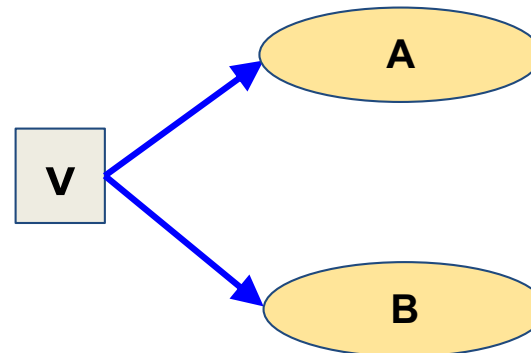
Rule for Object Allocation Sites

Before:



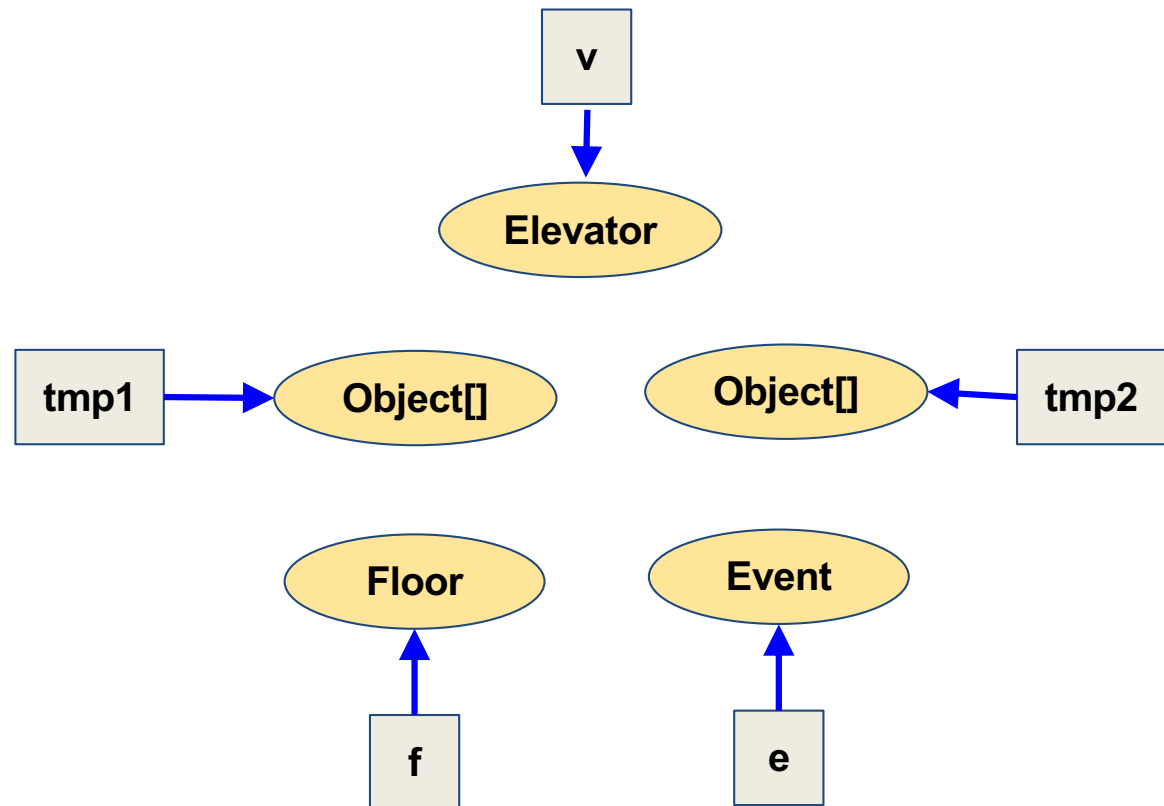
v = new B

After:



Rule for Object Allocation Sites: Example

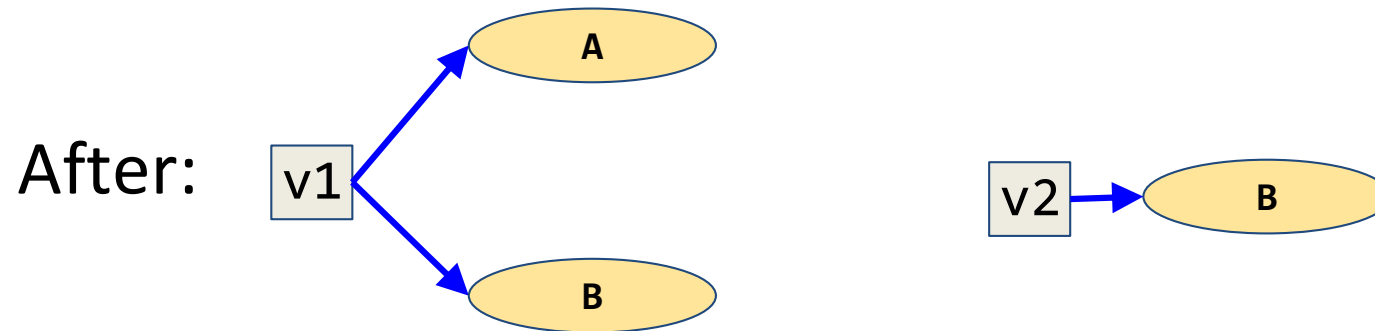
```
void doit(int M, int N) {  
    v = new Elevator  
  
    tmp1 = new Object[]  
    v.floors = tmp1  
    tmp2 = new Object[]  
    v.events = tmp2  
  
    f = new Floor  
    tmp3 = v.floors  
    tmp3[*] = f  
  
    e = new Event  
    tmp4 = v.events  
    tmp4[*] = e  
}
```



