#### 07 - Lexical Analysis

Dr. Robert Lowe

Division of Mathematics and Computer Science Maryville College





#### Outline

- Lexical Analysis
- Regular Expressions
- 3 Tokenization
- 4 L++





#### Outline

- Lexical Analysis
- Regular Expressions
- 3 Tokenization
- 4 L++





• The lexical analyzer, or **lexer**, processes the micro-syntax of a language.





- The lexical analyzer, or lexer, processes the micro-syntax of a language.
- The lexer converts sequences of terminals into lexemes.





- The lexical analyzer, or lexer, processes the micro-syntax of a language.
- The lexer converts sequences of terminals into lexemes.
- A lexeme is the basic building block of a language.





- The lexical analyzer, or lexer, processes the micro-syntax of a language.
- The lexer converts sequences of terminals into lexemes.
- A lexeme is the basic building block of a language.
- The lexer has two basic layers/tasks:





- The lexical analyzer, or lexer, processes the micro-syntax of a language.
- The lexer converts sequences of terminals into lexemes.
- A lexeme is the basic building block of a language.
- The lexer has two basic layers/tasks:
  - Scanner





- The lexical analyzer, or lexer, processes the micro-syntax of a language.
- The lexer converts sequences of terminals into lexemes.
- A lexeme is the basic building block of a language.
- The lexer has two basic layers/tasks:
  - Scanner
  - Screener





 The micro-syntax of a language is the portion of the language which is expressible as a regular grammar.





- The micro-syntax of a language is the portion of the language which is expressible as a regular grammar.
- Recall that regular grammars consist of these productions:  $A \rightarrow a$  and  $A \rightarrow aB$





- The micro-syntax of a language is the portion of the language which is expressible as a regular grammar.
- Recall that regular grammars consist of these productions:  $A \rightarrow a$  and  $A \rightarrow aB$
- These productions are the **lexemes** of a language.





- The micro-syntax of a language is the portion of the language which is expressible as a regular grammar.
- Recall that regular grammars consist of these productions:  $A \rightarrow a$  and  $A \rightarrow aB$
- These productions are the **lexemes** of a language.
- Example lexemes include:





- The micro-syntax of a language is the portion of the language which is expressible as a regular grammar.
- Recall that regular grammars consist of these productions:  $A \rightarrow a$  and  $A \rightarrow aB$
- These productions are the **lexemes** of a language.
- Example lexemes include:
  - Literals





- The micro-syntax of a language is the portion of the language which is expressible as a regular grammar.
- Recall that regular grammars consist of these productions:  $A \rightarrow a$  and  $A \rightarrow aB$
- These productions are the lexemes of a language.
- Example lexemes include:
  - Literals
  - Identifiers





- The micro-syntax of a language is the portion of the language which is expressible as a regular grammar.
- Recall that regular grammars consist of these productions:  $A \rightarrow a$  and  $A \rightarrow aB$
- These productions are the lexemes of a language.
- Example lexemes include:
  - Literals
  - Identifiers
  - Keywords





- The micro-syntax of a language is the portion of the language which is expressible as a regular grammar.
- Recall that regular grammars consist of these productions:  $A \rightarrow a$  and  $A \rightarrow aB$
- These productions are the lexemes of a language.
- Example lexemes include:
  - Literals
  - Identifiers
  - Keywords
- It is possible to use recursive descent for this, but this would be overkill for a lexer!





 In the scanning layer, the lexer consumes sequences of characters and transforms them into the productions of the micro-syntax.





- In the scanning layer, the lexer consumes sequences of characters and transforms them into the productions of the micro-syntax.
- Scanning can be implemented as recursive descent, but this is not necessary.





- In the scanning layer, the lexer consumes sequences of characters and transforms them into the productions of the micro-syntax.
- Scanning can be implemented as recursive descent, but this is not necessary.
- Scanning is typically implemented as a simple state machine.





- In the scanning layer, the lexer consumes sequences of characters and transforms them into the productions of the micro-syntax.
- Scanning can be implemented as recursive descent, but this is not necessary.
- Scanning is typically implemented as a simple state machine.
- This is also known as "regular expression parsing", because the language processed by the lexer is a regular grammar.





 Screening is the layer which excludes improper characters or sequences of characters.





- Screening is the layer which excludes improper characters or sequences of characters.
- Usually, screening is done as an exclusionary sort of process.





- Screening is the layer which excludes improper characters or sequences of characters.
- Usually, screening is done as an exclusionary sort of process.
- Sometimes screened characters are skipped (for example, unneeded whitespace or comments).





