

# **Book Scanning**

Artificial Intelligence 2020/2021 3MIEIC02 01/04/2021

Inês Silva, up201806385@fe.up.pt Mariana Truta, up201806543@fe.up.pt Rita Peixoto, up201806257@fe.up.pt



# **Project Specification**

Input file	Description				
6 2 7	There are 6 books, 2 libraries, and 7 days for scanning.				
1 2 3 6 5 4	The scores of the books are 1, 2, 3, 6, 5, 4 (in order).				
5 2 2	Library 0 has 5 books, the signup process takes 2 days, and the library can ship 2 books per day.				
0 1 2 3 4	The books in library 0 are: book 0, book 1, book 2, book 3, and book 4.				
4 3 1	Library 1 has 4 books, the signup process takes 3 days, and the library can ship 1 book per day.				
3 2 5 0	The books in library 1 are: book 3, book 2, book 5 and book 0.				

Figure 1. Example of a input data set

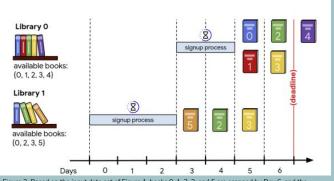


Figure 2. Based on the input data set of Figure 1, books 0, 1, 2, 3, and 5 are scanned by Day 6, and the total score is 16.

This project consists of solving an optimization problem: book scanning.

Given a description of a set of libraries (L) and books available (B), there is the need to plan which books to scan from which library to maximize the total score of all scanned books, taking into account that each library needs to be signed up before it can ship books and all books must be scanned within D days.

# Formulation of the optimization problem

### **Solution Representation**

The solution describes which books to ship from which library and the order in which libraries are signed up. In the output files, the first line contains the number of libraries to sign up and the following lines describe the libraries in the order to be signed up in two lines each the first line containing the library id and the number of books sent, the second line containing the IDs of the books to scan from the library.

### **Rigid Constraints**

- Many libraries can have a copy of the same book, but we only need to scan each book once;
- If the same book is shipped from multiple libraries, the solution will be accepted but the score for the book will be awarded only once;
- Only one library at a time can be going through the signing process;
- Each library must be described only once;
- You don't need to scan all books from a library you describe;
- If a library signup process finishes after D days, its description will be ignored;
- Books shipped after D days will be ignored;
- the signup process for a library can start only when no other signup processes are running;
- The libraries can be signed up in any order.

#### **Evaluation Function**

```
def calculate_total_score(books_dict, scores):
    all_books = set()
    for id in books_dict:
        all_books.update(books_dict[id])
    return score(list(all_books), scores)
```

best neighbour(choosen libraries, choosen books, libraries, scores, n days)

### **Neighborhood Function**

best\_score = calculate\_total\_score(choosen\_books, scores)
best\_libraries = copy.deepcopy(choosen\_libraries)

The evaluation function iterates through the library books saving them on a set (to eliminate the duplicate books) and then add the score of all those books producing the library score.

```
best_books = copy.deepcopy(choosen_books)
found better - False
for current lib in choosen libraries:
   scanned_books_dict - dict()
   scanned books set = set()
   all libraries - copy.deepcopy(libraries)
      if lib == current lib:
         all_libraries.remove(lib)
         new list.append(lib)
         scanned_books_dict[lib.id] = lib.get_books(n_days-day)
                                                                               The find first neighbour
         scanned_books_set.update(scanned_books_dict[lib.id])
         all libraries.remove(lib)
         day +- lib.signup days
                                                                              function is the same as the
                                                                              find best neighbour, simply
      id = choose best score(n days - day, all libraries, scores, scanned books set
                                                                              returning the first better
            scanned books dict[lib.id] = lib.get books(n days-day)
                                                                              neighbour it encounters.
             scanned_books_set.update(scanned_books_dict[lib.id])
             day += lib.signup days
   new_score = calculate_total_score(scanned_books_dict, scores)
   if new score > best score:
                                                           if new score > best score:
      found better = True
      best_libraries = copy.deepcopy(new_list)
                                                               found better = True
      best books = copy.deepcopy(scanned books dict)
                                                               best_libraries = copy.deepcopy(new_list)
      best score - new score
                                                               best books = copy.deepcopy(scanned books dict)
                                                                best score = new score
                                                                print("better!")
return found better, best libraries, best books, best score
                                                               return found_better, best_libraries, best_books, best_score
```

### Progress so far ...

The project is being developed in Python 3, with a text interface.