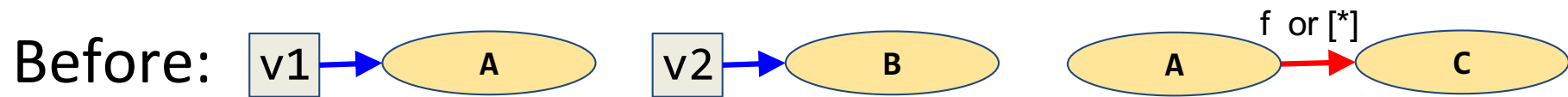
Rule for Object Copy



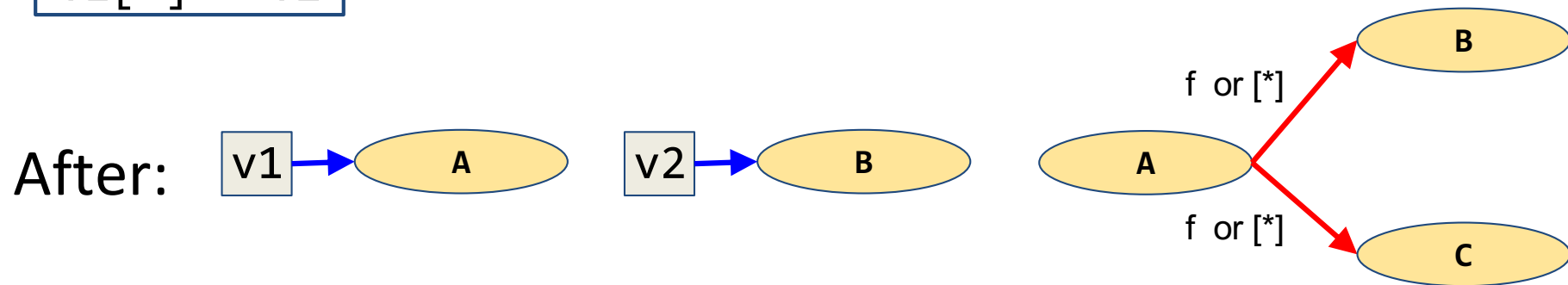
$v1 = v2$



Rule for Field Writes

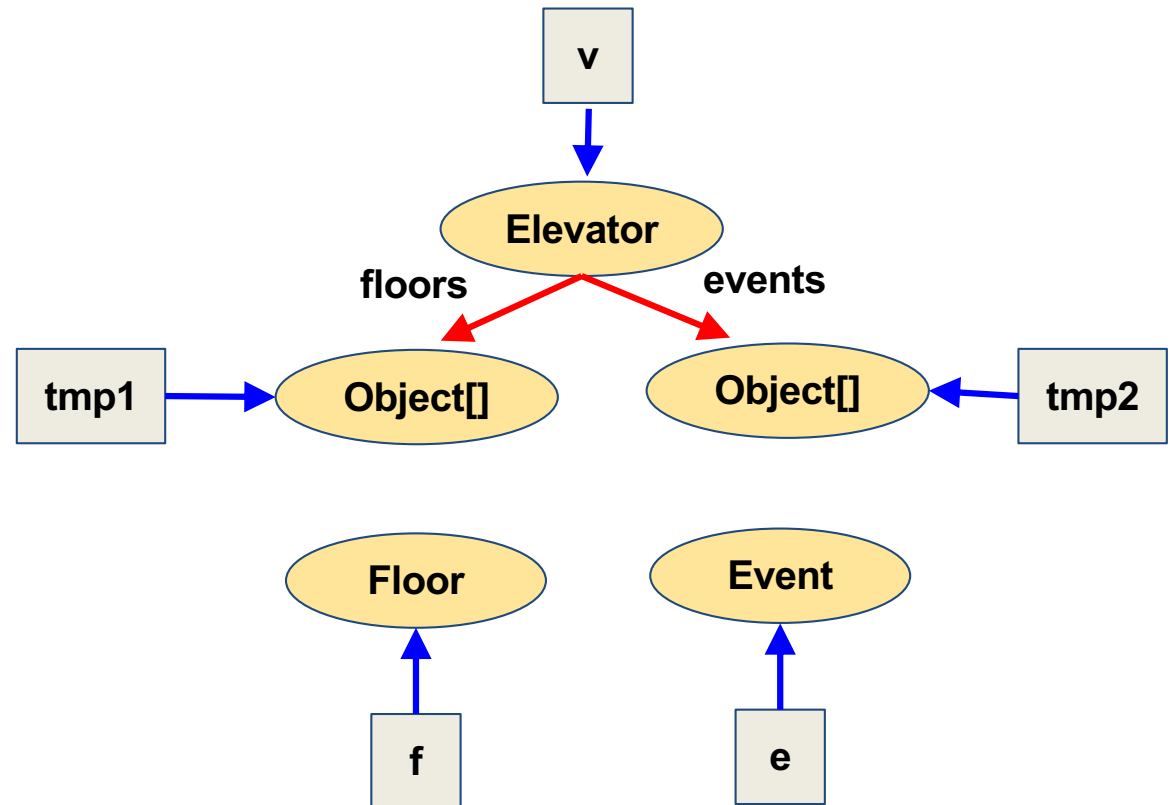


$v1 . f = v2$
or
 $v1[*] = v2$

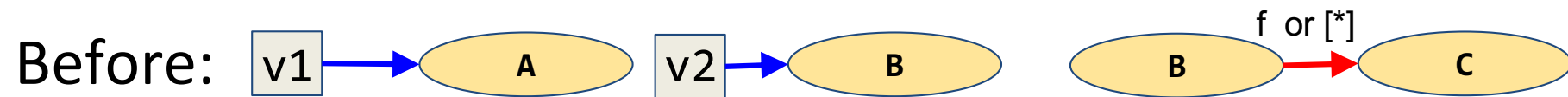


Rule for Field Writes: Example

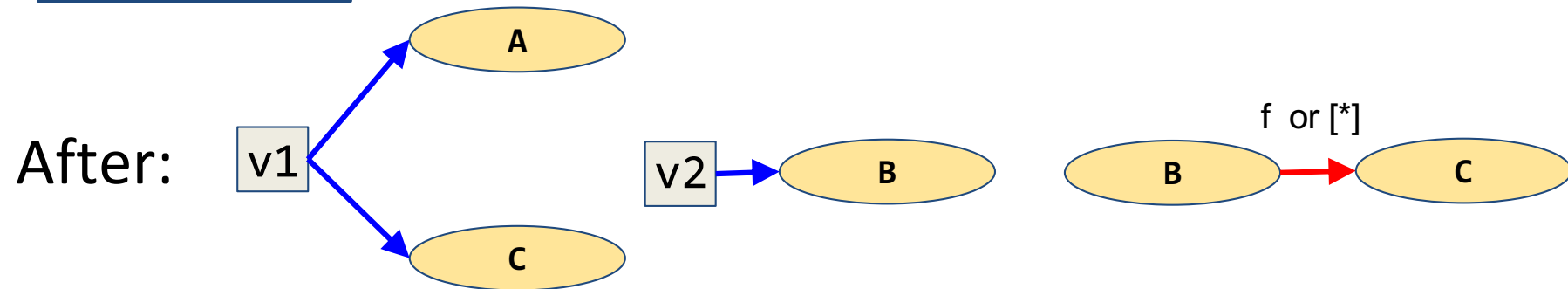
```
void doit(int M, int N) {  
    v = new Elevator  
  
    tmp1 = new Object[]  
    v.floors = tmp1  
    tmp2 = new Object[]  
    v.events = tmp2  
  
    f = new Floor  
    tmp3 = v.floors  
    tmp3[*] = f  
  
    e = new Event  
    tmp4 = v.events  
    tmp4[*] = e  
}
```