- Screening is the layer which excludes improper characters or sequences of characters.
- Usually, screening is done as an exclusionary sort of process.
- Sometimes screened characters are skipped (for example, unneeded whitespace or comments).
- If something does not match the rules of the lexer's language, it is flagged as an invalid sequence.





A global variable symbol



- A global variable symbol
- procedure next\_symbol





- A global variable symbol
- procedure next\_symbol
  - This is where most of the lexer exists.





- A global variable symbol
- procedure next\_symbol
  - This is where most of the lexer exists.
  - Includes the state machine that consumes the input stream.





- A global variable symbol
- procedure next\_symbol
  - This is where most of the lexer exists.
  - Includes the state machine that consumes the input stream.
  - Rather than producing single characters, this procedure will process as many characters as needed for the regular productions of the language.





- A global variable symbol
- procedure next\_symbol
  - This is where most of the lexer exists.
  - Includes the state machine that consumes the input stream.
  - Rather than producing single characters, this procedure will process as many characters as needed for the regular productions of the language.
- The remaining two procedures provide convenience for matching symbols.





- A global variable symbol
- procedure next\_symbol
  - This is where most of the lexer exists.
  - Includes the state machine that consumes the input stream.
  - Rather than producing single characters, this procedure will process as many characters as needed for the regular productions of the language.
- The remaining two procedures provide convenience for matching symbols.
  - procedure mustbe(s)





- A global variable symbol
- procedure next\_symbol
  - This is where most of the lexer exists.
  - Includes the state machine that consumes the input stream.
  - Rather than producing single characters, this procedure will process as many characters as needed for the regular productions of the language.
- The remaining two procedures provide convenience for matching symbols.
  - procedure mustbe (s)
  - procedure have (s)





#### Outline

- Lexical Analysis
- Regular Expressions
- 3 Tokenization
- 4 L++





# Simple Regular Expression Syntax

```
• grouping (...)
```





# Simple Regular Expression Syntax

```
• grouping (...)
```

```
• boolean or a | b
```

- grouping (...)
- boolean or a | b
- wildcard .





- grouping (...)
- boolean or a | b
- wildcard .
- quantifiers Quantifiers follow a symbol or a group and specify how many occurrences match.





- grouping (...)
- boolean or a | b
- wildcard .
- quantifiers Quantifiers follow a symbol or a group and specify how many occurrences match.
  - \*: zero or more





- grouping (...)
- boolean or a | b
- wildcard .
- quantifiers Quantifiers follow a symbol or a group and specify how many occurrences match.
  - \*: zero or more
  - +: one or more





- grouping (...)
- boolean or a | b
- wildcard .
- quantifiers Quantifiers follow a symbol or a group and specify how many occurrences match.
  - \*: zero or more
  - +: one or more
  - ?: exactly zero or one





- grouping (...)
- boolean or a | b
- wildcard .
- quantifiers Quantifiers follow a symbol or a group and specify how many occurrences match.
  - \*: zero or more
  - +: one or more
  - ?: exactly zero or one
- escaping literals The special characters in regular expressions can all be escaped in the usual way.





 A regular expression is equivalent to a deterministic finite automaton (DFA).





- A regular expression is equivalent to a deterministic finite automaton (DFA).
- A DFA is a graph where states are represented by vertices.



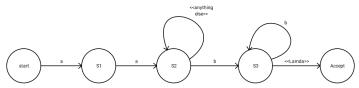


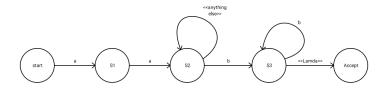
- A regular expression is equivalent to a deterministic finite automaton (DFA).
- A DFA is a graph where states are represented by vertices.
- Edges show transitions from each state for a given character or set of characters.





- A regular expression is equivalent to a deterministic finite automaton (DFA).
- A DFA is a graph where states are represented by vertices.
- Edges show transitions from each state for a given character or set of characters.
- For example: aa.\*b+ becomes the DFA:

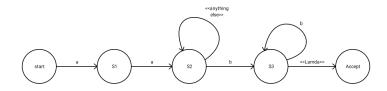




A DFA can be readily converted into code.



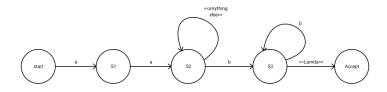




- A DFA can be readily converted into code.
- First, we need an enumeration for the states.



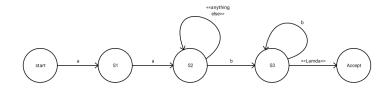




- A DFA can be readily converted into code.
- First, we need an enumeration for the states.
- Then we set the initial state.