The development environment is Visual Studio Code. For the most part of the project, the data structures used are arrays, sets, lists and classes.

The structure of the files is:

- src: where the source code is located:
- input: where all the libraries data is stored;
- output: where the output files of the program are stored;
- docs: where the documents are kept, such as this document.

At this point, it was implemented a greedy algorithm to find the solution.

The program has to be run with a single argument, specifying the input file where the libraries data is stored (for example, running the command *python bookscanning.py a\_example.txt*).

So far, the best results for each file are:

File name	Total score			
a_example.txt	21			
b_read_on.txt	5 822 900			
c_incunabula.txt	5 689 822			
d_tough_choices.txt	5 028 530			
e_so_many_books.txt	4 212 457			
f_libraries_of_the_world.txt	5 283 965			
Total	26 037 695			

### Approach

#### **Evaluation function:**

```
def score(books, scores):
    return sum([scores[b] for b in books])
```

It receives the scanned books and the map of the books to the respective scores and calculates the total score.

#### Heuristic:

This function iterates through all libraries, calculates their score if all the books were to be scanned and divides these scores for the number of days that it takes for the library to be signed up. If this heuristic is better than the previous library, it replaces the best with the new one, returning the best of all libraries.

```
def choose_best_score(days, libraries, scores, scanned_books):
    best_score = 0
    best_books = []
    best_lib = None
    for library in libraries:
        if library.signup_days > days: continue
        books = library.get_books(days, scanned_books)
        s = score(books, scores) /library.signup_days
        if s > best_score:
            best_lib = library.id
            best_score = s
            best_books

if best_lib == None:
        return -1, best_books

return best_lib, best_books
```

# Implemented algorithms

**Greedy:** Starting with an empty solution, while there are still left days, it chooses the best library at the moment, that has not been signed yet, and adds it to the final solution.

#### Local Search:

- **First neighbour** based on basic "hill climbing", generates neighbours until it finds a better evaluated one and applies it. The algorithm stops when no better neighbours are found;
- **Best neighbour** based on "steepest ascent", generates all neighbours and applies the best-evaluated one. The algorithm stops when no better neighbours are found;
- Random neighbour from a known solution, it generates a random neighbour solution.

**Simulated Annealing:** Starting with a high temperature (100) and using an exponential cooling function, chooses a random neighbour and accepts it if it is an improving move or if it is a deteriorating move with some probability.

**Genetic algorithm**: Based on the mechanics of biological evolution, a random initial population is generated and the solutions.

### Experimental results

File	Best Score	Algorithm used		
a_example	21	Greedy		
b_read_on	5 822 900	Greedy		
c_incunabula	5 690 054	Random Neighbor		
d_tough_choices	5 028 530	Greedy		
e_so_many_books	5 037 291	Genetic		
f_libraries_of_the_world	5 329 948	Simulated annealing using heuristic		

For the overall files, the greedy approach was found to be the best one, because there was not a huge variation in solutions and its implementation is very optimized taking into consideration the problem restrictions. Hence, when starting the local search algorithms with a random solution instead of a greedy solution, the scores reached wouldn't even get closed to the ones starting with greedy.

For the c and d files, since the initial input of libraries and the number of days were so big that made all search really slow and very hard to get results without taking a big amount of time to obtain them.

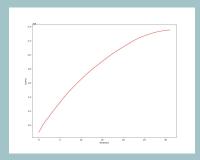
Most of the search algorithm implementations use our heuristic in order to optimize even more the choice made.