Rule for Field Reads

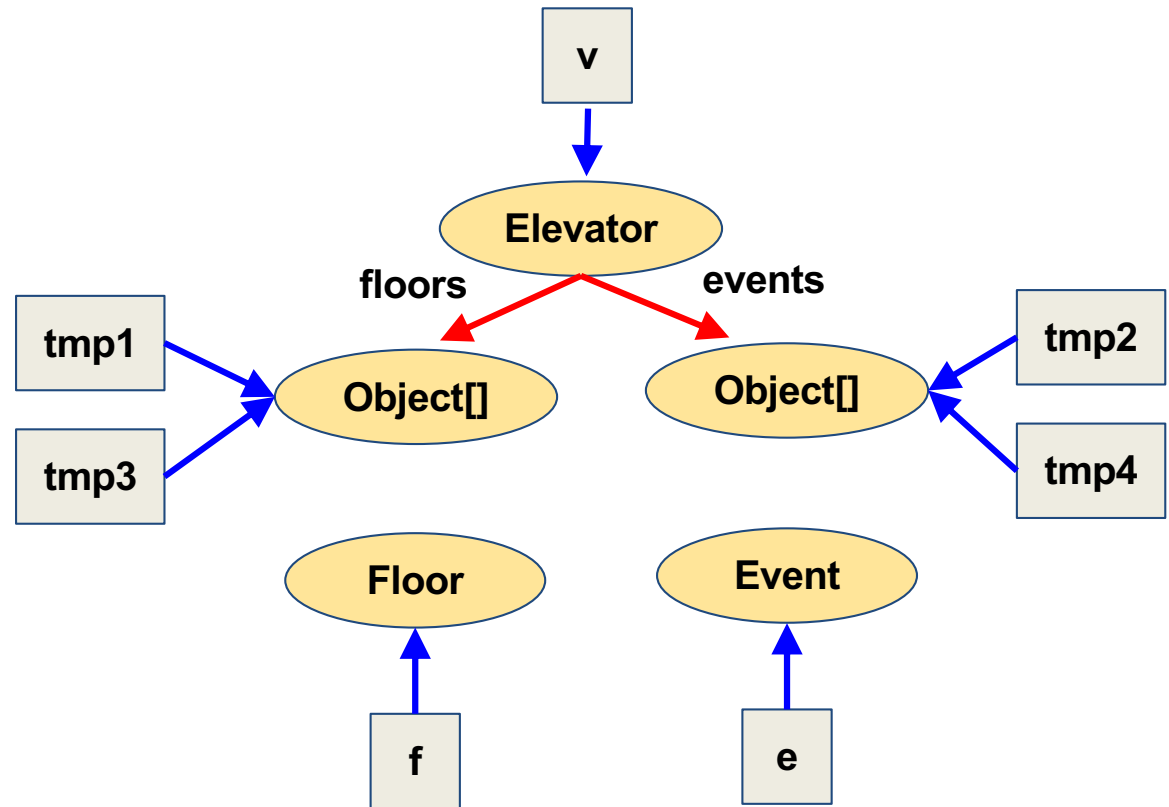


$v1 = v2.f$
or
 $v1 = v2[*]$



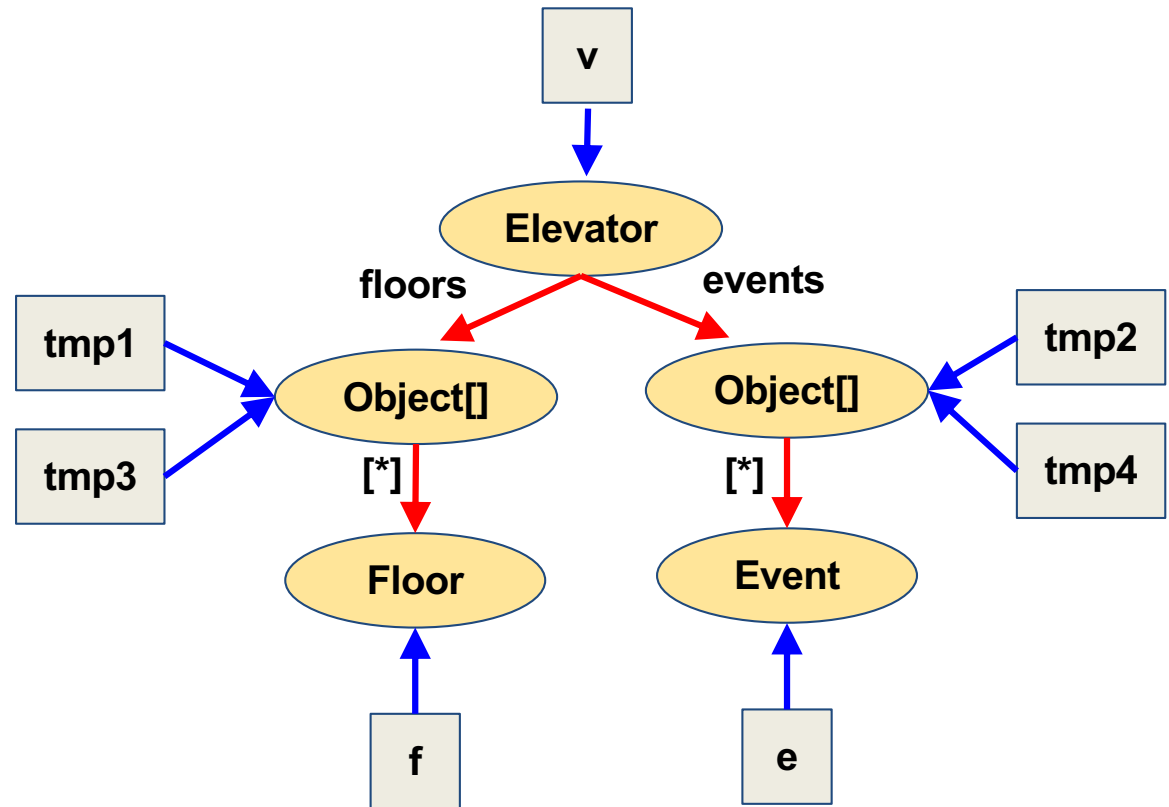
Rule for Field Reads: Example

```
void doit(int M, int N) {  
    v = new Elevator  
  
    tmp1 = new Object[]  
    v.floors = tmp1  
    tmp2 = new Object[]  
    v.events = tmp2  
  
    f = new Floor  
    tmp3 = v.floors  
    tmp3[*] = f  
  
    e = new Event  
    tmp4 = v.events  
    tmp4[*] = e  
}
```