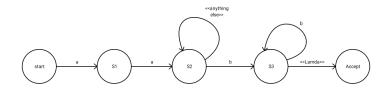




- A DFA can be readily converted into code.
- First, we need an enumeration for the states.
- Then we set the initial state.
- Next, we write a loop that will scan the input.



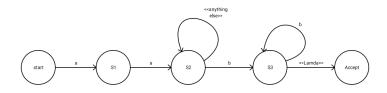




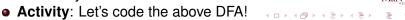
- A DFA can be readily converted into code.
- First, we need an enumeration for the states.
- Then we set the initial state.
- Next, we write a loop that will scan the input.
- Within the loop, we add if statements to transition the state. Any invalid transition returns an error.







- A DFA can be readily converted into code.
- First, we need an enumeration for the states.
- Then we set the initial state.
- Next, we write a loop that will scan the input.
- Within the loop, we add if statements to transition the state. Any invalid transition returns an error.





#### Outline

- Lexical Analysis
- Regular Expressions
- 3 Tokenization
- 4 L++





#### **Tokens**

 A token is a sequence of characters with meaning. (A token is equivalent to a lexeme.)



#### **Tokens**

- A token is a sequence of characters with meaning. (A token is equivalent to a lexeme.)
- One approach in C++ would be to represent a token using an enumeration:





#### **Tokens**

- A token is a sequence of characters with meaning. (A token is equivalent to a lexeme.)
- One approach in C++ would be to represent a token using an enumeration:

 We often need to store some additional information about a token:

```
struct Token {
    Token_Type type;
    string text;
};
```



 Tokens are typically represented by implementing the DFA which represents the regular grammar of the lexer.





- Tokens are typically represented by implementing the DFA which represents the regular grammar of the lexer.
- The basic strategy is this:





- Tokens are typically represented by implementing the DFA which represents the regular grammar of the lexer.
- The basic strategy is this:
  - Set the state to the start state.





- Tokens are typically represented by implementing the DFA which represents the regular grammar of the lexer.
- The basic strategy is this:
  - Set the state to the start state.
  - Peek at the next character and transition to an appropriate state.





- Tokens are typically represented by implementing the DFA which represents the regular grammar of the lexer.
- The basic strategy is this:
  - Set the state to the start state.
  - Peek at the next character and transition to an appropriate state.
  - Ontinue following transitions until the production ends.





- Tokens are typically represented by implementing the DFA which represents the regular grammar of the lexer.
- The basic strategy is this:
  - Set the state to the start state.
  - Peek at the next character and transition to an appropriate state.
  - Ontinue following transitions until the production ends.
  - Emit the token indicated by the current state.





#### Tokenizing a String

• The global symbol variable should be a Token structure.





#### Tokenizing a String

- The global symbol variable should be a Token structure.
- Each call to next\_symbol should perform the DFA on the stream.





#### Tokenizing a String

- The global symbol variable should be a Token structure.
- Each call to next\_symbol should perform the DFA on the stream.
- symbol is set to the emitted token.





#### Outline

- Lexical Analysis
- Regular Expressions
- 3 Tokenization
- 4 L++





#### The Grammar of L++

```
⟨program⟩ ::= <expression>
⟨expression⟩ ::= <term> <expression-tail>
\langle expression-tail \rangle ::= \lambda \mid '+' < term > \langle expression-tail > 
⟨term⟩ ::= <factor> <term-tail>
\langle term\text{-}tail \rangle ::= \lambda \mid \text{'*'} < \text{factor} > \text{-}term\text{-}tail >
⟨factor⟩ ::= <integer> | '(' <expression> ')'
⟨integer⟩ ::= <unit> | <unit><integer>
\(\langle unit \rangle ::= '0' | '1' | '2' | '3' | '4' | '5' | '6' | '7' | '8' | '9'
```

```
\bullet integer := (0|1|2|3|4|5|6|7|8|9)+
```





```
• integer := (0|1|2|3|4|5|6|7|8|9) +
```





```
• integer := (0|1|2|3|4|5|6|7|8|9)+
• operator := +|*
• lparen := \((
```





```
• integer := (0|1|2|3|4|5|6|7|8|9)+
• operator := +|*
• lparen := \(()
• rparen := \()
```





```
• integer := (0|1|2|3|4|5|6|7|8|9)+
• operator := +|*
• lparen := \(()
• rparen := \()
• invalid := anything else.
```



#### L++ DFA