## Experimental results (cont.)

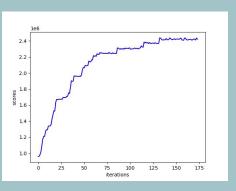
using e\_so\_many\_books.txt file as input, starting with a randomly generated solution

### Local search evolution



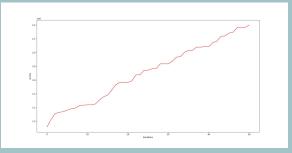
2.4
2.2
2.0
1.8
8 1.6
1.4
1.2
1.0
0 50 100 150 200
Rerations

Simulated annealing evolution



find\_best\_neighbor

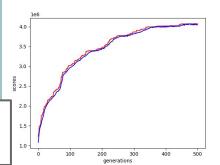
find\_random\_neighbor



find\_first\_neighbor

# Genetic algorithm evolution





### Conclusions

The biggest challenge of this project was trying to make the runtime of the algorithms as acceptable as possible, whereas the amount of data of some input files were quite big making it a difficult computational task.

We implemented all algorithms presented during these course's lessons. However, after a battery of tests, we narrowed our chosen algorithms to the ones that were found to be more relevant and improved the final scores.

We want to emphasize some important improvements we made to the algorithms that significantly improved the scores:

- in the **heuristic function**, we restrained the libraries from sending repeated books;
- in the **crossover function**, we switched from a single point crossover to a linear order crossover technique.

### References and materials used

As this project consists of a hashcode qualification problem, the research made lead us to articles about the implemented solutions by some of the teams:

- <a href="https://medium.com/@kevinjohn1999/how-we-achieved-a-98-27-of-the-best-score-at-google-h">https://medium.com/@kevinjohn1999/how-we-achieved-a-98-27-of-the-best-score-at-google-h</a> ashcode-2020-94314a904190
- <a href="https://towardsdatascience.com/google-hash-code-2020-a-greedy-approach-2dd4587b6033">https://towardsdatascience.com/google-hash-code-2020-a-greedy-approach-2dd4587b6033</a>

### Related topics:

- <a href="https://www.geeksforgeeks.org/0-1-knapsack-problem-dp-10/">https://www.geeksforgeeks.org/0-1-knapsack-problem-dp-10/</a>
- https://www.sciencedirect.com/topics/computer-science/local-search-algorithm

Annex		Greedy	First neighbor	Best neighbor	Random neighbor	Simulated annealing w/ heuristic	Simulated annealing wo/ heuristic	Genetic	Genetics params
If the algorithms do not find a better solution, they return the initial solution.  The times for all algorithms beside greedy are only of the algorithm without the generation of the initial solution.	a_example.txt	21	21	21	21	21	21		
		00:00:00	0:00:00.0030 01	0:00:00.0039 94	0:00:00.010048	0:00:00.36319 9	0:00:00.361562		
	b_read_on.txt	5822900	5822900	5822900	5822900	5822900	5822900	5822900	50 1000 0.2 0.2 0.2
		0:00:00.47 2789	0:00:01.0548 52	0:00:00.9872 04	0:00:00.328252	0:00:08.35274 8	0:00:04.563797	0:00:08.7858 25	
	c_incunabula.txt	5689822	5689822	5689822	5690054	5689822	5689822	5689822	10 10 0.05 0.05 0.01
		0:01:00.85 7248			0:07:01.999125				
	d_tough_choices.txt	5028530	5028530	5028530	5028530	5028530	5028530	5028530	10 10 0.05 0.05 0.001
		0:21:55.77 9328						0:19:39.2099 10	
Labels ps - population size g - generations mp - mutation prob sp - swap prob pv - population variation	e_so_many_books.tx t	5034888	5035273	5035457	5035031	5034888	5034888	5037291	20 500 0.2 0.2 0.2
		0:00:14.19 4703	0:00:02.5683 39	0:00:08.7830 84	0:00:00.436051	0:00:14.46473 8	0:00:06.177446	0:11:07.1931 76	
	f_libraries_of_the_wo rld.txt	5308034	5308034	5308034	5308034	5329948	5308034	5308034	20 100 0.2 0.2 0.2
		0:00:02.33 2154	0:00:02.6941 38	0:00:00.4625 67	0:00:00.889916	0:00:17.21510 7	0:00:09.768772	0:00:44.9300 76	