Continuing the Pointer Analysis: Example

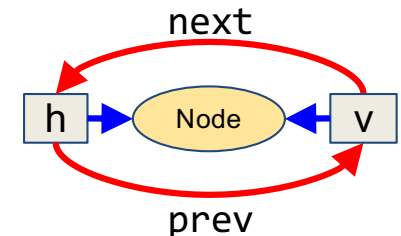
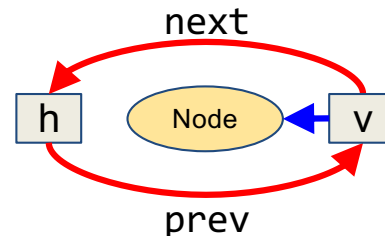
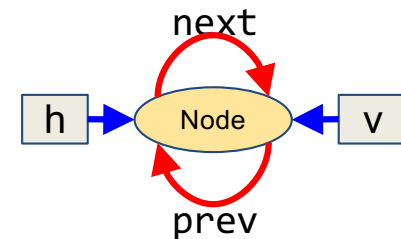
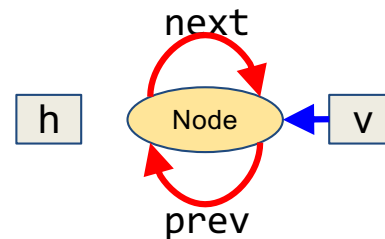
```
void doit(int M, int N) {  
    v = new Elevator  
  
    tmp1 = new Object[]  
    v.floors = tmp1  
    tmp2 = new Object[]  
    v.events = tmp2  
  
    f = new Floor  
    tmp3 = v.floors  
    tmp3[*] = f  
  
    e = new Event  
    tmp4 = v.events  
    tmp4[*] = e  
}
```



QUIZ: Pointer Analysis Example

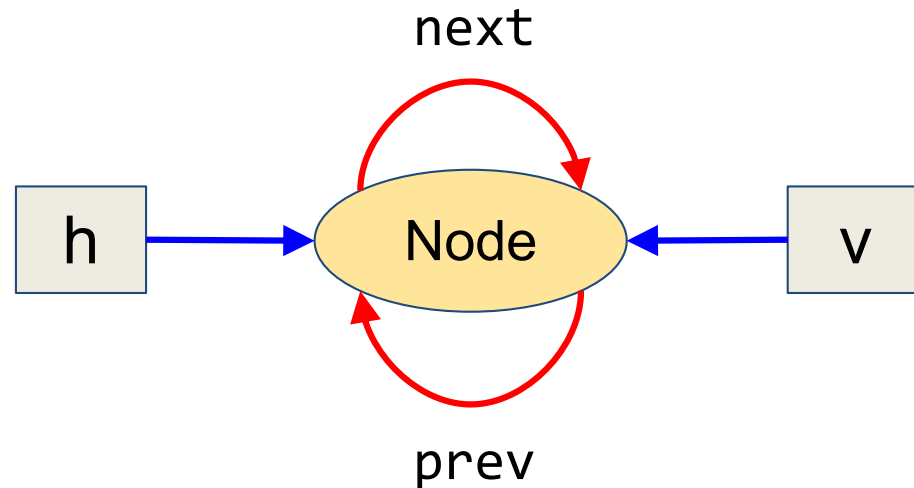
```
class Node {  
    int data;  
    Node next, prev;  
}  
  
Node h = null;  
for (...) {  
    Node v = new Node();  
    if (h != null) {  
        v.next = h;  
        h.prev = v;  
    }  
    h = v;  
}
```

Choose the points-to graph for the shown program.



QUIZ: Pointer Analysis Example

```
class Node {  
    int data;  
    Node next, prev;  
}  
  
Node h = null;  
for (...) {  
    Node v = new Node();  
    if (h != null) {  
        v.next = h;  
        h.prev = v;  
    }  
    h = v;  
}
```



Classifying Pointer Analysis Algorithms

- Is it flow-sensitive?
- Is it context-sensitive?
- What heap abstraction scheme is used?
- How are aggregate data types modeled?

Flow Sensitivity

- How to model control-flow **within** a procedure
- Two kinds: flow-insensitive vs. flow-sensitive
- Flow-insensitive == **weak updates**
 - Suffices for may-alias analysis
- Flow-sensitive == **strong updates**
 - Required for must-alias analysis

Context Sensitivity

- How to model control-flow **across** procedures
- Two kinds: context-insensitive vs. context-sensitive
- Context-insensitive: analyze each procedure once
- Context-sensitive: analyze each procedure possibly multiple times, once per abstract calling context

Heap Abstraction

- Scheme to partition unbounded set of concrete objects into finitely many **abstract objects** (oval nodes in points-to graph)
- Ensures that pointer analysis terminates
- Many sound schemes, varying in precision & efficiency
 - Too few abstract objects => efficient but imprecise
 - Too many abstract objects => expensive but precise

Scheme #1: Allocation-Site Based

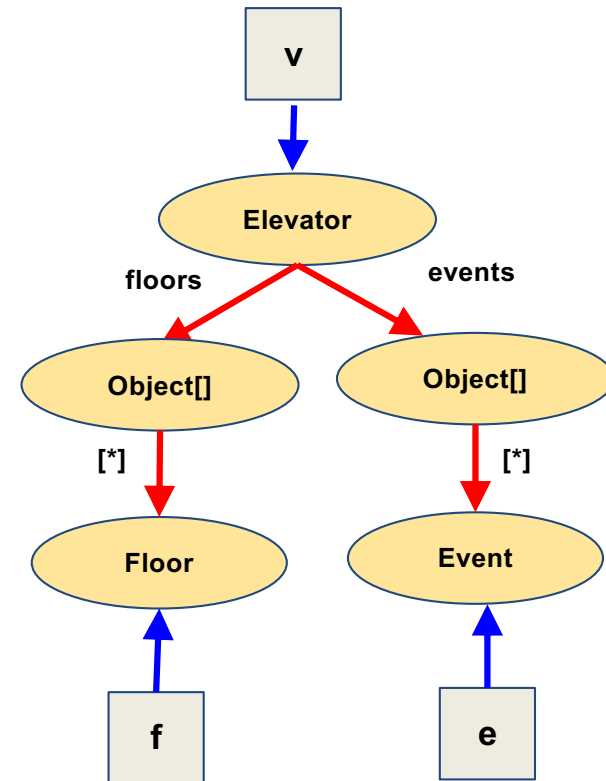
One abstract object per **allocation site**

Allocation site identified by:

- **new** keyword in Java/C++
- **malloc()** call in C

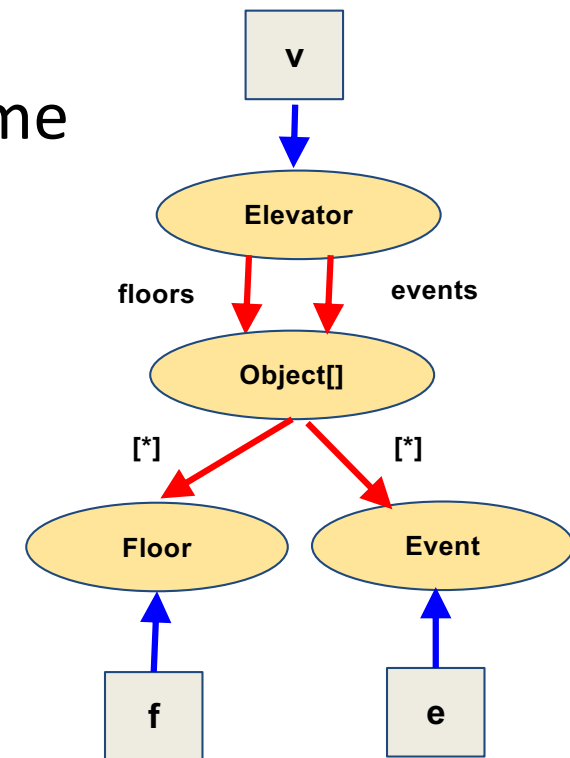
Finitely many allocation sites in a program

=> finitely many abstract objects



Scheme #2: Type Based

- Allocation-site based scheme can be costly
 - Large programs
 - Clients needing quick turnaround time
 - Overly fine granularity of sites
- One abstract object per **type**
- Finitely many types in a program
=> finitely many abstract objects

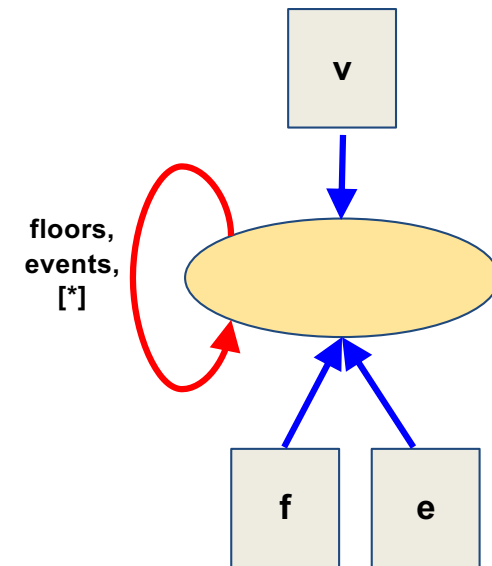


Scheme #3: Heap-Insensitive

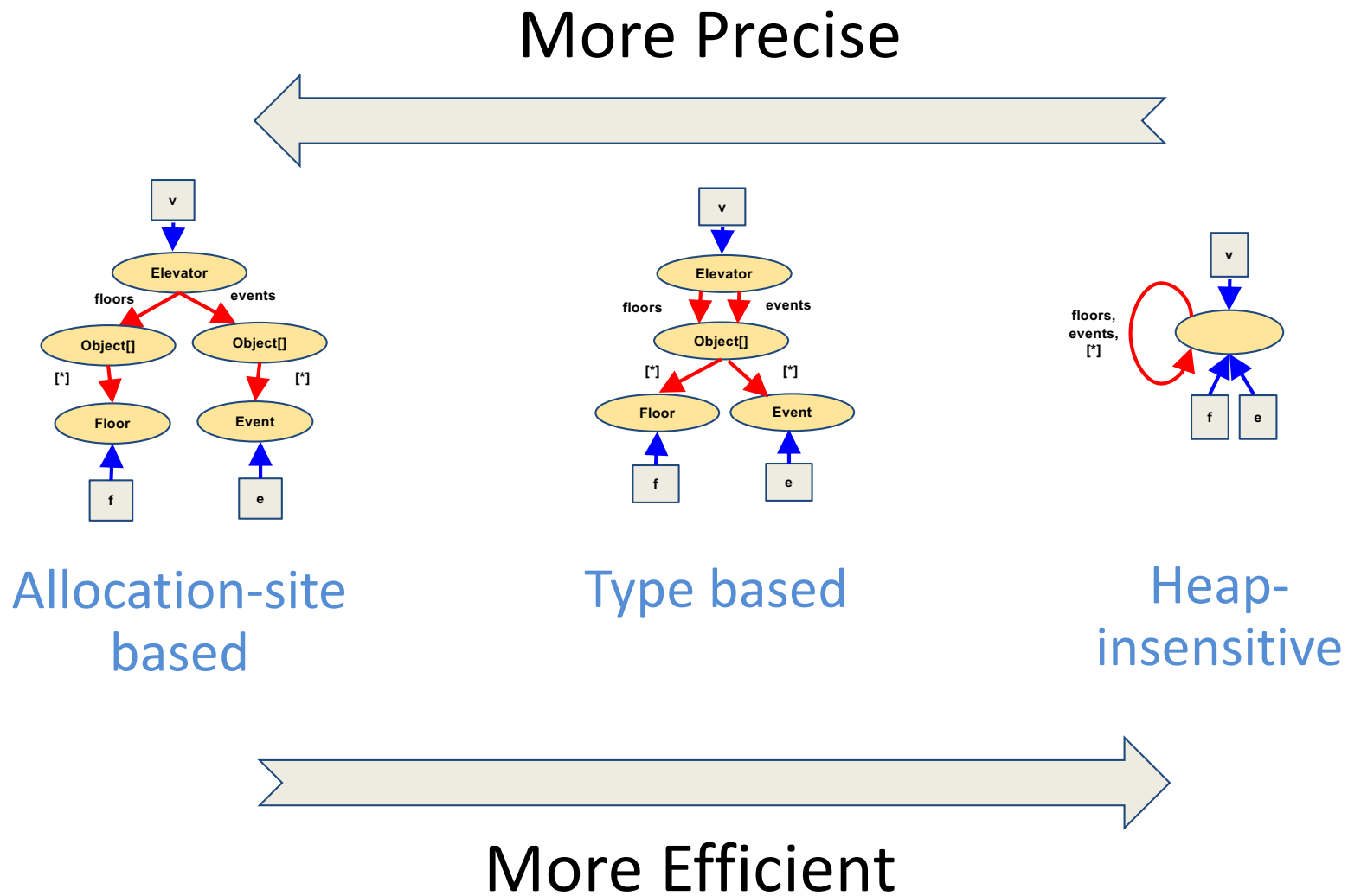
Single abstract object representing entire heap

Popular for languages with primarily stack-directed pointers (e.g. C)

Unsuitable for languages with only heap-directed pointers (e.g. Java)



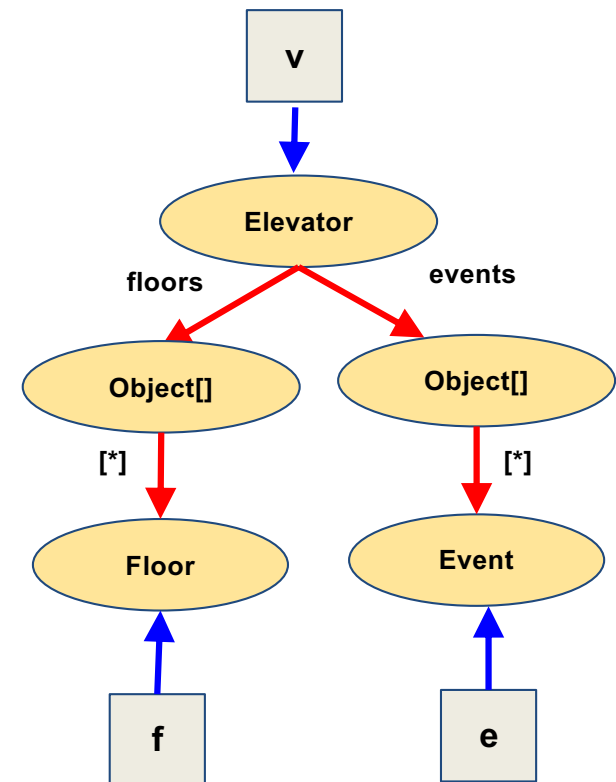
Tradeoffs in Heap Abstraction Schemes



QUIZ: May-Alias Analysis

Do the expression pairs may-alias under these two pointer analyses?

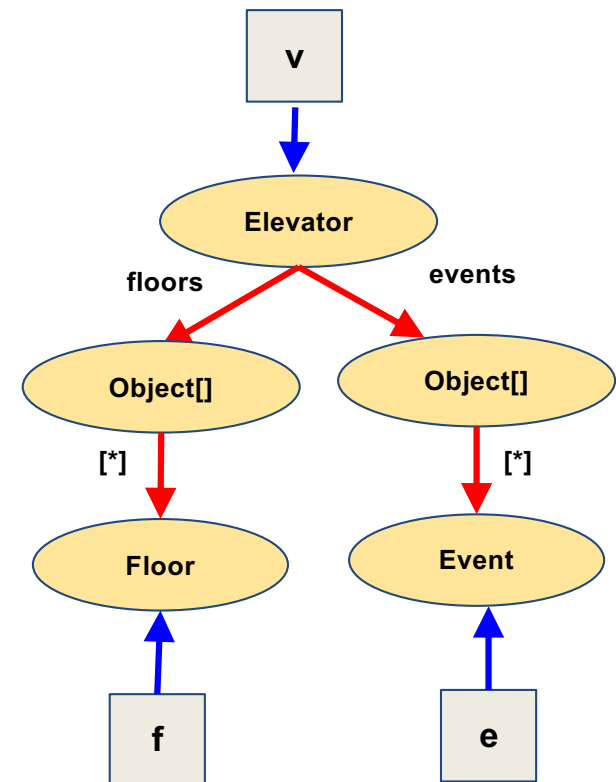
| May-Alias? | Allocation-Site Based | Type Based |
|--------------------------|-----------------------|------------|
| e, f | No | |
| v.floors, v.events | | |
| v.floors[0], v.events[0] | | |
| v.events[0], v.events[2] | Yes | |



QUIZ: May-Alias Analysis

Do the expression pairs may-alias under these two pointer analyses?

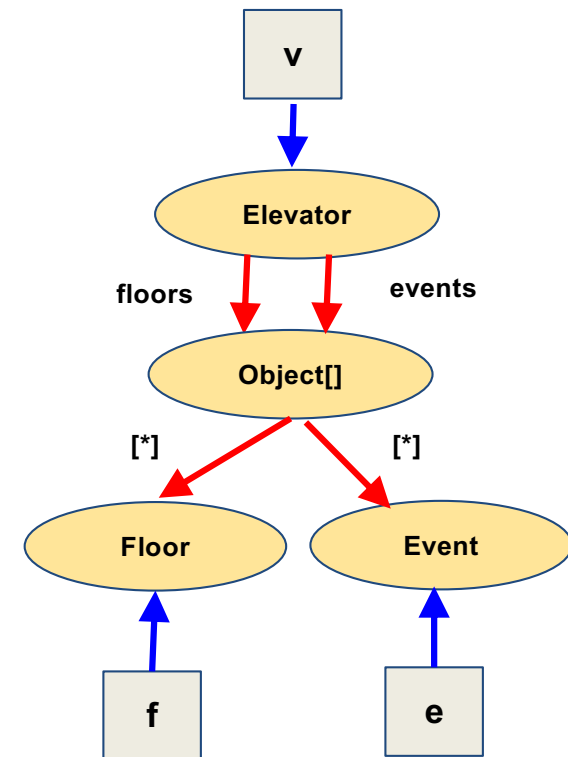
| May-Alias? | Allocation-Site Based | Type Based |
|--------------------------|-----------------------|------------|
| e, f | No | |
| v.floors, v.events | No | |
| v.floors[0], v.events[0] | No | |
| v.events[0], v.events[2] | Yes | |



QUIZ: May-Alias Analysis

Do the expression pairs may-alias under these two pointer analyses?

| May-Alias? | Allocation-Site Based | Type Based |
|---------------------------------------|-----------------------|------------|
| <code>e, f</code> | No | No |
| <code>v.floors, v.events</code> | No | Yes |
| <code>v.floors[0], v.events[0]</code> | No | Yes |
| <code>v.events[0], v.events[2]</code> | Yes | Yes |



Modeling Aggregate Data Types: Arrays

- Common choice: single field `[*]` to represent all array elements
 - Cannot distinguish different elements of same array
- More sophisticated representations that make such distinctions are employed by array dependence analyses
 - Used to parallelize sequential loops by parallelizing compilers

Modeling Aggregate Data Types: Records

Three choices:

1. **Field-insensitive:** merge **all** fields of **each** record object
2. **Field-based:** merge **each** field of **all** record objects
3. **Field-sensitive:** keep **each** field of **each** (abstract) record object separate

| | f1 | f2 |
|----|----|----|
| a1 | | |
| a2 | | |

| | f1 | f2 |
|----|----|----|
| a1 | | |
| a2 | | |

| | f1 | f2 |
|----|----|----|
| a1 | | |
| a2 | | |

QUIZ: Pointer Analysis Classification

Classify the pointer analysis algorithm we learned in this lesson.

| | | | |
|----------------------------------|--|--------------------------|---------------|
| Flow-sensitive? | | A. Yes | B. No |
| Context-sensitive? | | A. Yes | B. No |
| Distinguishes fields of object? | | A. Yes | B. No |
| Distinguishes elements of array? | | A. Yes | B. No |
| What kind of heap abstraction? | | A. Allocation-site based | B. Type based |

QUIZ: Pointer Analysis Classification

Classify the pointer analysis algorithm we learned in this lesson.

| | |
|----------------------------------|---|
| Flow-sensitive? | B |
| Context-sensitive? | B |
| Distinguishes fields of object? | A |
| Distinguishes elements of array? | B |
| What kind of heap abstraction? | A |

A. Yes B. No

A. Yes B. No

A. Yes B. No

A. Yes B. No

A. Allocation-
site based B. Type
based

What Have We Learned?

- What is pointer analysis?
- May-alias analysis vs. must-alias analysis
- Points-to graphs
- Working of a pointer analysis algorithm
- Classifying pointer analyses: flow sensitivity, context sensitivity, heap abstraction, aggregate modeling